



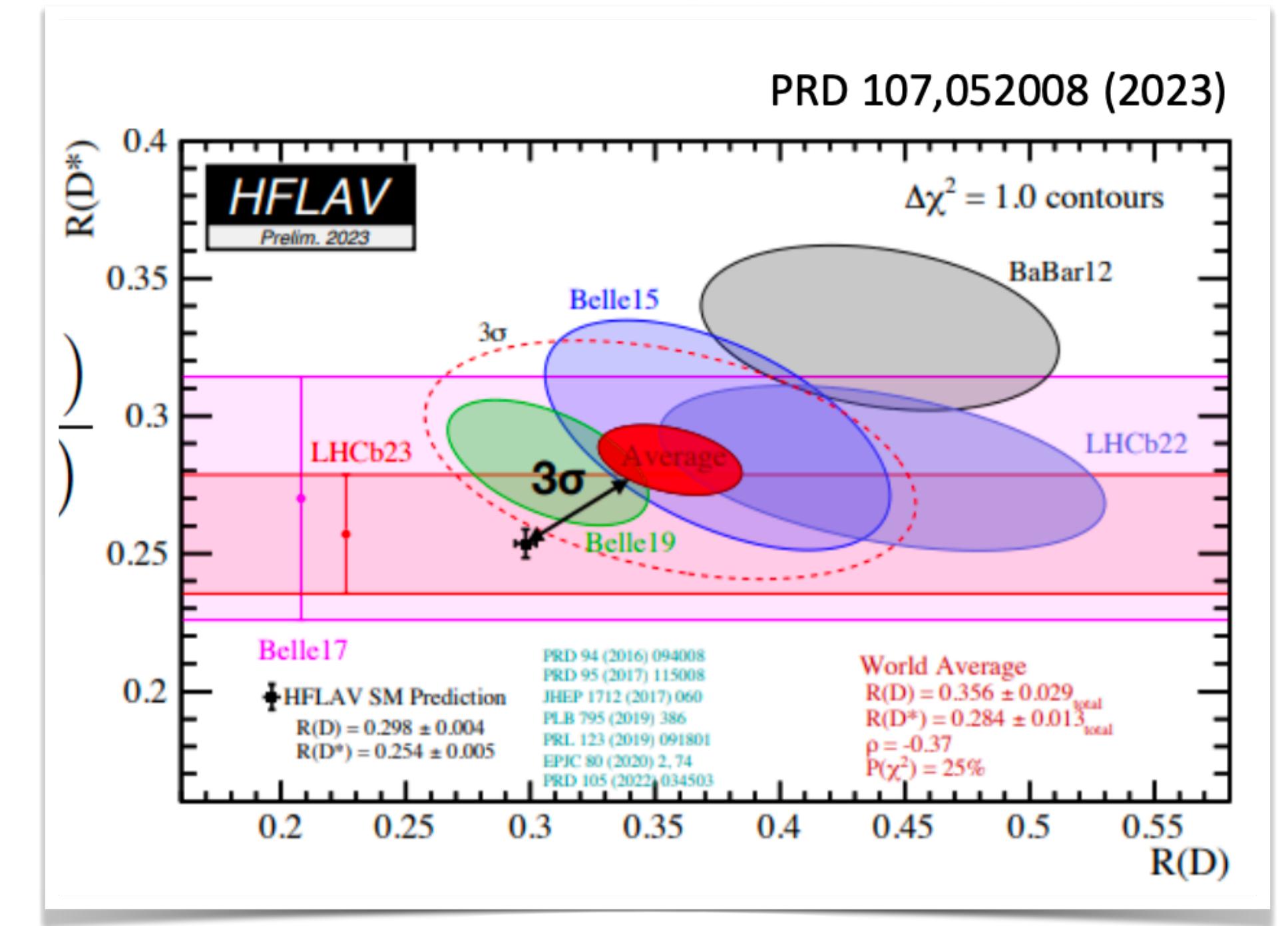
# *B anomalies at Belle II*

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on behalf of the Belle II collaboration



# Anomalies & B-physics

- Standard Model (SM) predictions greatly confirmed by a variety of flavour and non-flavour measurements
- Hints for anomalies from indirect searches of New Physics (NP) effects: some are gone, some are persisting
- Will focus on Belle II NP searches in :
  - $R(D^{(*)})$  and  $R(X)$  from  $b \rightarrow c l \nu$
  - $B^+ \rightarrow K^+ \nu \bar{\nu}$



Belle II is an ideal playground for the study of B final states with missing energy:

- nearly  $4\pi$  detector
- constraints from well-known initial state kinematics
- clean environment compared to hadron colliders

ref to Belle II  
general talk

# $R(D^*)$ and $R(X)$ measurements

# LFU tests with $b \rightarrow c\ell\nu$ : overview

- Four searches with  $189 \text{ fb}^{-1}$

\* = in this talk

- $\tau$  and  $\ell$  ( $\ell=e$  or  $\mu$ ):

$$R(D^*) = \frac{\mathcal{B}(B \rightarrow D^* \tau \nu_\tau)}{\mathcal{B}(B \rightarrow D^* \ell \nu_\ell)}$$

X: any decays

$$R(X) = \frac{\mathcal{B}(B \rightarrow X \tau \nu_\tau)}{\mathcal{B}(B \rightarrow X \ell \nu_\ell)}$$

- $\mu$  and  $e$ :

Angular asymmetries

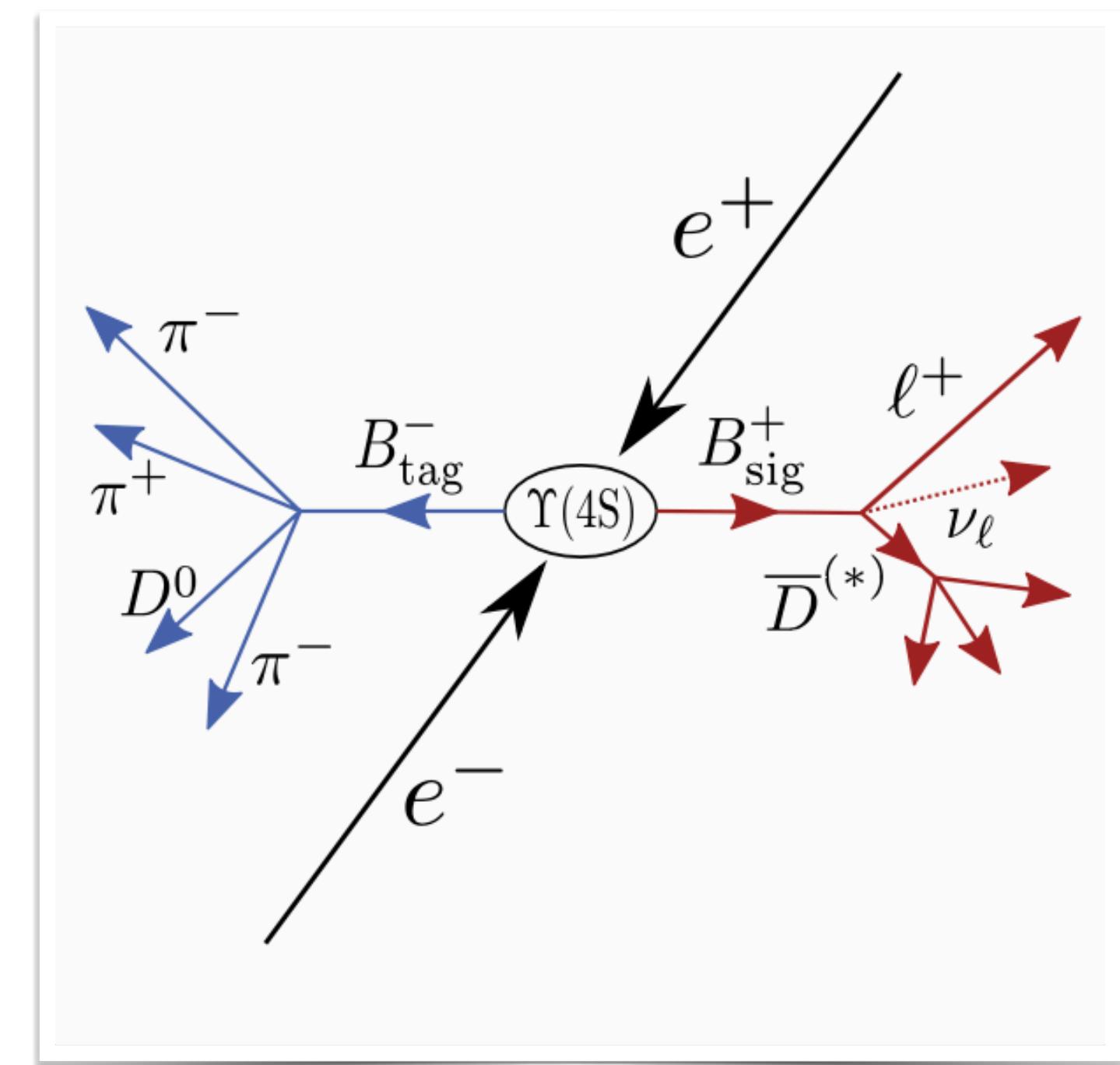
$$\Delta A_{\text{FB}} = A_{\text{FB}}^\mu - A_{\text{FB}}^e$$

\*

$$R(X_{e/\mu}) = \frac{\mathcal{B}(B \rightarrow X e \nu_e)}{\mathcal{B}(B \rightarrow X \mu \nu_\mu)}$$

- Common key element: hadronic tag

- fully reconstruct one  $B_{(\text{tag})}$  in a variety of hadronic modes through a machine-learning-based algorithm (FEI ref)
- search for the signal signature in its recoil
- sub-% tagging efficiency, allow to reduce background contamination and infer signal side kinematics



# $R(D^*)$ measurement

- Ratio in exclusive searches:

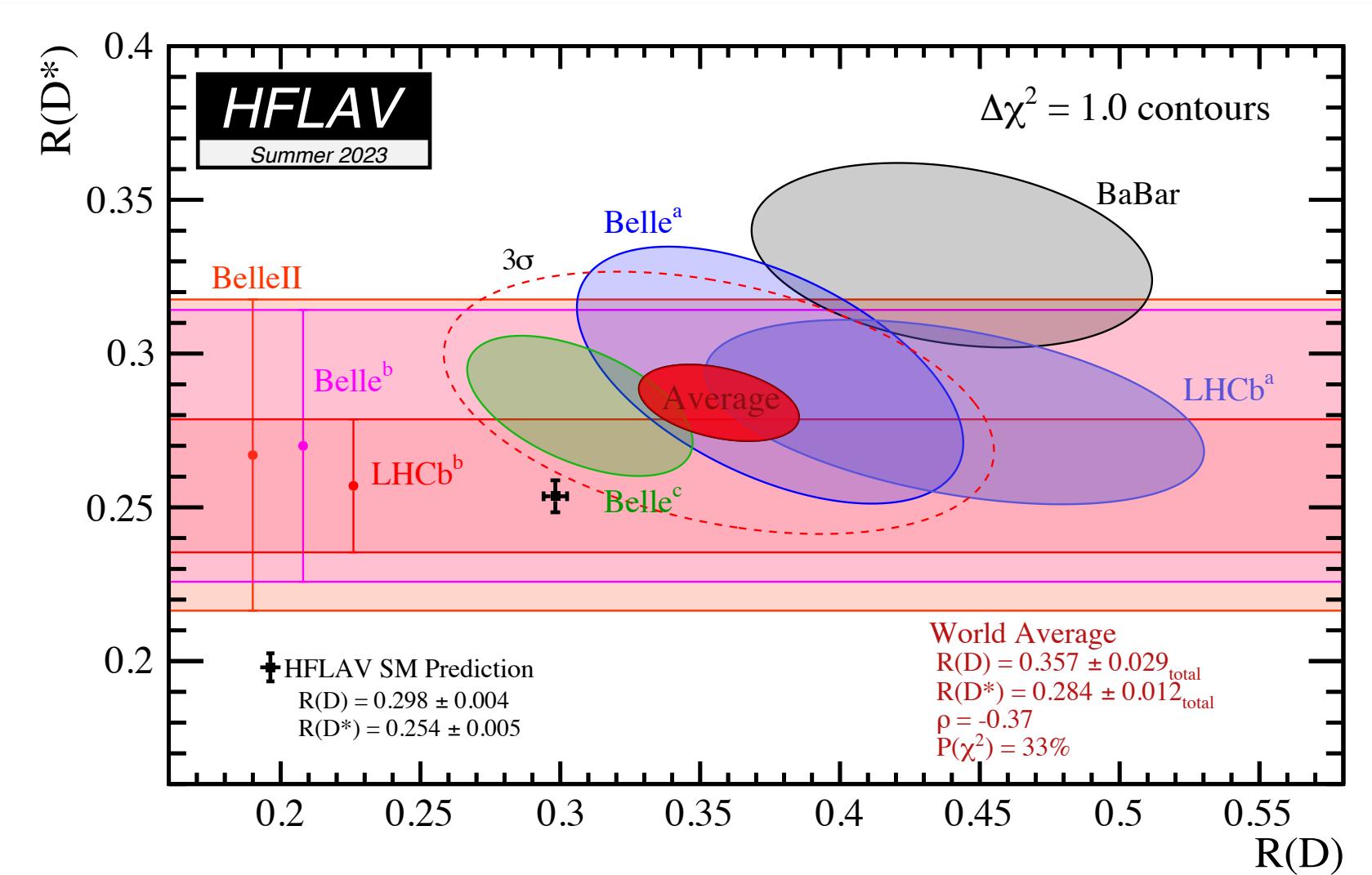
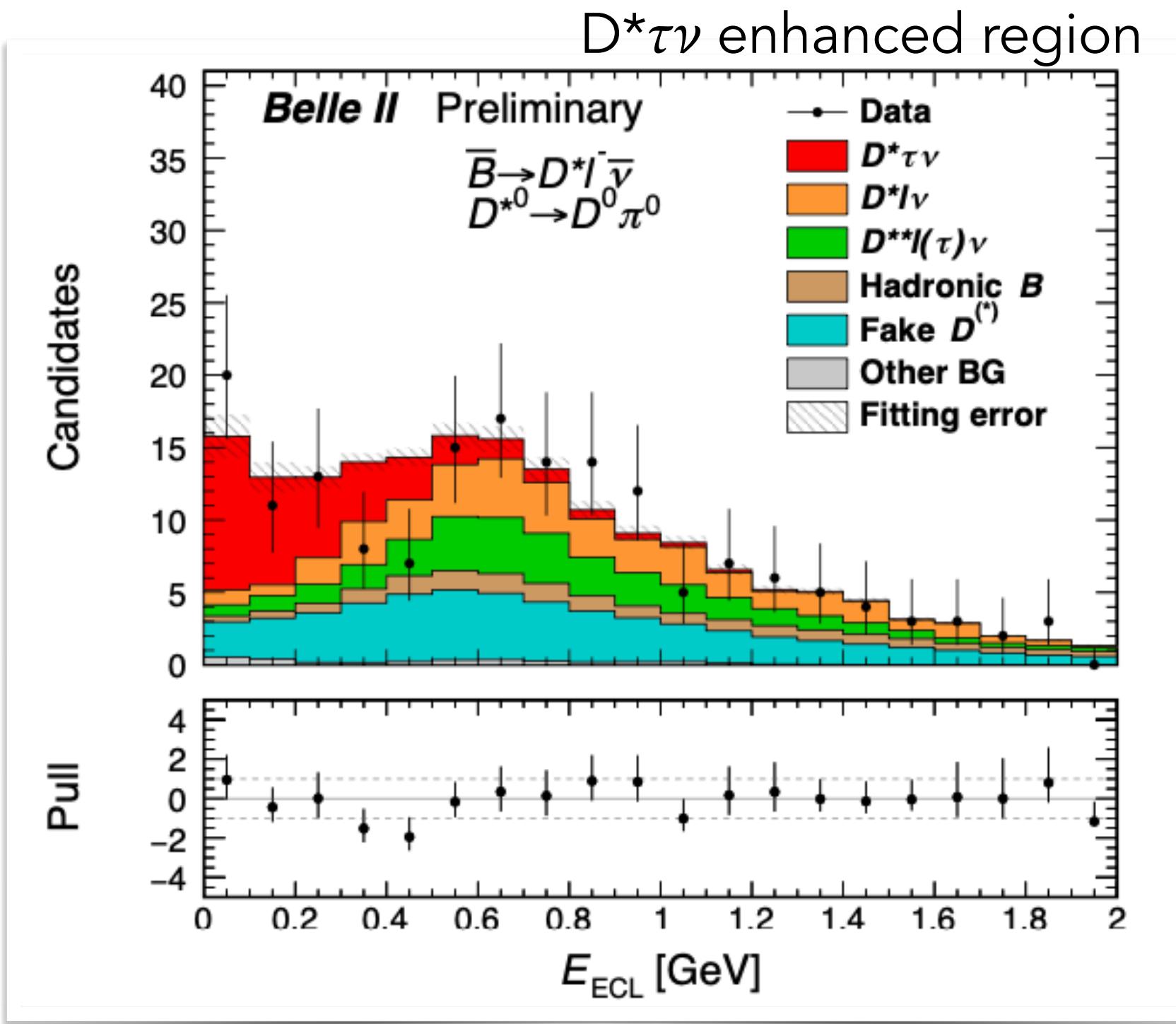
$$R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)}\tau^-\bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell)}$$

- $B \rightarrow D^*\tau\nu$  and  $B \rightarrow D^*\ell\nu$  measured by two-dimensional binned likelihood fit to
  - missing mass of undetected neutrinos
  - total energy from extra photons ( $E_{\text{ECL}}$ )

- Result:

$$R(D^*) = 0.267 \pm^{+0.041}_{-0.039} (\text{stat.}) \pm^{+0.028}_{-0.033} (\text{syst.})$$

- Main systematic uncertainty from MC statistics and  $E_{\text{ECL}}$  modeling
- Consistent with SM and previous measurements



# $R(X_{\tau/\ell})$ measurement (I)

- Going inclusive:

$$R(X_{\tau/\ell}) = \frac{\mathcal{B}(B \rightarrow X\tau\nu)}{\mathcal{B}(B \rightarrow X\tau\nu)}, \quad \ell = e, \mu$$

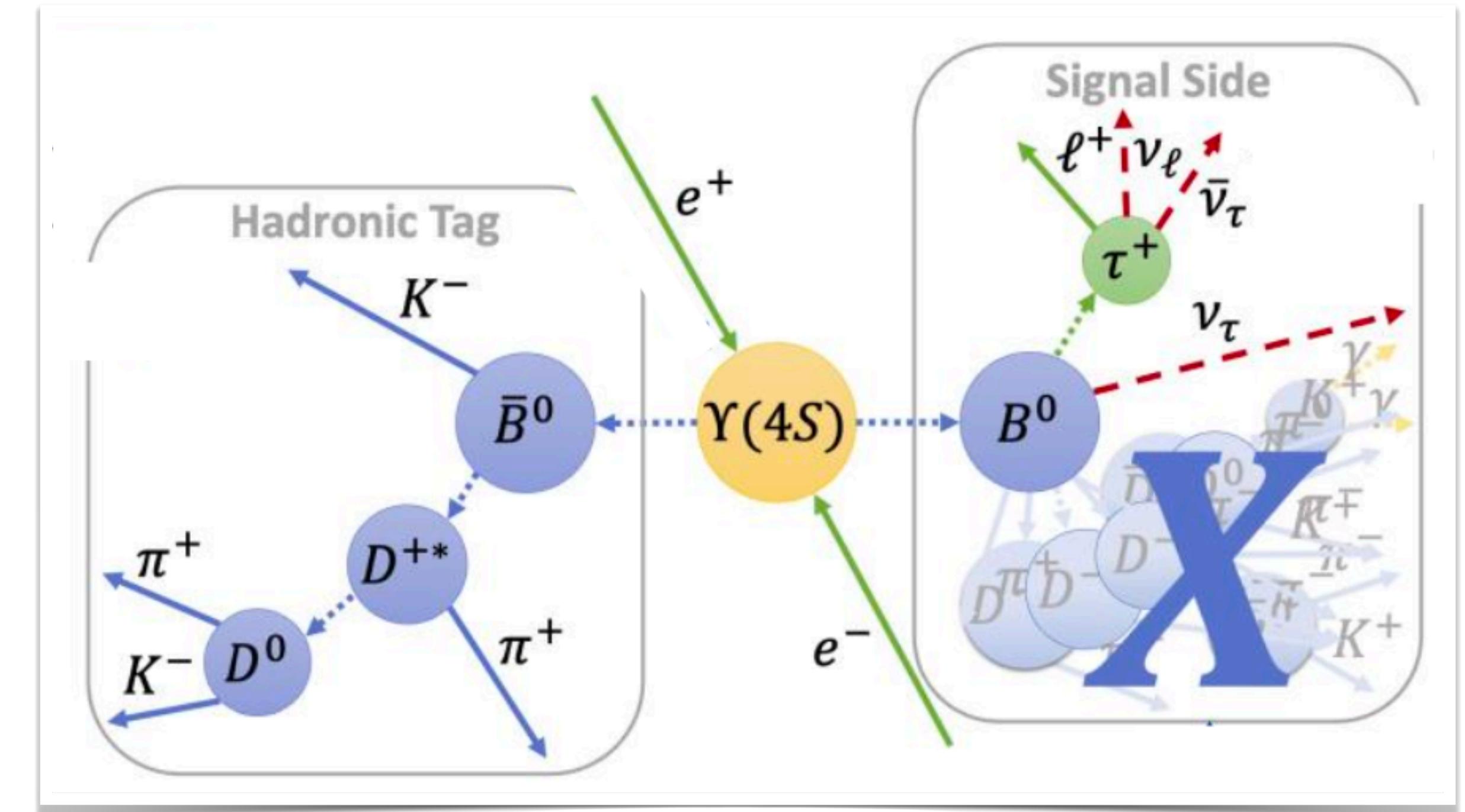
- alternative to  $R(D^{(*)})$  measurements:  
theoretically more clean, potentially more precise from the experimental point of view

- First measurement at B factories

- Variables for yield extraction:

- missing mass of undetected neutrinos ( $M_{\text{miss}}^2$ )
- lepton momentum in B rest frame ( $p_{\ell}^B$ )

- Experimentally challenging due to background contamination from many modes —> extensive use of control samples to correct and validate fit templates and background expectation



# $R(X_{\tau/\ell})$ measurement (II)

- Results:

- separating electrons and muons:

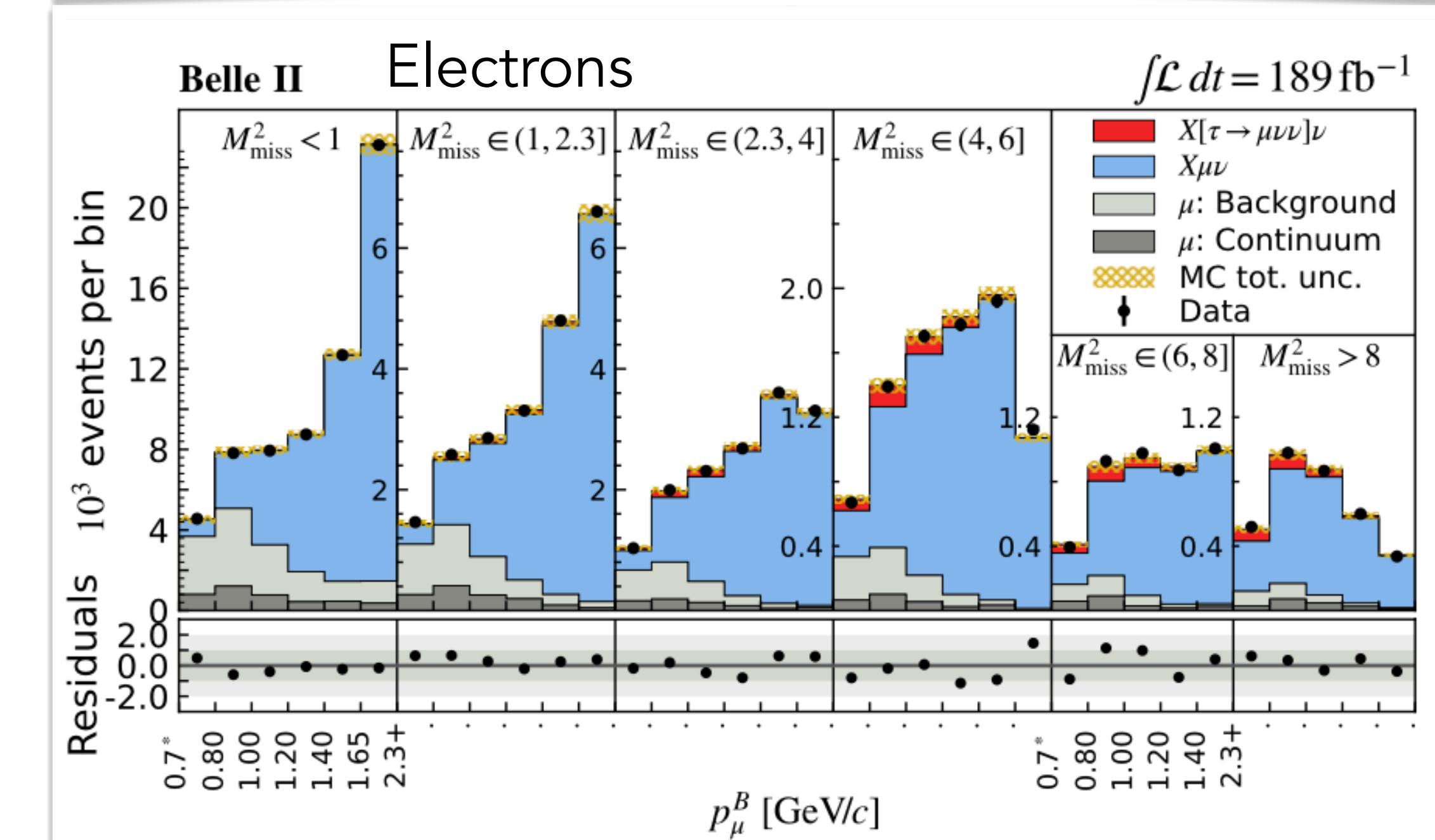
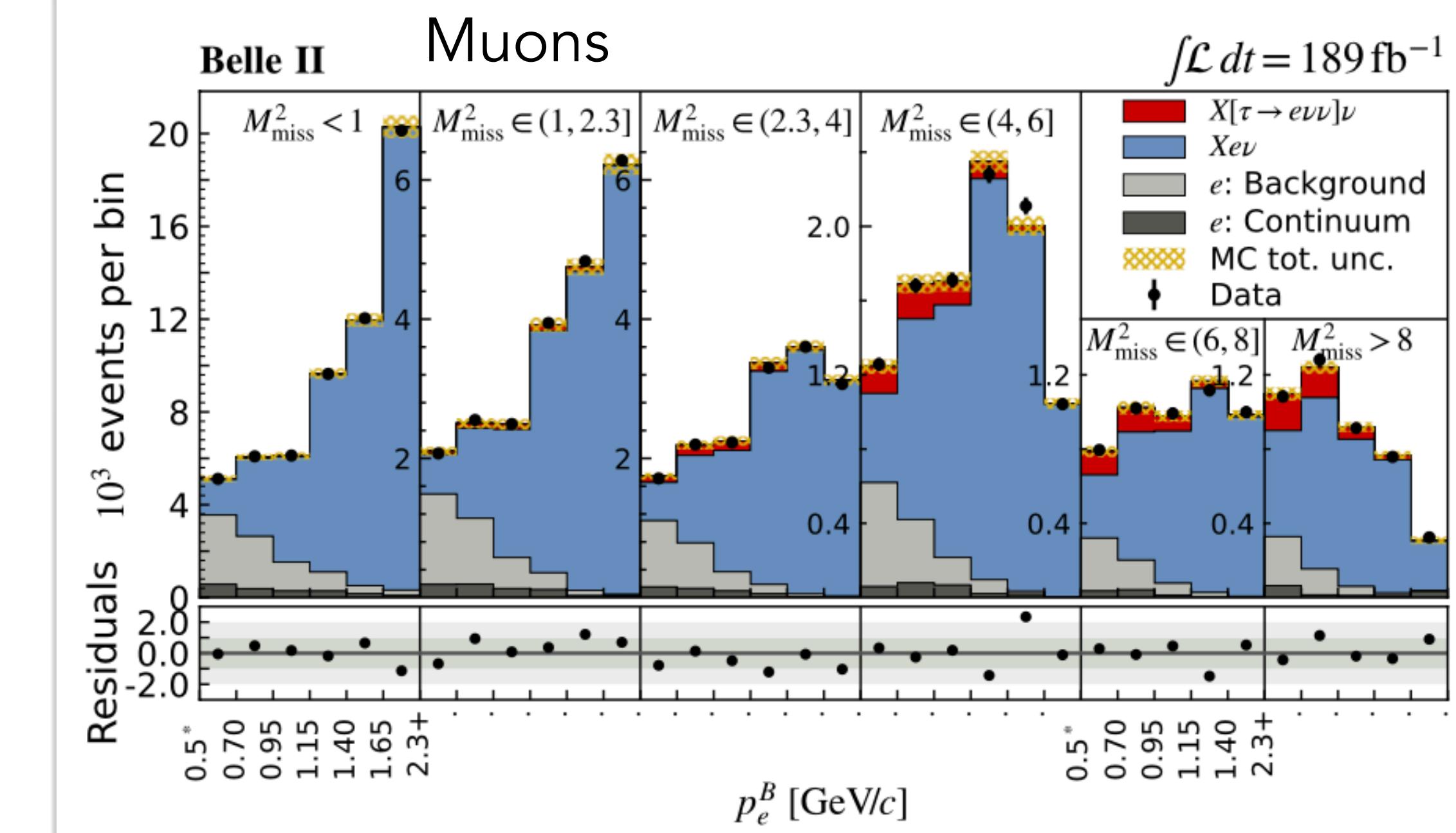
$$R(X_{\tau/e}) = 0.232 \pm 0.020 \text{ (stat)} \pm 0.037 \text{ (syst)}$$

$$R(X_{\tau/\mu}) = 0.222 \pm 0.027 \text{ (stat)} \pm 0.050 \text{ (syst)}$$

- combining lepton-flavours

$$R(X_{\tau/\ell}) = 0.228 \pm 0.016 \text{ (stat)} \pm 0.036 \text{ (syst)}$$

- Main systematic uncertainties from knowledge of BF and form factors for signal and normalization mode, PDF shape, MC statistics
- In agreement with SM prediction and R(D<sup>(\*)</sup>) measurements



# $R(X_{e/\mu})$ measurement

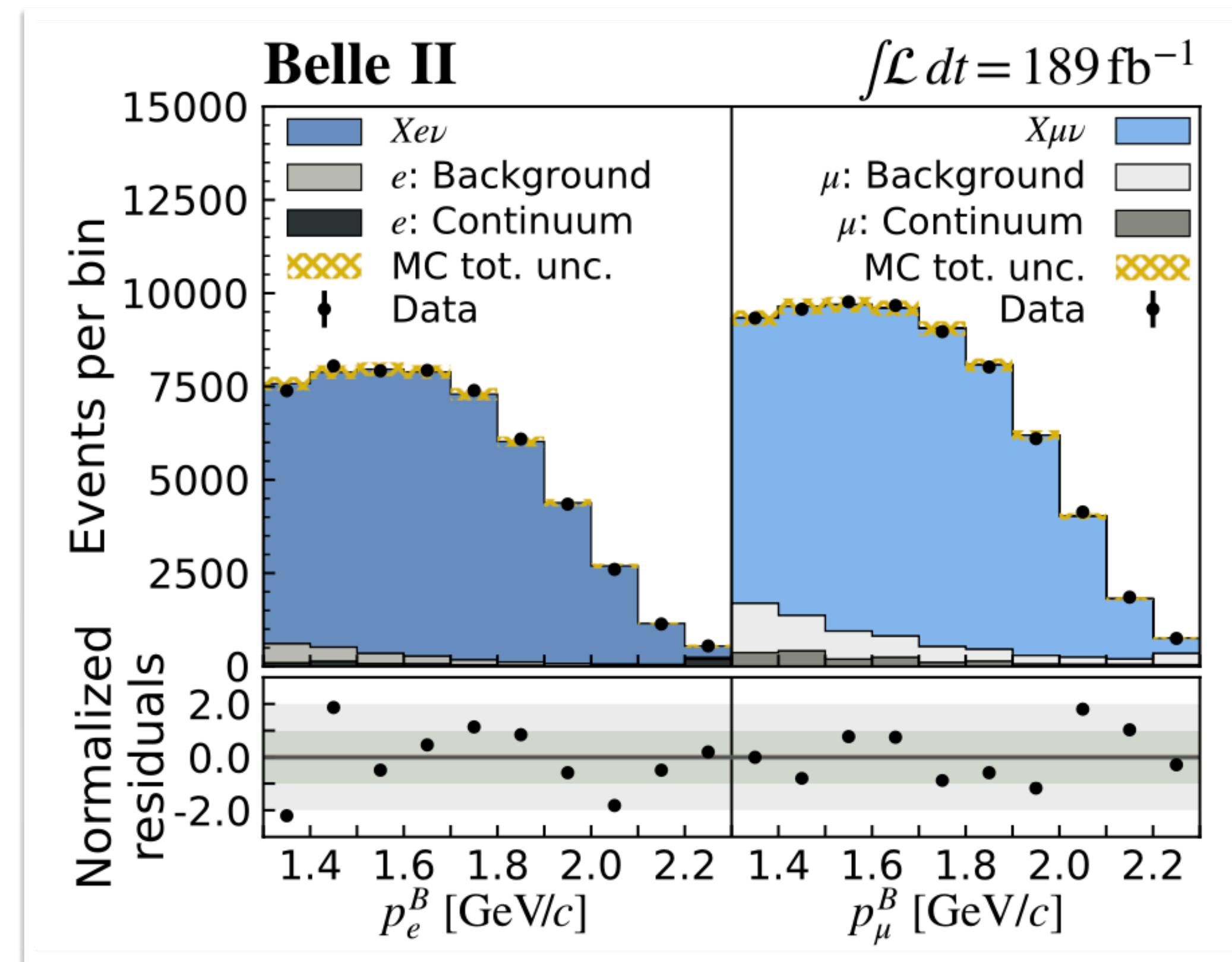
- While warming up for  $R(X_{\tau/\ell})$ , measure  $R(X_{e/\mu}) = \frac{\mathcal{B}(B \rightarrow X e \nu)}{\mathcal{B}(B \rightarrow X \mu \nu)}$

- Similar analysis wrt ratio with  $\tau$ 's

- Result:

$$R(X_{e/\mu}) = 1.007 \pm 0.009 \text{ (stat)} \pm 0.019 \text{ (syst)}$$

- Dominant systematic uncertainty from lepton identification
- Consistent with SM expectation, most precise measurement to date

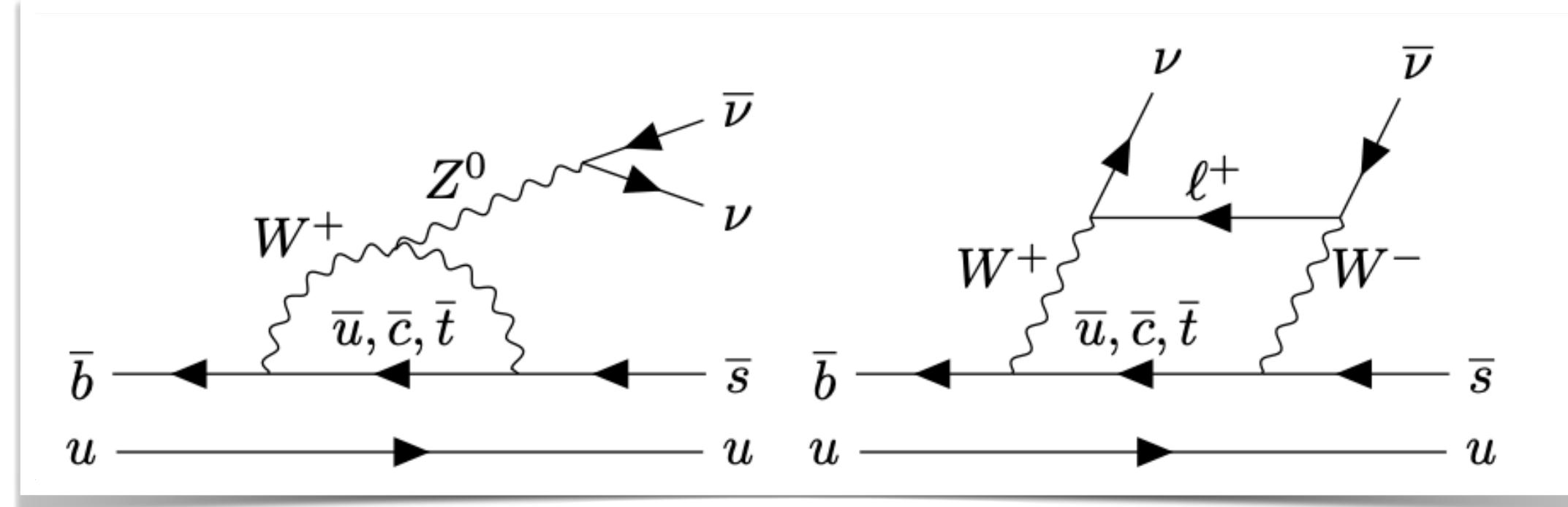


# *Search for $B^+ \rightarrow K^+ \nu \bar{\nu}$*

# Motivation and experimental status

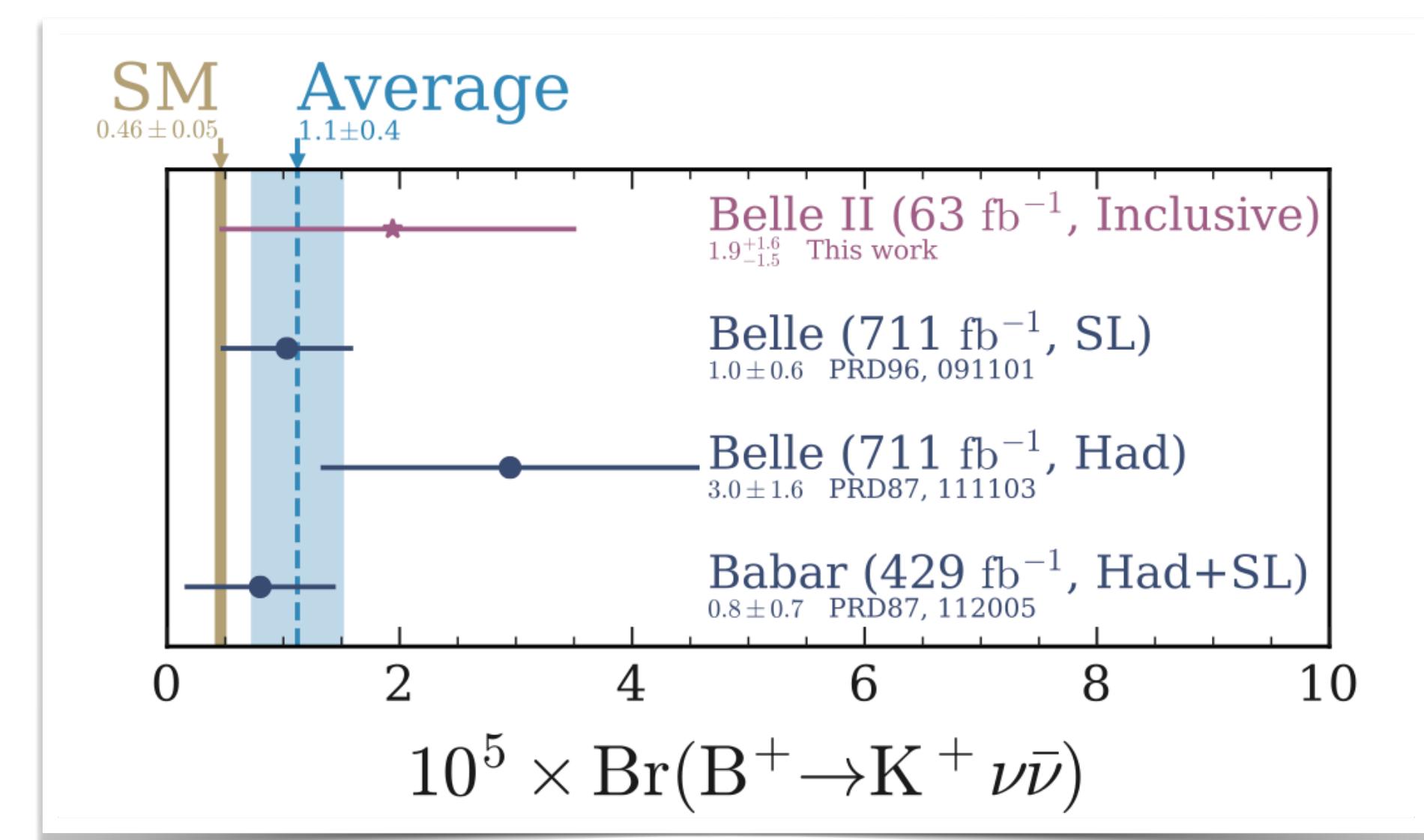
Theory:

- $b \rightarrow s$  transition prohibited at tree level in the SM
- SM branching fraction:  $(5.6 \pm 0.4) \times 10^{-6}$  [ref]
- Can receive contribution from BSM physics
  - new mediators, new invisible particles in the final state [refs]



Experiment:

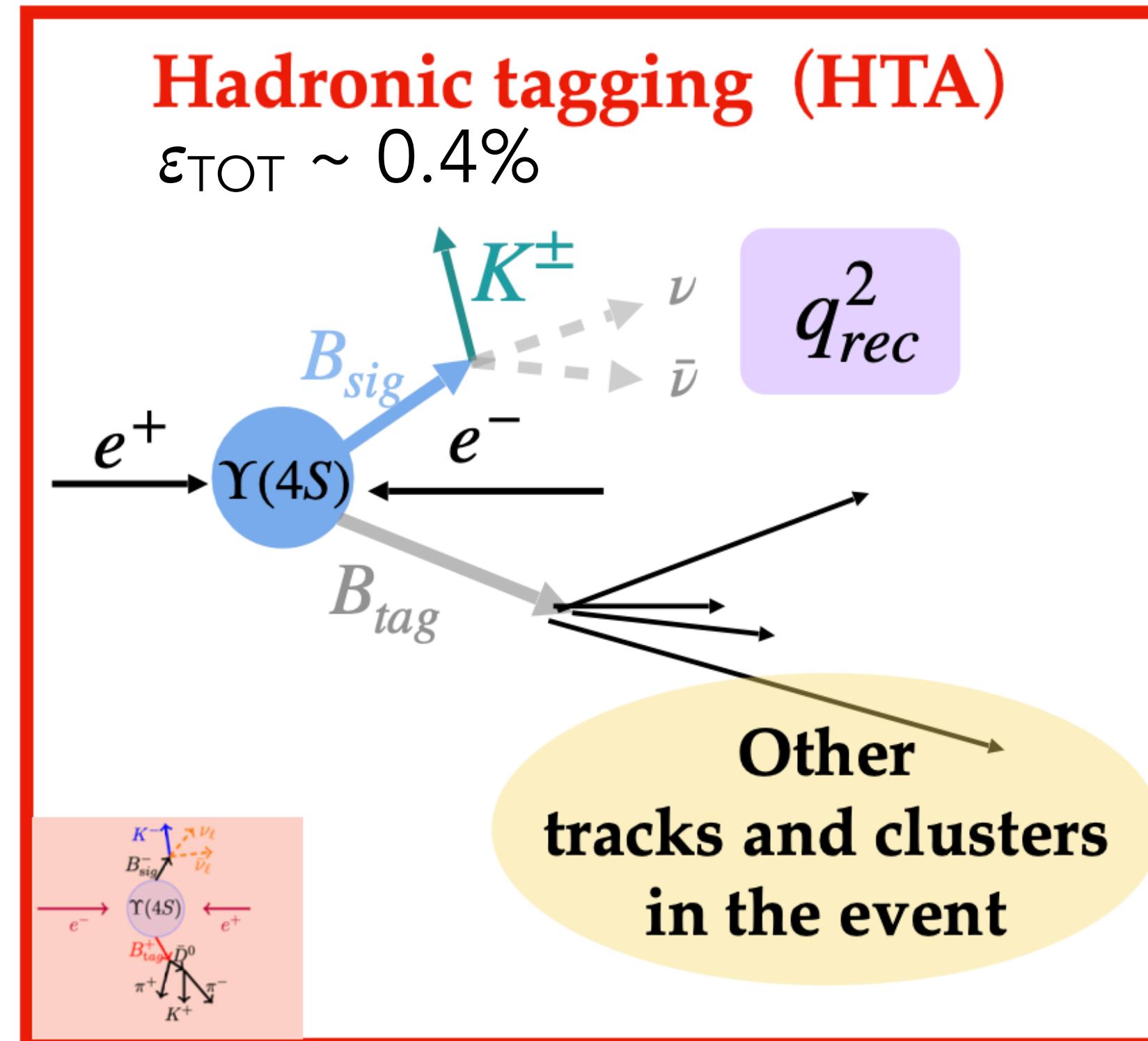
- Challenges:
  - low branching fraction with large background
  - no peak – two neutrinos leads to no good kinematic constraint
- Signal not observed from previous measurements



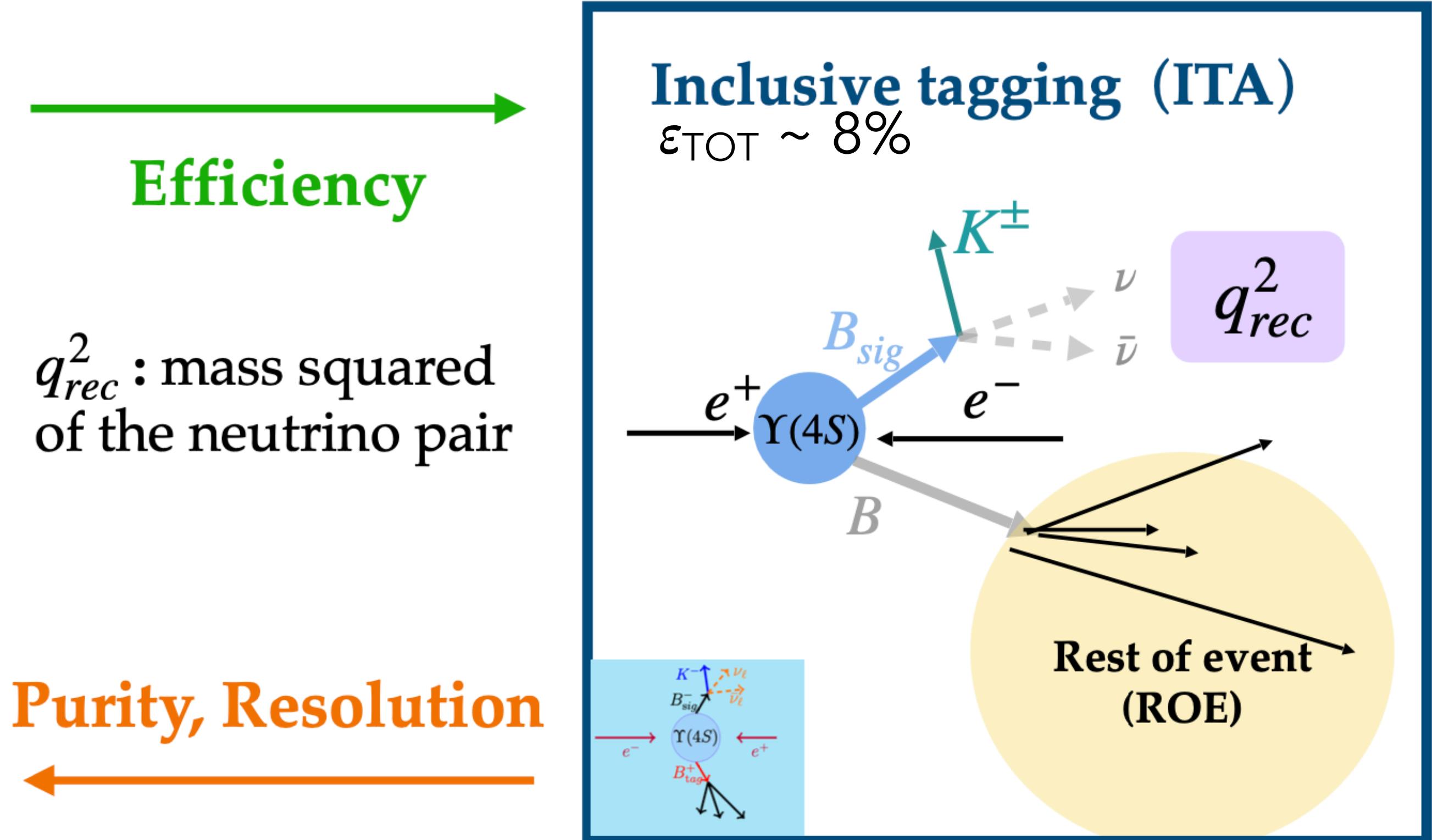
Unique to Belle II

# Belle II measurement analysis techniques

- Updated search for  $B^+ \rightarrow K^+ \nu \bar{\nu}$  with full pre-LS1 dataset ( $362 \text{ fb}^{-1}$ ) using two methods:



More conventional

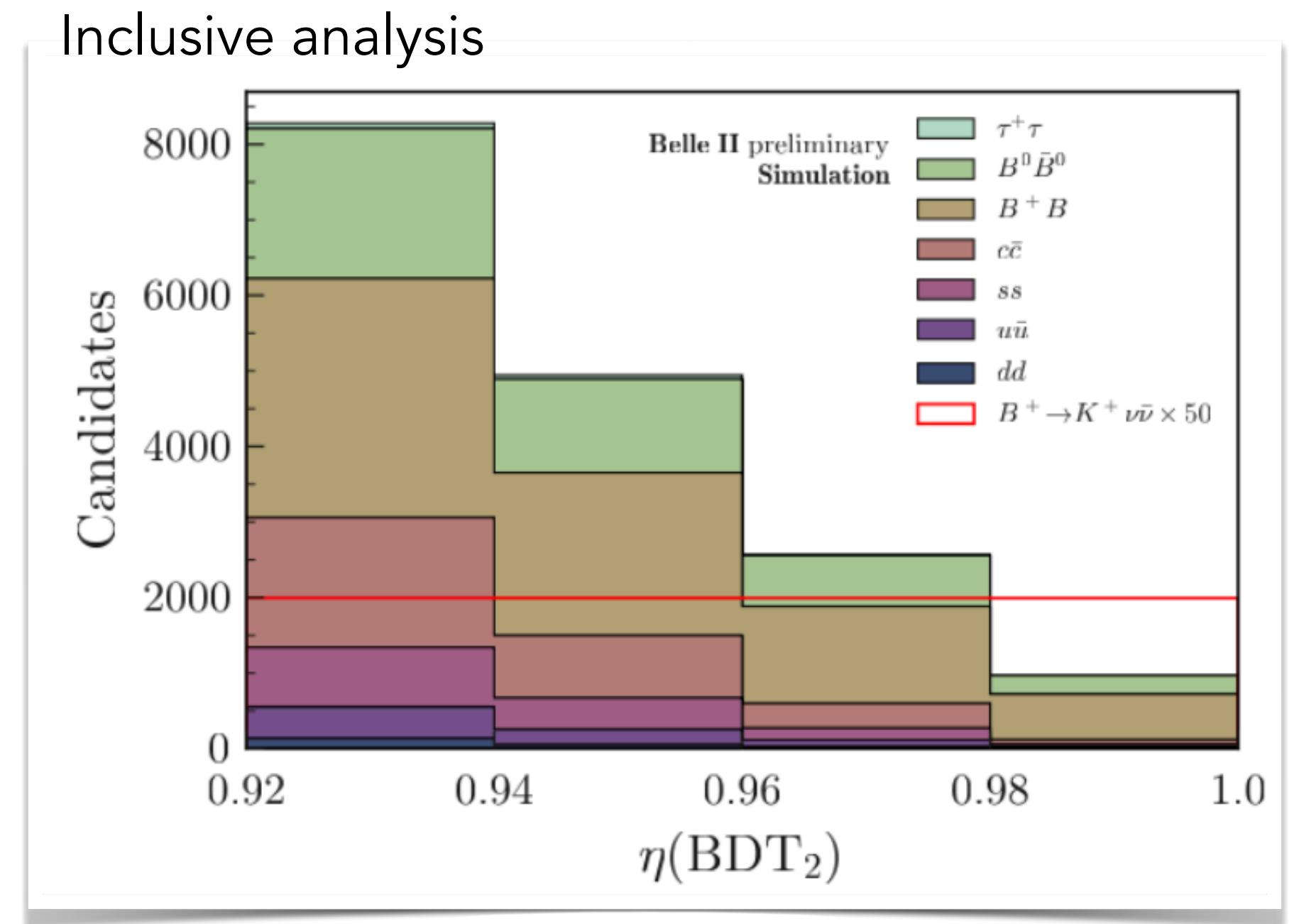


Most sensitive technique

Separate signal from background by exploiting signal kaon, event topology, rest-of-event/extraneous particles information in multivariate classifiers.

# Background suppression and signal extraction strategy

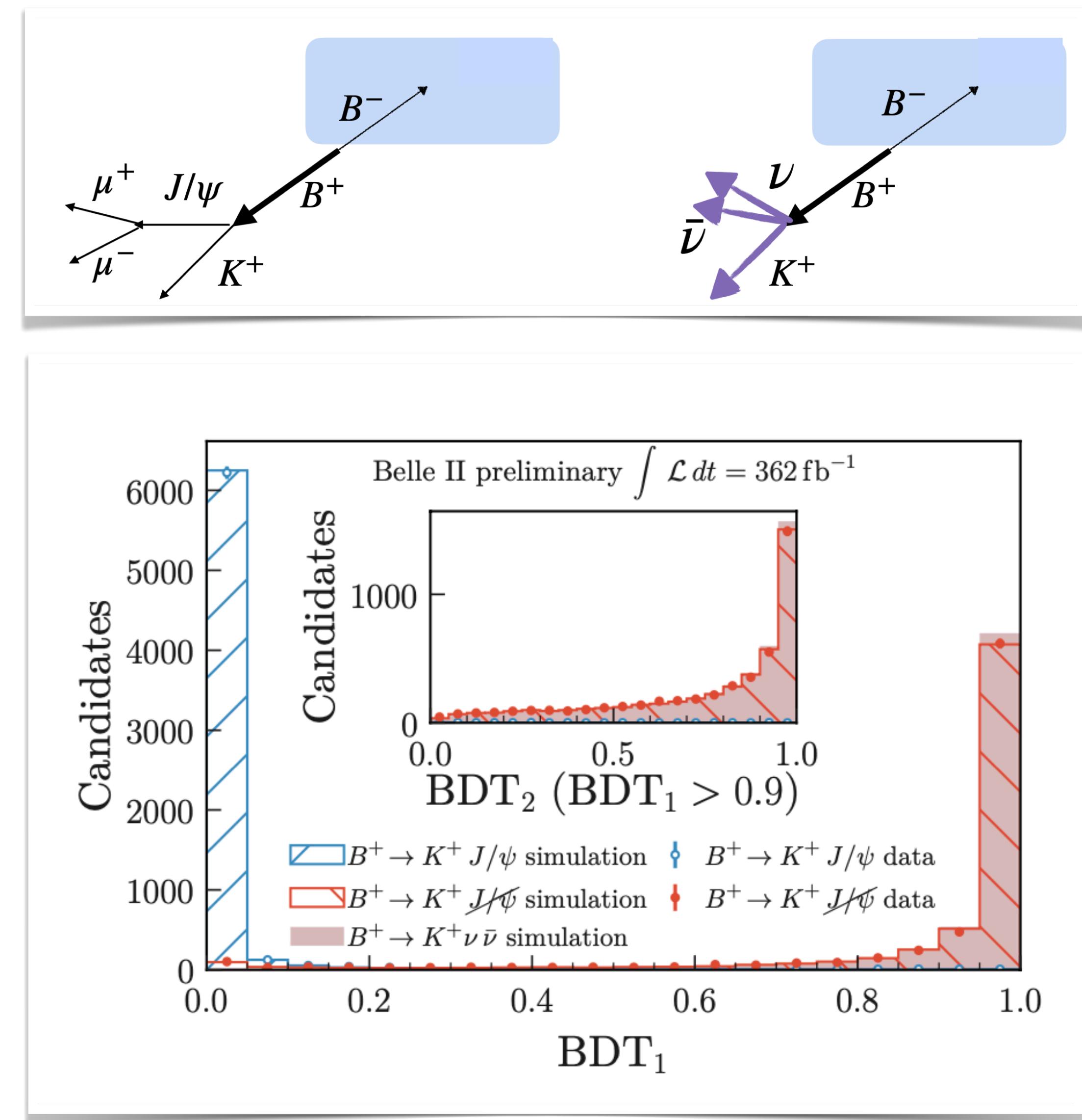
- Single BDT for background suppression in HTA
- 2 BDTs in cascade for ITA
  - BDT1 as basic filter; BDT2 as main tool for background suppression,  $\times 3$  sensitivity increase wrt BDT1
- Measure signal branching fraction  $\mu$  in units of SM rate =  $4.97 \times 10^{-6}$  (no  $B^+ \rightarrow \tau(K^+\nu)\bar{\nu}$ )
- Fit extraction variables:
  - ITA:  $q^2$  and classifier output
  - HTA: classifier output



Analysis tuned on simulation —> a variety of control samples used to correct for data/simulation discrepancy and validate analysis strategy (in the following validation shown for ITA, applicable to HTA)

# Signal efficiency Validation

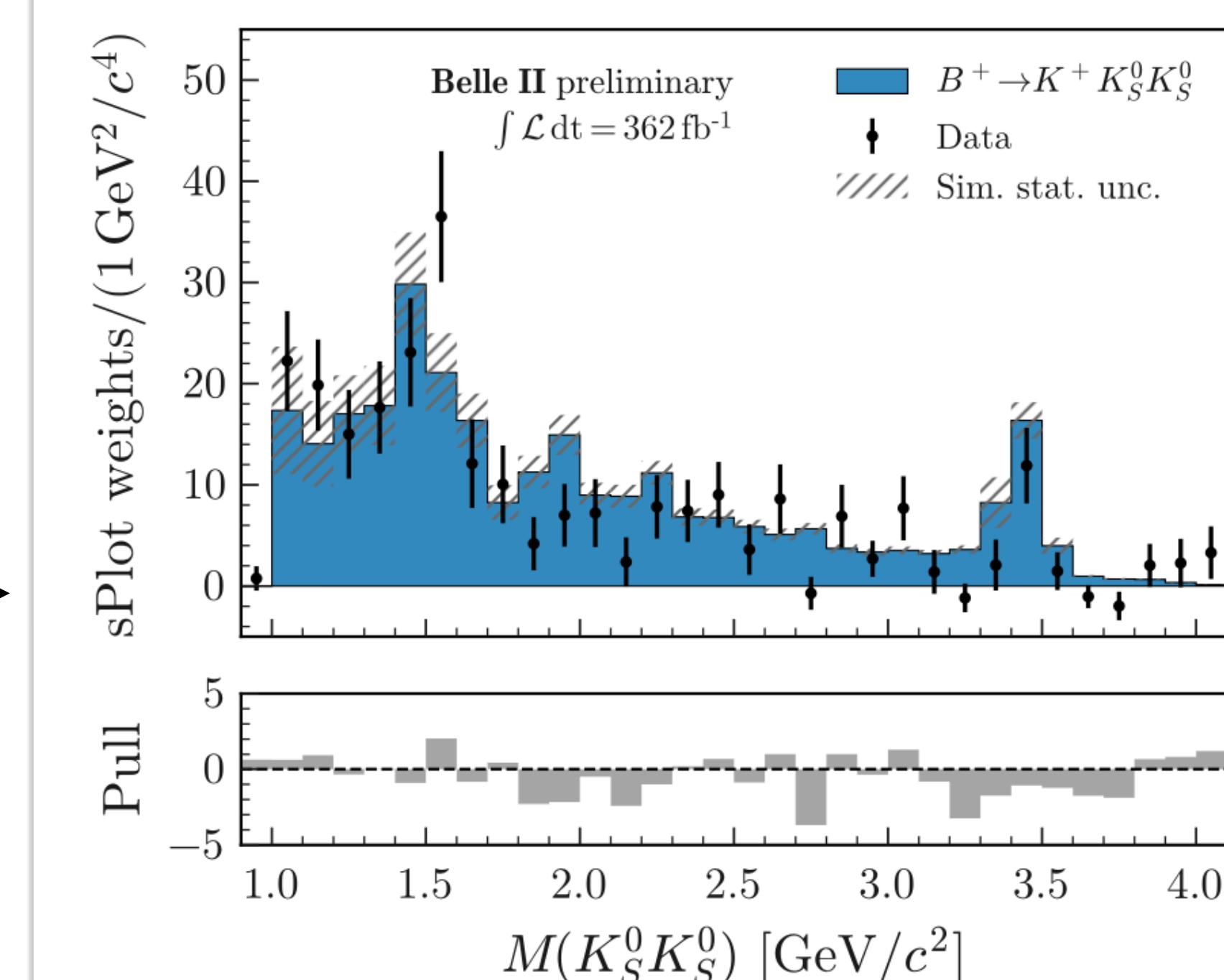
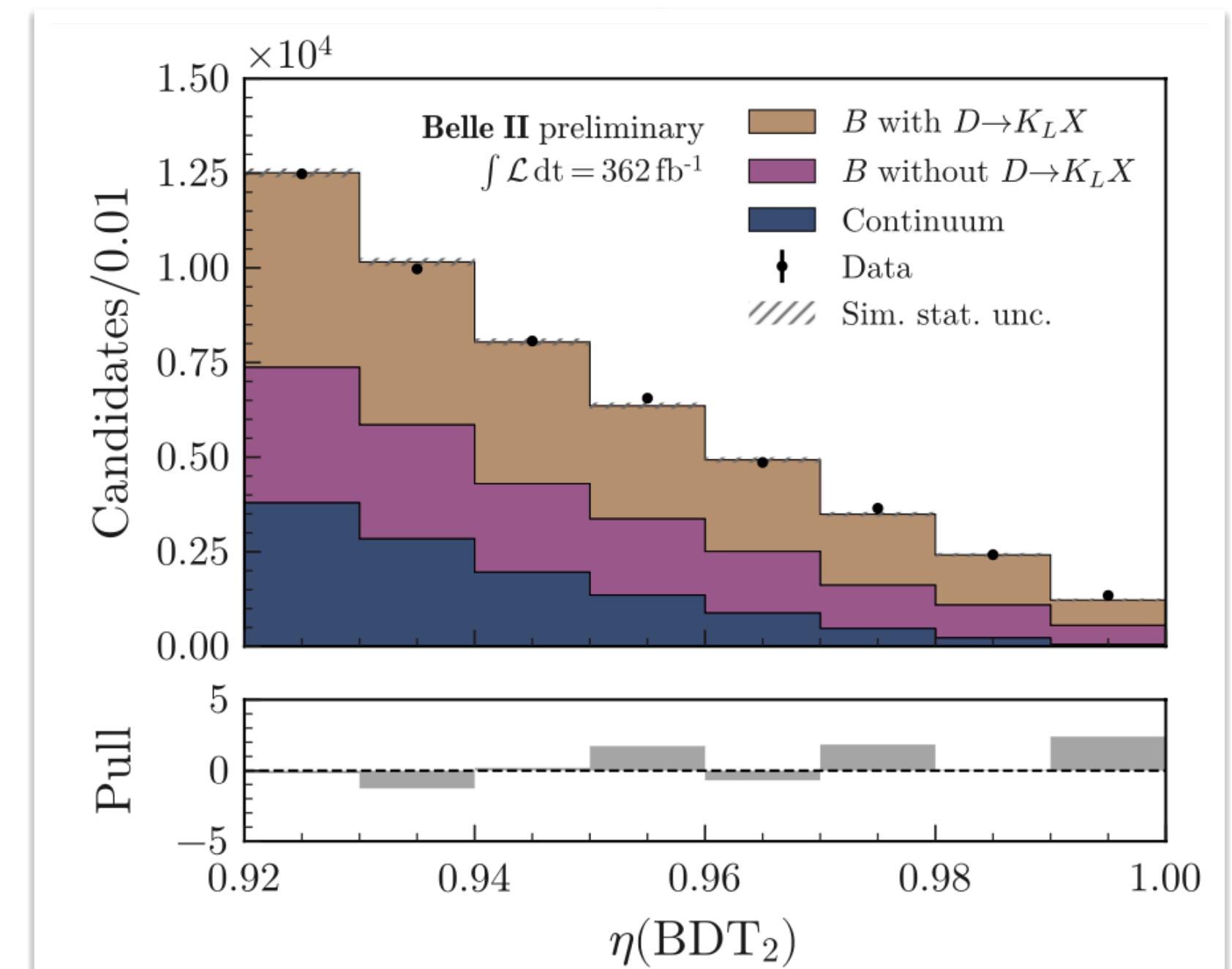
- Use  $B^+ \rightarrow J/\psi(\mu\mu)K^+$  control channel
  - remove muons from  $J/\psi$  and replace  $K^+$  kinematics from simulated signal events to match signal topology (both in data and MC)
- Data/MC efficiency ratio:  $1.00 \pm 0.03 \rightarrow$  good agreement
- 3% is included as signal shape systematic uncertainty



# Background validation

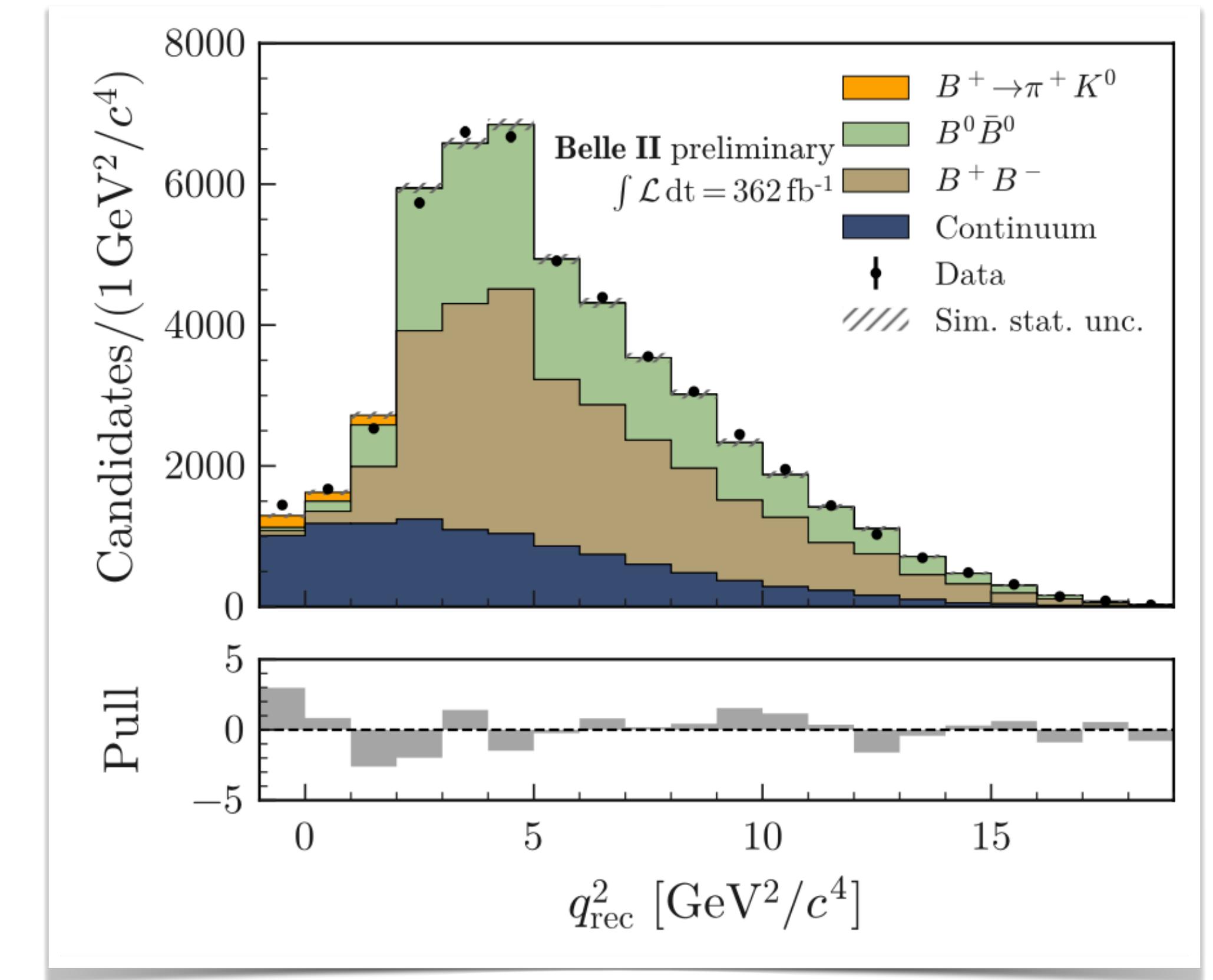
Some examples:

- off-resonance data to validate modeling of  $qq$  background
  - derive corrections of shape and normalization and related systematics
- Pion-enriched sideband to validate modeling of  $B \rightarrow X_c (\rightarrow K_L + X)$ :
  - +30% normalization scale factor suggested by data
  - $B^+ \rightarrow K^+ K_S^0 K_S^0$  used to model  $B^+ \rightarrow K^+ K_L K_L$  (signal-like, with BF one order of magnitude larger than SM signal rate)
    - generous systematic uncertainty assigned for potential isospin-breaking effects and other assumptions



# Closure test: measuring a known and rare mode

- Minimally adapt ITA  $B^+ \rightarrow K^+ \nu \bar{\nu}$  to measure  $\text{BF}(B^+ \rightarrow \pi^+ K^0)$ 
  - similar branching fraction to SM  $B^+ \rightarrow K^+ \nu \bar{\nu}$
- Measured  $\text{BF}(B^+ \rightarrow \pi^+ K^0) = (2.5 \pm 0.5) \times 10^{-5}$  consistent with PDG [  $(2.38 \pm 0.08) \times 10^{-5}$  ]



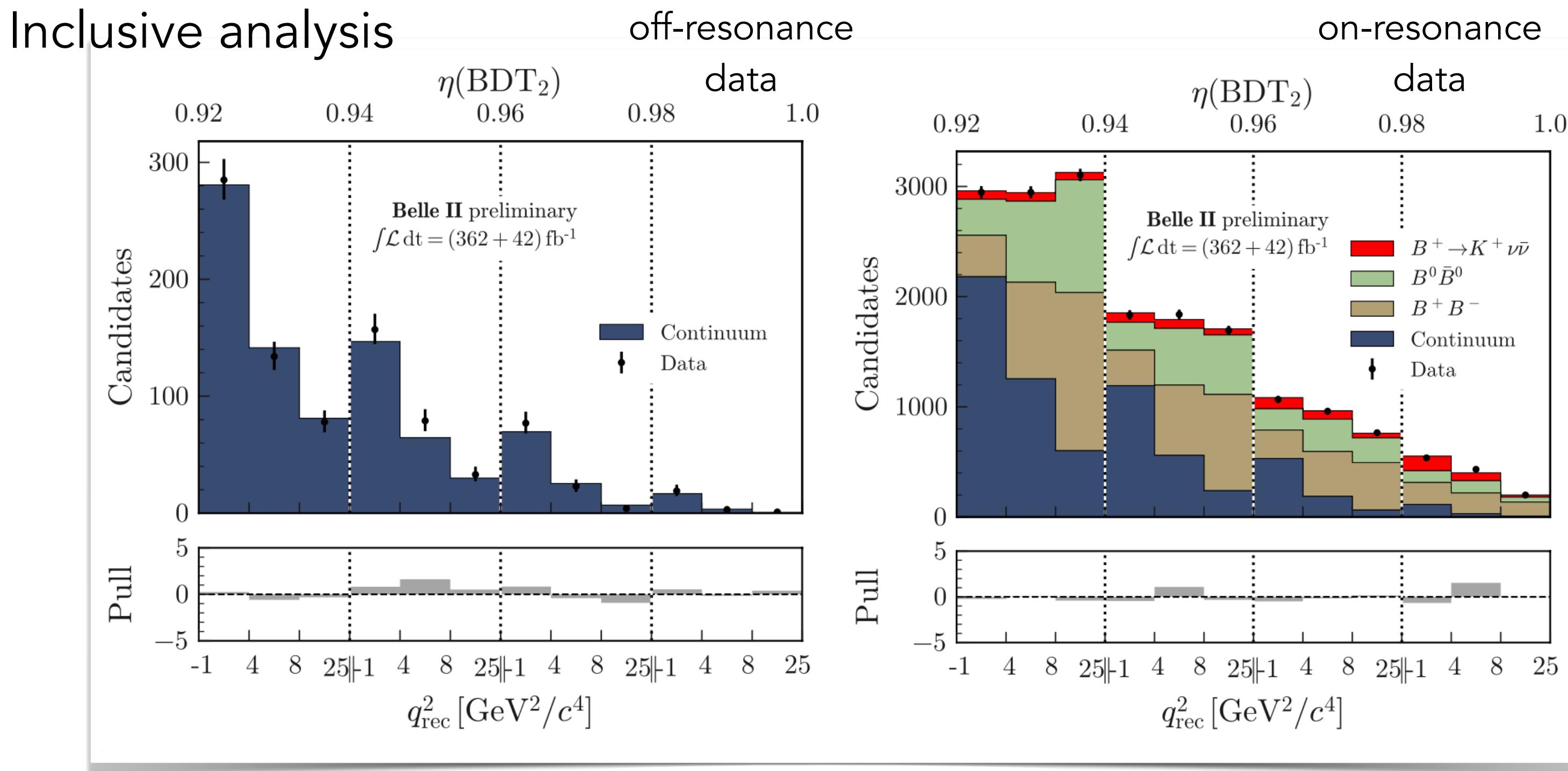
# Systematic uncertainties

- Dominant sources of systematic uncertainties for ITA (and impact on signal strenght error) :  
(spoiler: statistical uncertainty =1.1)
  - 50% uncertainty on the  $B\bar{B}$  background normalization motivated by observed discrepancies (0.88)
  - Limited size of simulation sample for the fit model (0.52)
  - 20% uncertainty on the  $B^+ \rightarrow K^+ K_L K_L$  decay rate given it is unmeasured (0.48)
  - Uncertainties on the modeling of  $B^+ \rightarrow D^{(\ast\ast)} \ell \nu$  decays (0.42)
- For the HTA, use similar set of systematic uncertainties. Dominant are background normalization, simulation statistics, and systematic on mismodeling of extra-photon multiplicity.

# Results

- ITA:  $\mu = 5.6 \pm 1.1(\text{stat})^{+1.1}_{-0.9}(\text{syst})$
- HTA:  $\mu = 2.2 \pm 2.3(\text{stat})^{+1.6}_{-0.7}(\text{syst})$

consistent at  $1.2\sigma$

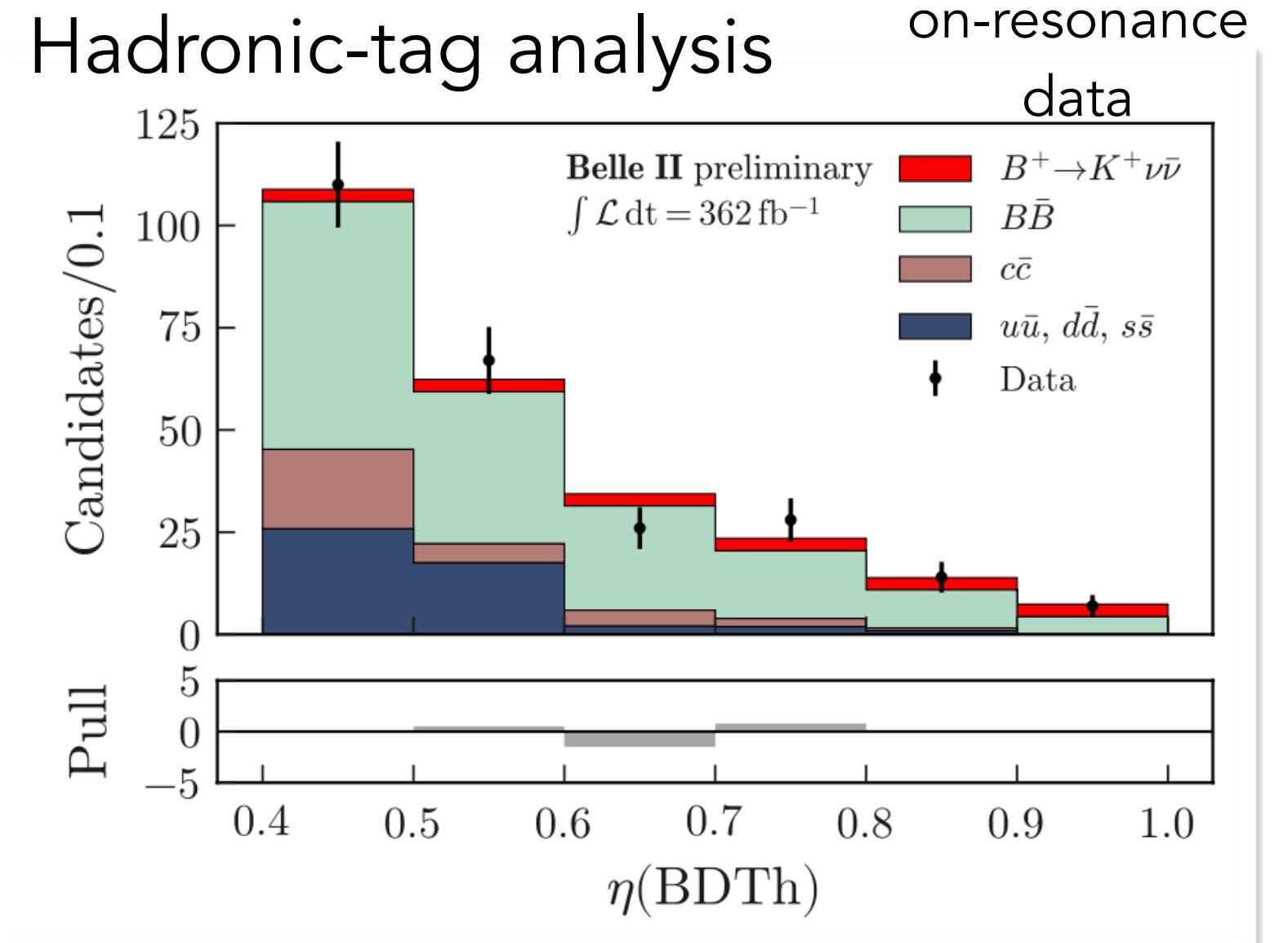


- Combination:  $\mu = 4.7 \pm 1.0(\text{stat}) \pm 0.9(\text{syst})$

$$\mathcal{B}(B^+ \rightarrow K^+ \nu \bar{\nu}) = [2.4 \pm 0.5(\text{stat})^{+0.5}_{-0.4}(\text{syst})] \times 10^{-5}$$

- significance wrt null hypothesis:  $3.6\sigma$
- significance wrt SM:  $2.8\sigma$

*First evidence of  $B^+ \rightarrow K^+ \nu \bar{\nu}$*



# Conclusions

- B decays with missing energy in the final state: optimal ground for NP searches in the flavour sector
- Belle II is an ideal playground for the study of B final states with missing energy
- Several test of LFU on  $189 \text{ fb}^{-1}$ :
  - first Belle II measurement of  $R(D^*)$
  - unique measurement of  $R(X_{\tau/\ell})$ , first of a kind at B-factories; most precise measurement of  $R(X_{e/\mu})$
- First evidence for  $B^+ \rightarrow K^+ \nu \bar{\nu}$ ,  $2.8 \sigma$  above SM prediction.

# *Extra-slides*