

LFV τ searches at the Belle and Belle II experiments

[Alberto Martini - DESY \(Deutsches Elektronen-Synchrotron\)](#)

on behalf of the Belle II collaboration

Tau2023 conference - 5 December 2023

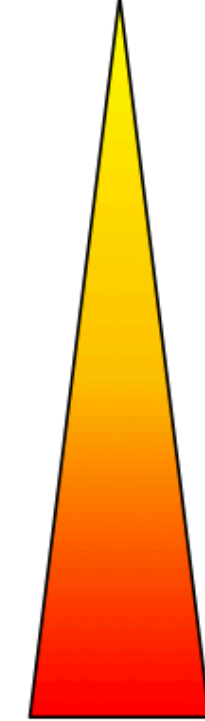


τ LFV channels

Search various decay modes:

- $\tau \rightarrow \ell\ell\ell$
- $\tau \rightarrow \ell K_S, \Lambda h$
- $\tau \rightarrow \ell V_0 (\rightarrow hh')$
- $\tau \rightarrow \ell P^0 (\rightarrow \gamma\gamma)$
- $\tau \rightarrow \ell hh'$
- $\tau \rightarrow \ell\gamma$

Simple



Hard

Difficulty of
background
reduction

Good determination of τ mass and energy + few SM background sources

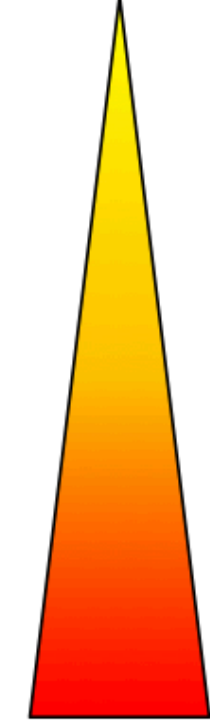
Irreducible physics backgrounds + bad τ mass and energy determination

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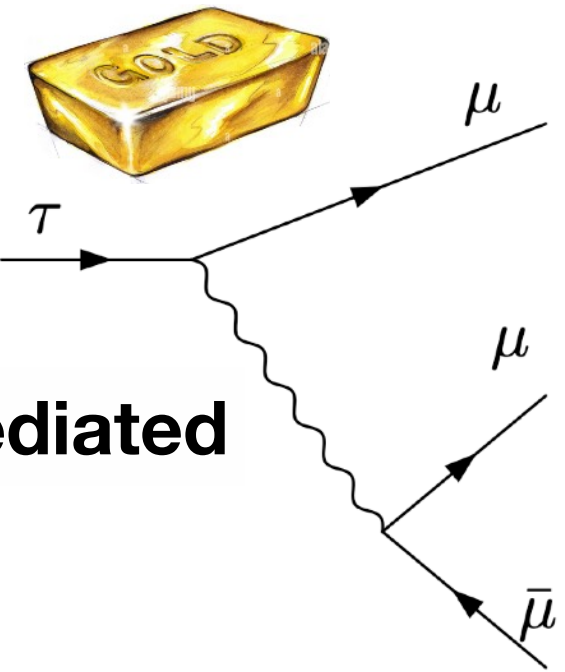
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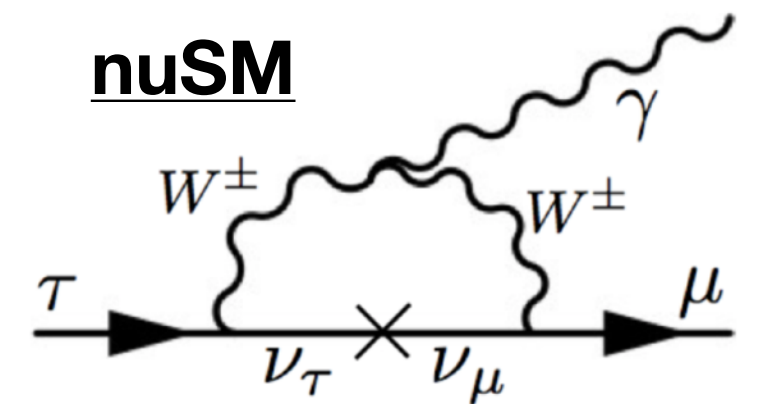
Irreducible physics backgrounds + bad τ mass and energy determination

Golden channel: $\tau \rightarrow \mu\mu\mu$
experimentally the most accessible



Z' mediated

Golden channel: $\tau \rightarrow \mu\gamma$
as the Highest non-SM BF contribution



nuSM

Ref: <https://arxiv.org/pdf/1301.4652.pdf>

Physics models	$B(\tau \rightarrow \mu\gamma)$	$B(\tau \rightarrow \mu\mu\mu)$
SM + ν mixing	$10^{-49} \sim 10^{-52}$	$10^{-53} \sim 10^{-56}$ [1]
SM+heavy Majorana ν_R	10^{-9}	10^{-10}
Non-universal Z'	10^{-9}	10^{-8}
SUSY SO(10)	10^{-8}	10^{-10}
mSUGRA + seesaw	10^{-7}	10^{-9}
SUSY Higgs	10^{-10}	10^{-7}

Ref: <https://arxiv.org/abs/hep-ph/0702136>

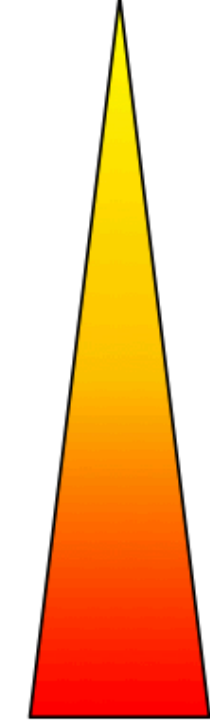
An observation would be a clear signature of NP!

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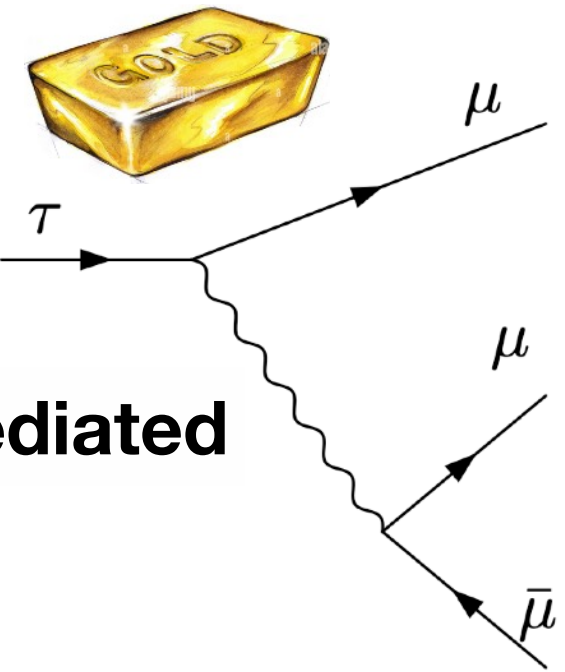
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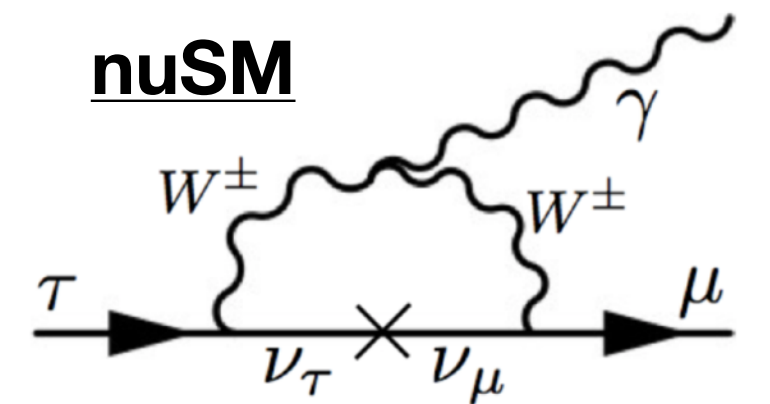
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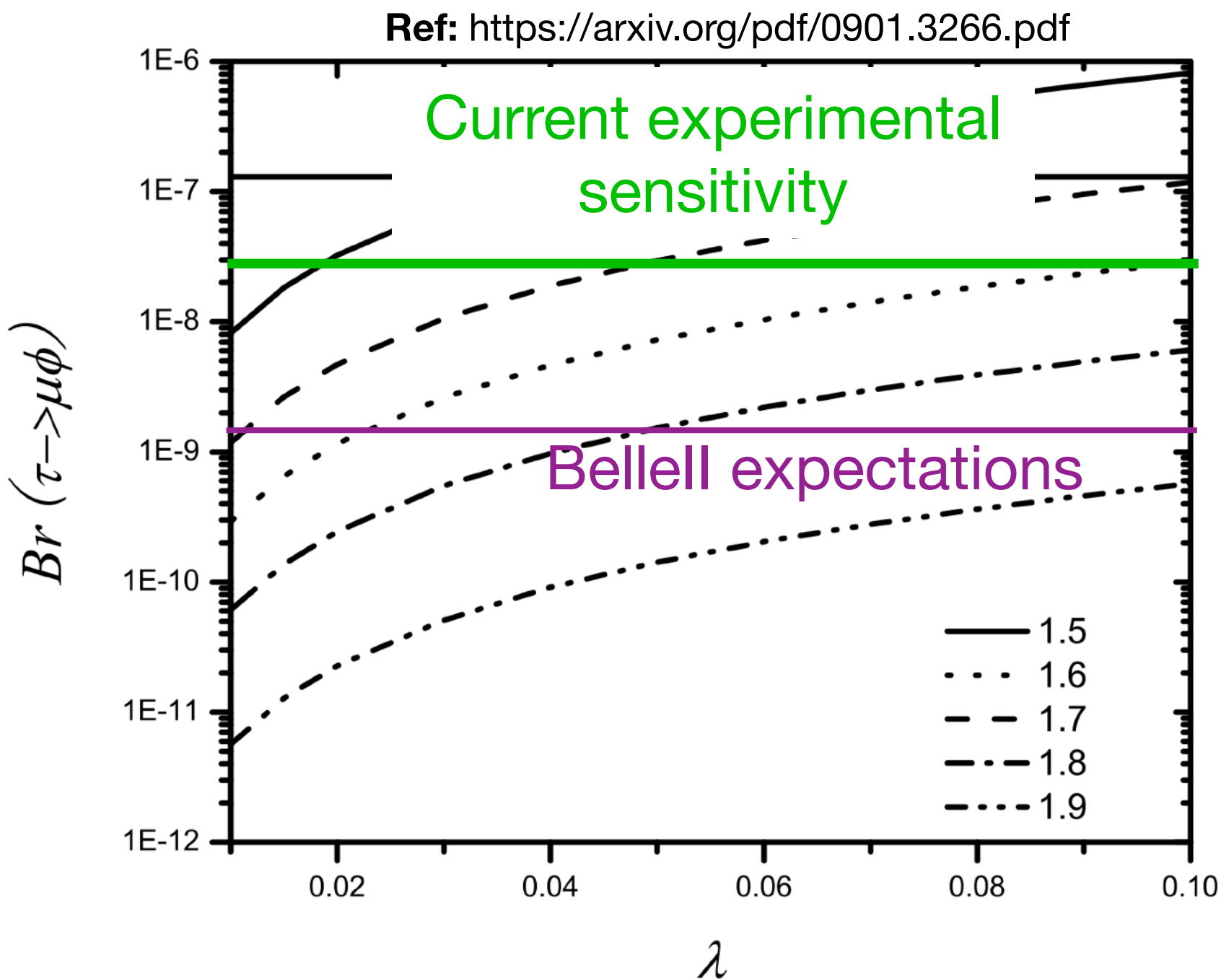
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Analysis motivations: $\tau \rightarrow IV^0$

Decay channel forbidden in the SM but allowed in several new physics scenarios

Unparticle model

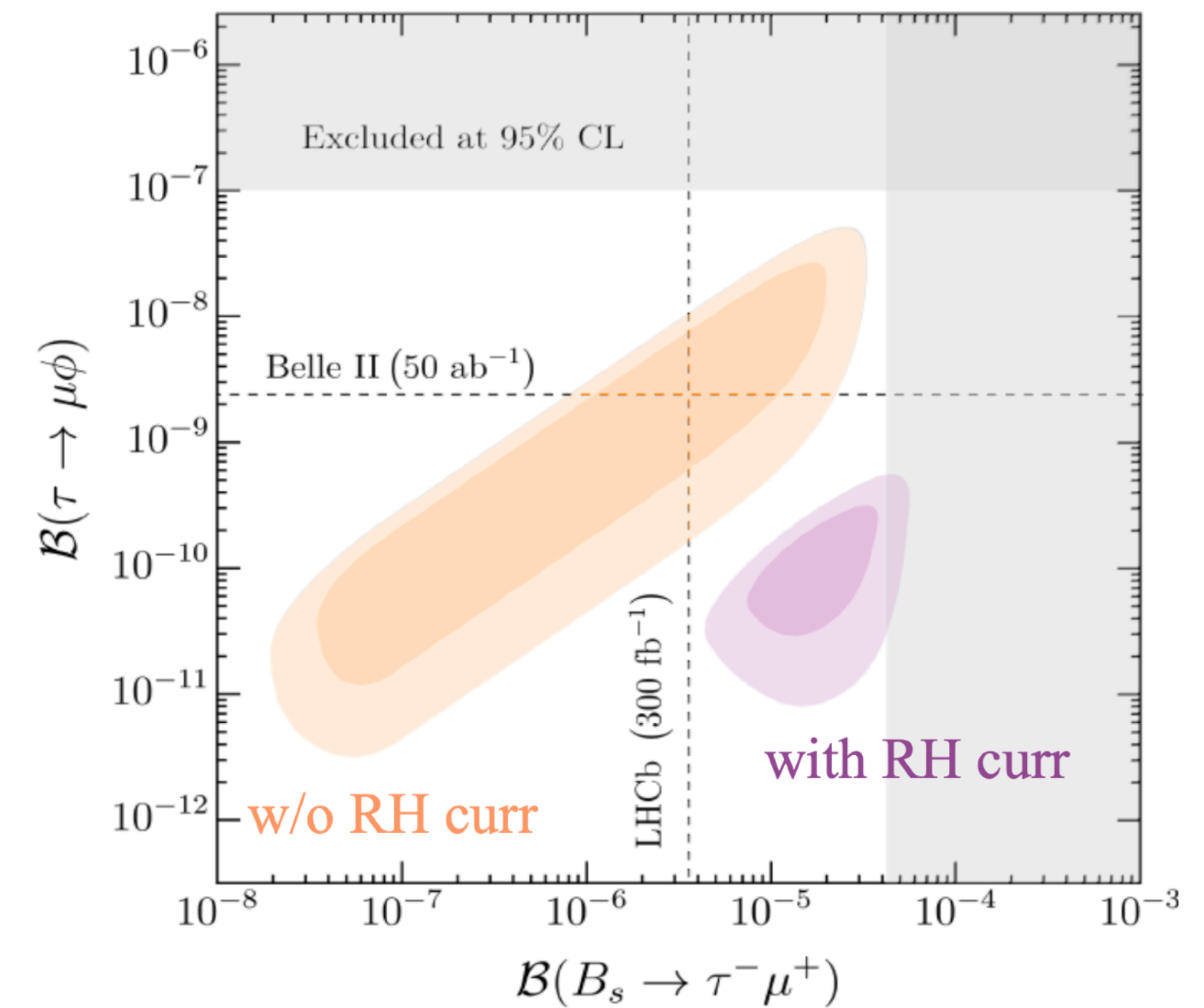
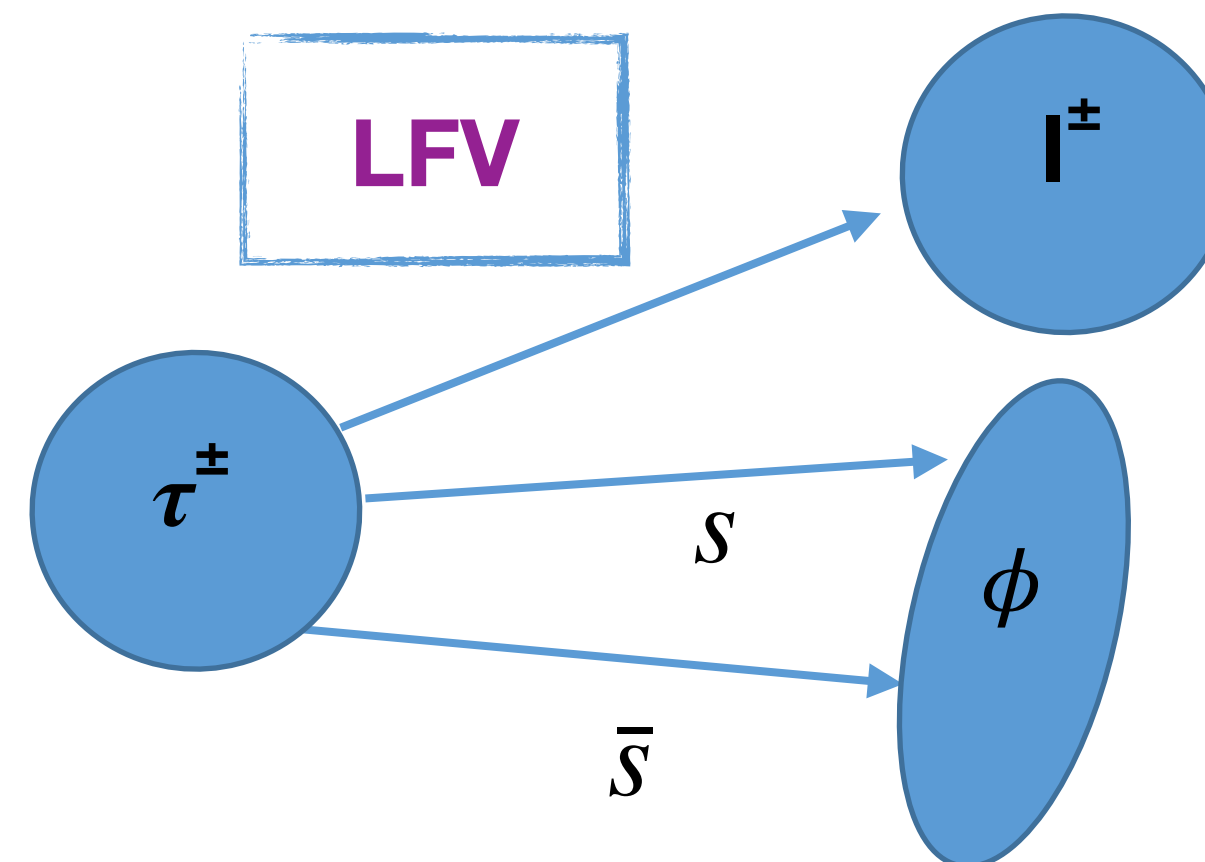
Ref: <https://arxiv.org/pdf/hep-ph/0703260.pdf>



One of the golden channel for this model

Leptoquark model

Ref: <https://arxiv.org/pdf/2103.16558.pdf>

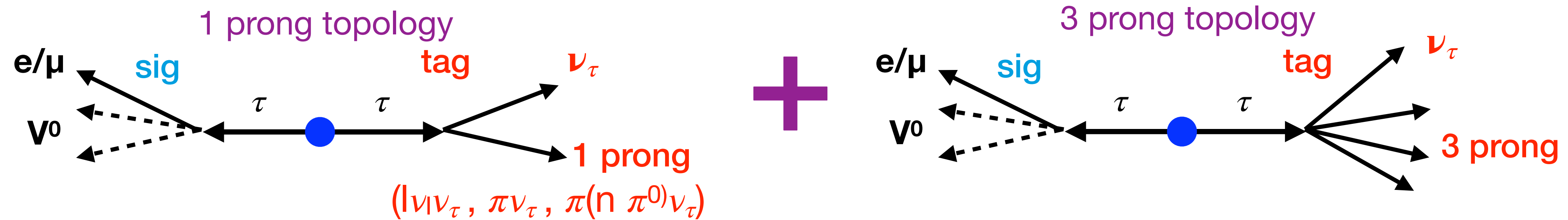
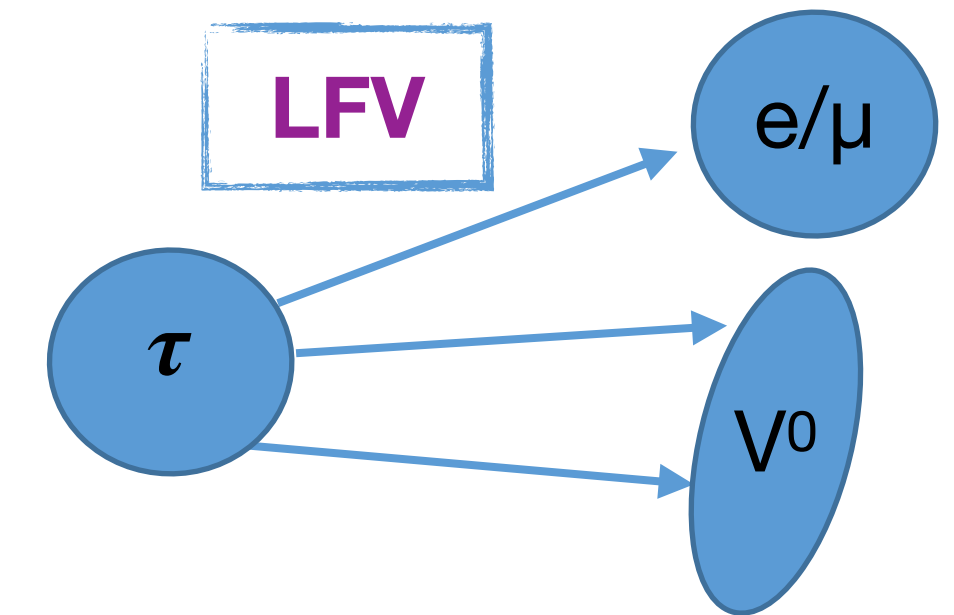


Nice interplay between B and τ physics!

Analysis steps for $\tau \rightarrow lV^0$ @Belle

Belle analysis update on $V^0 = (\rho^0, \phi, \omega, K^*)$

Analysis update including 3-prong decay for τ_{tag} with $\sim 980 \text{ fb}^{-1}$



Analysis steps:

- Event selection and background suppression done by BDT
- Prepare BDT classifier for each LFV mode
 - Training: 11 input variables for ω , 9 input variables for others

Dominant syst. from tracking efficiency and particle identification



Analysis results for $\tau \rightarrow IV^0$ @Belle

No significant excess found \rightarrow set ULs at 90% CL by counting approach

Mode	ε (%)	N_{BG}	σ_{syst} (%)	N_{obs}	$\mathcal{B}_{\text{obs}} (\times 10^{-8})$
$\tau^\pm \rightarrow \mu^\pm \rho^0$	7.78	$0.95 \pm 0.20(\text{stat.}) \pm 0.15(\text{syst.})$	4.6	0	< 1.7
$\tau^\pm \rightarrow e^\pm \rho^0$	8.49	$0.80 \pm 0.27(\text{stat.}) \pm 0.04(\text{syst.})$	4.4	1	< 2.2
$\tau^\pm \rightarrow \mu^\pm \phi$	5.59	$0.47 \pm 0.15(\text{stat.}) \pm 0.05(\text{syst.})$	4.8	0	< 2.3
$\tau^\pm \rightarrow e^\pm \phi$	6.45	$0.38 \pm 0.21(\text{stat.}) \pm 0.00(\text{syst.})$	4.5	0	< 2.0
$\tau^\pm \rightarrow \mu^\pm \omega$	3.27	$0.32 \pm 0.23(\text{stat.}) \pm 0.19(\text{syst.})$	4.8	0	< 3.9
$\tau^\pm \rightarrow e^\pm \omega$	5.41	$0.74 \pm 0.43(\text{stat.}) \pm 0.06(\text{syst.})$	4.5	0	< 2.4
$\tau^\pm \rightarrow \mu^\pm K^{*0}$	4.52	$0.84 \pm 0.25(\text{stat.}) \pm 0.31(\text{syst.})$	4.3	0	< 2.9
$\tau^\pm \rightarrow e^\pm K^{*0}$	6.94	$0.54 \pm 0.21(\text{stat.}) \pm 0.16(\text{syst.})$	4.1	0	< 1.9
$\tau^\pm \rightarrow \mu^\pm \bar{K}^{*0}$	4.58	$0.58 \pm 0.17(\text{stat.}) \pm 0.12(\text{syst.})$	4.3	1	< 4.3
$\tau^\pm \rightarrow e^\pm \bar{K}^{*0}$	7.45	$0.25 \pm 0.11(\text{stat.}) \pm 0.02(\text{syst.})$	4.1	0	< 1.7

$$B(\tau \rightarrow eV^0) < (1.7 - 2.4) \times 10^{-8}$$

$$B(\tau \rightarrow \mu V^0) < (1.7 - 4.3) \times 10^{-8}$$

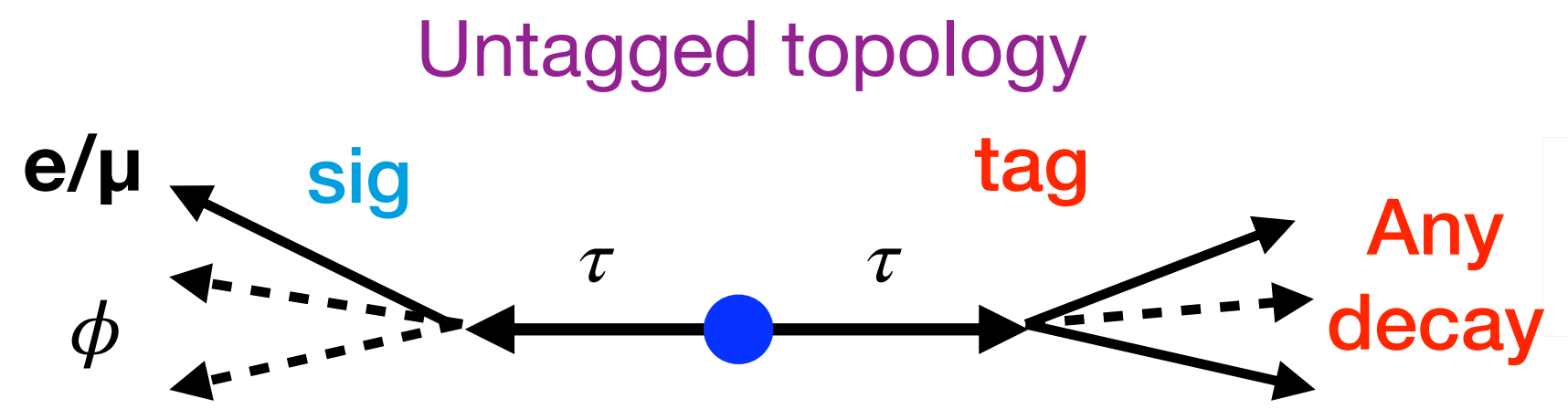
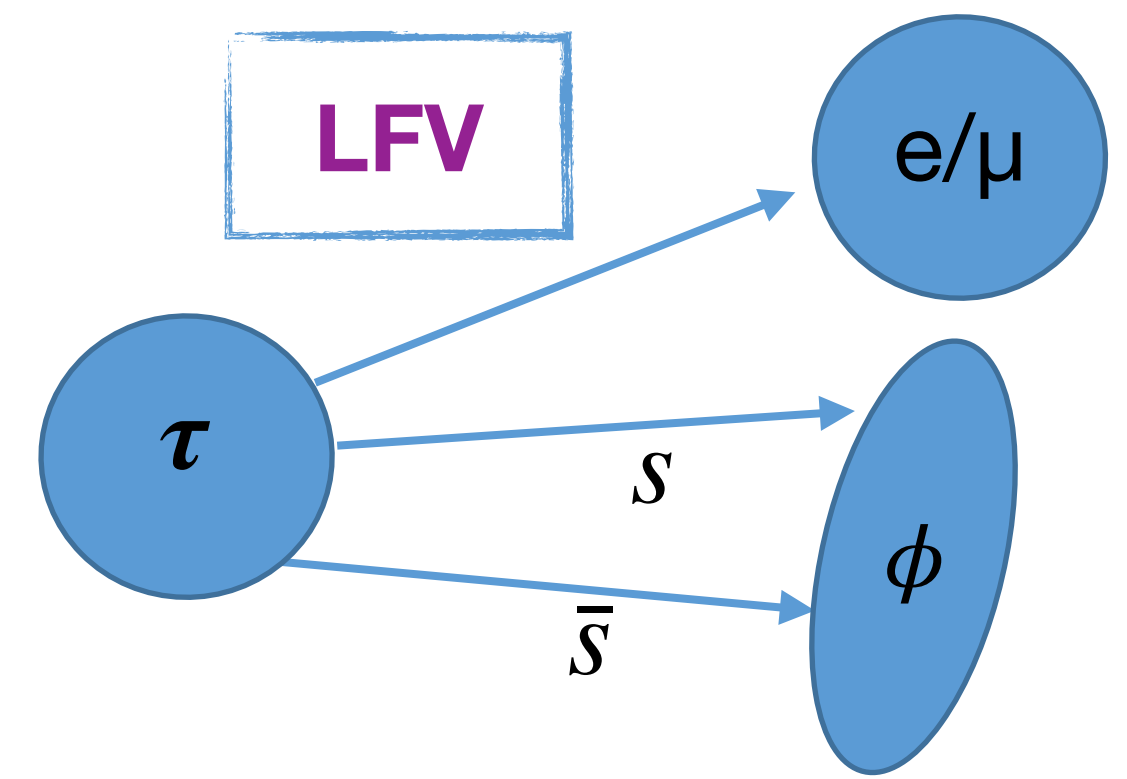
World best result!
~30% improvement wrt
previous results!



Analysis steps for $\tau \rightarrow l\phi$ @Belle II

Belle II analysis on $V^0 = \phi$

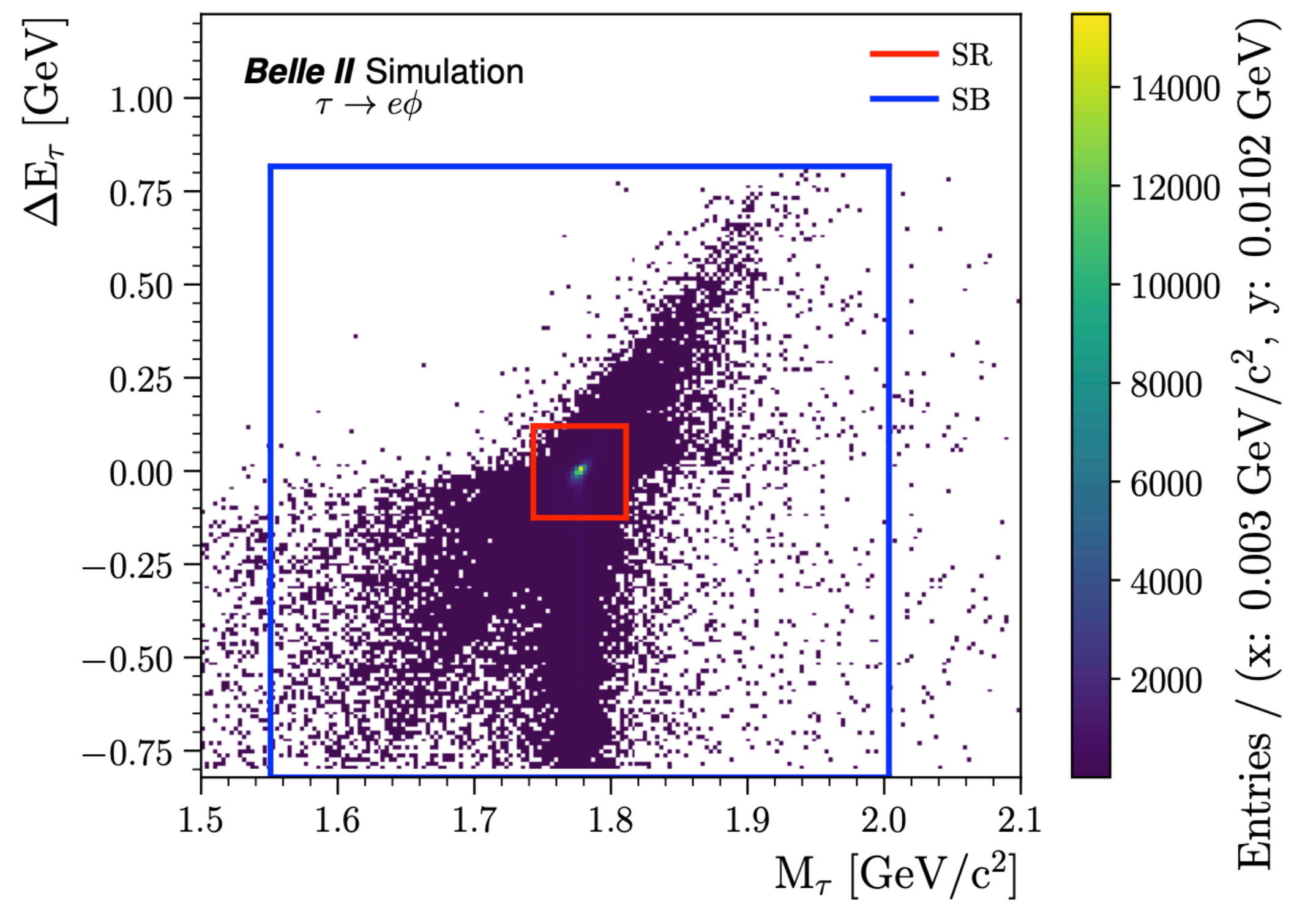
ref: <https://arxiv.org/pdf/2305.04759.pdf>



First application of untagged approach with 190 fb^{-1}

Analysis steps

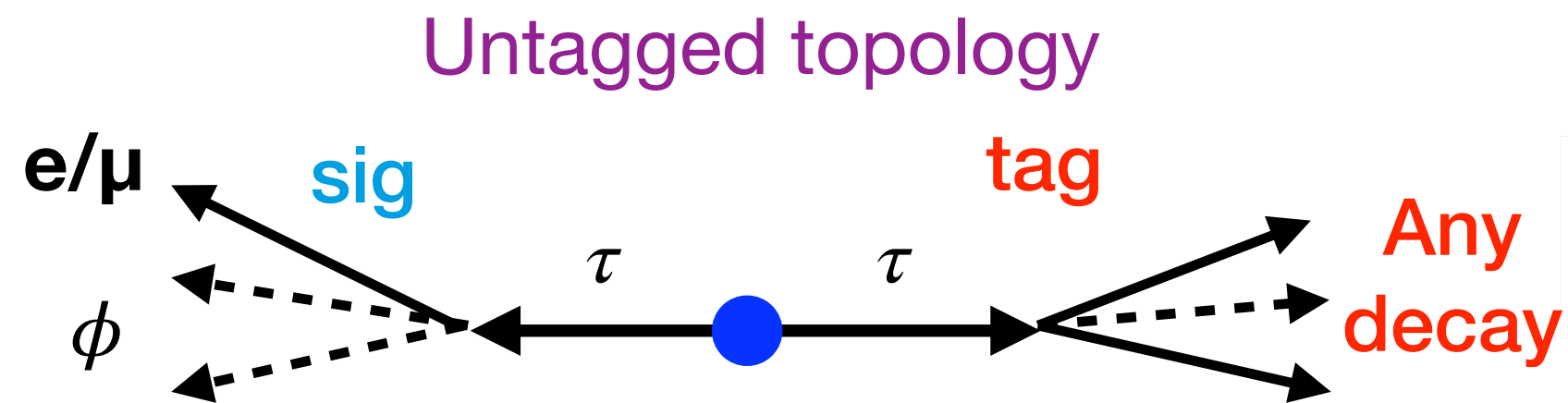
- Definition of a SR via the $M_{l\phi}$ and $\Delta E_{l\phi} = E_{l\phi}^* - \sqrt{s}/2$
- Event selection and background rejection using via BDT
- Background events are evaluated from data in the sideband
- Perform Poisson counting experiment approach in SR



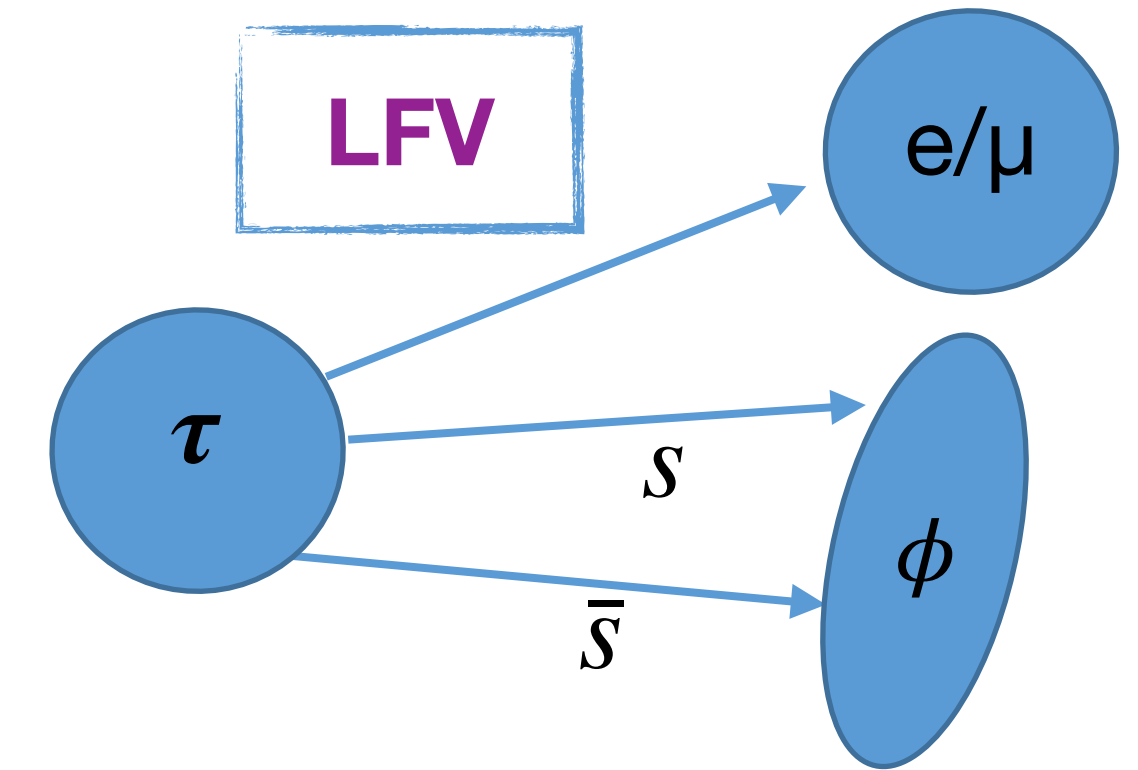
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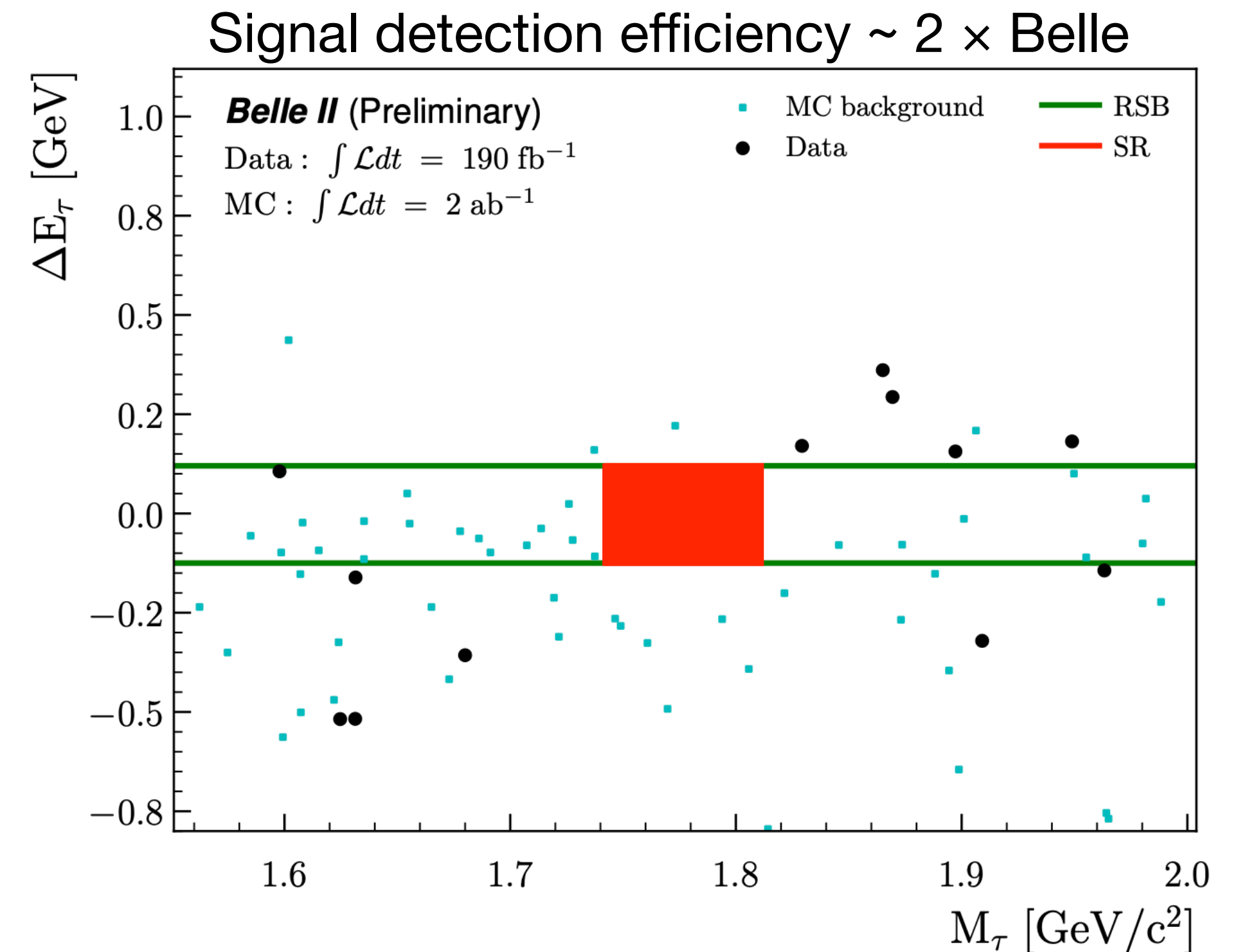


First application of untagged approach with 190 fb⁻¹



Analysis steps

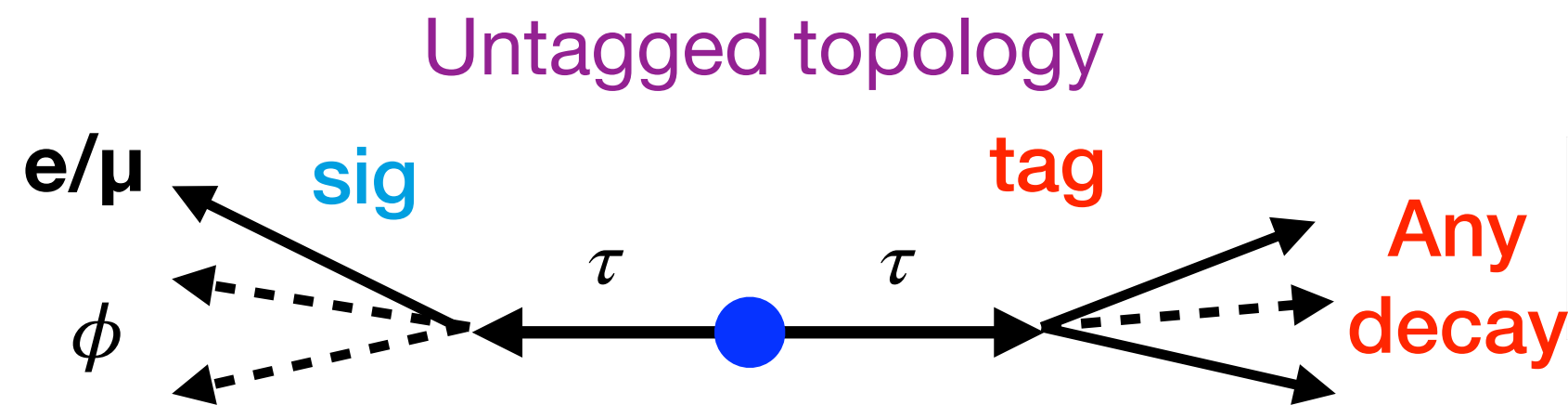
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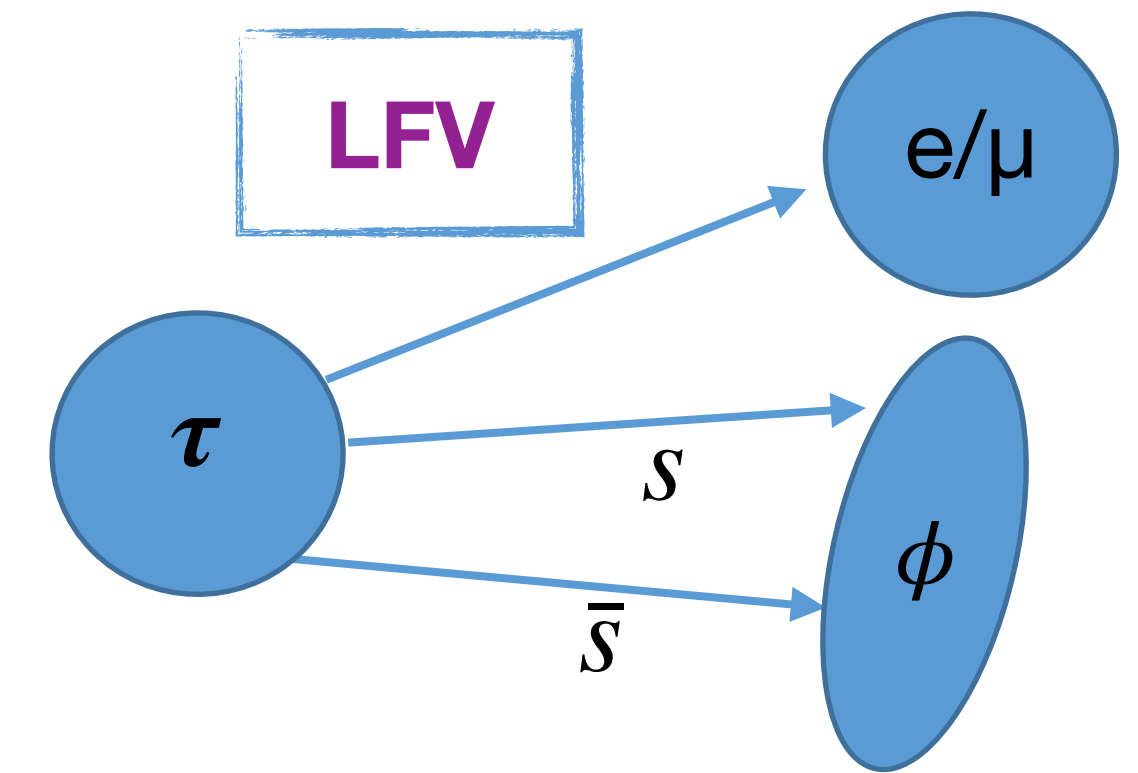
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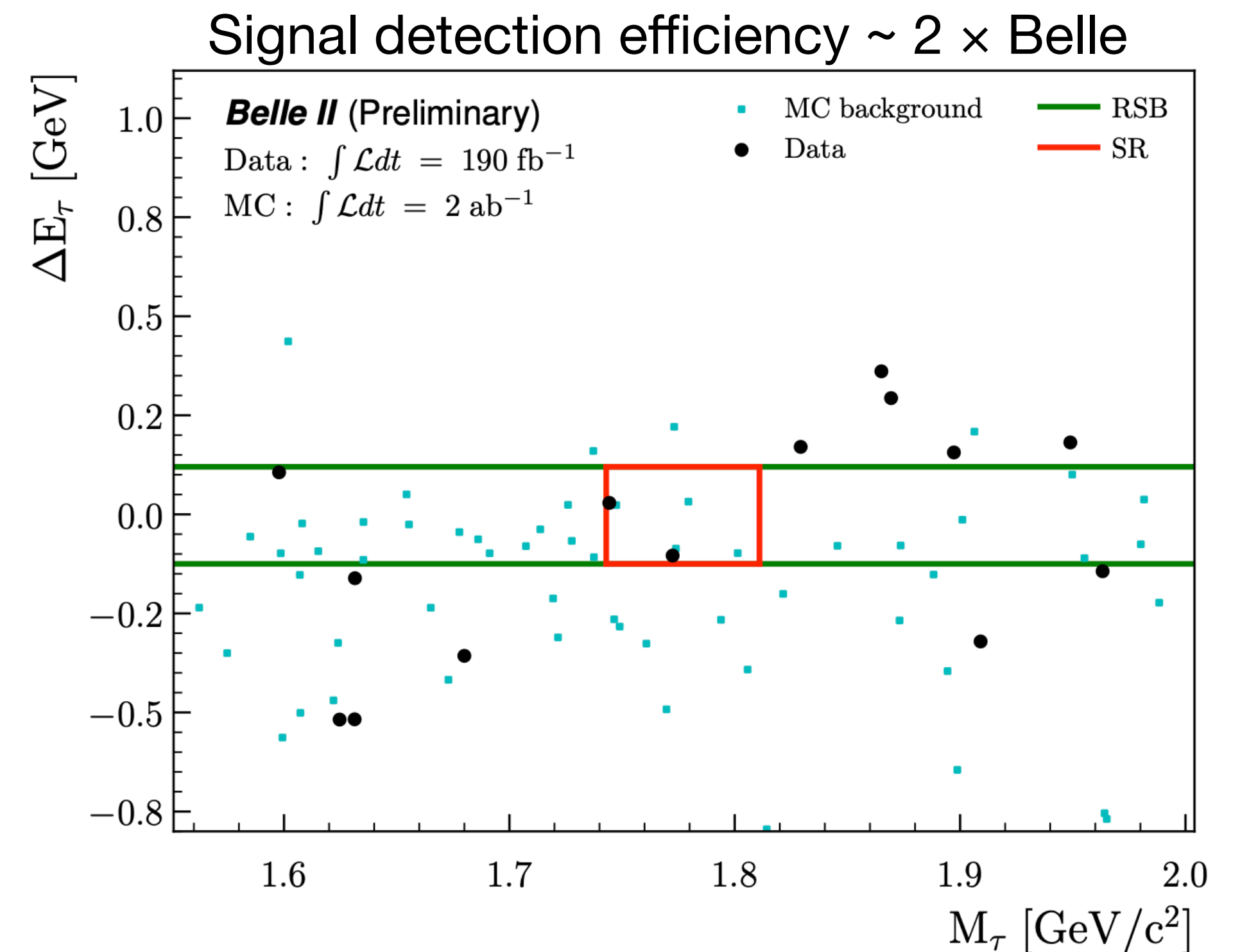


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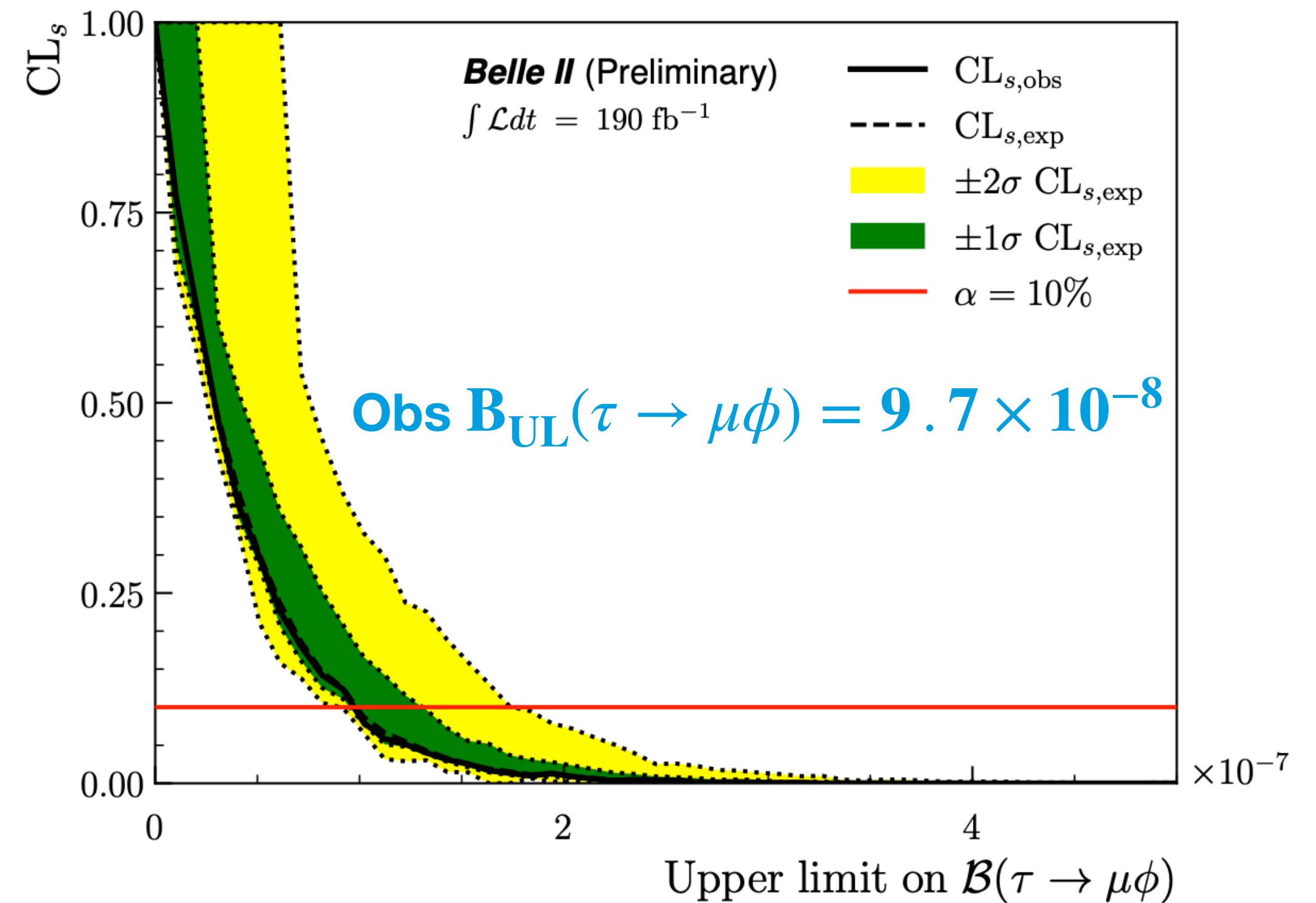
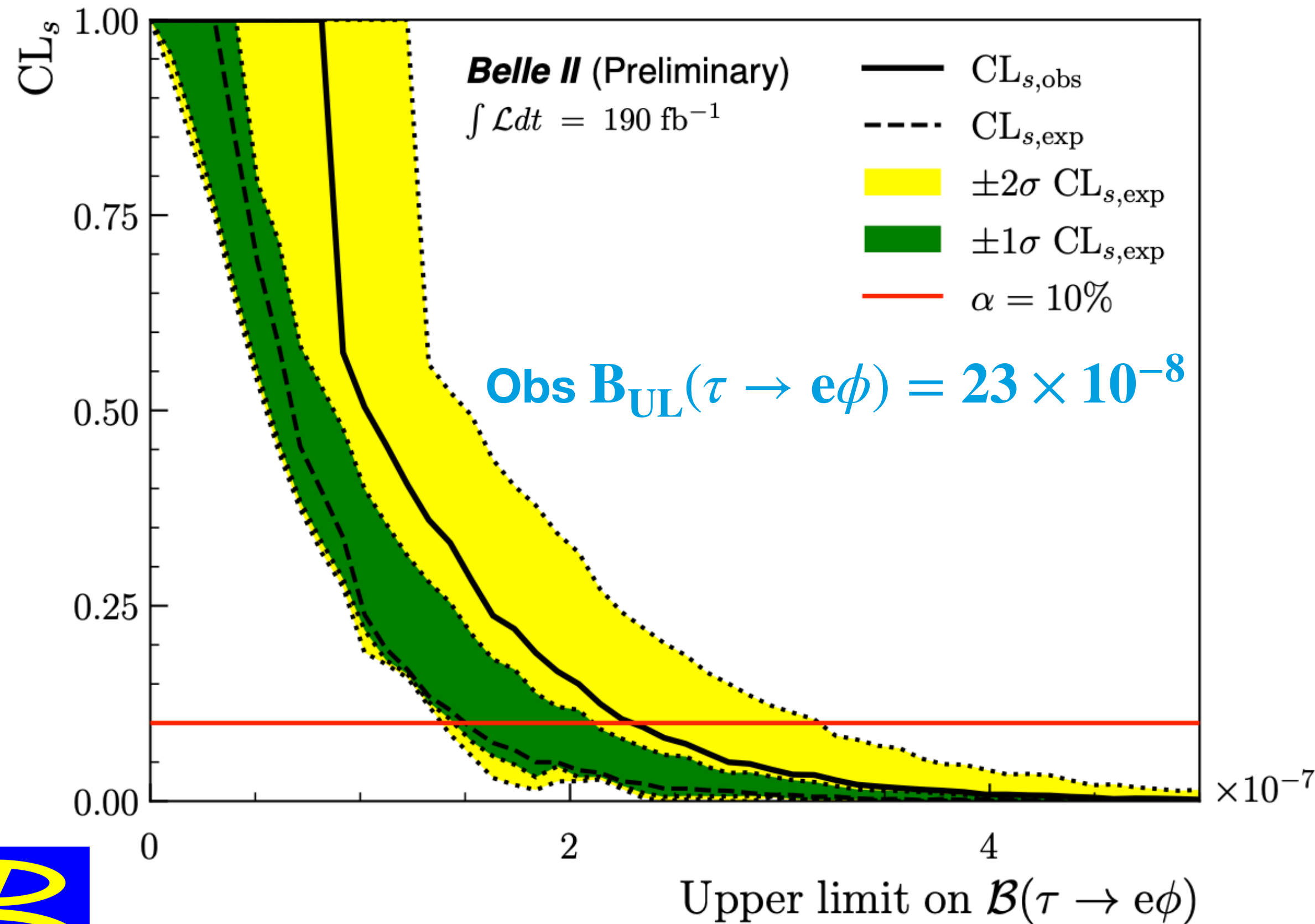
Results for $\tau \rightarrow l\phi$ @Belle II

Belle II will be competitive with more data!

No signal evidence \rightarrow set ULs at 90% CL

$$\mathcal{B}_{\text{UL}}(\tau \rightarrow l\phi) = \frac{s}{L \times 2\sigma_{\tau\tau} \times \varepsilon_{l\phi}}$$

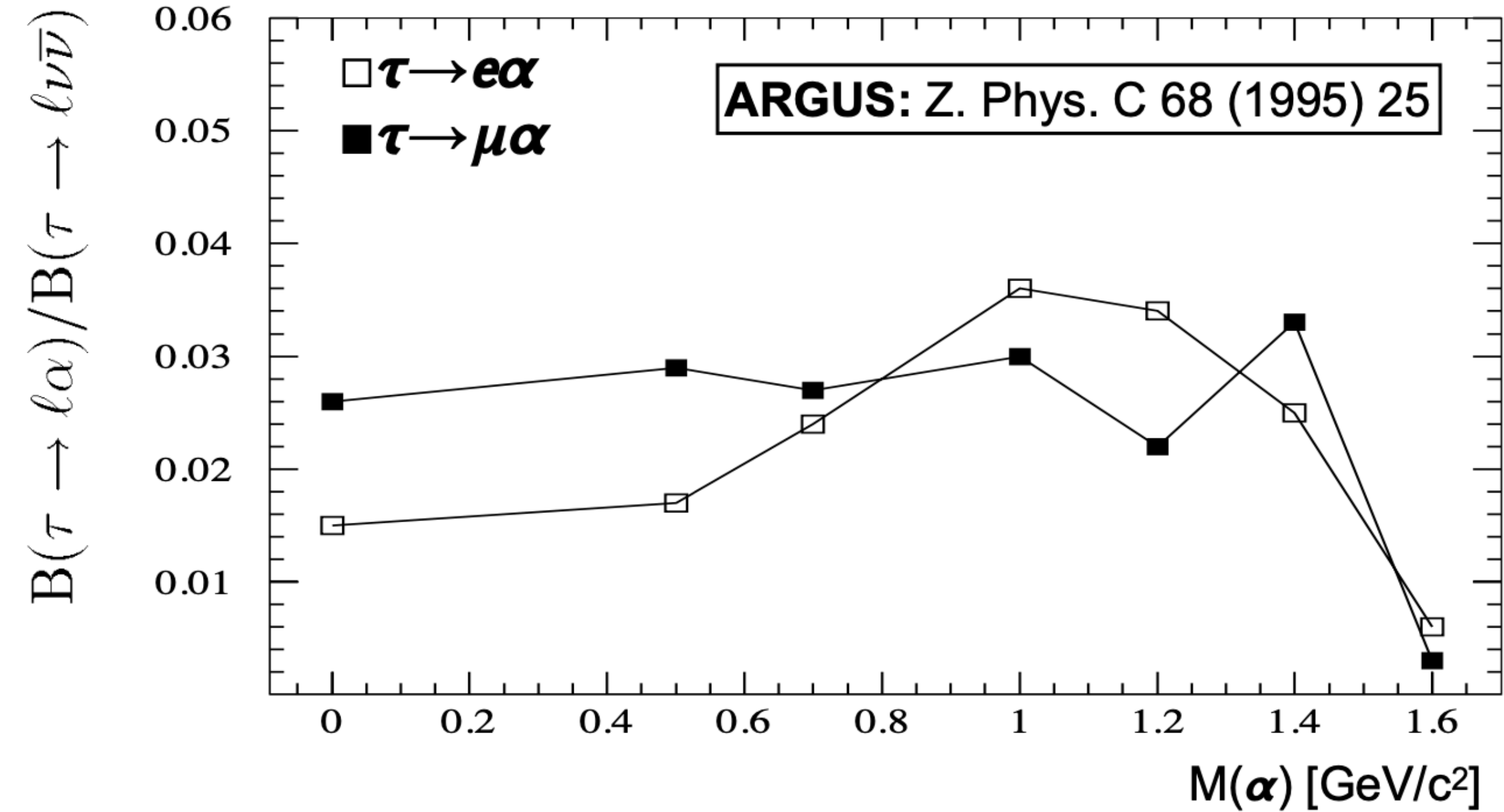
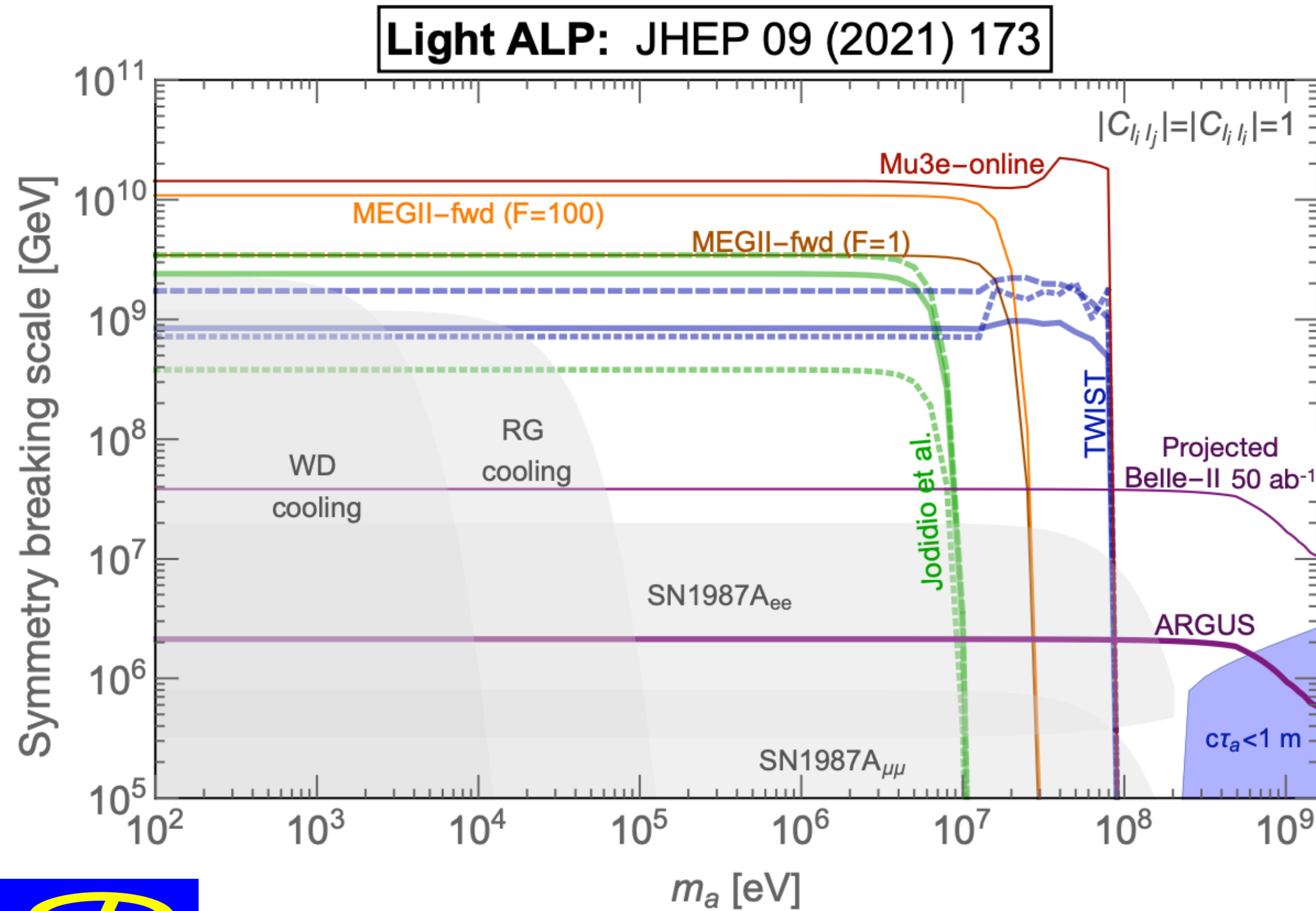
Dominant syst. from signal variable mismodeling



$\tau \rightarrow l\alpha$ motivation

Search for LFV two-body decay $\tau \rightarrow l + \alpha$ ($l = e, \mu$)
 α is an invisible gauge boson that can be predicted by several NP models \rightarrow LFV Z' , **light ALP candidate**, more..

Best upper limits on $B(\tau \rightarrow l\alpha)/B(\tau \rightarrow l\nu\bar{\nu})$
 from ARGUS (1995, 476 pb⁻¹)

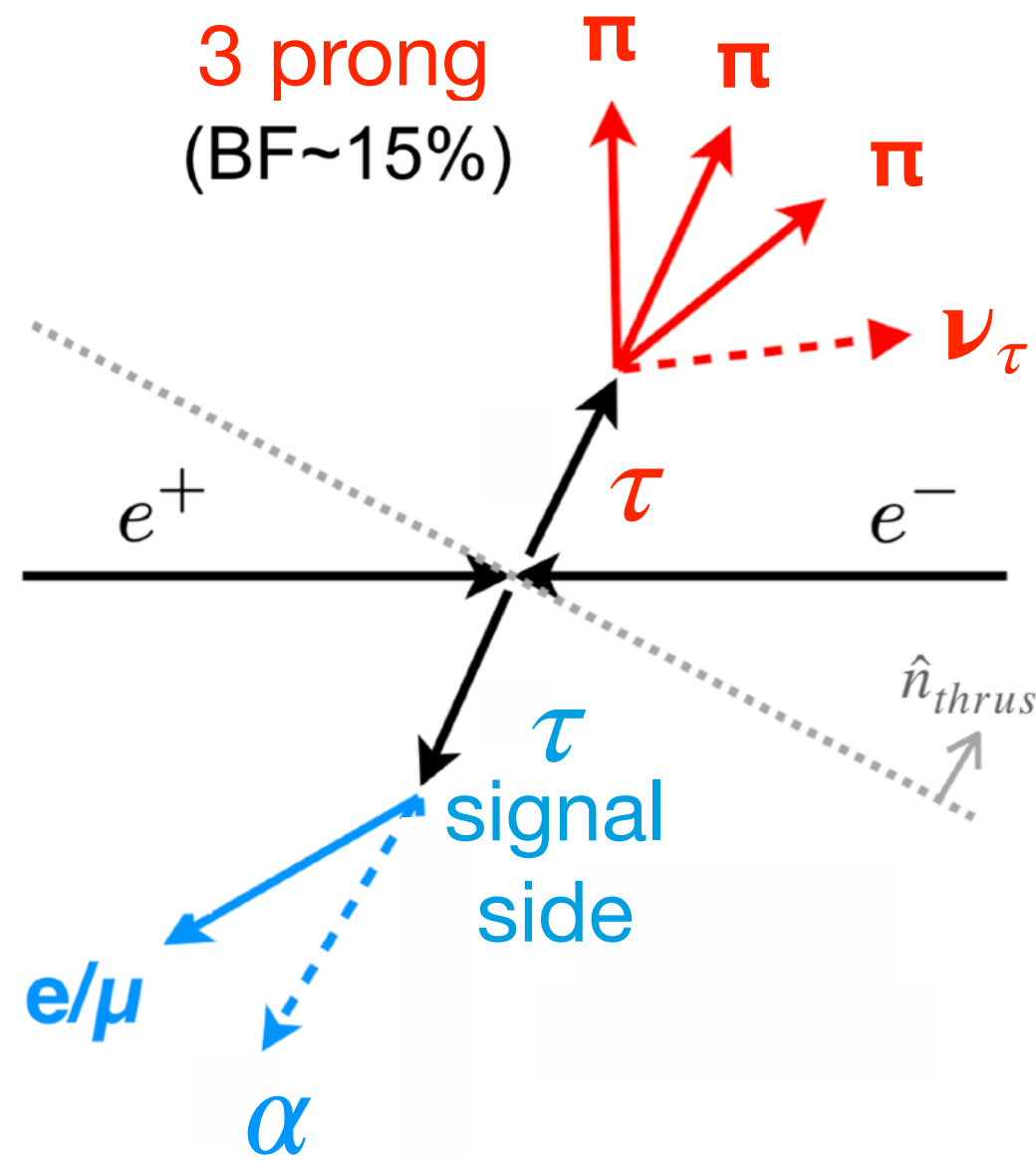


Can Belle II do better?

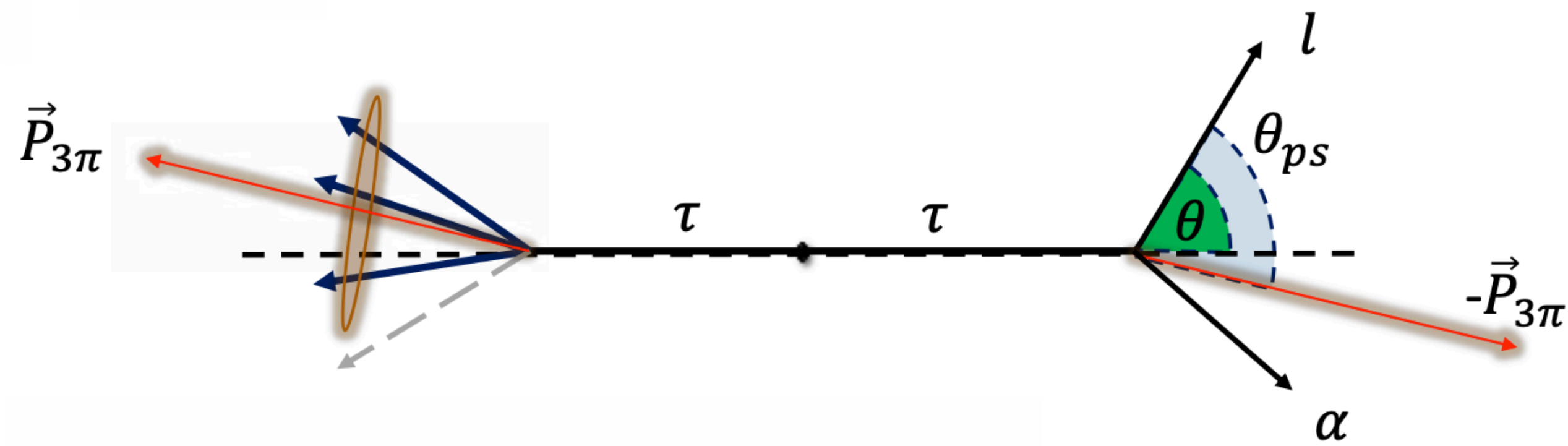


$\tau \rightarrow l\alpha$ analysis @Belle II

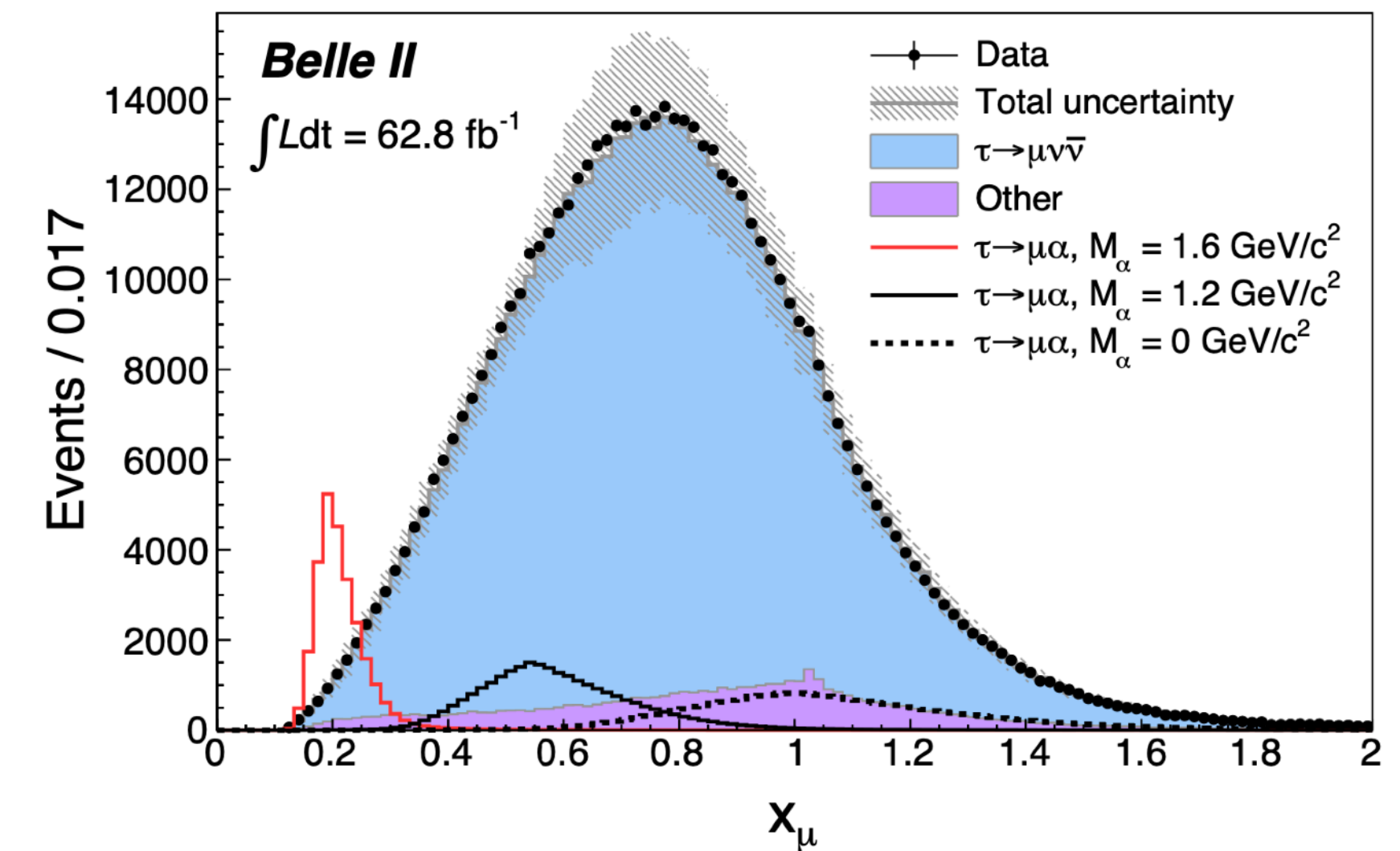
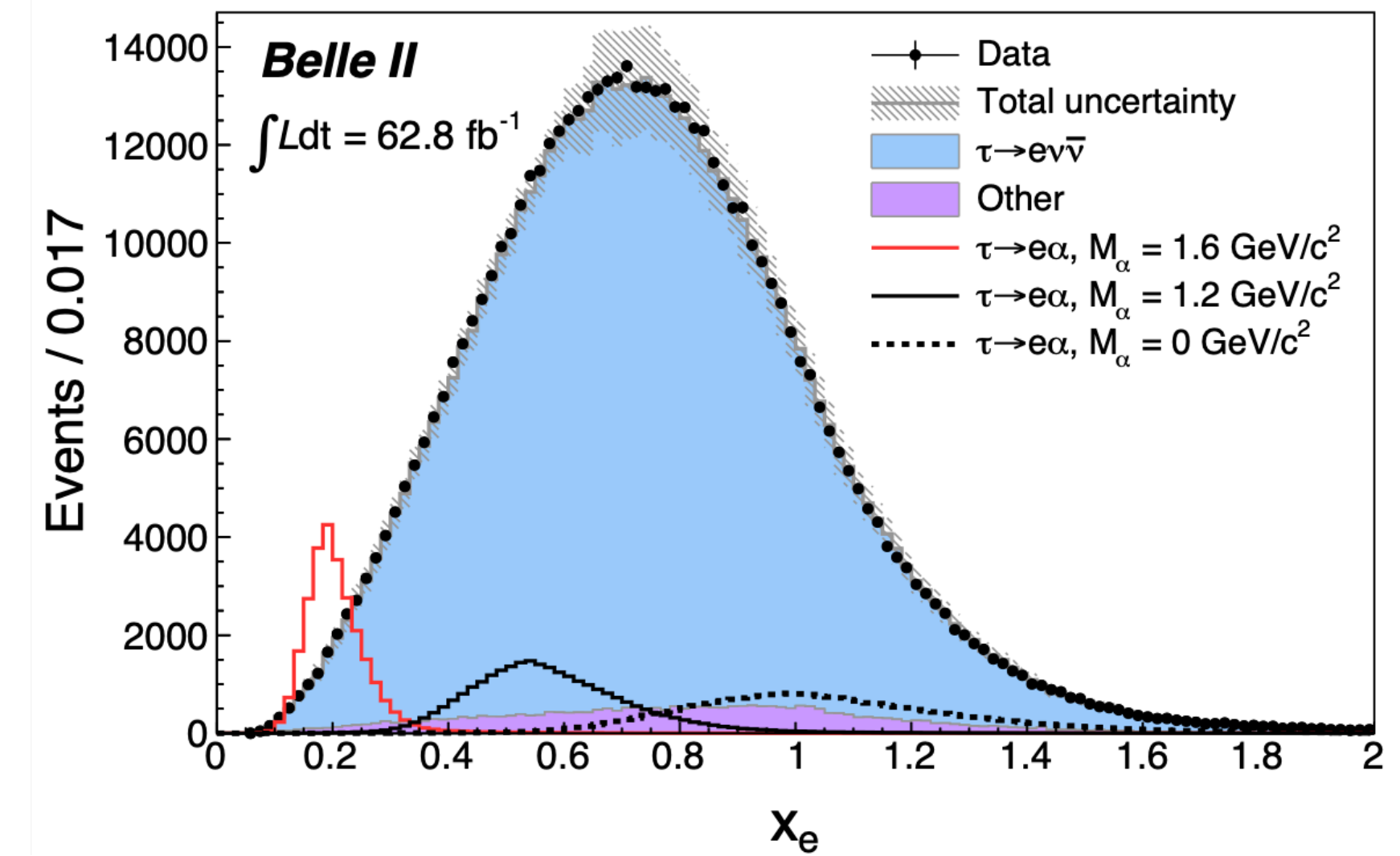
ARGUS analysis approach is adopted \rightarrow definition of pseudo-rest (ps) frame



- Tag side: $\tau \rightarrow 3\pi\nu_\tau$
- Pseudo-rest frame implies:
 - $\vec{p}_\tau \sim -\vec{p}_{3\pi}$
 - $E_\tau \sim \sqrt{s}/2$
- Veto neutrals: π^0, γ
- Selection optimised on $\tau \rightarrow l\nu\bar{\nu}_\tau$ as irreducible background



Signal signature: bump in the $x_l \equiv \frac{E_l^*}{m_\tau c^2/2}$ distribution



Results for $\tau \rightarrow l\alpha$ @ Belle II

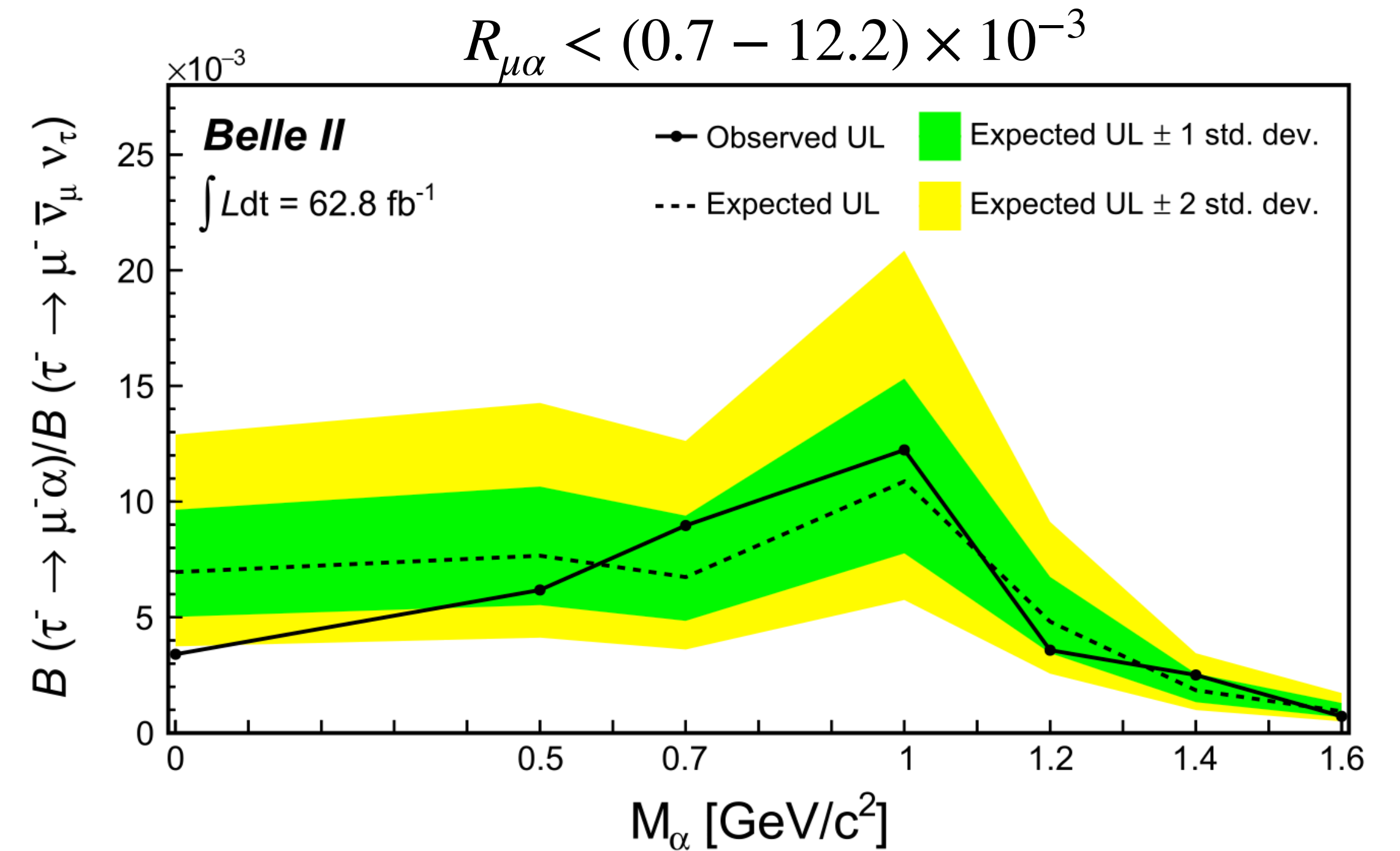
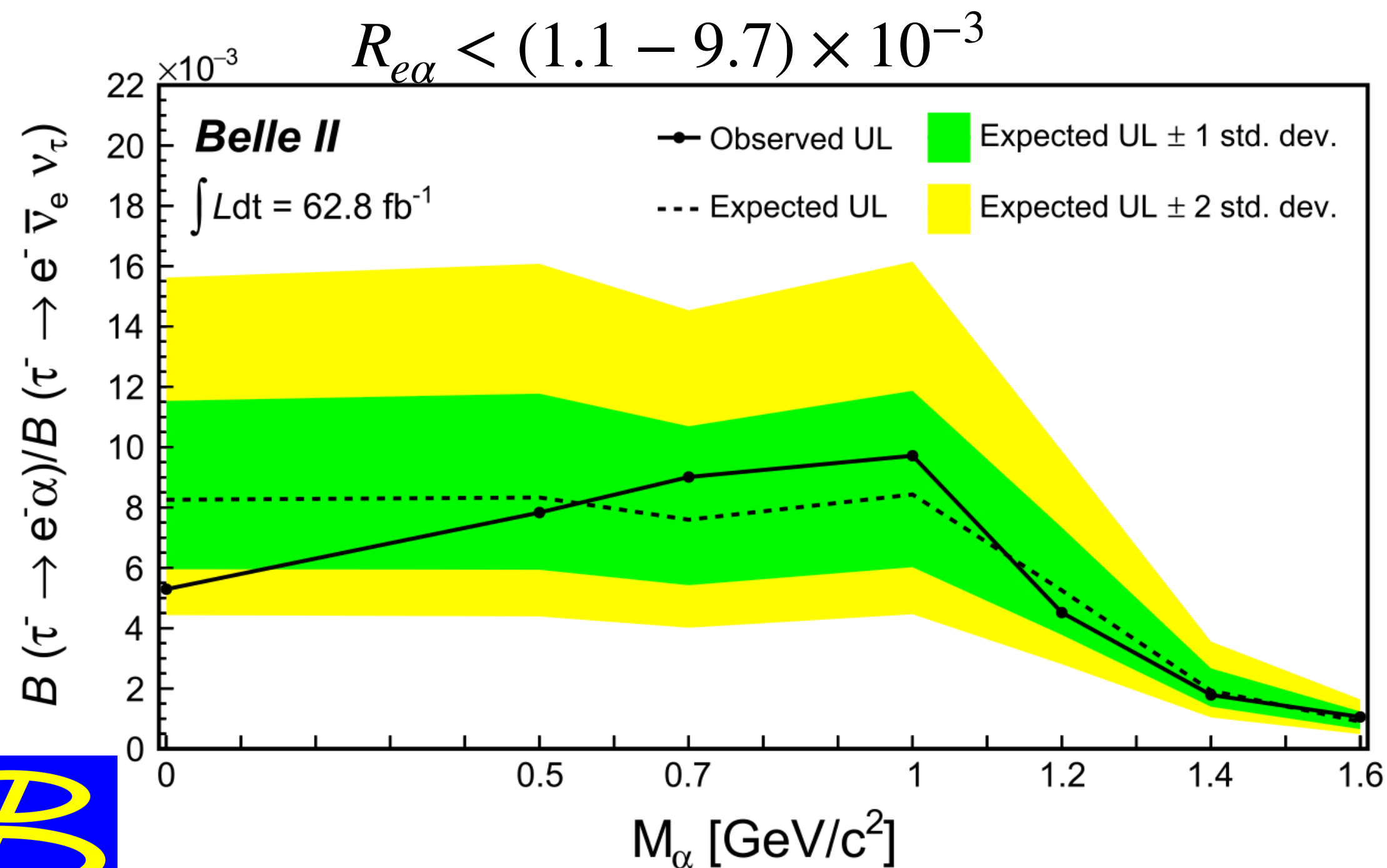
95% C.L. upper limits using the CLs method → **no significant excess in 62.8 fb⁻¹ of data (2019-20)**

Ref: <https://journals.aps.org/prl/pdf/10.1103/PhysRevLett.130.181803>

Maximum likelihood fit on x_l distributions → UL on the branching fractions

Best measurement today: 2.2–14 times more stringent than Belle depending on the value of the α mass

Dominant syst. from lepton ID efficiency

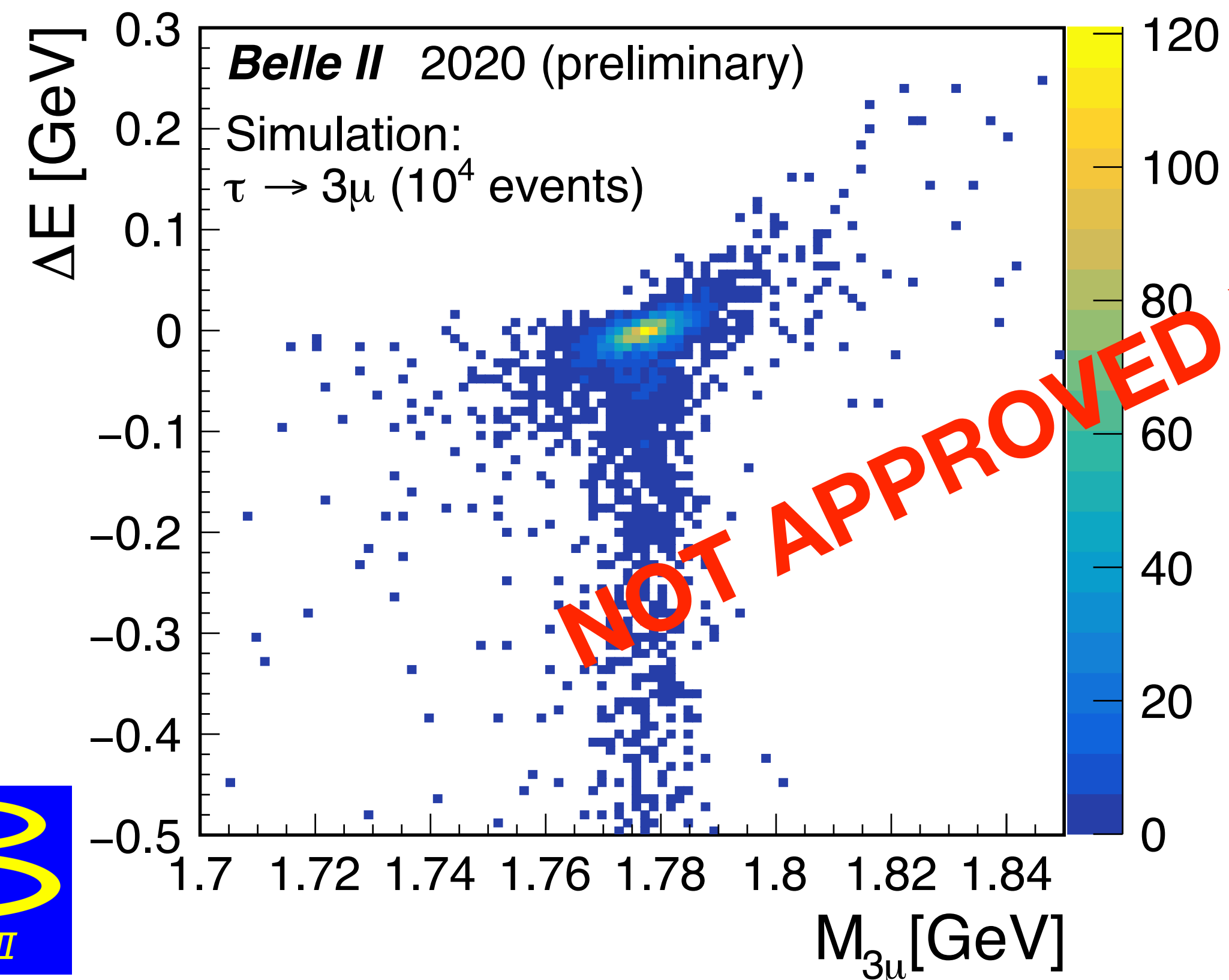


Analysis of $\tau \rightarrow 3\mu$ @ Belle II

Best upper limits on $\tau \rightarrow 3\mu$ from Belle: 2.1×10^{-8} @90% CL with 782 fb^{-1} → Belle II is already competitive with 434 fb^{-1}

Closed signal side kinematics

- No physical backgrounds
- Tight signal region → large background reduction
using $\Delta E_{3\mu} \equiv E_{\tau\text{sig}} - E_{\text{beam}}$ and $M_{\tau 3\mu}$

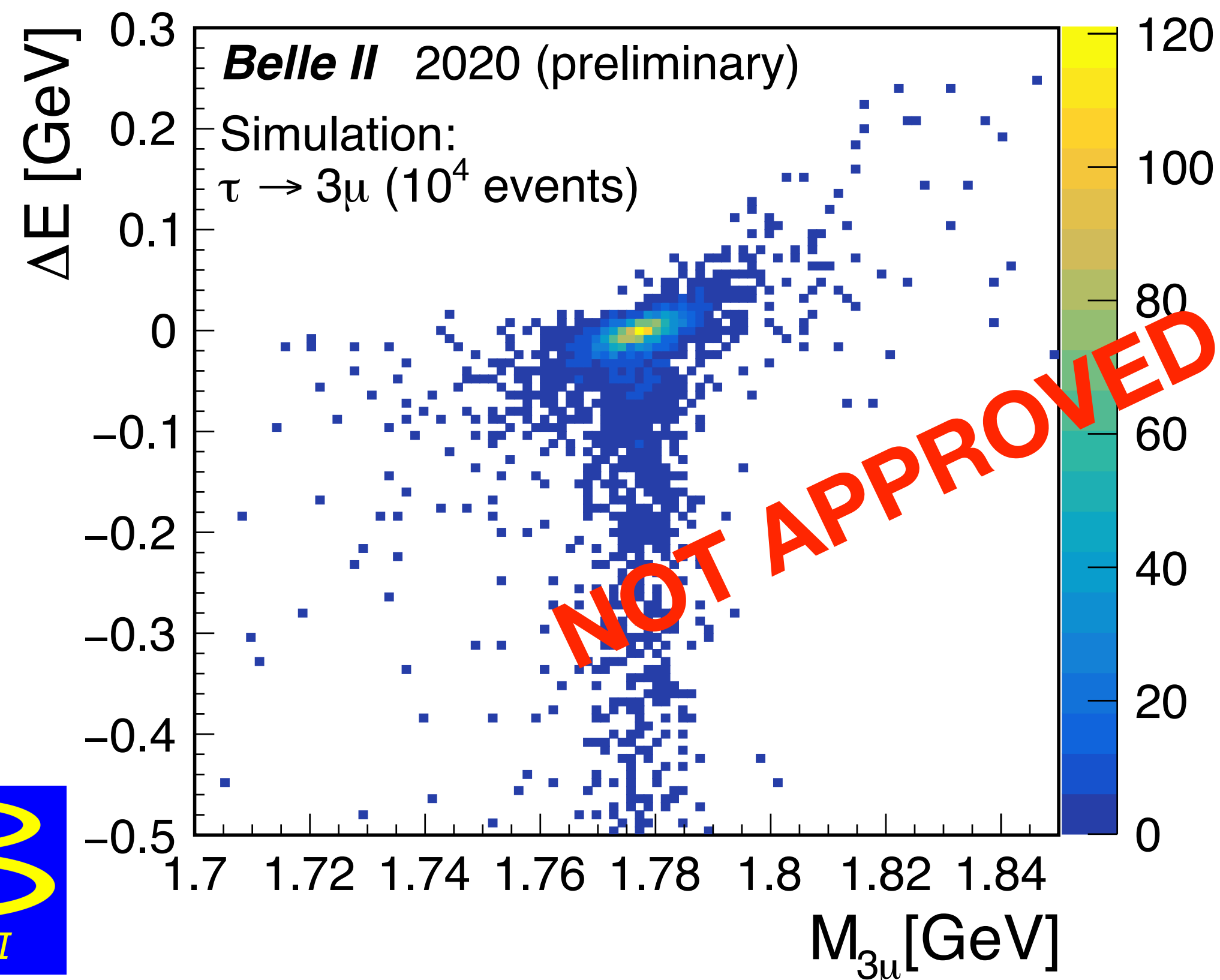


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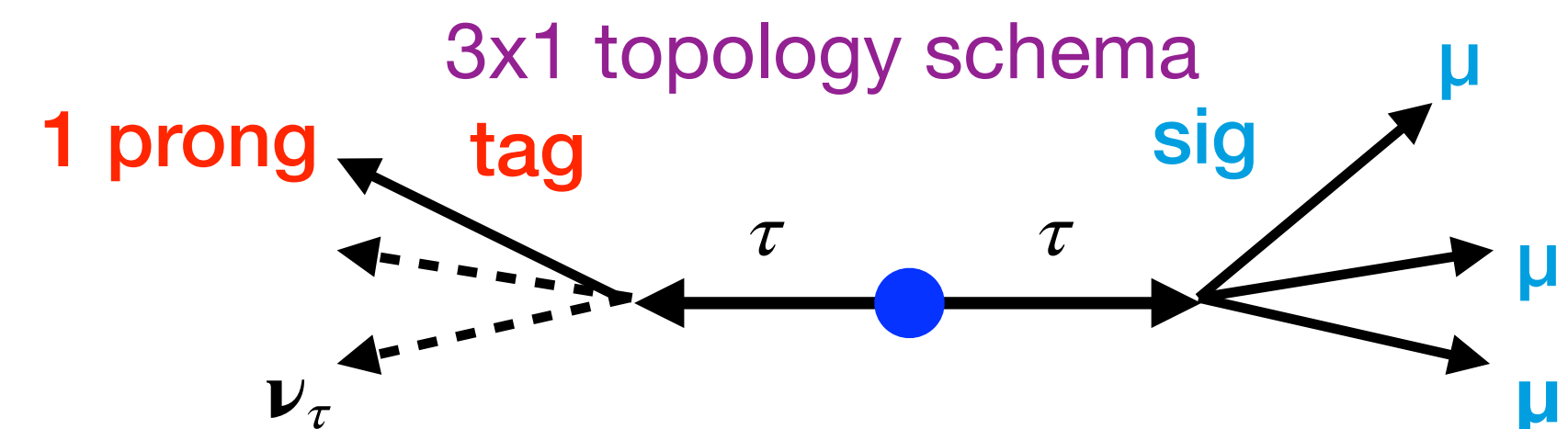
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Two analysis approaches:

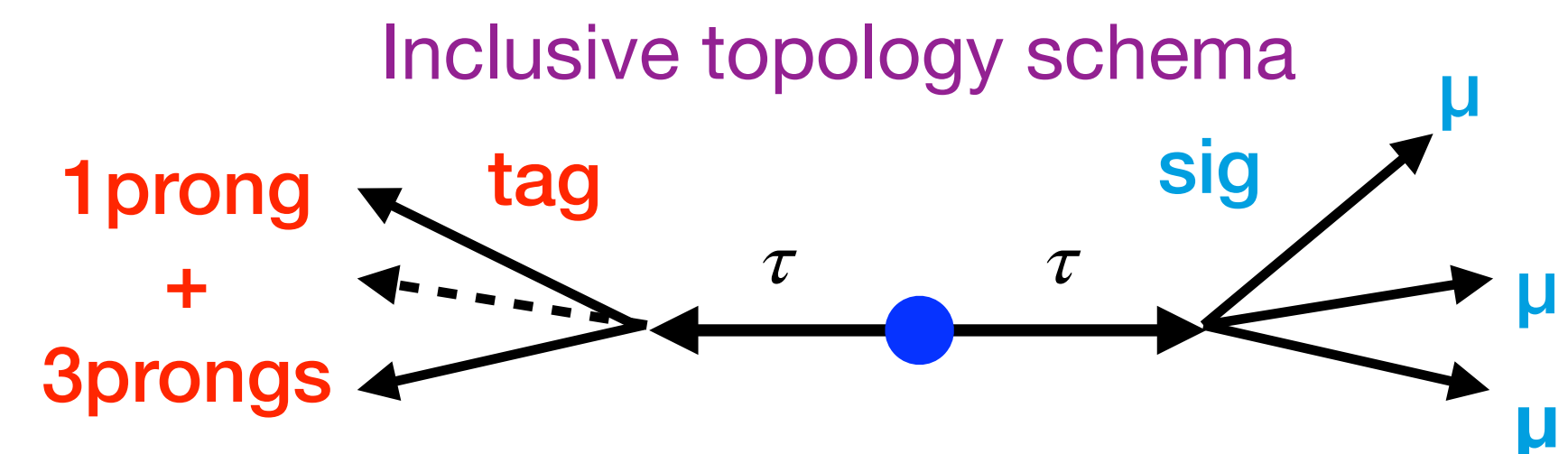
• Cut based with 3x1 topology:

- muon identification cuts optimised as a function of the track momentum



• Inclusive-BDT approach:

- Selection and background rejection based on BDT
- Inclusion of 3x1 and 1x1 topologies



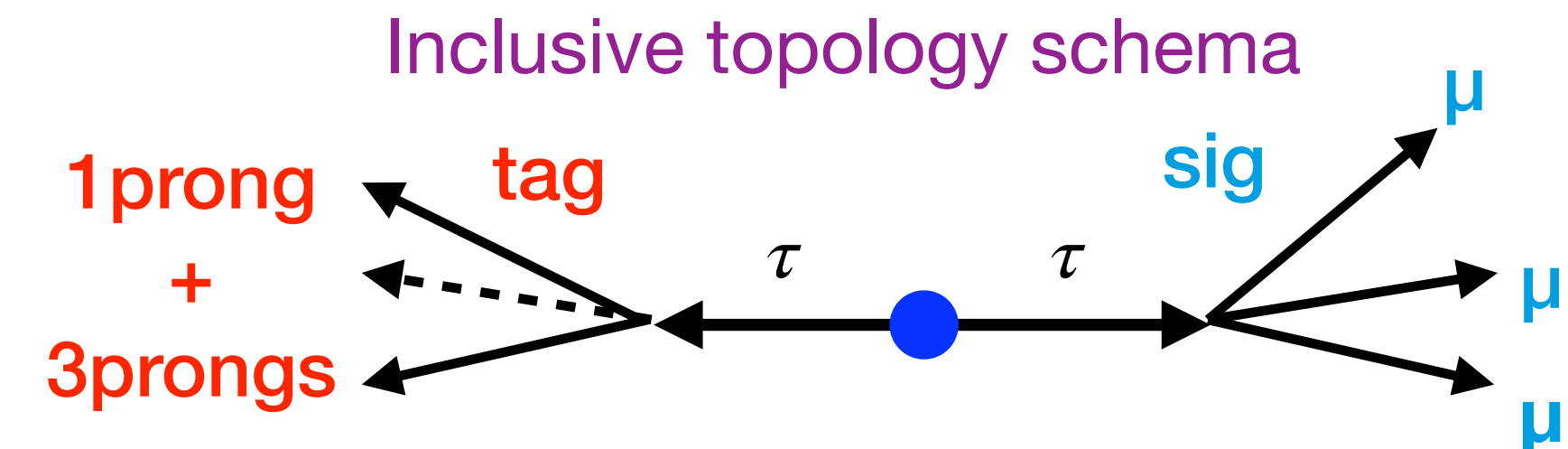
Results for $\tau \rightarrow 3\mu$ @Belle II: inclusive approach

Analysis selection and results: inclusive approach

GBoost BDT trained on a statistics of 4 ab^{-1} using 32 variables:

- Inputs from: signal τ ; event tag side; event shape and kinematics

$\epsilon_{\text{sig}} = 20.42 \pm 0.06\%$ $\sim 3\text{x}$ larger than Belle & Expected BKG: $0.5^{+1.4}_{-0.5}$ events

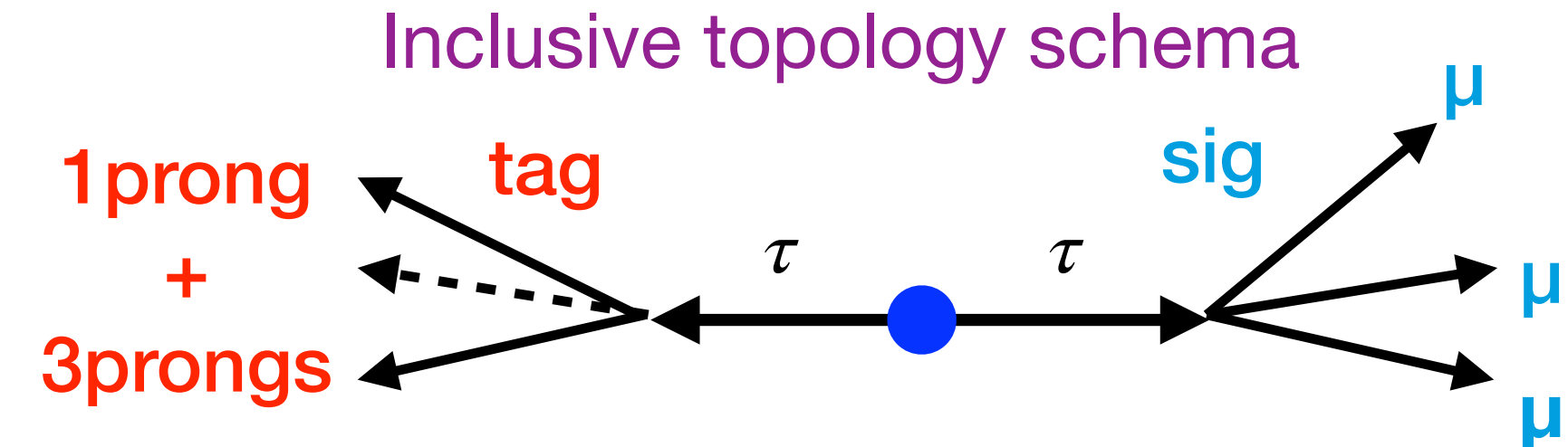


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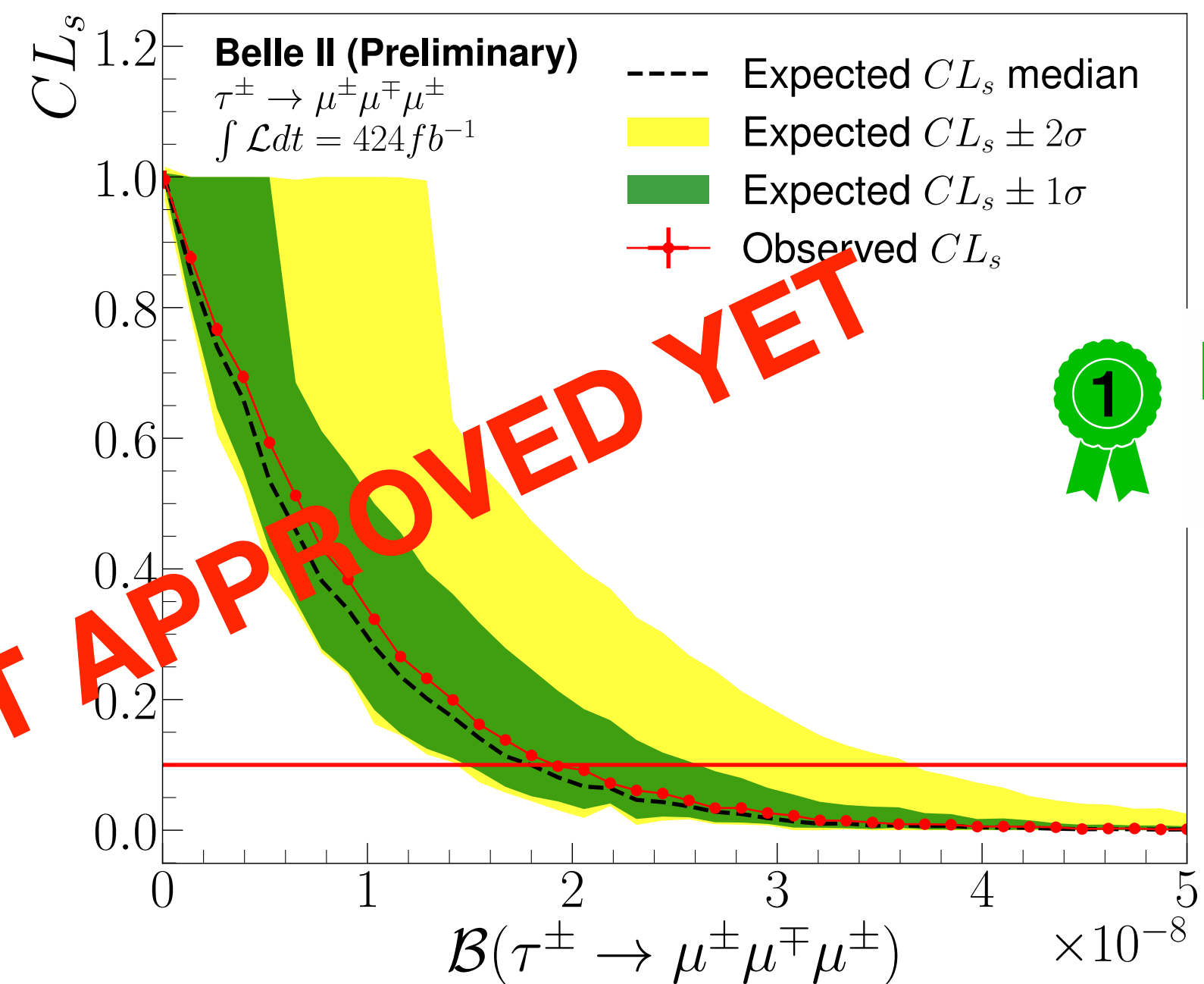
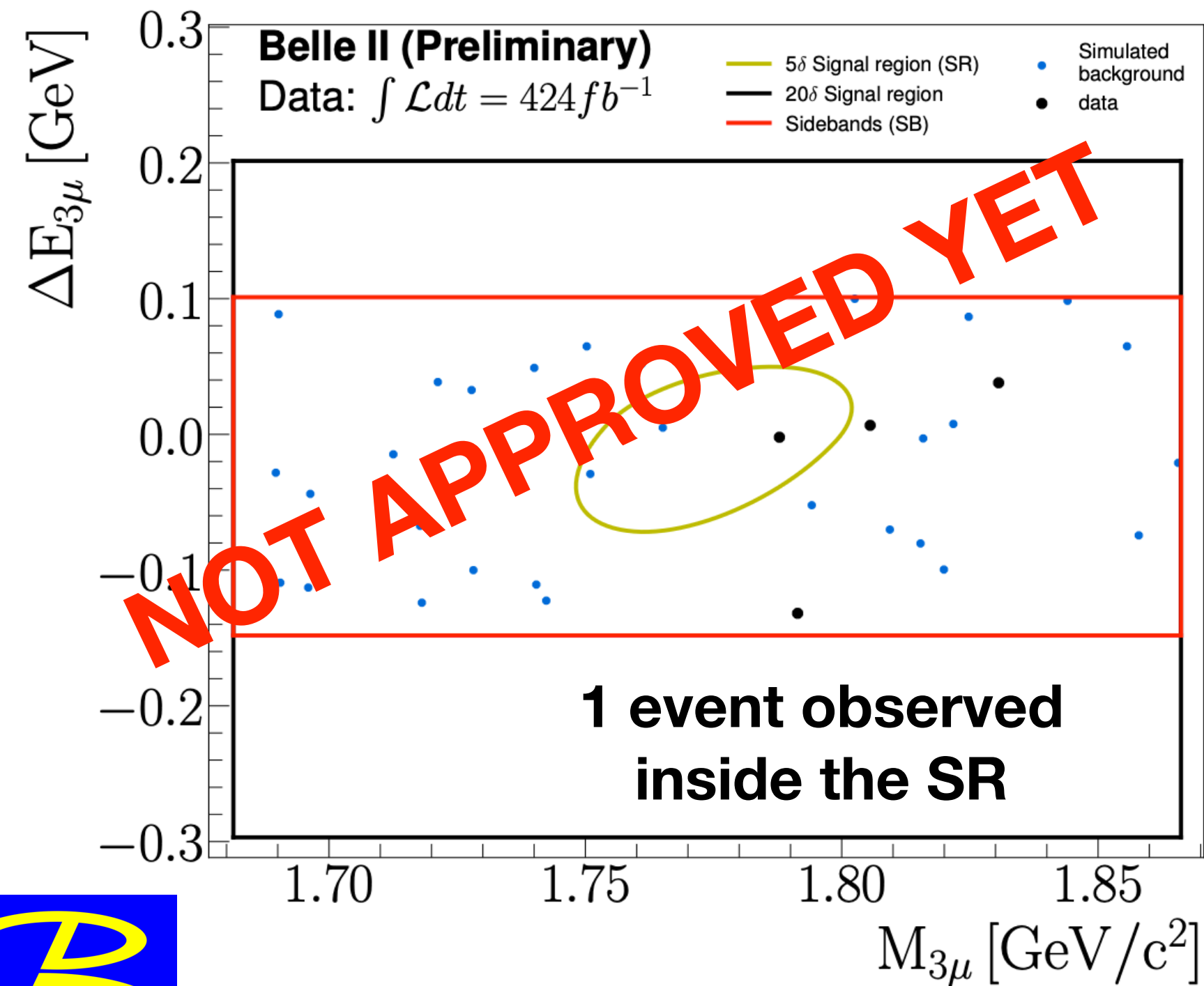
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No significant excess in 424 fb^{-1} of data \rightarrow 95% C.L. upper limits using the CLs method



Dominant syst. from lepton ID efficiency

Expected most stringent limit
 1.9×10^{-8}





Summary



- B-factories are a perfect environment for LFV searches on τ sector

- Belle and Belle II are also τ -factories!



- Several new high profile measurements:

- $\tau \rightarrow IV^0$ @Belle & Belle II, $\tau \rightarrow l\alpha$ and $\tau \rightarrow 3\mu$ @Belle II

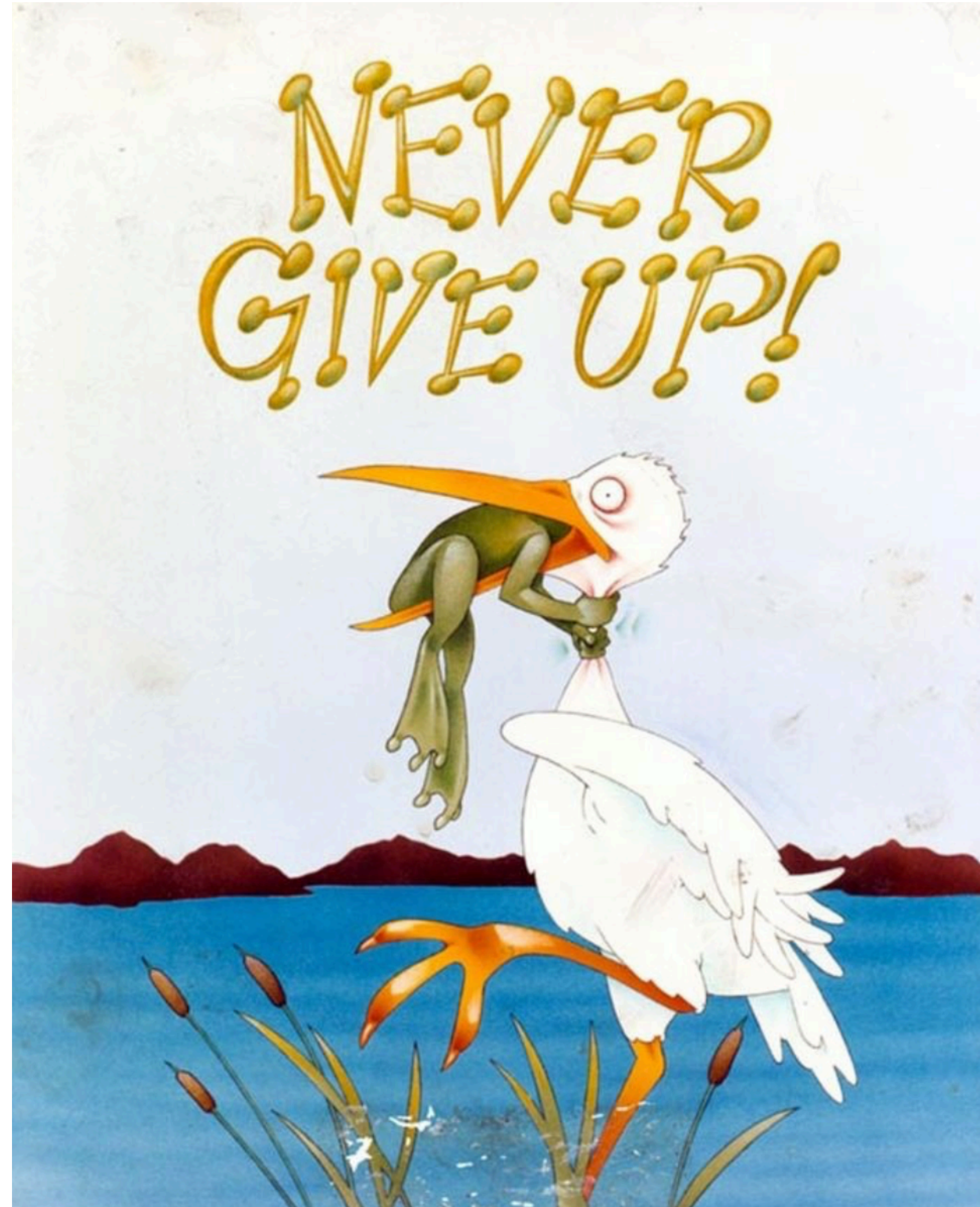


- More results to come so stay tuned!

*Thank
you*



Emergency slides!!



Physics: τ analyses

