

The 17th International Workshop on Tau  
Lepton Physics (TAU2023) | Louisville

# Dark sector searches with tau-pair events at Belle and Belle II

PRACTICE TALK

Sourav Dey

on behalf of the Belle and Belle II Collaboration



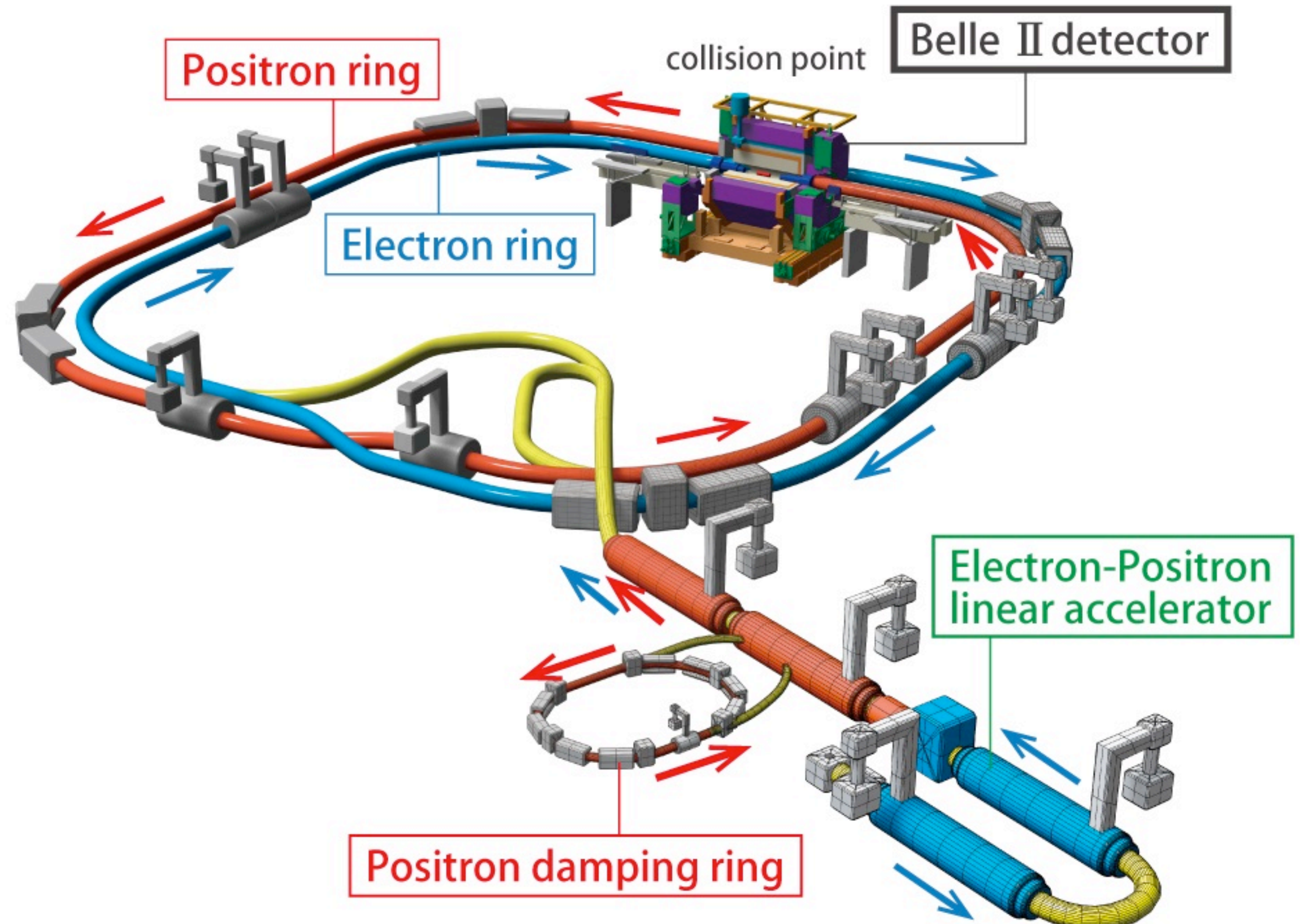
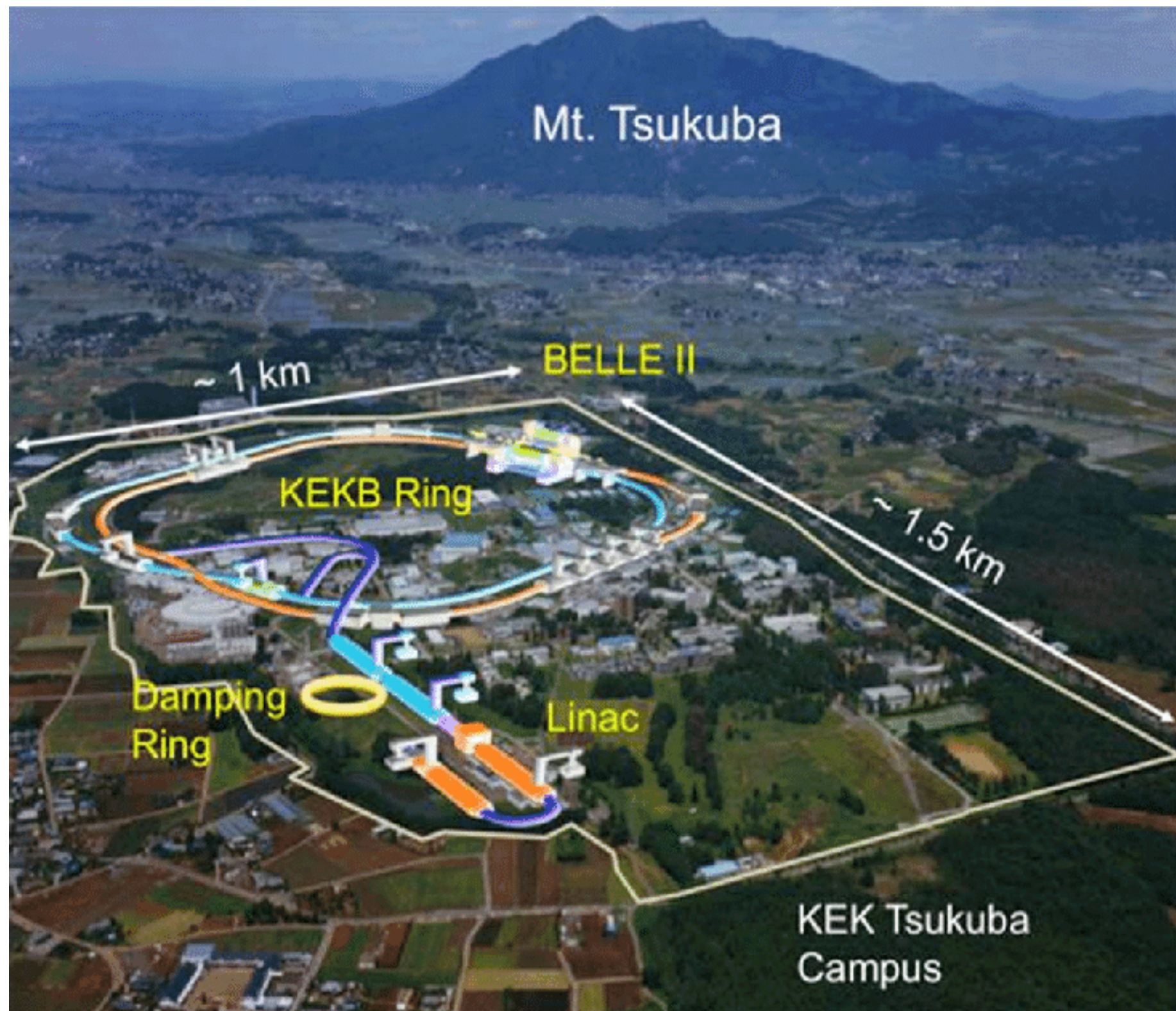


## To Discuss:

- Search for a heavy neutral lepton that mixes predominantly with the  $\tau$  neutrino (**NEW RESULTS**, to be submitted to PRL)
- Search for a dark leptophilic scalar produced in association with  $\tau^+\tau^-$  pair in  $e^+e^-$  annihilation at center-of-mass energies near 10.58 GeV (to be submitted to PRL. Arxiv [2207.07476](https://arxiv.org/abs/2207.07476))
- Search for Lepton Flavor Violating  $\tau$  Decays to a Lepton and an Invisible Boson at Belle II (PRL 130, 181803 (2023). Arxiv [2212.03634](https://arxiv.org/abs/2212.03634))



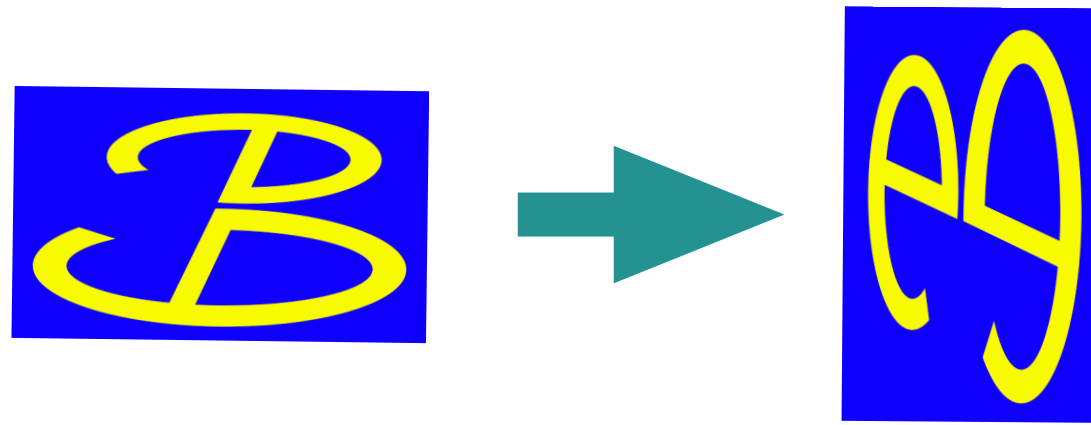
# SuperKEKB



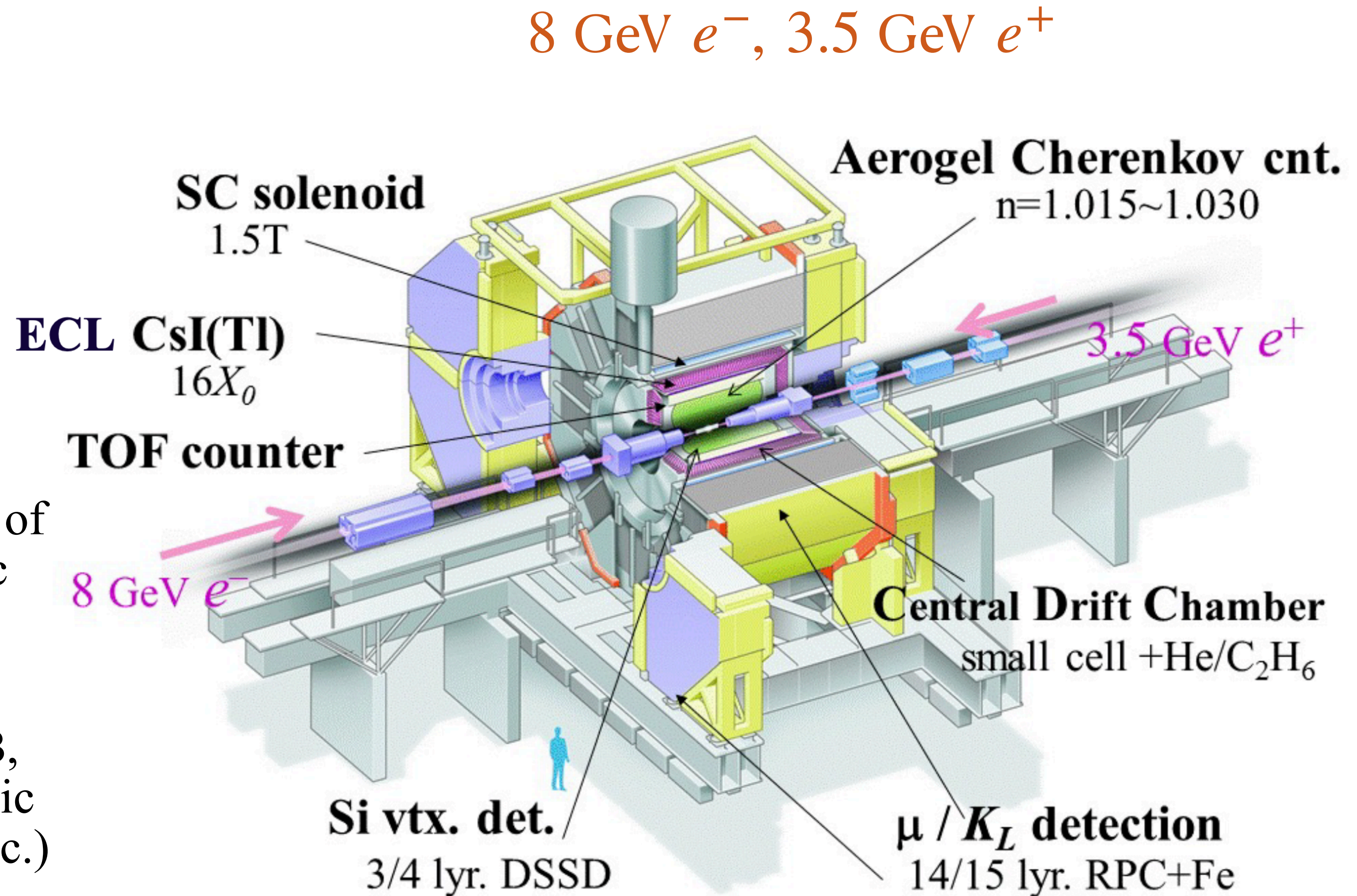


# The Belle Detector

- The accelerator collides electron and positrons



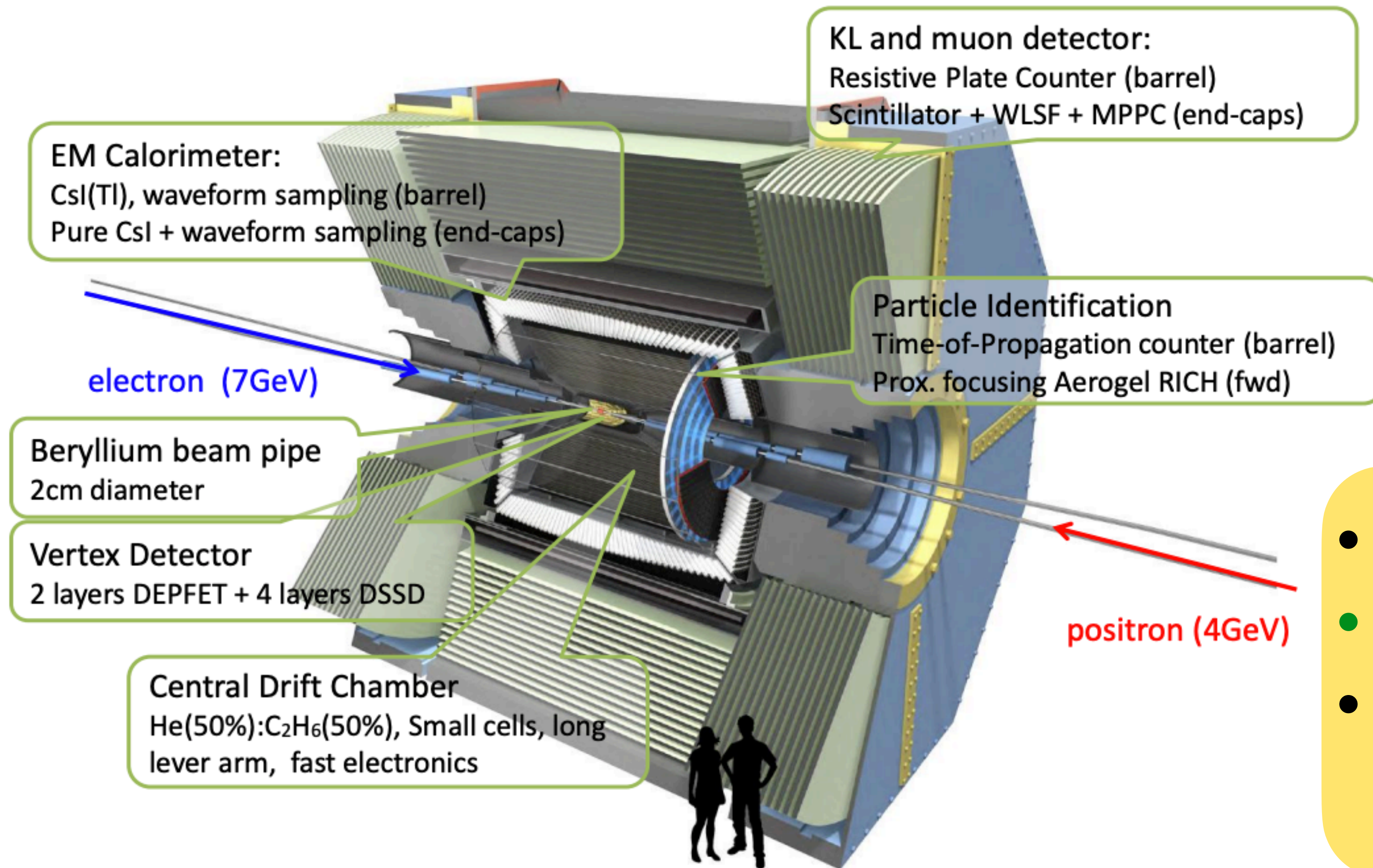
- $\sqrt{s} = 10.58 \text{ GeV}$  : mass of  $\Upsilon(4S)$
- $B\bar{B}$ ,  $\tau^+\tau^-$  pair production with a boost of the center-of-mass system: asymmetric collider
- Prospect for studying a vast region of particle physics (Precision studies of B, charm, and tau physics, QCD and exotic hadrons, searches for BSM particles etc.)





# from Belle to The Belle II Detector

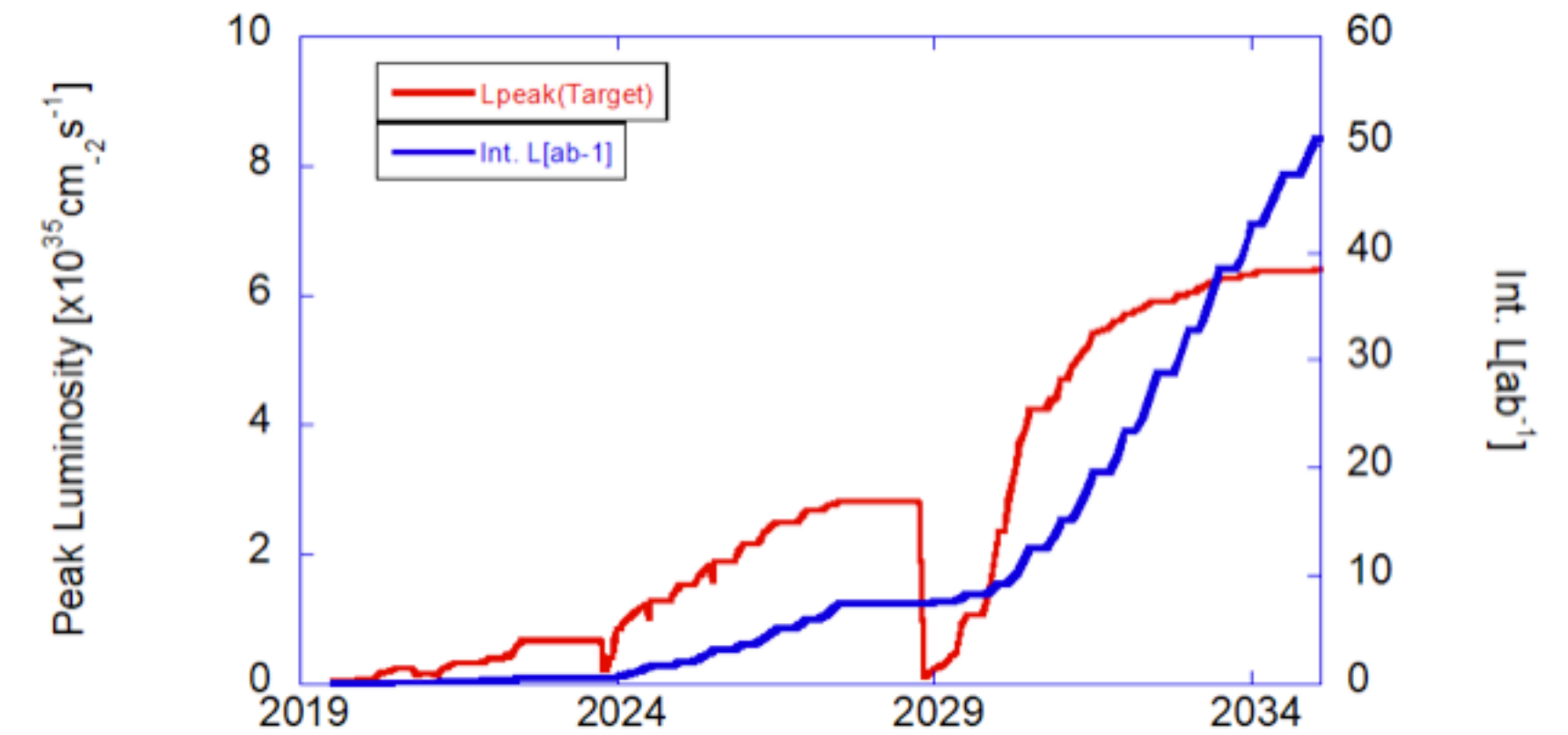
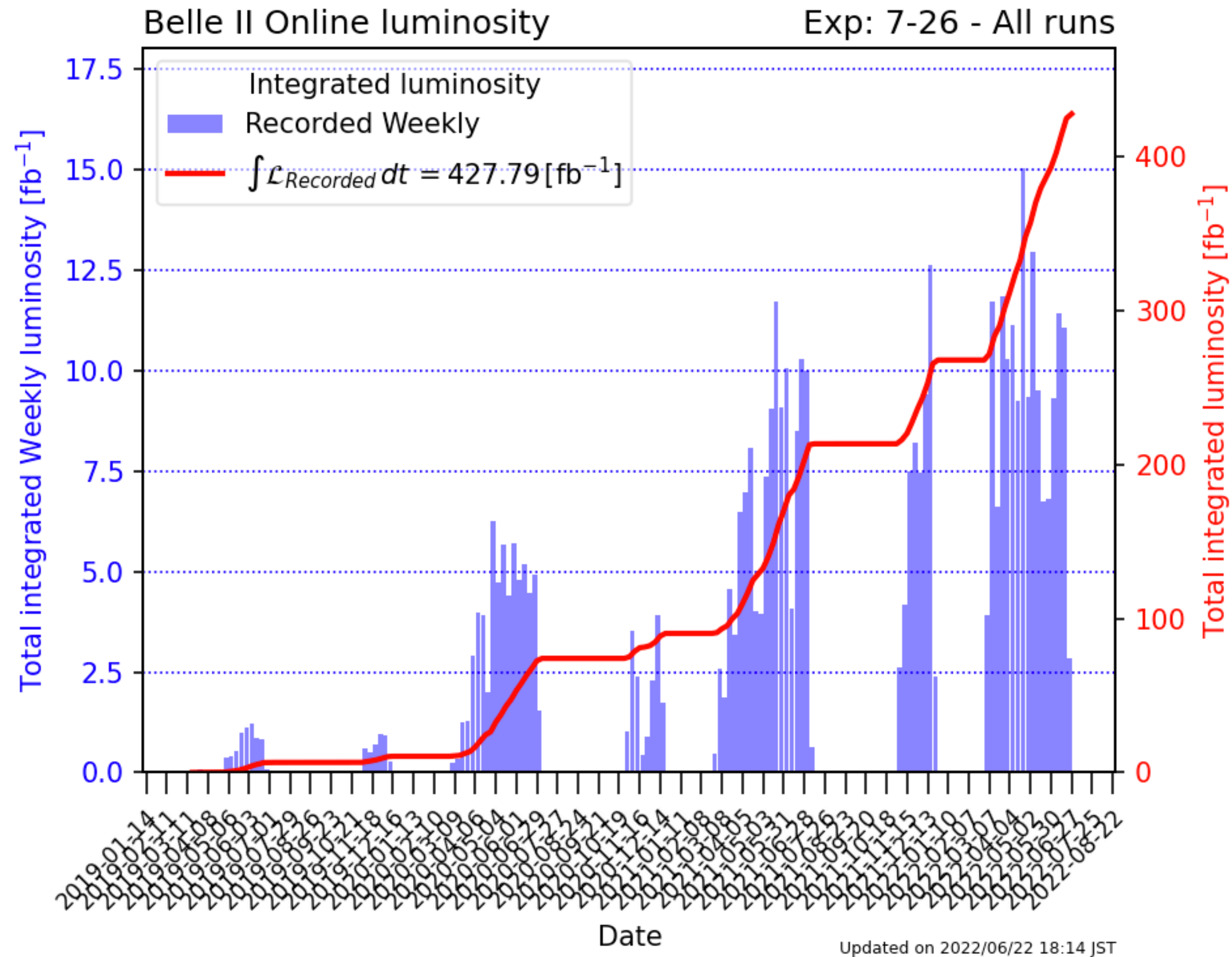
7 GeV  $e^-$ , 4 GeV  $e^+$



- $\sigma(e^+e^- \rightarrow b\bar{b}) = 1.05 \text{ nb}$
- $\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$
- $\Upsilon(nS)\epsilon [n = 1, \dots, 5]$ , use of off resonance data : B factories are also  $\tau$  factories



# Luminosity



- Design integrated luminosity  $50 \text{ ab}^{-1}$
- Regular data-taking since April 2019
- Current integrated luminosity  $424 \text{ fb}^{-1}$
- Peak luminosity recorded :  $4.7 \times 10^{34} \text{ cm}^{-1} \text{ s}^{-1}$
- At present, we have a long shutdown for accelerator and detector upgrades, will resume data taking in 2024



## To Discuss:

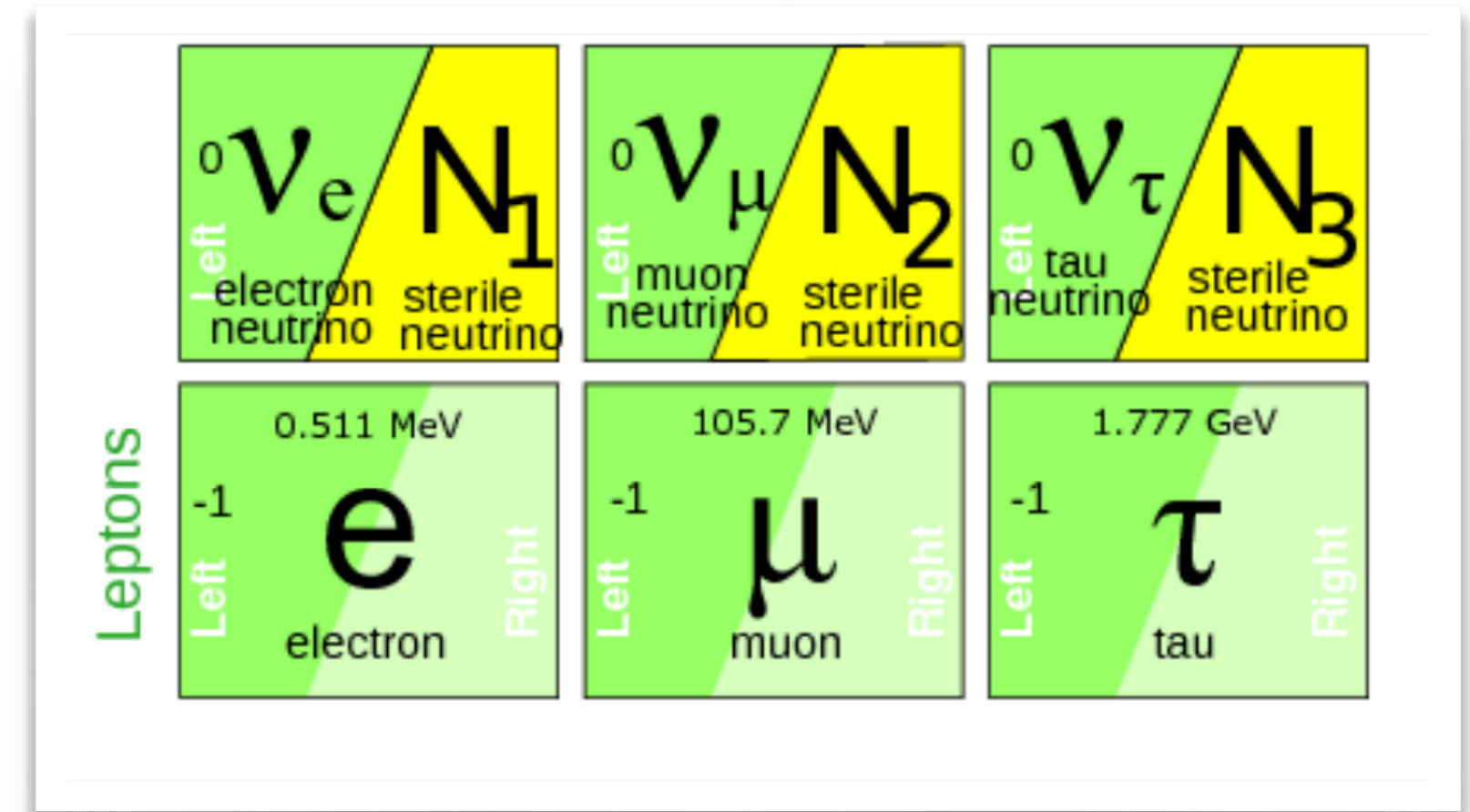
- Search for a heavy neutral lepton that mixes predominantly with the  $\tau$  neutrino (**NEW RESULTS**, at the stage of collaboration-wide review, to be submitted to PRL)
- Search for a dark leptophilic scalar produced in association with  $\tau^+\tau^-$  pair in  $e^+e^-$  annihilation at center-of-mass energies near 10.58 GeV (to be submitted to PRL. Arxiv [link](#))
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# Heavy Neutral Lepton (N)

- Neutrino Oscillations: Neutrino has mass
- Neutrino masses can be incorporated to SM by introducing RH (Majorana) neutrinos
- Allows to solve some of the outstanding problems of the SM
  - Origin of the SM neutrino masses
  - Non-baryonic dark matter
  - Baryogenesis
- N are sterile: Interacts with  $\nu_{SM}$  through mixing:  $N \leftrightarrow \nu_{SM}$
- Long lifetime of N: due to small  $m_N$  and small mixing
- Heavy Neutral Lepton also appears in SUSY, exotic Higgs, GUT...

T. Asaka, S. Blanchet, M. Shaposhnikov,  
*Phys. Lett. B* **631**, 151-156 (2005)





# Heavy Neutral Lepton : Direct searches

$M_N > M_Z$  Direct searches @LHC:  $pp \rightarrow Nl^\pm$

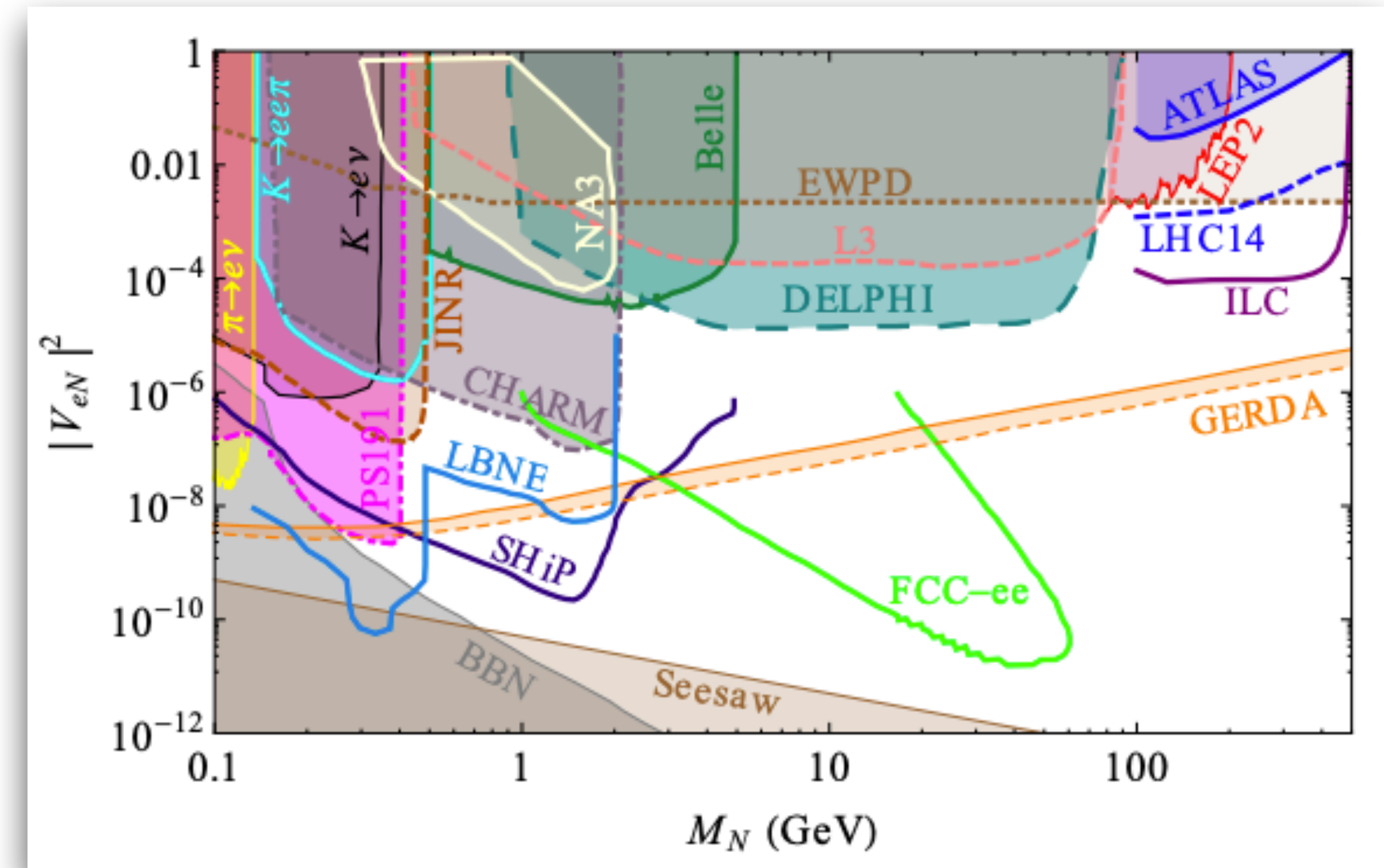
$M_N < M_{Z,W}$

- Delphi ( $Z^0 \rightarrow \nu N$ )
- ATLAS/CMS ( $W^\pm \rightarrow Nl^\pm$ )

$M_N < M_{B,D,K}$  Belle, LHCb, beam-dump, NA62

- Previous experiments explored  $M_N$  from 100 MeV to almost 1 TeV

arxiv 1502.06541





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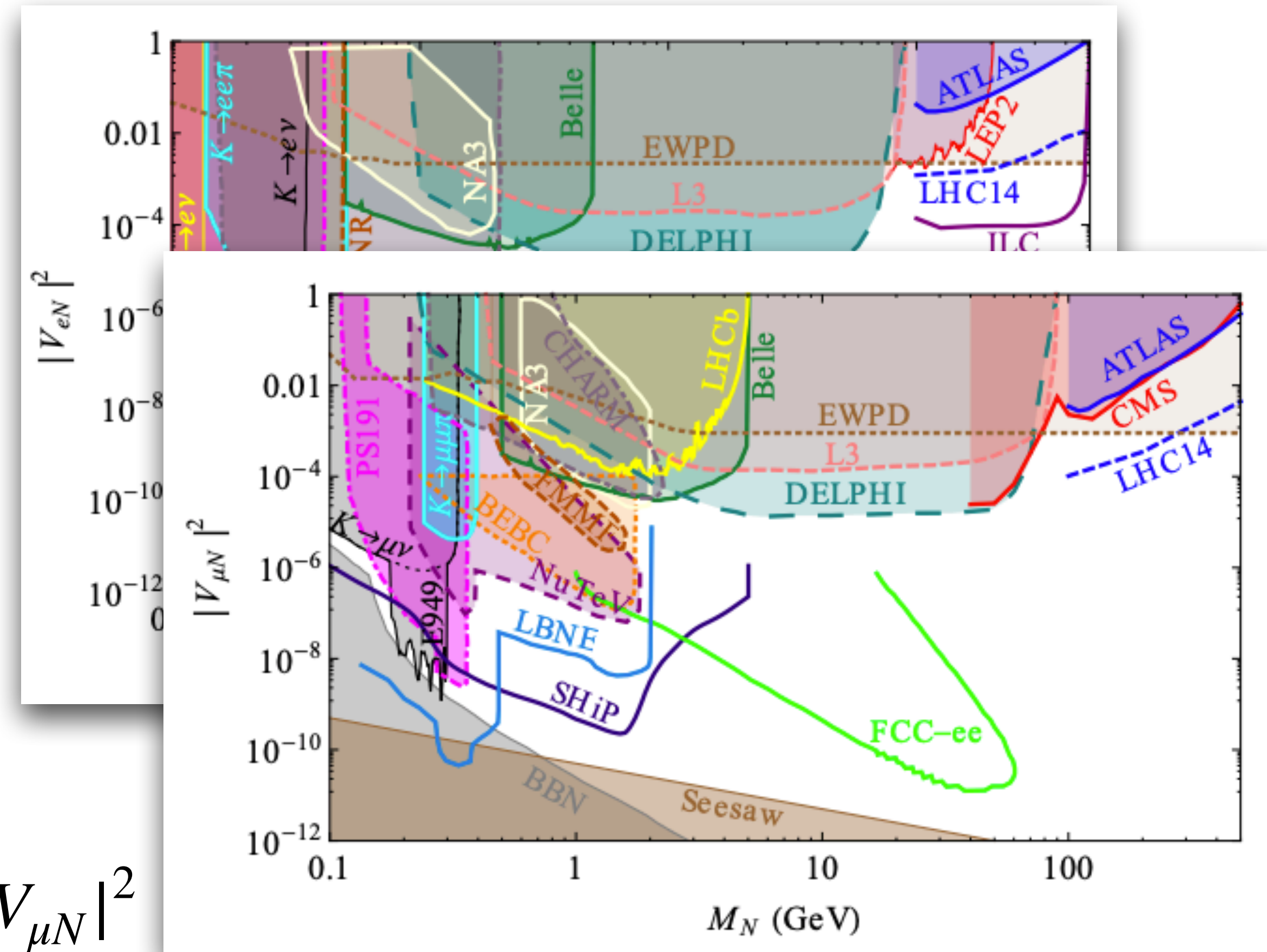
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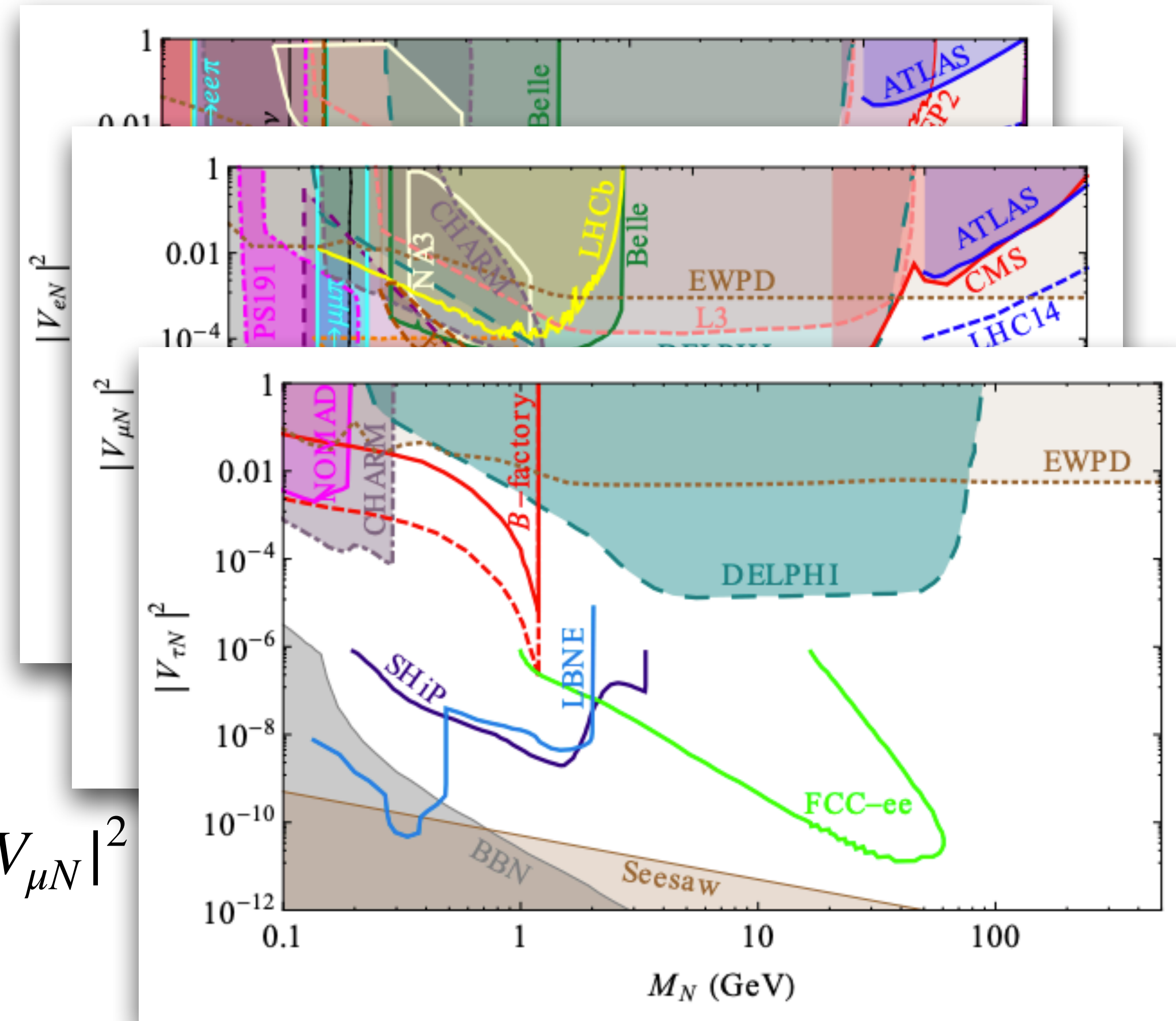
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- Previous experiments explored  $M_N$  from 100 MeV to almost 1 TeV
- All the experiments provide tight limits on  $|V_{eN}|^2$ ,  $|V_{\mu N}|^2$
- Limits on  $|V_{\tau N}|^2$  are much weaker
- This motivates us to overcome the experimental challenges and explore  $|V_{\tau N}|^2$

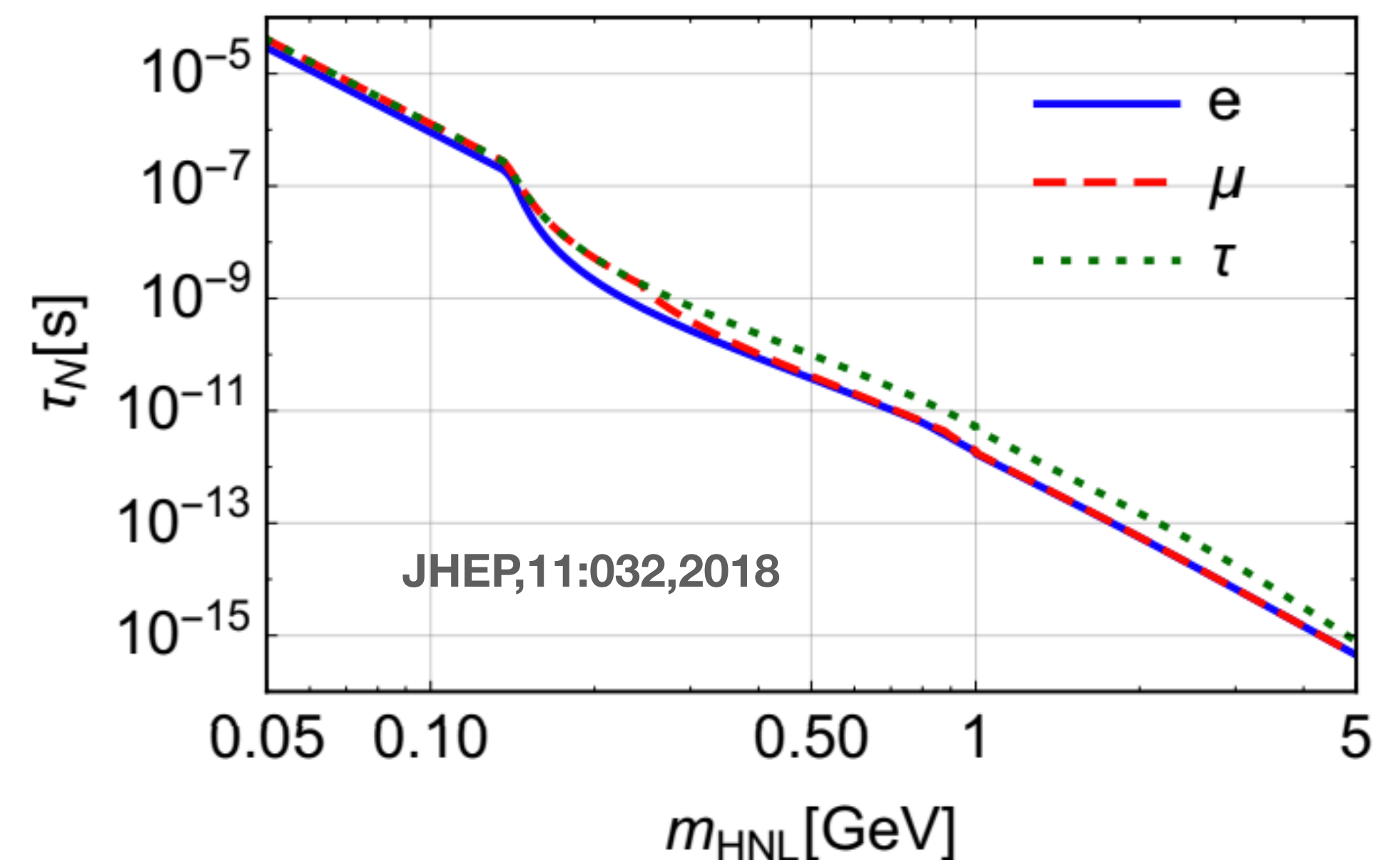
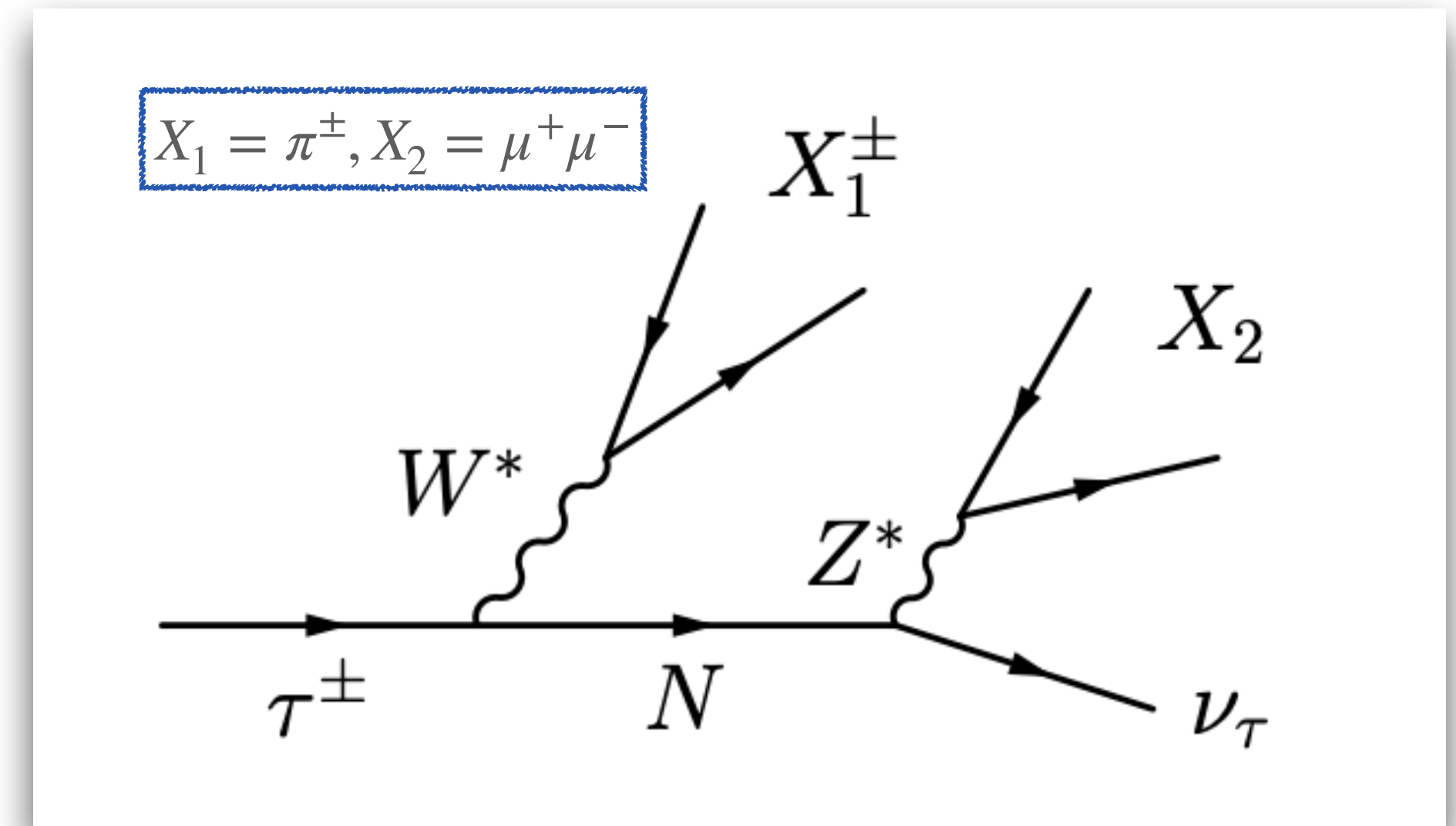




# Analysis Method

- N decays via the weak neutral current
- This analysis probes  $V_{\tau N}$  directly
- This production mechanism implies  $m_N < m_\tau - m_\pi$
- N is long-lived for a range of  $|V_{N\tau}|^2$  values that we are sensitive to

Full Belle data sample used  
 $(836 \pm 12) \times 10^6 \tau$  pairs





# Analysis Method

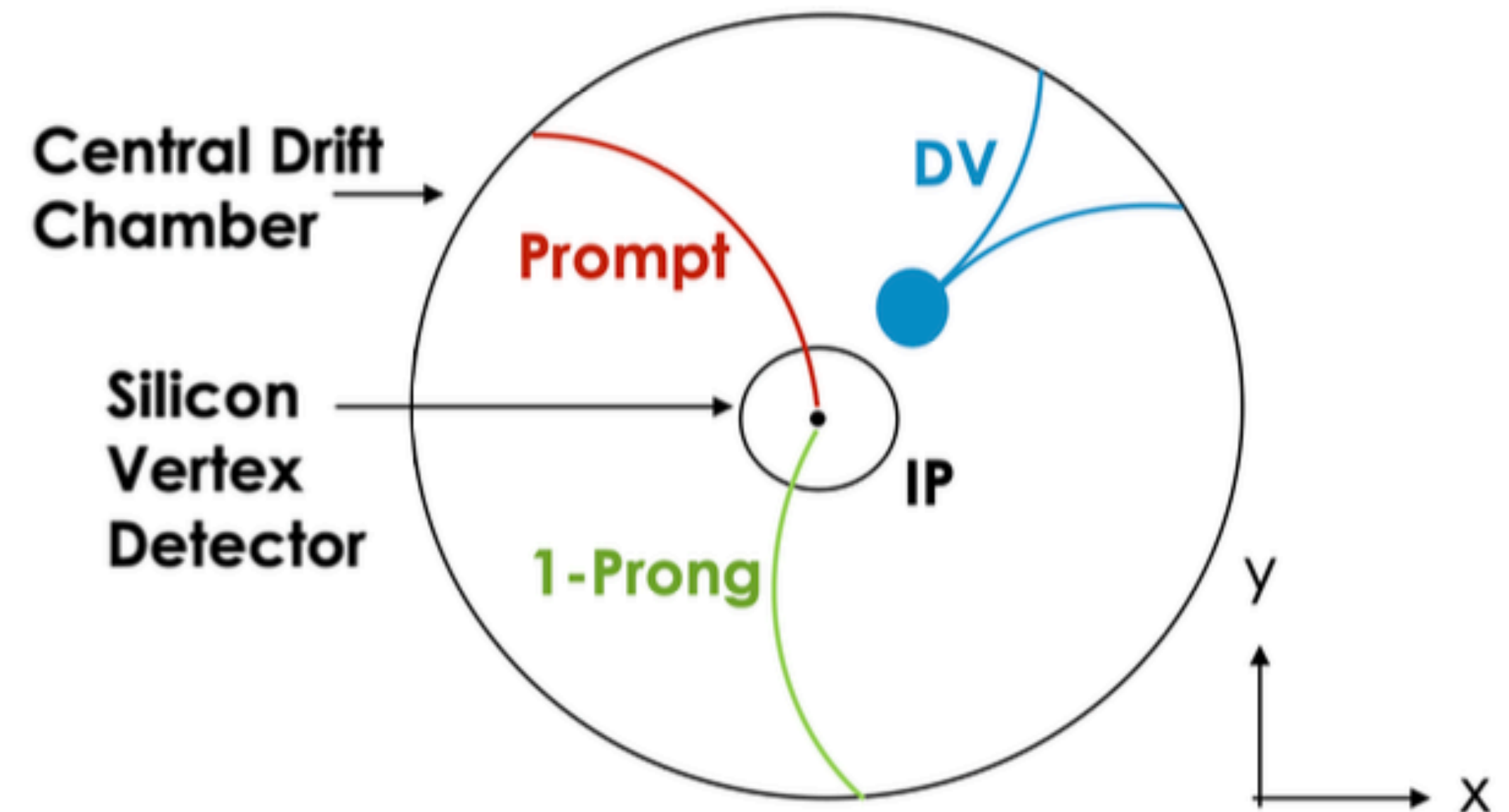
- We look for a  $\mu^+\mu^-$  displaced vertex(DV)
- Radial position of DV must be 15 cm away from CDC symmetry axis

• Channel:  $e^+e^- \rightarrow \tau_{\text{tag}}^+ \tau_{\text{sig}}^-$

- Tag side: 1-prong decay

$$\tau_{\text{tag}}^+ \rightarrow \begin{cases} \pi^+ \bar{\nu}_\tau \\ \pi^+ \pi^0 \bar{\nu}_\tau \\ l^+ \nu_l \bar{\nu}_\tau \end{cases}$$

- Signal side:  $\tau_{\text{sig}}^- \rightarrow \pi^- N (\rightarrow \mu^+ \mu^- \nu_\tau)$



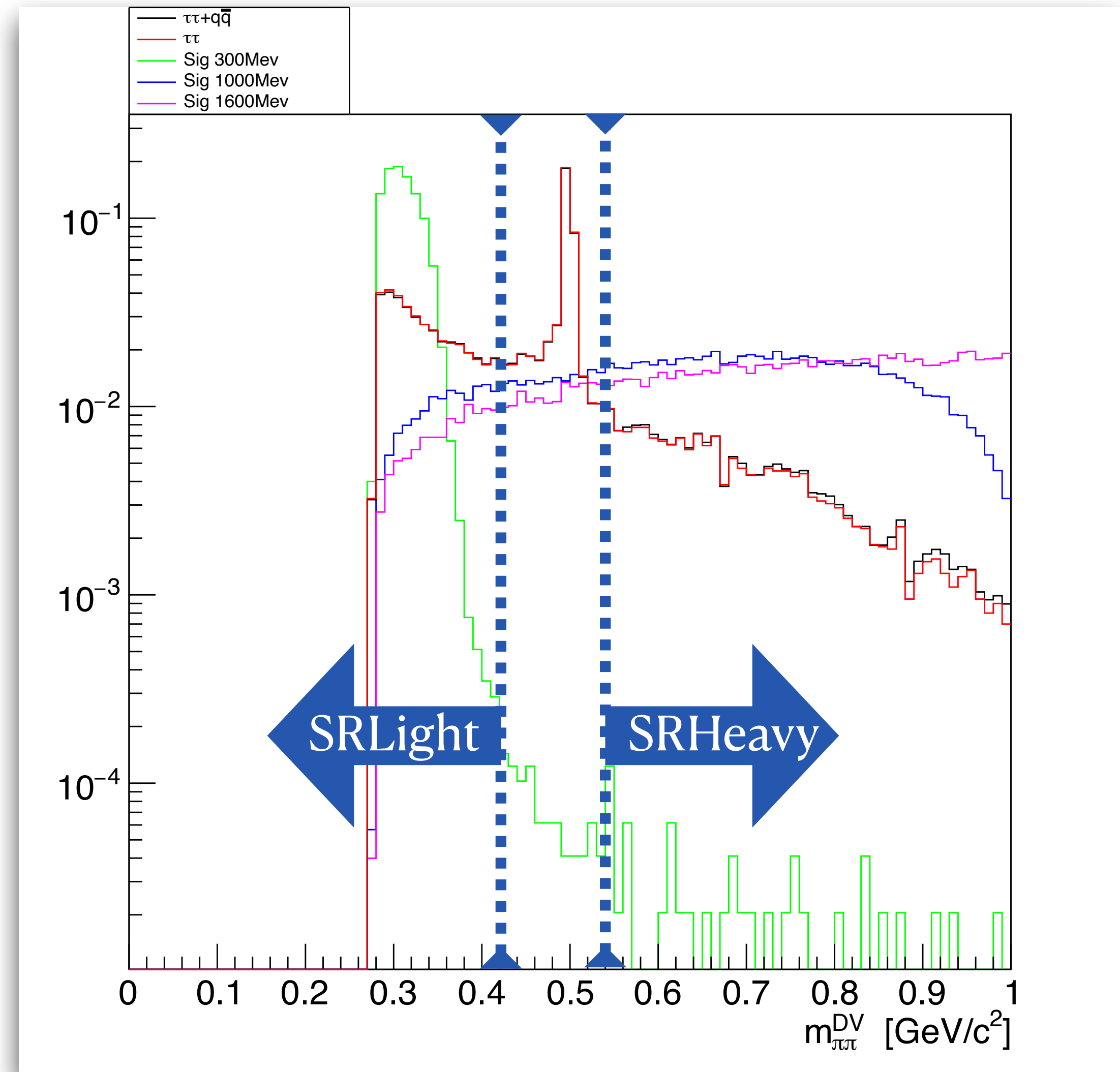
DV = Displaced Vertex

IP = Interaction Point



# $K_S^0$ rejection and definition of two signal regions

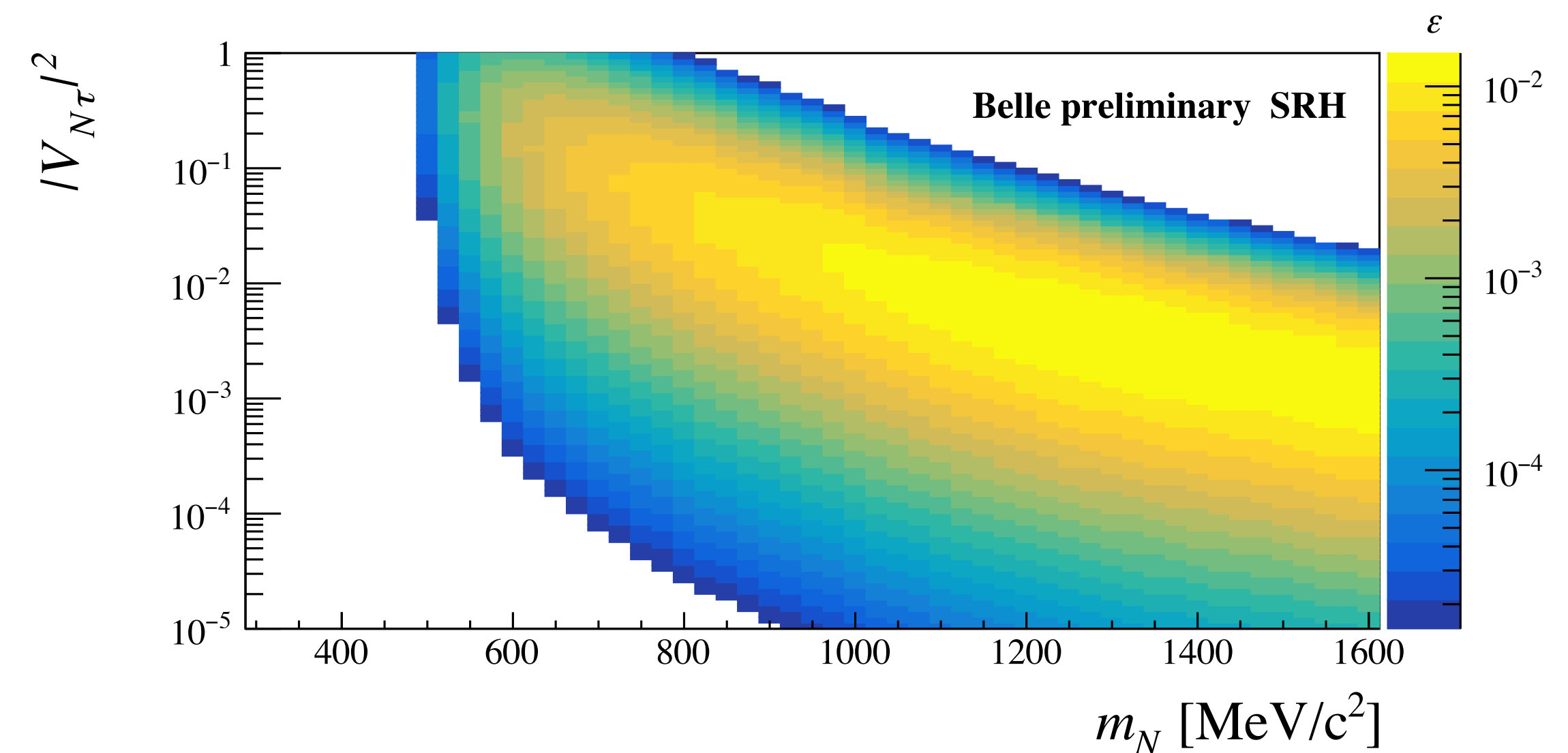
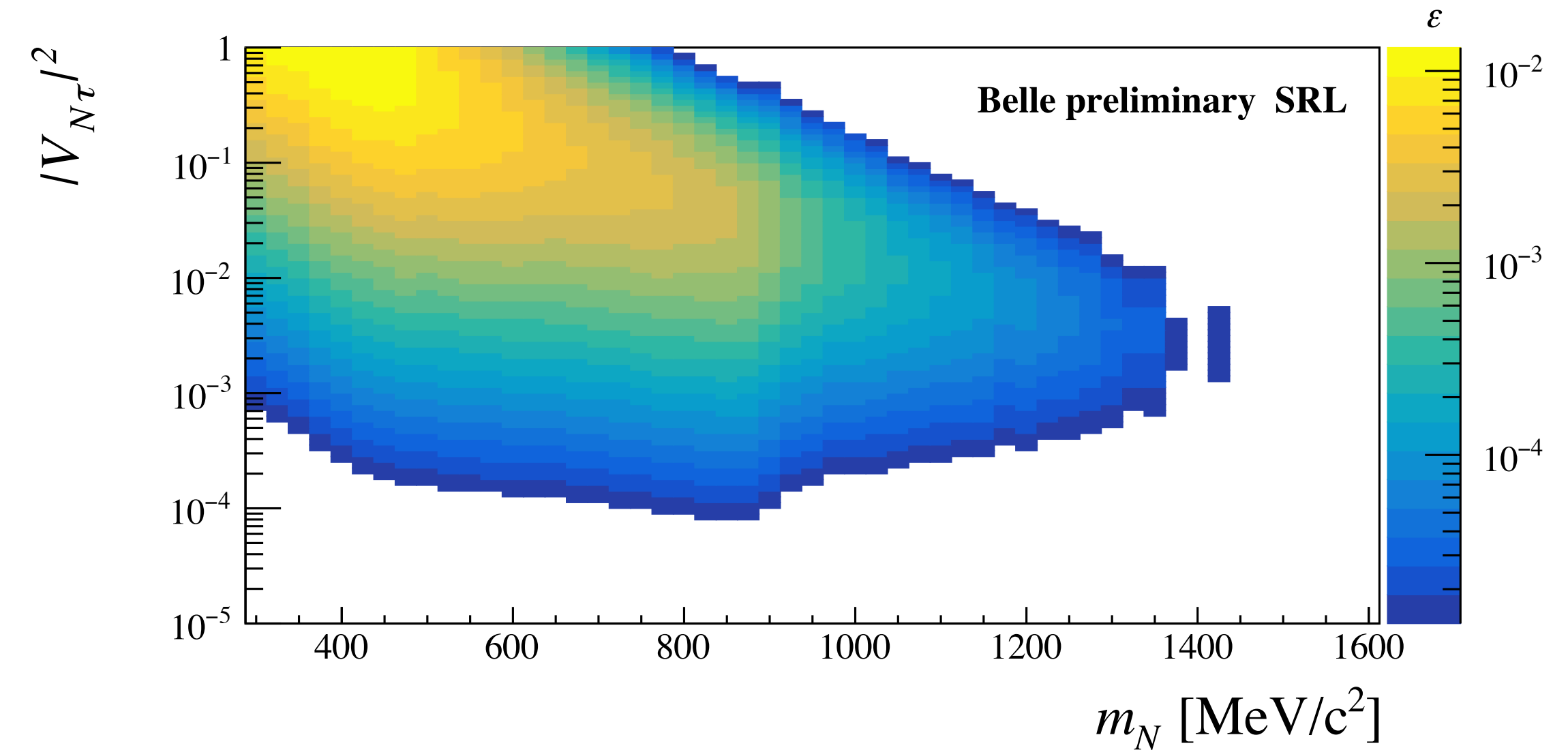
- $K_S^0 \rightarrow \pi^+ \pi^-$  can produce a displaced vertex similar to N
- Reconstruct DV mass from two DV daughters
- Events are rejected if they satisfy  $420 < m_{DV}(\pi\pi) < 520$ , where  $m_{DV}(\pi\pi)$  is the dimuon mass calculated with the pion mass hypothesis for the two muons.
- The way low mass N is distributed is different from high mass N distribution
- We divide the signal region into Low mass and High mass signal region:
  - SRH:  $m_{\pi\pi}^{DV} > 0.52 \text{ GeV}/c^2$
  - SRL:  $m_{\pi\pi}^{DV} < 0.42 \text{ GeV}/c^2$





## more on Analysis Method

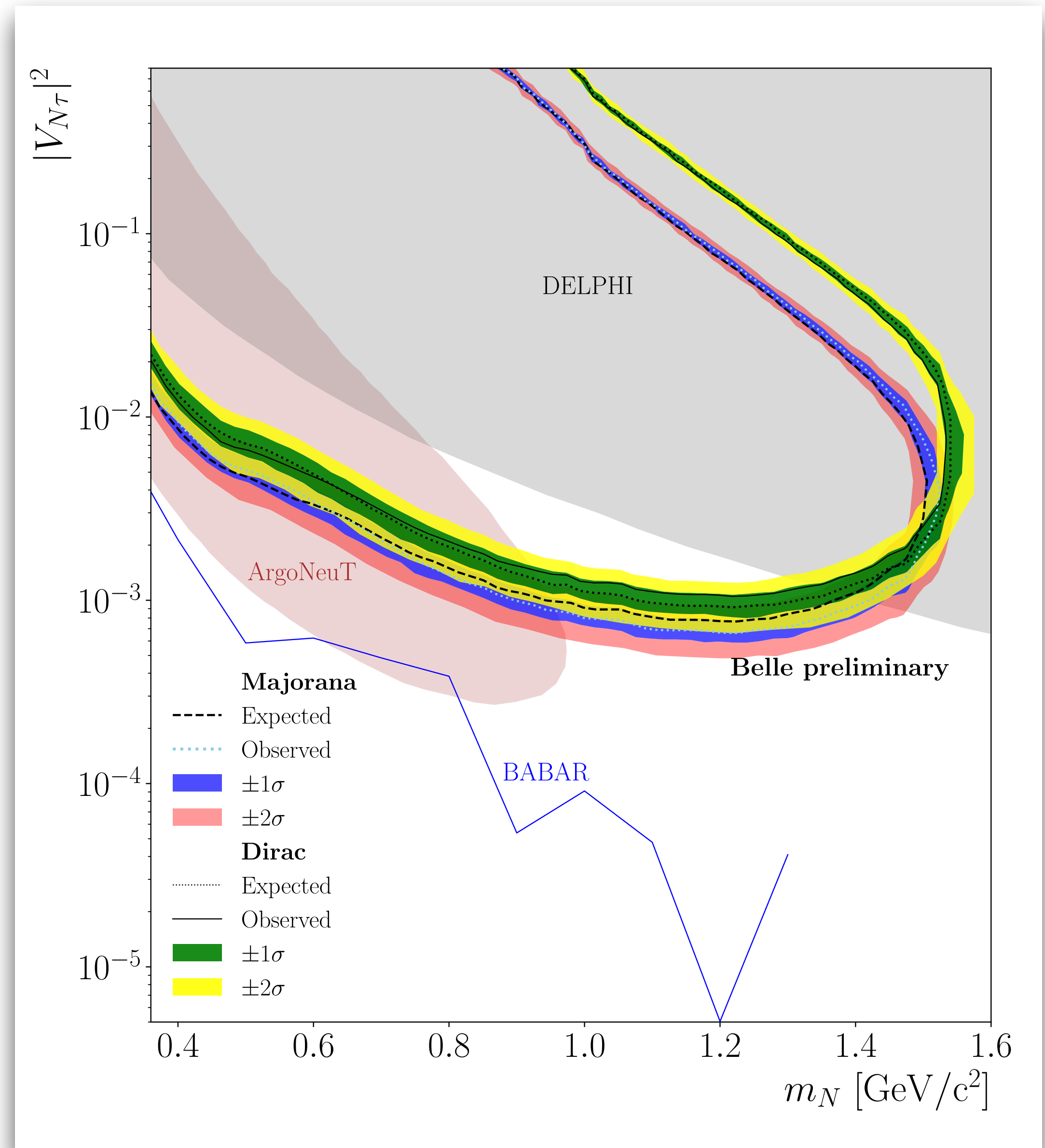
- The constraints of the signal decay enable reconstruction of the full kinematics of the signal- $\tau$  decay chain with a two-fold ambiguity
- $N_{signal} = N_{\tau\tau} \times B(\tau \rightarrow \pi N) \times B(N \rightarrow \mu^+ \mu^- \nu_\tau) \times \epsilon$ , where  $\epsilon$  is the efficiency
- The total signal efficiencies in SRH and SRL as a function of  $|V_{N\tau}|^2$  and  $m_N$  are estimated
- The background yield expectations is the source of largest relative systematic uncertainty
- Other uncertainties arise from HNL branching fraction and decay modeling, luminosity, cross section the uncertainty on the reconstruction of the two prompt tracks
- All systematic uncertainties are handled with the nuisance parameters using  $CL_s$  prescription





# Results

- In the signal regions targeting heavy and light HNLs we observe 1 and 0 events, respectively, in agreement with the background expectation.
- A grid in the  $m_N$  vs  $|V_{N\tau}|^2$  parameter space. In  $m_N$ , grid points separated by 25 MeV. In  $|V_{N\tau}|^2$ , 20 points per decade
- For each point, we calculate observed  $CL_s$  values, expected  $CL_s$  values and expected  $CL_s$  values with  $\pm 1\sigma$  and  $\pm 2\sigma$
- The search method, used here for the first time, utilizes the displaced vertex originating from the long-lived Heavy Neutral Lepton decay and the ability to reconstruct the Heavy Neutral Lepton candidate mass to suppress the background to the single-event level.
- Allows for direct measurement of the N mass if a signal is observed
- Our limits are the most stringent to date





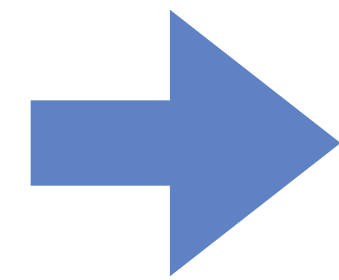
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# Dark Leptophilic Scalar

- Scalars other than Higgs boson appear in many BSM theories
- The mixing between this dark scalar  $\phi_L$  and the SM Higgs boson gives rise to couplings proportional to SM fermion masses, described by
- Couples to both quarks and leptons, the existence of such particles is strongly constrained by the searches for rare flavor-changing neutral current decays of mesons, e.g.  $B \rightarrow K\phi$  and  $K \rightarrow \pi\phi$ 
  - However, these bounds are evaded if the coupling of the scalar to quarks is suppressed and this scalar interacts preferentially with leptons.
- Can explain
  - $(g - 2)_\mu$  anomaly
  - Lepton flavor universality violation



$$\mathcal{L} = -\xi \sum_{\ell=e,\mu,\tau} \frac{m_\ell}{v} \bar{\ell} \phi_L \ell$$

$\xi$  = coupling constant independent of lepton flavor,

$m_\ell$  = mass of lepton

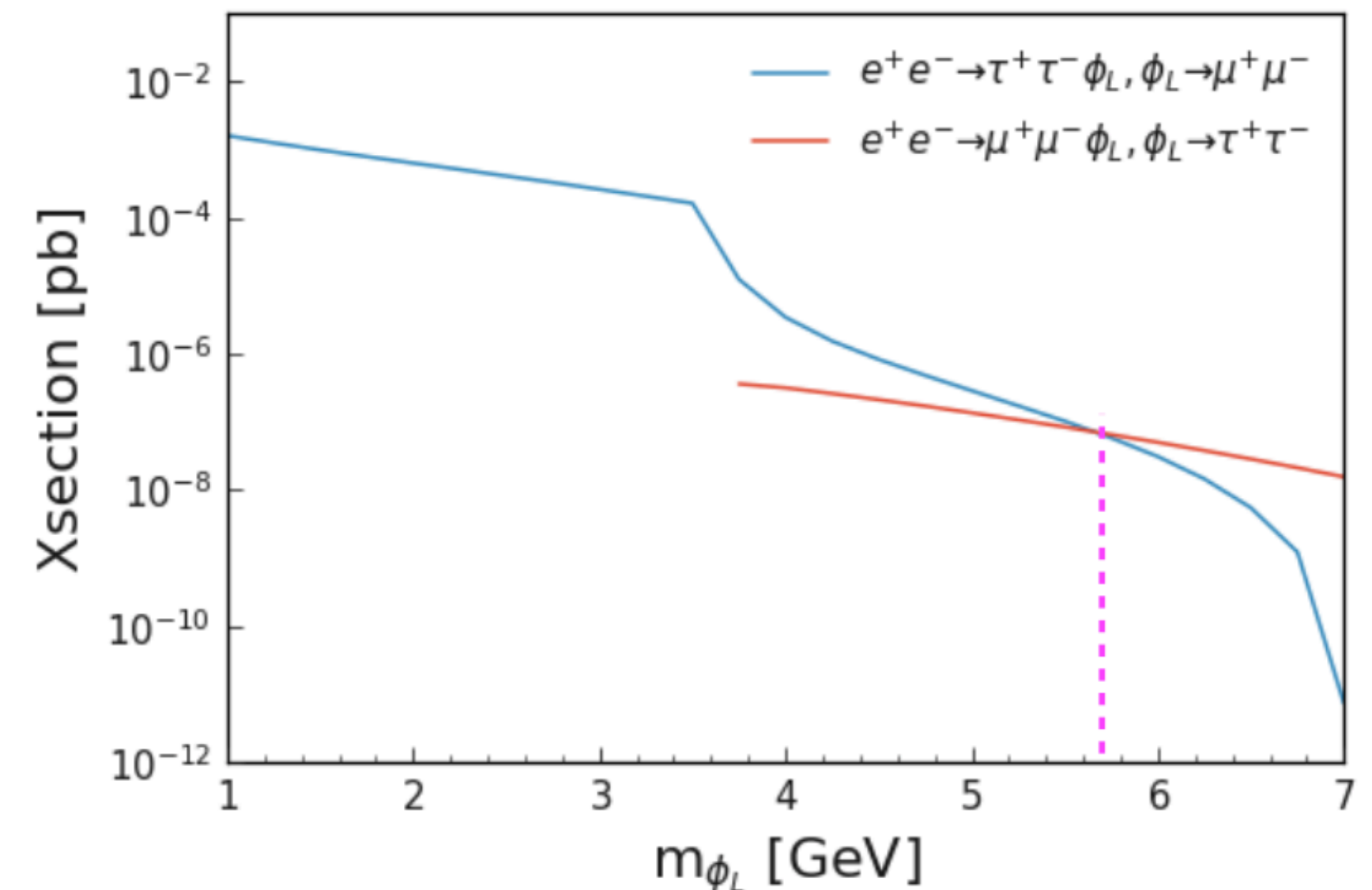
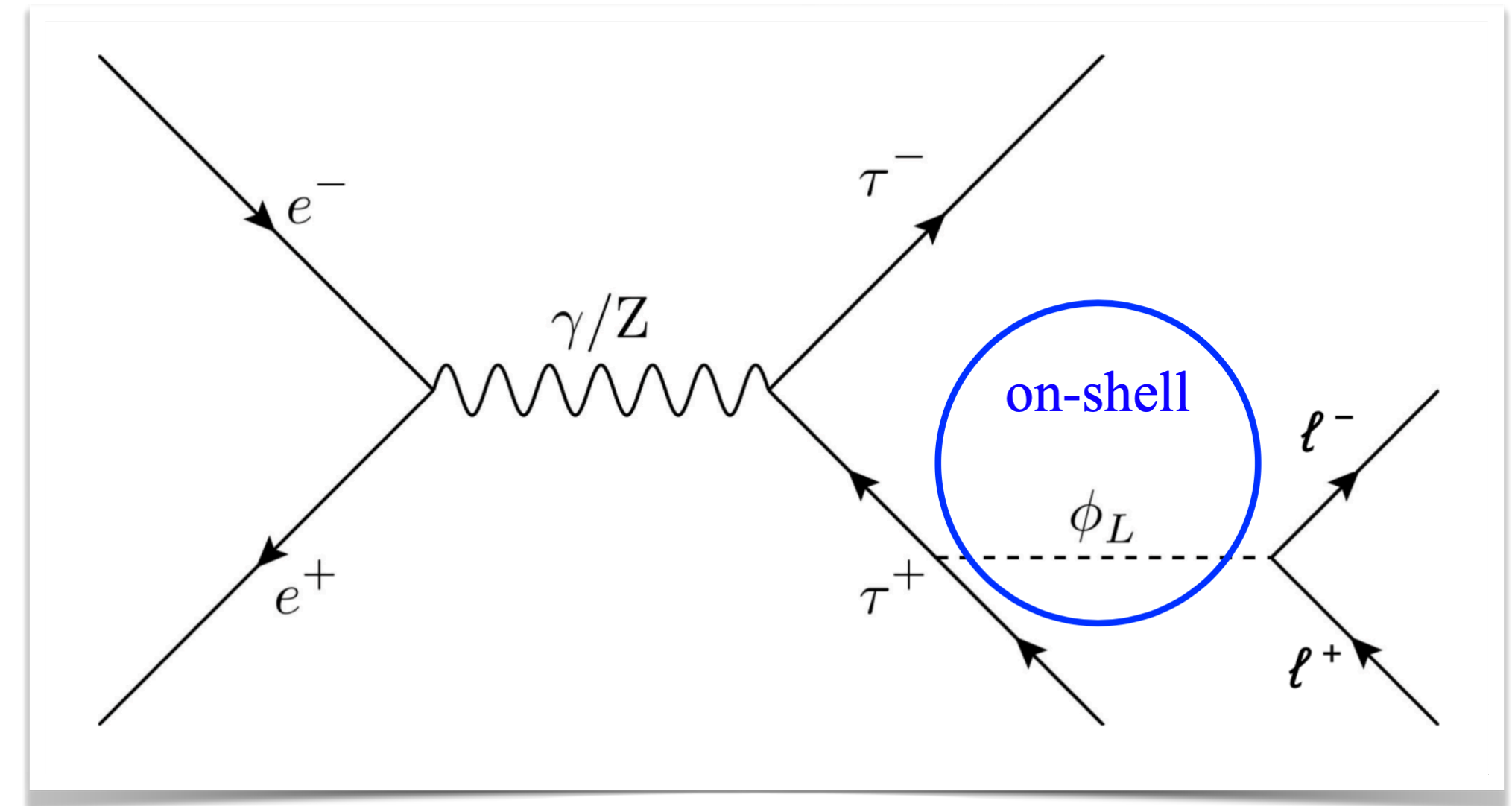
$v = 246$  GeV, is the vacuum expectation value of the Higgs field



# Analysis Method

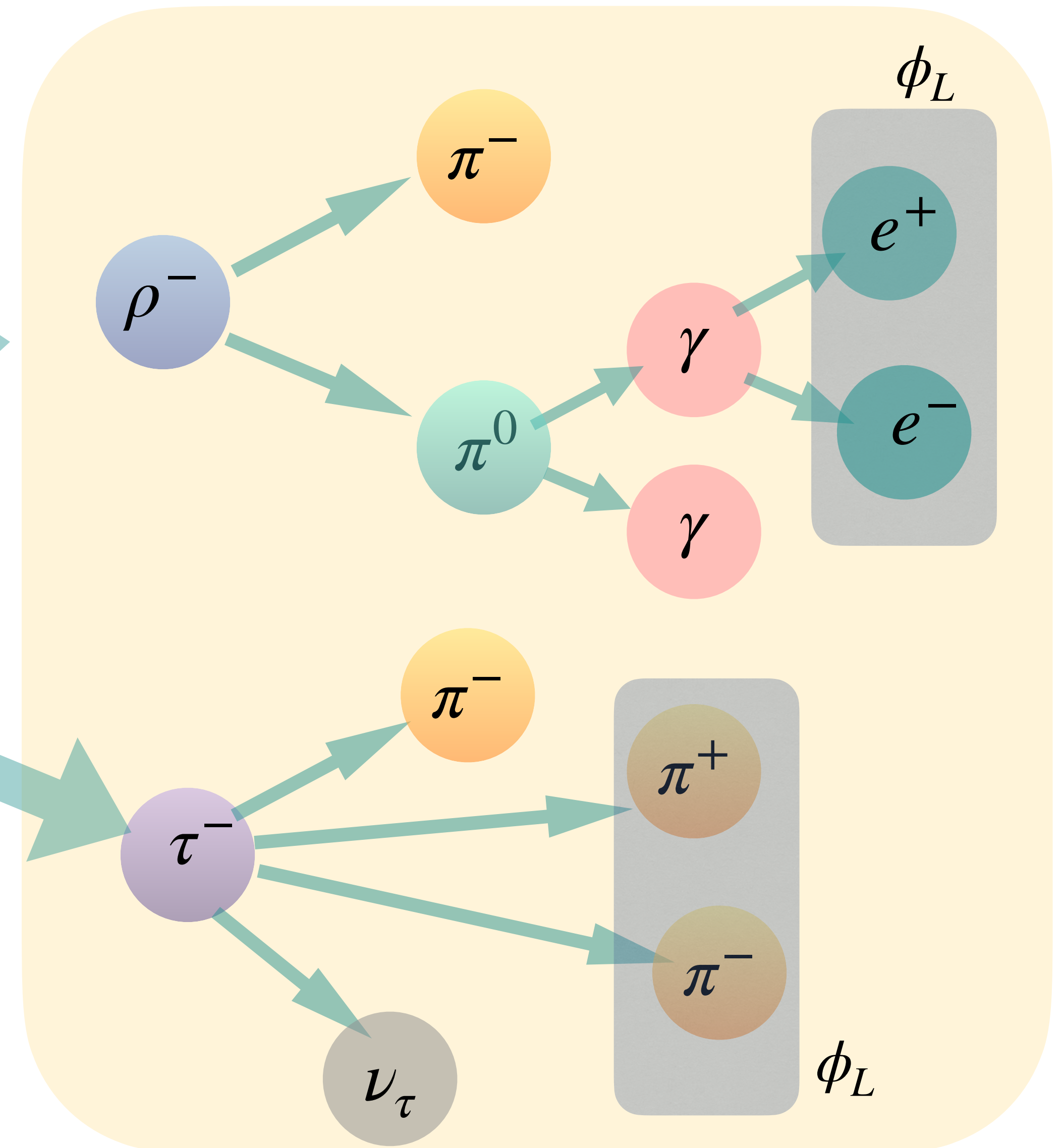
- $e^+e^- \rightarrow \tau^+\tau^-\phi_L, \phi_L \rightarrow e^+e^-/\mu^+\mu^-$
- The scalar decays to a pair of leptons: **search for narrow peak** in lepton pair invariant mass distribution
  - $\phi_L \rightarrow e^+e^-$  for  $m_{\phi_L} < 2m_\mu$
  - $\phi_L \rightarrow \mu^+\mu^-$  for  $m_{\phi_L} > 2m_\mu$
- High production cross-section times branching ratio in the region  $40 \text{ MeV} < m_{\phi_L} < 6.5 \text{ GeV}$ .
- Our search has sensitivity to place competitive limits on till  $m_{\phi_L} < 6.5 \text{ GeV}$

626  $fb^{-1}$  data from Belle detector



# Analysis Method: Event reconstruction and background

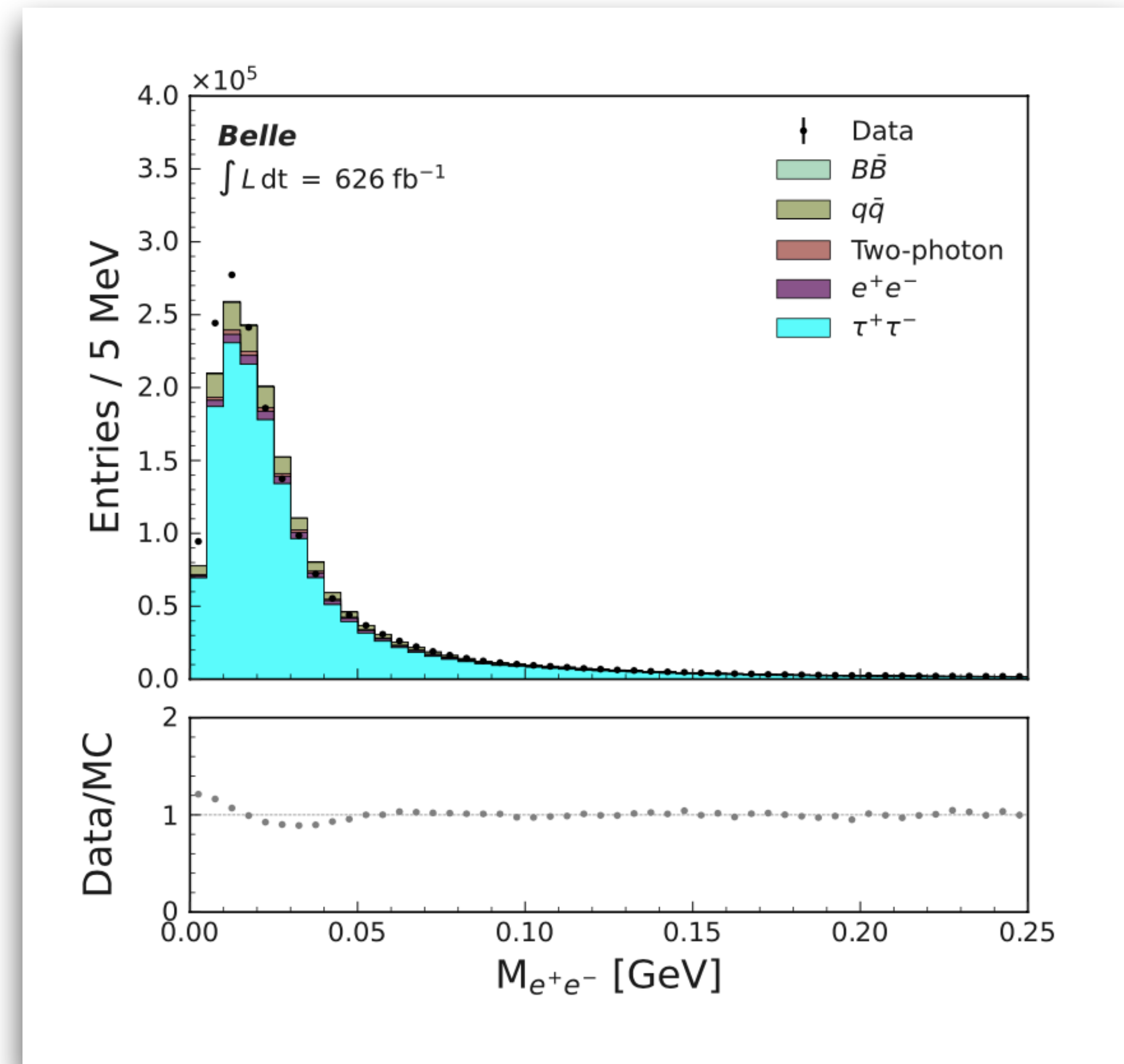
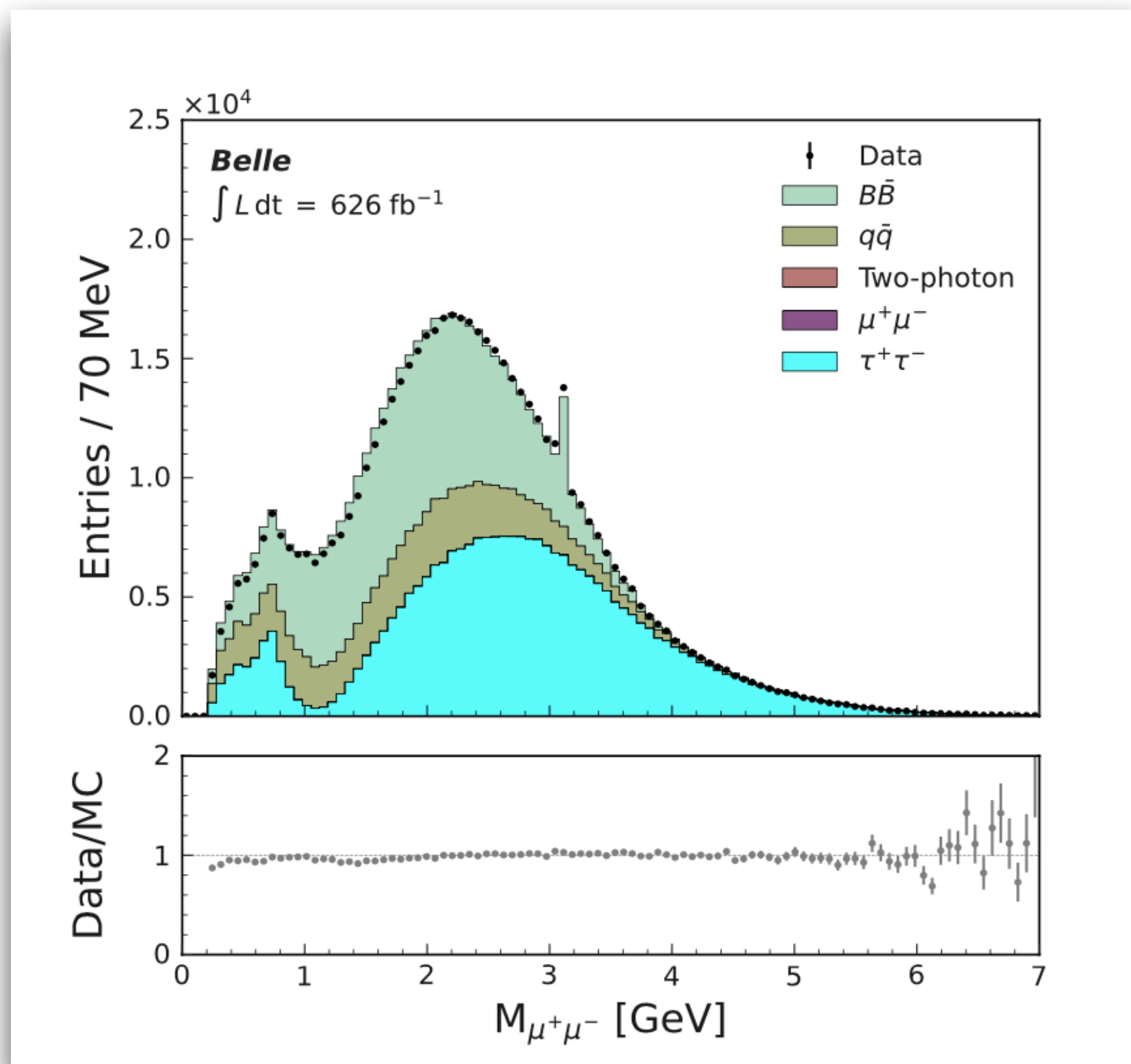
- Requirement of 4 track events with net charge 0
- At least two tracks are identified as  $e/\mu \rightarrow$  Same vertex
- Two known backgrounds
  - also  $q\bar{q}, l^+l^-, l^+l^-l^+l^-, l^+l^-h^+h^-$  backgrounds
- backgrounds are suppressed using BDTs





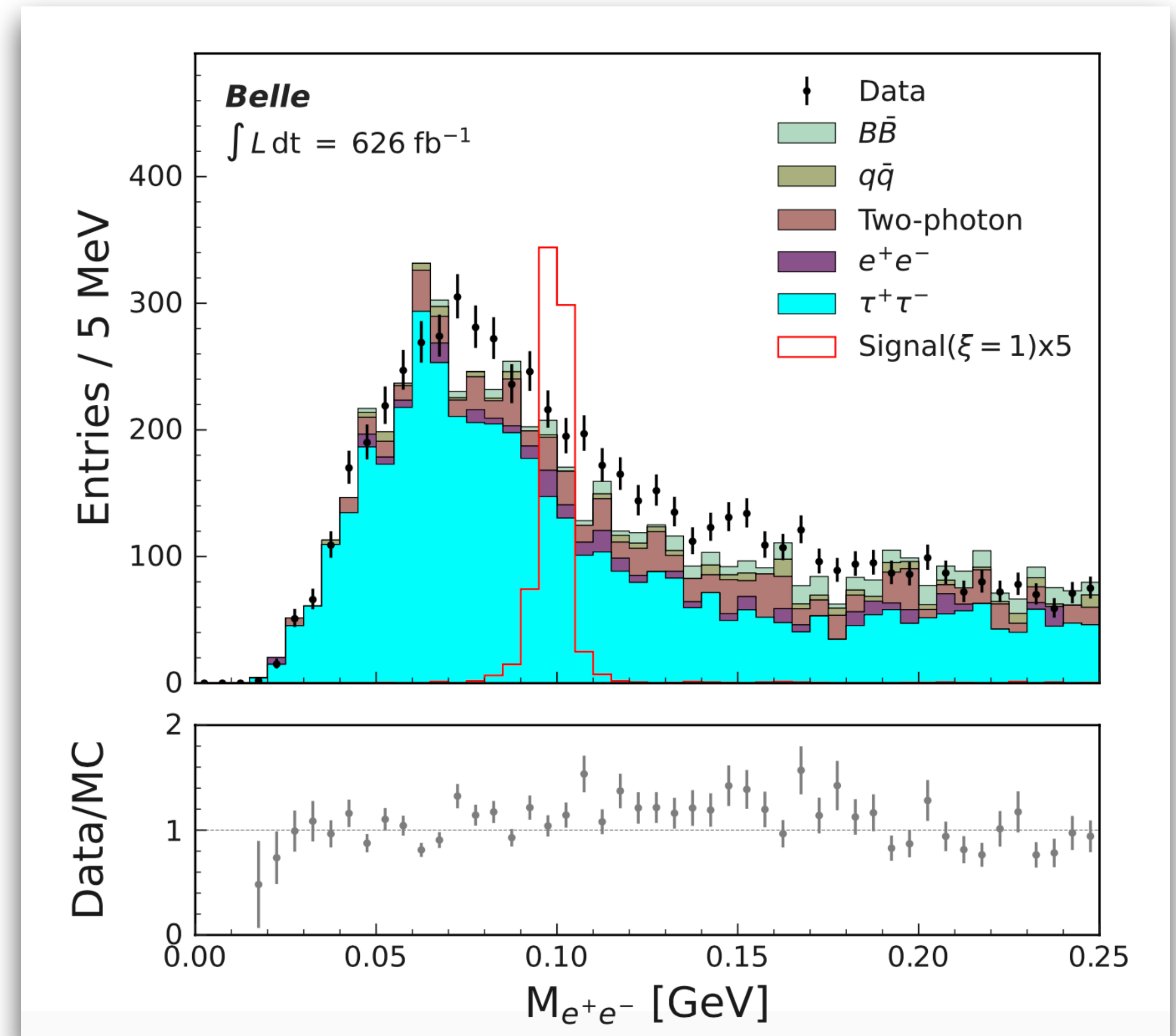
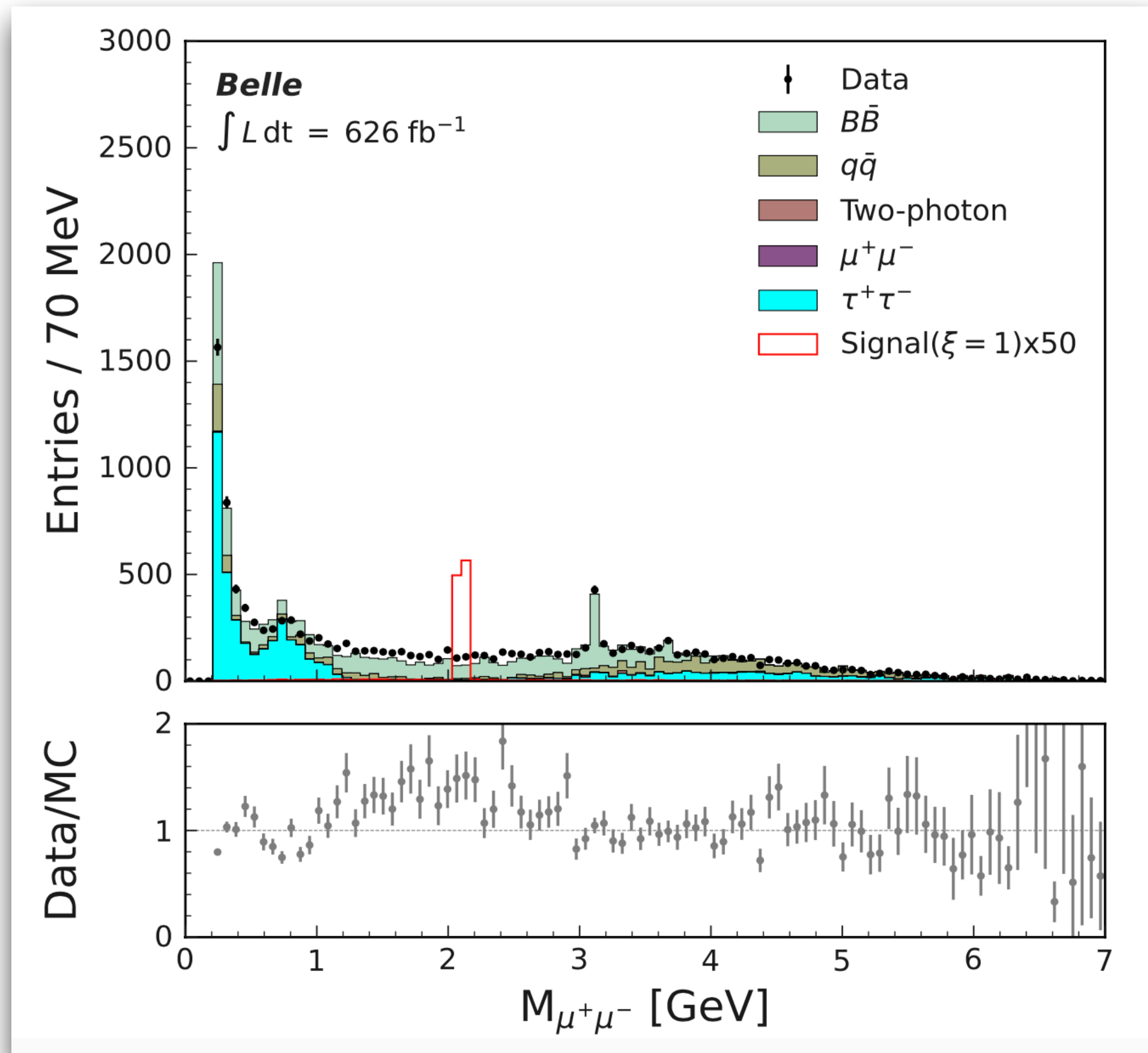
# Results

- Extraction of the signal:
  - fitting  $l^+l^-$  invariant mass distribution
  - evaluation at each mass point of  $\phi_L$
- Good agreement seen in data vs. Monte Carlo comparison in control regions:  $\text{BDT} < 0.5$



# Results

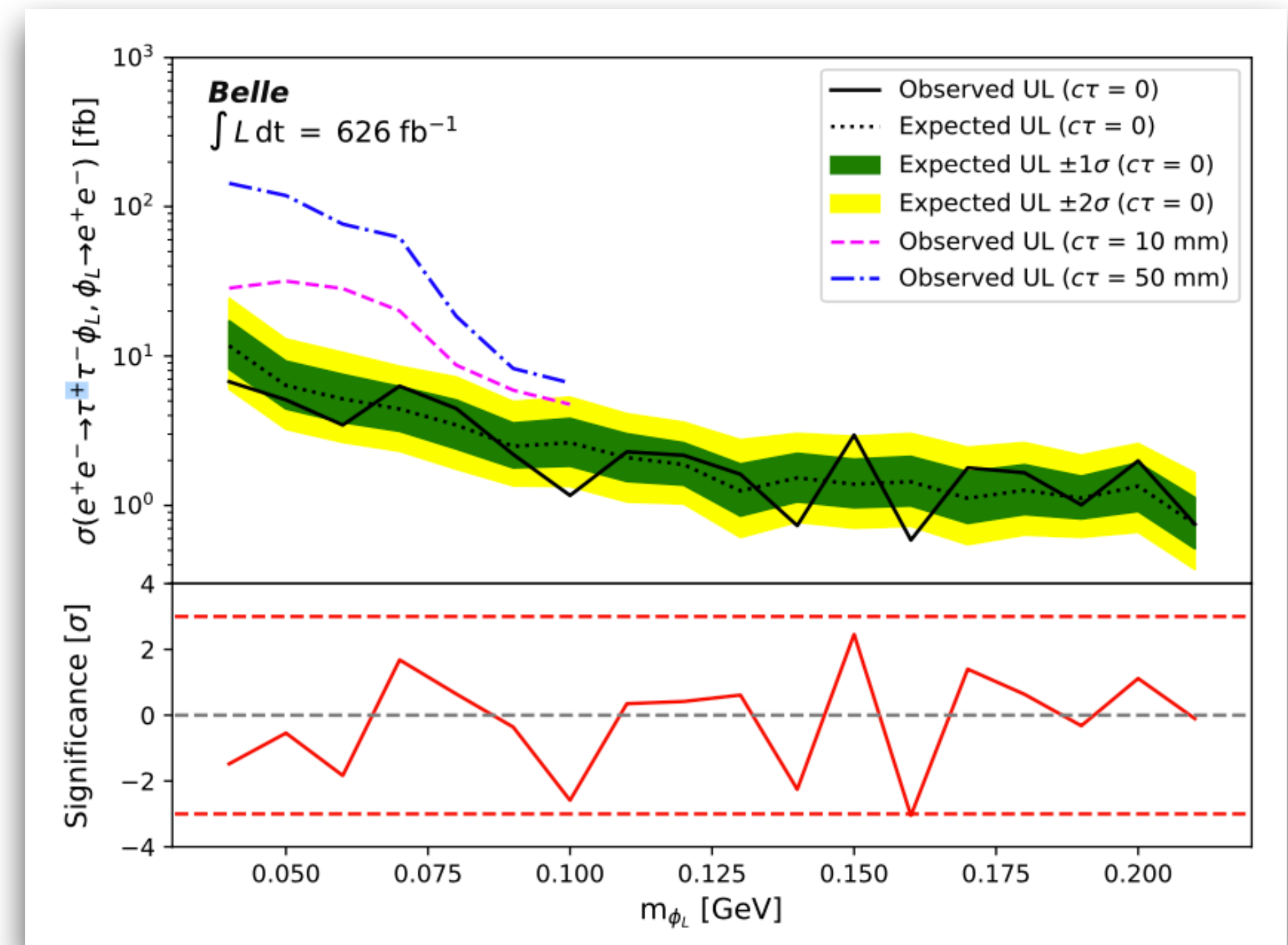
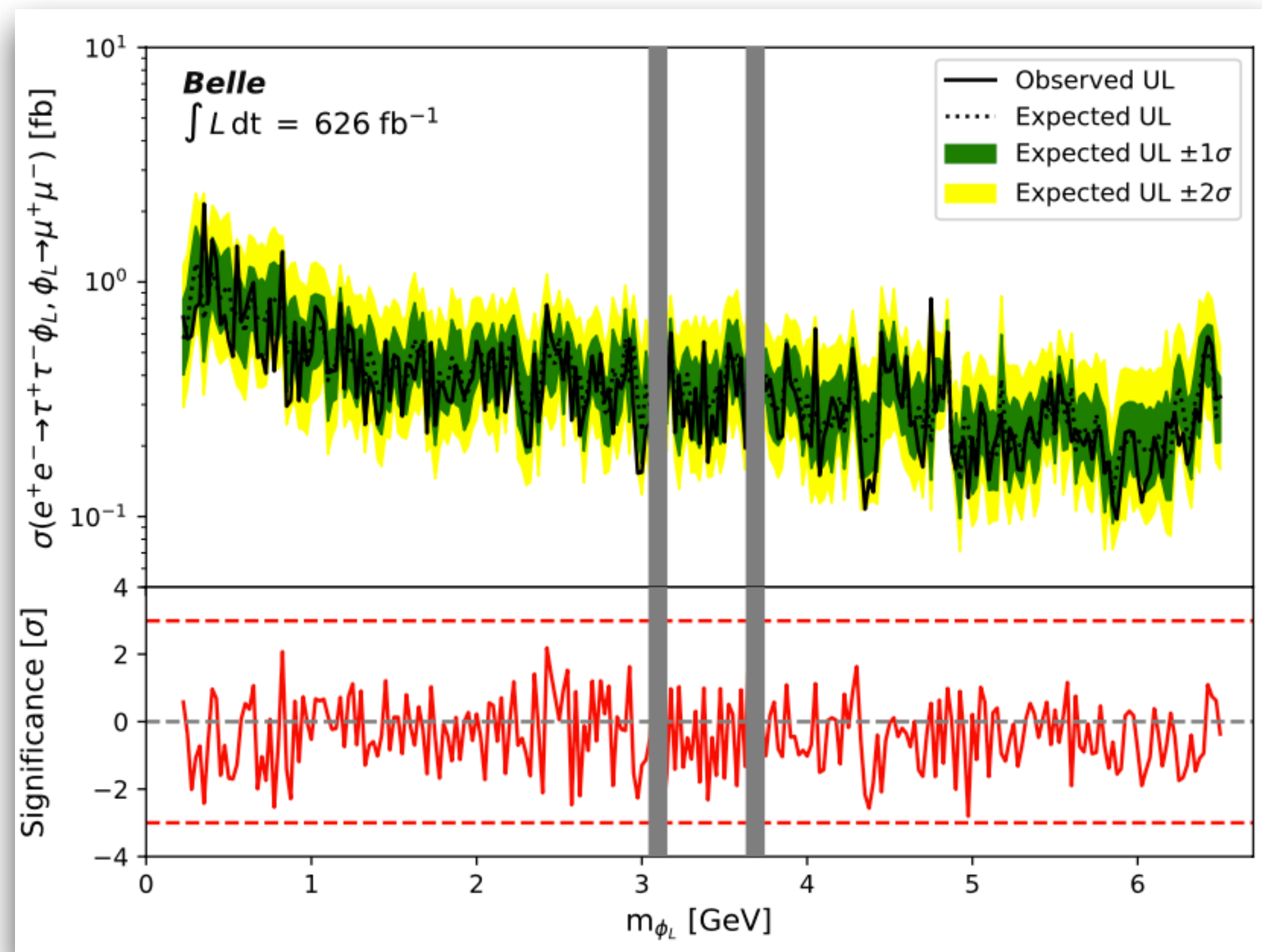
- Signal region: No obvious narrow peak structure is observed





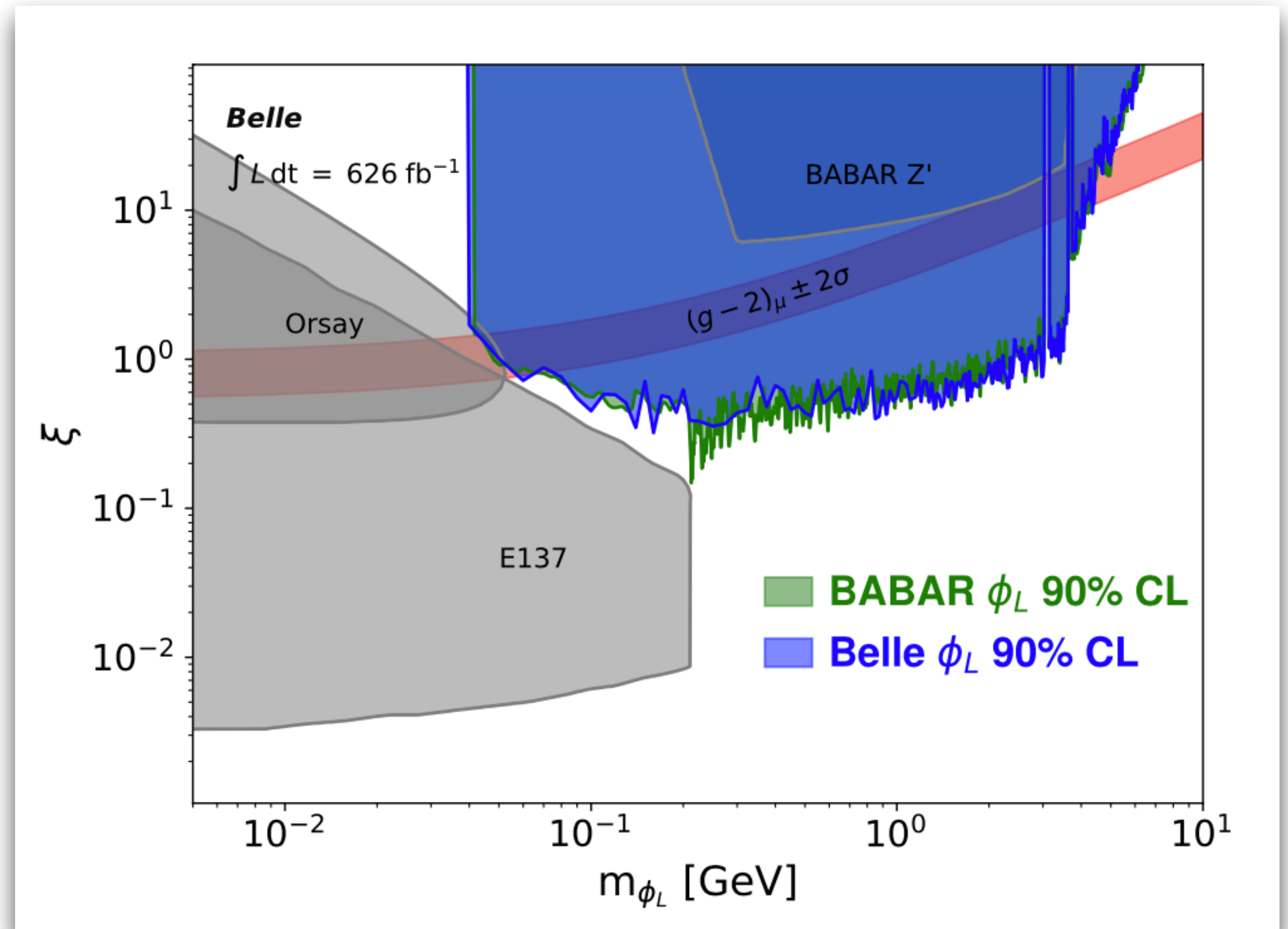
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- Good agreement seen in data vs. Monte Carlo comparison in control regions:  $\text{BDT} < 0.5$
- 90% confidence level upper limits on the signal cross-section
- No significant excess in all masses



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- 90% confidence level upper limits on the signal cross-section
- No significant excess in all masses
- 90% confidence level upper limits on the coupling constant
- No  $\phi_L$  can explain observed excess in  $(g - 2)_\mu$  for  $m_{\phi_L} < 4 \text{ GeV}$





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## Motivation

- Light, beyond-the-standard-model bosons( $\alpha$ ) that are not directly detectable (invisible) are predicted in models with, e.g., axion-like particles([link](#))
- Direct search in  $\tau^- \rightarrow \ell^- \alpha$  ( $\ell = e/\mu$ )
- This process was previously searched for by the MARK III and ARGUS collaborations([link](#)).
- The current best upper limits on the  $\tau^- \rightarrow \ell^- \alpha$  branching fractions(at 95% confidence level where the range indicates their dependence on the  $\alpha$  mass in the (0–1.6) GeV/ $c^2$  range)

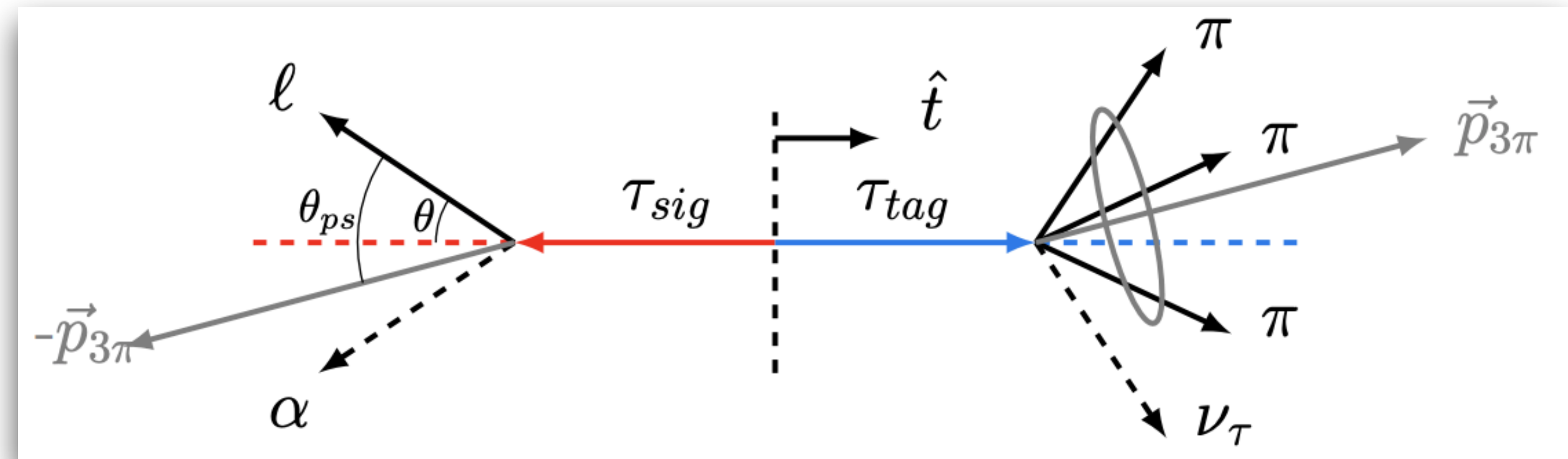
- $$\frac{\mathcal{B}(\tau^- \rightarrow e^- \alpha)}{\mathcal{B}(\tau \rightarrow e^- \bar{\nu}_e \nu_\tau)} < (6 - 36) \times 10^{-3}$$

- $$\frac{\mathcal{B}(\tau^- \rightarrow \mu^- \alpha)}{\mathcal{B}(\tau \rightarrow e^- \bar{\nu}_\mu \nu_\tau)} < (3 - 34) \times 10^{-3}$$



# Analysis Method

- In the center-of-mass frame,  $\tau$  pairs are produced back to back
- Requirements:
  - tag side: contain 3 charged particle from  $\tau^- \rightarrow h^- h^+ h^- \nu_\tau$  ( $h = K, \pi$ )
  - signal side: contain one charged particle
- $\tau \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$ : irreducible background: however, the magnitude of the lepton momentum depends only on the  $\alpha$  mass : the difference thus exploited



- $\tau$  pseudo rest frame is formed:  $\hat{p}_\tau \approx \frac{-\vec{p}_{3h}}{|\vec{p}_{3h}|}$
- Search for a peak in normalized lepton energy  $x_\ell \equiv \frac{E_\ell^*}{m_\tau c^2/2}$ ,  
 $E_\ell^*$  = is the energy of the charged lepton in the  $\tau$  pseudo rest frame

62.8  $fb^{-1}$  data from Belle II detector: 57.7 Million  $\tau$  pairs

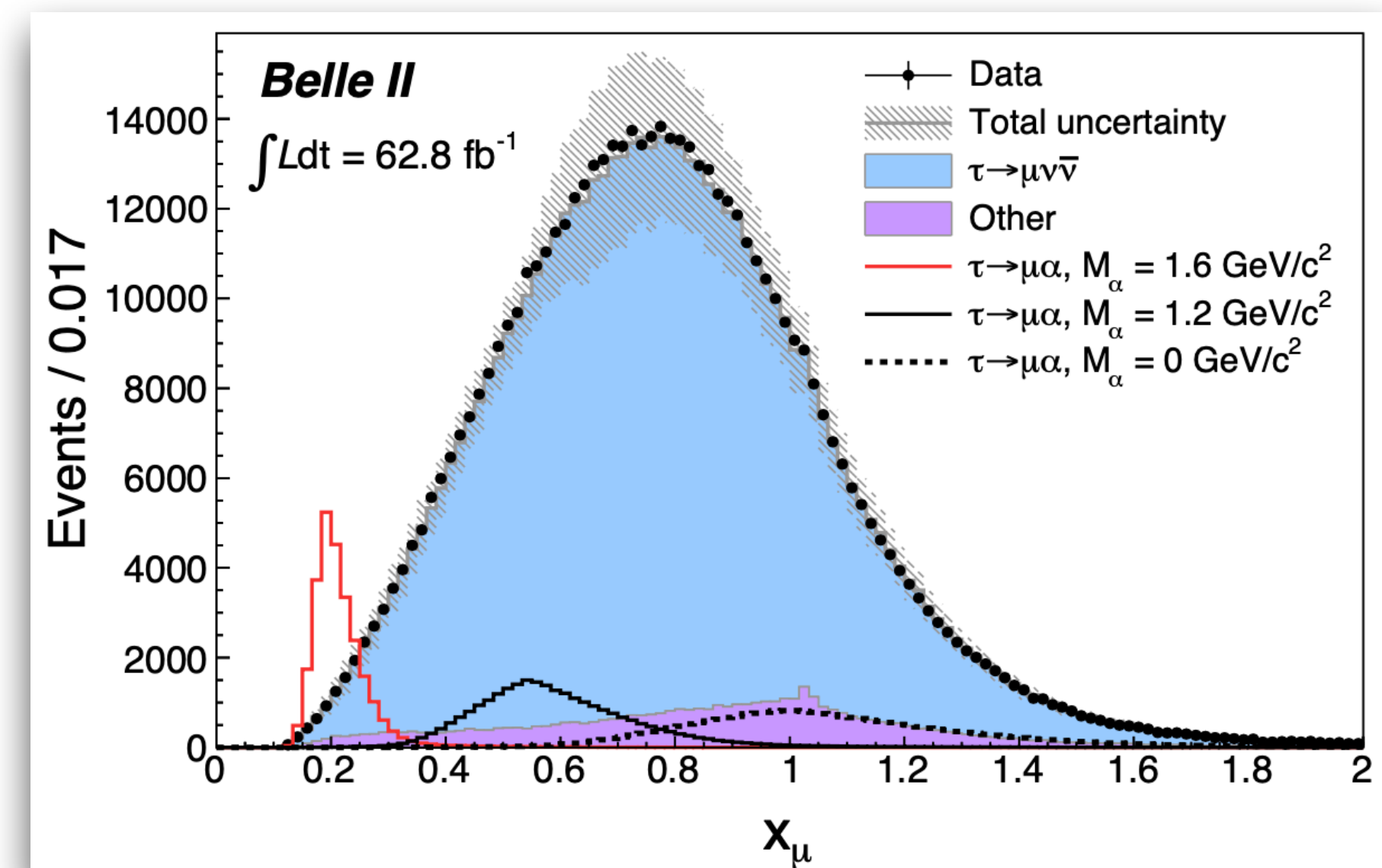
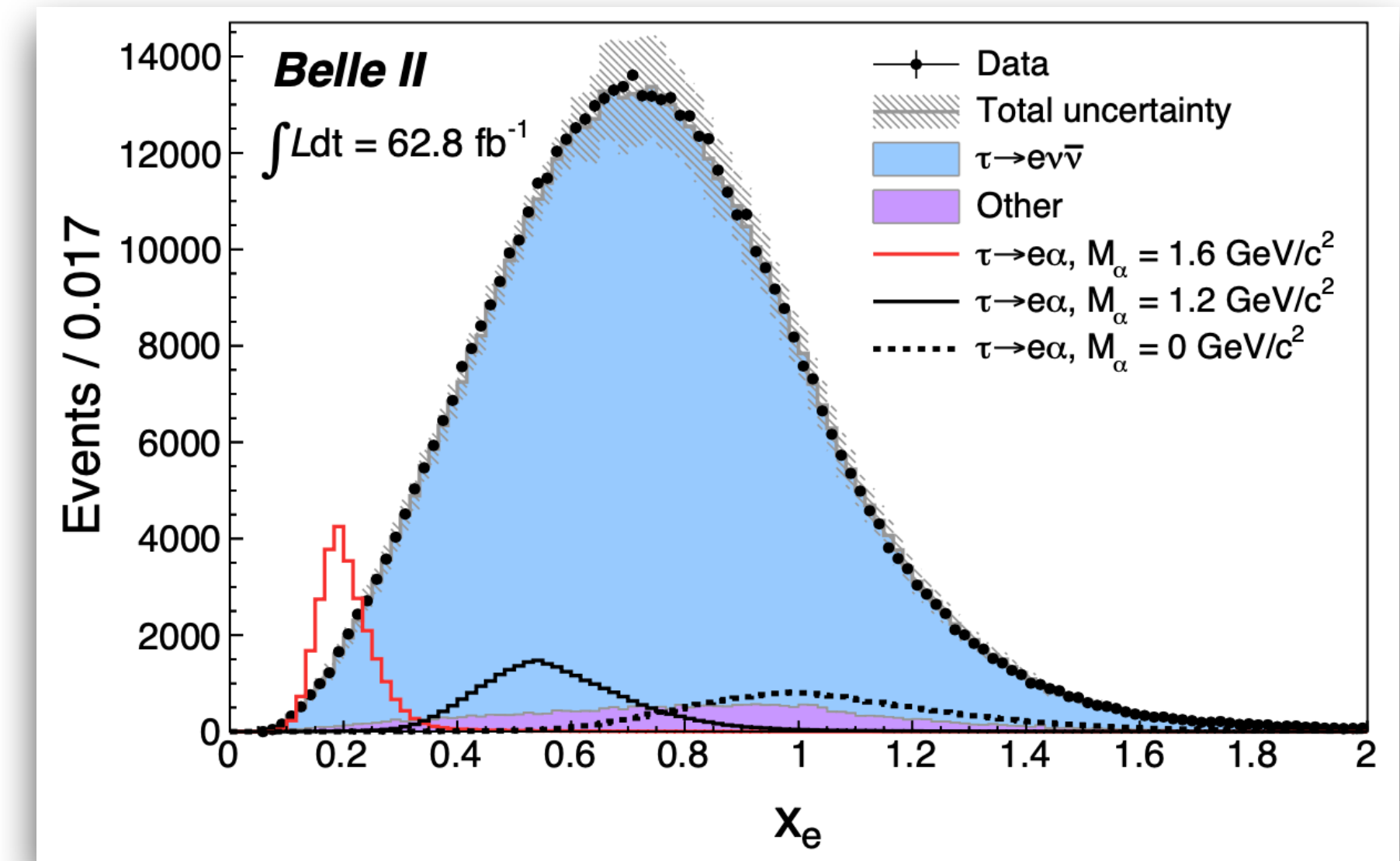
- Then measure the branching-fraction ratio

$$\frac{\mathcal{B}_{\ell\alpha}}{\mathcal{B}_{\ell\bar{\nu}\nu}} = \frac{\mathcal{B}(\tau^- \rightarrow \ell^- \alpha)}{\mathcal{B}(\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau)}$$

Only 15% of the second pixel layer was installed when the data used in this work were collected.

# Results

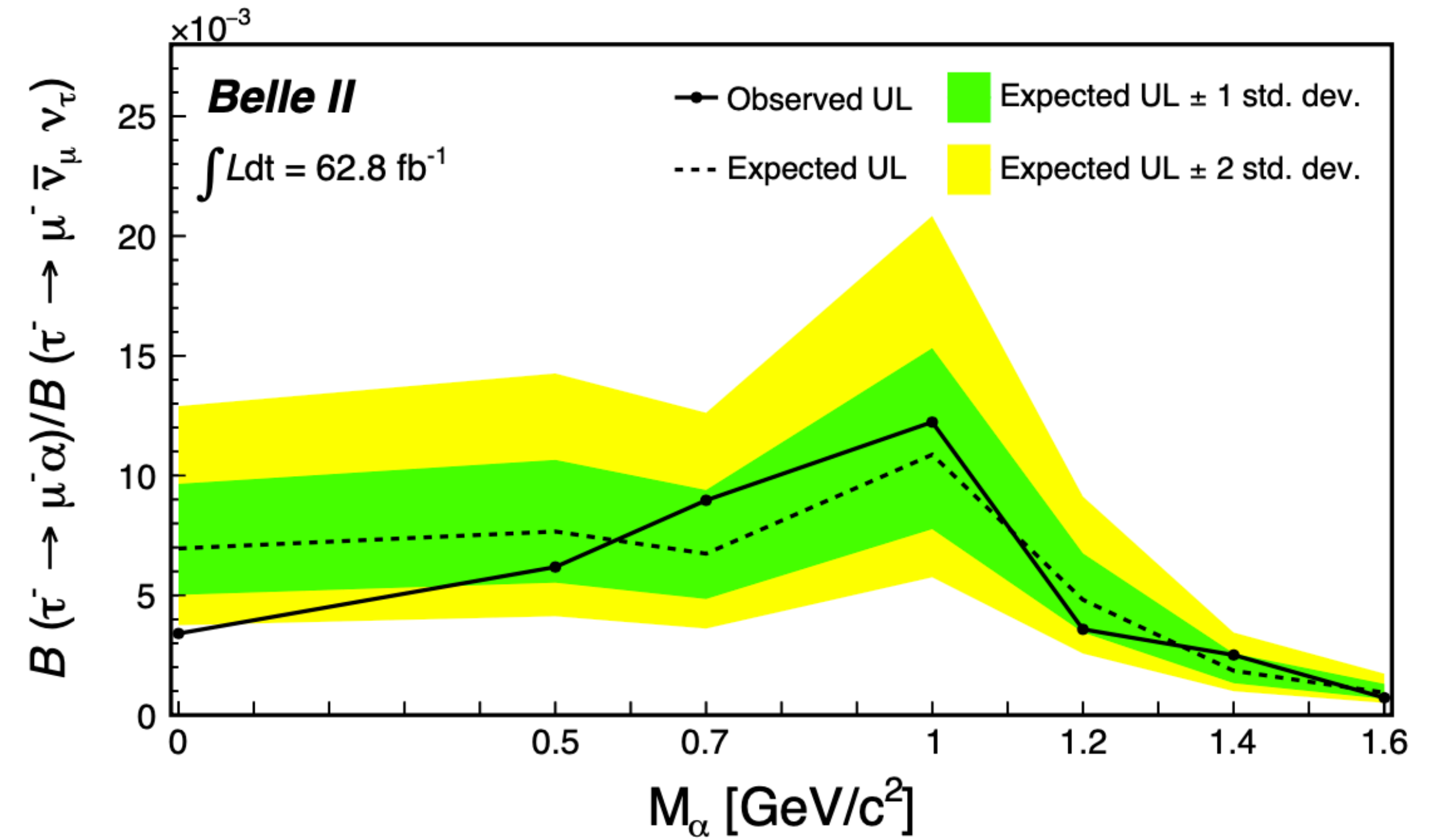
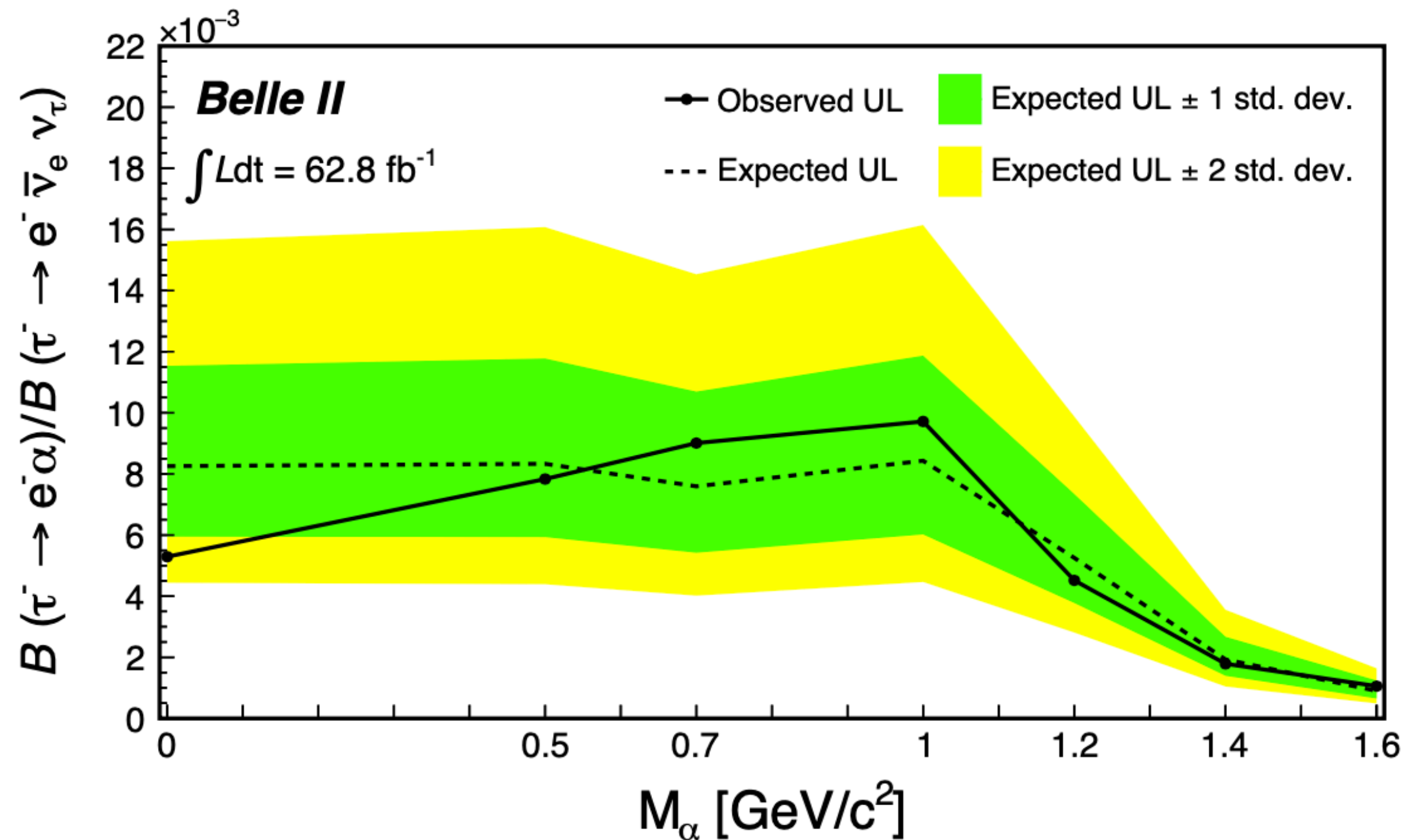
- In Spectra of  $x_l$  for electrons and muons in simulation and experimental data
- Simulated spectra for standard-model processes are shown stacked, with the gray band indicating the total uncertainty
- Total uncertainty is dominated by the lepton-identification efficiency uncertainty
- Remaining background processes other than  $\tau \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$  contributing to the spectrum are combined together and collectively referred to as “other”
- The distributions for  $\tau \rightarrow \ell^- \alpha$  are shown for three  $\alpha$  masses assuming branching-fraction ratios of 5%





# Results

- Fit with SM and SM+NP expectations, compare likelihood of the two models
- No statistically significant signal observed
- Upper limits on 95% CL



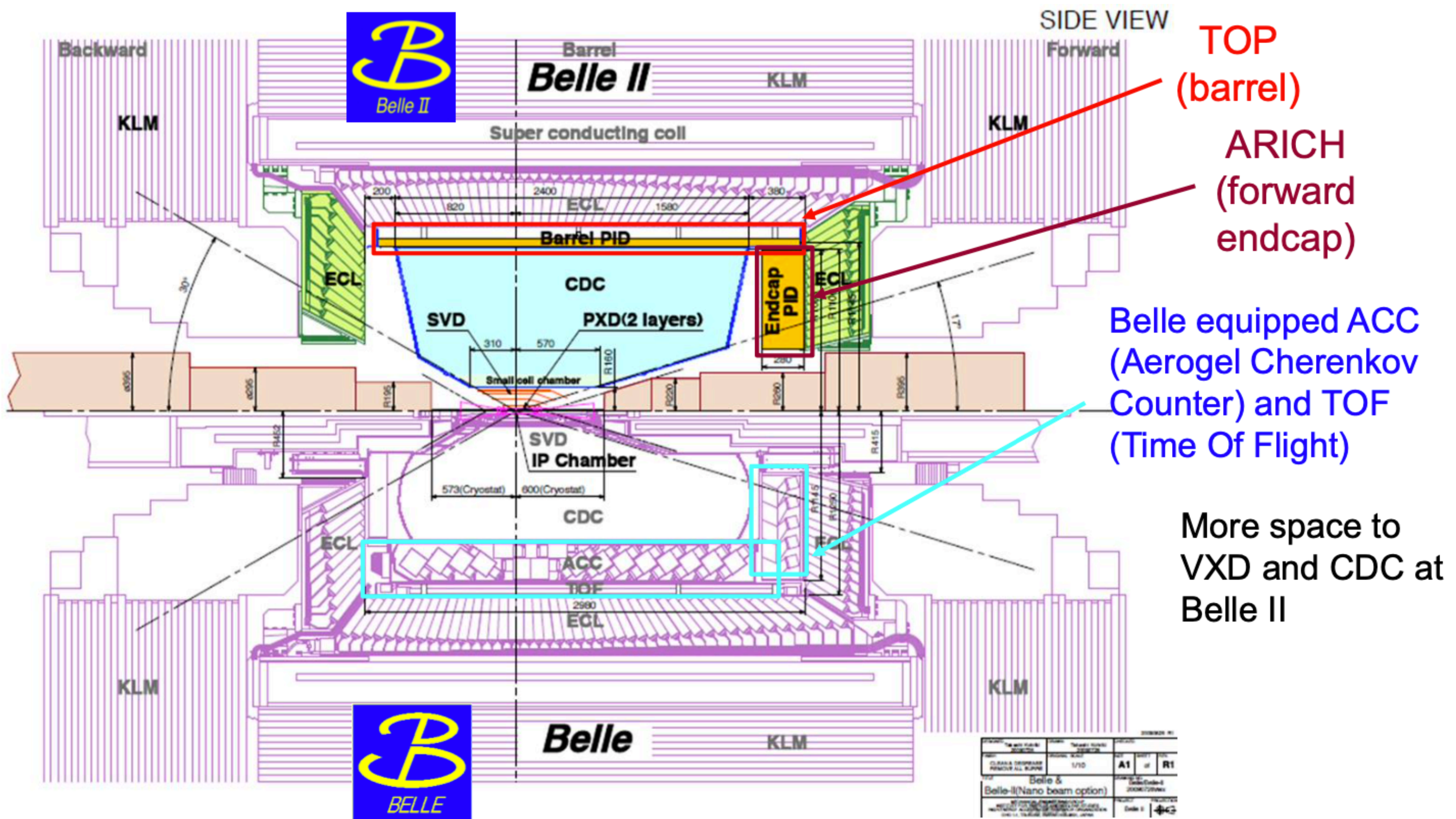
most stringent limits on invisible spin-0 boson production from  $\tau$  lepton decays till date

- No significant excess observed
- Most stringent limits in all three analyses



# Backups

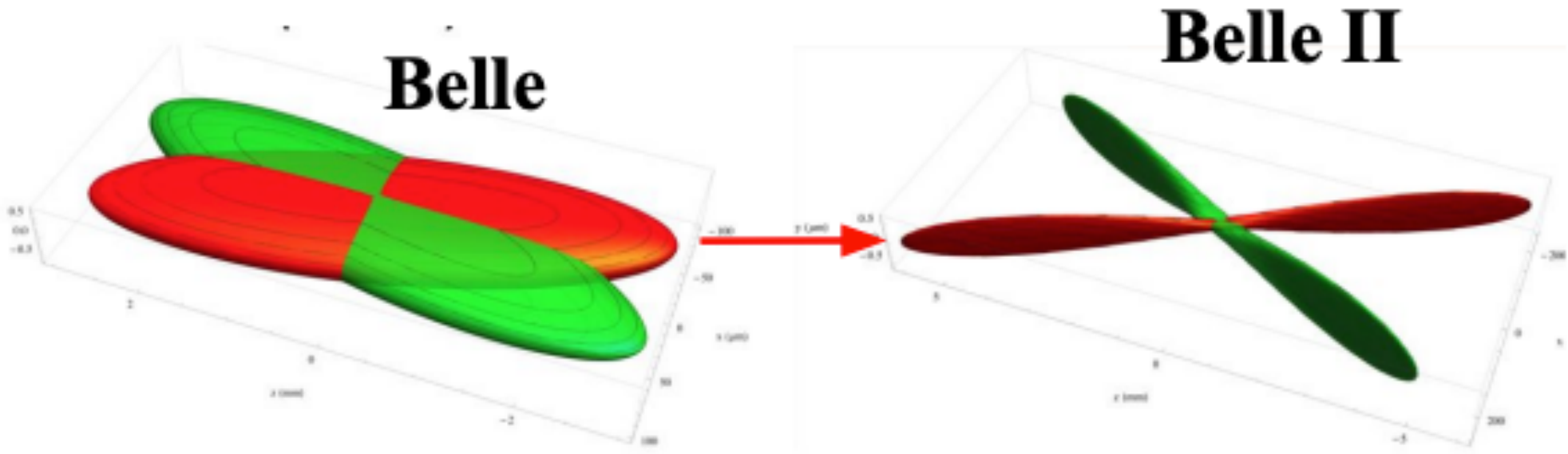
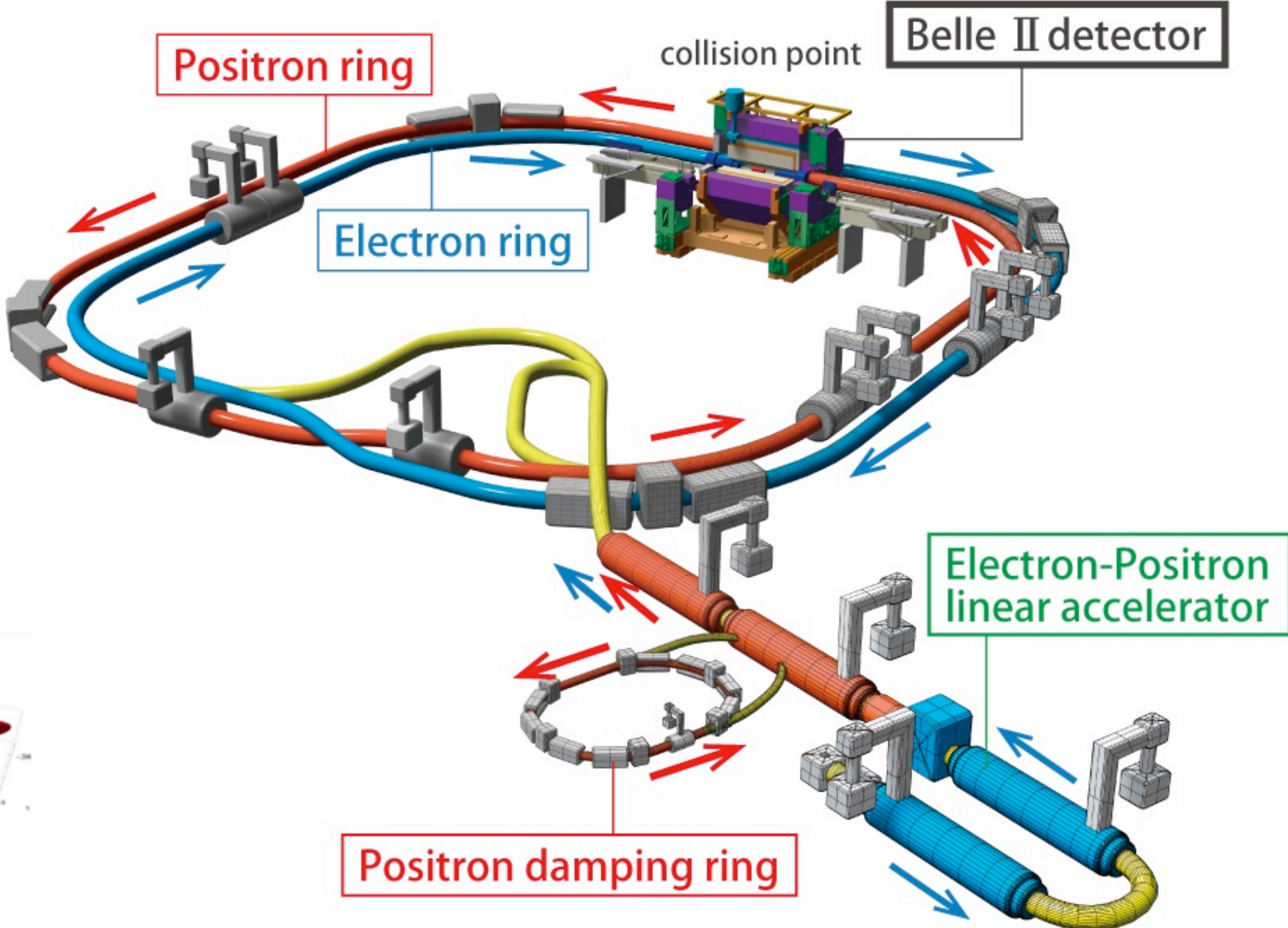
# from Belle to The Belle II Detector





# from KEKB to SuperKEKB

- 40 times larger luminosity than previous generation KEKB
- using nano-beam scheme with a tiny beam spot:
  - 60 nm x 10  $\mu\text{m}$  x few 100  $\mu\text{m}$  in y, x, z
- a few hundred atomic layers in y





## Signal, Control and Validation regions

- Signal region: Reconstruct as  $\tau^- \rightarrow DV(\rightarrow \mu^\mp \mu^\pm)\pi^-$
- Control region: Reconstruct as  $\tau^- \rightarrow DV(\rightarrow \mu^\mp \pi^\pm)\pi^-$  (used in the fit for data-driven background estimate)
- Validation region for Data-MC agreement:
  - Reconstruct as  $\tau^- \rightarrow DV(\rightarrow \mu^- \mu^-)\pi^+$
  - Reconstruct as  $\tau^- \rightarrow DV(\rightarrow \pi^+ \pi^-)\pi^-$  with  $m_{\pi\pi} < 0.42 \text{ GeV}$  and  $m_{\pi\pi} > 0.52 \text{ GeV}$
  - Reconstruct as  $\tau^- \rightarrow DV(\rightarrow \pi^+ \pi^-)\pi^-$  with  $0.480 < m_{\pi\pi} < 0.515 \text{ GeV}$
- Control and validation regions are also divided as CRh, CRl and VRh, VRl (similar to signal region)



# HNL mass reconstruction

- Despite the neutrino, we can reconstruct the decay chain kinematics completely, up to 2-fold ambiguity.

- ▶ 12 unknowns:  $p_\nu^\mu, p_N^\mu, p_\tau^\mu$
- ▶ 12 constraints:
  - $p^\mu$  conservation in the  $\tau$  and  $N$  decays (8)
  - Known masses of  $\tau$  and  $\nu_\tau$  (2)
  - Unit vector from the production point of the  $\pi$  system to that of the DV system, which is the direction of  $\vec{p}_N$  (2)

Quadratic equation

(Using the square root argument  $A_{sq} = b^2 - 4ac$  for cut)  $A_{sq} < 0.4 \text{ GeV}^2$

If  $A_{sq}$  is -ve then we set it to 0

Two HNL mass solutions:  $m_+, m_-$

