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Measurements of Michel parameters and tests of lepton universality in τ decays at Belle and Belle II

Paul Feichtinger, on behalf of the Belle/Belle II Collaboration

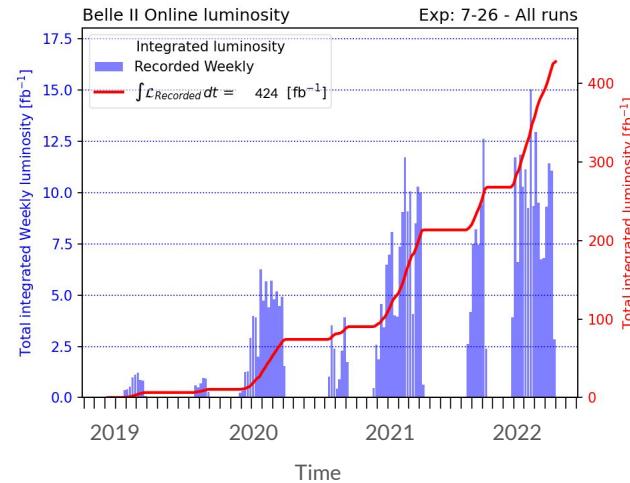
17th International Workshop on Tau Lepton Physics (TAU2023)

Louisville, Kentucky

4th December 2023

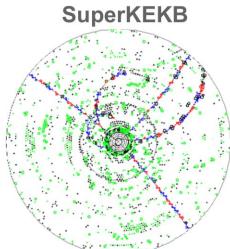
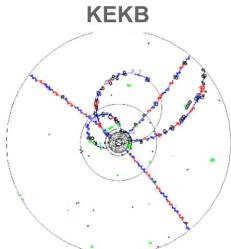
τ physics at B factories

- Belle/Belle II are general purpose detectors: B and D physics, quarkonium, τ -physics, dark sector, ...
- colliding electrons and positrons at $m_{\Upsilon(4S)} = 10.58 \text{ GeV}/c^2$
 - $\sigma(e^+e^- \rightarrow \Upsilon(4S)) = 1.05 \text{ nb}$
 - $\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.919 \text{ nb}$
 - Belle: $988 \text{ fb}^{-1} \rightarrow \sim 908 \text{ million } \tau$ pairs
 - Belle II: $424 \text{ fb}^{-1} \rightarrow \sim 390 \text{ million } \tau$ pairs
- clean collision environment ($e^+ \leftrightarrow e^-$)
- large solid angle coverage (> 90%)
 - well known missing mass and energy
- good track reconstruction, particle identification

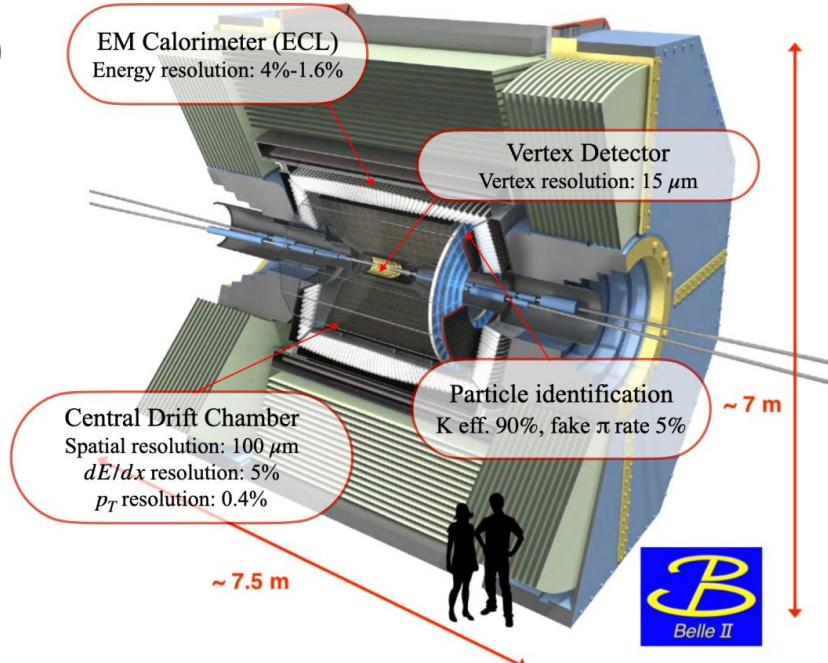


KEKB → SuperKEKB accelerator

- 2x beam currents, 50 nm vertical beam spot size (“nano beam”)
- design peak luminosity $2.1 \times 10^{34} \rightarrow 6.0 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
- SuperKEKB currently holds world record ($4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$)



challenge: increased beam backgrounds and trigger rates



Belle → Belle II detector

- new 2-layer Pixel Detector with first layer at 1.4cm
- 4-layer Silicon Vertex Detector with larger acceptance
- Central Drift Chamber with larger outer radius
- improved particle ID (K/π separation)
- improved trigger, and faster electronics in general

» [arXiv:1011.0352 \(Technical Design Report\)](https://arxiv.org/abs/1011.0352)

Michel parameters in leptonic decays

- Michel parameters (MP) of a lepton decay are bilinear combinations of coupling constants arising in the most general expression for the decay matrix element

$$= \frac{4G_F}{\sqrt{2}} \sum_{\substack{N=S,V,T \\ i,j=L,R}} g_{ij}^N [\bar{u}_i(\ell) \Gamma^N v_n(\nu_\ell)] [\bar{u}_m(\nu_\tau) \Gamma_N u_j(\tau)]$$

$\Gamma^S = 1, \Gamma^V = \gamma^\mu, \Gamma^T = i(\gamma^\mu \gamma^\nu - \gamma^\nu \gamma^\mu)/2\sqrt{2}$
Scalar Vector Tensor

- MP describe the Lorentz structure of the charged current in the theory of weak interaction and can be used to test the SM (only nonzero term in SM is $g_{LL}^V = 1$)
- deviations can be caused by anomalous coupling with the W-boson, new gauge or charged Higgs bosons, presence of massive neutrinos [1]

» [1] [JHEP 2022 \(2022\) 117](#)



Measurement of Michel parameters at Belle

$$\frac{d^2\Gamma}{dx d\cos\theta} = \frac{m_\tau}{4\pi^3} W_{\ell\tau}^4 G_F^2 \sqrt{x^2 - x_0^2} \left(F_{IS}(x) \pm F_{AS}(x) P_\tau \cos\theta + F_{T_1}(x) P_\tau \sin\theta \zeta_1 \right. \\ \left. + F_{T_2}(x) P_\tau \sin\theta \zeta_2 + (\pm F_{IP}(x) + F_{AP}(x) P_\tau \cos\theta) \zeta_3 \right)$$

$$W_{\ell\tau} = \max E_\ell = \frac{m_\tau^2 + m_\ell^2}{2m_\tau}, x = \frac{E_\ell}{\max E_\ell}, x_0 = \frac{m_\ell}{\max E_\ell}, P_\tau = |\mathbf{P}_\tau|$$

parametric functions	MPs	
$F_{IS}(x) :$	ρ, η	polarisation insensitive
$F_{AS}(x) :$	$\xi, \xi\delta$	
$F_{IP}(x) :$	$\xi', \xi, \xi\delta$	polarisation sensitive

- ongoing analysis for ρ, η, ξ and $\xi\delta$ in τ decays [1]
 - statistical uncertainty at order 10^{-3}
systematics around 10^{-2}
- measurement of η and $\xi\kappa$ in radiative leptonic τ -decays [2]
- new measurement of ξ' in $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$ [3]

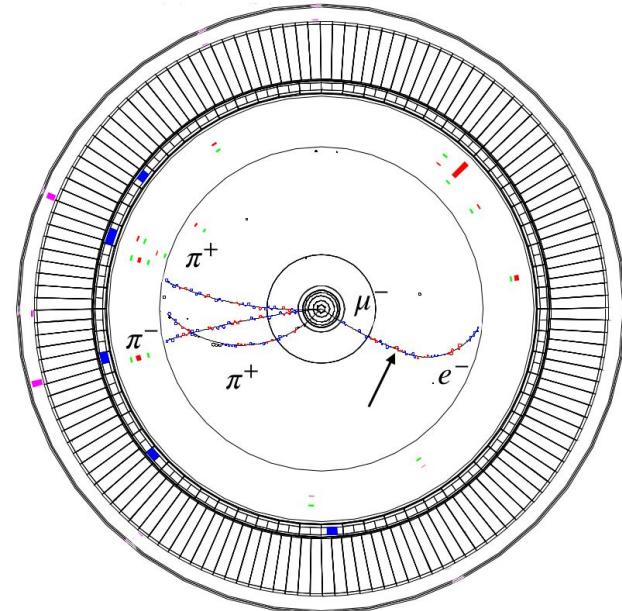
	$\mu^- \rightarrow e^- \nu_\mu \bar{\nu}_e$	$\tau^- \rightarrow e^- \nu_\tau \bar{\nu}_e$	$\tau^- \rightarrow \mu^- \nu_\tau \bar{\nu}_\mu$	SM
ρ	0.74979 ± 0.00026	0.747 ± 0.010	0.763 ± 0.020	0.75
η	0.057 ± 0.034	—	0.094 ± 0.073	0
ξ	$1.0009^{+0.0016}_{-0.0007}$	0.994 ± 0.040	1.030 ± 0.059	1
$\xi\delta$	$0.7511^{+0.0012}_{-0.0006}$	0.734 ± 0.028	0.778 ± 0.037	0.75
ξ'	1.00 ± 0.04	—	0.22 ± 1.03	1
ξ''	0.65 ± 0.36	—	—	1

Michel parameters and their most accurate determinations [4]

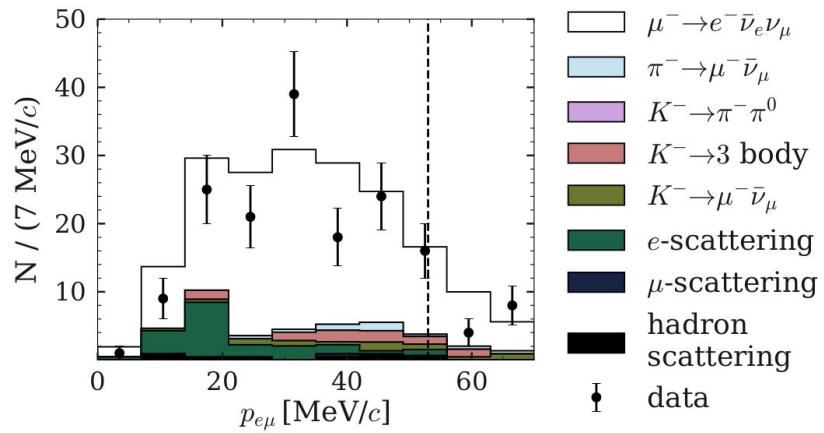
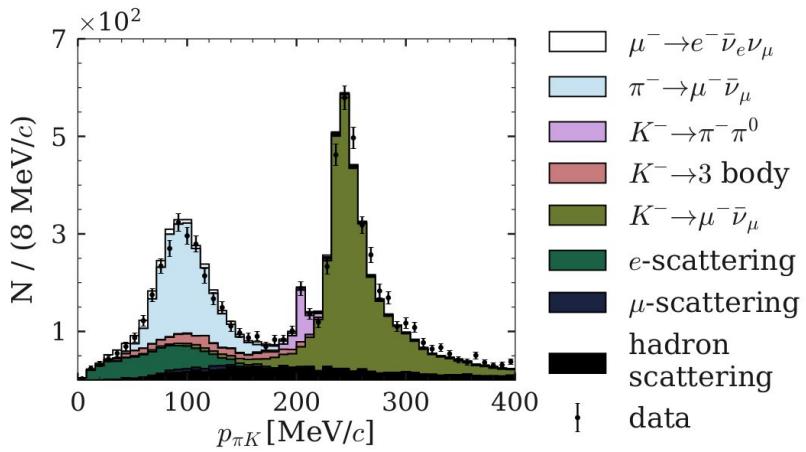
- » [1] [Nucl.Part.Phys.Proc. 287-288 \(2017\)](#)
- » [2] [PTEP 2018 \(2018\) 2, 023C01](#)
- » [3] [Phys.Rev.Lett. 131 \(2023\) 021801](#)
- » [4] [JHEP 2022 \(2022\) 117](#)

First measurement of the Michel parameter ξ' in the $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$ decay at Belle

- method uses muon decay-in-flight
- searching for kinks inside the tracking detector
- the information about muon spin can be inferred from the daughter electron direction in the muon rest frame due to P-violation in the decay
- using the full Belle data sample of 988 fb^{-1}



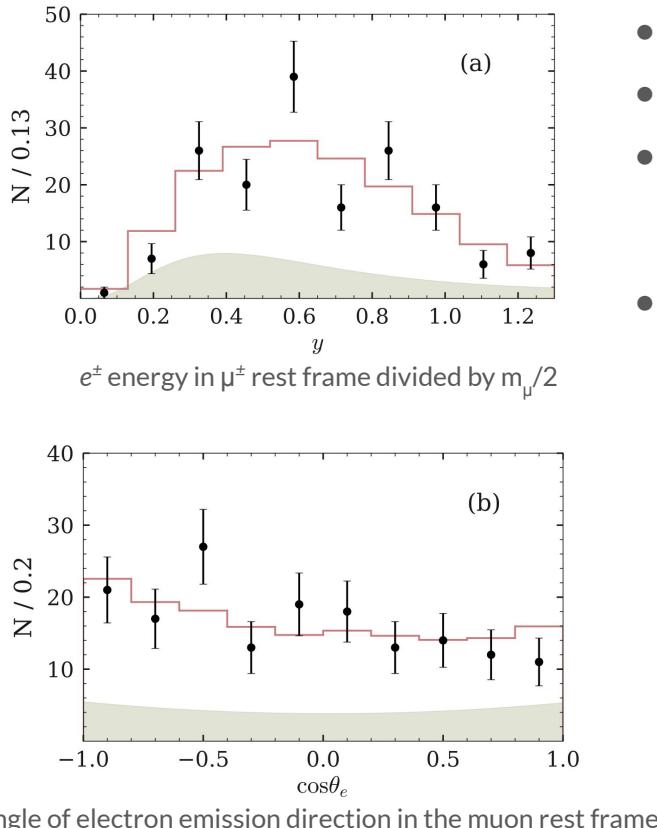
Kink candidates



- daughter particle momentum in the rest frame of the mother particle with pion and kaon mass hypotheses
- main background sources are two body decays of π and K

- BDT is used to suppress background by 50 times with the signal efficiency $\epsilon_{\text{sig}} \approx 80\%$

Result



- 2D unbinned likelihood fit using y and $\cos\theta_e$
- histogram is signal, filled area is the background function
- the measured value is $\xi' = 0.22 \pm 0.94 \text{ (stat)} \pm 0.42 \text{ (syst)}$ [1, 2]
 - total uncertainty is 1.03
- Belle II (with 50 ab^{-1}) can improve the statistical uncertainty up to $\sigma_{\xi'} \approx 7 \times 10^{-3}$ [3]
 - enlarged drift chamber, special kink reconstruction algorithm, higher integrated luminosity
 - GNN-based tracking algorithm for displaced tracks [4]
 - systematics can be controlled at the same level with various data samples with kinks

- » [1] [Phys.Rev.Lett. 131 \(2023\) 021801](#)
- » [2] [Phys.Rev.D 108 \(2023\) 012003](#)
- » [3] [JHEP 10 \(2022\) 035](#)
- » [4] [CHEP 2023](#)



Lepton universality tests at Belle II

- in the SM the electroweak gauge bosons have the same coupling to all generations of leptons
- precise test of μ - e universality by measuring

$$\left(\frac{g_\mu}{g_e}\right)_\tau = \sqrt{\frac{\mathcal{B}(\tau^- \rightarrow \nu_\tau \mu^- \bar{\nu}_\mu(\gamma))}{\mathcal{B}(\tau^- \rightarrow \nu_\tau e^- \bar{\nu}_e(\gamma))}} \frac{f(m_e^2/m_\tau^2)}{f(m_\mu^2/m_\tau^2)} \quad f(x) = 1 - 8x + 8x^3 - x^4 - 12x^2 \ln x \quad [1]$$

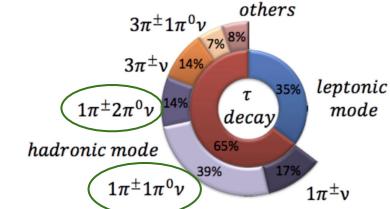
- ratio of leptonic branching fractions

$$R_\mu \equiv \frac{\mathcal{B}(\tau^- \rightarrow \nu_\tau \mu^- \bar{\nu}_\mu(\gamma))}{\mathcal{B}(\tau^- \rightarrow \nu_\tau e^- \bar{\nu}_e(\gamma))} \stackrel{\text{SM}}{=} 0.9726$$

is sensitive to new physics if it violates lepton flavour [2] or lepton universality in weak charged-currents [3]

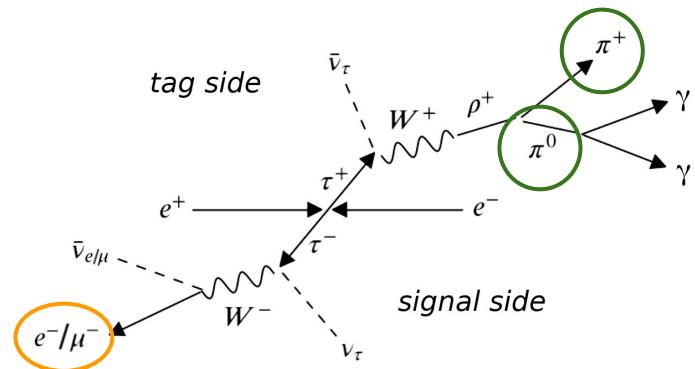
- » [1] [Phys.Rev.Lett. 61 \(1988\) 1815](#)
- » [2] [arXiv:1607.06832](#)
- » [3] [arXiv:2105.06734](#)

Event topology



using 1-prong decays with one charged hadron and at least one π^0 on the tag side

- large BF ($\sim 35\%$), low backgrounds, high trigger efficiency
- **Signal side selection**
 - 1 track: particle ID requirement (μ or e)
- **Tag side selection**
 - 1 track: $E_{\text{cluster}}/p < 0.8$
 - $N(\pi^0)_{\text{tag}} > 0$



Dataset

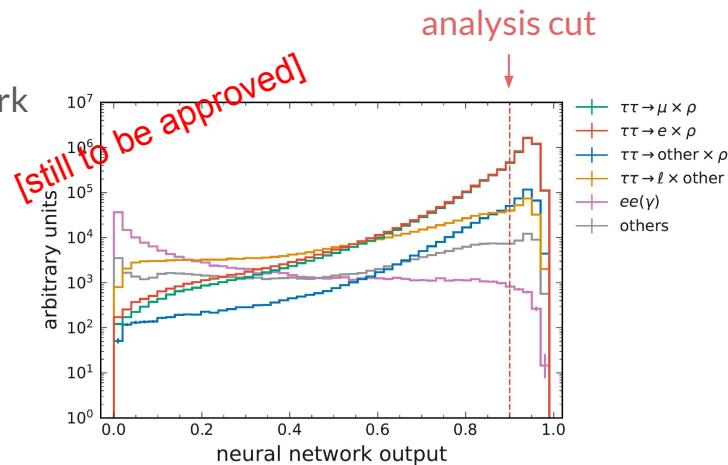
- on-resonance sample corresponding to 362 fb^{-1} (2019 - 2022)

Event selection

- Background suppression with rectangular cuts + neural network
- exactly same selection is used for e and μ sample

- thrust value
- thrust axis θ
- total visible energy (CMS)
- missing momentum: p_T, θ (CMS)
- tag side: p, θ, M (CMS)

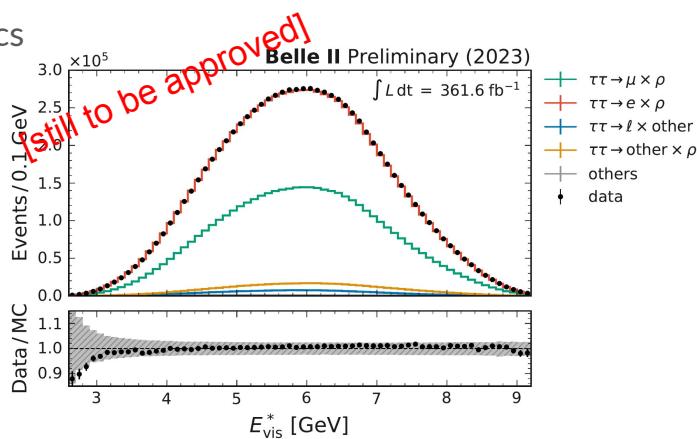
$$V_{thrust} \stackrel{\text{max}}{=} \frac{\sum_i |\vec{p}_i^{\text{CM}} \cdot \hat{n}_{\text{thrust}}|}{\sum_i |\vec{p}_i^{\text{CM}}|}$$



- restrict analysis to region least sensitive to particle ID systematics
 - $0.82 < \theta_{\text{lepton}} < 2.13$ (barrel of muon detector)
 - $1.5 \text{ GeV} < p_{\text{lepton}} < 5.0 \text{ GeV}$
- 94% purity @ 9.6% signal efficiency for combined e+ μ sample

- $e^+e^- \rightarrow \tau^+\tau^-$ (π^\pm faking μ^\pm/e^\pm): ~3.3%
- $e^+e^- \rightarrow \tau^+\tau^-$ (wrong tag): ~2.3%
- $e^+e^- \rightarrow e^+e^-\tau^+\tau^-$: 0.2%

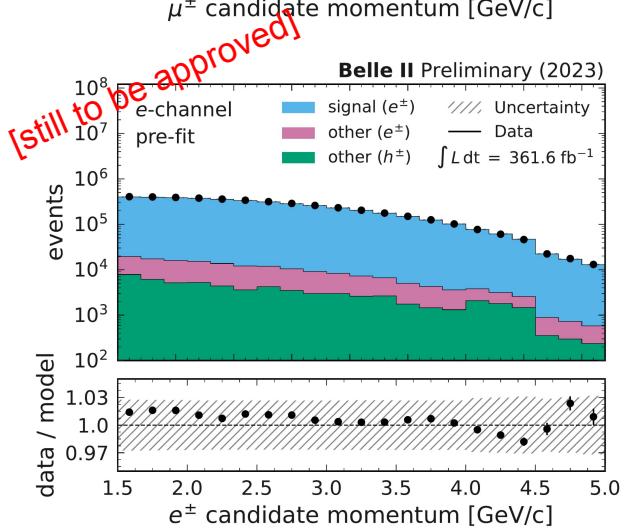
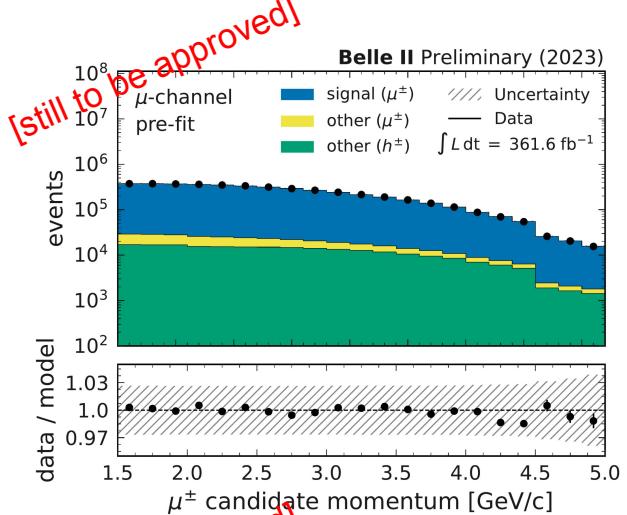
main
backgrounds



R_μ extraction

- measure R_μ with a *binned maximum likelihood fit* using the `pyhf` library [1]
- 21 bins defined over lepton momentum from 1.5 to 5 GeV
- systematics are included with (constrained) nuisance parameters that modify the templates
- 3 templates for μ and e channel
 - signal decays
 - background with correct lepton on the signal side
 - background with misidentified particle on the signal side

» [1] [JOSS 6 \(2021\) 2823](#)



Systematics

Particle identification (leading) (0.32%)

- correction factors and uncertainties derived from calibration channels
 - eff.: $J/\psi \rightarrow l^+l^-$, $e^+e^- \rightarrow e^+e^-l^+l^-$ and $e^+e^- \rightarrow l^+l^-(\gamma)$
 - fakes: $K_S^0 \rightarrow \pi^+\pi^-$ and $\tau^\pm \rightarrow \pi^\pm\pi^\mp\pi^\pm\nu_\tau$

Trigger (sub-leading) (0.10%)

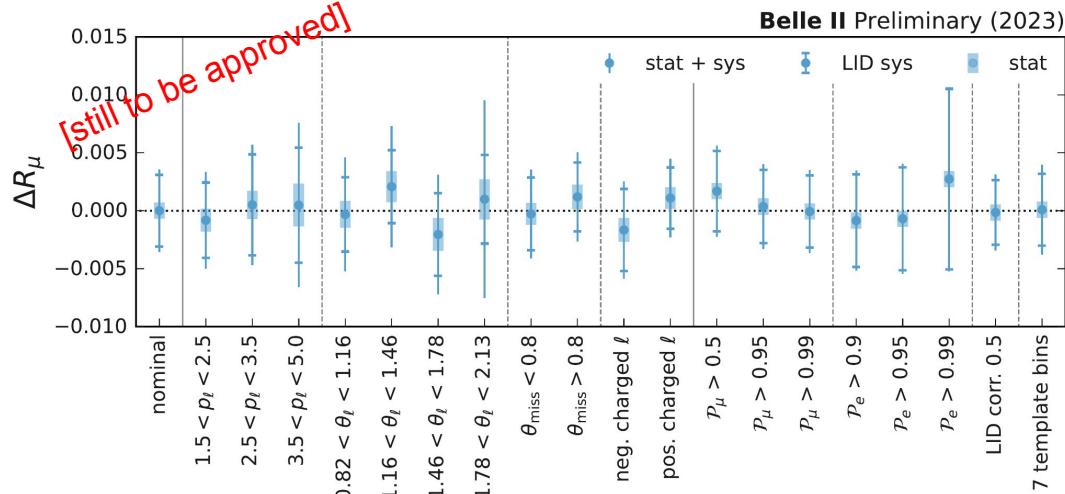
- used triggers are based on EM calorimeter information, targeting low multiplicity events
 - most important: $E_{ECL} > 1$ GeV trigger
- correction factor for MC obtained directly from data
 - $\epsilon = 99.8\%$ for $\tau^- \rightarrow e^-\bar{v}_e v_\tau$ and $\epsilon = 96.6\%$ for $\tau^- \rightarrow \mu^-\bar{v}_\mu v_\tau$

Source	Uncertainty [%]
Charged-particle identification:	
Electron identification	0.22
Muon misidentification	0.19
Electron misidentification	0.12
Muon identification	0.05
Trigger	0.10
Imperfections of the simulation:	
Modelling of FSR	0.08
Normalisation of individual processes	0.07
Modelling of the momentum distribution	0.06
Tag side modelling	0.05
π^0 efficiency	0.02
Modelling of ISR	0.01
Photon efficiency	< 0.01
Photon energy	< 0.01
Size of the samples	
Simulated samples	0.06
Luminosity	0.01
Charged-particle reconstruction:	
Particle decay-in-flight	0.02
Tracking efficiency	0.01
Detector misalignment	< 0.01
Momentum correction	< 0.01
Total	0.37

relative systematic uncertainties of R_μ

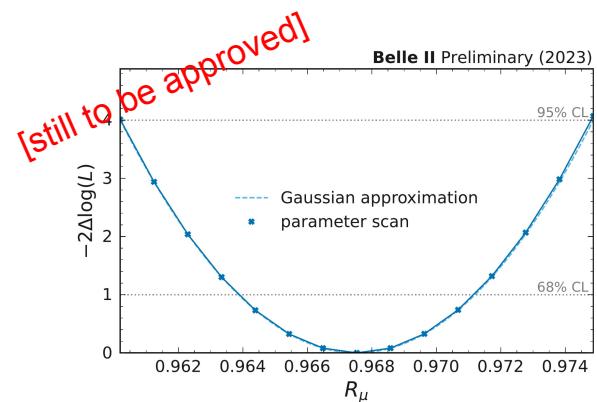
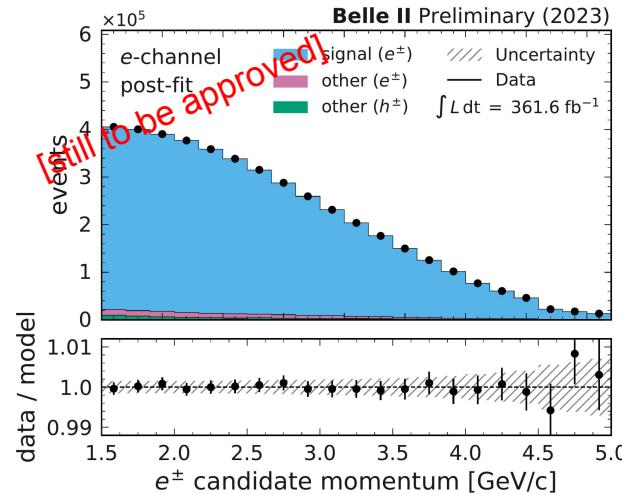
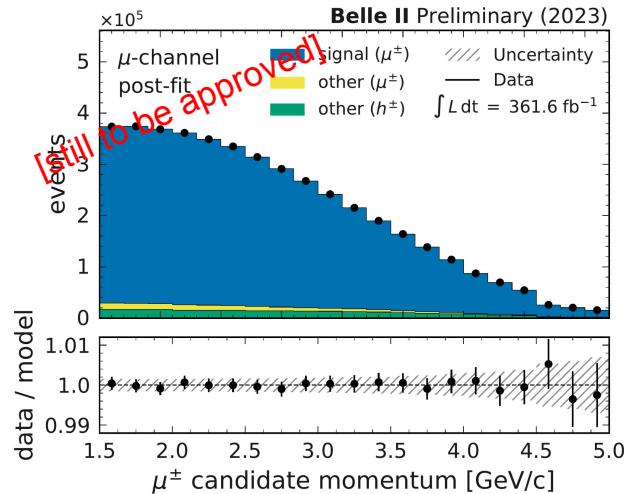
Stability of the result

- checked for consistency of the result before unblinding
- sub-regions for different kinematic variables (momentum, polar angle, missing momentum, charge), data-taking periods as well as different requirements for particle-identification
- good agreement between the measured values



Result

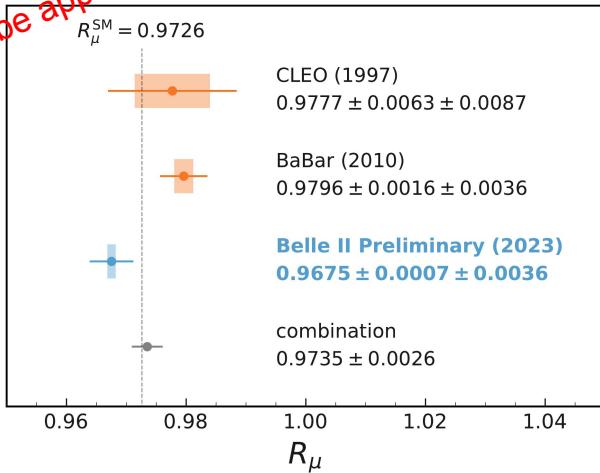
- we measure $R_\mu = 0.9675 \pm 0.0037$
 - statistical uncertainty 0.0007
 - systematic uncertainty 0.0036
- consistent with SM expectation at the level of 1.4 sigma



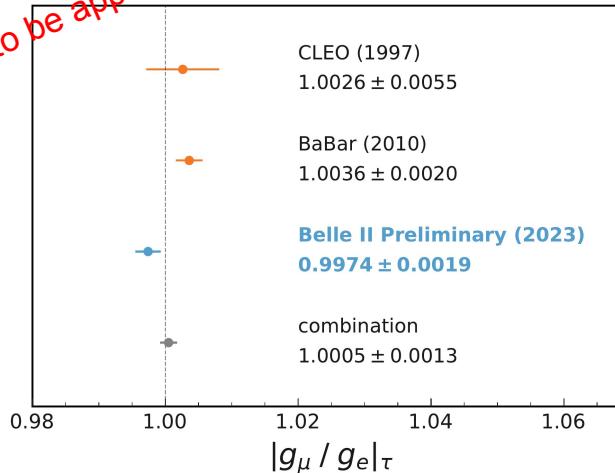
Result

$$\left(\frac{g_\mu}{g_e}\right)_\tau = \sqrt{R_\mu \frac{f(m_e^2/m_\tau^2)}{f(m_\mu^2/m_\tau^2)}}$$

[still to be approved]



[still to be approved]



- most precise test of μ -e universality in τ decays from a single measurement
 - determination of g_μ/g_e from global fit to tau BFs: 1.0019 ± 0.0014 [1]
- combination of CLEO, BaBar and Belle II (assuming indep. systematics) yields $g_\mu/g_e = 1.0005 \pm 0.0013$

» [1] [HFLAV, Phys.Rev.D 107 \(2023\) 052008](#)

Summary

- Belle data (988 fb^{-1}) is still being actively analysed
 - first measurement of the Michel parameter ξ' using a novel method
- Belle II recorded 424 fb^{-1} so far, resuming data taking soon
- new results for test of $\mu\text{-e}$ universality
 - world's best determination from single measurement
 - similar systematics as BaBar measurement
 - still room for improvement in systematics
- more (τ) physics to come from Belle/Belle II !

Thank you!



backup slides ↴

Radiative and five-body leptonic τ decays

- Radiative and five-body leptonic τ -decays provide information about Michel parameters that describe daughter lepton polarization in $\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$
- Their understanding is also crucial for LFV studies as they are main background

Radiative leptonic τ -decay

$$\frac{d\Gamma(\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau \gamma)}{dE_\ell d\Omega_\ell dE_\gamma d\Omega_\gamma} = (A_0 + \bar{\eta}A_1) + (\vec{B}_0 + \xi\kappa\vec{B}_1) \cdot \vec{S}_\tau \quad \xi\kappa = -1/4(\xi + \xi') + 2/3\xi\delta \\ \bar{\eta} = 4/3\rho - 1/4\xi'' - 3/4$$

Belle collaboration measured $\xi\kappa(e) = -0.4 \pm 1.2$, $\xi\kappa(\mu) = 0.8 \pm 0.6$, and $\bar{\eta}(\mu) = -1.3 \pm 1.7$ ($\mathcal{L} = 711 \text{ fb}^{-1}$) [PTEP 2018 \(2018\) 2, 023C01](#)

Belle II can repeat with better precision!

Five-body leptonic τ -decay

Belle estimations for $\mathcal{L} = 700 \text{ fb}^{-1}$

Mode	SM Br	Measured	Expected N	Systematics
$\tau^- \rightarrow e^- e^+ e^- \bar{\nu}_e \nu_\tau$	$4.21(1) \times 10^{-5}$	$(1.8 \pm 1.5) \times 10^{-5}$	1300 ($r_s = 47\%$)	(6 – 12) %
$\tau^- \rightarrow \mu^- e^+ e^- \bar{\nu}_e \nu_\tau$	$1.984(4) \times 10^{-5}$	$< 3.2 \times 10^{-5}$ (90%)	430 ($r_s = 50\%$)	(8 – 13) %
$\tau^- \rightarrow e^- \mu^+ \mu^- \bar{\nu}_e \nu_\tau$	$1.247(1) \times 10^{-7}$	NM	8 ($r_s = 37\%$)	(36 – 72) %
$\tau^- \rightarrow \mu^- \mu^+ \mu^- \bar{\nu}_e \nu_\tau$	$1.183(1) \times 10^{-7}$	NM	4 ($r_s = 16\%$)	(36 – 72) %

[JHEP 04 \(2016\) 185](#)

[J.Phys.Conf.Ser. 912 \(2017\) 1](#)

τ lepton polarization at Belle II

- The beams at Belle II are not polarized, so average τ lepton polarization is zero. Nevertheless, spins of τ leptons are correlated in $e^+e^- \rightarrow \tau^+\tau^-$:

$$\frac{d\sigma(e^+e^-(w^-) \rightarrow \tau_{\text{sig}}(\vec{s}_{\text{sig}})\tau_{\text{tag}}(\vec{s}_{\text{tag}}))}{d\Omega_\tau} = \frac{\alpha^2\beta}{64E^2} [A_0 + D_{ij}(\vec{s}_{\text{sig}})_i(\vec{s}_{\text{tag}})_j]$$

$$A_0 = 1 + \cos^2 \theta_\tau + \frac{\sin^2 \theta_\tau}{\gamma^2} \quad D_{ij} = \begin{pmatrix} \left(1 + \frac{1}{\gamma^2}\right) \sin^2 \theta_\tau & 0 & \frac{1}{\gamma} \sin 2\theta_\tau \\ 0 & -\beta^2 \sin^2 \theta_\tau & 0 \\ \frac{1}{\gamma} \sin 2\theta_\tau & 0 & 1 + \cos^2 \theta_\tau - \frac{\sin^2 \theta_\tau}{\gamma^2} \end{pmatrix}$$

- One can use tagging τ lepton as a spin analyzer with the decay mode $\tau^+ \rightarrow \pi^+\pi^0\bar{\nu}_\tau$. This mode has the largest branching fraction (around 25 %), and it is also well-studied

Leptonic differential decay width parametric functions definition

$$F_{IS}(x) = x(1-x) + \frac{2}{9}\rho(4x^2 - 3x - x_0^2) + \eta x_0(1-x)$$

$$F_{AS}(x) = \frac{1}{3}\xi\sqrt{x^2 - x_0^2} \left[1 - x + \frac{2}{3}\delta \left(4x - 3 - \frac{x_0^2}{2} \right) \right]$$

$$F_{IP}(x) = \frac{1}{54}\sqrt{x^2 - x_0^2} \left[-9\xi' \left(2x - 3 + \frac{x_0^2}{2} \right) + 4\xi \left(\delta - \frac{3}{4} \right) \left(4x - 3 - \frac{x_0^2}{2} \right) \right]$$

$$F_{AP}(x) = \frac{1}{6} \left[\xi'' (2x^2 - x - x_0^2) + 4 \left(\rho - \frac{3}{4} \right) (4x^2 - 3x - x_0^2) + 2\eta'' x_0(1-x) \right]$$

$$F_{T_1}(x) = -\frac{1}{12} \left[2 \left(\xi'' + 12 \left(\rho - \frac{3}{4} \right) \right) (1-x)x_0 + 3\eta(x^2 - x_0^2) + \eta''(3x^2 - 4x + x_0^2) \right]$$

$$F_{T_2}(x) = \frac{1}{3}\sqrt{x^2 - x_0^2} \left(3\frac{\alpha'}{A}(1-x) + \frac{\beta'}{A}(2 - x_0^2) \right)$$

MP parameters through coupling constants

$$\rho = \frac{3}{4} - \frac{3}{4} \left[\left(|g_{RL}^V|^2 + |g_{LR}^V|^2 \right) + 2 \left(|g_{LR}^T|^2 + |g_{RL}^T|^2 \right) + \Re \left\{ g_{RL}^S g_{RL}^{T*} + g_{LR}^S g_{LR}^{T*} \right\} \right]$$

$$\eta = \frac{1}{2} \Re \left\{ g_{RL}^V (g_{LR}^{S*} + 6g_{LR}^{T*}) + g_{LR}^V (g_{RL}^{S*} + 6g_{RL}^{T*}) + (g_{RR}^V g_{LL}^{S*} + g_{LL}^V g_{RR}^{S*}) \right\}$$

$$\begin{aligned} \xi = & 4 \Re \left\{ g_{LR}^S g_{LR}^{T*} - g_{RL}^S g_{RL}^{T*} \right\} + \left(|g_{LL}^V|^2 - |g_{RR}^V|^2 \right) + 3 \left(|g_{LR}^V|^2 - |g_{RL}^V|^2 \right) \\ & + 5 \left(|g_{LR}^T|^2 - |g_{RL}^T|^2 \right) + \frac{1}{4} \left(|g_{LL}^S|^2 - |g_{RR}^S|^2 + |g_{RL}^S|^2 - |g_{LR}^S|^2 \right) \end{aligned}$$

$$\begin{aligned} \xi\delta = & \frac{3}{16} \left(|g_{LL}^S|^2 - |g_{RR}^S|^2 + |g_{RL}^S|^2 - |g_{LR}^S|^2 \right) + \frac{3}{4} \left(|g_{LL}^V|^2 - |g_{RR}^V|^2 - |g_{LR}^T|^2 \right. \\ & \left. + |g_{RL}^T|^2 + \Re \left\{ g_{LR}^S g_{LR}^{T*} - g_{RL}^S g_{RL}^{T*} \right\} \right) \end{aligned}$$

MP parameters through coupling constants (2)

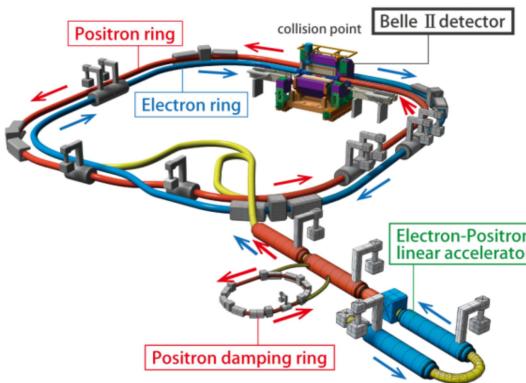
$$\xi' = - \left[3 \left(|g_{RL}^T|^2 - |g_{LR}^T|^2 \right) + \left(|g_{RR}^V|^2 + |g_{RL}^V|^2 - |g_{LR}^V|^2 - |g_{LL}^V|^2 \right) + \frac{1}{4} \left(|g_{RR}^S|^2 + |g_{RL}^S|^2 - |g_{LR}^S|^2 - |g_{LL}^S|^2 \right) \right]$$

$$\xi'' = 1 - \frac{1}{2} \left(|g_{RL}^S|^2 + |g_{LR}^S|^2 \right) + 2 \left(|g_{RL}^V|^2 + |g_{LR}^V|^2 + |g_{RL}^T|^2 + |g_{LR}^T|^2 \right) + 4 \Re \left\{ g_{RL}^S g_{RL}^{T*} + g_{LR}^S g_{LR}^{T*} \right\}$$

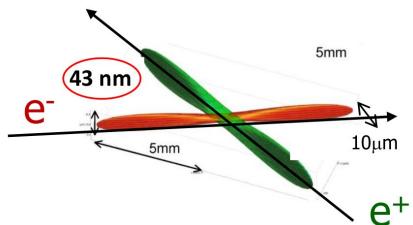
$$\eta'' = \frac{1}{2} \Re \left\{ 3g_{RL}^V (g_{LR}^{S*} + 6g_{LR}^{T*}) + 3g_{LR}^V (g_{RL}^{S*} + 6g_{RL}^{T*}) - (g_{RR}^V g_{LL}^{S*} + g_{LL}^V g_{RR}^{S*}) \right\}$$

$$\frac{\alpha'}{A} = \frac{1}{2} \Im \left\{ g_{LR}^V (g_{RL}^{S*} + 6g_{RL}^{T*}) - g_{RL}^V (g_{LR}^{S*} + 6g_{LR}^{T*}) \right\}$$

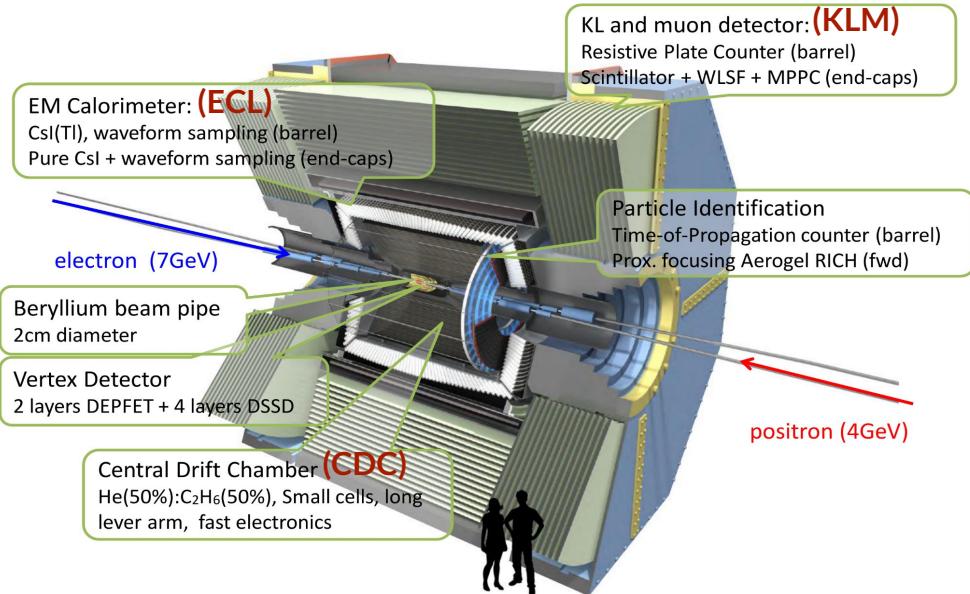
$$\frac{\beta'}{A} = \frac{1}{4} \Im \left\{ g_{RR}^V g_{LL}^{S*} - g_{LL}^V g_{RR}^{S*} \right\}$$



SuperKEKB accelerator @Tsukuba, Japan



Belle II detector



» [arXiv:1011.0352](https://arxiv.org/abs/1011.0352) (Technical Design Report)