



# Recent results from Belle and Belle II



Alessandro Gaz

University of Padova and INFN

on behalf of the Belle and Belle II Collaborations

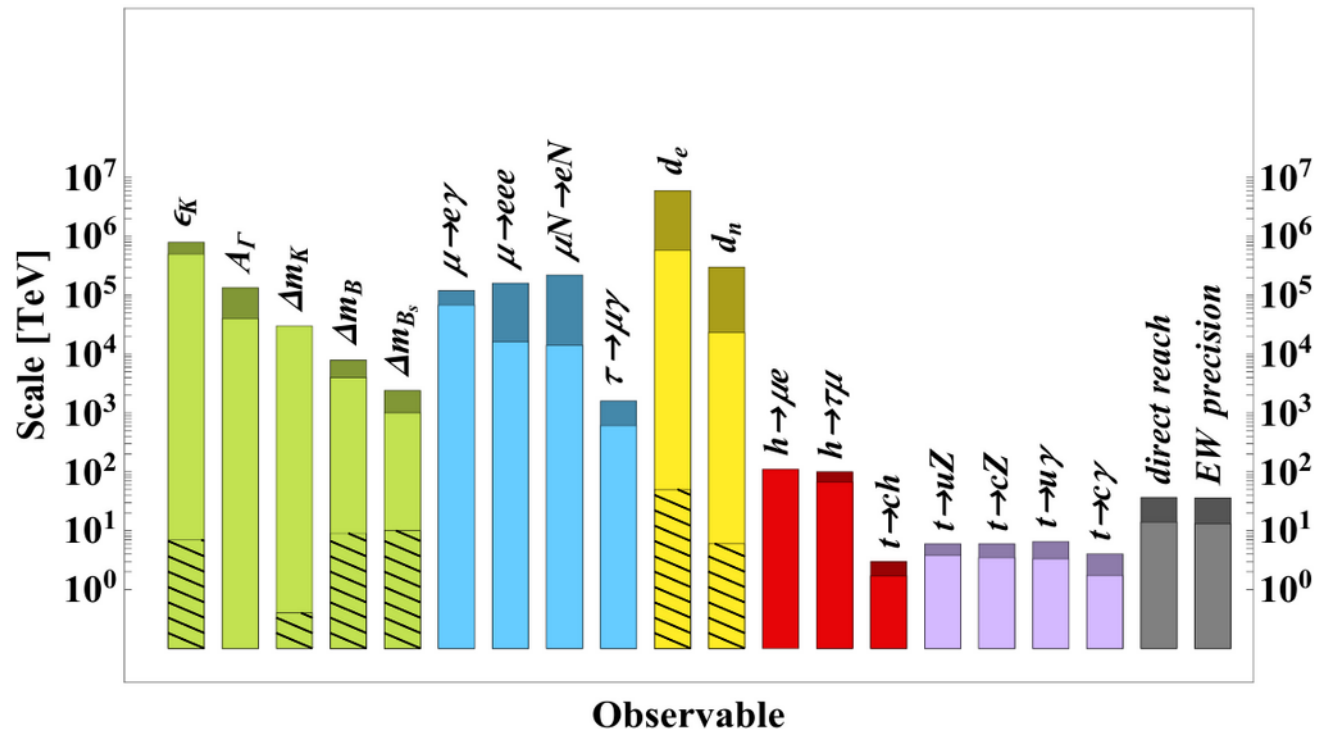
"XXX Cracow EPIPHANY Conference"

Krakow, January 8<sup>th</sup> 2024

# Our mission

- Finding evidence of physics beyond the standard model, **especially looking at indirect effects** signaling the presence of new particles, interactions, coupling, phases...;
- Enormous reach for many observables in flavor physics, probing scales of new physics orders of magnitude beyond the current limits for direct production:

European Strategy for Particle Physics Preparatory Group,  
arXiv:1910.11775 [hep-ex]

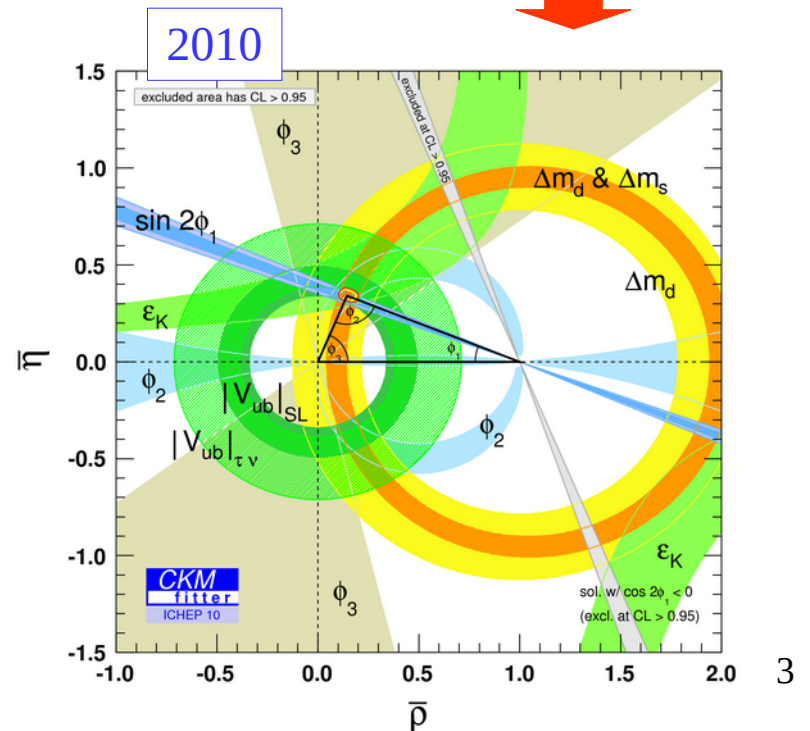
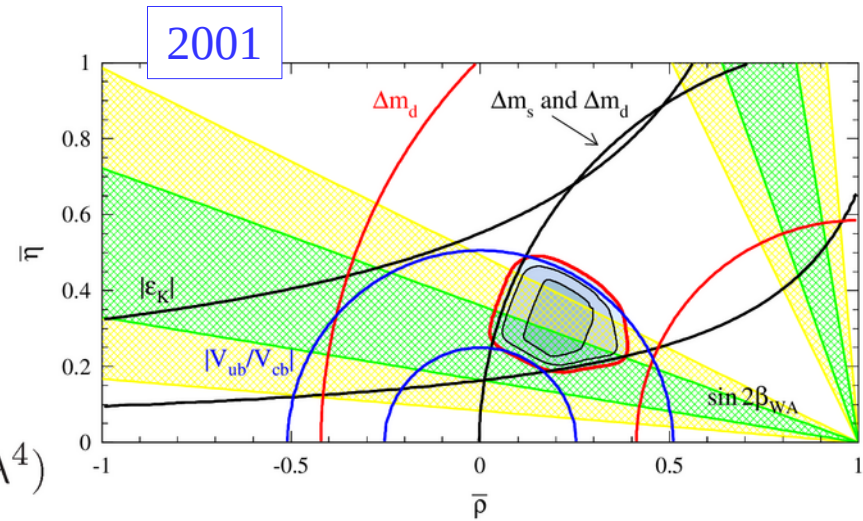


# The first generation of B factories

- Spectacular confirmation of the CKM paradigm, all CP violation phenomena can be accounted for by the nontrivial phase in the CKM quark mixing matrix:

$$V_{CKM} = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

- But we know that the standard model cannot be the full story, it cannot explain the matter/anti-matter imbalance, the dark matter/energy, neutrino masses, etc... ;
- There must be something else, hopefully within our reach of the running or planned experiments.

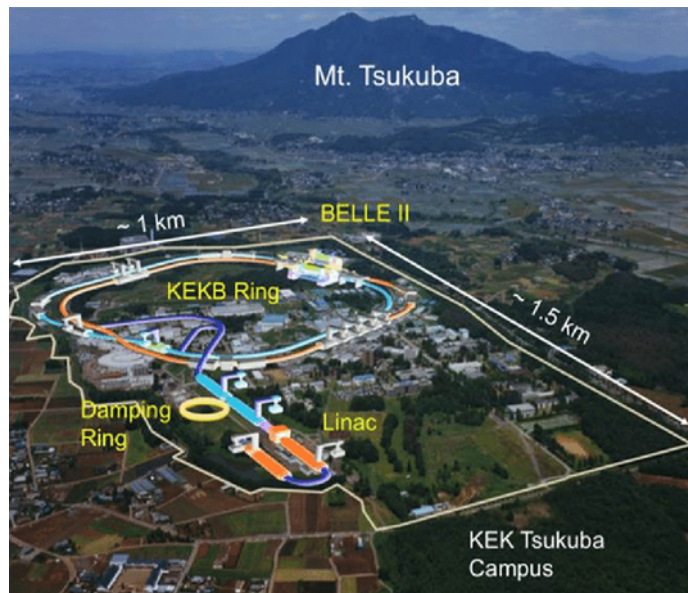


# Flavor physics at $e^+e^-$ colliders

- The first generation of B-factories integrated  $\sim 1.5 \text{ ab}^{-1}$ ;
- For the past 15 years the LHC experiments enjoyed the very large cross sections and luminosity of the World's most powerful accelerator;
- Is it worth continuing along the  $e^+e^-$  path?
- Many of the interesting modes are unique to B factories:
  - channels with  $\pi^0$ ,  $K_L$ ,  $\eta(\prime)$ , ... ;
  - final states with one or more  $\nu$ 's (or other elusive particles);
  - modes affected by “difficult” backgrounds, where the full knowledge of the kinematics in the event is the only way to control them;
  - $\tau$  and dark sector low multiplicity final states;
  - ... ;
- In general: a wider spectrum of measurements allows for a better understanding (or highlights our lack of...).



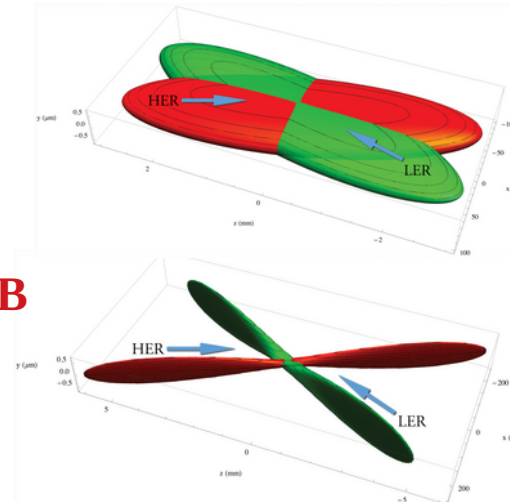
# The SuperKEKB Collider



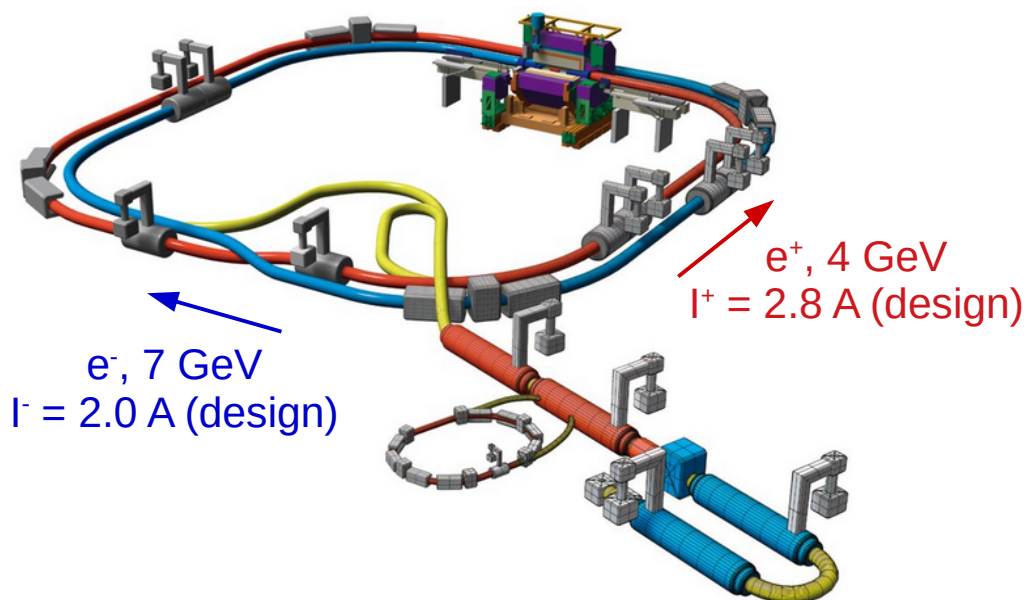
KEKB



SuperKEKB



$$L = \frac{N_+ N_- n_b f_0}{4\pi \sigma_{x,\text{eff}}^* \sqrt{\epsilon_y \beta_y^*}}$$



**Improvements over KEKB:**

- x20 by 'nanobeam scheme';
- x1.5 by increasing beam currents.

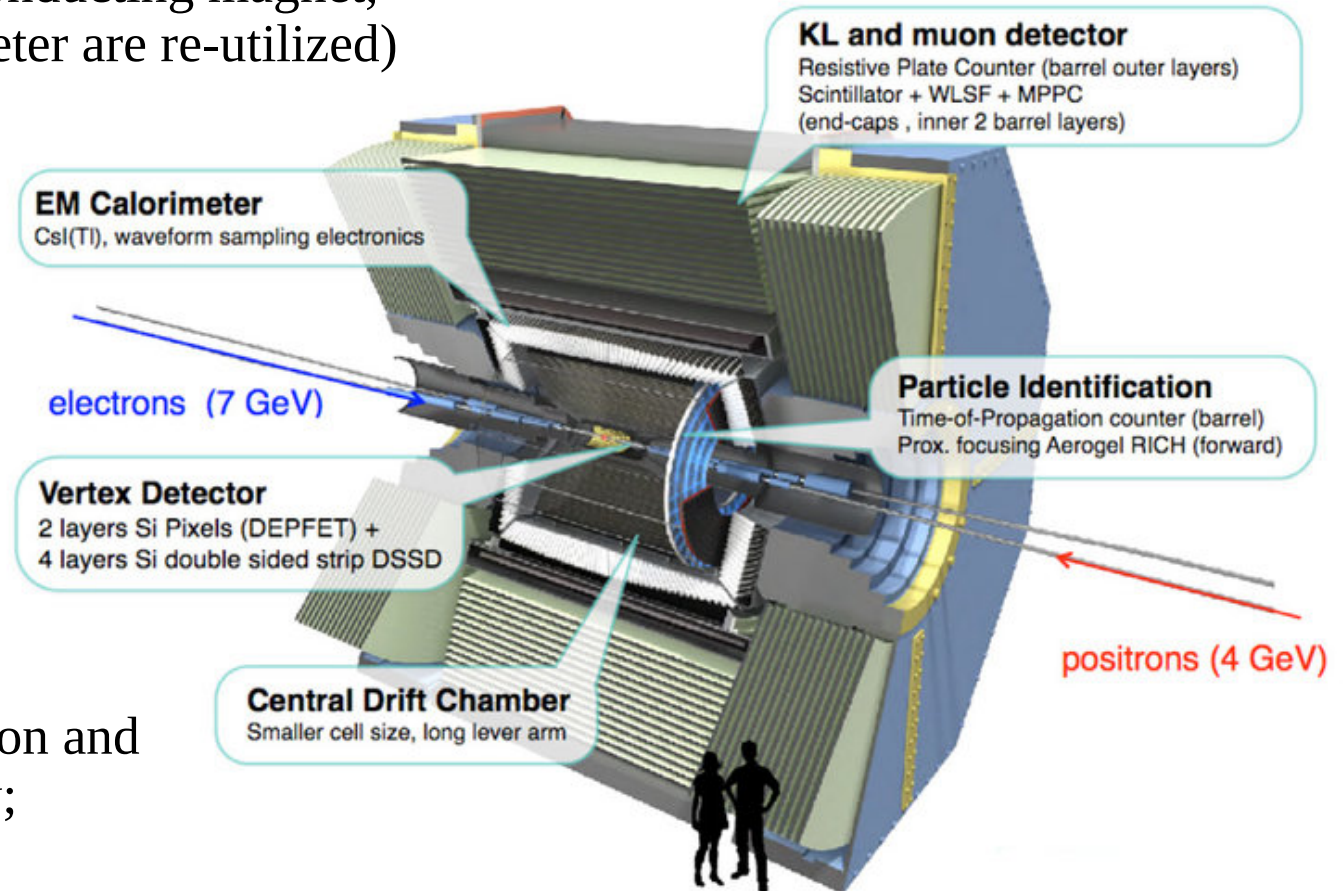
**Goals:**

- Instantaneous lumi:  $\sim 6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
- Integrated lumi:  $50 \text{ ab}^{-1}$

# The Belle II Detector

It looks like the old Belle, but practically it is a brand new detector!

(only the structure, the superconducting magnet, and the crystals of the calorimeter are re-utilized)

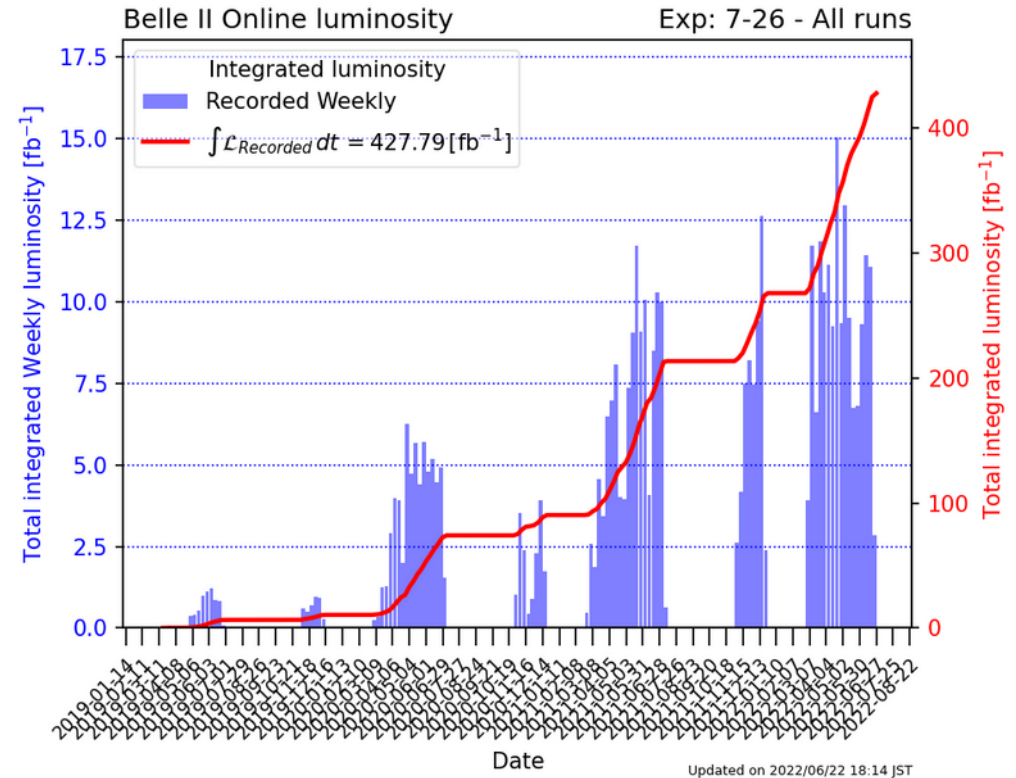


Upgrade highlights:

- improved vertexing resolution and  $K_S$  reconstruction efficiency;
- enhanced  $K/\pi$  separation;
- new trigger lines for Dark Sector searches, first Neural Network single track trigger;
- more efficient analysis tools, thanks to widespread use of machine learning techniques.

# Belle II data taking

- Thanks to the dedication of people based at KEK, we could keep taking data even during the worst of the pandemic;
- Record instantaneous luminosity (of any collider):  $4.71 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ ;
- Recorded in total  **$\sim 424 \text{ fb}^{-1}$** , of which:
  - **$\sim 362 \text{ fb}^{-1}$**  taken at a CM energy of 10.58 GeV, corresponding to the mass of the  $Y(4S)$ , which dominantly decays to BB;
  - **$\sim 42 \text{ fb}^{-1}$**  taken 60 MeV below the  $Y(4S)$  peak (for continuum background studies);
  - **$\sim 19 \text{ fb}^{-1}$**  taken around 10.75 GeV for exotic hadron searches.



In June 2022 we started the Long Shutdown 1 period, dedicated to maintenance and upgrade work. We plan to resume operations at the end of this month!

Many of the results I will show today are based on the full statistic, plus in some cases we also add the Belle data (still Belle II x 2)!

# Outline

I will not be able to show all the results, I will focus on:

- time dependent CP violation on  $B^0 \rightarrow J/\psi K_S$  and  $\eta' K_S$ ;
- measurements of  $\phi_3/\gamma$ ;
- evidence for  $B^+ \rightarrow K^+ \nu \nu$ ;
- $R(D^*) = BR(B \rightarrow D^* \tau \nu) / BR(B \rightarrow D^* l \nu)$ ;
- dark sector searches;

Please also attend the talks from my Belle (II) colleagues:

- **K. Lautenbach, “ $\tau$  physics at Belle and Belle II”, today at 15:45;**
- **M. Bauer, “ $V_{cb}$  and  $V_{ub}$  measurements at Belle and Belle II”, Friday at 9:30.**

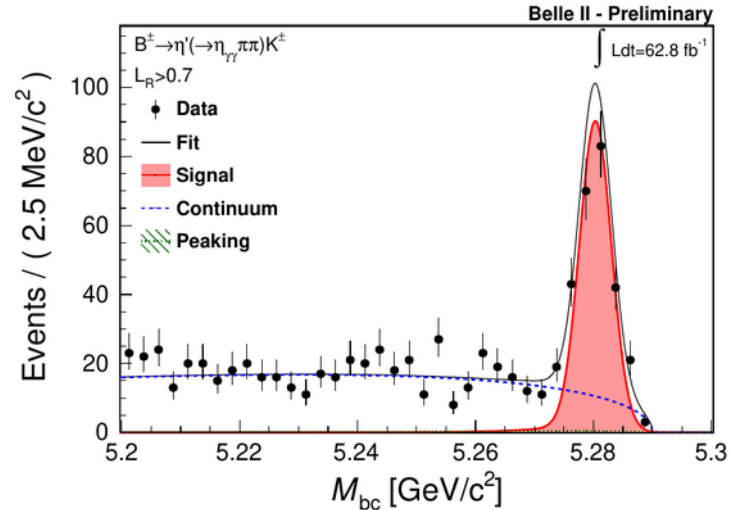
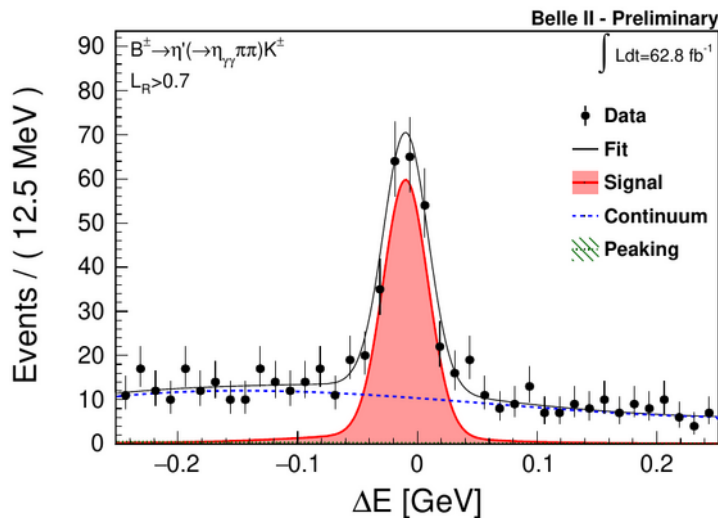


# B factory variables

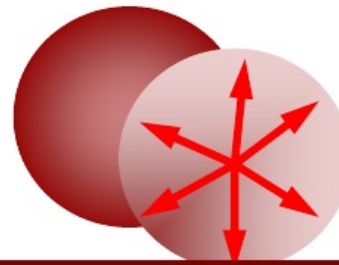
Two key variables discriminate against background for fully reconstructed (hadronic) final states:

$$\Delta E = E_B^* - \frac{\sqrt{s}}{2}$$

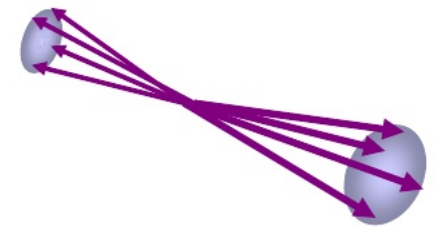
$$M_{bc} = \sqrt{\frac{s}{4} - p_B^{*2}}$$



For many final states, the dominant source of background is the ‘qq continuum’, which is suppressed based on the different topology with respect to  $B\bar{B}$  events:



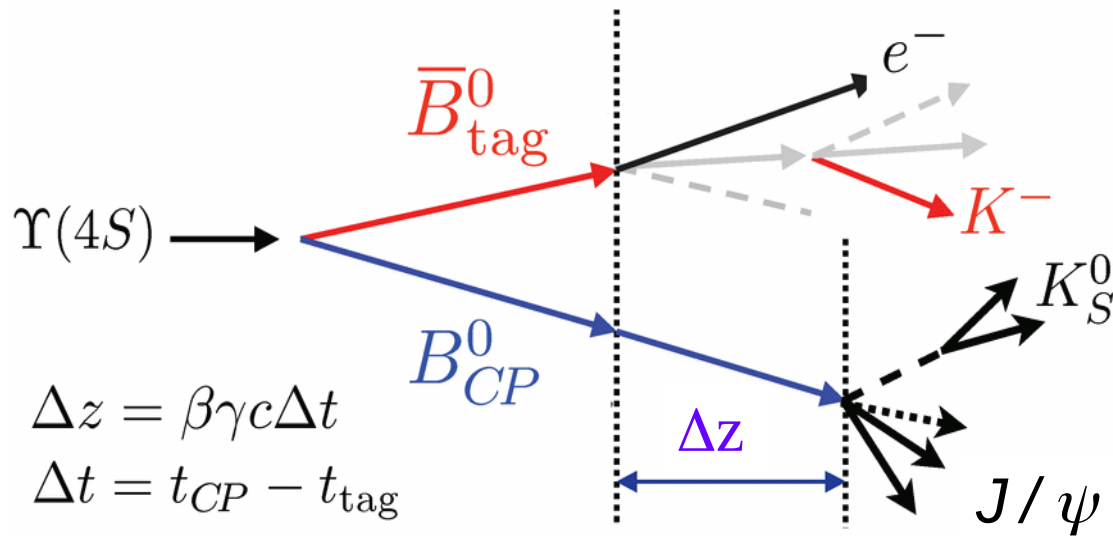
**Spherical BB events**



**Jet-like qq events**



# Time dependent analyses



$\langle \Delta z \rangle \sim 130 \mu\text{m}$  at Belle II

Flagship measurement of the B Factories, still very important at Belle II;

$$\begin{aligned}
 \mathcal{A}_f(\Delta t) &= \frac{\Gamma(\bar{B}^0(\Delta t) \rightarrow f) - \Gamma(B^0(\Delta t) \rightarrow f)}{\Gamma(\bar{B}^0(\Delta t) \rightarrow f) + \Gamma(B^0(\Delta t) \rightarrow f)} \\
 &= S_f \sin(\Delta m_B \Delta t) - C_f \cos(\Delta m_B \Delta t)
 \end{aligned}$$

$S_f$  : time dependent asymmetry

$C_f$  : time integrated (or direct) asymmetry

Quite complicated analysis, several ingredients must be in place:

- 1) ability to identify the flavor ( $B^0$  or  $\bar{B}^0$ ) of the unreconstructed B (flavor tagging);
- 2) B-decay vertices resolution;
- 3) signal side efficiency, background modeling.

Fully exploiting the quantum entanglement of the two B mesons!

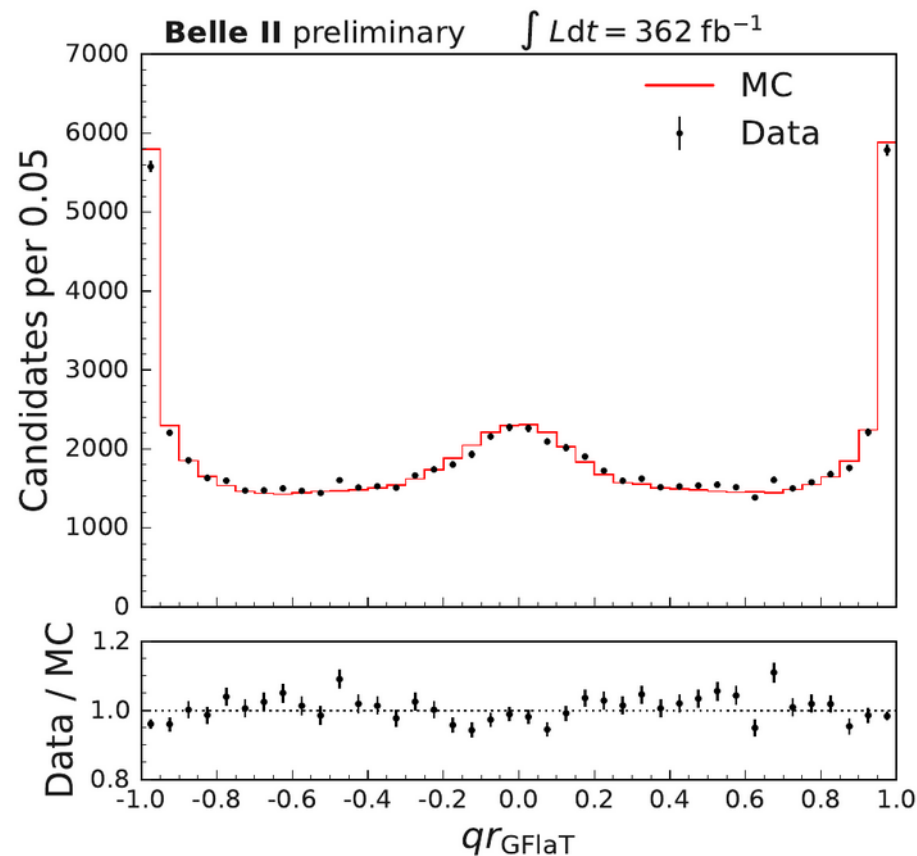
# Progress in B flavor tagging

- The first CP violation analyses in Belle II relied on a category-based (CB) algorithm [[Eur. Phys. J 82, 283 \(2022\)](#)];
- We explored a more advanced algorithm, GFlaT, based on a **graph convolutional neural network**, exploiting 25 variables for each track from the unreconstructed B decay (for up to 16 tracks);
- The performance is evaluated from a time dependent analysis of self-tagging  $B^0 \rightarrow D^{(*)-}\pi^+$  decays;
- We measure an impressive increase in the effective tagging efficiency, compared to the previous algorithm:

$$\varepsilon_{\text{tag,CB}} = (31.7 \pm 0.5 \pm 0.4)\%$$

$$\varepsilon_{\text{tag,GFlaT}} = (37.4 \pm 0.4 \pm 0.3)\%$$

Y. Uematsu, CKM 2023



This corresponds to  $\sim 18\%$  more luminosity available for CP violation analyses!

# $\sin 2\phi_1 / \sin 2\beta$ from $B^0 \rightarrow J/\psi K_S$

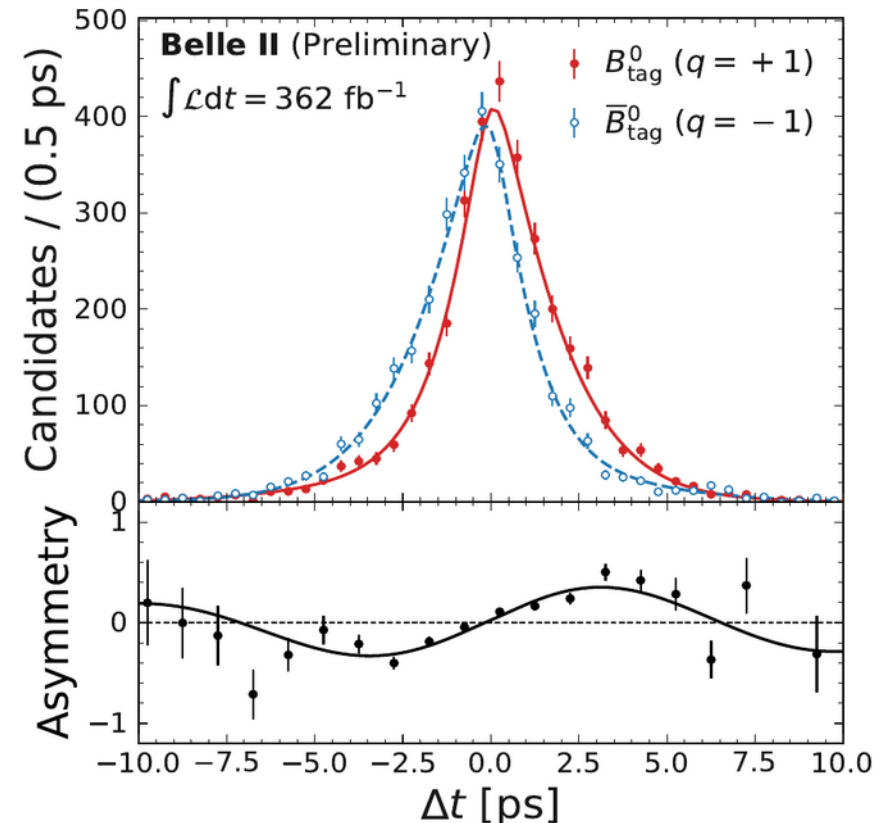
- We update the flagship measurement of the B factories using the full Belle II data set and the GFlaT flavor tagger;
- We fit the  $\Delta E$  distribution of the selected candidates in order to subtract the backgrounds;
- We then fit the background subtracted  $\Delta t$  distributions and measure the CP violating parameters:

$$S = 0.724 \pm 0.035 \pm 0.014$$

$$C = -0.035 \pm 0.026 \pm 0.013$$

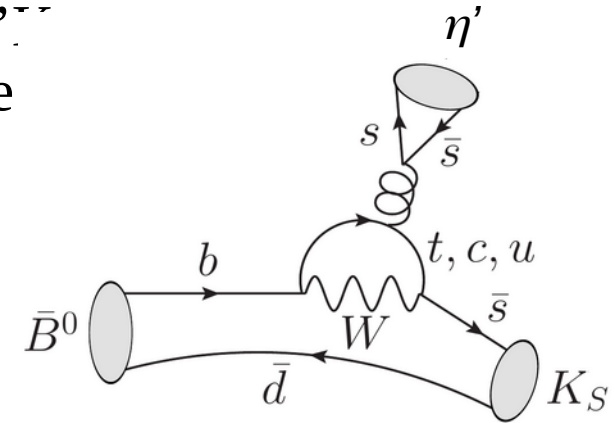
- This is well compatible with the world averages and the latest LHCb result (which is a factor  $\sim 2$  more precise).

Y. Uematsu, CKM 2023



# $\sin 2\phi_1 / \sin 2\beta$ from $B^0 \rightarrow \eta' K_S$

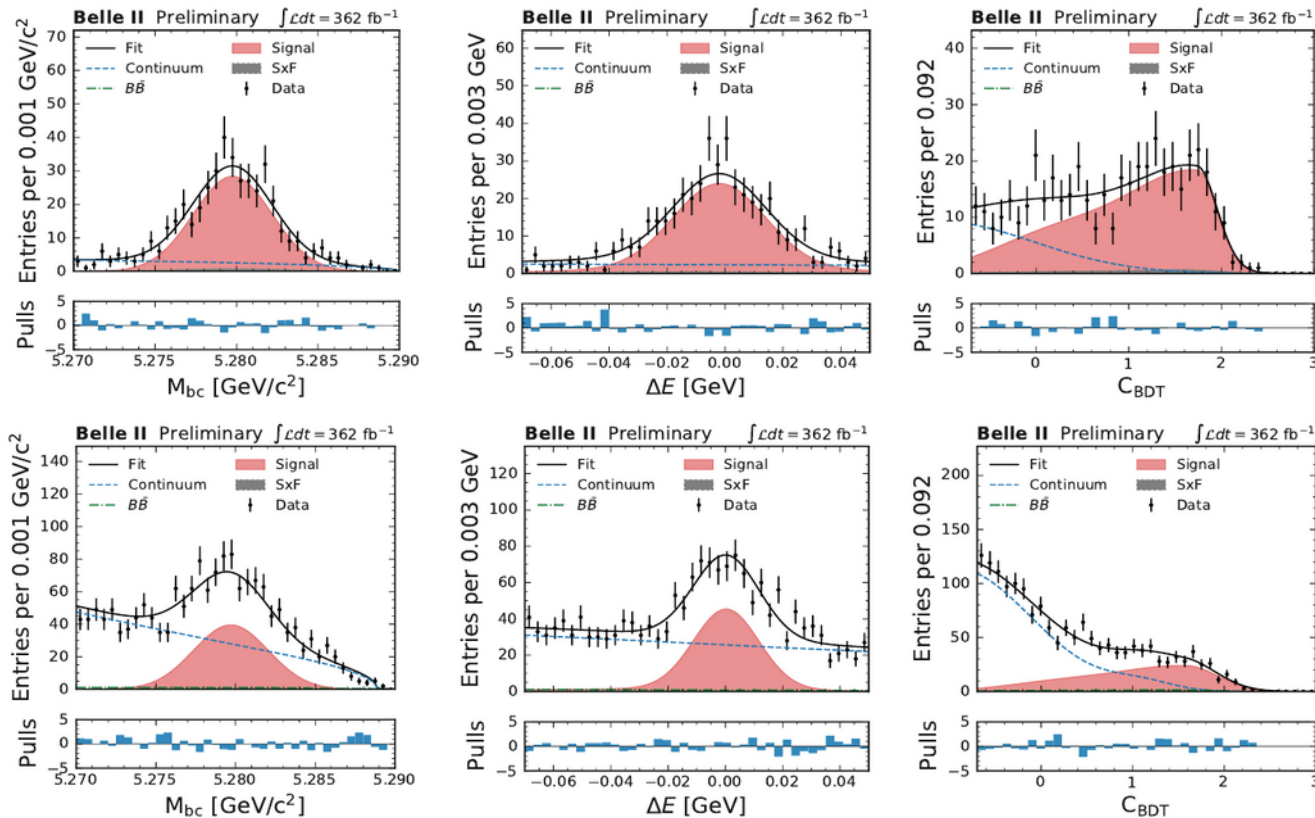
- Motivations: the time dependent CP violation in  $B^0 \rightarrow \eta' \pi^+ \pi^-$  (proceeding through loop diagrams) is expected to be the same observed in  $B^0 \rightarrow J/\psi K_S$  (tree);
- Any significant deviation would be an indication of new physics;
- We reconstruct the sub-channels:  $\eta' \rightarrow \eta(\rightarrow \gamma\gamma)\pi^+\pi^-$  and  $\eta' \rightarrow \rho^0\gamma$ , and determine their yields with a three dimensional fit:



$$\eta' \rightarrow \eta(\rightarrow \gamma\gamma) \pi^+ \pi^-$$

$$\eta' \rightarrow \rho^0 \gamma$$

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# $\sin 2\phi_1 / \sin 2\beta$ from $B^0 \rightarrow \eta' K_S$

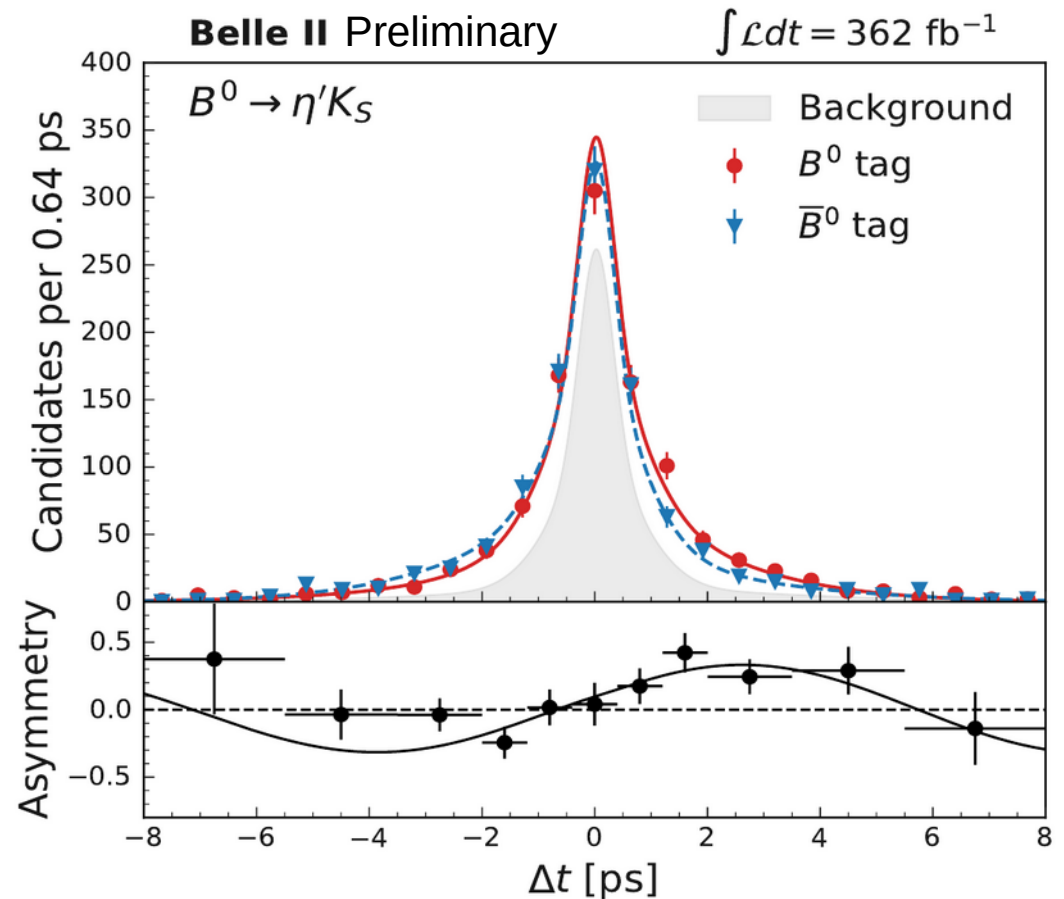
- With the yields ( $\sim 800$  signal events in total) fixed from the previous step, we perform the time dependent fit:

- We find:

$$C_{\eta' K_S^0} = -0.19 \pm 0.08 \pm 0.03$$

$$S_{\eta' K_S^0} = 0.67 \pm 0.10 \pm 0.04$$

which is in good agreement with both the world average and the  $B^0 \rightarrow J/\psi K_S$  result.



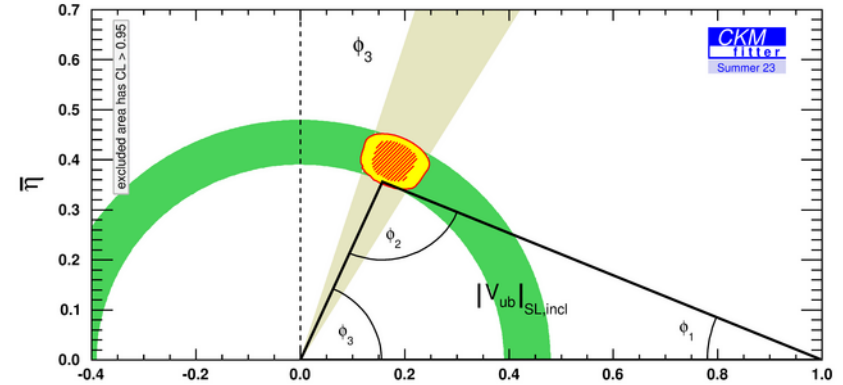
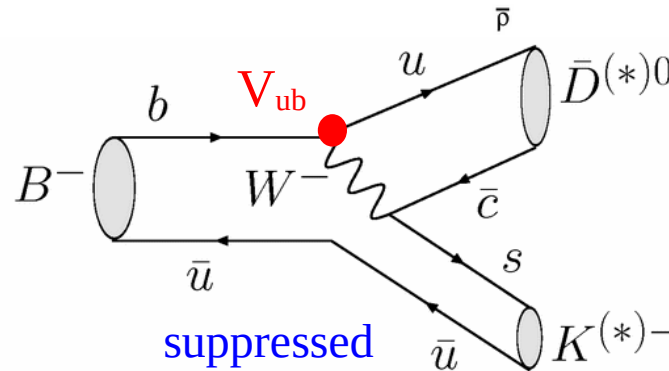
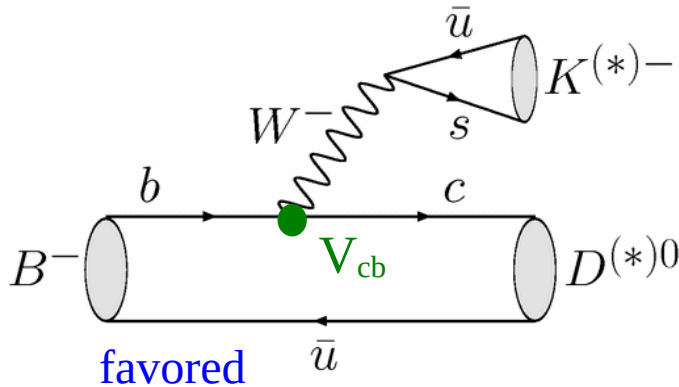


# Measurements of $\phi_3/\gamma$

- $\phi_3/\gamma$  is one of the fundamental inputs of the CKM Unitarity Triangle fit, as it comes from the interference of tree level amplitudes;

$$\gamma = \phi_3 \equiv \arg \left[ -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} \right]$$

Current precision:  $\sim 3.5^\circ$



- The precision of LHCb will be out of reach for quite a few years, but the importance of the parameters calls for a substantial effort from **Belle + Belle II**. There are many methods to access  $\phi_3/\gamma$ , some unique to LHCb, some in which Belle (II) will have an edge.

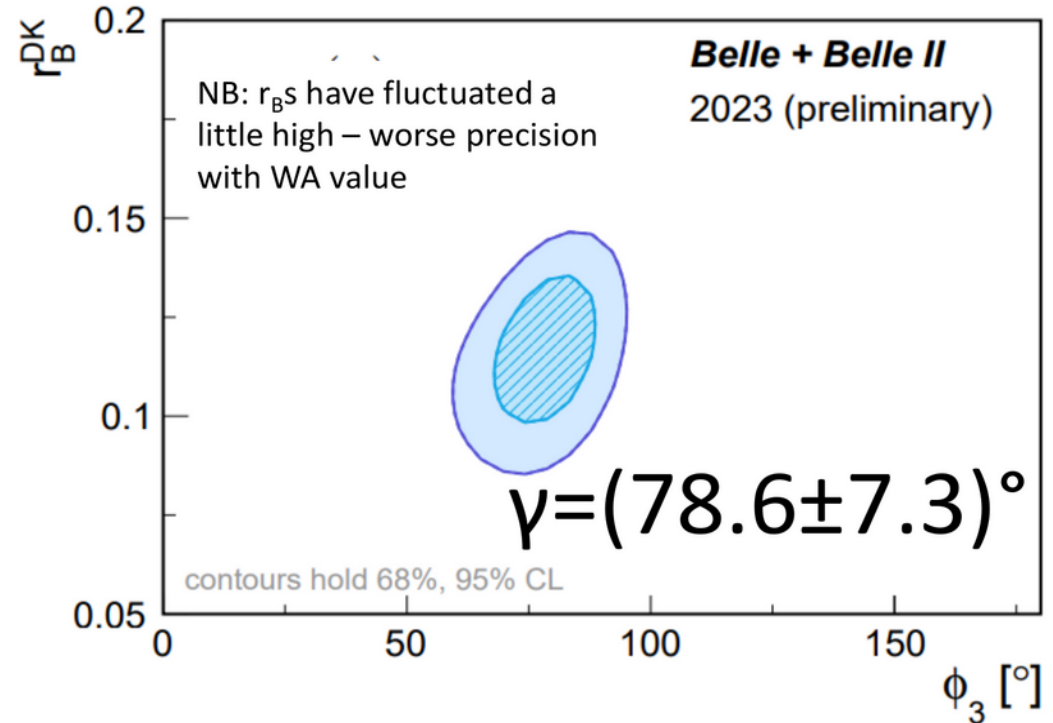
# Measurements of $\phi_3/\gamma$

- Several papers based on the full Belle + a fraction of Belle II data sets:

→ (BPGGSZ)  $D^0 \rightarrow K_S h^+ h^-$   
[J. High Energ. Phys. 2022, 63 \(2022\)](#)

→ (GLS)  $D^0 \rightarrow K_S K \pi$   
[arXiv:2306.02940 \[hep-ex\]](#)

→ (GLW)  $D^0 \rightarrow K K, K_S \pi^0$   
[arXiv:2308.05048 \[hep-ex\]](#)



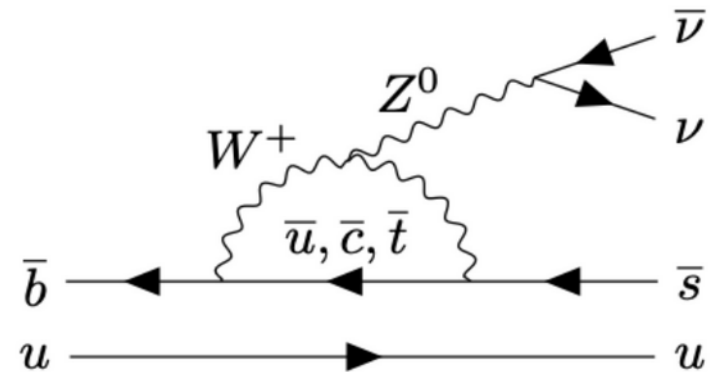
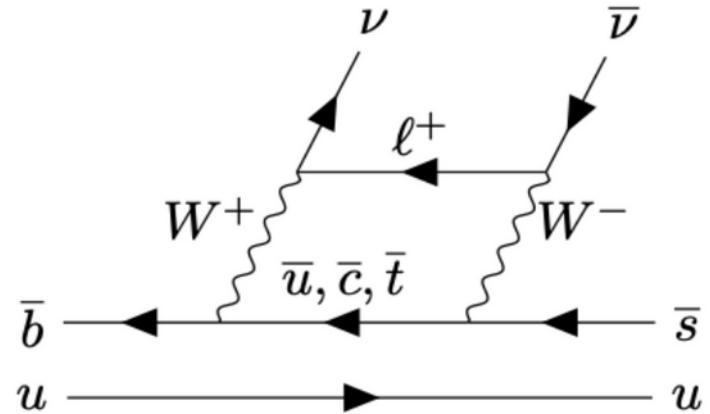
- Current LHCb precision:

$$\phi_3/\gamma = (63.8 \pm 3.6)^\circ \quad \text{LHCb-CONF-2022-003}$$

We need a few  $\text{ab}^{-1}$  in order to have a meaningful comparison

# $B^+ \rightarrow K^+ \nu \nu$ – motivations

- Very suppressed in the SM, proceeding only through box/loop diagrams;
- Expected BR:  $(5.6 \pm 0.4) \times 10^{-6}$   
[[Phys. Rev. D 107, 014511 \(2023\)](#)];
- It could be enhanced by new physics contributions, and be connected to other anomalies seen in  $b \rightarrow s l^+ l^-$ ,  $R(D^{(*)})$ ,  $(g-2)_\mu, \dots$ ;
- Very challenging from the experimental point of view. At least two  $\nu$ 's in the final state, controlling the backgrounds is crucial;
- Upper limits provided by BaBar [[HAD, SL](#)] and Belle [[HAD, SL](#)], exploiting the reconstruction of the other B in the event in a hadronic or semileptonic final state.

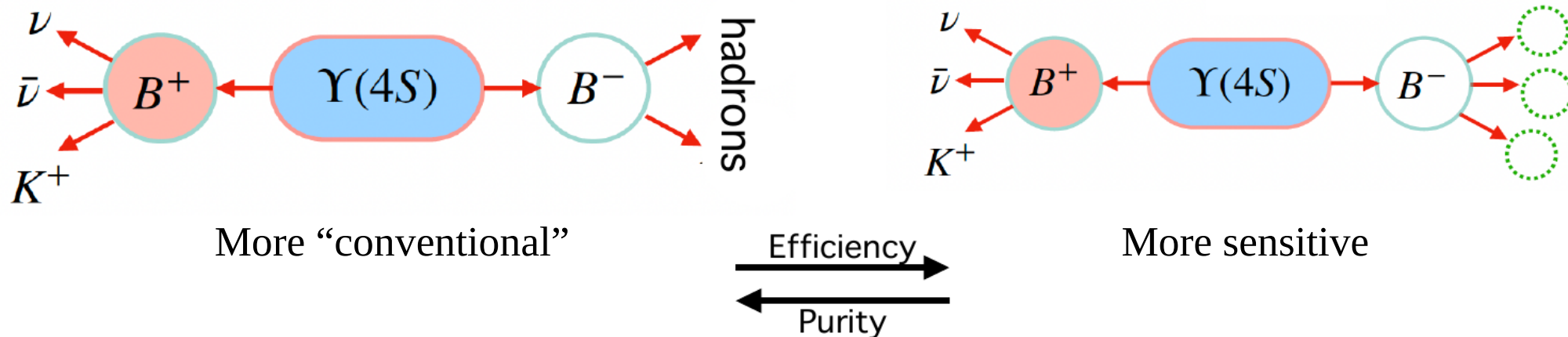


Diagrams for short distance contributions  
(long distance: 10% of the total branching fraction)

# $B^+ \rightarrow K^+ \nu \nu$ – experimental approaches

Two techniques utilized in parallel at Belle II:

[arXiv:2311.14647 \[hep-ex\]](https://arxiv.org/abs/2311.14647)



**Hadronic Tag Analysis (HTA):**  
stronger control of the backgrounds,  
but lower efficiency.  
Relying on the Full Event  
Interpretation (FEI) algorithm  
[[Comput. Softw. Big Sci 3, 6 \(2019\)](#)]

**Inclusive Tag Analysis (ITA):** first tried  
at Belle II, background suppression  
relies on the properties of the *Rest Of  
the Event (ROE)*, which should  
correspond to the other B in the event

The two analyses are (almost) statistically independent

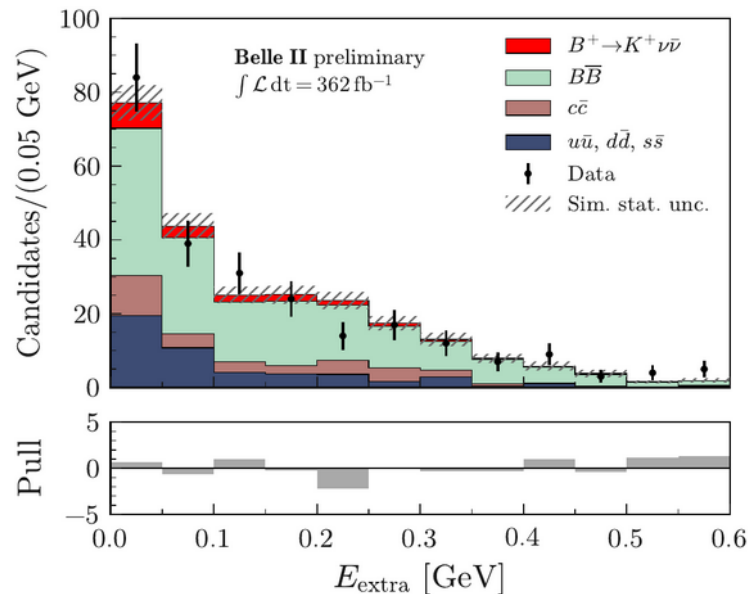
# $B^+ \rightarrow K^+ \nu \nu$ – selection

- We select a kaon candidate track (PID efficiency  $\sim 68\%$ ,  $\pi \rightarrow K$  mis-ID rate 1.2%);
- If two K candidates are present in the ITA, we select that with the lowest  $q^2$ :  

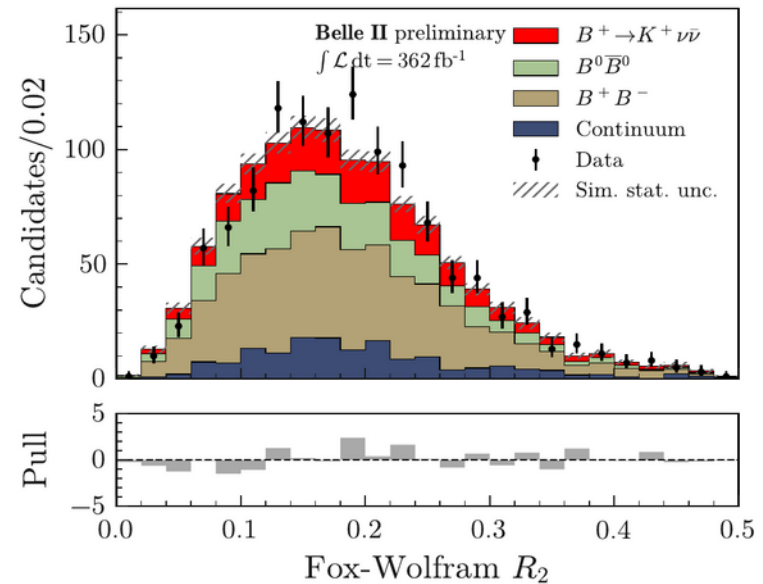
$$q_{\text{rec}}^2 = s/(4c^4) + M_K^2 - \sqrt{s}E_K^*/c^4$$
 (the choice is correct in  $\sim 96\%$  of the cases)
- Variables sensitive to the signal properties, event shape, extra particles in the event, ... , are combined in one (for HTA) or two successive (for ITA) BDT's;

arXiv:2311.14647 [hep-ex]

HTA



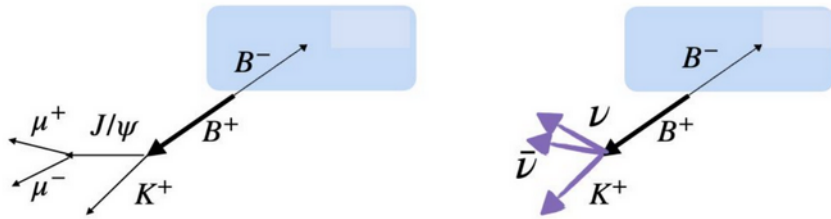
ITA



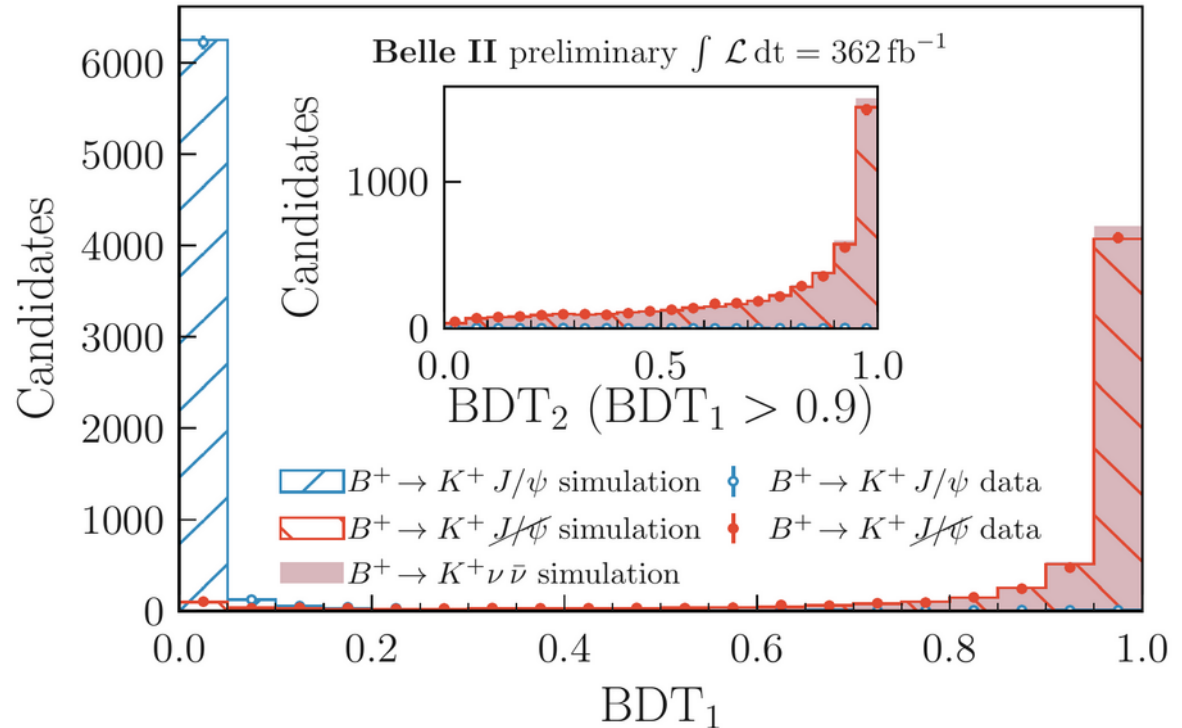


# $B^+ \rightarrow K^+ \nu \bar{\nu}$ – validation

- We validate the ITA procedure and signal efficiency using  $B^+ \rightarrow K^+ J/\psi(\rightarrow \mu^+ \mu^-)$ ;



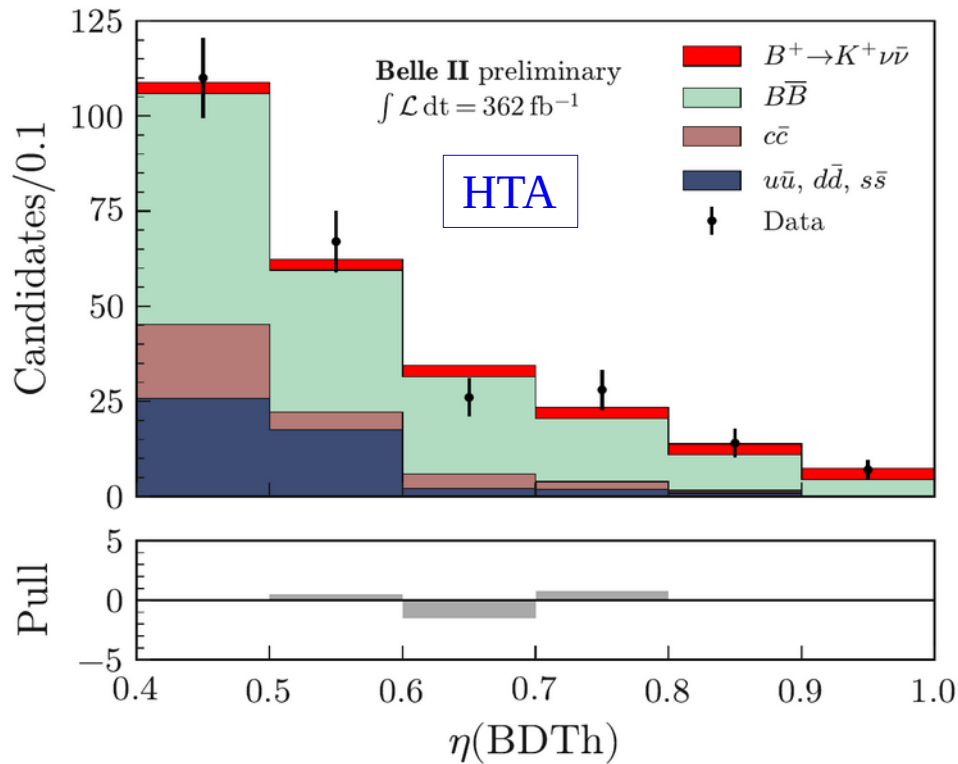
- We see very good agreement in the BDT output between data and signal simulation;
- Other checks from:
  - study of off-resonance data;
  - pion enriched control samples;
  - measurement of  $B^+ \rightarrow \pi^+ K^0$ ;
  - ... ;



Data/MC differences observed in the normalization of the control samples contribute to the systematic uncertainties

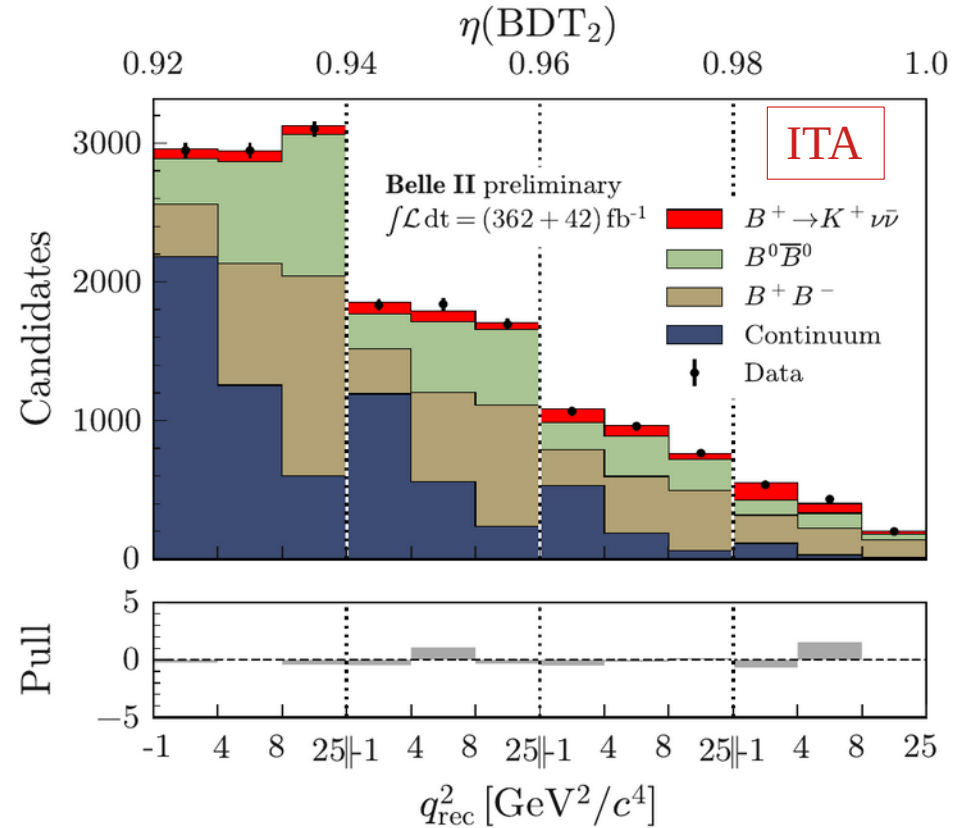
# $B^+ \rightarrow K^+ \nu \nu$ – results

The signal is extracted in bins of the transformed (flat in efficiency) output  $\eta$  of the BDT (and  $q^2$  for ITA):



$$\mu_{\text{HTA}} = 2.2^{+1.8}_{-1.7}(\text{stat})^{+1.6}_{-1.1}(\text{syst})$$

1.1 $\sigma$  above the background only hypothesis  
 0.6 $\sigma$  above the SM expectation



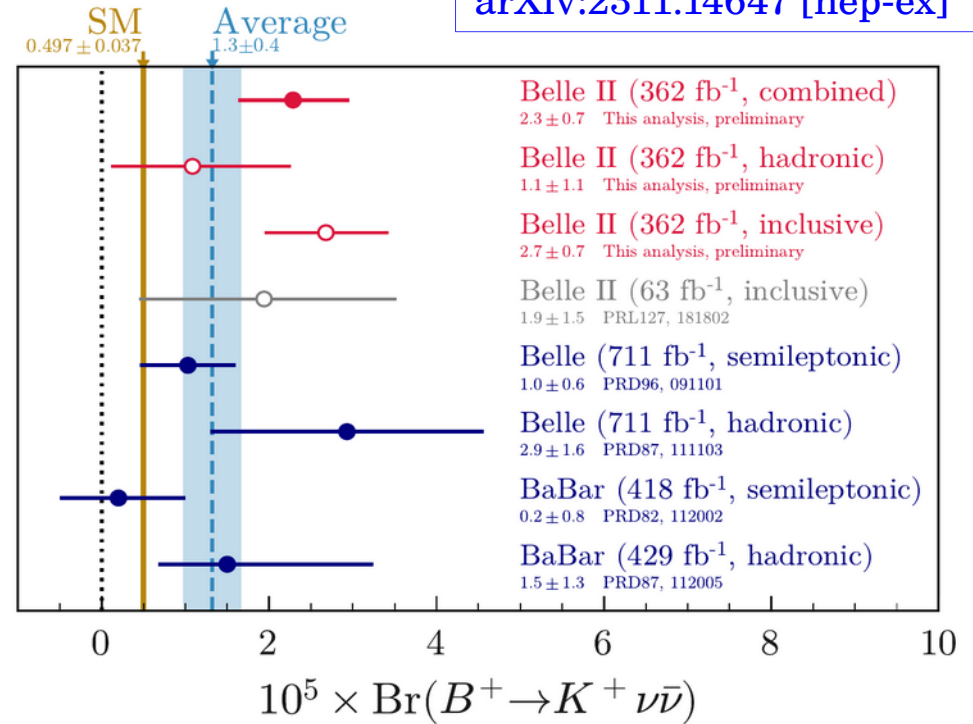
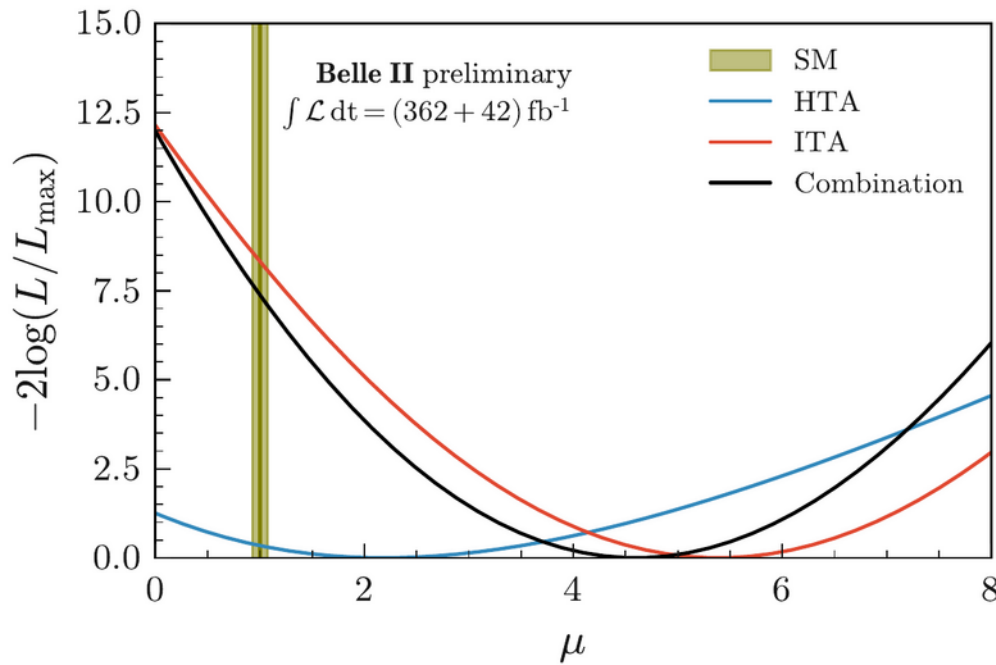
$$\mu_{\text{ITA}} = 5.4 \pm 1.0(\text{stat}) \pm 1.1(\text{syst})$$

3.5 $\sigma$  above the background only hypothesis  
 2.9 $\sigma$  above the SM expectation

# $B^+ \rightarrow K^+ \nu \nu$ – results

Combining the results of **ITA** and **HTA**:

arXiv:2311.14647 [hep-ex]



$$\mu = 4.6 \pm 1.0(\text{stat}) \pm 0.9(\text{syst})$$

$$BR(B^+ \rightarrow K^+ \nu \nu) = [2.4 \pm 0.5(\text{stat})_{-0.4}^{+0.5}(\text{syst})] \times 10^{-5}$$

3.5 $\sigma$  above the background only hypothesis  
 2.7 $\sigma$  above the SM expectation

Exciting result, to be confirmed with **Belle ITA**, semileptonic tagged analysis and the investigation of more  $B \rightarrow K^{(*)} \nu \nu$  modes.

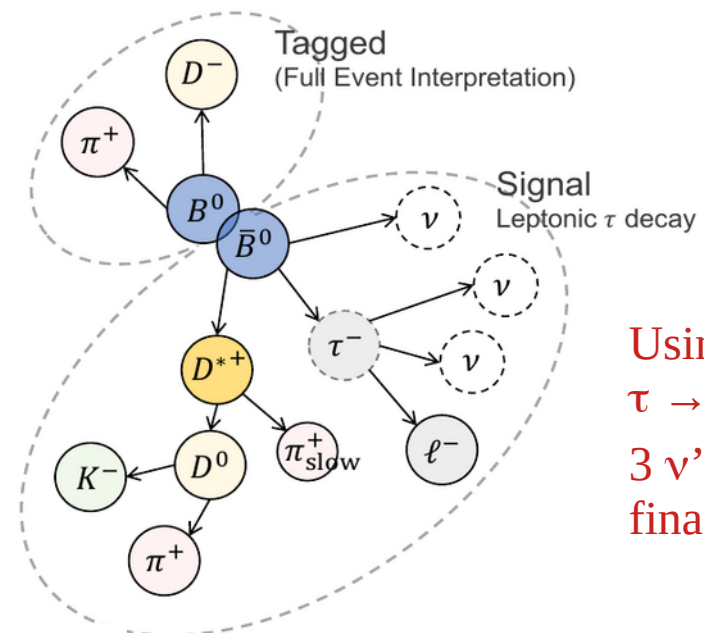
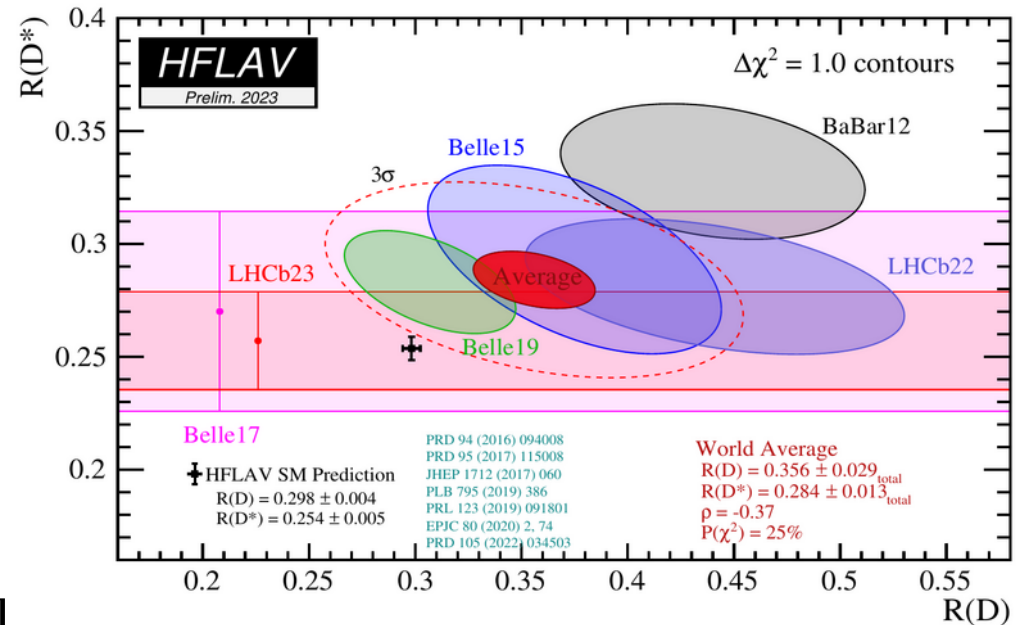
# First $R(D^*)$ measurement at Belle II

- One of the outstanding anomalies, pointing towards a violation of the Lepton Flavor Universality:

$$R(D^{(*)}) = \frac{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$$

- Experimental challenges: backgrounds are difficult to control, due to at least two  $\nu$ 's in the final state, no clear signal peak;
- First Belle II measurement of  $R(D^*)$ : we use the **Full Event Interpretation** (same as  $B \rightarrow K \nu \nu$  HTA), to have the strongest control of the backgrounds, at the price of reducing the statistics.

K. Kojima, Lepton Photon 2023



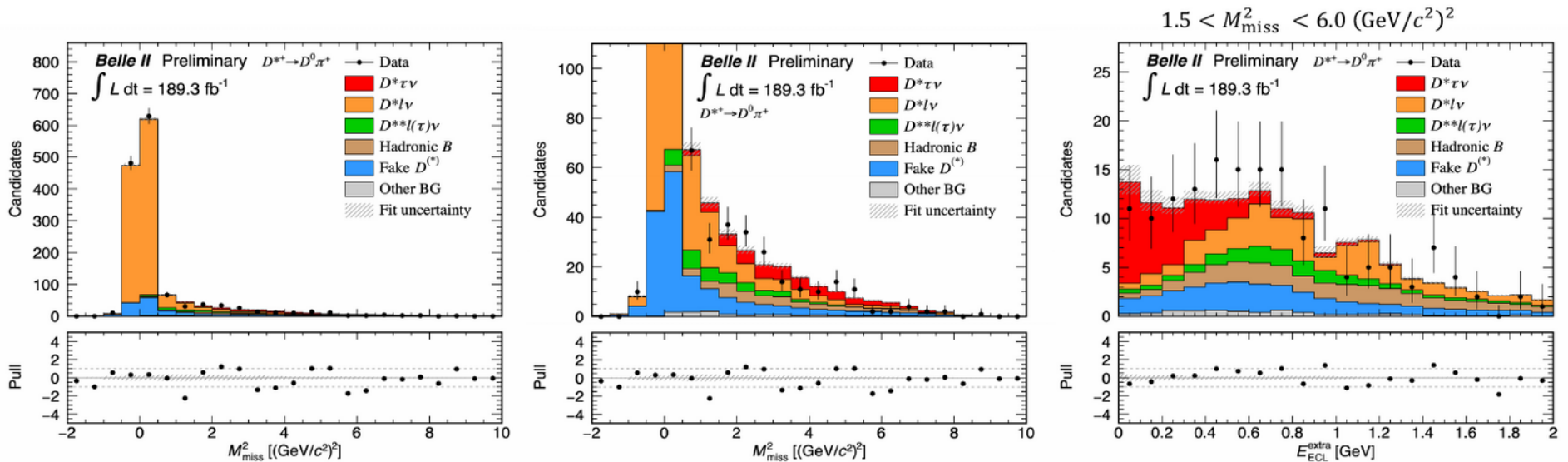
Using only  $\tau \rightarrow l \nu \nu$ ,  
3  $\nu$ 's in the final state!

# First R(D\*) measurement at Belle II

- Analysis strategy: we extract the signal from a 2D fit on the variables:

- missing mass squared:  $M_{\text{miss}}^2 = (p_{e^+e^-} - p_{B_{\text{tag}}} - p_{D^*} - p_{\ell})^2$
- extra energy on the calorimeter:  $E_{\text{ECL}}^{\text{extra}}$

K. Kojima, Lepton Photon 2023



- The major backgrounds are validated on data sidebands:

- low  $q^2$  sideband ( $D^* l \nu$  enhanced);
- extra  $\pi^0$  selection ( $D^{**} l \nu$  enriched);
- $\Delta m = m(D^*) - m(D)$  sideband (fake  $D^*$ ).

Using only ~50%  
of the statistics  
available at Belle II



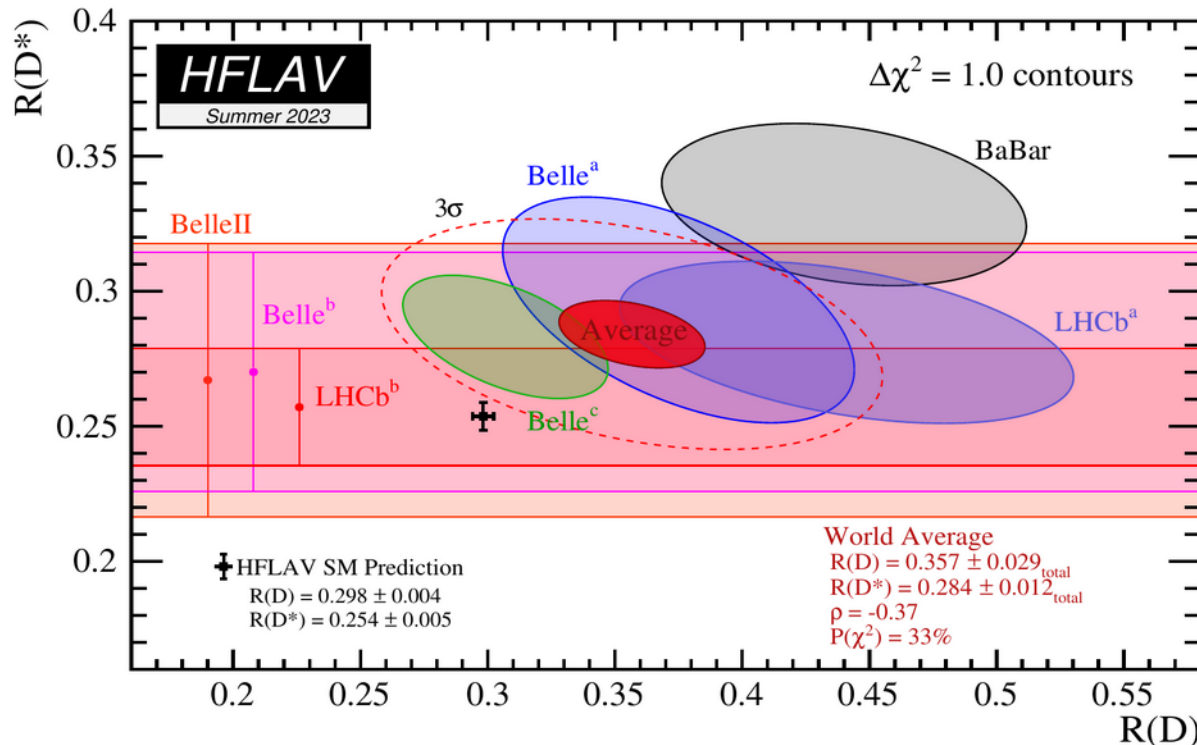
# First $R(D^*)$ measurement at Belle II

Result:

K. Kojima, Lepton Photon 2023

$$R(D^*) = 0.262 \begin{matrix} +0.041 \\ -0.039 \end{matrix} (\text{stat.}) \begin{matrix} +0.035 \\ -0.032 \end{matrix} (\text{syst.})$$

40% improvement in the statistical precision compared to Belle with the same luminosity



Performed also the first inclusive measurement of:

$$R(X) = \frac{BF(B \rightarrow X\tau\nu)}{BF(B \rightarrow Xl\nu)}$$

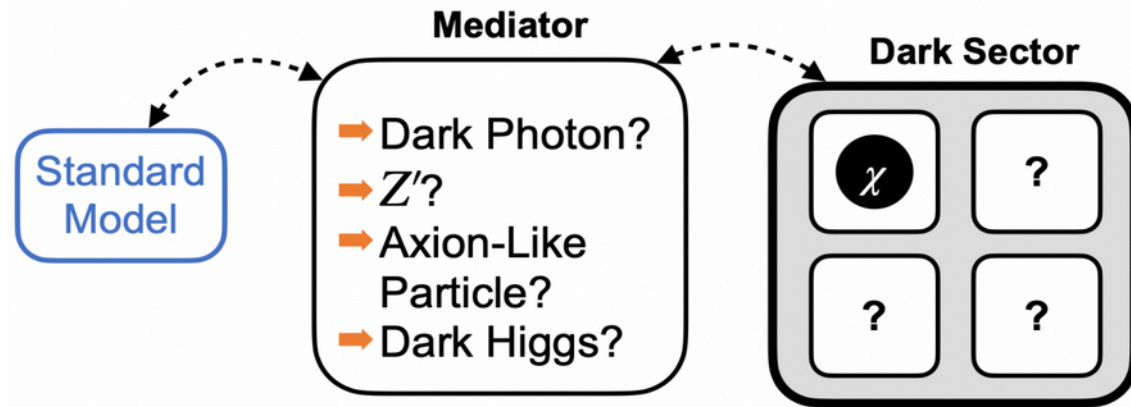
Results consistent with both SM and  $R(D^*)$  world average

[arXiv:2311.07248 \[hep-ex\]](https://arxiv.org/abs/2311.07248)

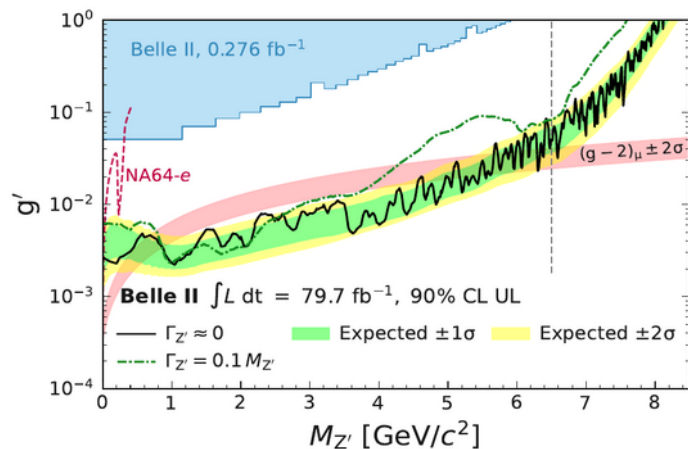
Compatible with both the SM predictions and the World average, we need more data, and also the measurement of  $R(D)$ , to shed more light on this problem.

# Dark sector searches

- In many extensions of the SM, there exist a dark sector, that interacts with the SM particles via a weakly coupled mediator;
- If the mass of the mediator is in the  $[0.01 - 10]$  GeV range, this could be accessible to Belle II;
- Belle II implements trigger strategies that were not available to Belle, thus opening new territories even with smaller luminosity:

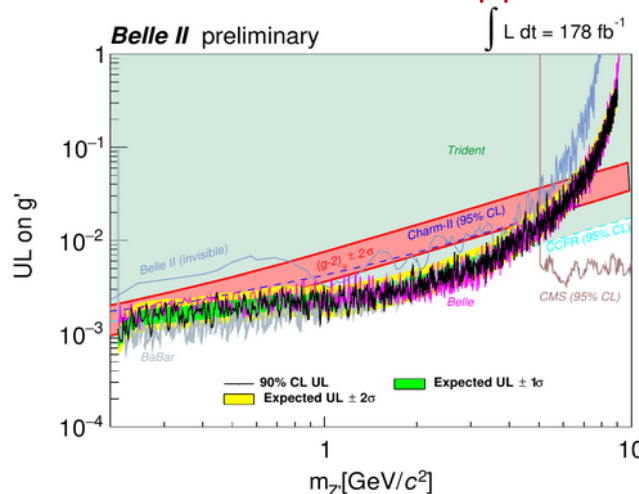


Search for  $Z' \rightarrow$  invisible



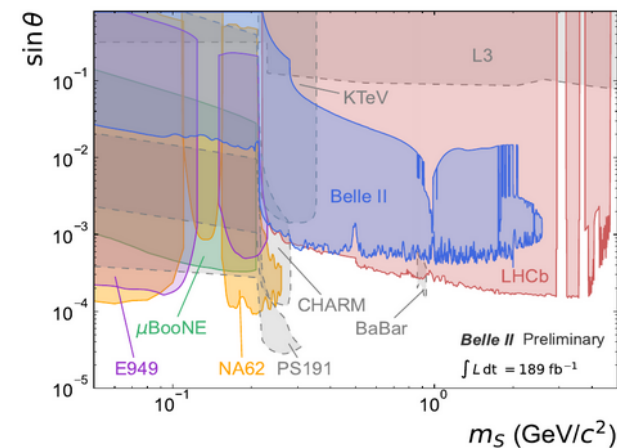
Phys. Rev. Lett. 130, 231801 (2023)

Search for  $Z' \rightarrow \mu\mu$



M. Laurenza, DMNET 2023

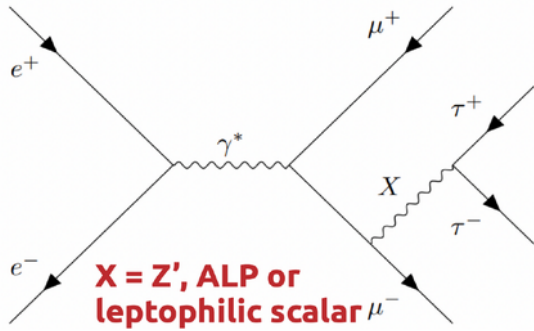
Search for Long Lived Particles



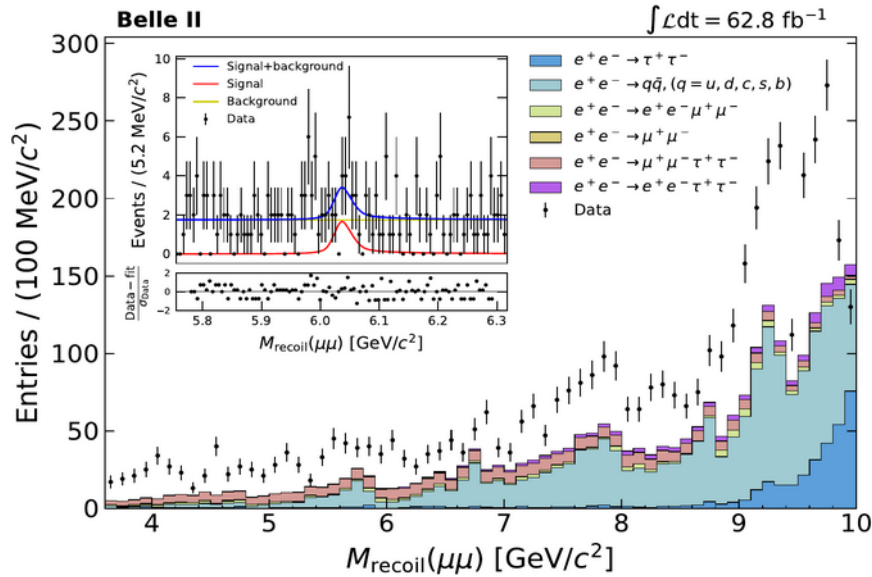
Phys. Rev. D 108, L111104 (2023)

# Dark sector searches

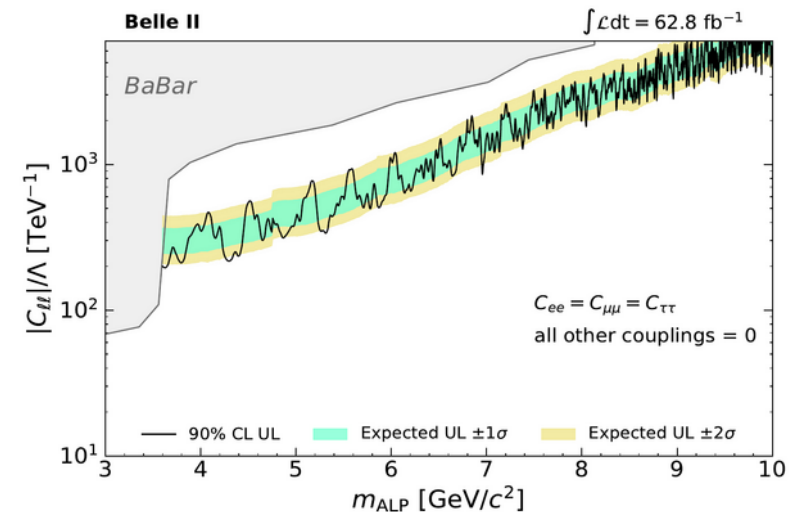
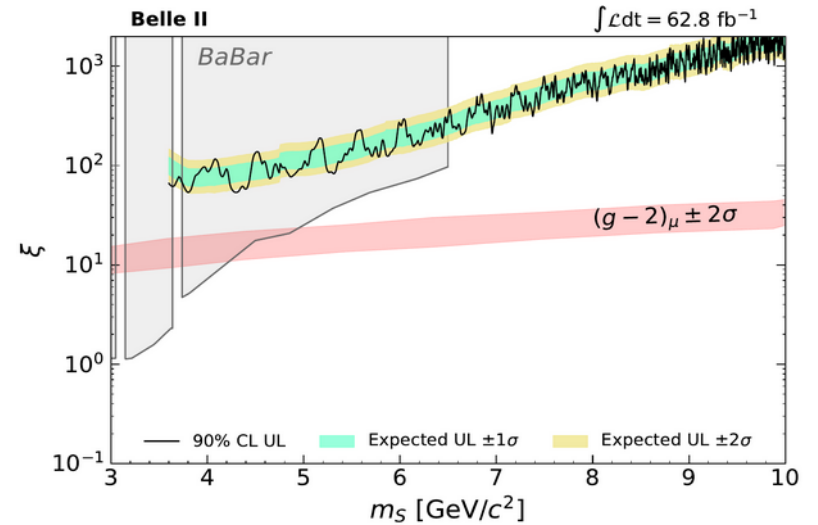
- Search for a  $\tau\tau$  resonance in  $e^+e^- \rightarrow \mu^+\mu^-X, X \rightarrow \tau^+\tau^-$ ;



- Looking for a narrow peak in the mass recoiling against the dimuons:



Part of the  $\gamma\gamma \rightarrow q\bar{q}$  backgrounds are not covered by the simulation



# Conclusions

- After many years from the beginning, the  $e^+e^-$  path to flavor physics continues to bear fruits;
- Belle II successfully concluded Run1 and the first results show significant better performance compared to its predecessor;
- Not a lot of integrated luminosity (yet), but we are also exploring new analysis techniques, ideas, final states, ... ;
- Belle II Run2 is about to start, expect many more results to come!

# Backup slides

# $\sin(2\beta/\phi_1)$ outlook

- $\sin(2\beta)$  from  $J/\psi K^0$  will be systematics dominated @50 ab<sup>-1</sup>;
- Irreducible systematic uncertainties from alignment of the vertex detector and Doubly Cabibbo Suppressed Decays on the tag side;

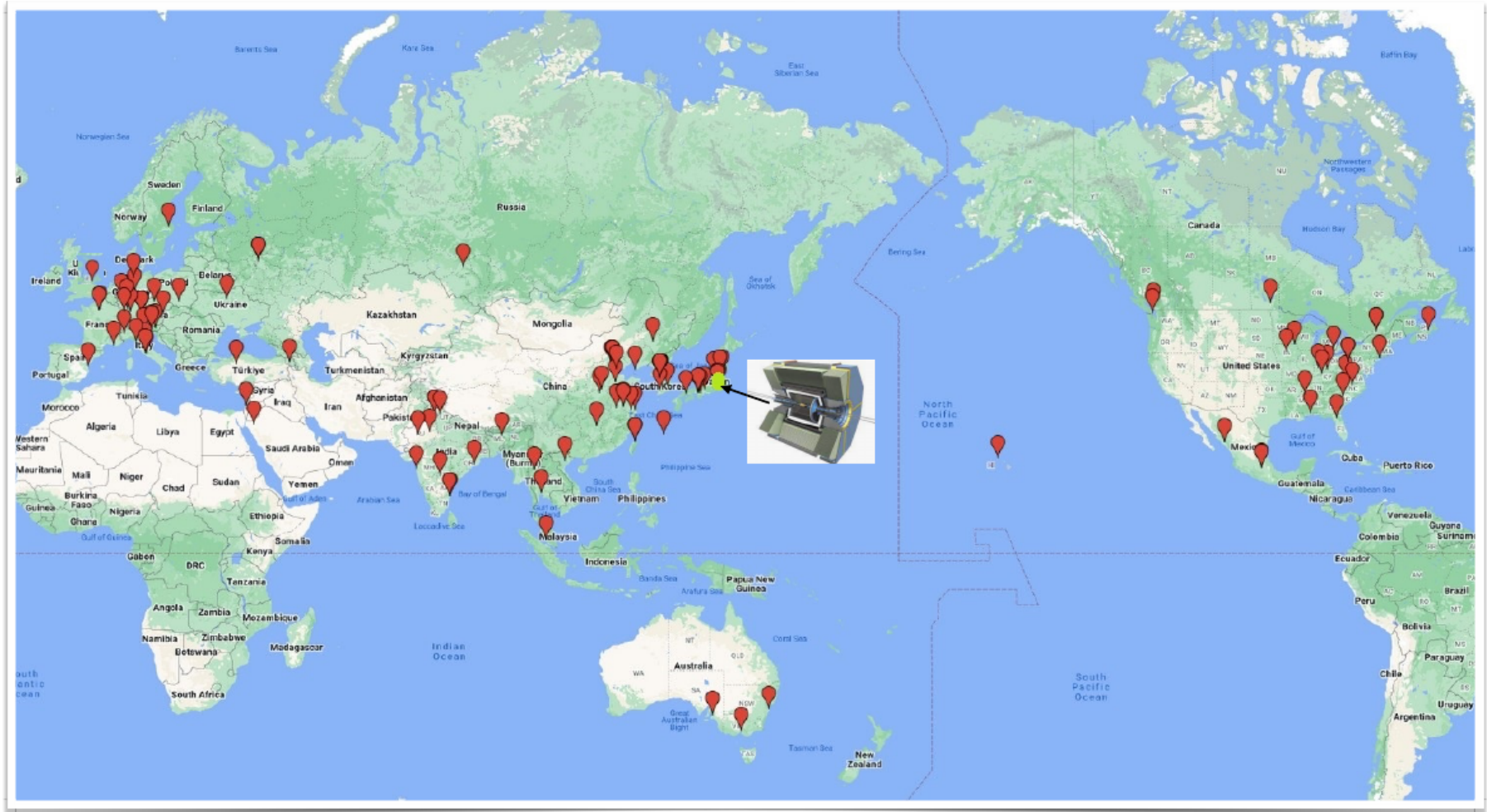
## Belle II Physics Book

	No improvement	Vertex improvement	Leptonic categories
$S_{c\bar{c}s}$ (50 ab <sup>-1</sup> ) <b>time dependent CP parameter</b>			
stat.	0.0027	0.0027	0.0048
syst. reducible	0.0026	0.0026	0.0026
syst. irreducible	0.0070	0.0036	0.0035
$A_{c\bar{c}s}$ (50 ab <sup>-1</sup> ) <b>direct CP asymmetry</b>			
stat.	0.0019	0.0019	0.0033
syst. reducible	0.0014	0.0014	0.0014
syst. irreducible	0.0106	0.0087	0.0035

- *Penguin pollution* can no longer be ignored and must be constrained from  $B \rightarrow J/\psi \pi^0$  and other SU(3) related channels.



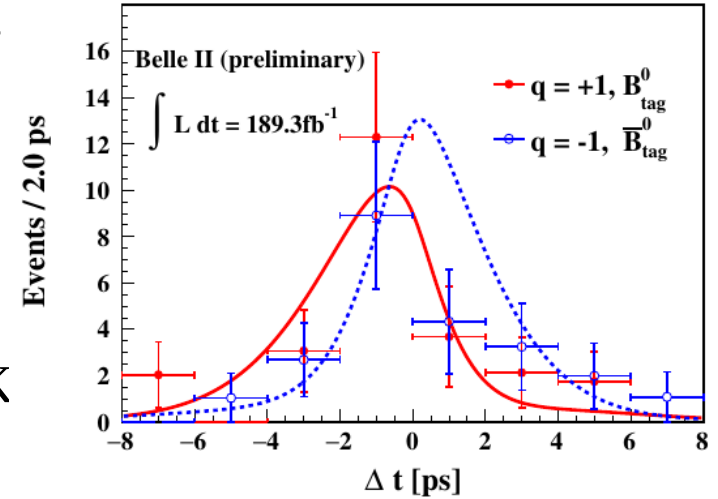
# The Belle II Collaboration



- 28 countries/regions;
- 122 institutions;
- ~1200 active members.

# $B \rightarrow K_S K_S K_S$

- Decay proceeding through penguin loop diagrams, potentially sensitive to New Physics and theoretically very clean;
- No prompt tracks from signal B decay vertex,  $K_S \rightarrow \pi^+ \pi^-$  flight direction must be extrapolated back;
- Only candidates with sufficient hits on the silicon vertex detectors are used for the time dependent analysis (the others contribute to the direct CP asymmetry);
- Signal extracted from 3-dimensional fit to  $M_{bc}$ ,  $M(K_S K_S K_S)$  and output of continuum suppression BDT;

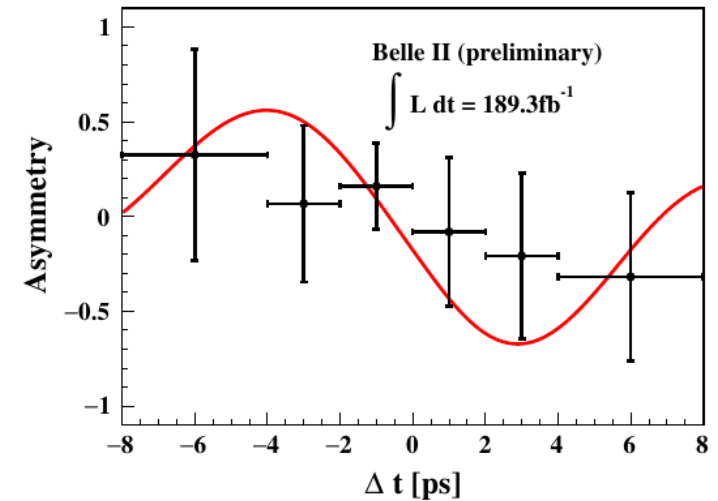
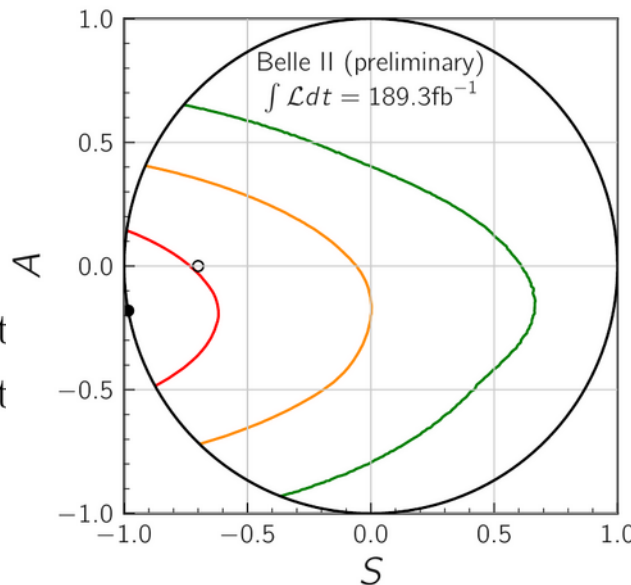


• Results:

$$n\text{Sig} = 53 \pm 8$$

$$\mathcal{S} = -1.86^{+0.91}_{-0.46} \text{ (stat)} \pm 0.09 \text{ (syst)}$$

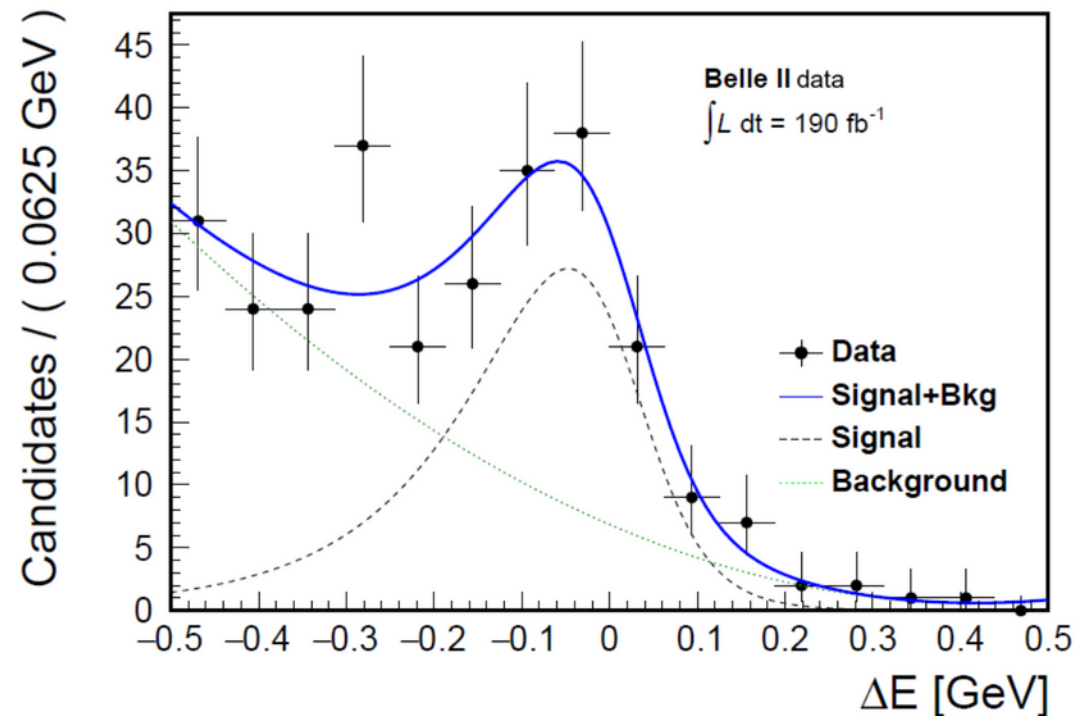
$$\mathcal{A} = -0.22^{+0.30}_{-0.27} \text{ (stat)} \pm 0.04 \text{ (syst)}$$



# $B \rightarrow K_S \pi^0 \gamma$

arXiv:2206.08280 [hep-ex]

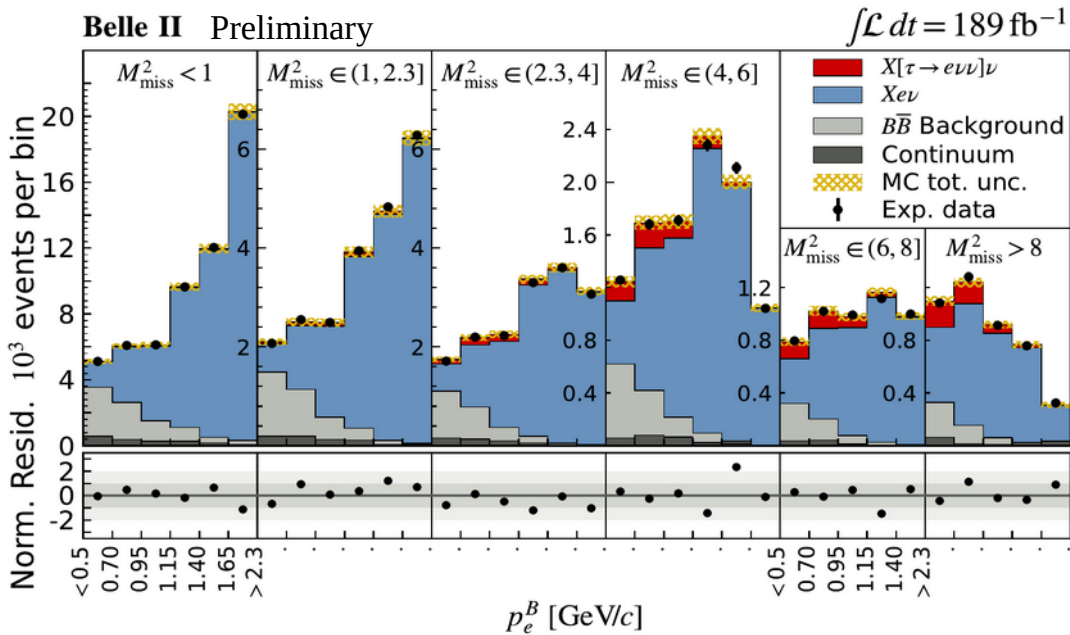
- In the  $B \rightarrow K_S \pi^0 \gamma$  decay, the SM predicts the photon to be  $\sim 100\%$  polarized;
- A sizable time dependent CP asymmetry, would be a sign of New Physics;
- We measure the branching ratio of this decay, selecting events with:  
 $1.4 < E(\gamma) < 4.0 \text{ GeV}$   
 $M(K_S \pi^0) < 1.1 \text{ GeV}/c^2$
- We fit the  $\Delta E$  distribution, and find  $\sim 120$  signal events;
- This gives:



$$\mathcal{B}(B^0 \rightarrow K_S^0 \pi^0 \gamma) = (7.3 \pm 1.8 (\text{stat}) \pm 1.0 (\text{syst})) \times 10^{-6}$$

# Measurement of inclusive $R(X)$

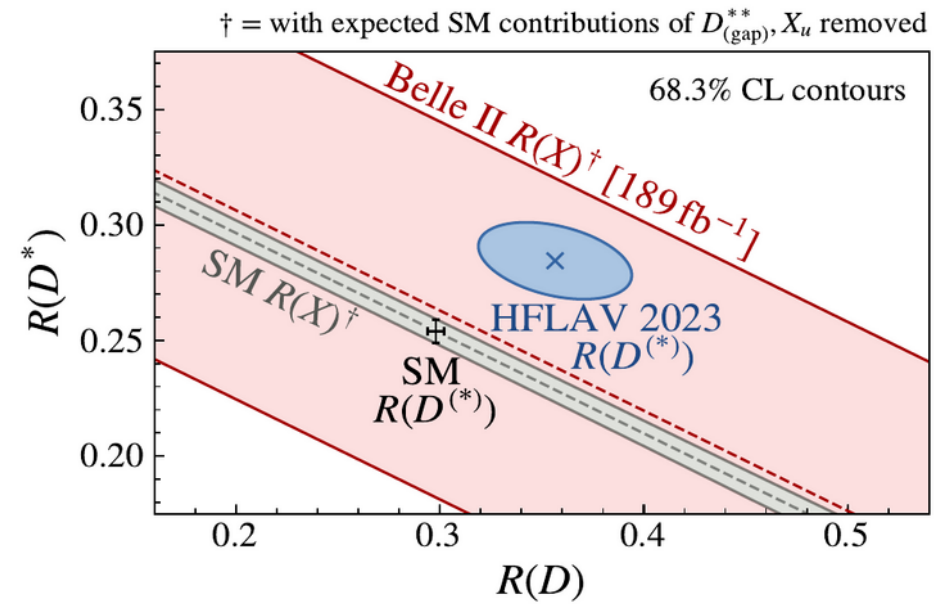
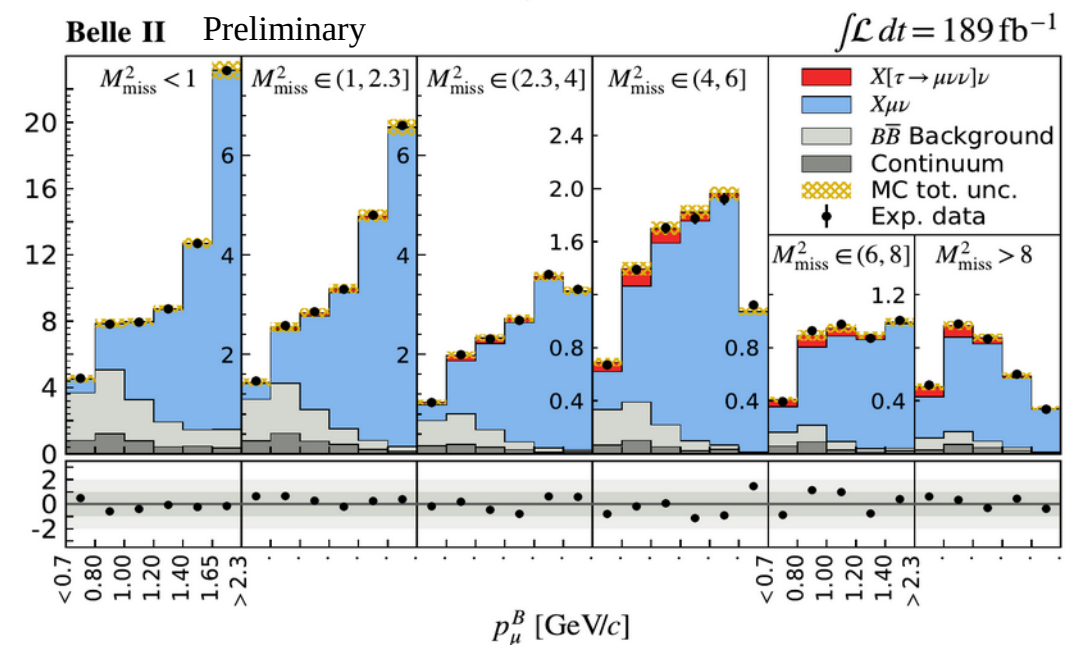
arXiv:2311.07248 [hep-ex]



$$R_{\tau/\ell}(X) = 0.228 \pm 0.016(\text{stat}) \pm 0.036(\text{sys})$$

$$R_{\tau/e}(X) = 0.232 \pm 0.020(\text{stat}) \pm 0.037(\text{sys})$$

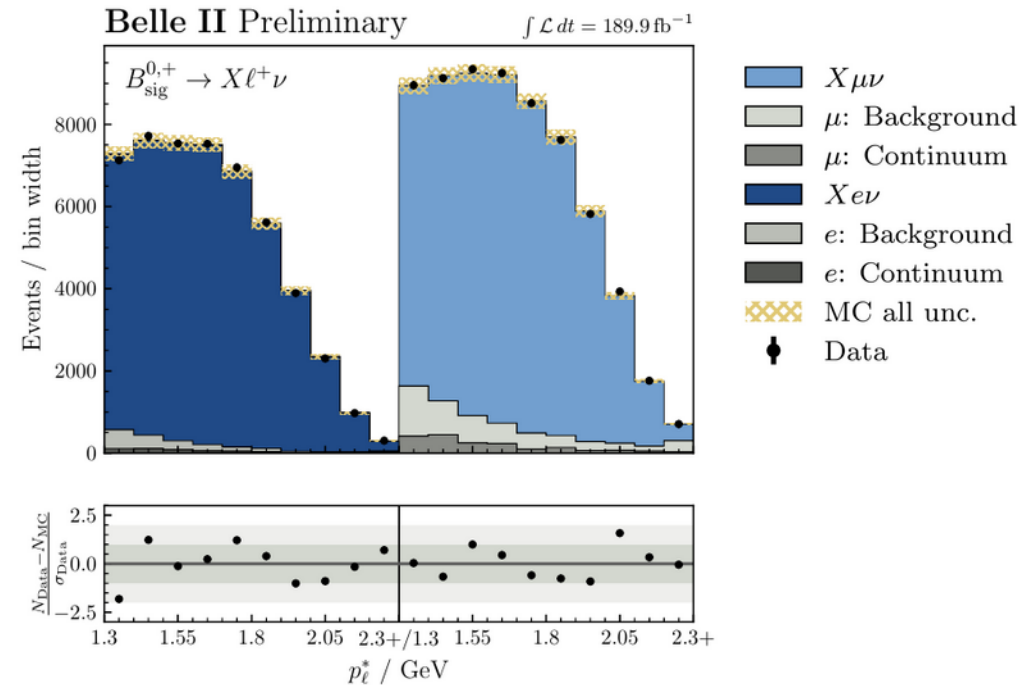
$$R_{\tau/\mu}(X) = 0.222 \pm 0.027(\text{stat}) \pm 0.050(\text{sys})$$



# Test of LFU

- We measure:  $R(X_{e/\mu}) = \mathcal{B}(B \rightarrow X e \nu) / \mathcal{B}(B \rightarrow X \mu \nu)$  in semileptonic B decays;
- Template fit on CM frame lepton momentum  $p^*_l$ , with  $p^*_l > 1.3$  GeV;
- Two main sources of background:

- 1) continuum, constrained with off-resonance data;
- 2) other B decays (fake leptons, leptons arising from decay of charmed hadrons, ...), constrained from background enriched control regions;



- Result:

$$R(X_{e/\mu}) = 1.033 \pm 0.010 \pm 0.020$$

To date the most precise measurement, in good agreement with the SM. Dominant systematic uncertainty from lepton identification (1.8%).

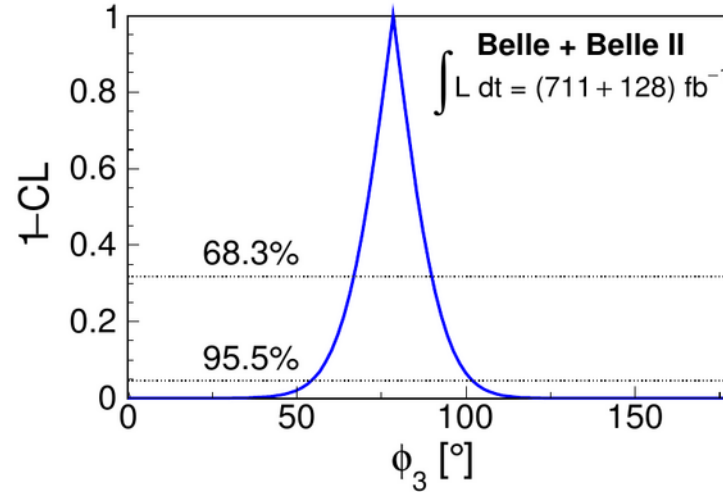
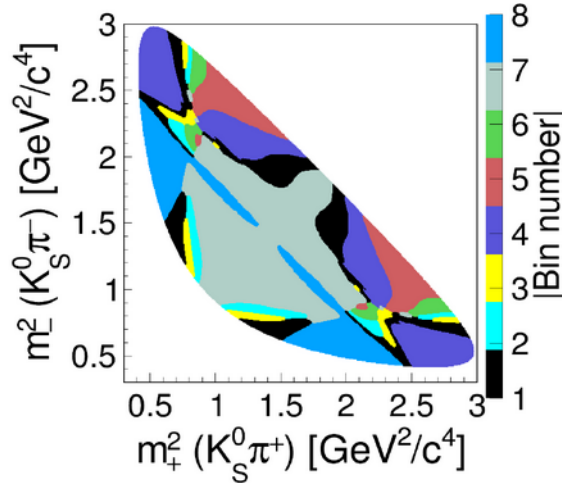
- This paves the way to the first measurement of:  $R(X) = \frac{\mathcal{B}(B \rightarrow X \tau \nu)}{\mathcal{B}(B \rightarrow X \ell \nu)}$



# Measurements of $\phi_3 / \gamma$

- Best sensitivity from the BPGGSZ method, exploiting the interference in the  $D^0 \rightarrow K_S \pi^+ \pi^-$  Dalitz plot:

J. High Energ. Phys. 2022, 63 (2022)



$$\begin{aligned} \phi_3 &= (78.4 \pm 11.4 \pm 0.5 \pm 1.0)^\circ, \\ r_B^{DK} &= 0.129 \pm 0.024 \pm 0.001 \pm 0.002, \\ \delta_B^{DK} &= (124.8 \pm 12.9 \pm 0.5 \pm 1.7)^\circ, \\ r_B^{D\pi} &= 0.017 \pm 0.006 \pm 0.001 \pm 0.001, \\ \delta_B^{D\pi} &= (341.0 \pm 17.0 \pm 1.2 \pm 2.6)^\circ. \end{aligned}$$

- GLW method [Phys.Lett.B 253 (1991) 483-488, Phys.Lett.B 265 (1991) 172-176]: consider decays of the  $D^0$  to odd (-) and even (+) CP eigenstates and measure the observables:

$$\mathcal{A}_{CP\pm} \equiv \frac{\mathcal{B}(B^- \rightarrow D_{CP\pm} K^-) - \mathcal{B}(B^+ \rightarrow D_{CP\pm} K^+)}{\mathcal{B}(B^- \rightarrow D_{CP\pm} K^-) + \mathcal{B}(B^+ \rightarrow D_{CP\pm} K^+)} \quad \mathcal{R}_{CP\pm} \equiv \frac{\mathcal{B}(B^- \rightarrow D_{CP\pm} K^-) + \mathcal{B}(B^+ \rightarrow D_{CP\pm} K^+)}{\mathcal{B}(B^- \rightarrow D_{\text{flav}} K^-) + \mathcal{B}(B^+ \rightarrow D_{\text{flav}} K^+)}$$

which are related to  $\phi_3$ :

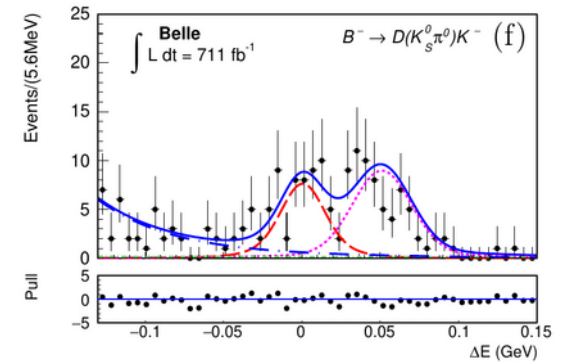
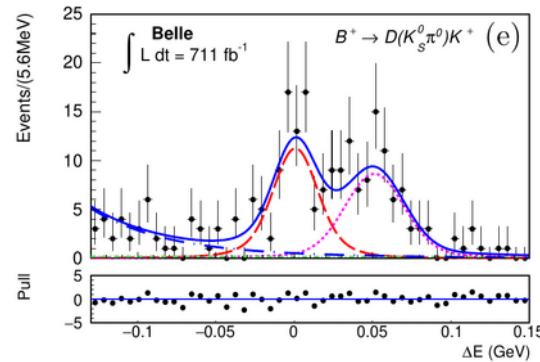
$$\begin{aligned} \mathcal{R}_{CP\pm} &= 1 + r_B^2 \pm 2r_B \cos \delta_B \cos \phi_3 \\ \mathcal{A}_{CP\pm} &= \pm 2r_B \sin \delta_B \sin \phi_3 / \mathcal{R}_{CP\pm} \end{aligned}$$



# Measurements of $\phi_3 / \gamma$

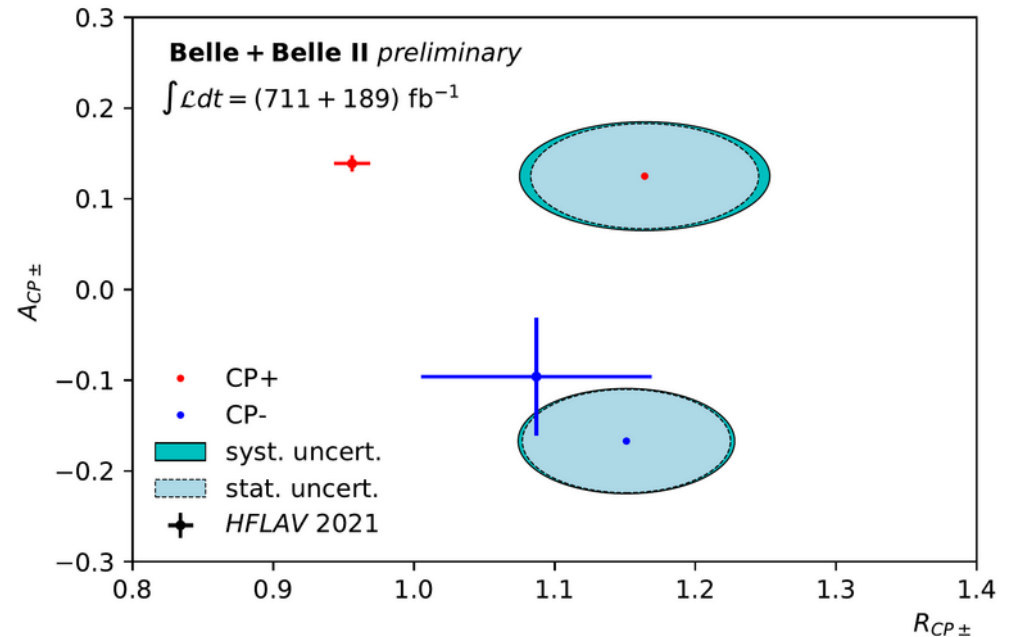
- Considering  $D^0 \rightarrow K^+K^-$  as CP+,  $D^0 \rightarrow K_s^0\pi^0$  as CP-, and  $D^0 \rightarrow K^-\pi^+$  as flavor specific final state, we measure (on the **Belle + Belle II data set**):

$$\begin{aligned} \mathcal{R}_{CP+} &= 1.164 \pm 0.081 \pm 0.036, \\ \mathcal{R}_{CP-} &= 1.151 \pm 0.074 \pm 0.019, \\ \mathcal{A}_{CP+} &= (+12.5 \pm 5.8 \pm 1.4)\%, \\ \mathcal{A}_{CP-} &= (-16.7 \pm 5.7 \pm 0.6)\%. \end{aligned}$$



- The  $\mathcal{A}_{CP}$ 's differ from each other at  $\sim 3.5\sigma$ ;
- This translates into constraints on  $\phi_3$ :

	68.3% CL	95.4% CL
	[8.7, 20.5]	
$\phi_3$ ( $^\circ$ )	[83.8, 96.1]	[4.7, 175.8]
	[163.4, 173.1]	
$r_B$	[0.282, 0.489]	[0.069, 0.560]

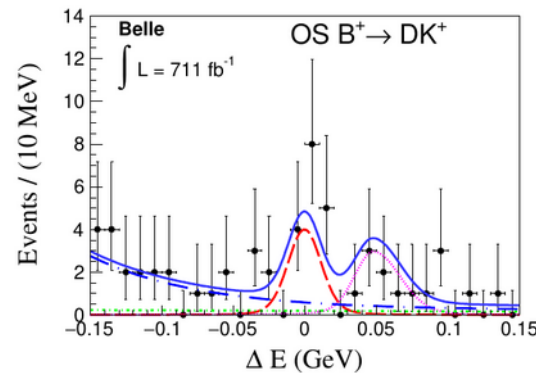
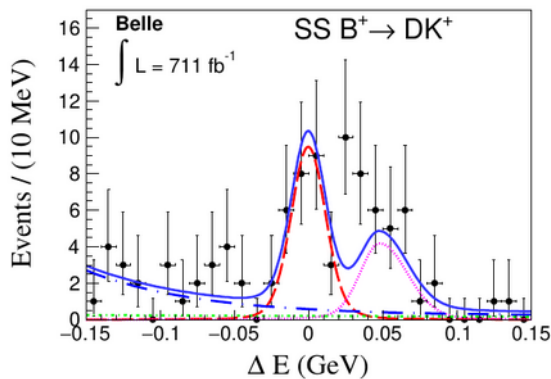


# Measurements of $\phi_3/\gamma$

- Other constraints on  $\phi_3$  can come with the GLS method [[Phys. Rev. D 67, 071301\(R\) \(2003\)](#)];
- We use the Belle + Belle II data sets to reconstruct  $B^\pm \rightarrow D^0[K_S K^\pm \pi^\mp] h^\pm$  events;
- Events are split into SS (K and h have same charge) and OS (K and h have opposite charge). We reconstruct the observables:

$$A_m^{Dh} \equiv \frac{N_m^{Dh^-} - N_m^{Dh^+}}{N_m^{Dh^-} + N_m^{Dh^+}} \quad \mathcal{R}_m^{DK/D\pi} \equiv \frac{N_m^{DK^-} + N_m^{DK^+}}{N_m^{D\pi^-} + N_m^{D\pi^+}} \quad \mathcal{R}_{SS/OS}^{D\pi} \equiv \frac{N_{SS}^{D\pi^-} + N_{SS}^{D\pi^+}}{N_{OS}^{D\pi^-} + N_{OS}^{D\pi^+}}$$

$m = SS, OS$



$\phi_3$  determination requires also input from BESIII on D decay parameters (work in progress)

$$\begin{aligned} A_{SS}^{DK} &= -0.089 \pm 0.091 \pm 0.011, \\ A_{OS}^{DK} &= 0.109 \pm 0.133 \pm 0.013, \\ A_{SS}^{D\pi} &= 0.018 \pm 0.026 \pm 0.009, \\ A_{OS}^{D\pi} &= -0.028 \pm 0.031 \pm 0.009, \\ R_{SS}^{DK/D\pi} &= 0.122 \pm 0.012 \pm 0.004, \\ R_{OS}^{DK/D\pi} &= 0.093 \pm 0.013 \pm 0.003, \\ R_{SS/OS}^{D\pi} &= 1.428 \pm 0.057 \pm 0.002. \end{aligned}$$

# $B^+ \rightarrow K^+ \nu \nu$ – HTA systematics

Source	Correction	Uncertainty type, parameters	Uncertainty size	Impact on $\sigma_\mu$
Normalization of $B\bar{B}$ background	—	Global, 1	30%	0.91
Normalization of continuum background	—	Global, 2	50%	0.58
Leading $B$ -decay branching fractions	—	Shape, 3	$O(1\%)$	0.10
Branching fraction for $B^+ \rightarrow K^+ K_L^0 K_L^0$	$q^2$ dependent $O(100\%)$	Shape, 1	20%	0.20
Branching fraction for $B \rightarrow D^{**}$	—	Shape, 1	50%	$< 0.01$
Branching fraction for $B^+ \rightarrow K^+ n\bar{n}$	$q^2$ dependent $O(100\%)$	Shape, 1	100%	0.05
Branching fraction for $D \rightarrow K_L^0 X$	+30%	Shape, 1	10%	0.03
Continuum-background modeling, BDT <sub>c</sub>	Multivariate $O(10\%)$	Shape, 1	100% of correction	0.29
Number of $B\bar{B}$	—	Global, 1	1.5%	0.07
Track finding efficiency	—	Global, 1	0.3%	0.01
Signal-kaon PID	$p, \theta$ dependent $O(10 - 100\%)$	Shape, 3	$O(1\%)$	$< 0.01$
Extra-photon multiplicity	$n_{\gamma\text{extra}}$ dependent $O(20\%)$	Shape, 1	$O(20\%)$	0.61
$K_L^0$ efficiency	—	Shape, 1	17%	0.31
Signal SM form-factors	$q^2$ dependent $O(1\%)$	Shape, 3	$O(1\%)$	0.06
Signal efficiency	—	Shape, 6	16%	0.42
Simulated-sample size	—	Shape, 18	$O(1\%)$	0.60

# $B^+ \rightarrow K^+ \nu \nu$ – ITA systematics

Source	Correction	Uncertainty type, parameters	Uncertainty size	Impact on $\sigma_\mu$
Normalization of $B\bar{B}$ background	—	Global, 2	50%	0.90
Normalization of continuum background	—	Global, 5	50%	0.10
Leading $B$ -decay branching fractions	—	Shape, 5	$O(1\%)$	0.22
Branching fraction for $B^+ \rightarrow K^+ K_L^0 K_L^0$	$q^2$ dependent $O(100\%)$	Shape, 1	20%	0.49
p-wave component for $B^+ \rightarrow K^+ K_S^0 K_L^0$	$q^2$ dependent $O(100\%)$	Shape, 1	30%	0.02
Branching fraction for $B \rightarrow D^{**}$	—	Shape, 1	50%	0.42
Branching fraction for $B^+ \rightarrow K^+ n \bar{n}$	$q^2$ dependent $O(100\%)$	Shape, 1	100%	0.20
Branching fraction for $D \rightarrow K_L^0 X$	+30%	Shape, 1	10%	0.14
Continuum-background modeling, $\text{BDT}_c$	Multivariate $O(10\%)$	Shape, 1	100% of correction	0.01
Integrated luminosity	—	Global, 1	1%	$< 0.01$
Number of $B\bar{B}$	—	Global, 1	1.5%	0.02
Off-resonance sample normalization	—	Global, 1	5%	0.05
Track-finding efficiency	—	Shape, 1	0.3%	0.20
Signal-kaon PID	$p, \theta$ dependent $O(10 - 100\%)$	Shape, 7	$O(1\%)$	0.07
Photon energy	—	Shape, 1	0.5%	0.08
Hadronic energy	-10%	Shape, 1	10%	0.37
$K_L^0$ efficiency in ECL	-17%	Shape, 1	8%	0.22
Signal SM form-factors	$q^2$ dependent $O(1\%)$	Shape, 3	$O(1\%)$	0.02
Global signal efficiency	—	Global, 1	3%	0.03
Simulated-sample size	—	Shape, 156	$O(1\%)$	0.52

# $R(D^*)$ – systematics

Source	Uncertainty
PDF shapes	+9.1% −8.3%
MC statistics	+7.5% −7.5%
$\bar{B} \rightarrow D^{**} \ell^- \bar{\nu}_\ell$ branching fractions	+4.8% −3.5%
Fixed backgrounds	+2.7% −2.3%
Hadronic $B$ decay branching fractions	+2.1% −2.1%
Reconstruction efficiency	+2.0% −2.0%
Kernel density estimation	+2.0% −0.8%
Form factors	+0.5% −0.1%
Peaking background on $\Delta M_{D^*}$	+0.4% −0.4%
$\tau^- \rightarrow \ell^- \nu_\tau \bar{\nu}_\ell$ branching fractions	+0.2% −0.2%
$R(D^*)$ fit method	+0.1% −0.1%
Total systematic uncertainty	+13.5% −12.3%