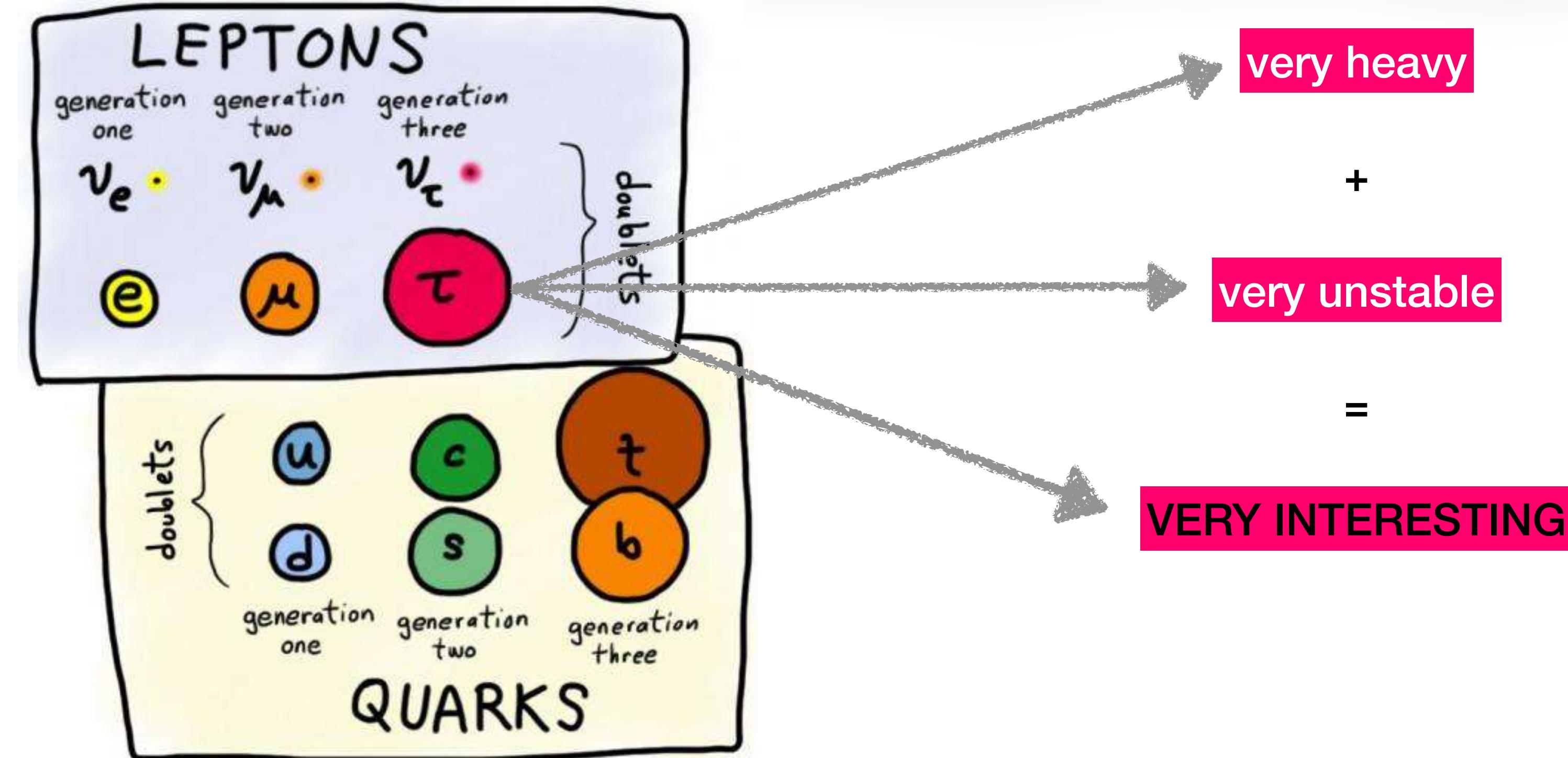


Rare decays of τ lepton



Ami Rostomyan

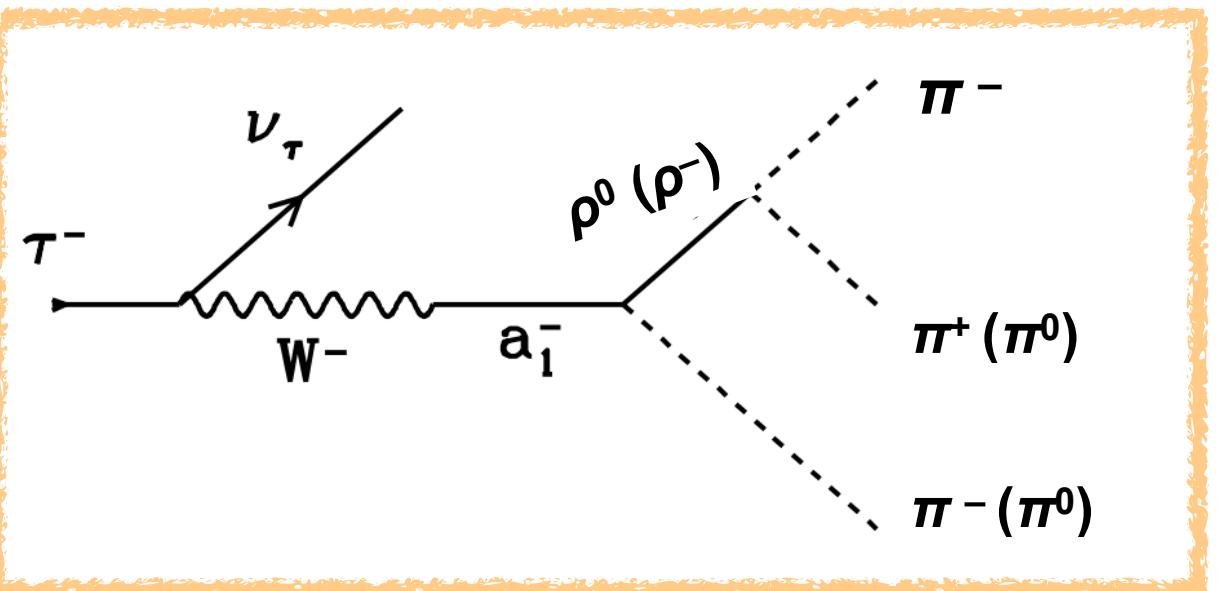
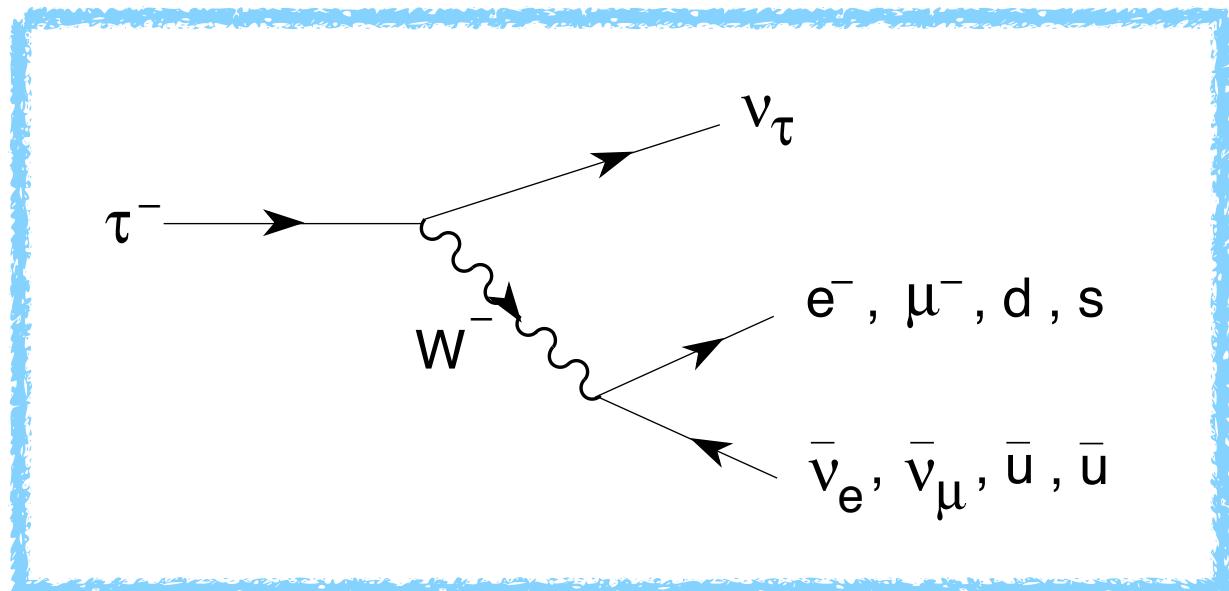
22nd Conference on Flavour Physics and CP Violation (FPCP 2024)

27th of May, 2024

The τ lepton

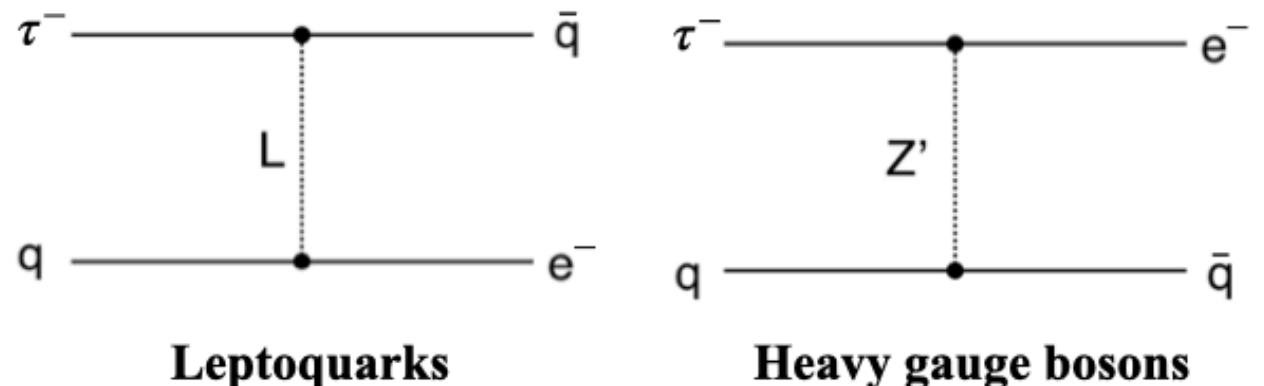
~ 250 decay modes in PDG

- Pure leptonic $\tau \rightarrow e\bar{\nu}\nu$ and $\tau \rightarrow \mu\bar{\nu}\bar{\nu}$
- Hadronic or semi-leptonic
- Cabibbo favoured $\tau \rightarrow \pi\nu$ and suppressed $\tau \rightarrow K\nu$

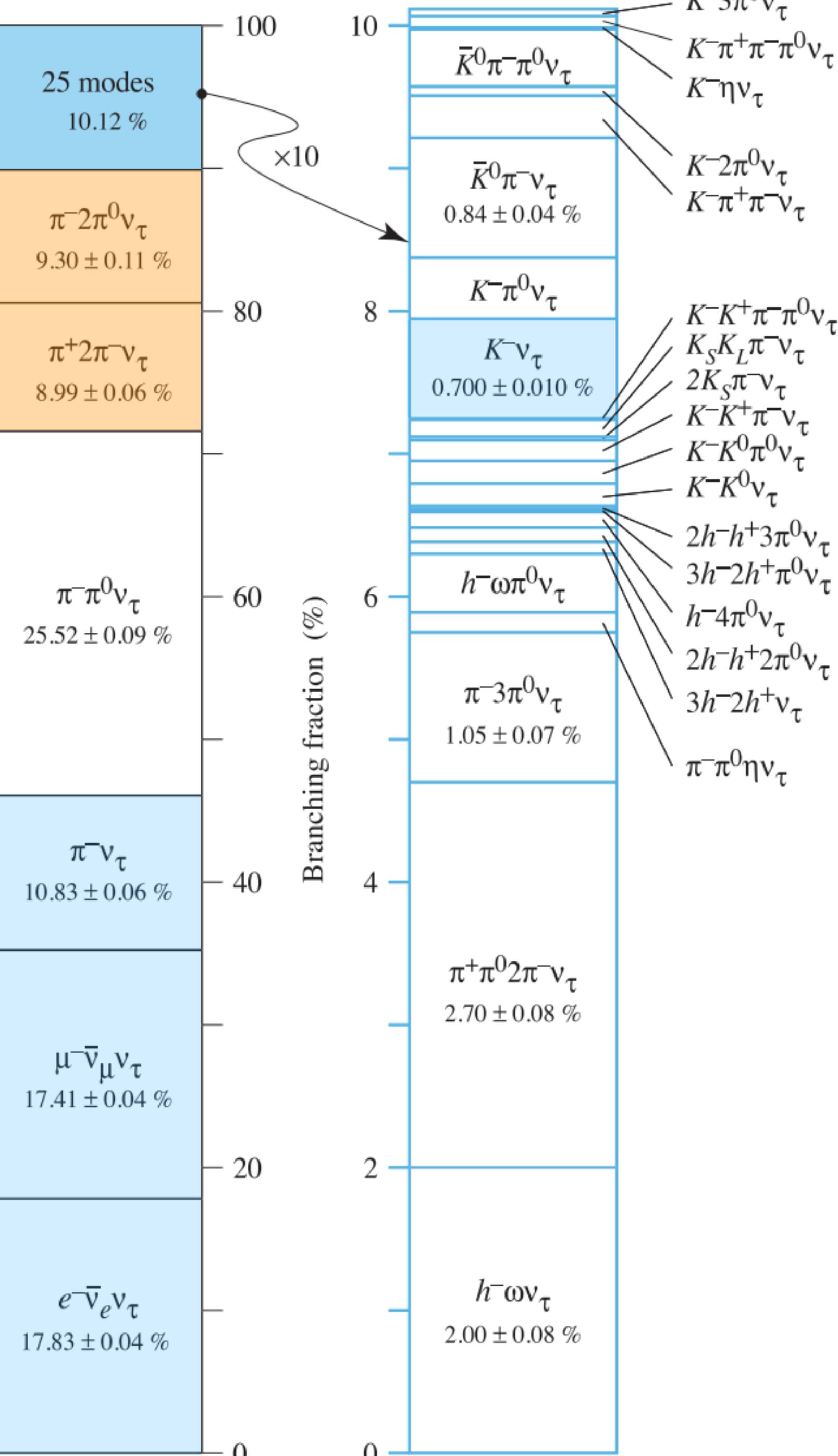
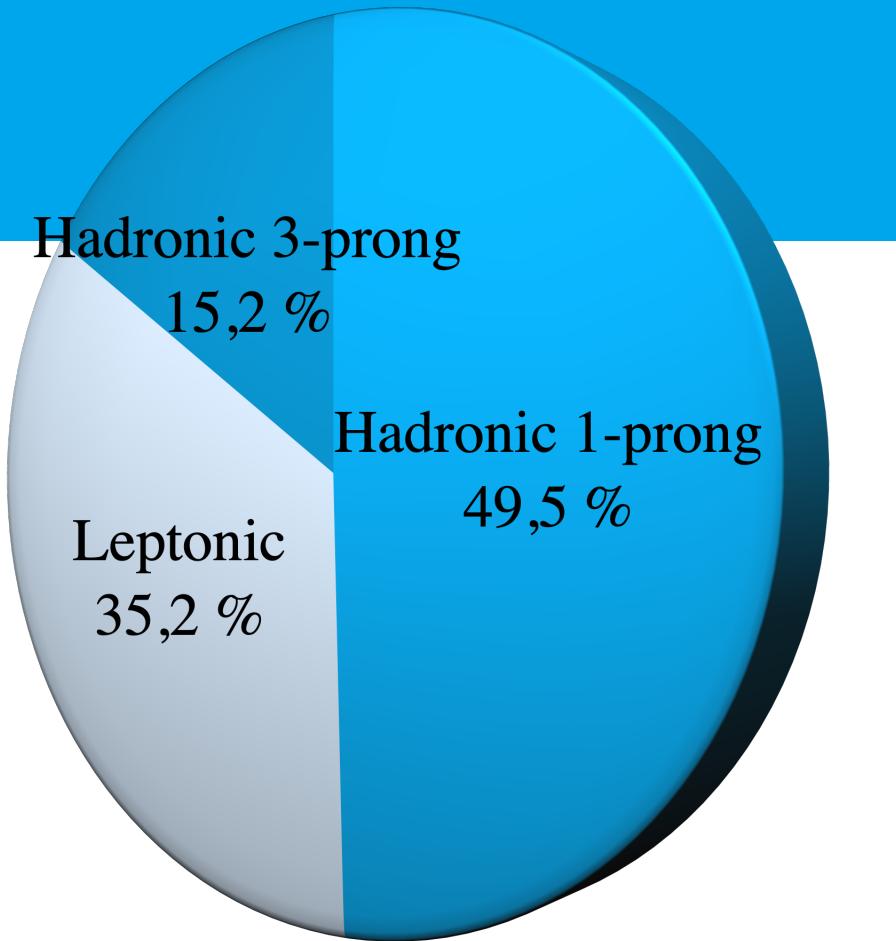
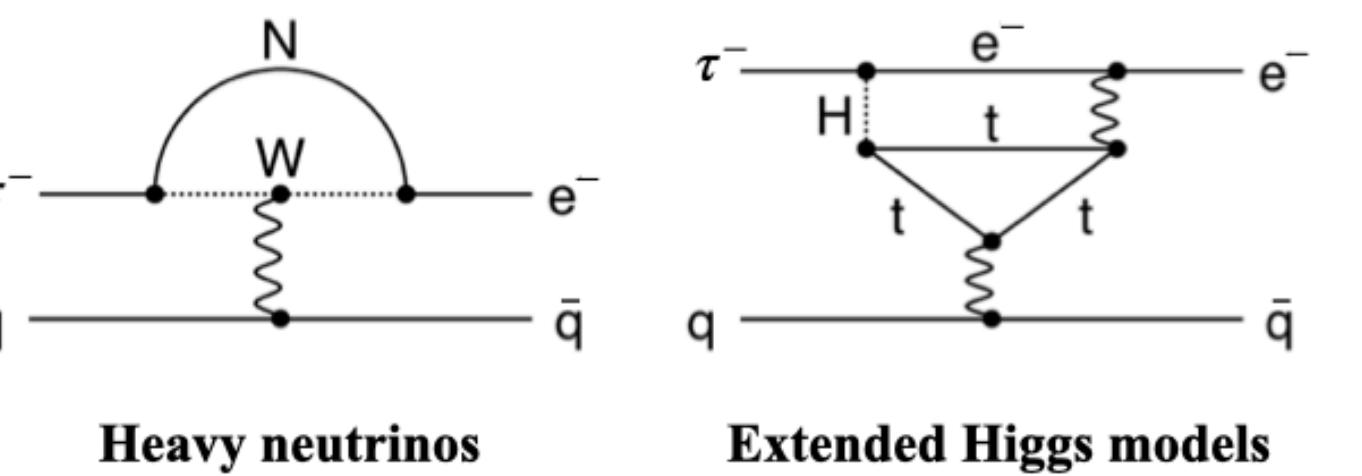


- Forbidden decays
- Lepton flavour (LFV), lepton number (LNV), baryon number (BNV) violation

Tree level



Loop induced



The role of τ leptons in the quest

Laboratory to test the structure of the weak currents, the universality of the coupling to the gauge bosons and the low-energy aspects of strong interactions.



Wide range of observables in τ sector to confront theory!

Does NP couple to 3rd generation strongly?

Precision measurements or indirect search of BSM

→ *significant deviations from SM* are unambiguous signatures of NP

Direct search of forbidden decays

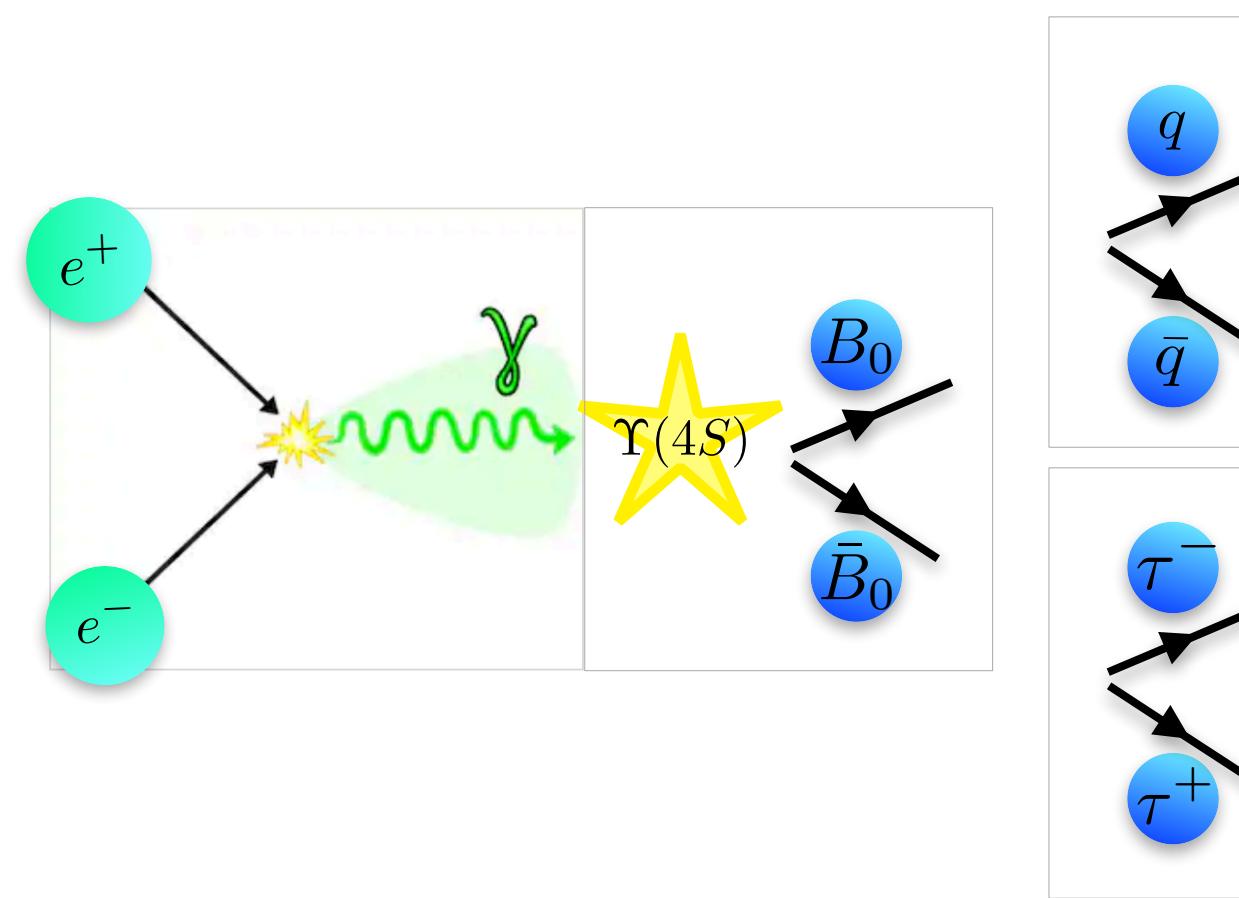
→ *any signal* is unambiguous signature of NP

The progress of τ physics

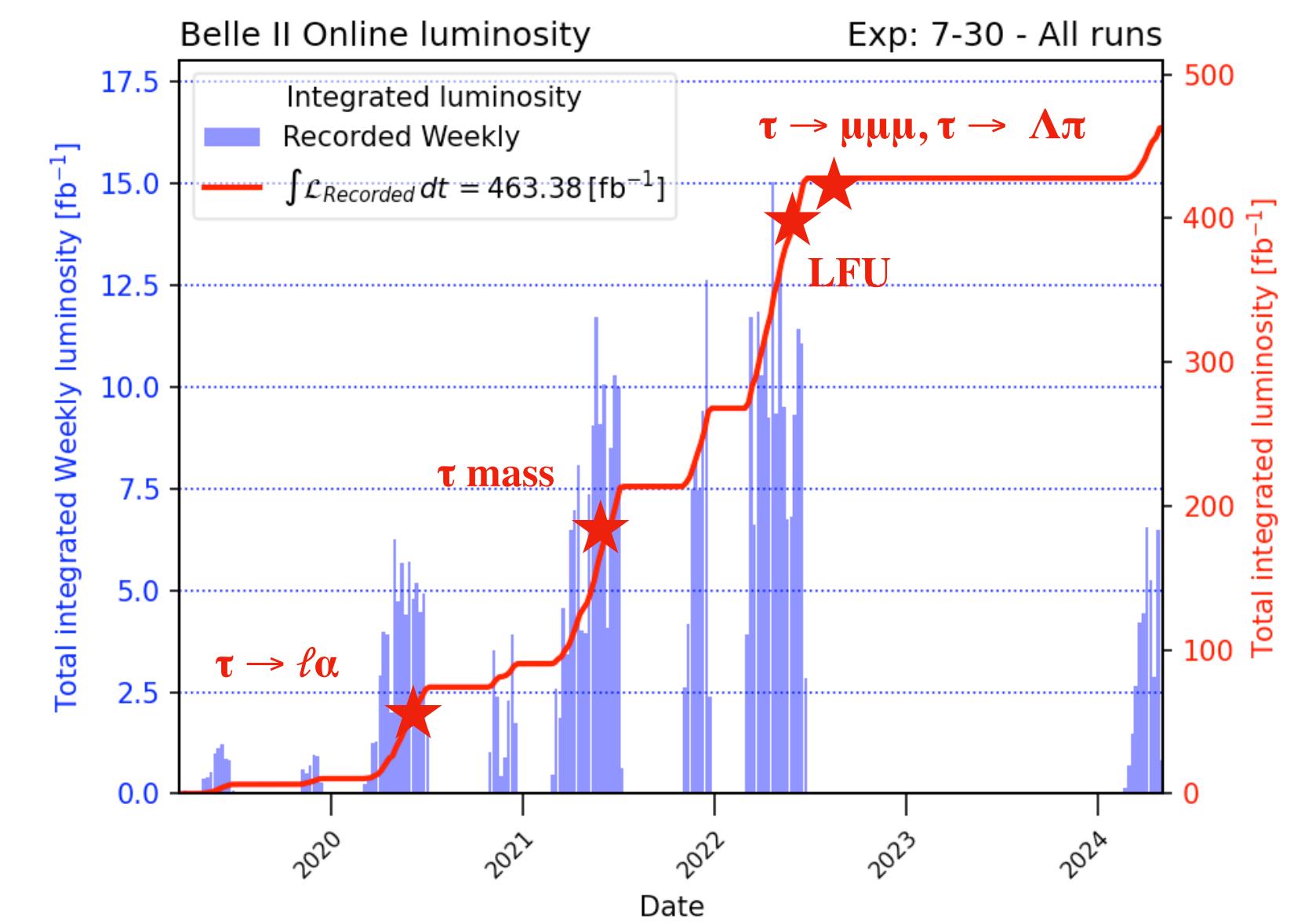
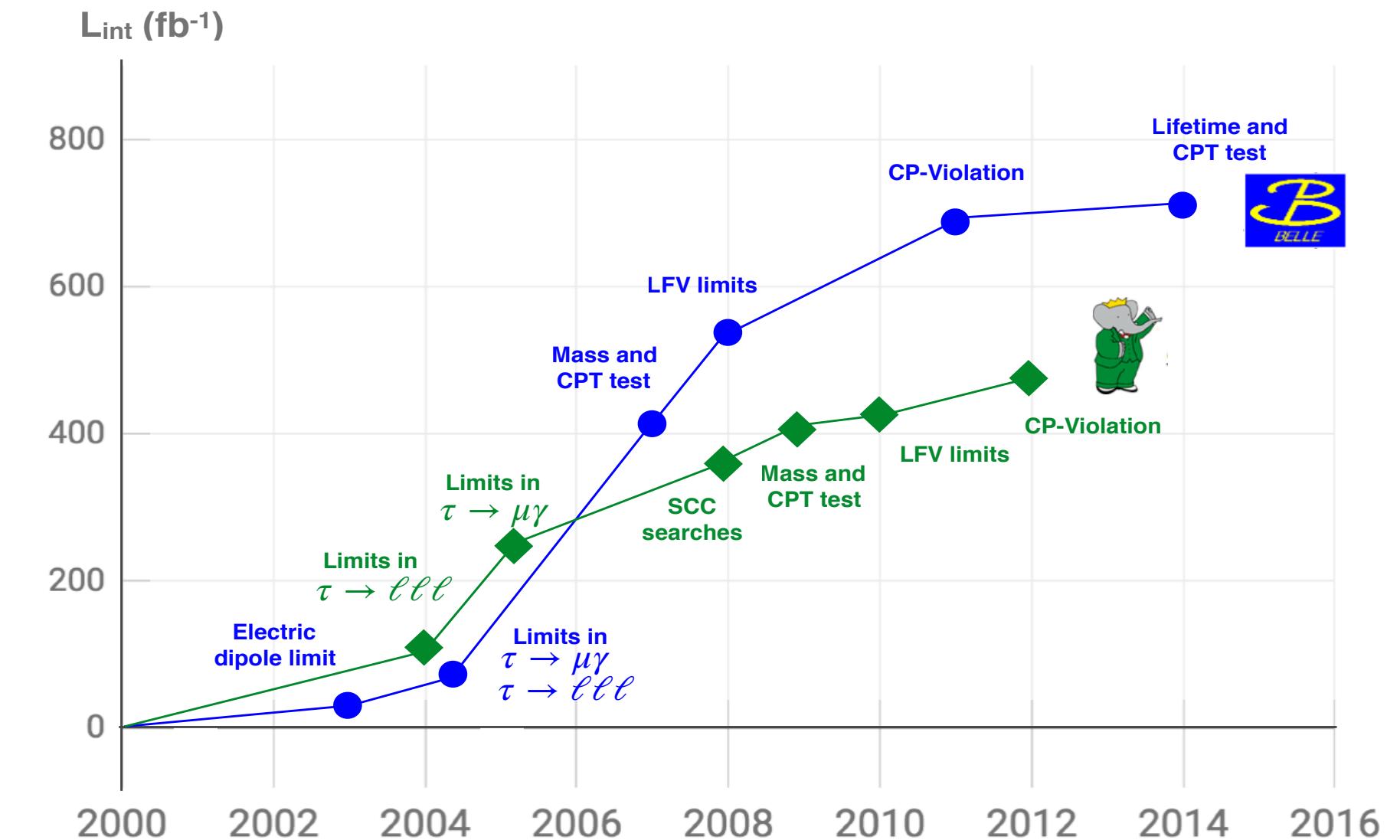
B-factories provided a variety of very interesting results in the last two decades.

- The world largest number of $e^+e^- \rightarrow \tau^+\tau^-$ events offer data for τ physics with high precision

B-factories: Belle@KEKB, BaBar@PEP-II, Belle II@SuperKEKB



$$\begin{aligned}\sigma(e^+e^- \rightarrow \Upsilon(4S)) &= 1.05 \text{ [nb]} \\ \sigma(e^+e^- \rightarrow q\bar{q}) &= 3.69 \text{ [nb]} \\ \sigma(e^+e^- \rightarrow \tau^+\tau^-) &= 0.919 \text{ [nb]}\end{aligned}$$



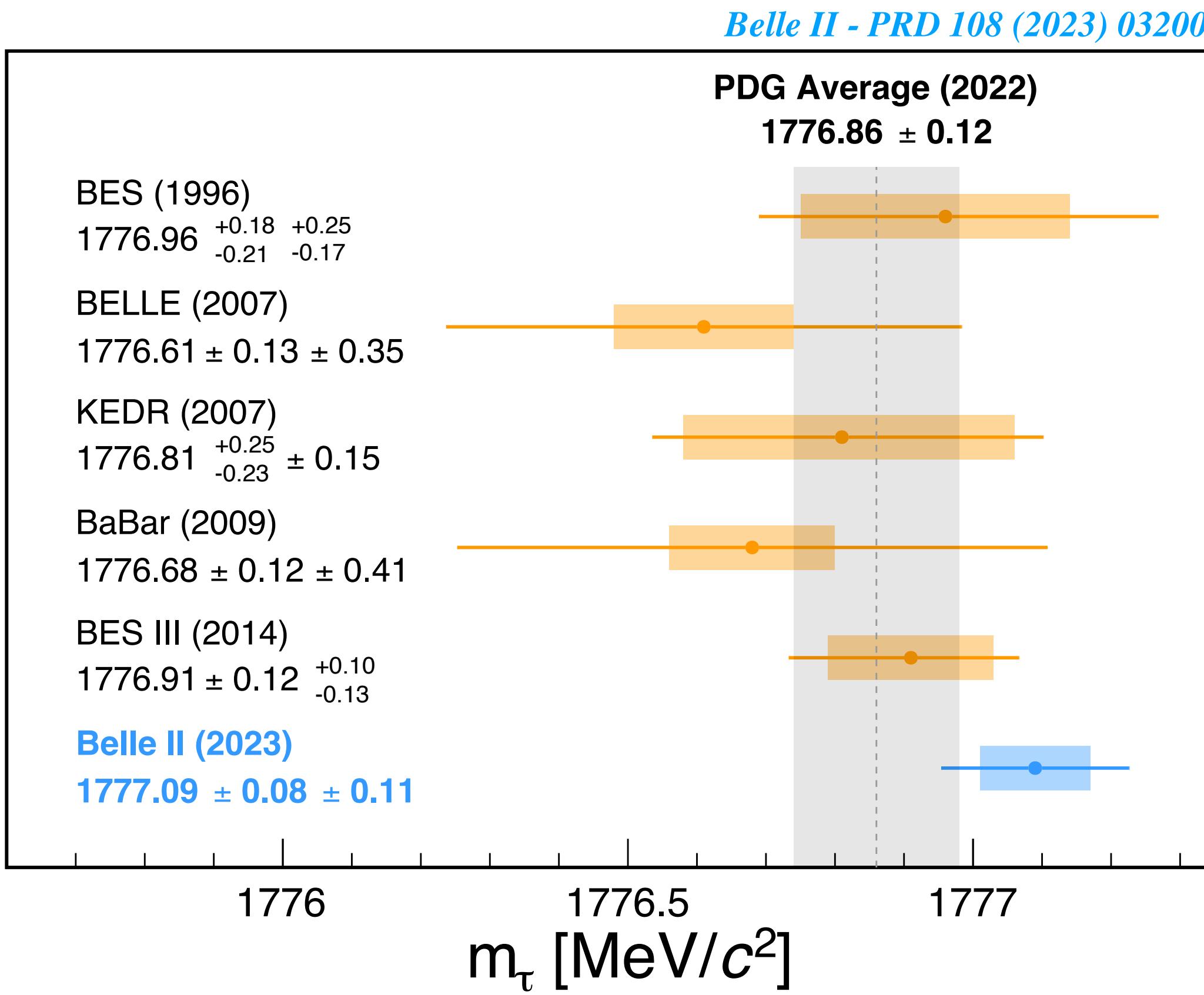
Other players in the τ sector

- BES III, ATLAS, CMS, LHCb

Precision measurements - τ mass measurement @ Belle II

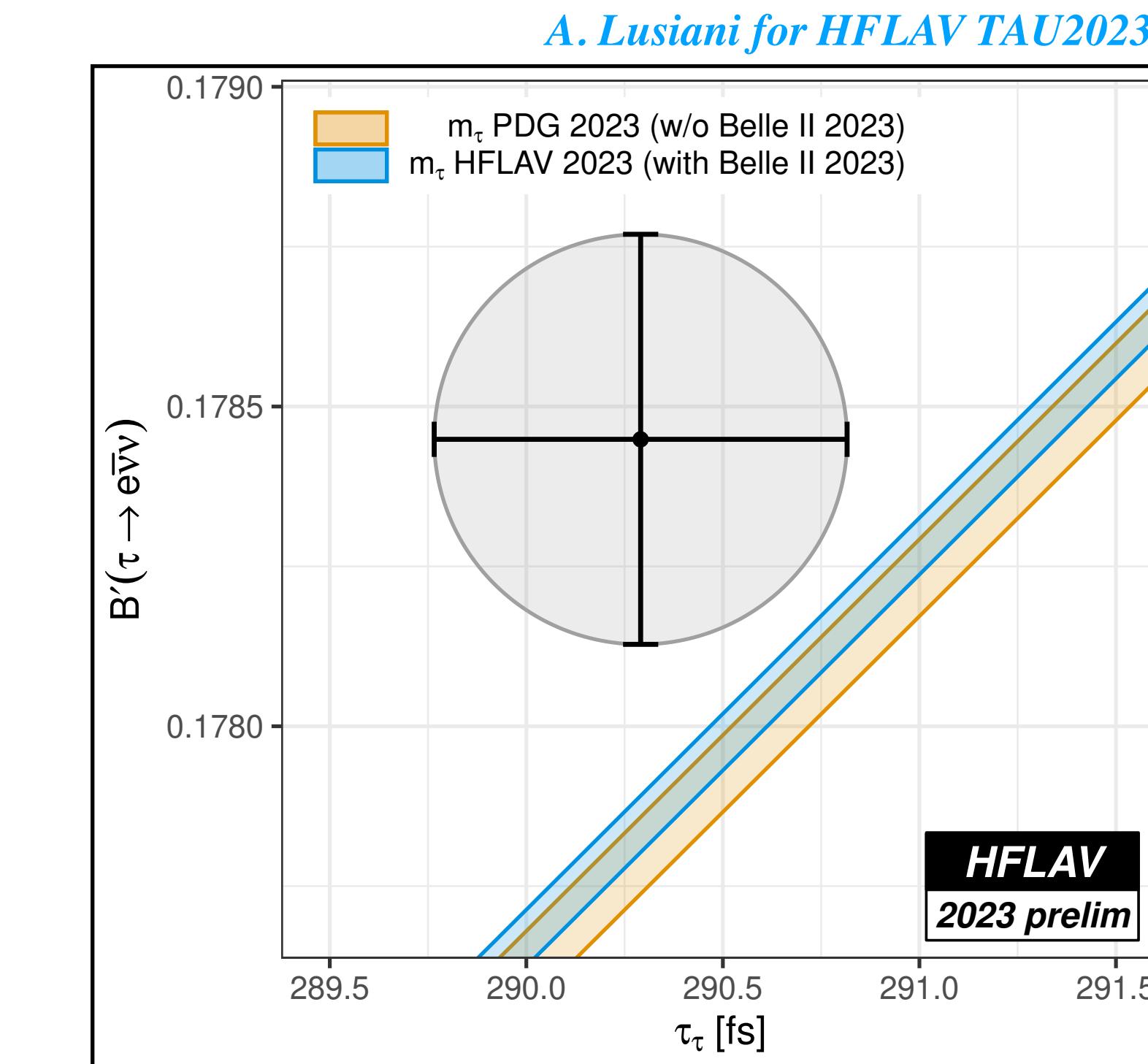
Fundamental parameter of the standard model

- World's most precise measurement to date
- Slightly higher average value including Belle II recent measurement



Important input to lepton-flavour-universality tests

- The relation between $B'(\tau \rightarrow e\nu\bar{\nu})$ and the lifetime τ_τ very sensitive to the value of the τ mass
- slight tension decreased further



$B'(\tau \rightarrow e\nu\bar{\nu})$ represents the average of $\mathcal{B}(\tau \rightarrow e\nu\bar{\nu})$ and the value predicted from $\mathcal{B}(\tau \rightarrow \mu\nu\bar{\nu})$ assuming lepton universality

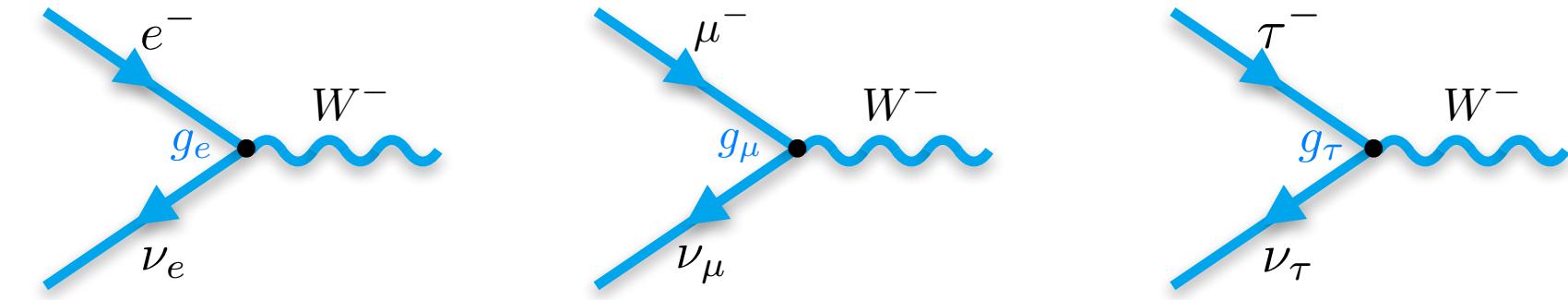
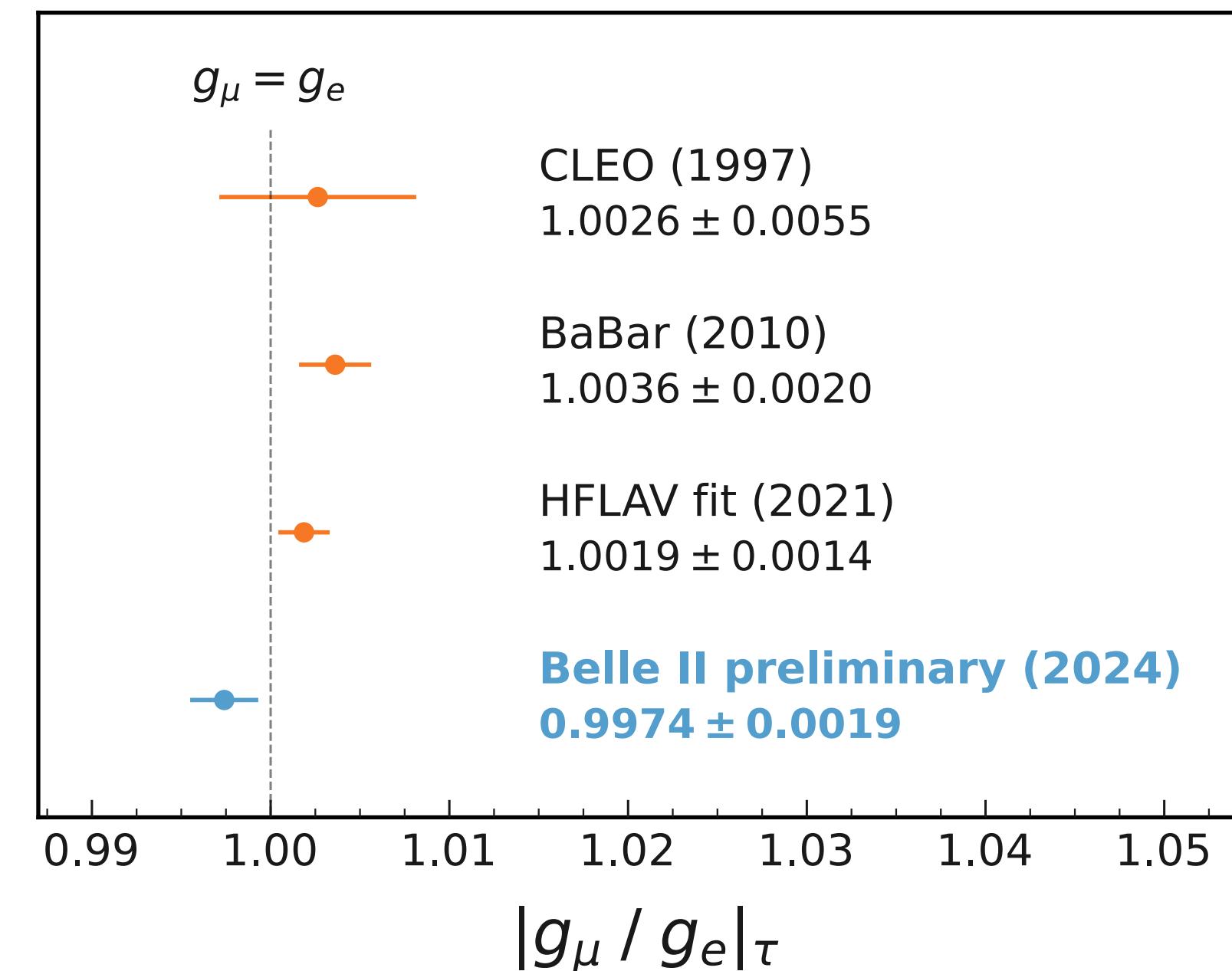
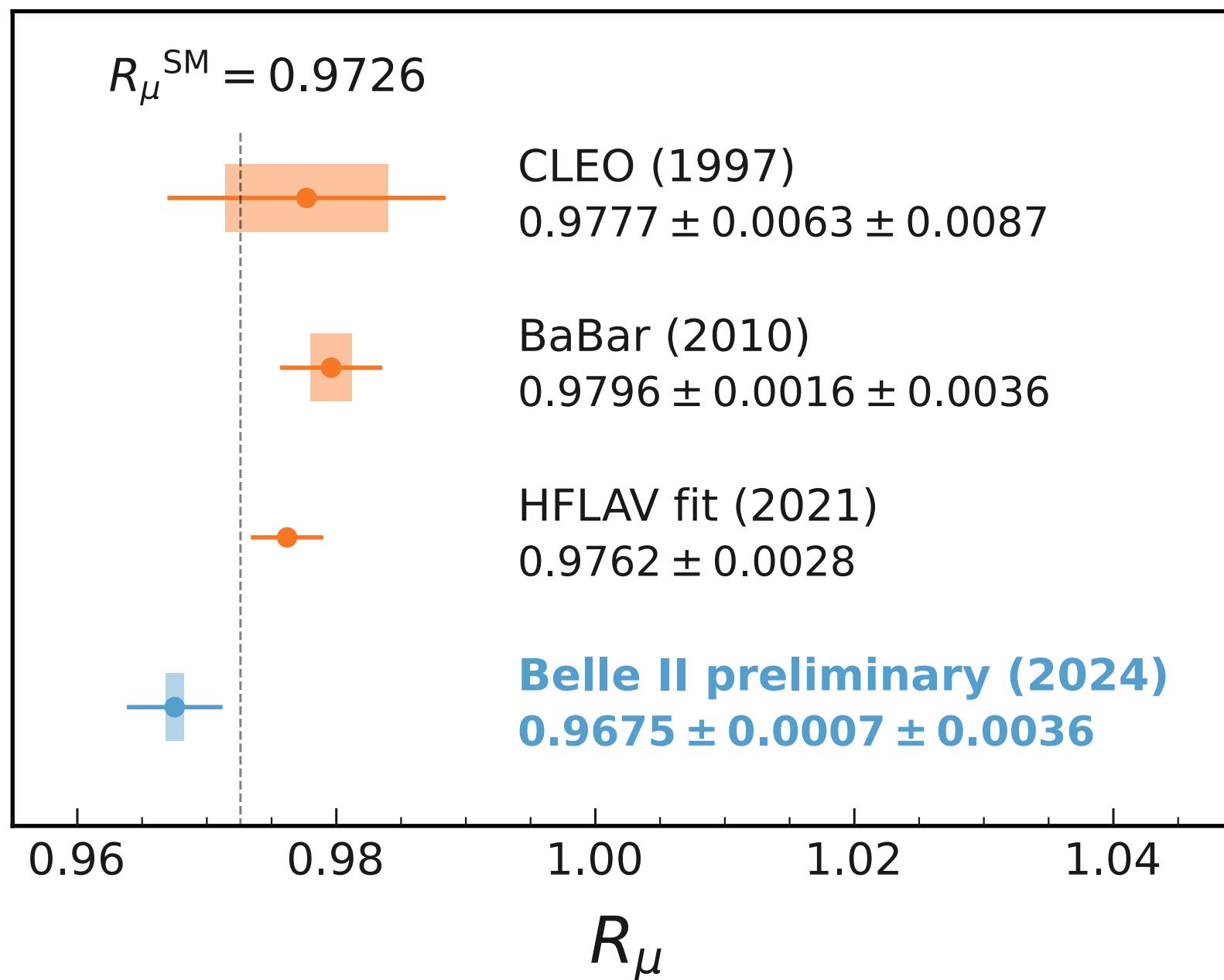
$$B' \propto B_{\mu e} \frac{\tau_\tau m_\tau^5}{\tau_\mu m_\mu^5}$$

Test of lepton flavour universality in τ decays @ Belle II

The coupling of leptons to W bosons is flavour-independent in the SM

- Identical lepton interaction rates involving e, μ or τ
- Test of $\mu - e$ universality in the τ decays

$$R_\mu = \frac{B(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)}{B(\tau^- \rightarrow e^-\bar{\nu}_e\nu_\tau)} \stackrel{\text{SM}}{=} 0.9726$$

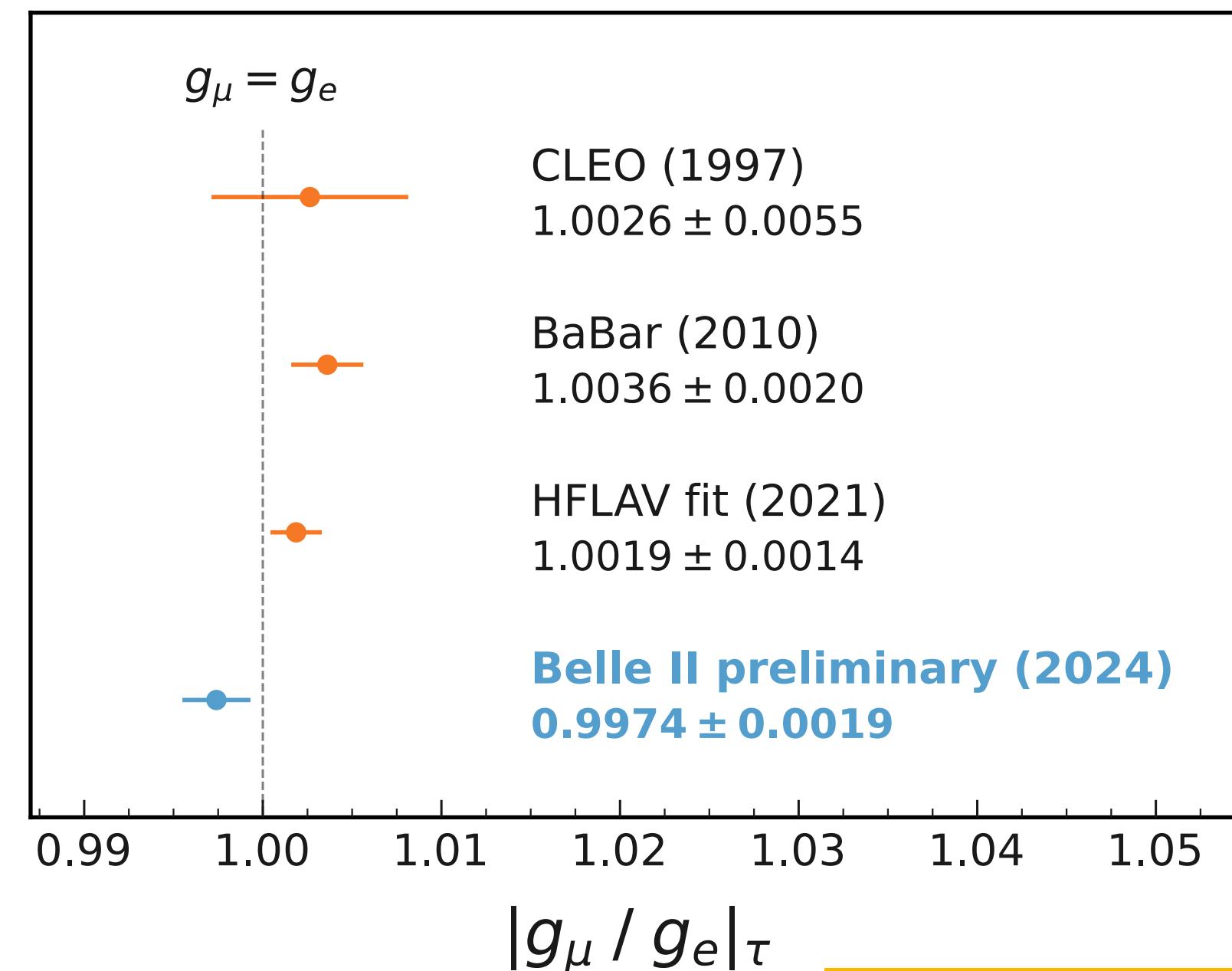
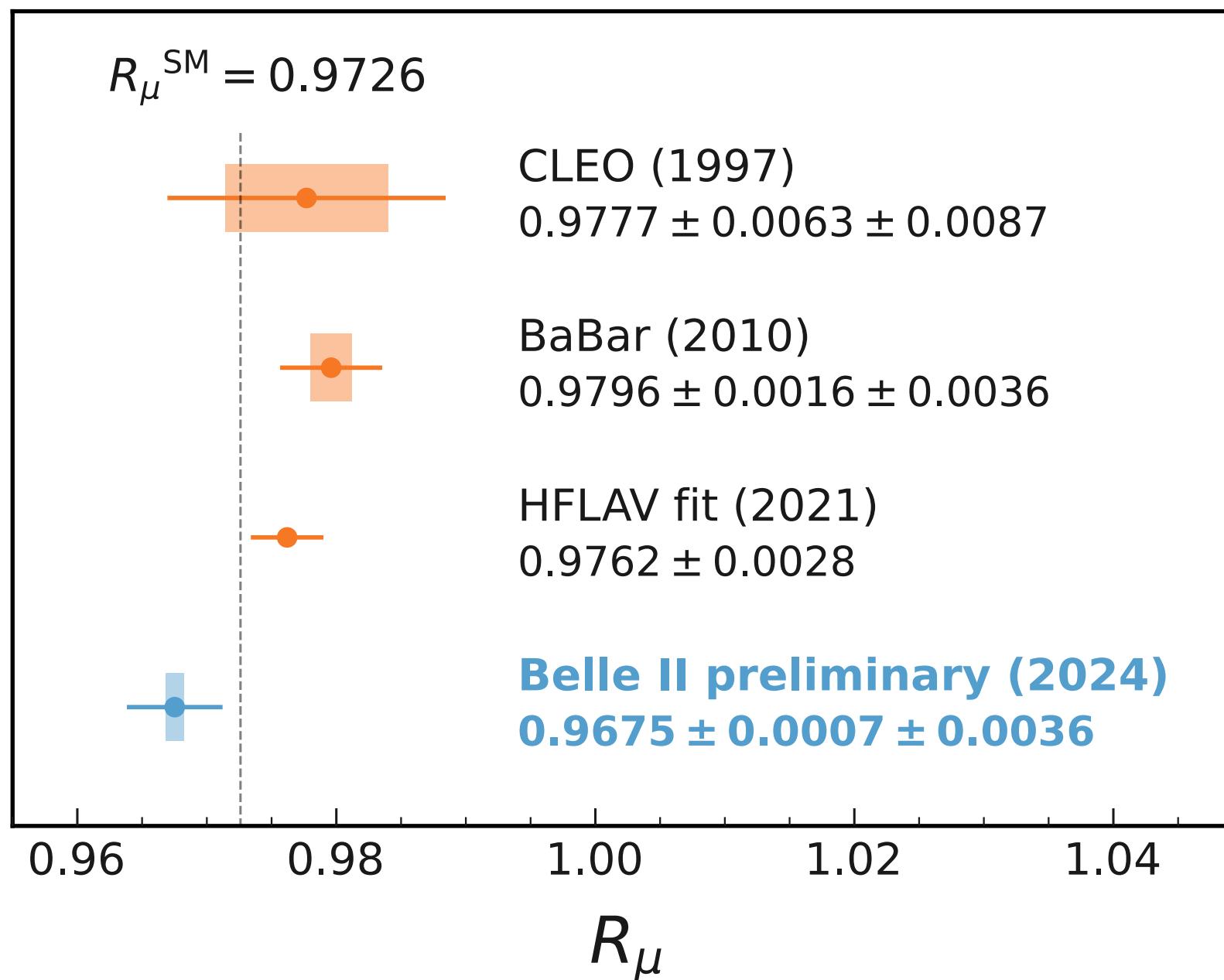


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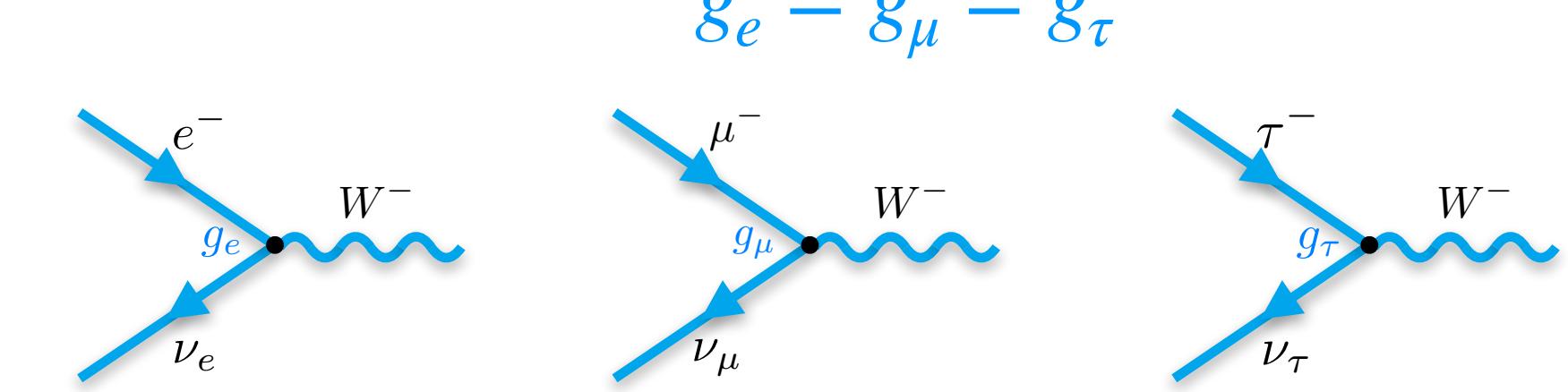
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- Most precise test of $\mu - e$ universality in τ decays from a single measurement
- Consistent with SM expectation at the level of 1.4σ

τ precision measurement from Belle II
see the talk of
Marcela Garcia Hernandez

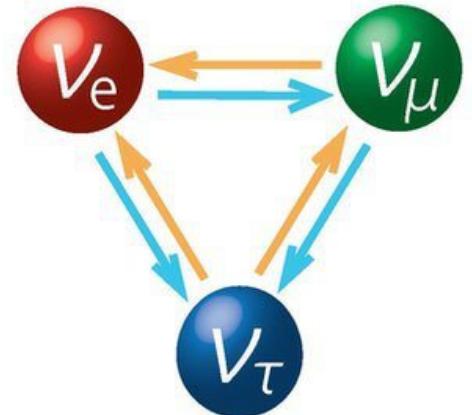


Lepton flavour conservation

Conservation of the individual lepton-flavour and the total lepton numbers within the SM ($m_\nu = 0$)

$$G_{SM}^{global} = U(1)_B \times U(1)_{L_e} \times U(1)_{L_\mu} \times U(1)_{L_\tau}$$

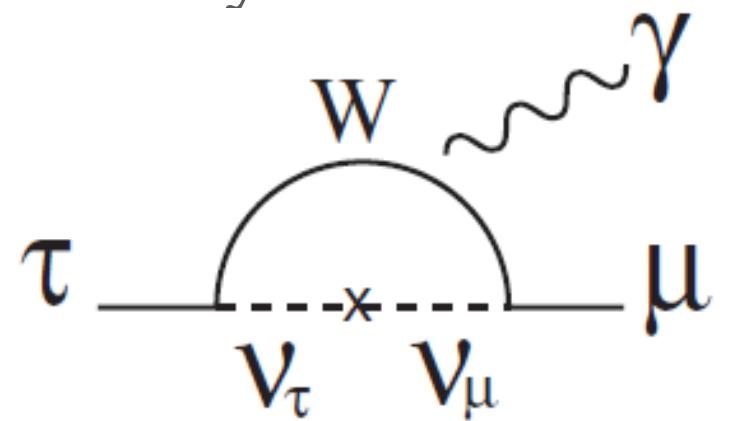
- The observation of neutrino oscillations as a first sign of LFV beyond the SM!



What about the charged leptons?

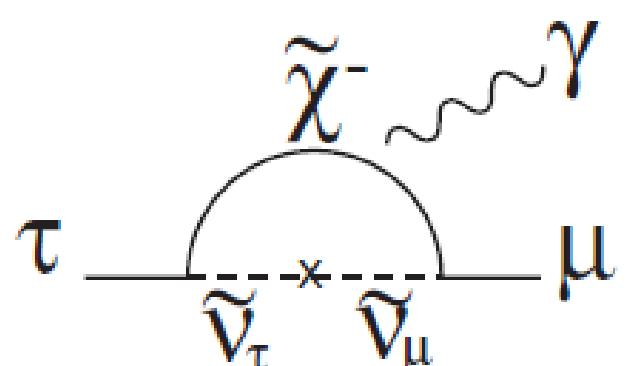
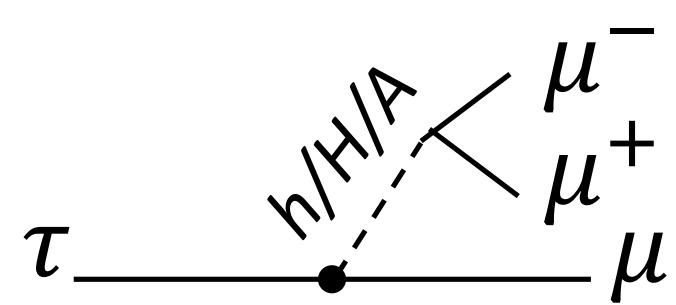
- The charged LFV processes can occur through oscillations in loops
- Immeasurable small rates (10^{-54} - 10^{-49}) for all the LFV μ and τ decays

$$\mathcal{B}(\ell_1 \rightarrow \ell_2 \gamma) = \frac{3\alpha}{32\pi} \left| \sum_{i=2,3} U_{\ell_1 i}^* U_{\ell_2 i} \frac{\Delta m_{i1}^2}{M_W^2} \right|^2$$



Observation of LFV will be a clear signature of the NP!

- Charged LFV enhanced in many NP models (10^{-10} - 10^{-7})

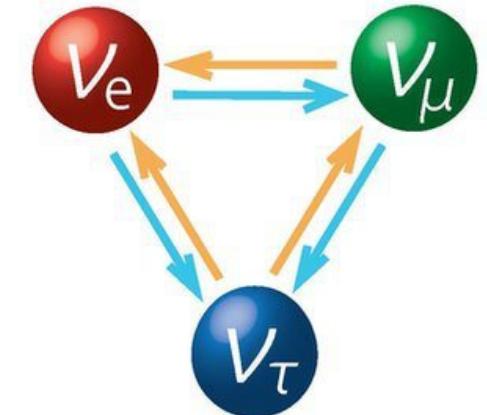


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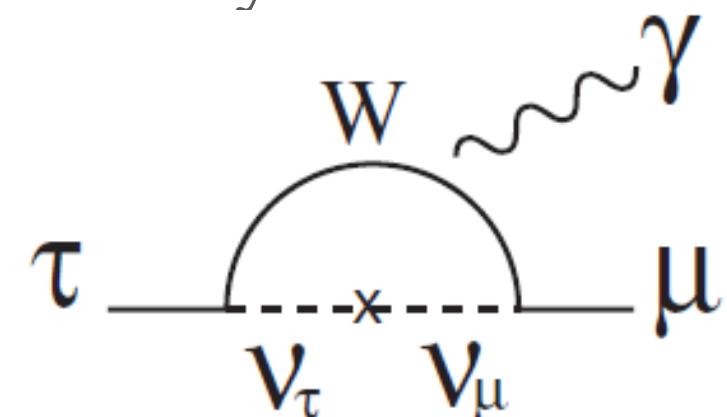
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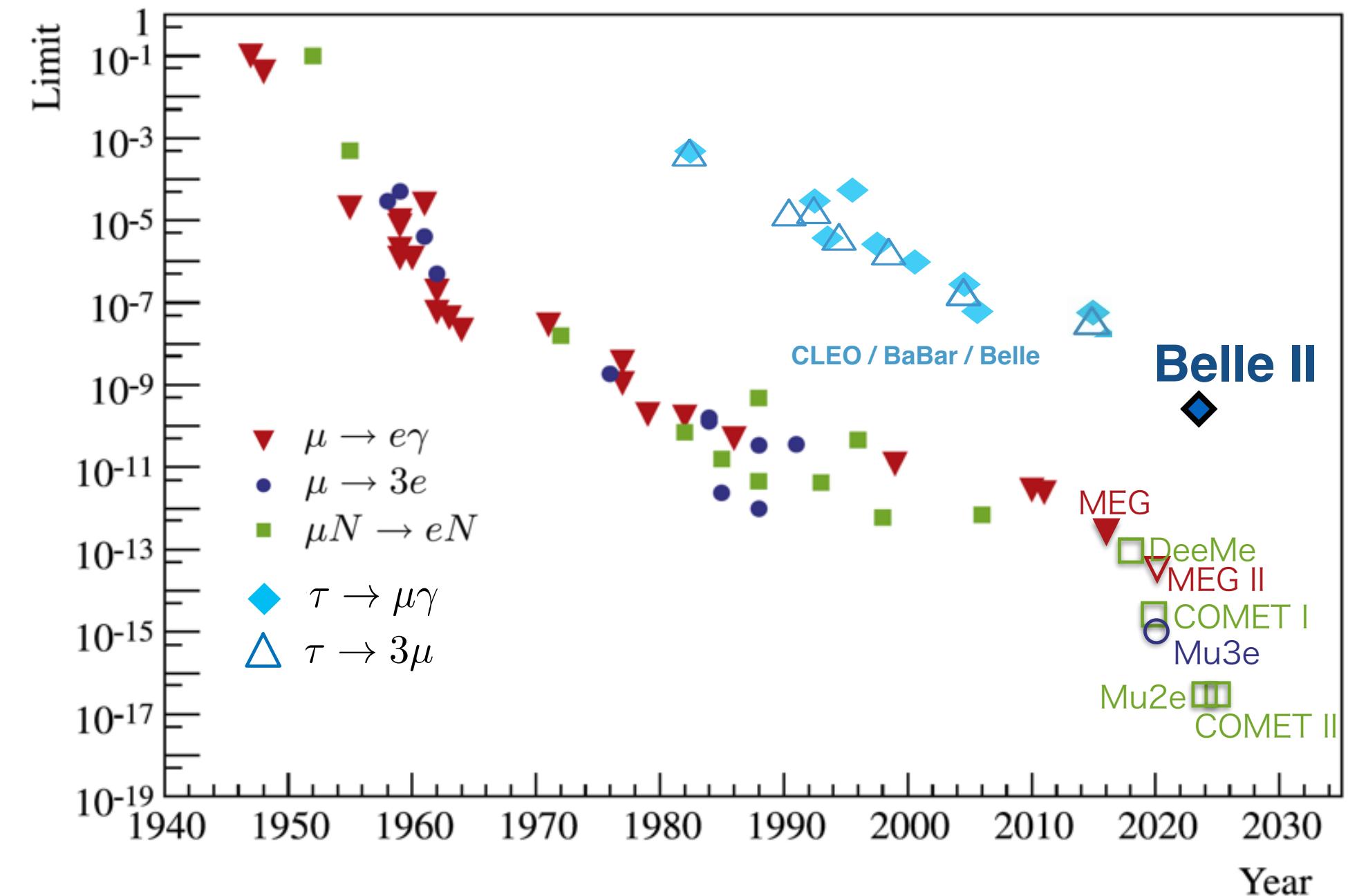
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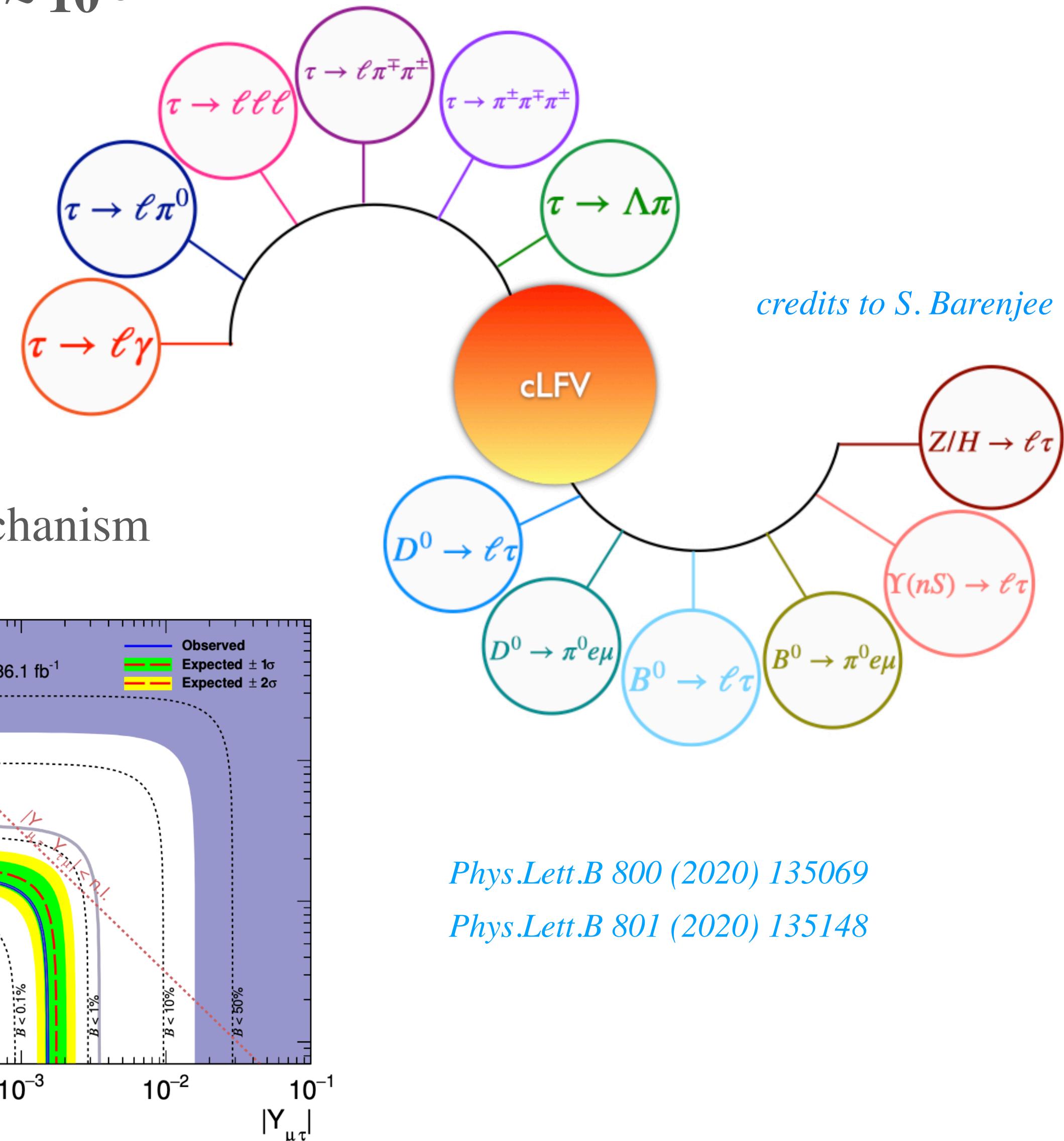
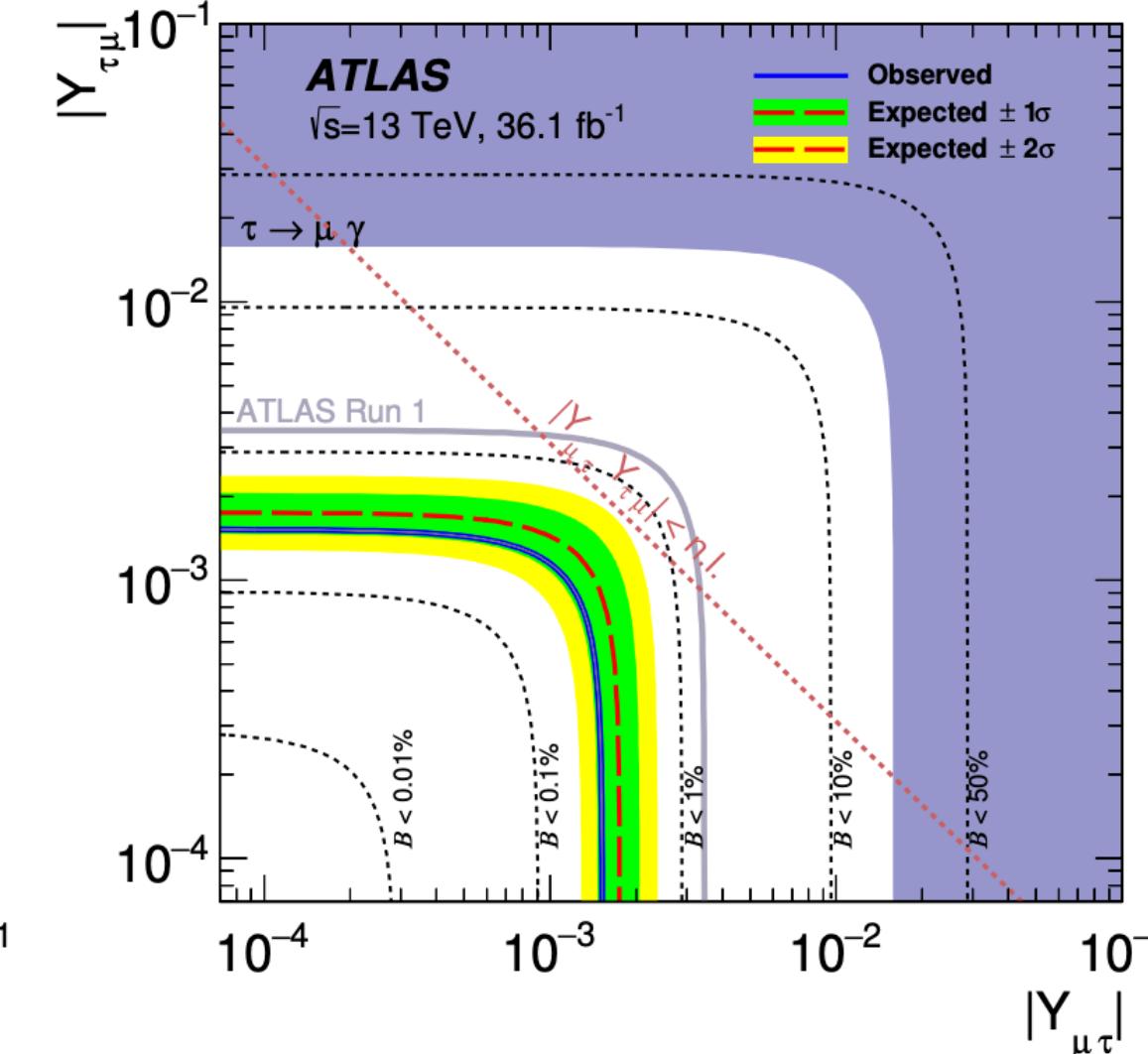
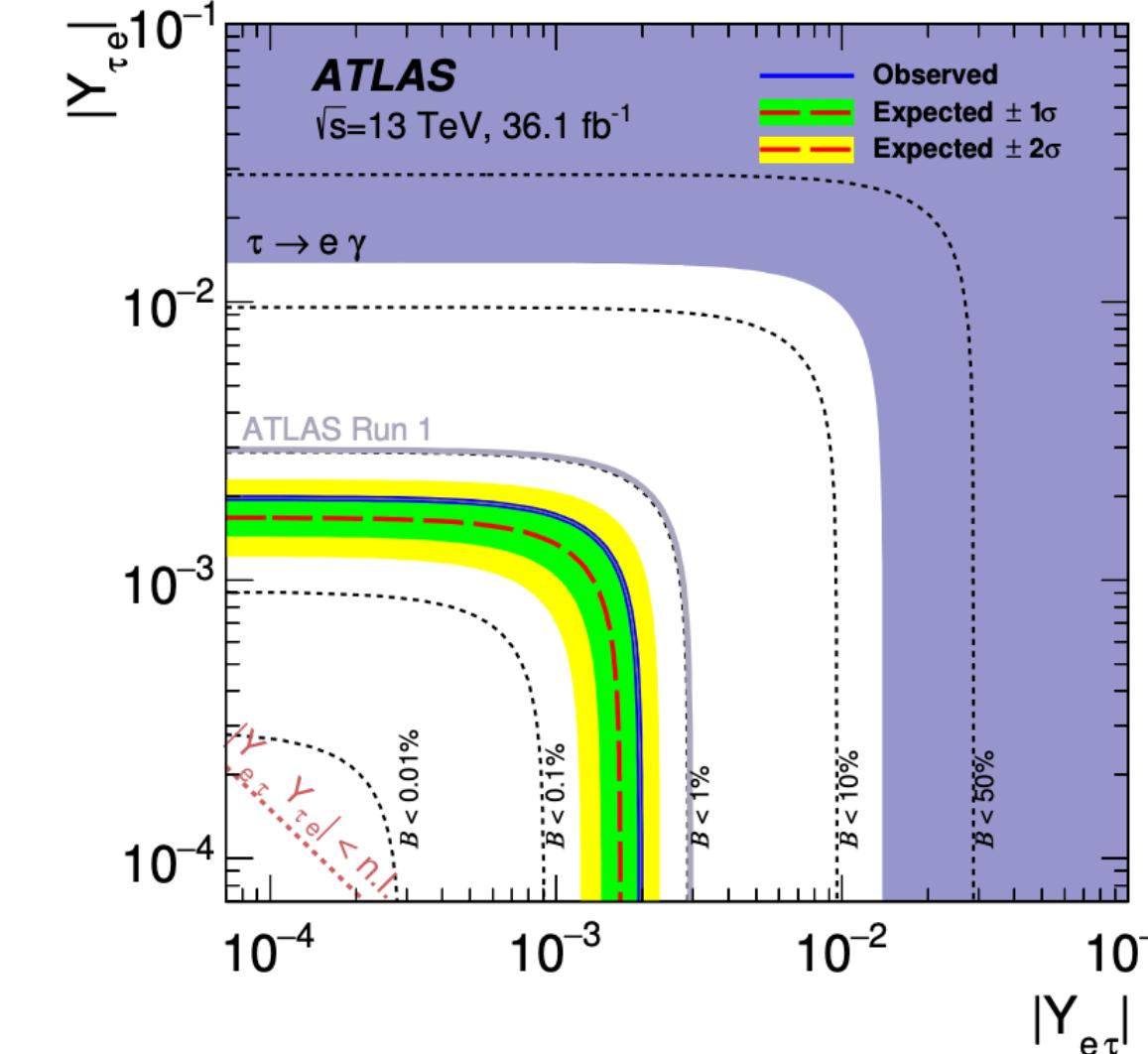
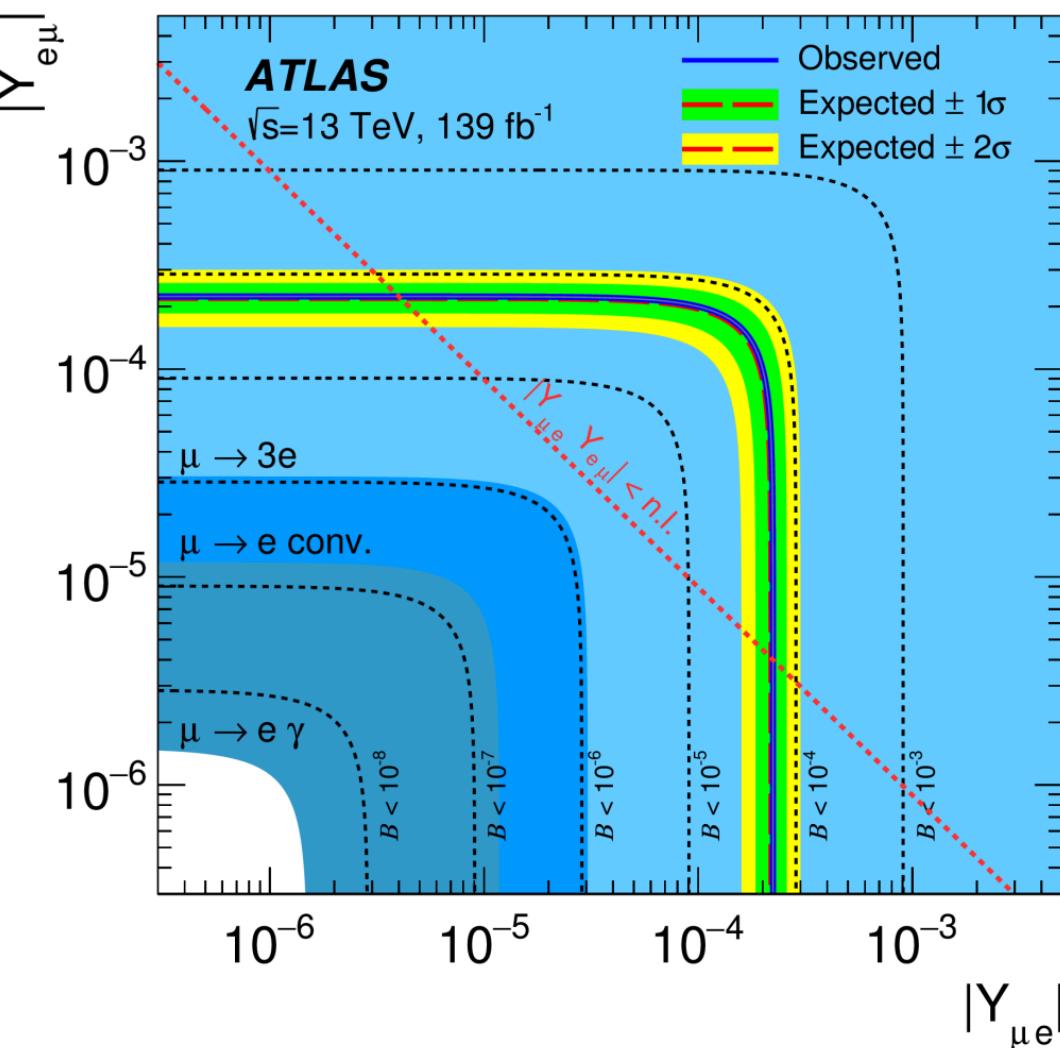
Complementarity of τ LFV searches

Current limit on $\mathcal{B}(\mu \rightarrow e\gamma) \sim 10^{-13}$ does not forbid $\mathcal{B}(\tau \rightarrow \ell\gamma) \sim 10^{-8}$

- Leptonic MFV: [Nucl.Phys. B 728 \(2005\) 121](#)
- GUT models: [Nucl.Phys. B 445 \(1995\) 219](#)

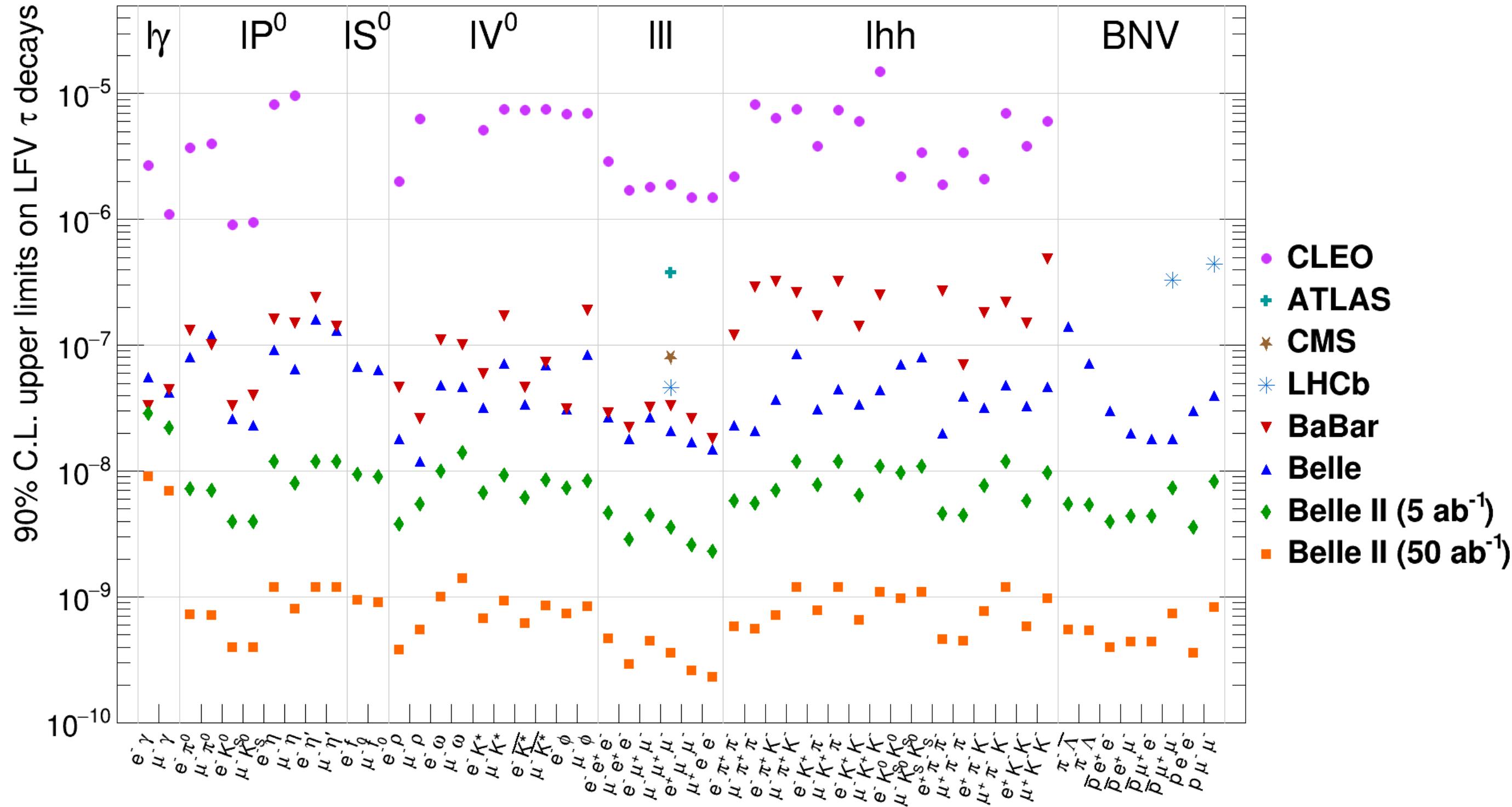
Indirect constraints on LFV Higgs from $\mu \rightarrow e\gamma$ and $\tau \rightarrow \ell\gamma$

- Strongest from $\mu \rightarrow e\gamma$: $\mathcal{B}(H \rightarrow \mu e) < 1.6 \times 10^{-8}$
- Observed: $\text{BR}(H \rightarrow e\mu) < 6.1 \times 10^{-5}$ (95% CL)
- Observing $H \rightarrow e\mu$ or $\tau \rightarrow \ell\gamma$ would require a non-trivial NP mechanism



Status and perspectives of LFV searches

As of the Snowmass 2021: cLFV in τ sector - arXiv:2203.14919



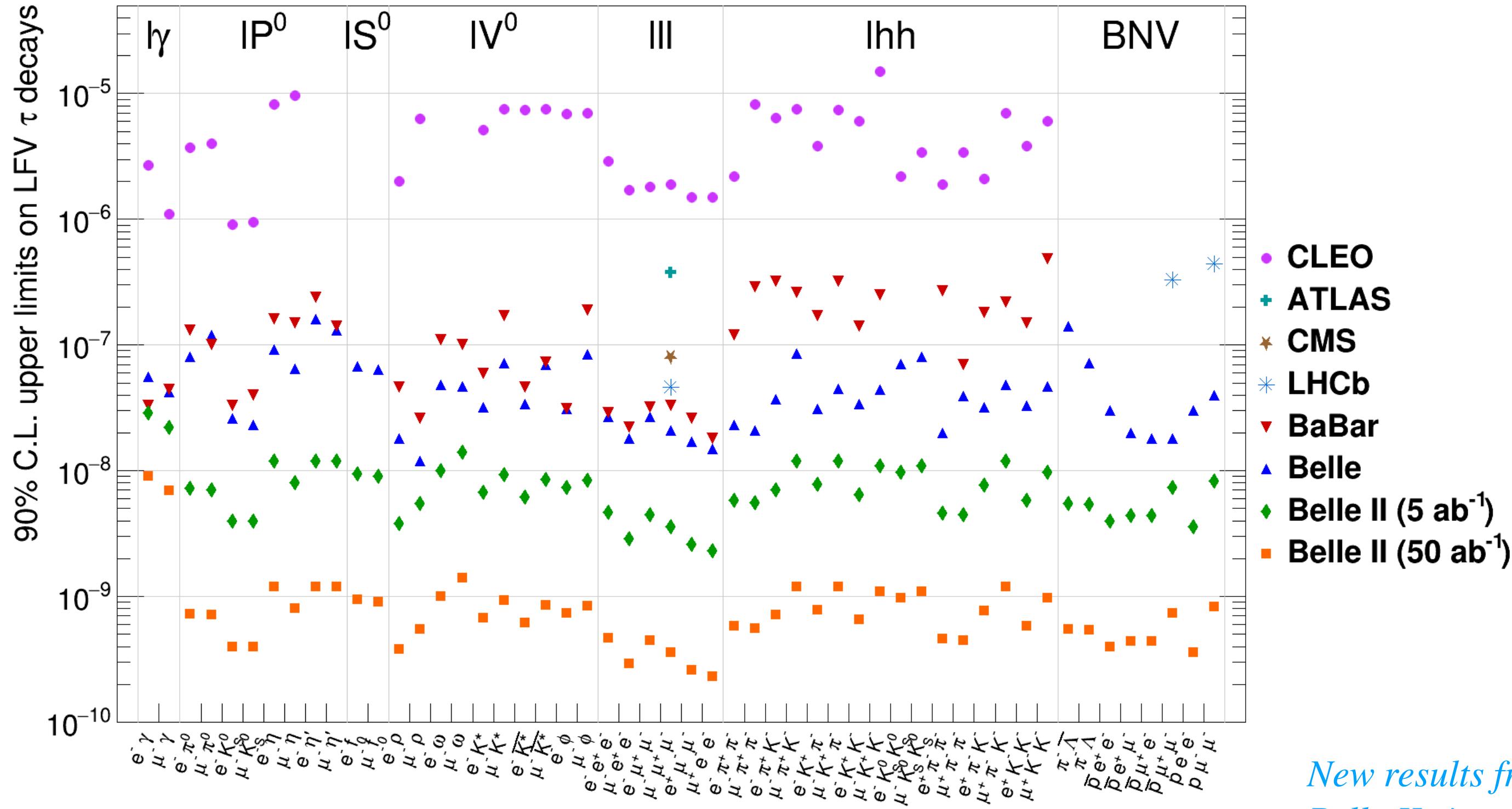
Test the SM in 52 benchmark τ decays

- radiative ($\tau \rightarrow \ell\gamma$)
- leptonic decays ($\tau \rightarrow \ell\ell\ell$)
- a large variety of LFV and LNV semi-leptonic decays
- BNV decays
- $\tau \rightarrow \mu$ and $\tau \rightarrow e$: test of the lepton flavour structure

- One of the factors pushing up the sensitivity of probes is the increase of the luminosity
- Equally important is the increase of the signal detection efficiency
 - high trigger efficiencies; improvements in the vertex reconstruction, charged track and neutral-meson reconstructions, particle identification, refinements in the analysis techniques...

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New results from CMS, Belle and
Belle II since Snowmass report

- One of the factors pushing up the sensitivity of probes is the increase of the luminosity
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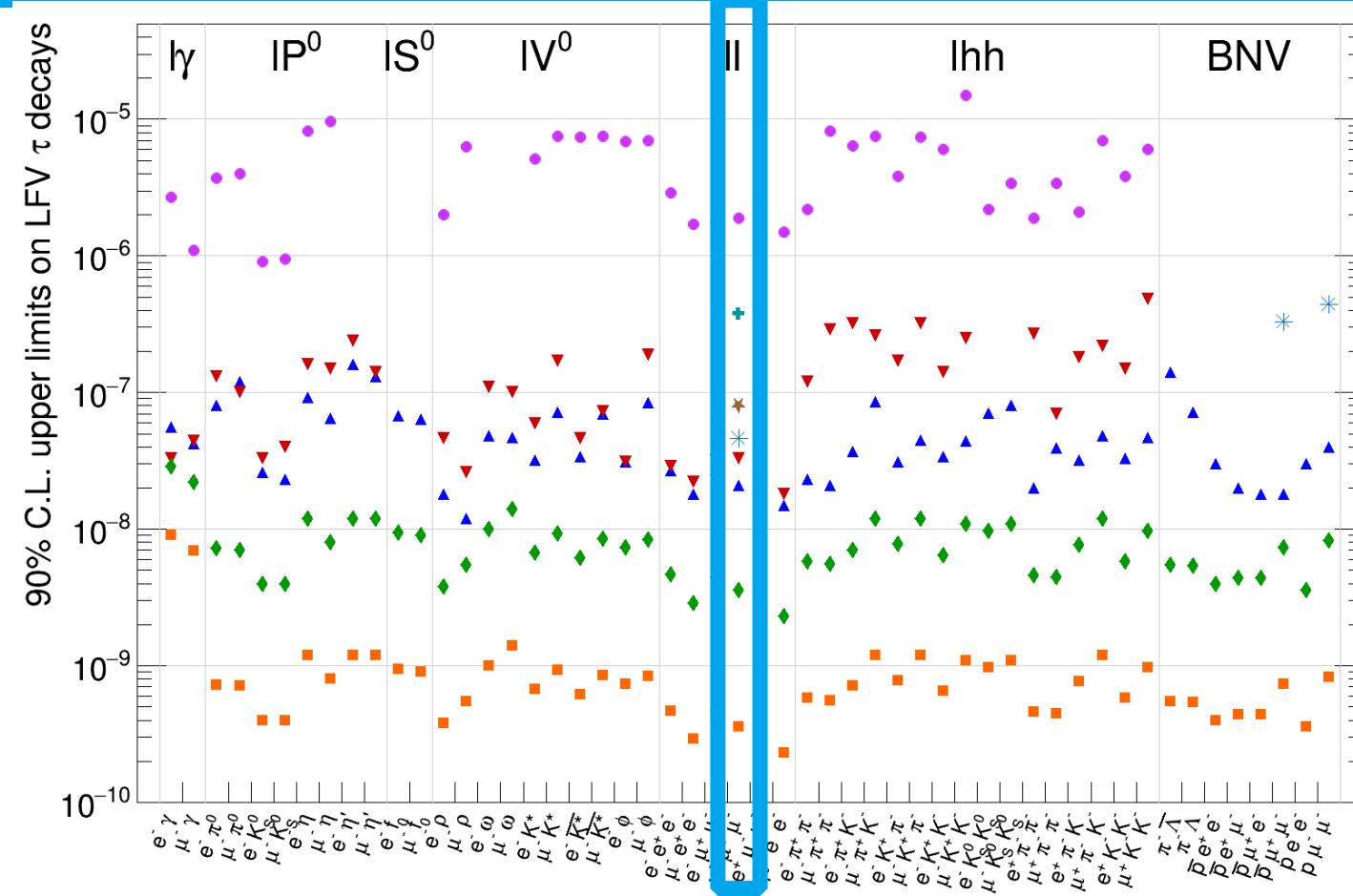
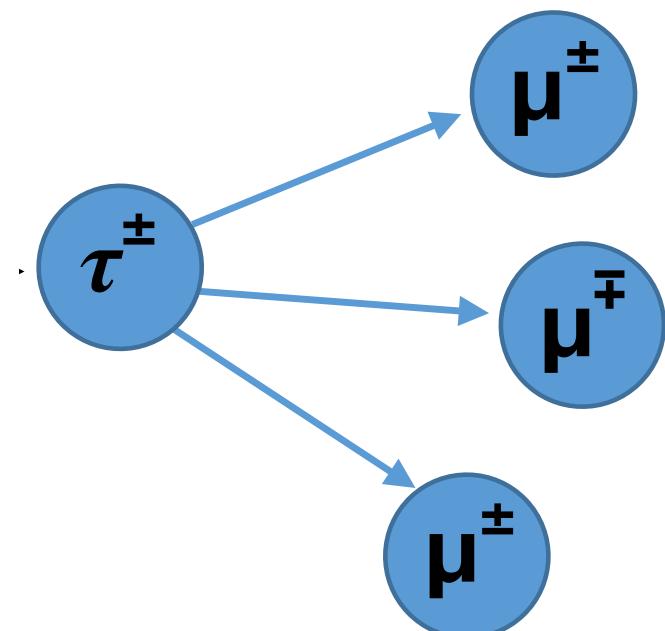
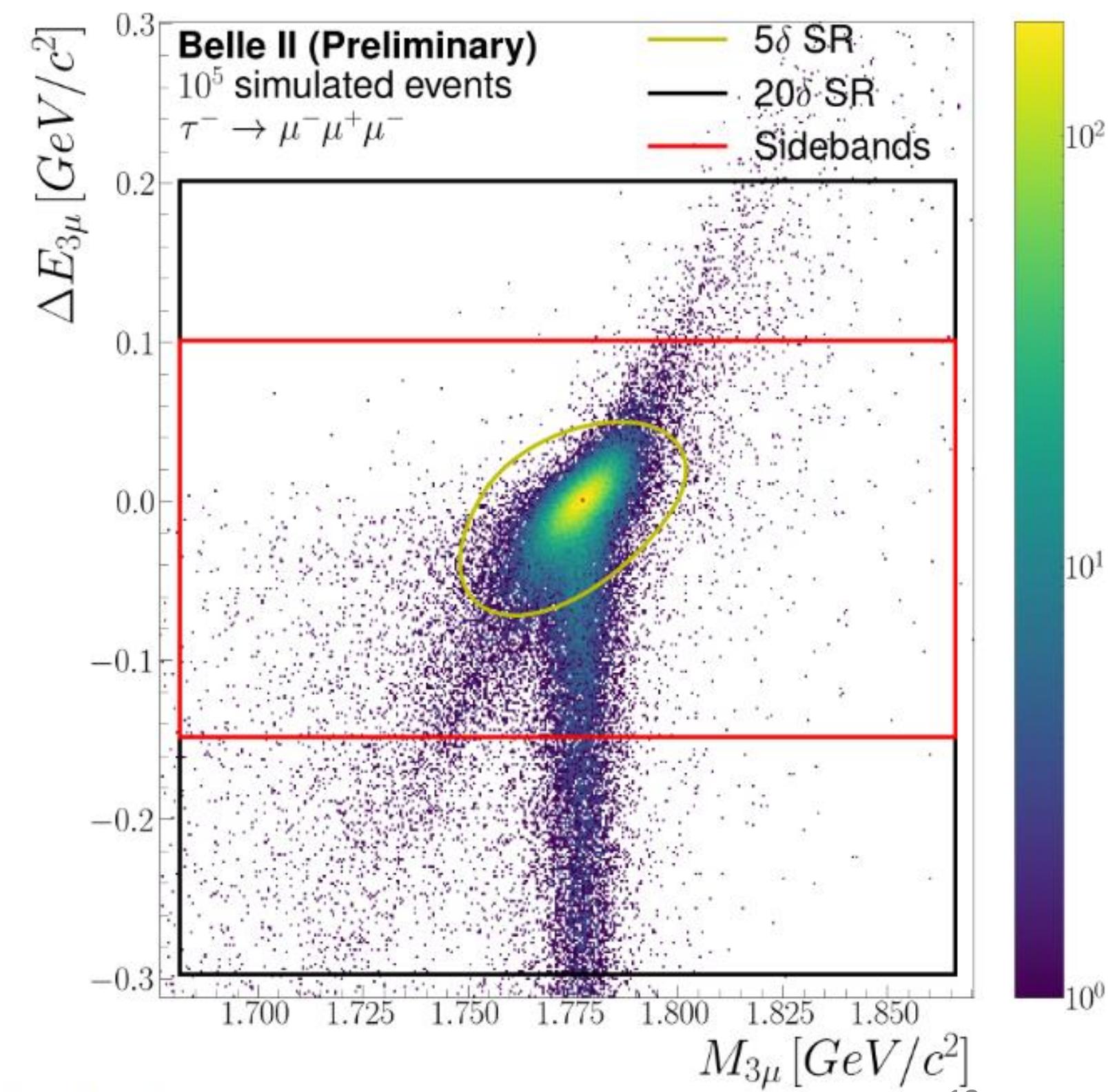
Search for $\tau \rightarrow \mu\mu\mu$ decay @ Belle II

Search at Belle II with 424 fb^{-1}

- μ identification is the most powerful discriminating variable
- Momentum dependent optimisation of the muID requirement

Signal region definition

(typical for all 52 LFV searches e^+e^- in searches)



Two independent variables:

$$M_{3\mu} = \sqrt{E_{3\mu}^2 - P_{3\mu}^2}$$

$$\Delta E = E_{3\mu}^{CMS} - E_{beam}^{CMS}$$

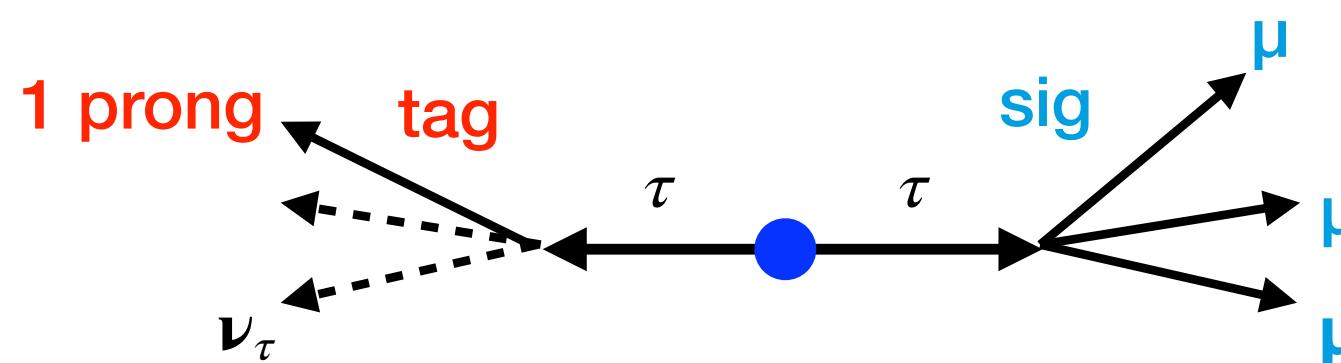
For signal:

- ΔE close to 0 and $M_{3\mu}$ close to τ mass
- Tails due to ISR and FSR

Search for $\tau \rightarrow \mu\mu\mu$ decay @ Belle II

1-prong

- Used before by Belle and BaBar:
 - 3x1 topology
 - Cut-based selection optimised using the Punzi FOM

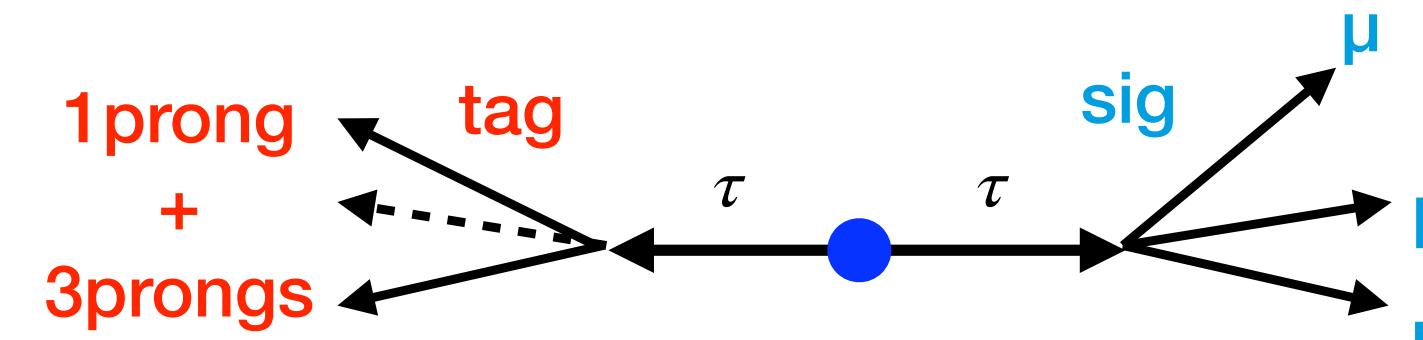


Signal efficiency: 14.9% (2 x Belle efficiency)

- Number of expected BG from simulation : 0.43
- 0 event observed inside the SR
- Observed $\mathcal{B}(\tau \rightarrow 3\mu) < 2.0 \times 10^{-8}$

Inclusive approach

- Main analysis approach:
 - Inclusion of 3x1 and 3x3 topologies
 - Selection and background rejection using BDT



- Signal efficiency: 20.4% (2.7 x Belle efficiency)
- Number of expected BG using ABCD method : 0.5
- 1 event observed inside the SR
- Observed $\mathcal{B}(\tau \rightarrow 3\mu) < 1.9 \times 10^{-8}$

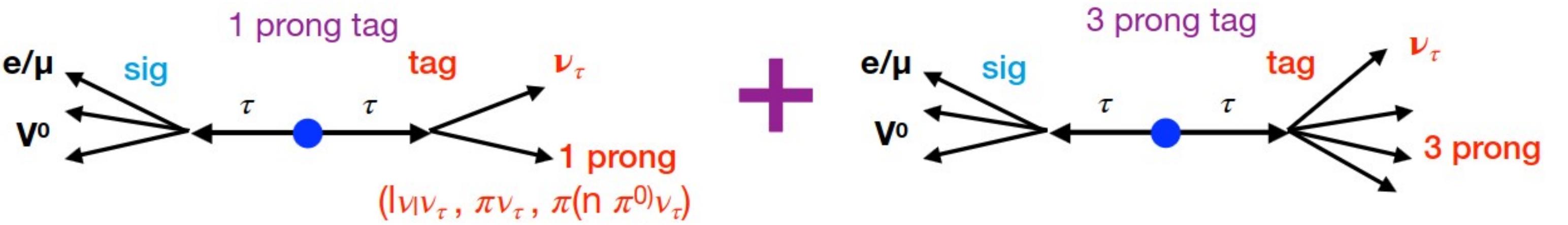
Experiment	Upper Limit at 90% C.L.
Belle	2.1×10^{-8} ($\mathcal{L} = 782 \text{ fb}^{-1}$)
BaBar	3.3×10^{-8} ($\mathcal{L} = 486 \text{ fb}^{-1}$)
CMS	2.9×10^{-8} ($\mathcal{L} = 131 \text{ fb}^{-1}$)
LHCb	4.6×10^{-8} ($\mathcal{L} = 2.0 \text{ fb}^{-1}$)
Belle II	1.9×10^{-8} ($\mathcal{L} = 424 \text{ fb}^{-1}$)

Most stringent limit to date

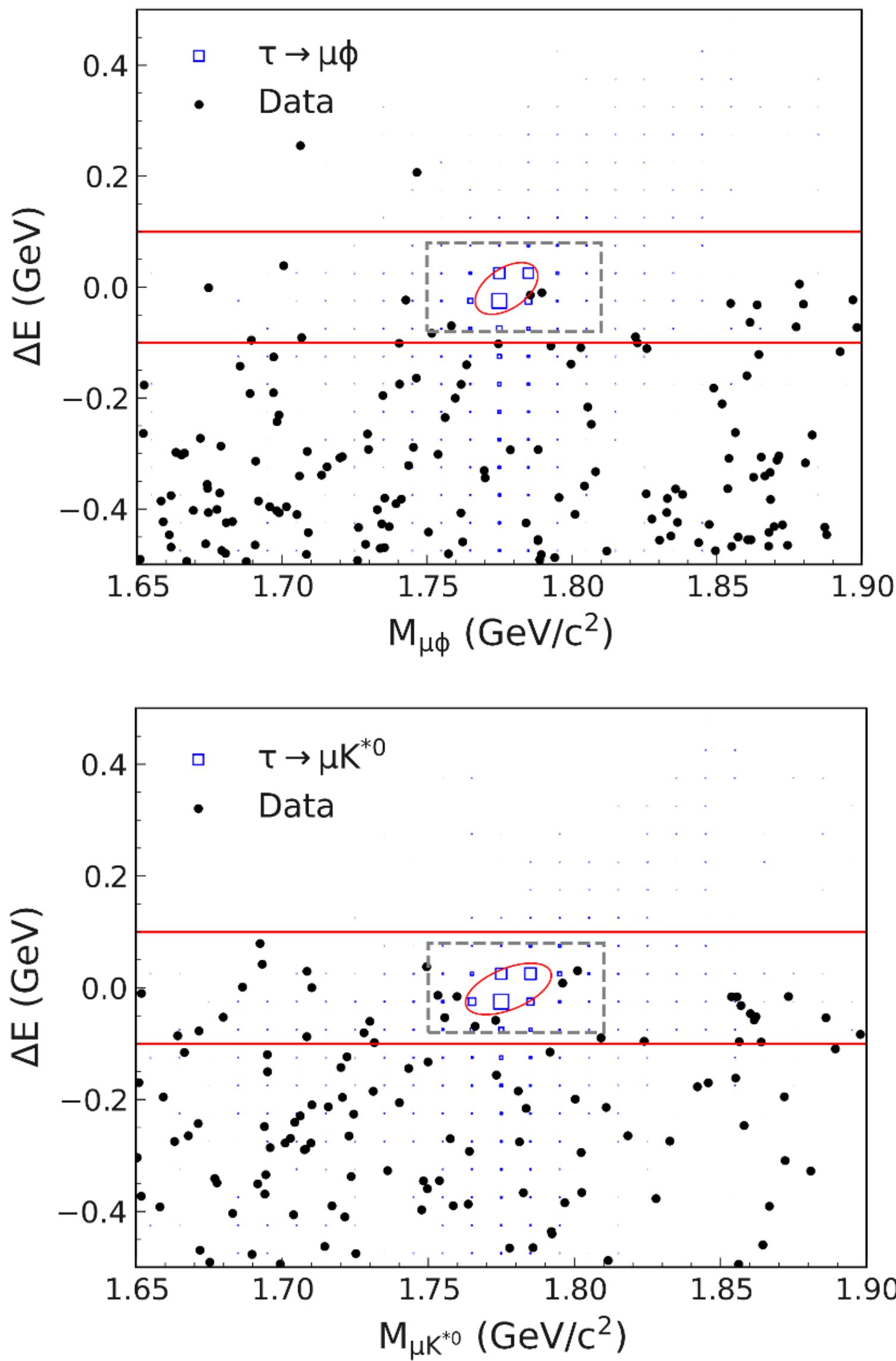
Search for $\tau \rightarrow \ell V^0$ ($V^0 = \rho, \omega, \phi, K^*$) decays @ Belle

Previous search at Belle on 854 fb^{-1} exploiting 1-prong tag

- Increase the efficiency using
 - full data set of 980 fb^{-1}
 - more decay modes in the tag side
 - background suppression with BDT



- Exploit topology and event/tag kinematics to the backgrounds that mimic
 - the presence of neutrinos in the tag side,
 - wrong PID in the signal side
- Further suppress $\tau \rightarrow 3\pi\nu$ and $ee \rightarrow qq$ with BDT
- Estimate expected background in SR from **sideband interpolation**

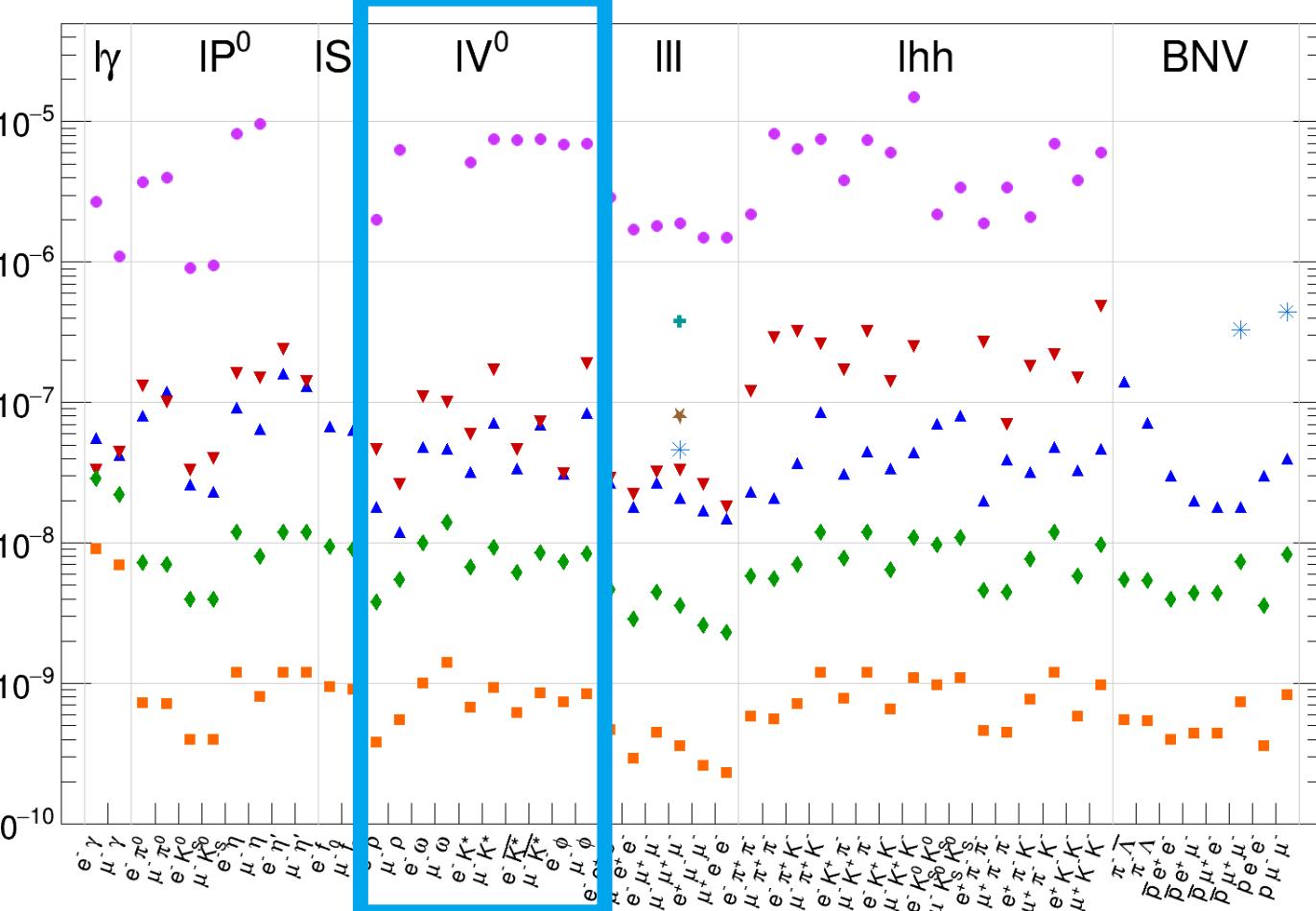


Search for $\tau \rightarrow \ell V^0$ decay @ Belle

No significant access in all ℓV^0 modes

- 30% improvement over previous measurements
 - increased statistics (124 fb^{-1})
 - higher signal efficiency (9%)

Belle - JHEP 06 (2023) 118



Mode	ε (%)	N_{BG}	σ_{syst} (%)	N_{obs}	$\mathcal{B}_{\text{obs}} (\times 10^{-8})$
$\tau^\pm \rightarrow \mu^\pm \rho^0$	7.78	$0.95 \pm 0.20 \text{ (stat.)} \pm 0.15 \text{ (syst.)}$	4.6	0	< 1.7
$\tau^\pm \rightarrow e^\pm \rho^0$	8.49	$0.80 \pm 0.27 \text{ (stat.)} \pm 0.04 \text{ (syst.)}$	4.4	1	< 2.2
$\tau^\pm \rightarrow \mu^\pm \phi$	5.59	$0.47 \pm 0.15 \text{ (stat.)} \pm 0.05 \text{ (syst.)}$	4.8	0	< 2.3
$\tau^\pm \rightarrow e^\pm \phi$	6.45	$0.38 \pm 0.21 \text{ (stat.)} \pm 0.00 \text{ (syst.)}$	4.5	0	< 2.0
$\tau^\pm \rightarrow \mu^\pm \omega$	3.27	$0.32 \pm 0.23 \text{ (stat.)} \pm 0.19 \text{ (syst.)}$	4.8	0	< 3.9
$\tau^\pm \rightarrow e^\pm \omega$	5.41	$0.74 \pm 0.43 \text{ (stat.)} \pm 0.06 \text{ (syst.)}$	4.5	0	< 2.4
$\tau^\pm \rightarrow \mu^\pm K^{*0}$	4.52	$0.84 \pm 0.25 \text{ (stat.)} \pm 0.31 \text{ (syst.)}$	4.3	0	< 2.9
$\tau^\pm \rightarrow e^\pm K^{*0}$	6.94	$0.54 \pm 0.21 \text{ (stat.)} \pm 0.16 \text{ (syst.)}$	4.1	0	< 1.9
$\tau^\pm \rightarrow \mu^\pm \bar{K}^{*0}$	4.58	$0.58 \pm 0.17 \text{ (stat.)} \pm 0.12 \text{ (syst.)}$	4.3	1	< 4.3
$\tau^\pm \rightarrow e^\pm \bar{K}^{*0}$	7.45	$0.25 \pm 0.11 \text{ (stat.)} \pm 0.02 \text{ (syst.)}$	4.1	0	< 1.7

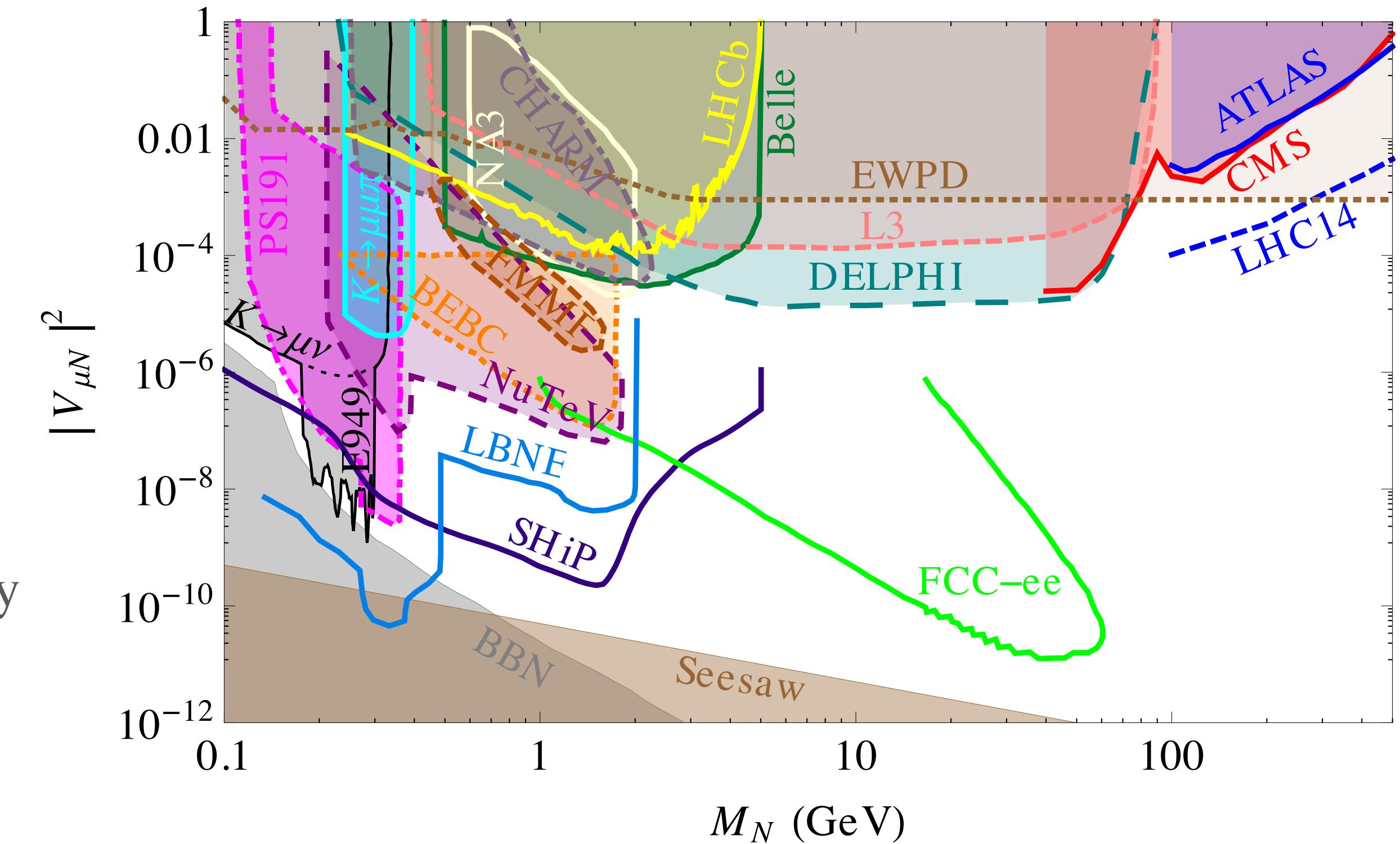
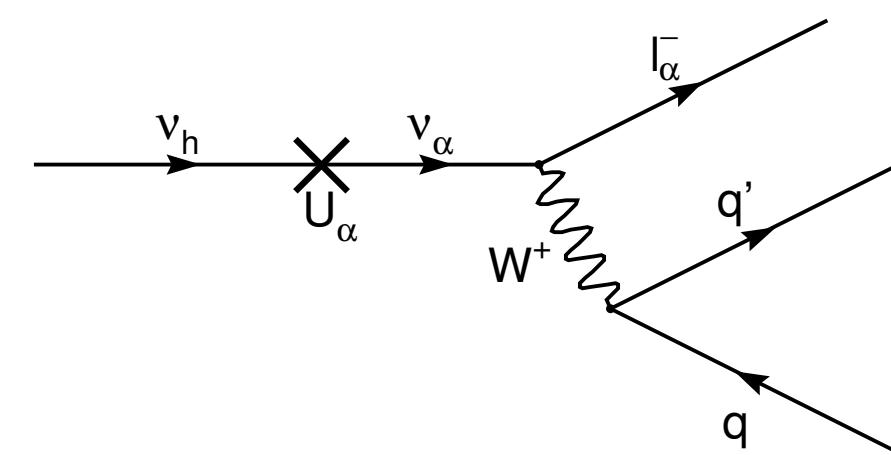
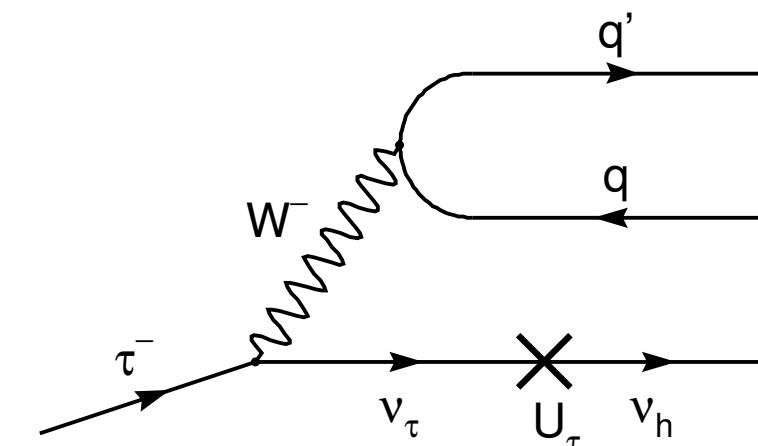
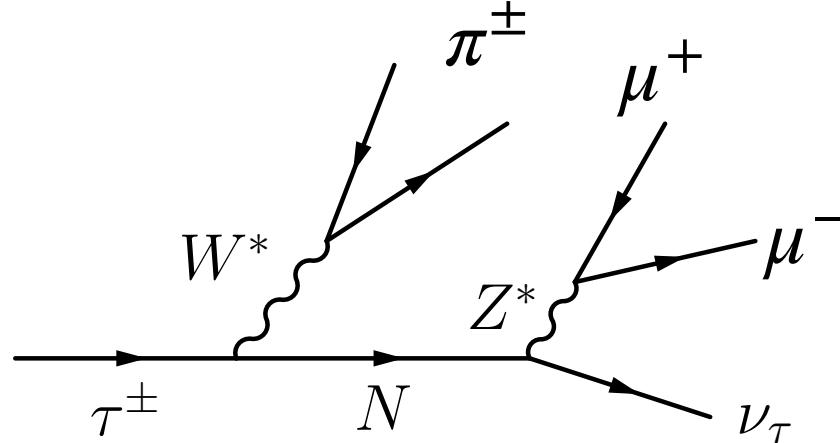
World leading results

Search for heavy neutral leptons (HNL)

N (or ν_h) interacts with ν_{SM} through mixing: $N \leftrightarrow \nu_{\text{SM}}$

$$\nu_\ell = \sum_{i=1}^3 U_{\ell i} \nu_i + \sum_j V_{\ell N_j} N_j.$$

- Can have Majorana mass
- Long lifetime $c\tau_N \propto |U_{\tau N}|^2 m_N^{-5}$
- In keV-scale could be a dark matter candidate
- In GeV-scale can explain the origin of the baryon asymmetry
- **Direct search of HNL in τ decays** $M_N < M_\tau$



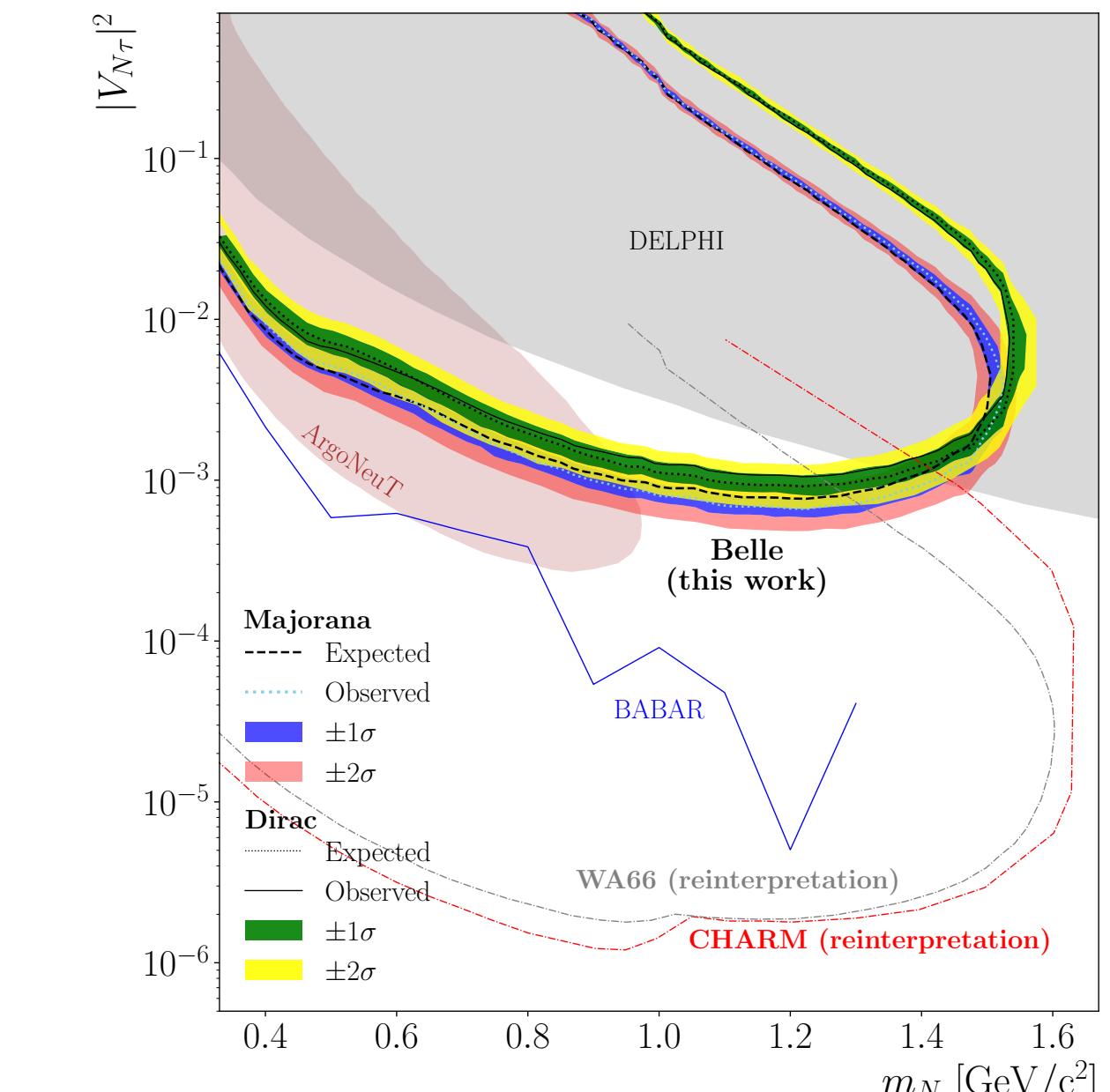
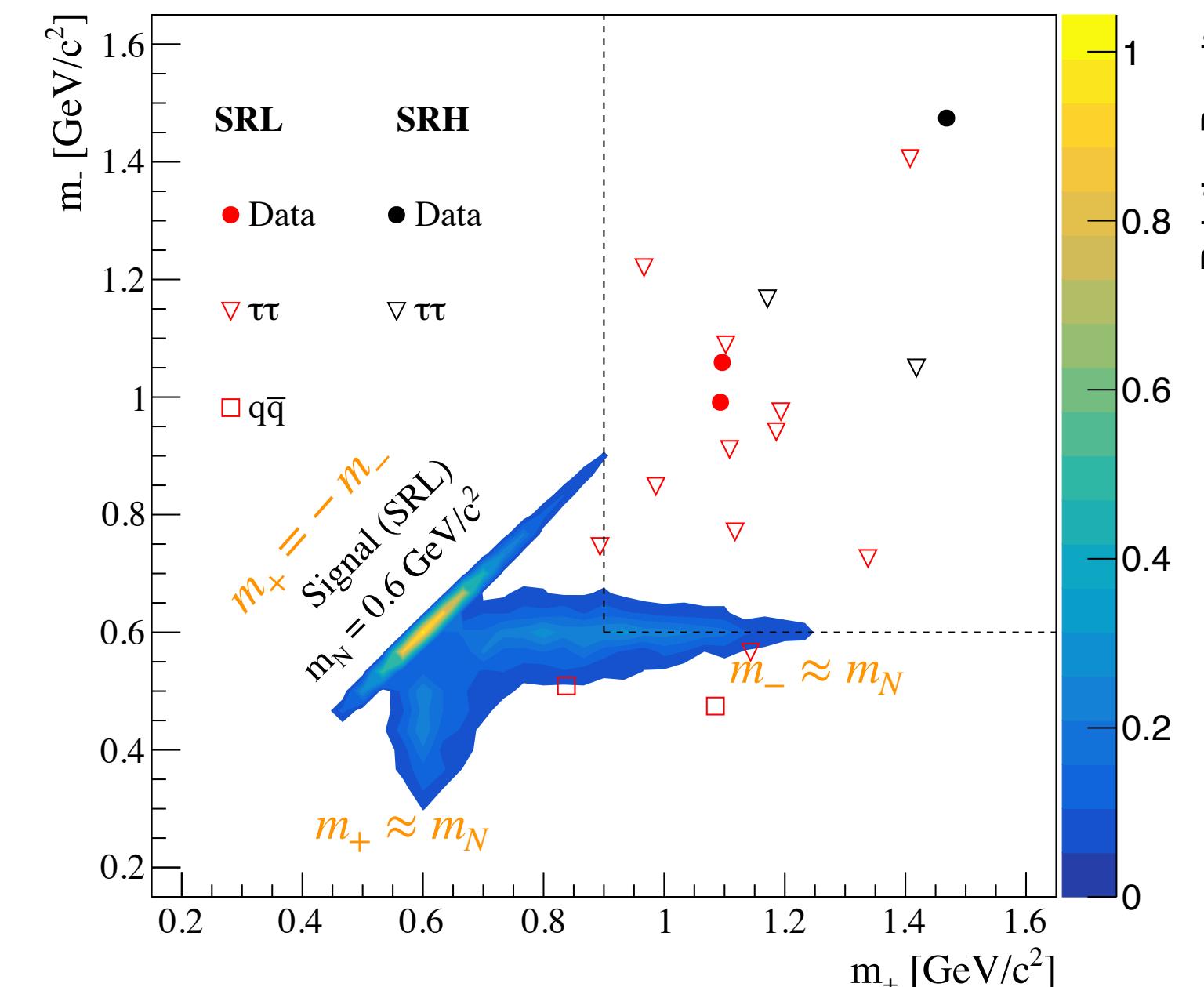
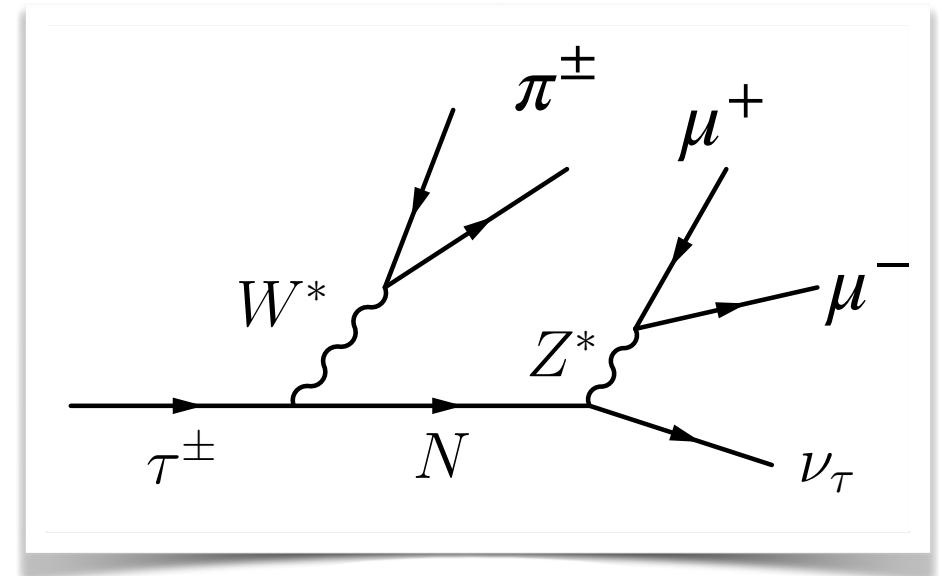
- Explored regions by different experiments
 - $M_N > M_Z$: $pp > N\ell^\pm$ @LHC
 - $M_N < M_{Z,W}$: $Z^0 \rightarrow \nu N$ @ DELPHI and $W^\pm \rightarrow \ell^\pm N$ @ LHC
 - $M_N > M_{K,D,B}$: @NA62, beam-dump, Belle
- All above experiments provide tight limits on $|V_{eN}|, |V_{\mu N}|$
- Fewer experiments have directly probed $|V_{\tau N}|$

Search for $\tau^- \rightarrow \pi^- N (N \rightarrow \mu^+ \mu^- \nu_\tau)$ decay @ Belle

accepted by PRD

Search for a heavy neutrino $300 < M_N < 1600$ MeV that mixes predominantly with ν_τ

- The search uses the data set of Belle with $N_{\tau\tau} = (836 \pm 12) \times 10^6$
- Signature: prompt pion and **long-lived**, heavy neutrino $N \rightarrow \mu^+ \mu^- \nu_\tau$
- Constrain of the signal decay using the full kinematics of τ decay (two-fold ambiguity)
- Rejects $K_S \rightarrow \pi^+ \pi^-$ ($420 < m < 520$ MeV) → pions decay to or are misidentified as muons
- Two signal regions targeting heavy and light HNLs
- 1 and 0 observed events, in agreement with the background expectation.
- Set 95% C.L. upper limits on $|V_{N\tau}|$ as a function of m_N

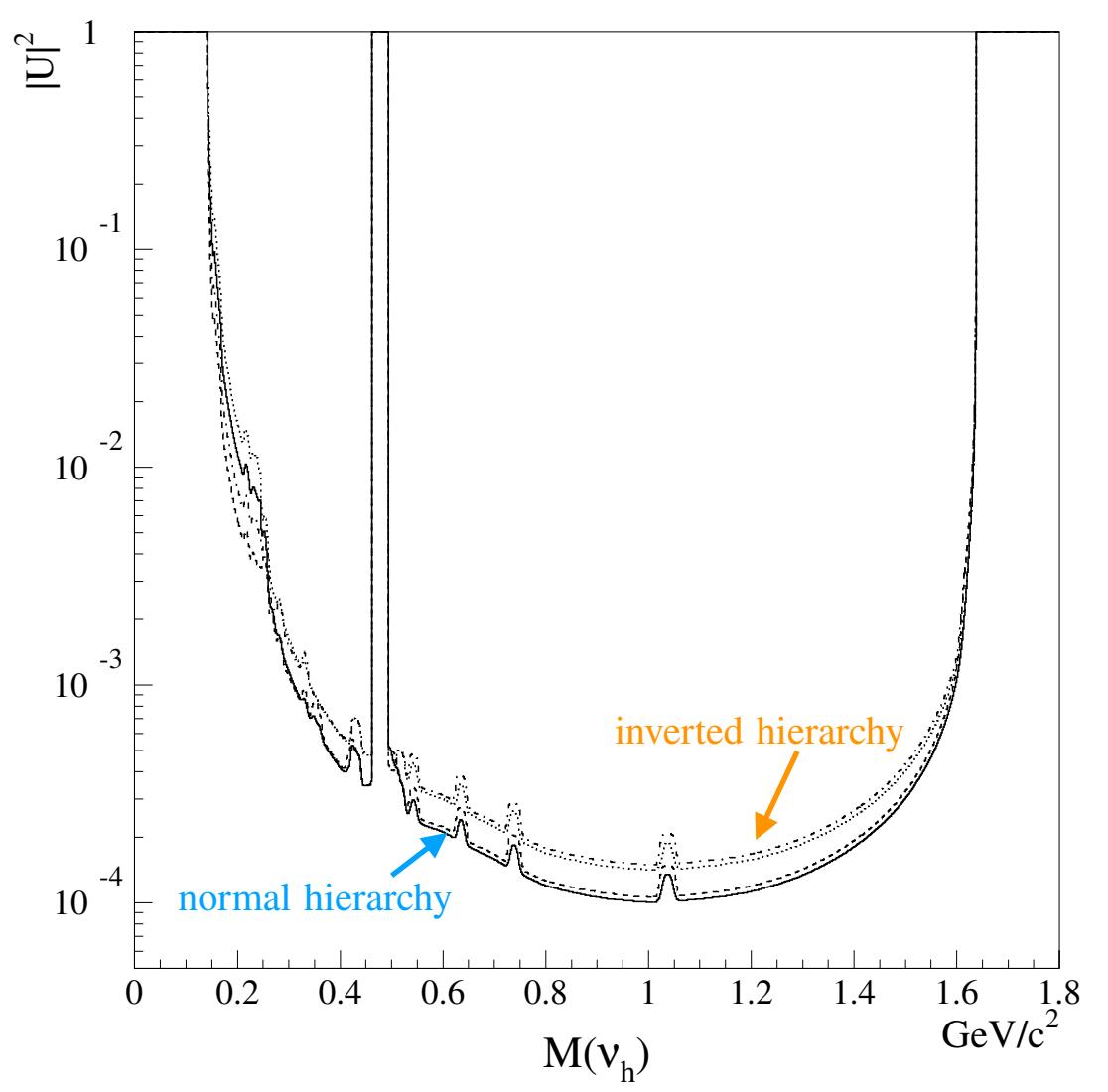
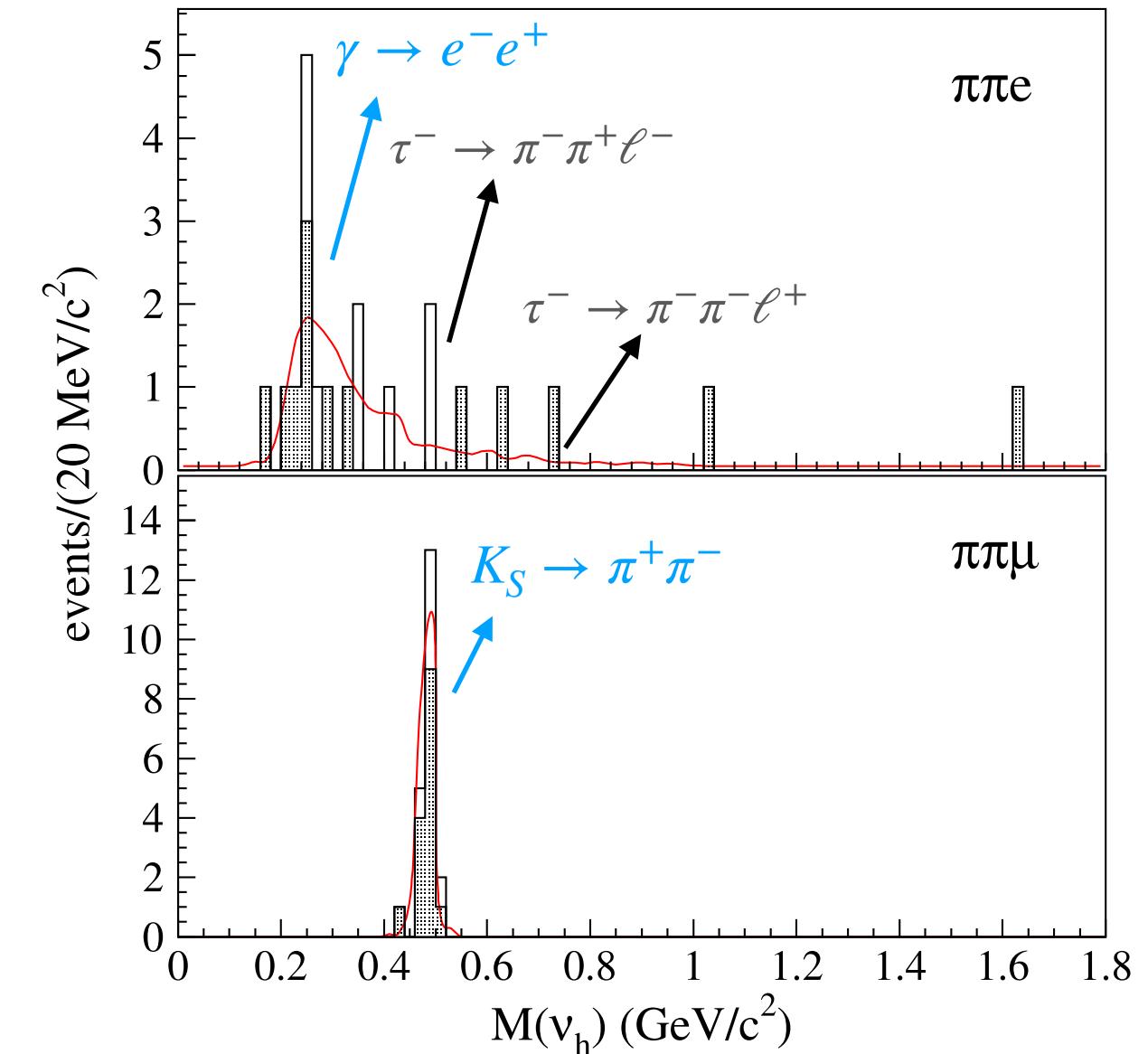
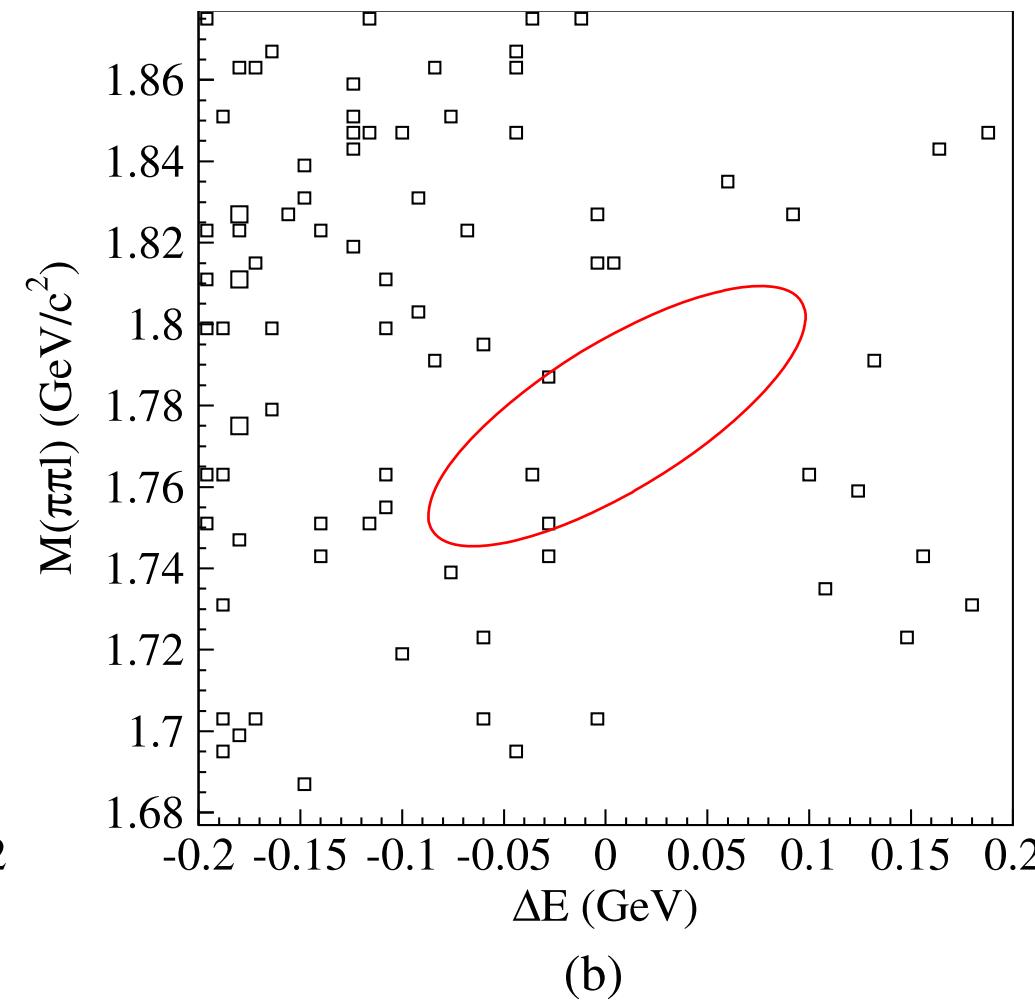
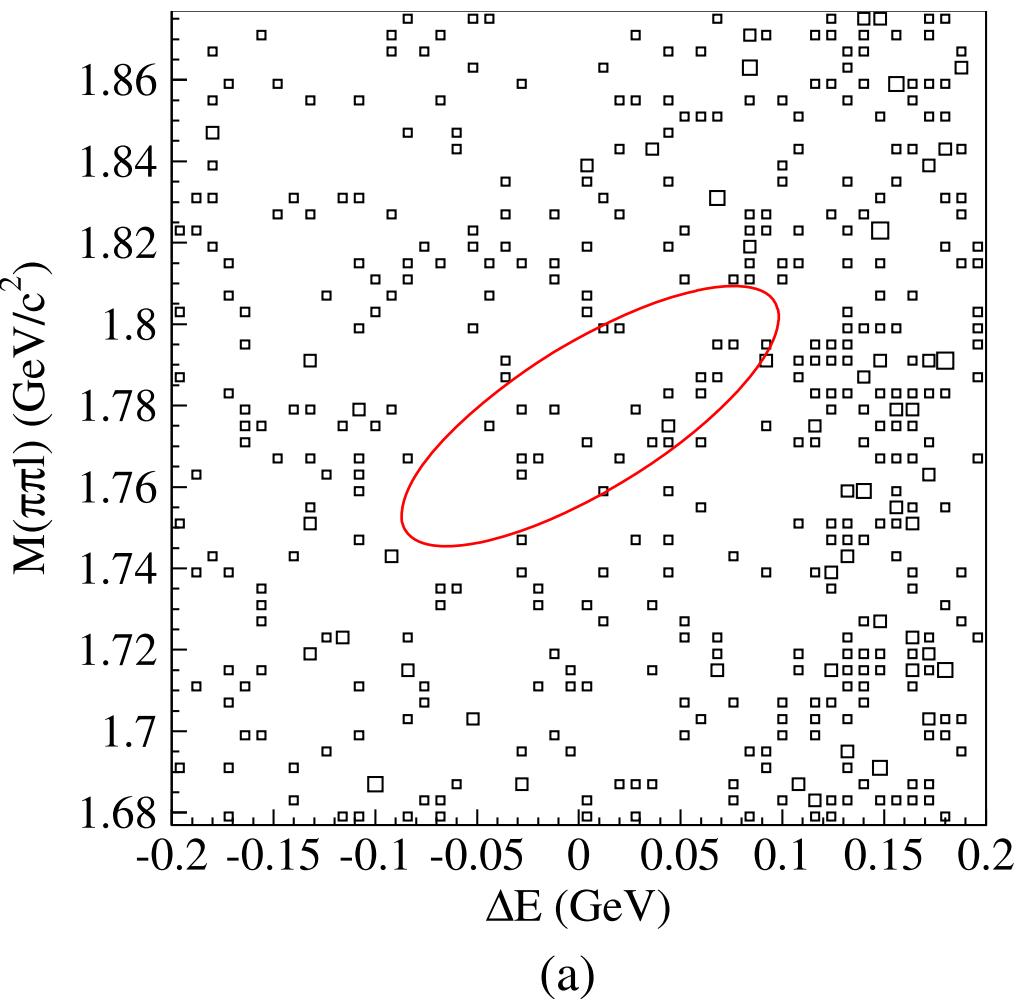
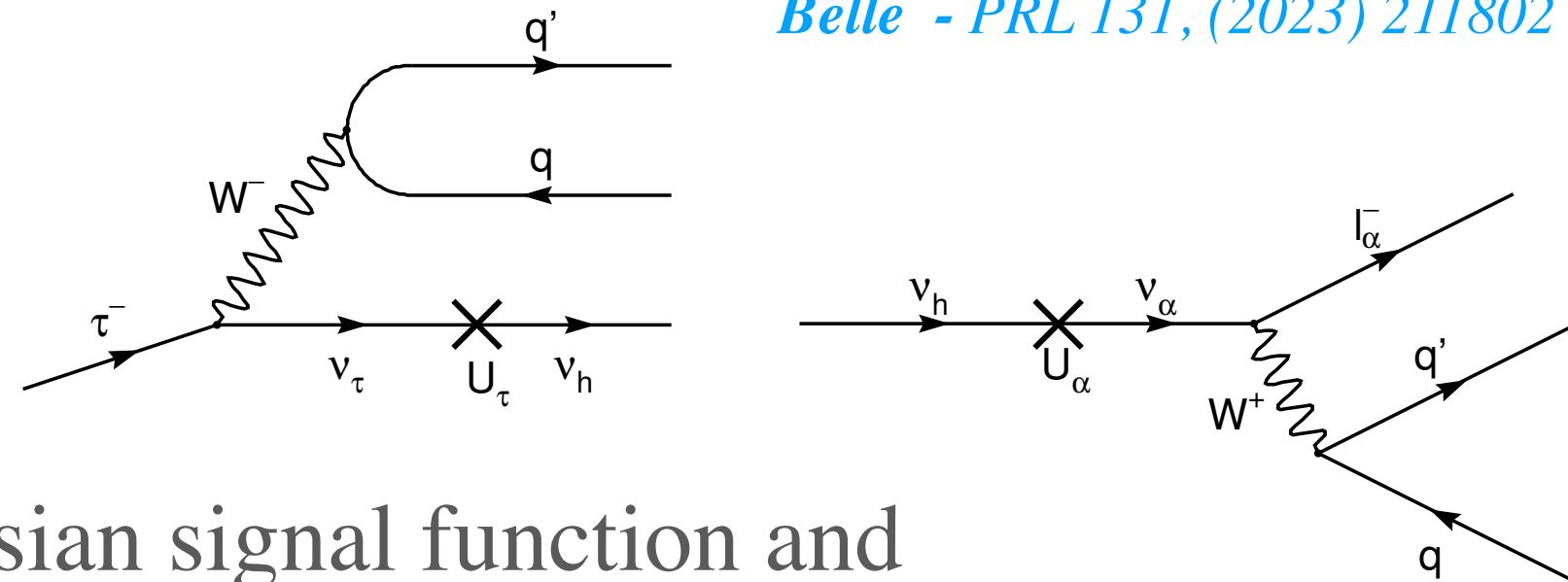


Search for $\tau^- \rightarrow \pi^- \nu_h (\nu_h \rightarrow \pi^\pm \ell^\mp)$ decay @ Belle

Belle - PRL 131, (2023) 211802

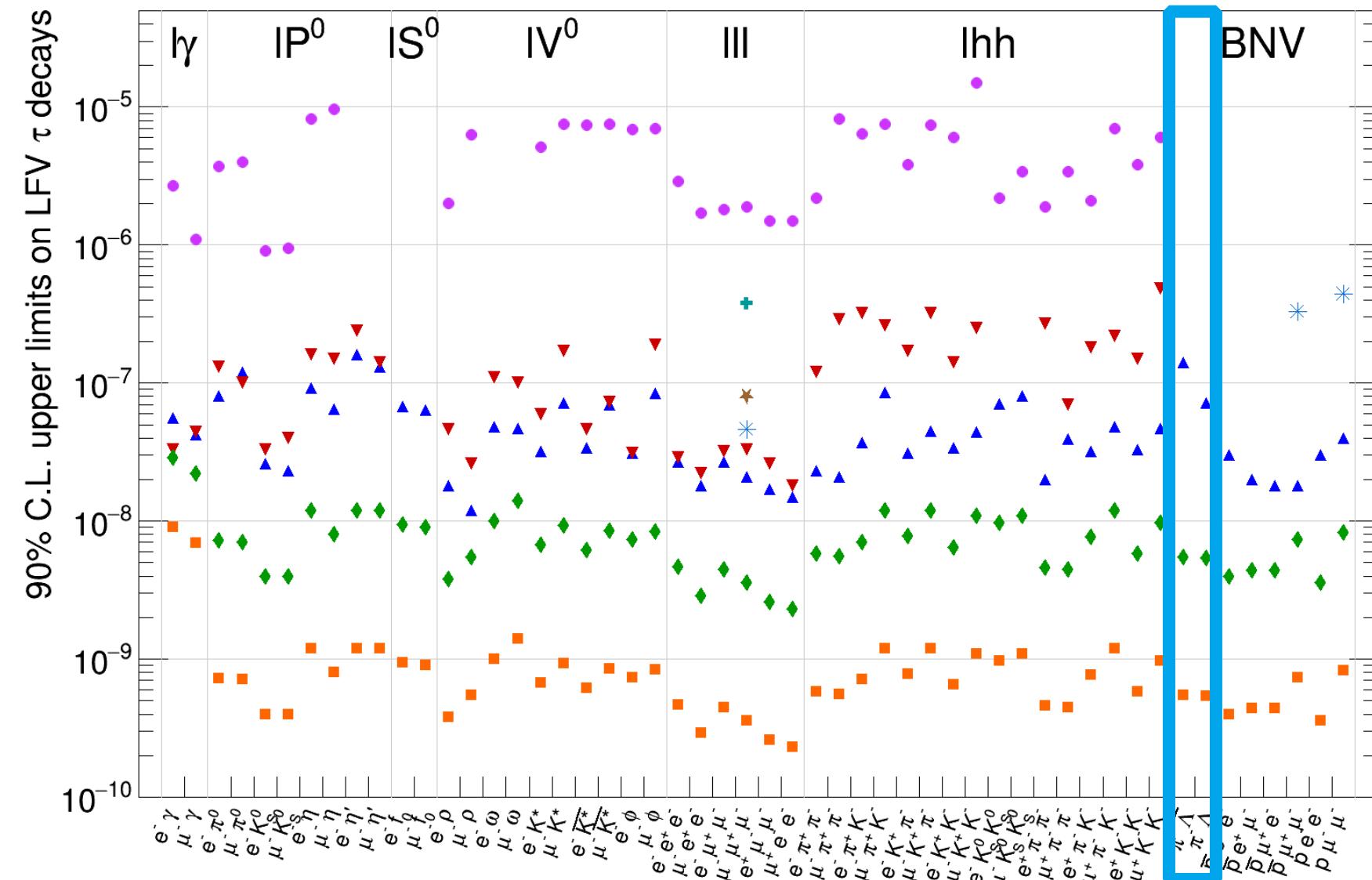
Search for a heavy neutrino $200 < M_{\nu_h} < 1600$ MeV

- ➡ The search uses the data set of Belle with $N_{\tau\tau} = (912 \pm 13) \times 10^6$
- ➡ Signature: prompt pion and **long-lived**, heavy neutrino $\nu_h \rightarrow \pi^\pm \ell^\mp$
- ➡ A series of binned likelihood fits to the mass distributions using the sum of a Gaussian signal function and background varying the mass hypothesis in each fit.
- ➡ No significant excess
- ➡ Set 95% C.L. upper limits on $|U|^2 = |U_e|^2 + |U_\mu|^2 + |U_\tau|^2$ as a function of M_{ν_h} for the two neutrino-mass hierarchy scenarios



Search for $\tau \rightarrow \Lambda(\bar{\Lambda})\pi$ decay @ Belle

- BNV is one of the necessary conditions to explain the asymmetry of matter
- Beyond SM scenarios allow for BNV and LNV
 - $B - L$ conservation
 - $|\Delta(B - L)| = 2$
- Previous BNV searches:
 - $p \rightarrow e^\pm \pi^0$ and $p \rightarrow \mu^\pm \pi^0$ @ Super-Kamiokande
 - $Z^0 \rightarrow pe^-$ and $Z^0 \rightarrow p\mu^-$ @ OPAL
 - $D^0 \rightarrow \bar{p}e^+$ and $D^0 \rightarrow pe^-$ @ CLEO & Belle
 - $D^+, D_s^+, \Lambda_c \rightarrow h^\pm \ell^\mp \ell^\pm$ at BaBar
 - $B^0 \rightarrow \Lambda_c \ell^-, B^- \rightarrow \Lambda \ell^-, \bar{\Lambda} \ell^-$ @ BaBar
 - $\tau \rightarrow \bar{p}X$ ($X = \gamma, \pi^0, \eta, 2\pi^0, \pi^0\eta$) @ CLEO
 - $\tau^- \rightarrow \Lambda\pi^-$ and $\tau^- \rightarrow \bar{\Lambda}\pi^-$ @ Belle
 - $\tau^- \rightarrow p\mu^-\mu^-$ and $\tau^- \rightarrow \bar{p}\mu^+\mu^-$ @ LHCb
- Experimental limits $10^{-8} - 10^{-5}$



	$\tau^- \rightarrow \Lambda\pi^-$		$\tau^- \rightarrow \bar{\Lambda}\pi^-$	
	initial state	final state	initial state	final state
B	0	1	0	-1
L	1	0	1	0
$B - L$	-1	1	-1	-1
$ \Delta(B - L) $	2		0	

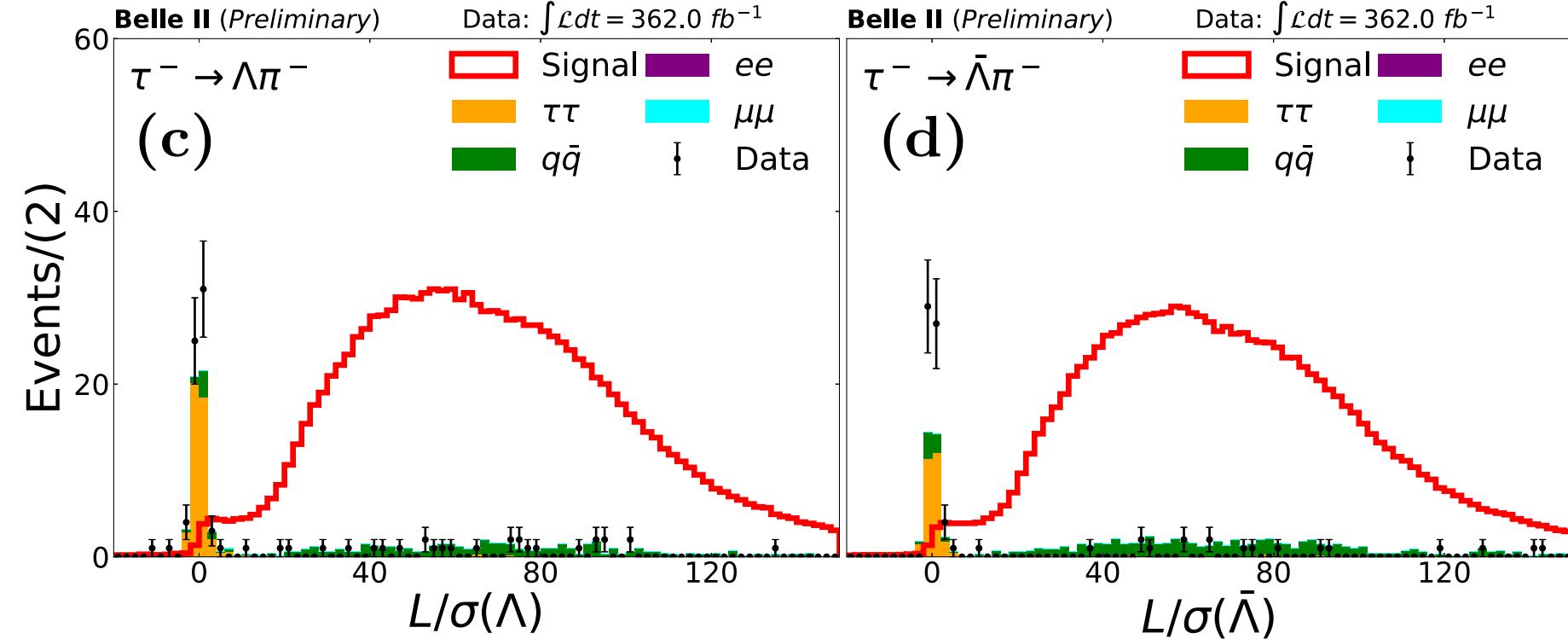
Search for $\tau \rightarrow \Lambda(\bar{\Lambda})\pi$ decay @ Belle II

Previous search @ Belle on 154 fb^{-1}

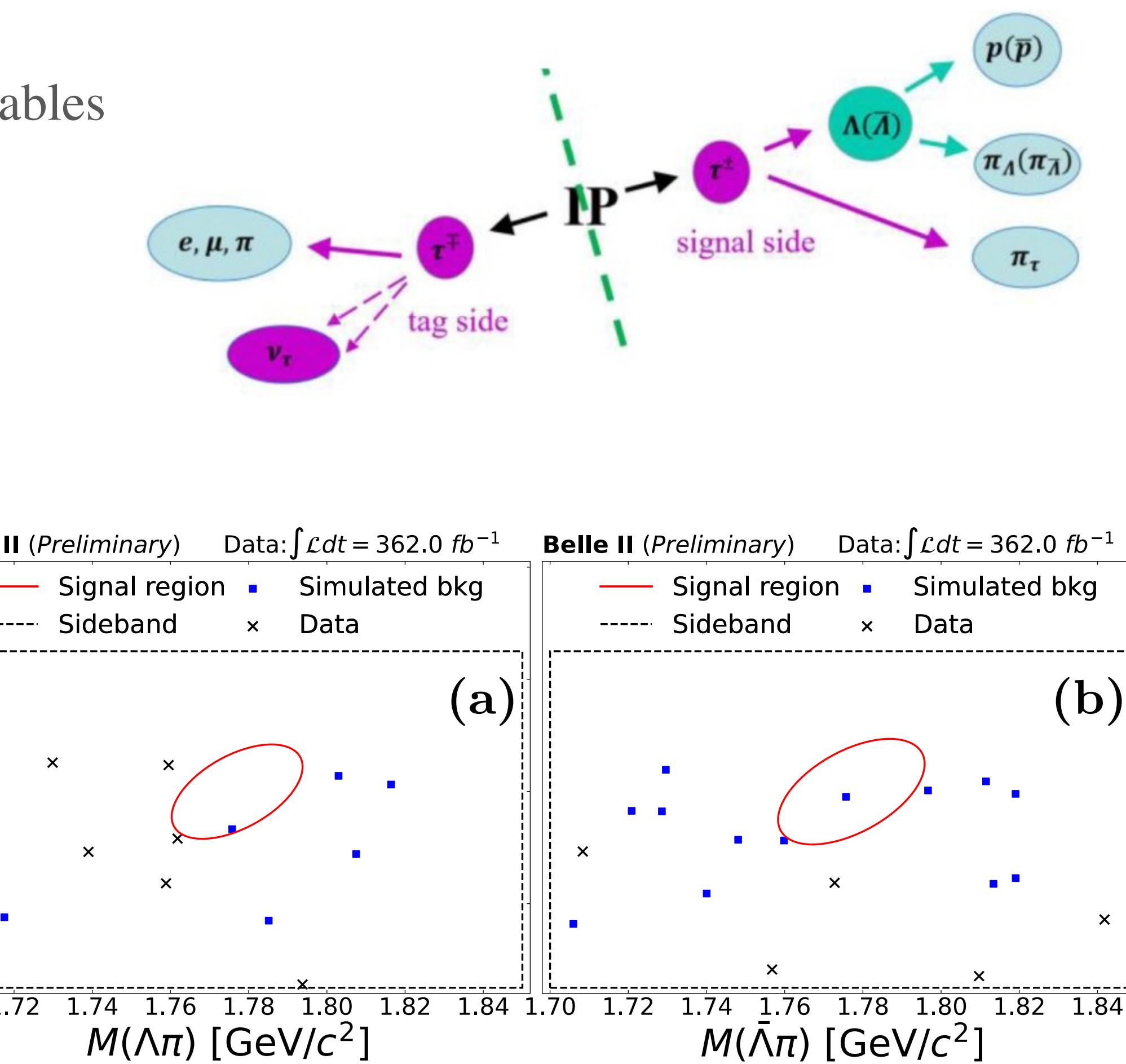
- Set upper limits at 90% C.L. of 0.72×10^{-7} for $\mathcal{B}(\tau^- \rightarrow \Lambda\pi^-)$ and 1.4×10^{-7} for $\mathcal{B}(\tau^- \rightarrow \bar{\Lambda}\pi^-)$

This search @ Belle II on 362 fb^{-1}

- Signal selection using loose pre-selections, followed by GBDT
 - The flight significance of Λ and $\bar{\Lambda}$ candidates is one of the powerful variables



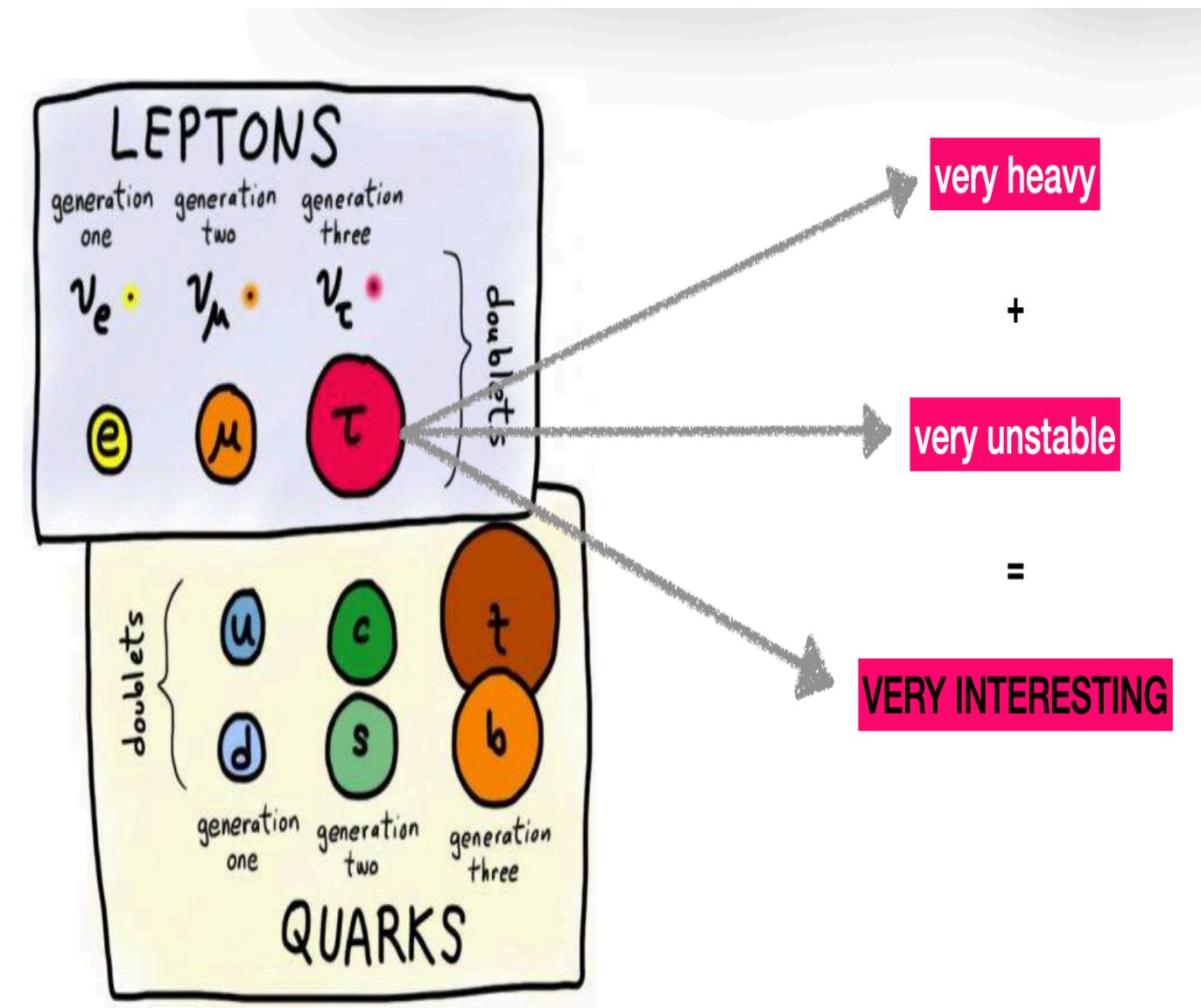
- Signal efficiencies 9.5% (9.8%) for $\tau \rightarrow \Lambda\pi^- (\tau^- \rightarrow \bar{\Lambda}\pi^-)$
- Expected events 1 (0.5) for $\tau \rightarrow \Lambda\pi^- (\tau^- \rightarrow \bar{\Lambda}\pi^-)$
- No observed events
- World leading results on upper limits at 90% C.L. of 4.7×10^{-8} for $\mathcal{B}(\tau^- \rightarrow \Lambda\pi^-)$ and 4.3×10^{-8} for $\mathcal{B}(\tau^- \rightarrow \bar{\Lambda}\pi^-)$**



Outlook

Very exciting times ahead!

- A very interesting era in the τ LFV searches, with expectations of significant improvements in current limits, spanning from a few parts in 10^{-10} to 10^{-9} .
- On horizon @ Belle II
- Polarised beams can further improve the sensitivity
- Similar sensitivities will be probed at ATLAS, CMS & LHCb
- The proposed experiments at STCF and FCC-ee will further explore LFV in the τ sector.
- This goes hand in hand with precision measurements, where the possibility of new physics emerging is also possible.
- The discovery of LFV would mark a new era in particle physics.
- Synergies between different experiments enhance both the potential for new discoveries and the confirmation of existing ones.



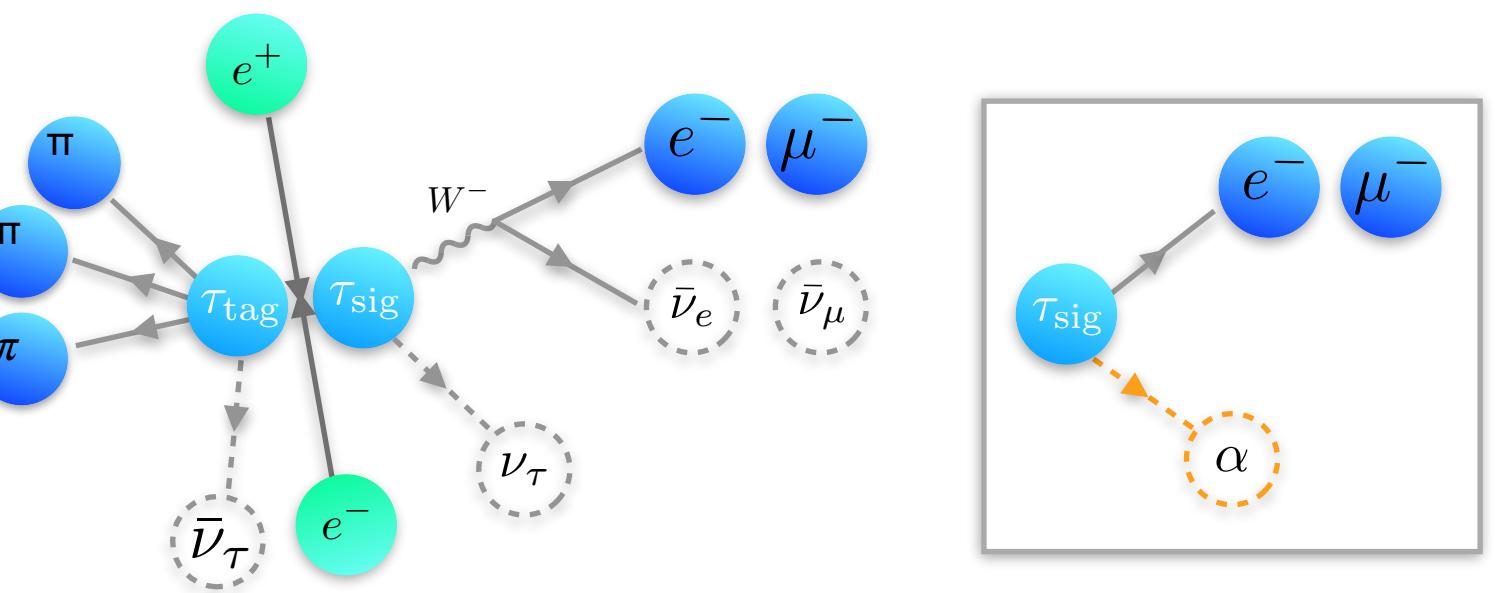
Backup



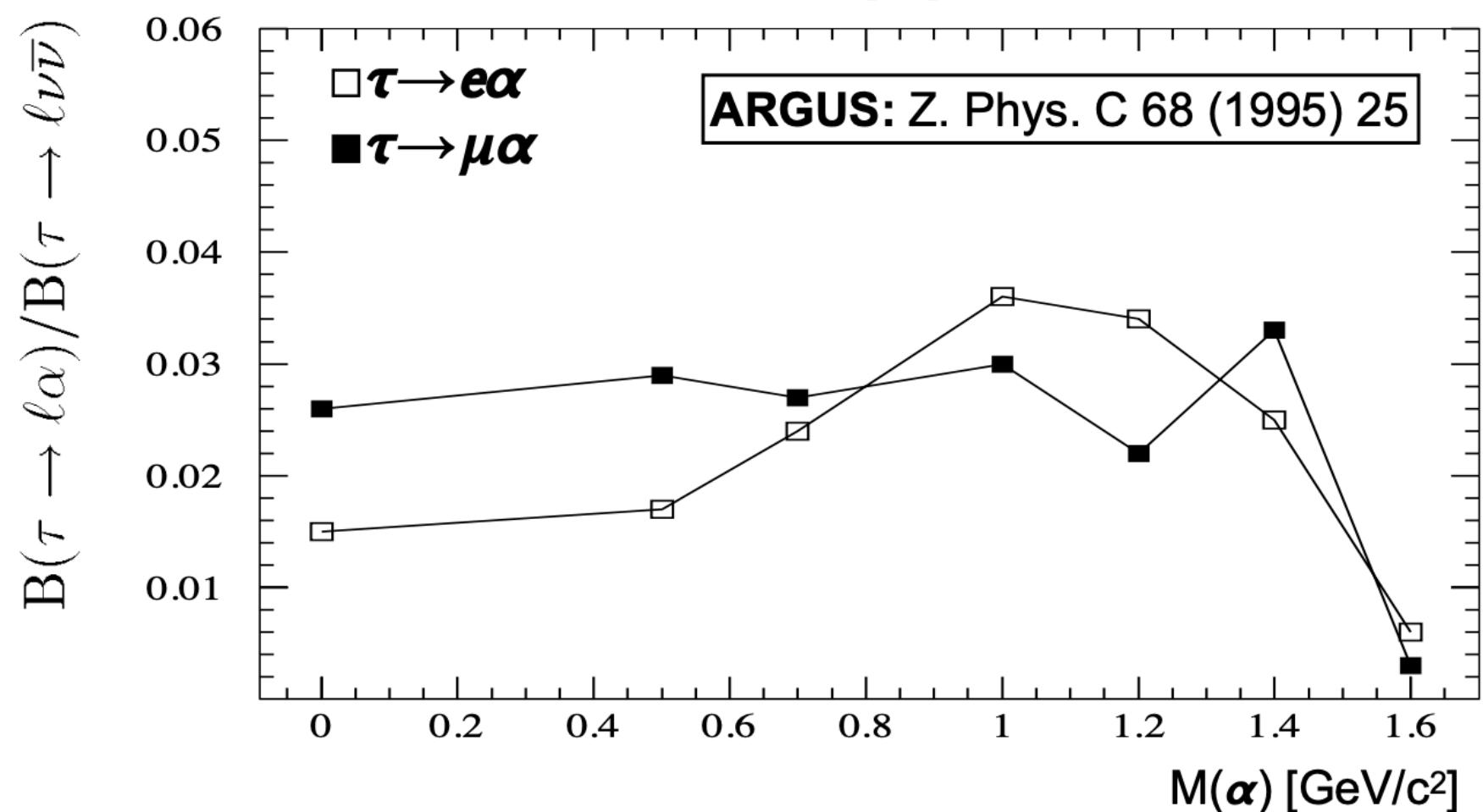
Search for LFV $\tau \rightarrow \ell \alpha$ ($\alpha \rightarrow$ invisible)

Probe the existence of a new boson α

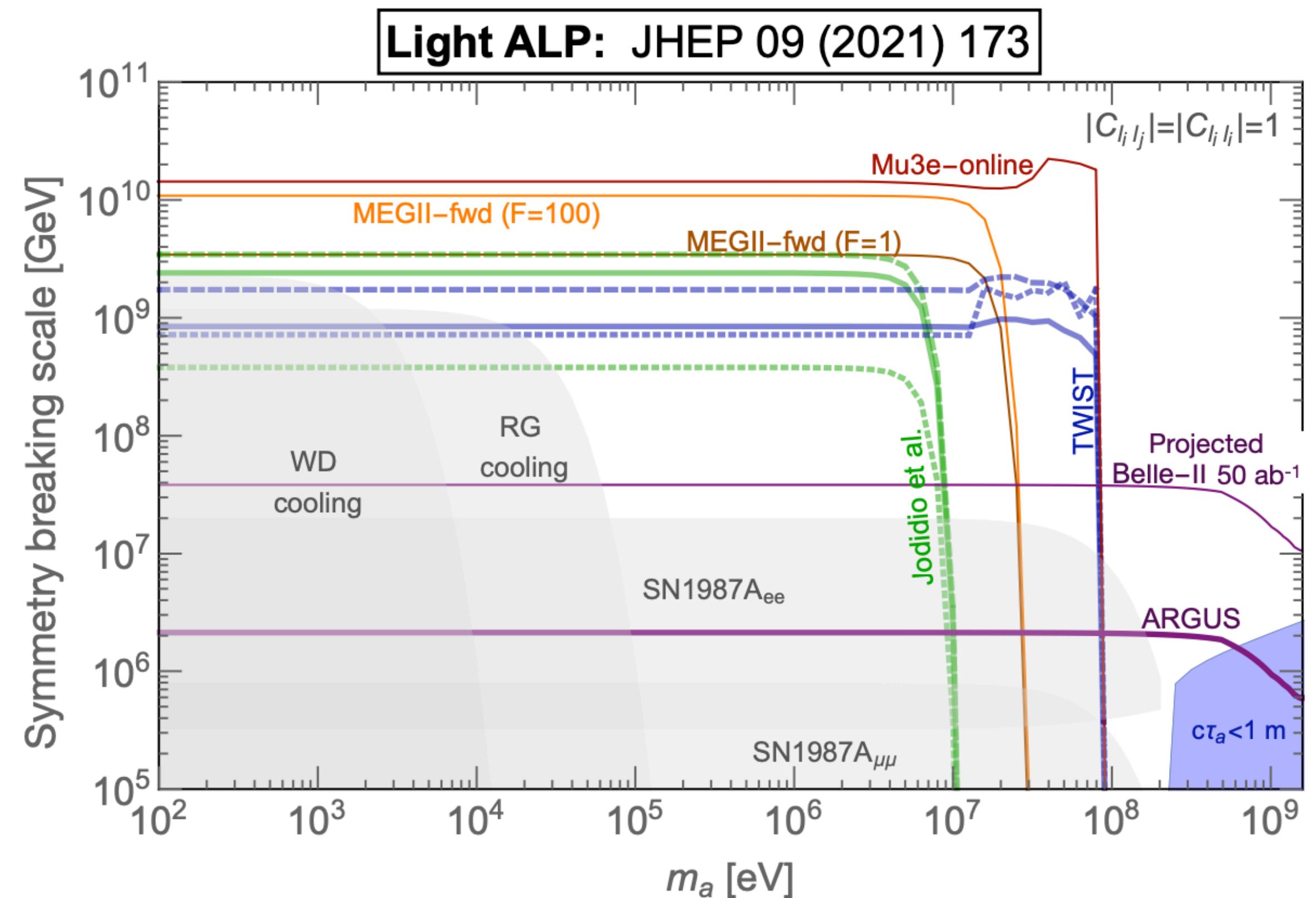
- α is an invisible particle
- e.g., an axion-like particle



- Previous searches from Mark III (9.4 pb⁻¹) and ARGUS (476 pb⁻¹)



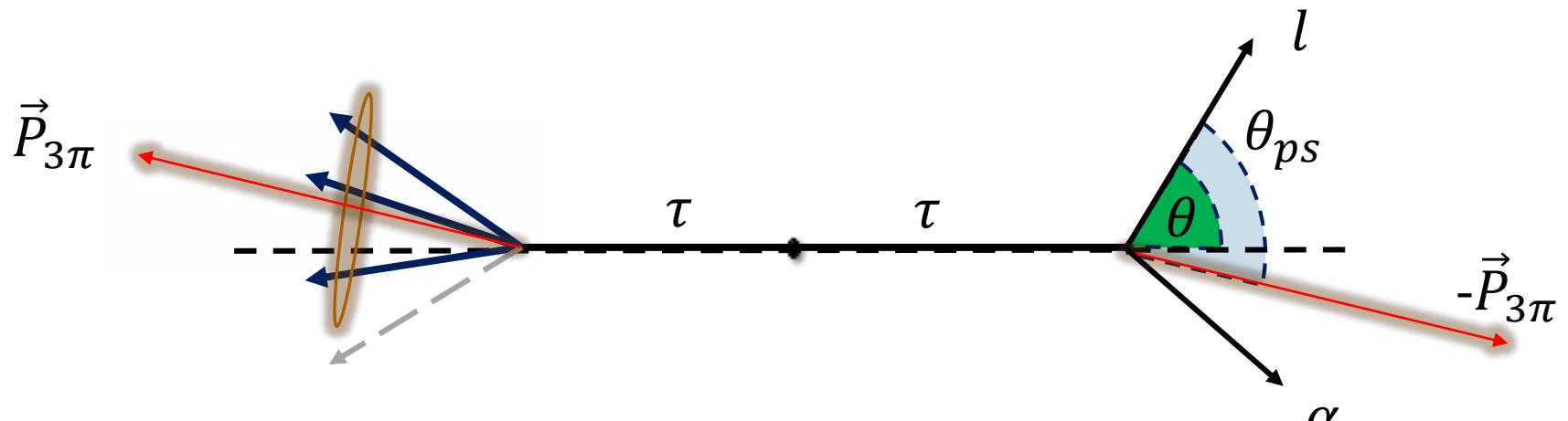
- Interesting mass range from 100 MeV-1.6 GeV
- not covered by other searches



Search for LFV $\tau \rightarrow \ell \alpha$ ($\alpha \rightarrow$ invisible)

Search for a two body decay spectrum

- Signal will manifest itself as a peak in the τ rest frame
- True τ rest frame not accessible due to the missing neutrino



- Approximate using the tag side:

$$\hat{p}_\tau \approx -\hat{p}_{3\pi}$$

$$E_\tau = \sqrt{s}/2$$

- Search for access over the irreducible background: $\tau \rightarrow l\nu\nu$
- Mass range: M_α from 0 to 1.6 GeV
- No access is observed
- Set 95% (90%) C.L. branching fraction limits
- 2 to 14 times more stringent than ARGUS**

