

# New Physics opportunities in rare charm

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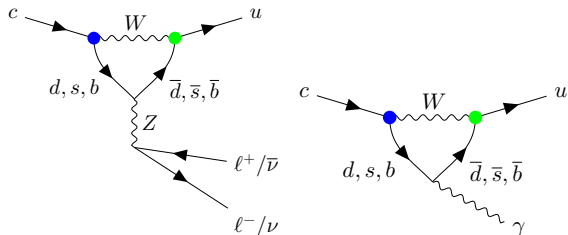


# Rare charm decays

## Why rare charm decays?

- Unique probe of Flavor-Changing-Neutral-Currents for up-quarks in  $c \rightarrow u$
- Complementary to down-type decays ( $s \rightarrow d, b \rightarrow d, b \rightarrow s$ )
- Strong GIM and CKM suppression

New Physics (NP) constraints from  
 $c \rightarrow uv\bar{\nu}, c \rightarrow ul^+\ell^-, c \rightarrow u\gamma$



$$\mathcal{A}(c \rightarrow u) \propto \frac{1}{16\pi^2} V_{cs}^* V_{us} \left( f \left( \frac{m_s^2}{m_W^2} \right) - f \left( \frac{m_d^2}{m_W^2} \right) \right) + \frac{1}{16\pi^2} \underbrace{V_{cb}^* V_{ub}}_{\mathcal{O}(\lambda^5)} \left( f \left( \frac{m_b^2}{m_W^2} \right) - f \left( \frac{m_d^2}{m_W^2} \right) \right)$$

$$V_{cd}^* V_{ud} + V_{cs}^* V_{us} + V_{cb}^* V_{ub} = 0$$

# Flavor-changing neutral currents (FCNCs)

## $c \rightarrow u + \text{invisible}$

- ▶ SM strongly suppressed
- ▶  $\mathcal{B}(D^+ \rightarrow \pi^+ \nu \bar{\nu}) \sim 10^{-16}$   
→ **null test**
- ▶ Few upper limits available

[Belle, arXiv:1611.09455]

$$\mathcal{B}(D^0 \rightarrow \text{invisible}) < 9.4 \cdot 10^{-5}$$

[BESIII, arXiv:2112.14236]

$$\mathcal{B}(D^0 \rightarrow \pi^0 \nu \bar{\nu}) < 2.1 \cdot 10^{-4}$$

[BESIII, arXiv:2208.04496]

$$\mathcal{B}(A_c^+ \rightarrow p \gamma') < 8 \cdot 10^{-5}$$

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$$\mathcal{B}(D^0 \rightarrow \omega \gamma') < 1.1 \cdot 10^{-5}$$

- ▶ **perfect opportunity for Belle II!**

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- ▶ Dominated by resonances whose theoretical prediction is challenging  
**But null tests remain!**

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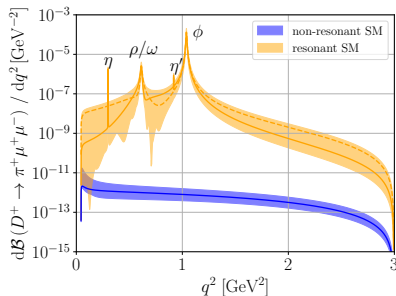
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[Bause, Golz, et al. 2020]



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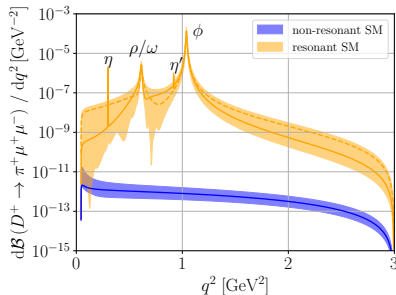
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[Bause, Golz, et al. 2020]



## $(c \rightarrow u \gamma)$

- ▶ BR &  $A_{CP}$  measured for vector mesons

[Belle, arXiv:1603.03257]

$$\begin{aligned} \mathcal{B}(D^0 \rightarrow \rho^0 \gamma) \\ = (1.77 \pm 0.31) \cdot 10^{-5} \end{aligned}$$

- ▶ agreement with SM (large uncertainties)
- ▶ highly sensitive to dipole operators

$$\mathcal{O}_7 \propto (\bar{u}_L \sigma_{\mu\nu} c_R) F^{\mu\nu}$$

- ▶ many left to explore

$c \rightarrow u + \text{invisible}$

## Study $\Lambda_c \rightarrow p + \text{invisible}$

- Differential branching fraction

$$\frac{d\mathcal{B}}{dq^2} = \frac{1}{2m_{\Lambda_c}} \frac{d\mathcal{B}}{dE_{\text{miss}}}, \quad q^2 = (p_{\Lambda_c} - p_p)^2$$

- EFT with  $\nu_L$  &  $\nu_R$

$$\mathcal{H}_{\text{eff}}^{\nu_i \bar{\nu}_j} = -\frac{4G_F}{\sqrt{2}} \sum_k \mathcal{C}_k^{ij} \cdot Q_k^{ij} + \text{h.c.}$$

- additional operators allowed

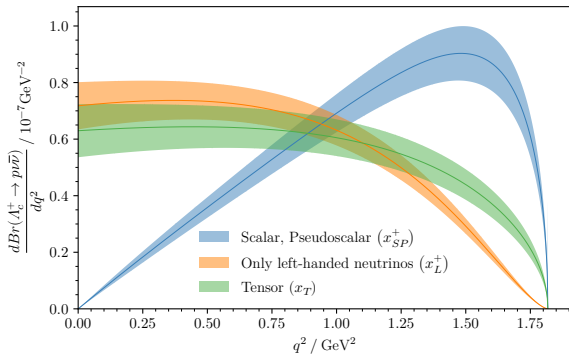
$$\mathcal{C}_{\text{SM}} \approx 0 \quad \mathcal{Q}_{L(R)L}^{ij} = (\bar{u}_{L(R)} \gamma_\mu c_{L(R)}) (\bar{\nu}_{jL} \gamma^\mu \nu_{iL})$$

$$\mathcal{Q}_{L(R)R}^{ij} = (\bar{u}_{L(R)} \gamma_\mu c_{L(R)}) (\bar{\nu}_{jR} \gamma^\mu \nu_{iR})$$

$$\mathcal{Q}_S^{(')ij} = (\bar{u}_{L(R)} c_{R(L)}) (\bar{\nu}_j \nu_i)$$

$$\mathcal{Q}_P^{(')ij} = (\bar{u}_{L(R)} c_{R(L)}) (\bar{\nu}_j \gamma_5 \nu_i)$$

$$\mathcal{Q}_{T(5)}^{ij} = (\bar{u} \sigma_{\mu\nu} c) (\bar{\nu}_j \sigma^{\mu\nu} (\gamma_5) \nu_i)$$



[Hiller, Suelmann In preparation]

- sum over neutrino flavors for observables

$$x_{SP}^\pm = \sum_{ij}^{\text{flavor}} \left| \mathcal{C}_S^{ij} \pm \mathcal{C}_S'^{ij} \right|^2 + \left| \mathcal{C}_P^{ij} \pm \mathcal{C}_P'^{ij} \right|^2$$

- ▶ Multiple constraints for vector/axial-vector available

$$x_{L\pm} = \sum_{ij} |\mathcal{C}_{LL}^{ij} \pm \mathcal{C}_{RL}^{ij}|^2$$
$$x_L = \frac{x_{L+} + x_{L-}}{2}$$

- ▶ Upper limits through  $SU(2)_L$  link

[Bause, Gisbert, et al. 2021]

$$x_L \lesssim 34, \quad \text{Lepton Universal (LU)}$$

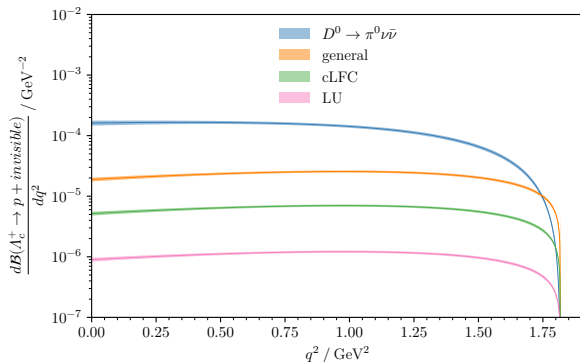
$$x_L \lesssim 196, \quad \text{charged lepton}$$

flavor conservation (cLFC)

$$x_L \lesssim 716, \quad \text{general}$$

- ▶ Direct upper limit from  $D^0 \rightarrow \pi^0 \nu \bar{\nu}$

$$x_{L+} \lesssim 24 \cdot 10^3$$



[Hiller, Suelmann In preparation]

- ▶ Direct limit weaker than  $SU(2)_L$  limits
- ▶ Much potential for the future!
- ▶ Other light NP plausible, like light  $Z' / \gamma'$  or axion-like particles



$$c \rightarrow ul^+ l^-$$

## What can already be measured?

- ▶ rare charm decays  $D^+ \rightarrow \pi^+\mu^+\mu^-$ ,  $\Lambda_c \rightarrow p\mu^+\mu^-$ ,  $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$  in reach of LHCb for  $\mu^+\mu^-$
- ▶  $D^0 \rightarrow \pi^+\pi^-e^+e^-$  close to discovery for  $e^+e^-$ , upper limits set at 90% C.L.  $[2-8] \cdot 10^{-7}$

[Belle, Moriond 2024]

⇒ work out  $c \rightarrow u\mu^+\mu^-$  NP constraints

- ▶ Operators for  $c \rightarrow ul^+l^-$  transition (weak effective field theory)

$$\mathcal{O}_7 = \frac{m_c}{e} (\bar{u}_L \sigma_{\mu\nu} c_R) F^{\mu\nu},$$

$$\mathcal{O}_9 = (\bar{u}_L \gamma_\mu c_L) (\bar{l} \gamma^\mu l),$$

$$\mathcal{O}_{10} = (\bar{u}_L \gamma_\mu c_L) (\bar{l} \gamma^\mu \gamma_5 l), \quad \mathcal{O}'_i = \mathcal{O}_i|_{R(L) \rightarrow L(R)}$$

$$\mathcal{O}_{S(P)} = (\bar{u}_L c_R) (\bar{l} (\gamma_5) l),$$

$$\mathcal{O}_{T(T_5)} = \frac{1}{2} (\bar{u} \sigma_{\mu\nu} c) (\bar{l} \sigma^{\mu\nu} (\gamma_5) l).$$

- ▶ SM Wilson coefficients negligible

$$|\mathcal{C}_9^{\text{eff}}(q^2)| \lesssim 0.01, \quad |\mathcal{C}_7^{\text{eff}}(q^2)| \simeq \mathcal{O}(0.001) \quad [\text{De Boer and Hiller 2018}]$$

- ▶ all others vanish and create **null test** opportunities

$$\mathcal{C}_{10,S,P,T,T_5}^{\text{SM}} = \mathcal{C}'_{7,9,10,S,P}{}^{\text{SM}} = 0 \Rightarrow \mathcal{C}_i \equiv \mathcal{C}_i^{\text{NP}}$$

# Experimental status of $c \rightarrow u\mu^+\mu^-$

	[CMS-PAS-BPH-23-008]	[LHCb, arXiv:2011.00217]	[LHCb, arXiv:2407.11474]	[LHCb, arXiv:1707.08377, arXiv:2111.03327]
	$D^0 \rightarrow \mu^+\mu^-$	$D^+ \rightarrow \pi^+\mu^+\mu^-$	$\Lambda_c^+ \rightarrow p\mu^+\mu^-$	$D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$
upper limits BR	✓	full- $q^2$ , (low- $q^2$ , high- $q^2$ )	low- $q^2$ , high- $q^2$ , combined, full- $q^2$	high- $q^2$
resonant BR	$< 4 \cdot 10^{-11}$	$\mathcal{B}_\phi$ , narrow-width approx. (NWA)	$\frac{\mathcal{B}_{\omega\text{-region}}}{\mathcal{B}_{\phi\text{-region}}}, \frac{\mathcal{B}_{\rho\text{-region}}}{\mathcal{B}_{\phi\text{-region}}}$ , NWA	$\mathcal{B}_{\omega/\rho\text{-region}}, \mathcal{B}_{\phi\text{-region}}$ $\left(\frac{d\Gamma}{dm_{\mu^+\mu^-}}, \frac{d\Gamma}{dm_{\pi^+\pi^-}}\right)$
angular obs.	—	not measured	not measured	CP-sym./CP-asm. $\langle S_{2-9} \rangle, \langle A_{2-9} \rangle$

- ▶ Angular observables include **null tests** sensitive to NP
- ▶ For this we need to fix resonance parameters as best as we can from available measurements

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upper limits BR	<div style="border: 1px solid black; border-radius: 15px; padding: 5px; background-color: #e6e6fa;">                     ✓ Constrain NP model independently in low-<math>q^2</math> &amp; high-<math>q^2</math>  <small>full-<math>q^2</math>, (low-<math>q^2</math>, high-<math>q^2</math>) low-<math>q^2</math> high-<math>q^2</math> combined, full-<math>q^2</math> high-<math>q^2</math></small> </div>			
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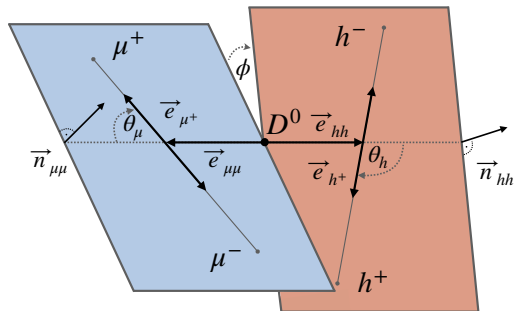
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$$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$$

► 5-differential distribution:

[Cappiello, Cata, and D'Ambrosio 2013, De Boer and Hiller 2018]

$$\frac{d^5 \Gamma}{dq^2 dp^2 d \cos \theta_{P_1} d \cos \theta_\ell d \phi} = \frac{1}{2\pi} \sum_{i=1}^9 c_i(\theta_\ell, \phi) I_i(q^2, p^2, \cos \theta_{P_1})$$



[LHCb, arXiv:2111.03327]

► Integrating  $\cos \theta_{P_1}, p^2$  and different  $q^2$  bins

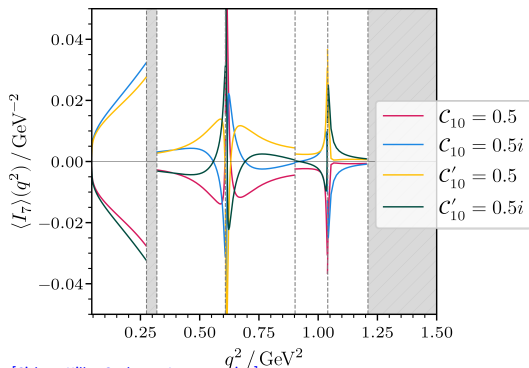
$$\langle I_{2,3,6,9} \rangle_{[q_{\min}^2, q_{\max}^2]} = \frac{1}{\Gamma_{[q_{\min}^2, q_{\max}^2]}} \int dq^2 dp^2 \int_{-1}^1 d \cos \theta_{P_1} I_{2,3,6,9},$$

$$\langle I_{4,5,7,8} \rangle_{[q_{\min}^2, q_{\max}^2]} = \frac{1}{\Gamma_{[q_{\min}^2, q_{\max}^2]}} \int dq^2 dp^2 \left[ \int_0^1 d \cos \theta_{P_1} - \int_{-1}^0 d \cos \theta_{P_1} \right] I_{4,5,7,8},$$

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[Gisbert, Hiller, Suelmann In preparation]

► Integrating  $\cos \theta_{P_1}, p^2$  and different  $q^2$  bins

Null tests:  $\langle I_{5,6,7} \rangle + \text{CP-asymmetries}$

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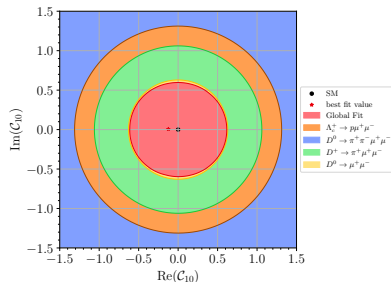
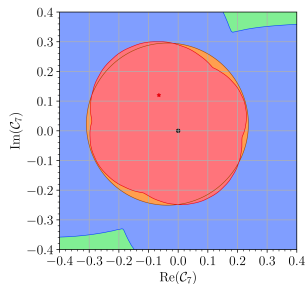
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# Fits of $c \rightarrow u\mu^+\mu^-$ Wilson coefficients

- ▶ Compare upper limits from branching ratios and null tests
- ▶ Upper limits from  $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$  are the weakest
  - ▶ strong phases in charm (main source of uncertainty)
  - ▶ experimental sensitivity not there yet
  - ▶ Theory prediction more challenging than 3-body decays
  - ▶ some problems with SM prediction
- ▶ Best constrains for  $\mathcal{C}_{10}$  from  $D^0 \rightarrow \mu^+\mu^-$
- ▶ Best constrains for  $\mathcal{C}_7$  from low- $q^2$  of  $\Lambda_c^+ \rightarrow p\mu^+\mu^-$

## Future directions

- ▶ Should focus on NP potential in hadronic simpler decays
- ▶  $\Lambda_c \rightarrow p\mu^+\mu^-$  with null test for  $\mathcal{C}_{10}$



[Gisbert, Hiller, Suelmann In preparation]

- ▶ Double differential distribution

depends on:  $f_i(q^2)$ ,  $c_9^{\mathcal{R}}$ ,  $c_i^{\text{NP}}$

$$\frac{d^2\Gamma}{dq^2 d\cos\theta_\ell} = \frac{3}{2} (K_{1ss}(q^2) \sin^2\theta_\ell + K_{1cc}(q^2) \cos^2\theta_\ell + K_{1c}(q^2) \cos\theta_\ell) .$$

- ▶ Long-distance contribution modeled with ansatz

$$c_9^{\mathcal{R}}(q^2) = \frac{\mathbf{a}_\rho e^{i\delta_\rho}}{q^2 - m_\rho^2 + i m_\rho \Gamma_\rho} + \frac{\mathbf{a}_\omega e^{i\delta_\omega}}{q^2 - m_\omega^2 + i m_\omega \Gamma_\omega} + \frac{\mathbf{a}_\phi e^{i\delta_\phi}}{q^2 - m_\phi^2 + i m_\phi \Gamma_\phi} ,$$

- ▶ Take **Lattice QCD form factors** [Meinel 2018] and  $\mathcal{B}(\Lambda_c^+ \rightarrow p \mu^+ \mu^-)$  data [LHCb, Aaij et al. 2024]

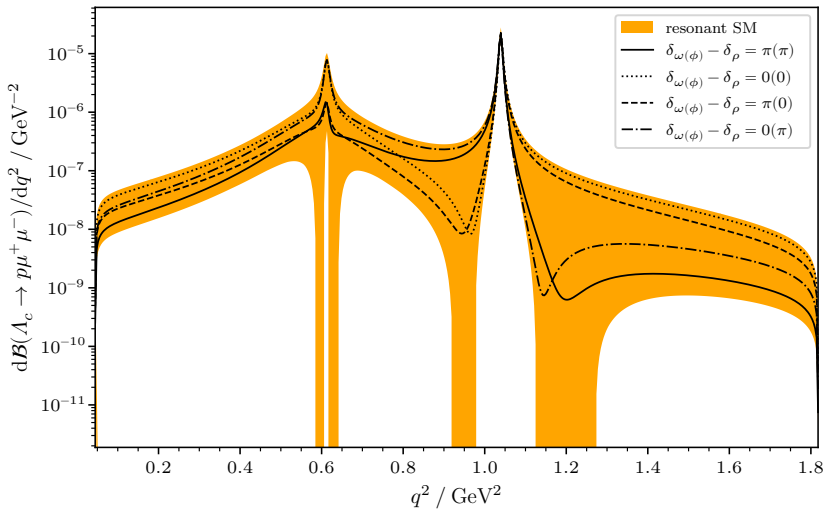
$$\langle p(k, s_p) | \bar{u} \gamma^\mu c | \Lambda_c(p, s_{\Lambda_c}) \rangle = \bar{u}_p(k, s_p) \left[ \mathbf{f}_0(q^2) (m_{\Lambda_c} - m_p) \frac{q^\mu}{q^2} + \dots \right] u_{\Lambda_c}(p, s_{\Lambda_c})$$

- ▶ Fit parameters  $\mathbf{a}_{\mathcal{R}}$ , but **relative phases unconstrained!** measure: high- $q^2$  & between  $m_\rho^2$  and  $m_\phi^2$

$$\mathbf{a}_\phi = 0.108_{-0.008}^{+0.008} , \mathbf{a}_\omega = 0.074_{-0.015}^{+0.012} , \mathbf{a}_\rho = 0.50_{-0.06}^{+0.06} ,$$



$$\Lambda_c^+ \rightarrow p\mu^+\mu^-$$



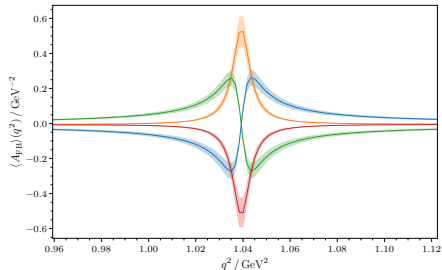
[Gisbert, Hiller, Suelmann In preparation]

# Future improvements with $\Lambda_c^+ \rightarrow p\mu^+\mu^-$ null tests

- ▶ Forward-backward asymmetry of the lepton pair

$$\langle A_{\text{FB}} \rangle(q^2) = \frac{1}{\langle \Gamma \rangle} \left[ \int_0^1 - \int_{-1}^0 \right] \frac{d^2\Gamma}{dq^2 d \cos \theta_l} d \cos \theta_l$$
$$\propto \text{Re} \{ \mathcal{C}_9^{\mathcal{R}} \mathcal{C}_{10}^* \}$$

- ▶ Strongly depends on an overall strong phase of  $\mathcal{C}_9^{\mathcal{R}}$
- ▶ Binning is important around resonances



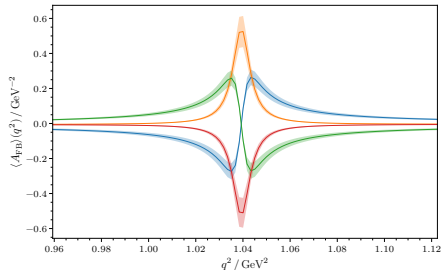
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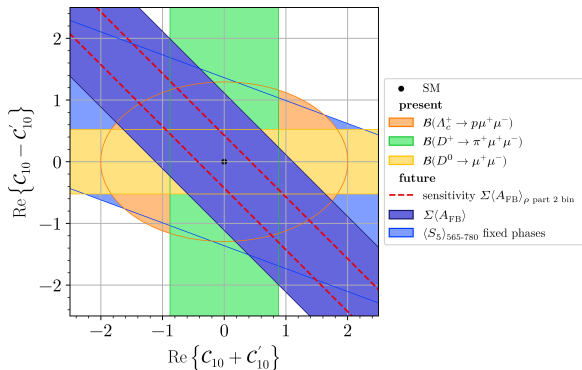
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- ▶ Complementary to limits from BR

[Gisbert, Hiller, Suelmann In preparation]



# Conclusion

## Rare charm decays are essential to test FCNCs in the up-sector

- ▶ Progress in charm is starting, more modes / observables are getting measured
- ▶ few limits for  $c \rightarrow u + \text{invisible}$ , various missing energy scenarios distinguishable by  $d\mathcal{B}/dq^2$
- ▶  $D^0 \rightarrow \pi^+\pi^-e^+e^-$  close to discovery
- ▶ present  $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$  null tests less sensitive than BRs
  - ▶ theory prediction more challenging
  - ▶ interpreting NP limits more difficult due to strong phases
  - ▶ presently a QCD laboratory
- ▶ Low- $q^2$  and high- $q^2$  BR give stronger  $c \rightarrow u\mu^+\mu^-$  bounds, but will reach resonant SM contribution eventually
- ▶ Focus on  $\langle A_{\text{FB}} \rangle$  null test in  $\Lambda_c \rightarrow p\mu^+\mu^-$

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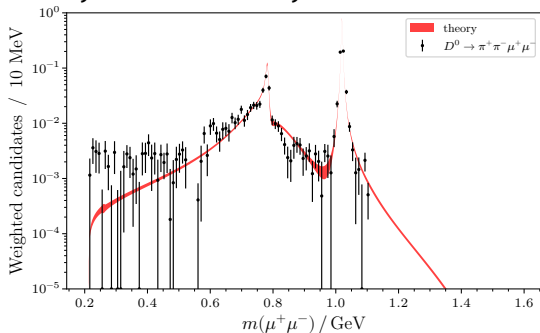
Paper about  $c \rightarrow u\mu^+\mu^-$  will be on arXiv tomorrow! Stay tuned!

# Backup

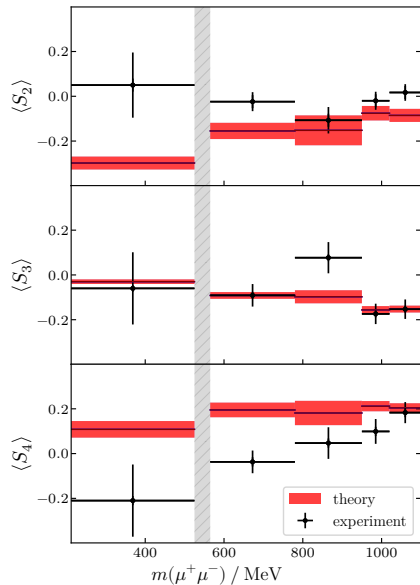
$$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$$

## Some problems with SM fit

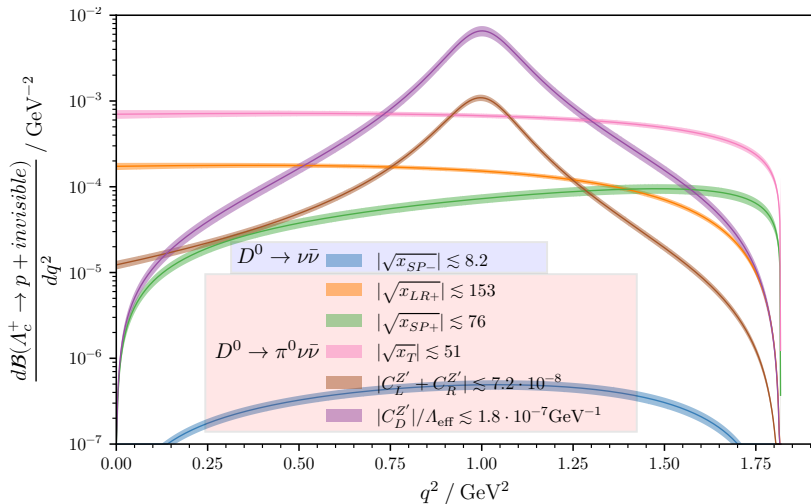
- ▶ discrepancy in  $\langle S_{2,4} \rangle$  data partially in low- $q^2$
- ▶  $\langle \mathcal{B} \rangle$  in first bin disagrees, no direct data on second bin
- ▶ low- $q^2$  for  $d\Gamma/dq^2$  and high- $p^2$  for  $d\Gamma/dp^2$  disagree
- ▶ How will be the situation for  $D^0 \rightarrow \pi^+ \pi^- e^+ e^-$ ?
- ▶ presently a QCD laboratory



[Gisbert, Hiller, Suelmann In preparation]



# Experimental upper limits $\Lambda_c \rightarrow p + \text{invisible}$





# Experimental upper limits $D^0 \rightarrow \pi^0 + invisible$

