



THE UNIVERSITY OF  
MELBOURNE



# Particle identification at *Belle II* with the Electromagnetic Calorimeter (ECL)

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# Outline

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1. Overview of particle identification at *Belle II*.
2. Review of particle interactions with matter → cf. Christian Wessel's lecture.
3. The Electromagnetic Calorimeter (ECL).
  - 3.1 Pulse Shape Discrimination.
4. Overview of ECL clustering algorithm.
5. Neutral particle identification with the ECL.
  - 5.1 Photons
  - ~~5.2  $K_L^0$~~
6. Charged particle identification with the ECL.
  - 6.1 Standard proxy:  $E/p$
  - 6.2 Novel improvements at low momentum → machine learning

# 1. Particle identification at *Belle II*

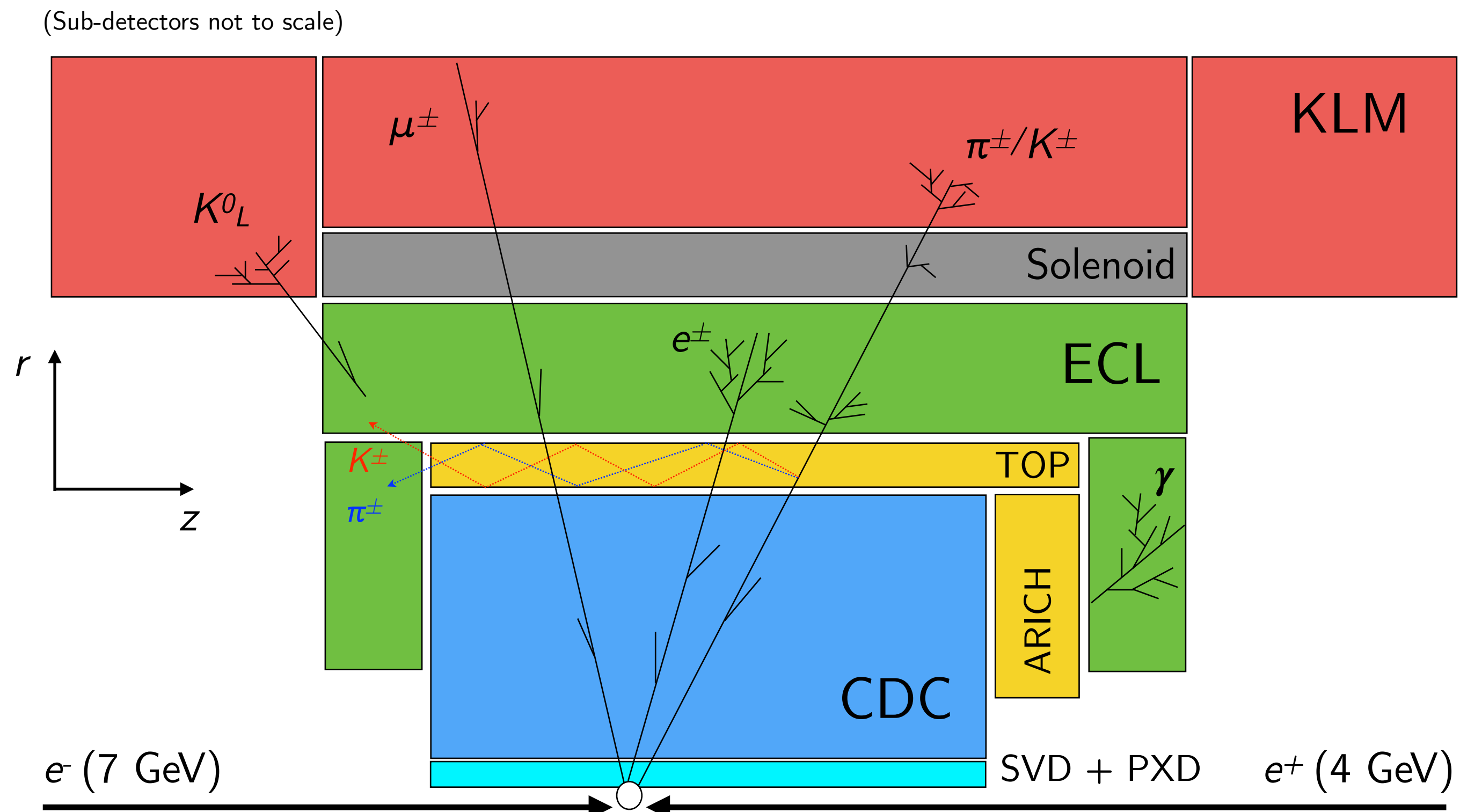
# 1. Particle identification at *Belle II*

- Particle Identification (PID): identify “long lived” particles passing through the detector by means of their interaction with matter.

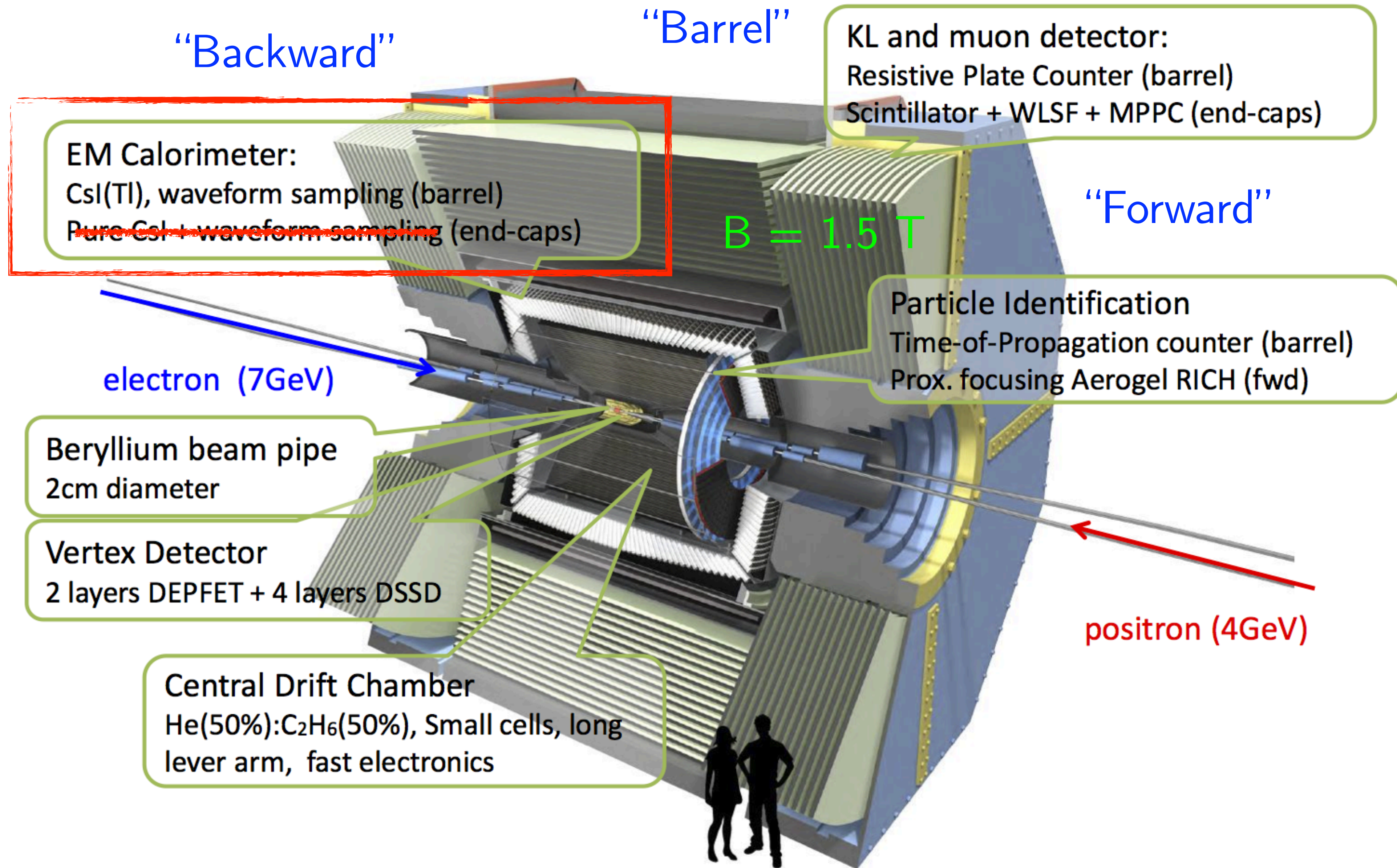
(In *Belle II*) “standard charged”:  $\{e^\pm, \mu^\pm, \pi^\pm, K^\pm, p^\pm, d^\pm\}$ , “standard neutral”:  $\{\gamma, K^0_L\}$

- Often one of the most crucial factors determining sensitivity/precision of a physics measurement.
- PID algorithm works by encoding measurements from different sub-detectors into a likelihood ratio  $\rightarrow$  cf. Umberto Tamponi’s lecture.

This lecture focuses on the PID reach of the *Belle II Electromagnetic Calorimeter (ECL)*.



# The *Belle II* detector



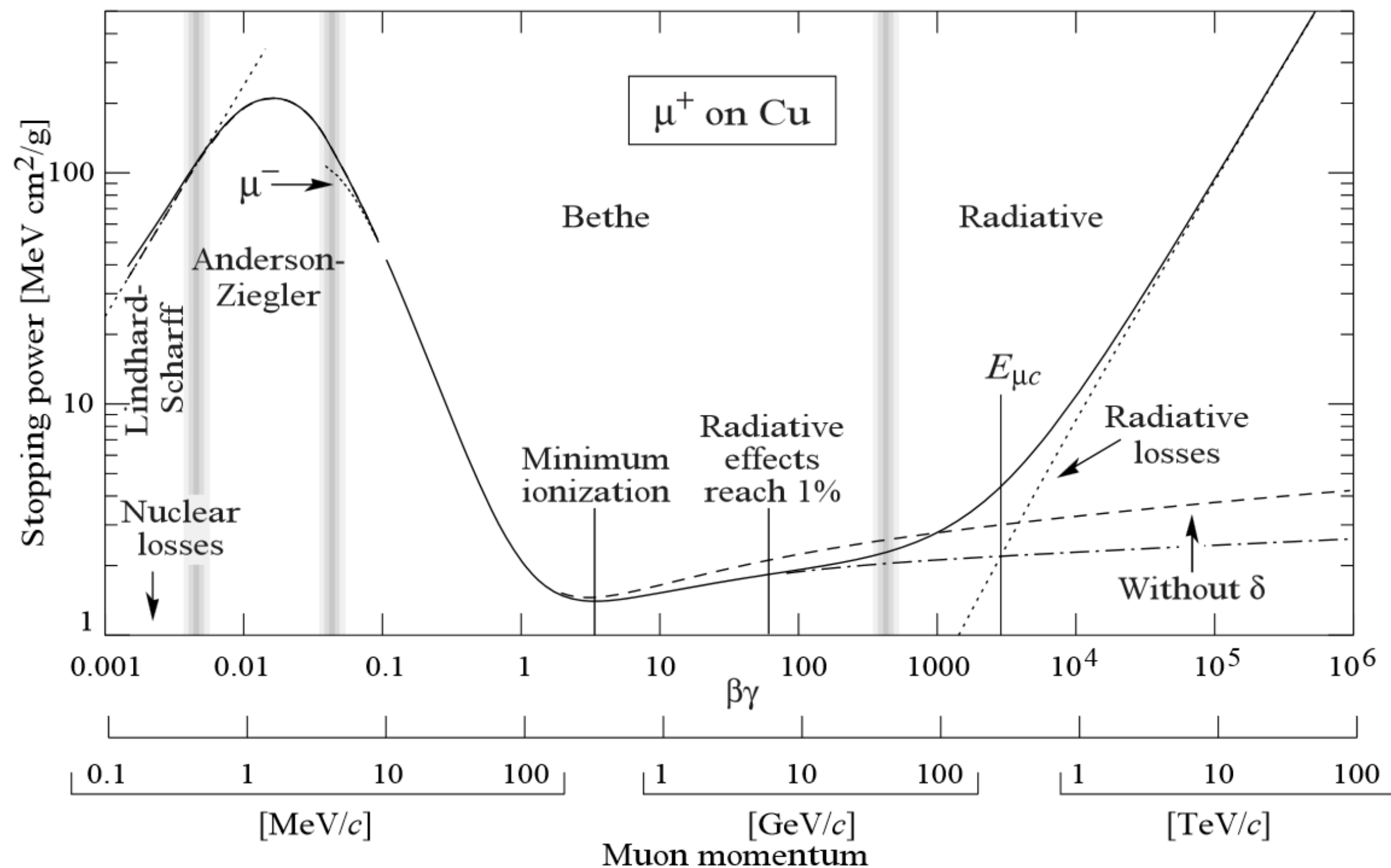
## 2. Particle interactions with matter

## 2. (Very brief) review of particle interactions with matter

### Muons:

- In  $p \sim [10^{-1} - 10^3]$  GeV/c, mostly lose energy by *ionisation*  $\rightarrow$  *minimum ionising (M.I.P)* up to several GeV,  $dE/dx \sim O(2 \cdot \rho \text{ MeV/cm})$ .

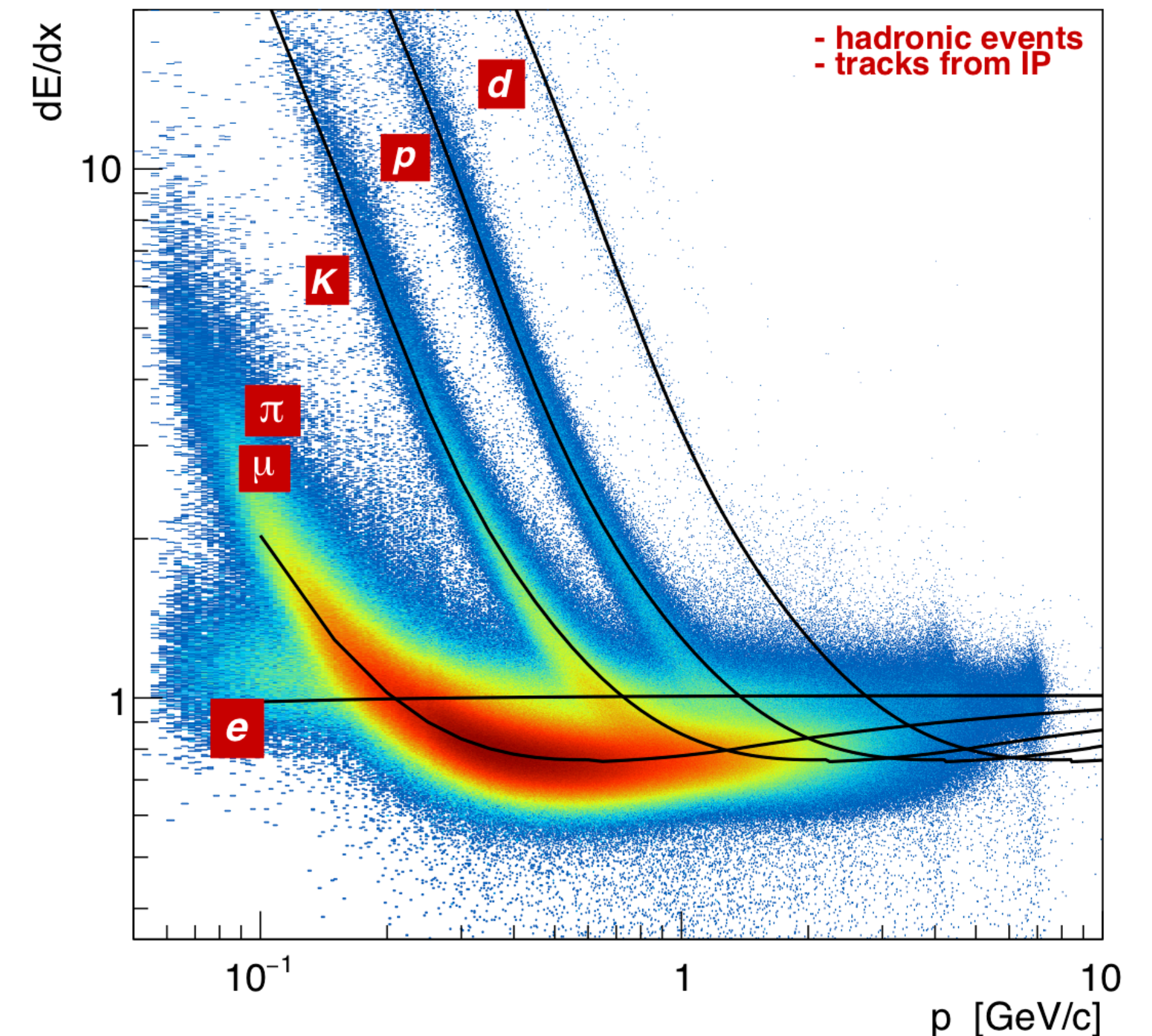
- As a result, muons unlikely to be stopped by detector material, will escape through.
- $m_\mu = 105 \text{ MeV}/c^2 \approx m_\pi = 139 \text{ MeV}/c^2$  implies measuring  $dE/dx$  has low power to discriminate the two species.



Bethe-Bloch (approx.) formula of average  $E$  loss per unit distance:

$$\frac{dE}{dx} \approx \rho (2 \text{ MeV cm}^2 / \text{g}) \frac{Z^2}{\beta^2} \quad \left( p = m\beta\gamma c, \quad \gamma = \frac{1}{\sqrt{1-\beta^2}} \right)$$

CDC- $dE/dx$  distribution and predictions



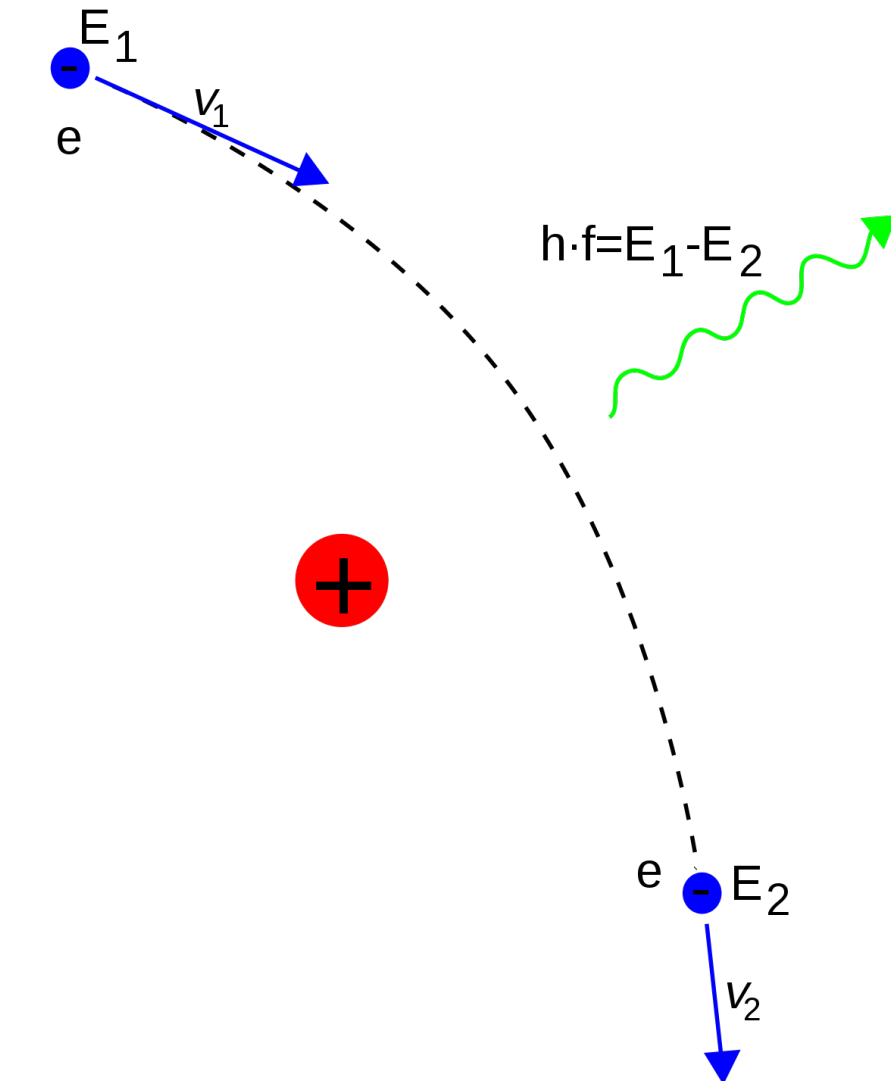
## 2. (Very brief) review of particle interactions with matter

### Electrons:

- almost always  $\beta \approx 1$ ,  $dE/dx \sim O(2 \text{ MeV/cm})$  in light absorbers  $\rightarrow$  not stopped by VXD and CDC (unless tracks curl in  $B$  field:  $p_T < 200 \text{ MeV/c}$ ).

- For  $E \sim [100-1000] \text{ MeV}$   $\rightarrow$  loss dominated by *bremstrahlung*:

$$\frac{dE}{dx} = -\frac{E}{X_0} \quad (X_0: \text{radiation length} \rightarrow \text{property of material})$$



(NB: for muons, brems loss suppressed by a factor  $(m_e/m_\mu)^2$  up to  $E \approx O(\text{TeV})$ .)

Photons in same range lose  $E$  by analogous mechanism: *pair production* ( $\gamma \rightarrow ee$ )

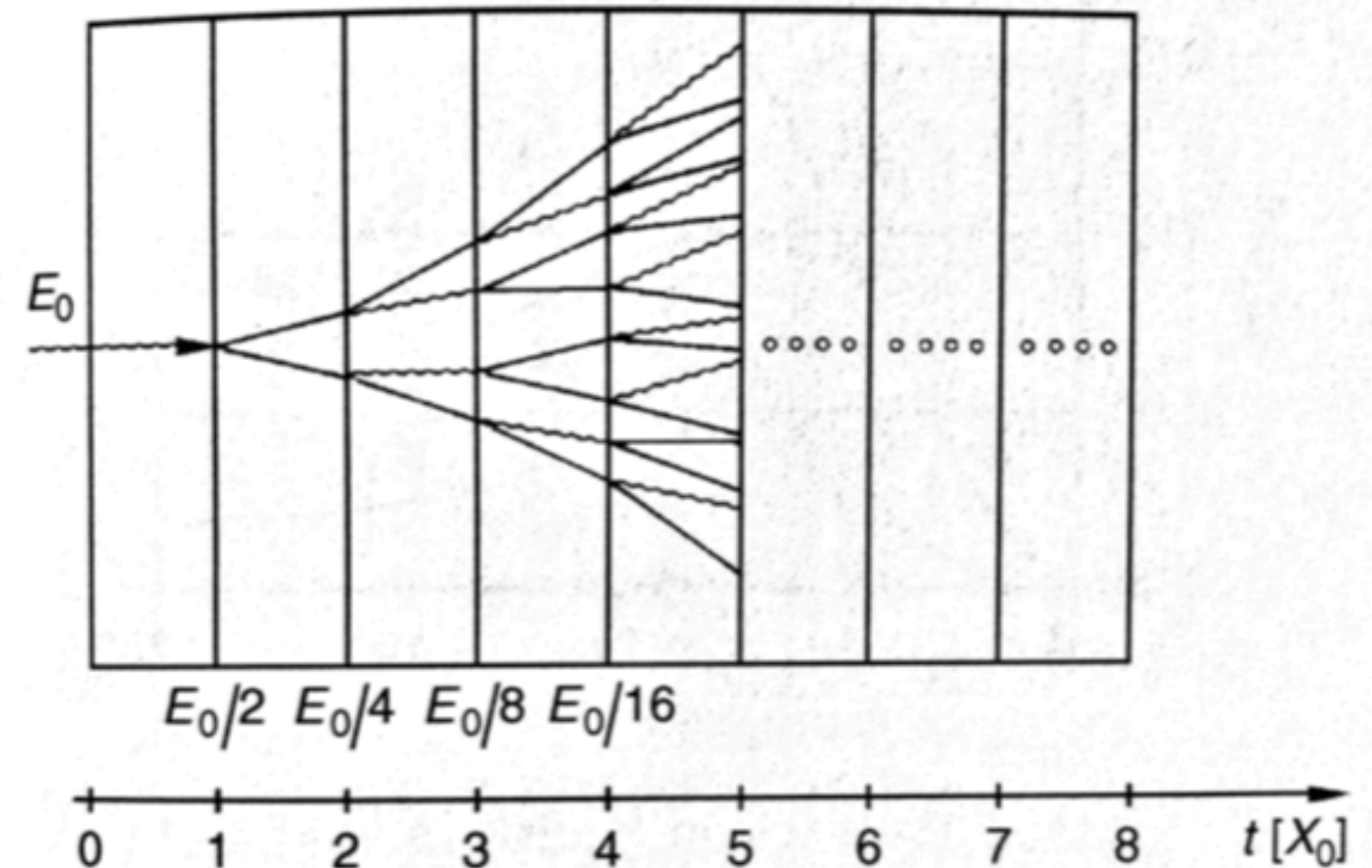
- EM shower progresses until *critical energy* reached:  $E_c$ : brems = ionisation

- Max *longitudinal* shower depth  $t_{max}$  depends only on  $\ln(E_0)$ .  $t_{max} \propto \ln\left(\frac{E_0}{E_c}\right)$

- 95% of *lateral* width  $< 2R_M$ , independently of  $E_0$ .

$$R_M = \frac{21 \text{ MeV}}{E_c} X_0 [\text{g/cm}^2]$$

Moliere radius





## 2. (Very brief) review of particle interactions with matter

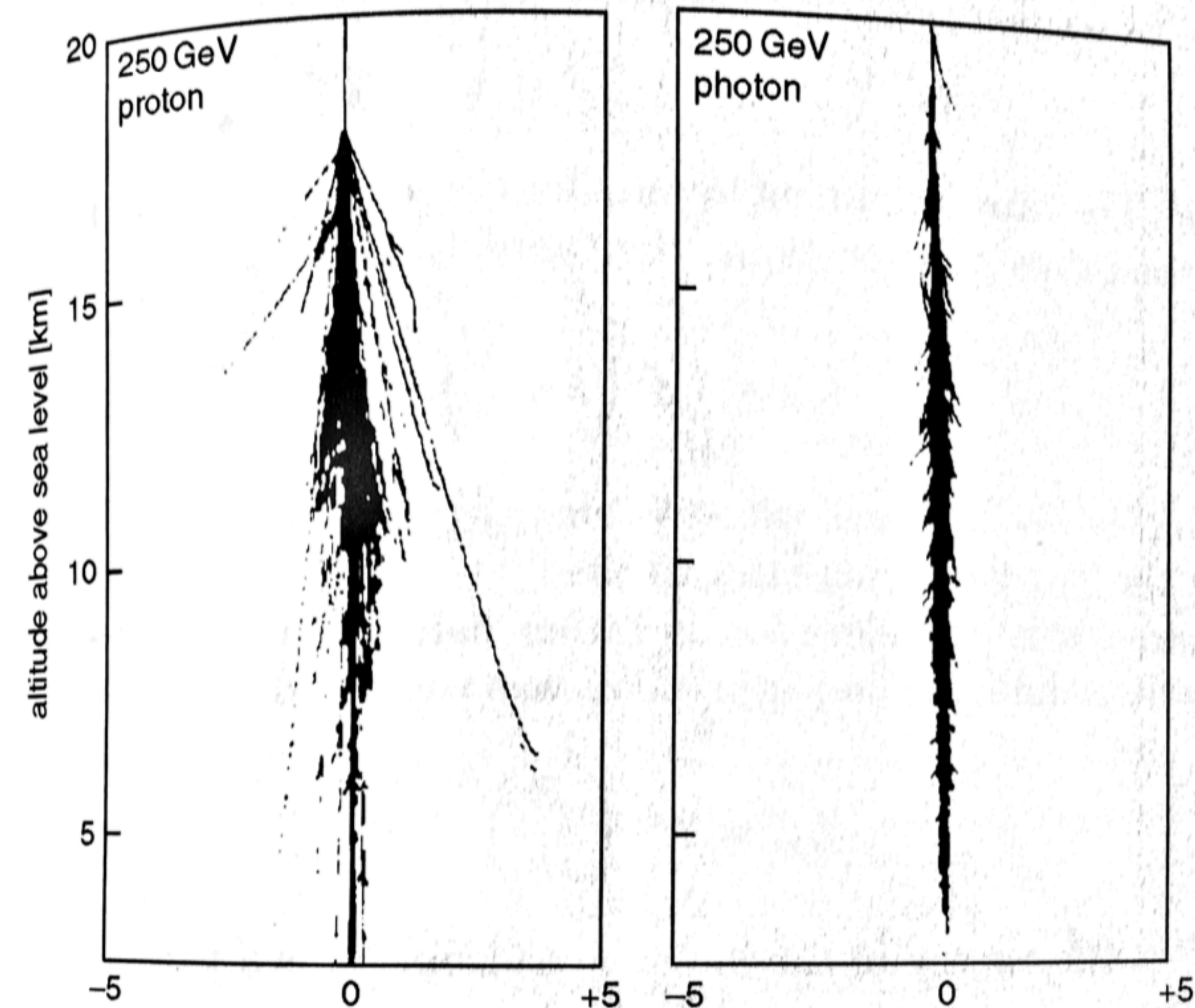
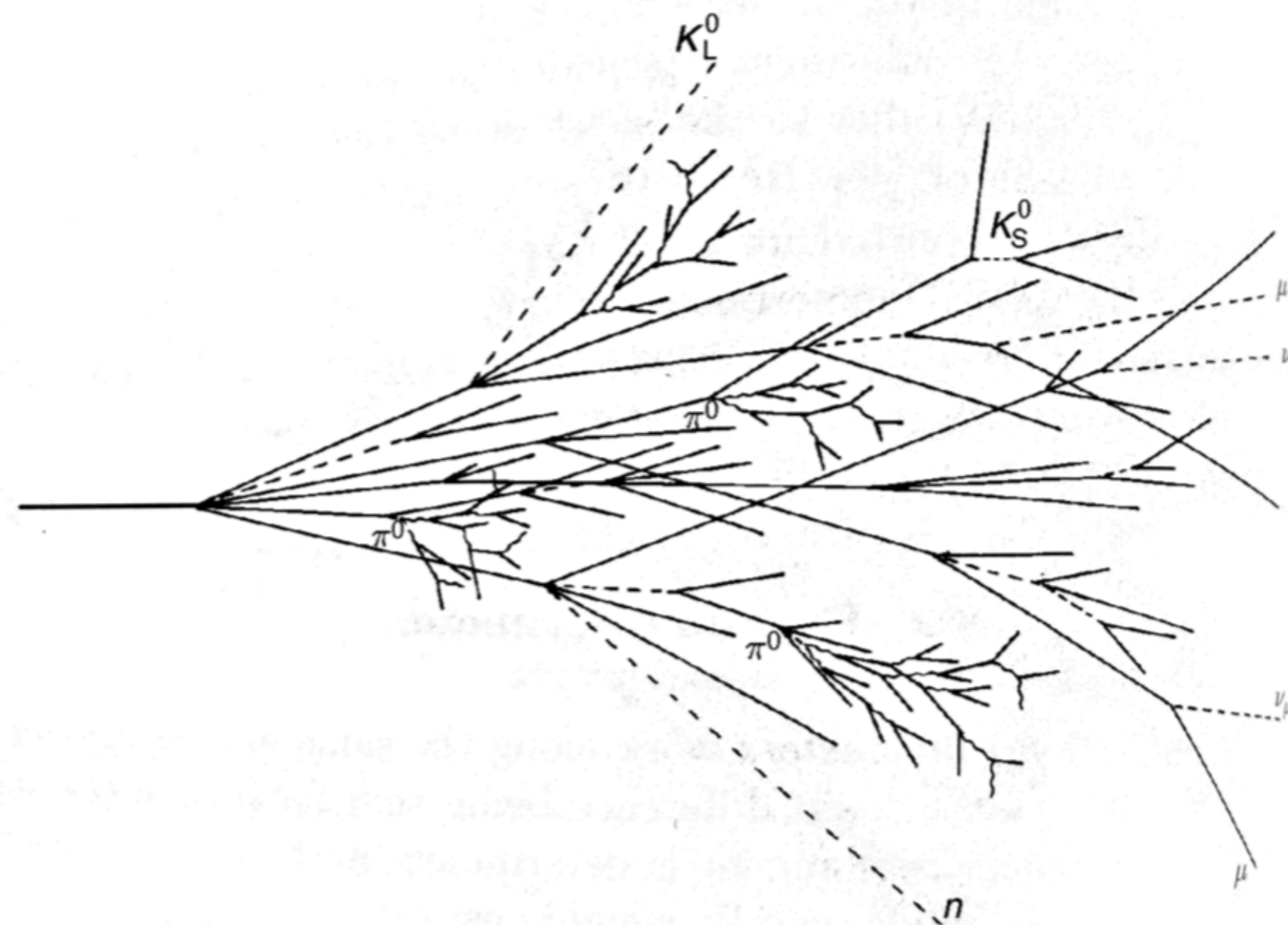
Hadrons ( $\pi$ ,  $K$ ,  $p$ ):

- As other charged massive particles, in  $E \sim [10^{-1}-10^3]$  GeV, show *M.I.P* behaviour.
- Strong interactions with material atoms also lead to *inelastic scattering loss*.

$$\lambda_I = \frac{1}{N\sigma} \approx \frac{A^{1/3}}{\rho} 35 \text{ g/cm}^2$$

( $\lambda_I$ : interaction length  $\rightarrow$  hadronic mean free path)

- For  $Z \geq 6$ ,  $\lambda_I \gg X_0 \rightarrow$  hadrons are likely to punch-through the EM calorimeter.
- Inelastic interactions lead to fuzzier shapes of hadronic showers vs. EM showers.
- Modelling of simulated hadronic interactions w/ detector material not a trivial task: cross-section energy dependence, different particle type responses...



### 3. The Electromagnetic Calorimeter

# 3. The *Belle II* Electromagnetic Calorimeter (ECL)

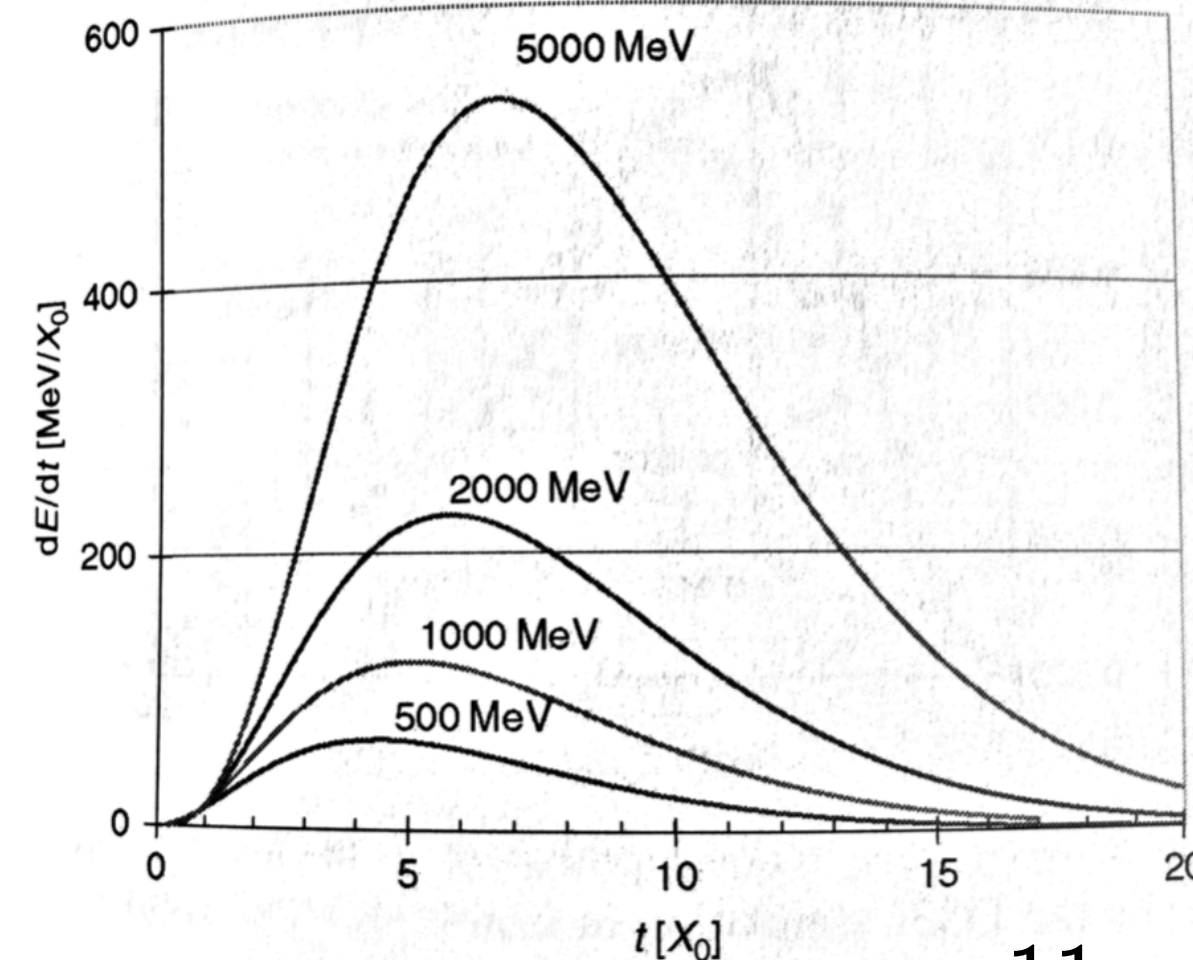
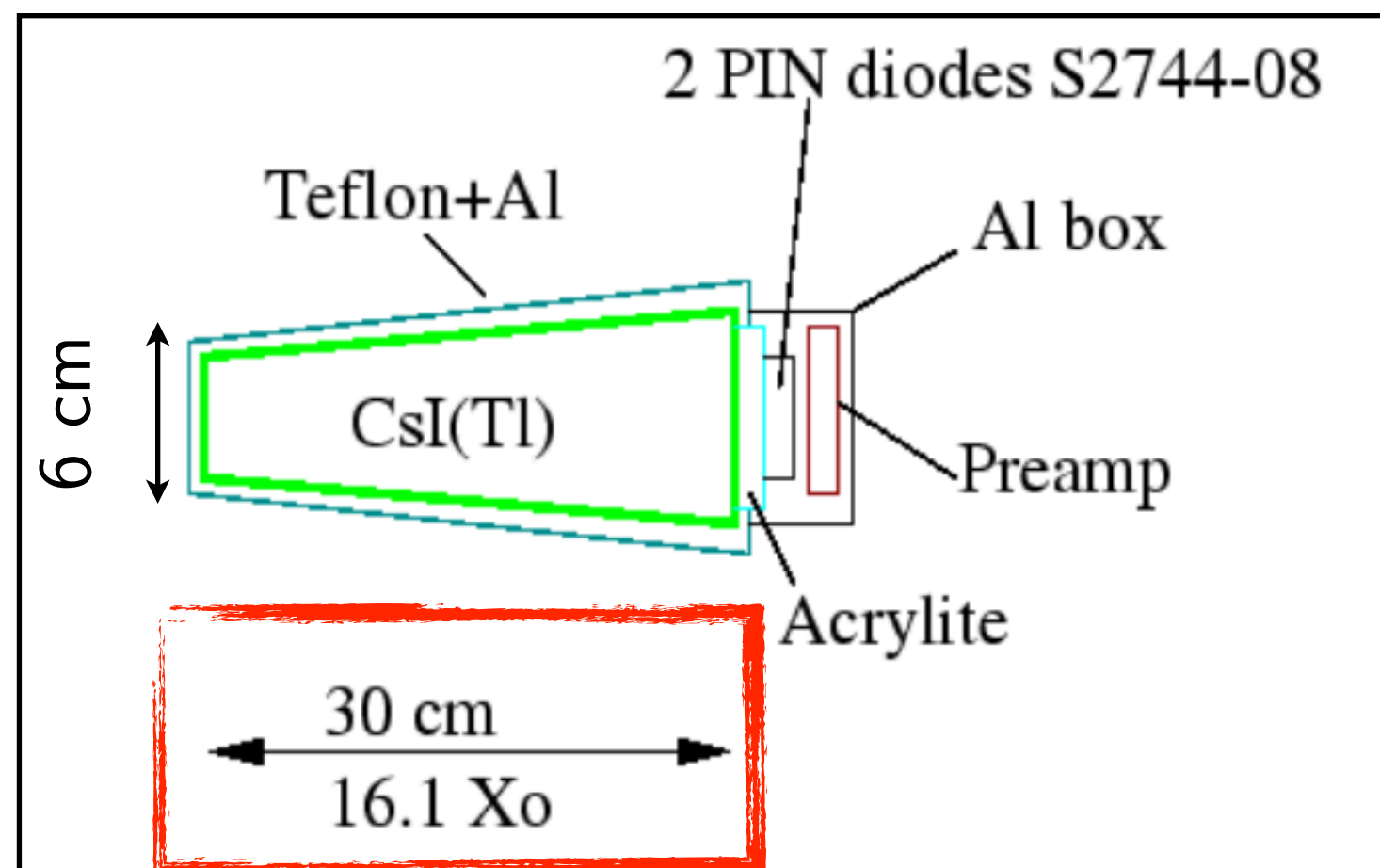
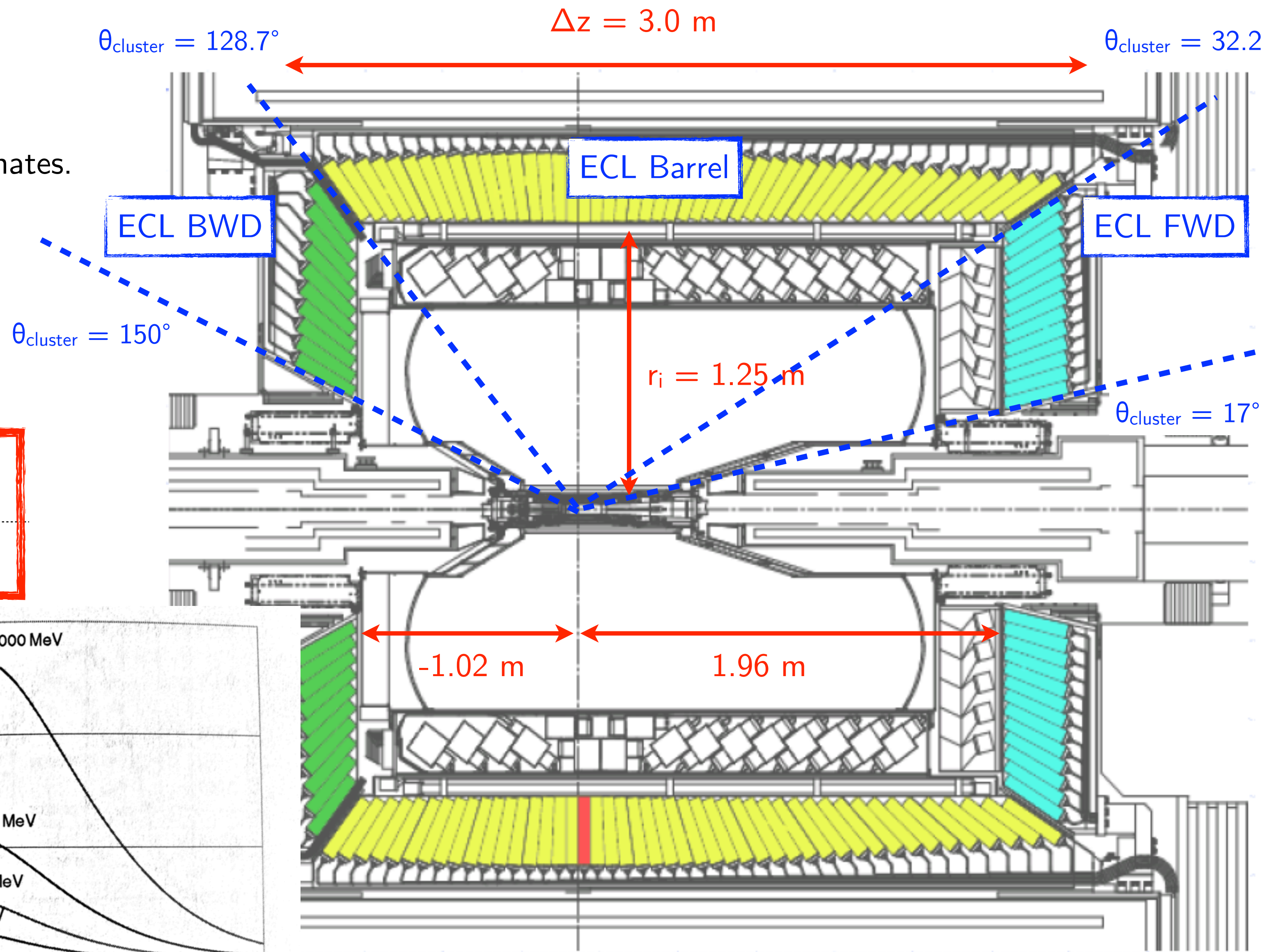
Main tasks of the ECL:

- Photon ( $\gamma$ ) detection with high efficiency.
- Precise determination of  $\gamma$  energy and angular coordinates.
- Electron/hadron separation.

Made up of 8736 laterally segmented CsI(Tl) crystals.

Designed to longitudinally contain ~any EM cascade.

$X_0$ [cm]	$\lambda_l$ [cm]	$R_M$ [cm]
1.86	44.12	1.86

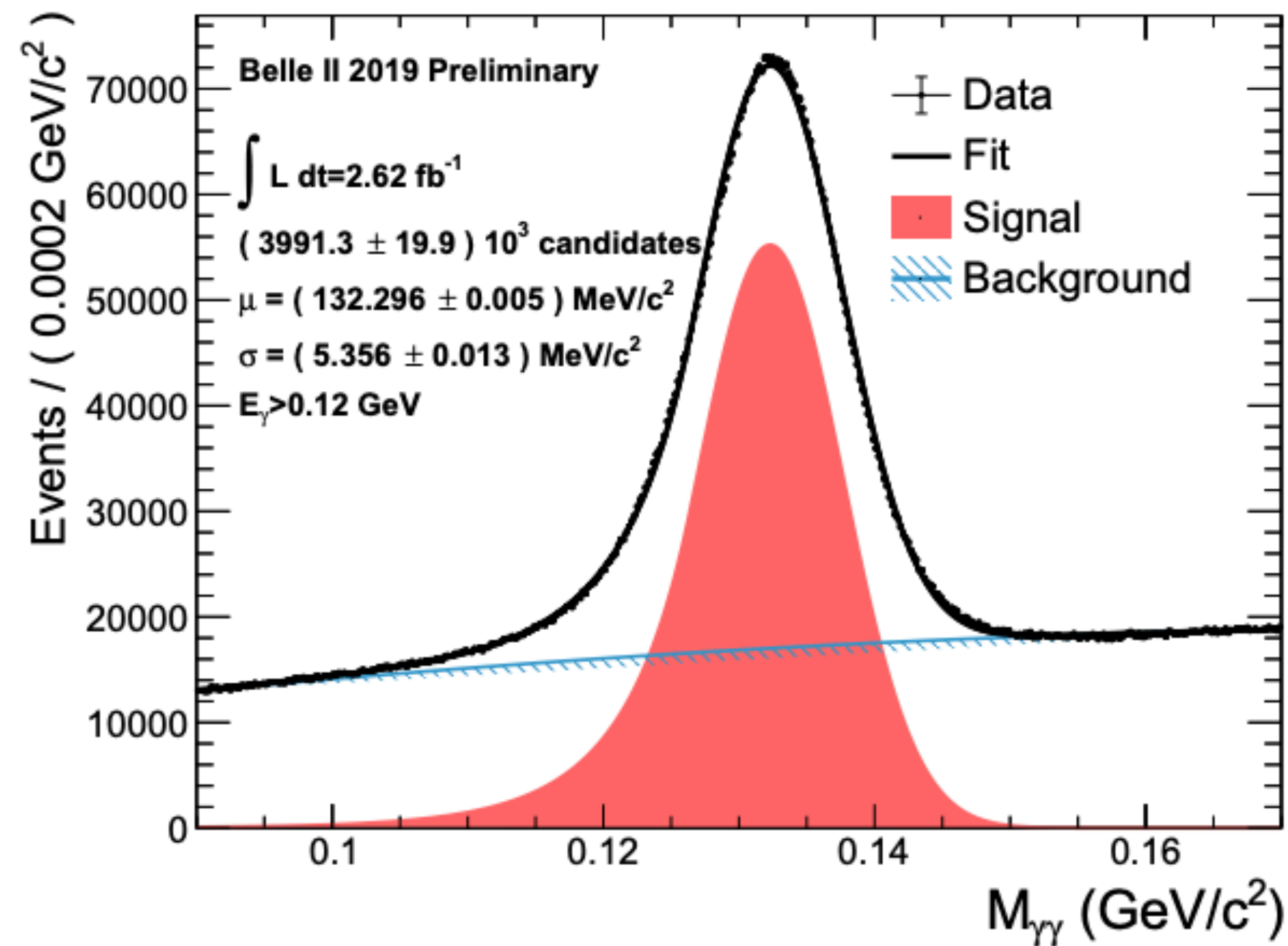
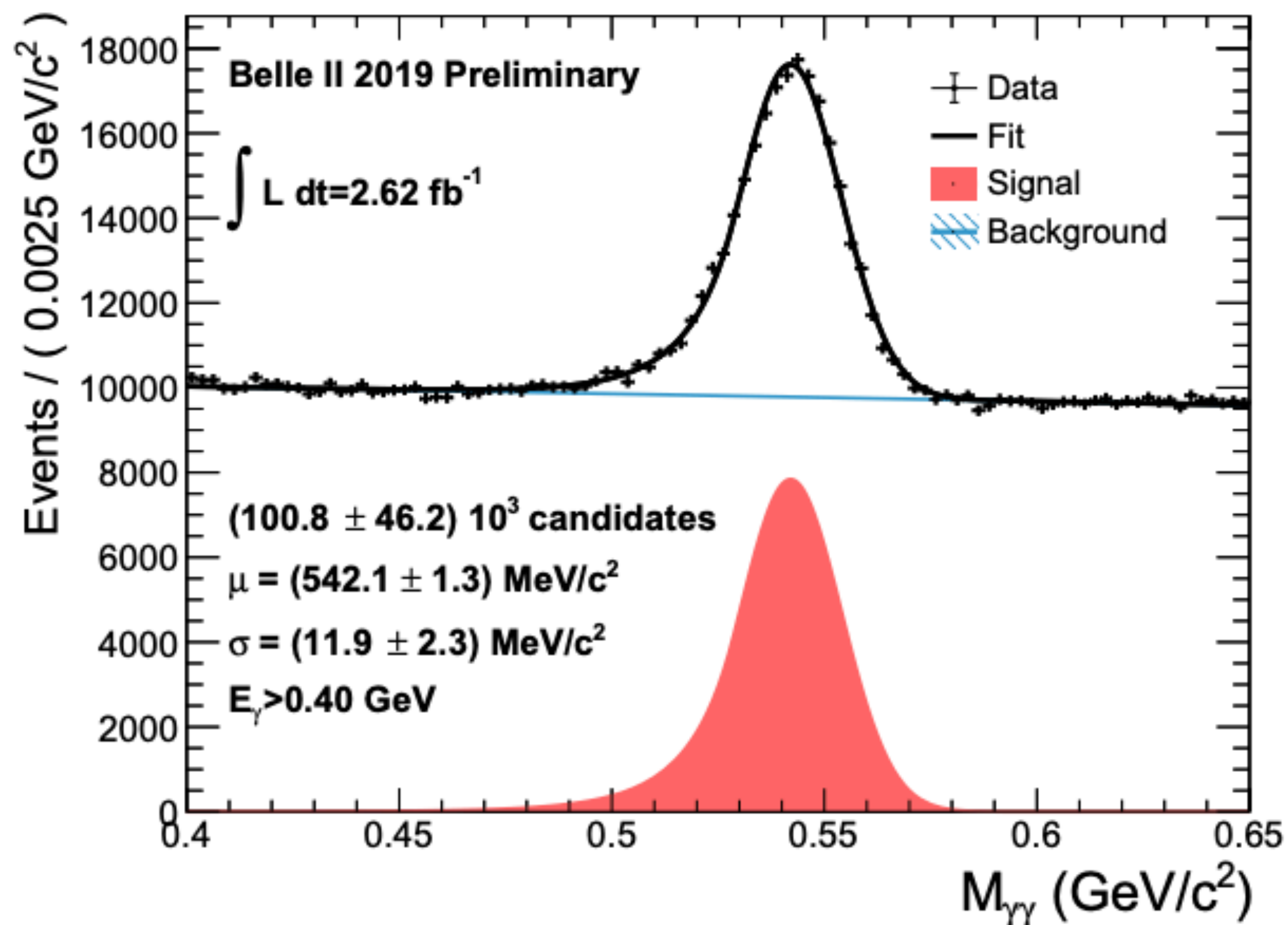


### 3. The *Belle II* Electromagnetic Calorimeter (ECL)

We can clearly observe resonances decaying to photons in the early *Belle II* data, with good mass resolution.

$\eta \rightarrow \gamma\gamma$

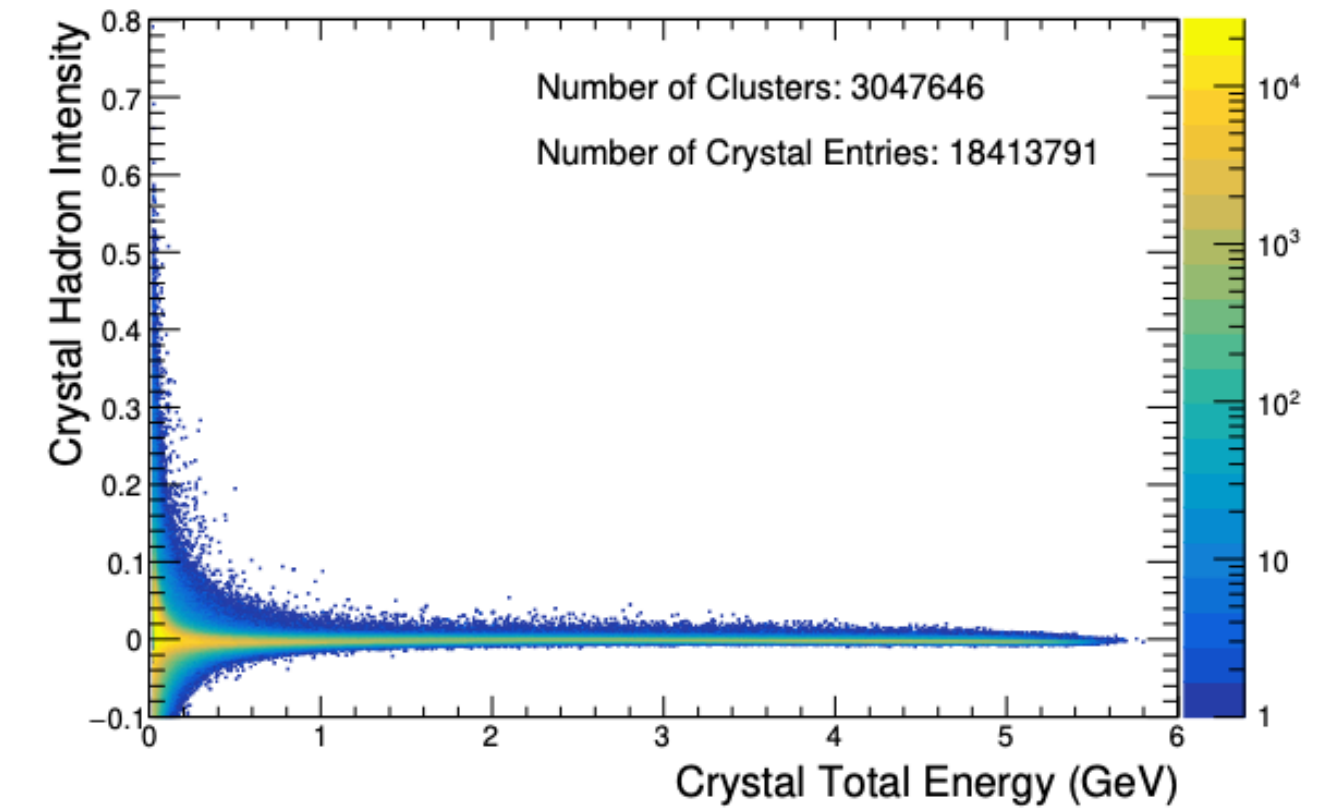
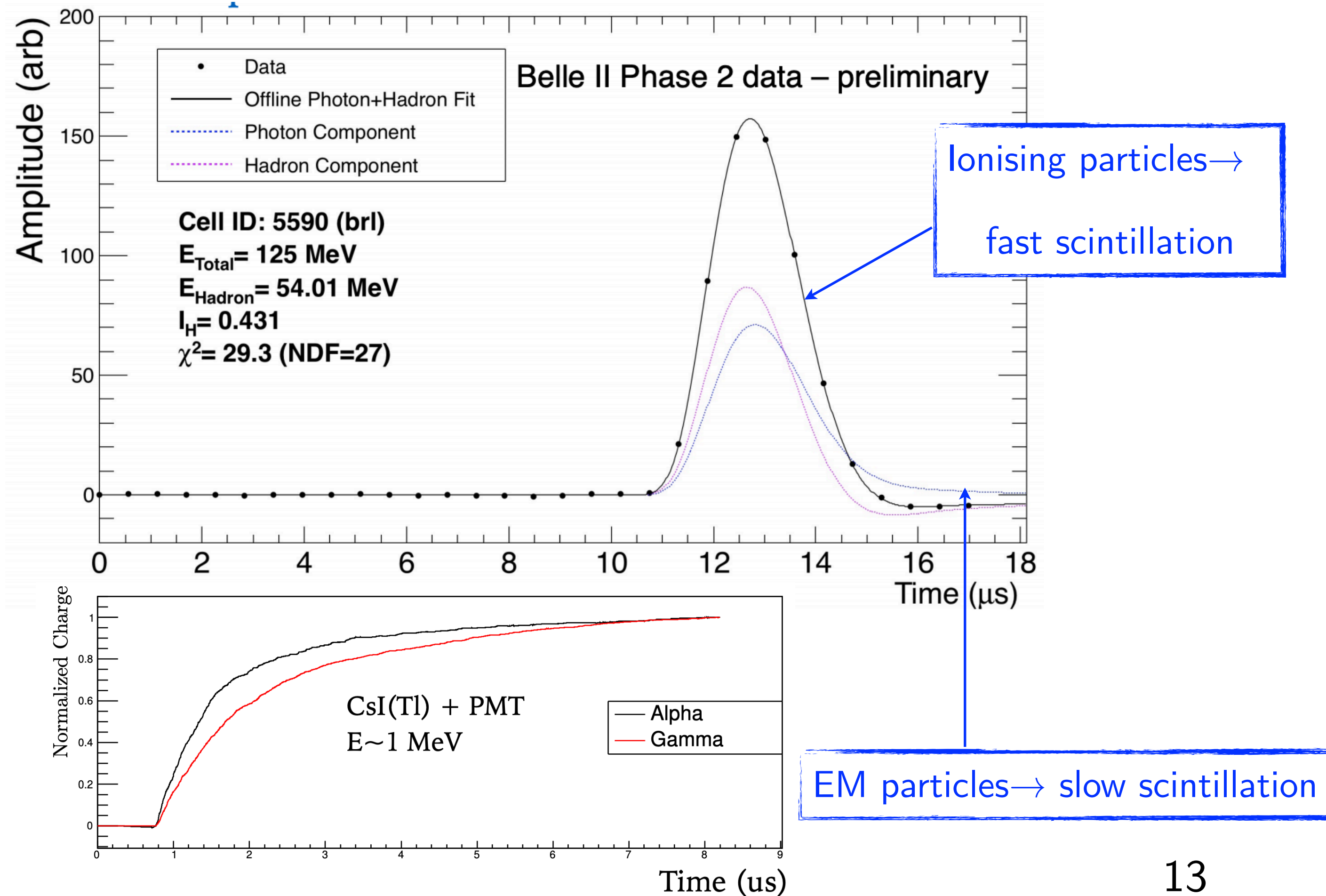
$\pi^0 \rightarrow \gamma\gamma$



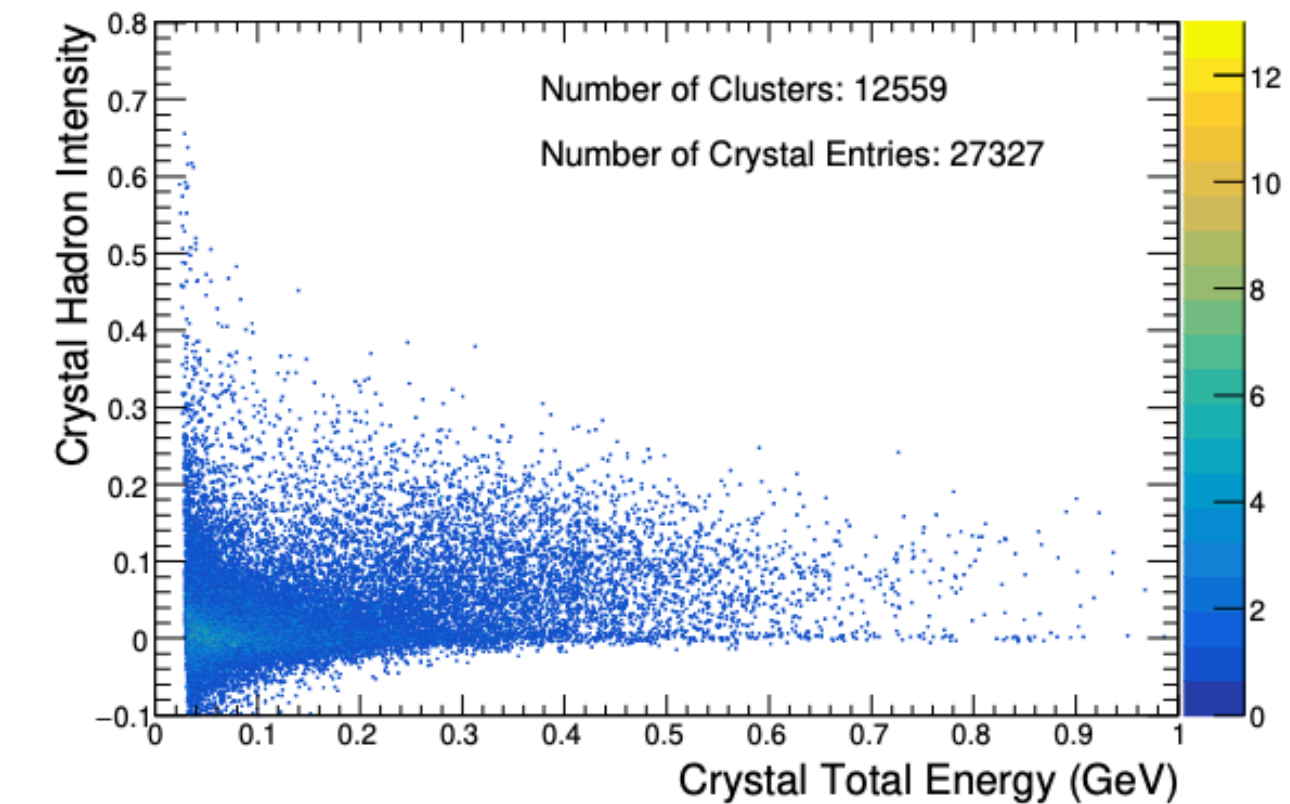
# 3.1 Pulse Shape Discrimination (PSD)

Upgraded ECL readout electronics in *Belle II* (waveform sampling) allows offline analysis of the shape of the CsI(Tl) crystal signal waveform  $\rightarrow$  *pulse shape discrimination*.

► Exploit different hadronic ( $\pi, K, p$ ) vs. E.M. ( $\gamma, e$ ) scintillation response as a powerful handle for particle identification.



(a)  $e^-$  Data



(a)  $K^-$  Data

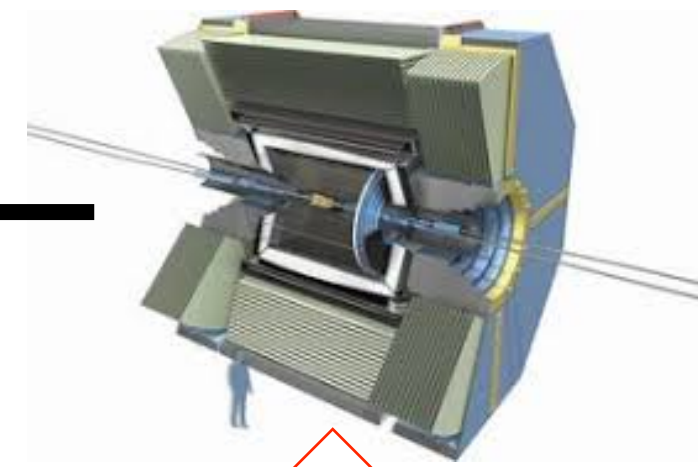
### 3. Overview of ECL clustering algorithm

# 4. Overview of ECL clustering (very simplistic)

Exhaustive overview of the ECL clustering algorithm:

M. De Nuccio T. Ferber, June 2019 B2SK.

Real/GEANT4



**ECL(Cal)Digit**  
(32 bit string w/ waveform amplitude  
(calibrated  $\rightarrow E$ ) & time info)

**ECLConnectedRegion**  
(contains all info not directly  
correlated with other info in the event.)

**ECLShower**  
(up to 5x5 cells,  
excluding corners)

**ECLCluster**  
(mdst object,  $E > 20$  MeV)

Find local maxima

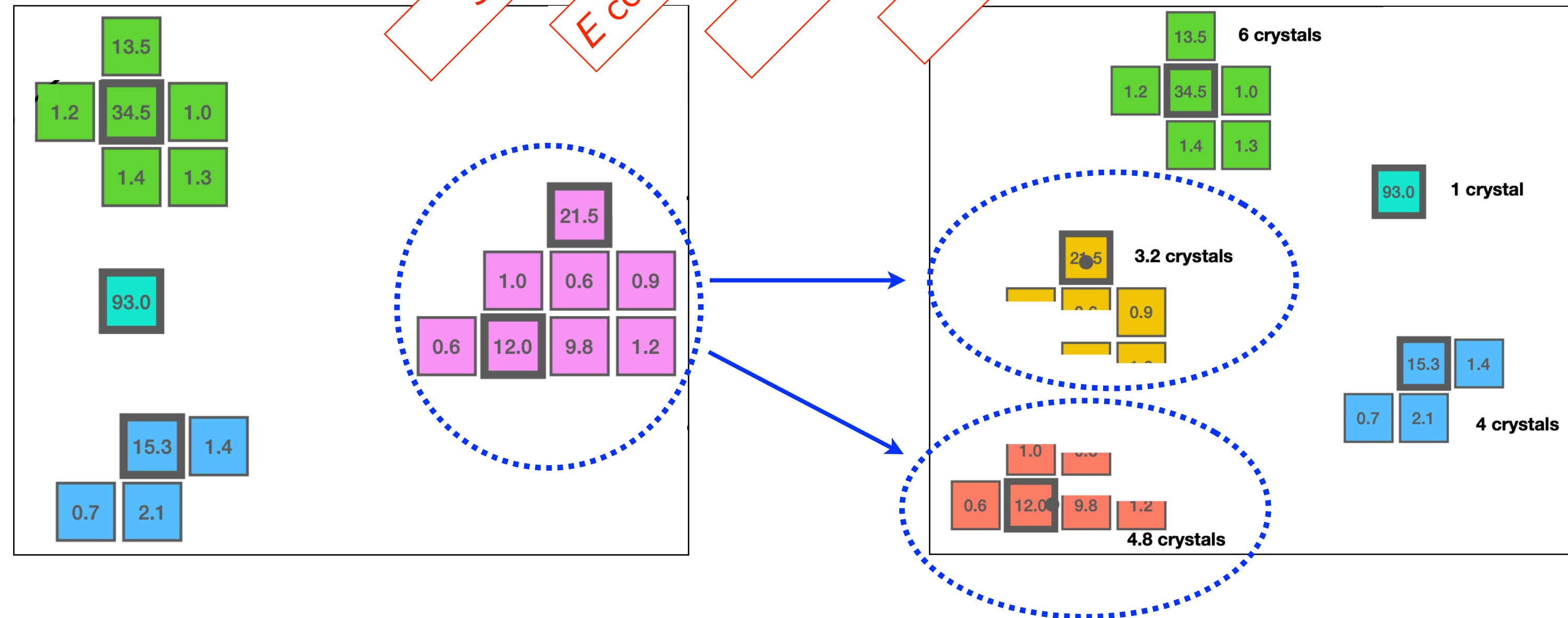
Split if  $> 1$  local maxima

E correction (leakage, beam bkg)

Position determination

Track-cluster matching

	13.5				21.5	
1.2	34.5	1.0		1.0	0.6	0.9
	1.4	1.3	0.6	12.0	9.8	1.2
	0.9					
9.5		0.2		93.0		1.0
1.0						
		0.4	15.3	1.4	1.6	
		0.7	2.1			



# 4. Overview of ECL clustering

Simulation of single particles' energy deposition in a 15x15 ECL crystal array (pre-clustering):

$\gamma$

- Radially symmetric shape
- Usually contained in 5x5 cells

$e$

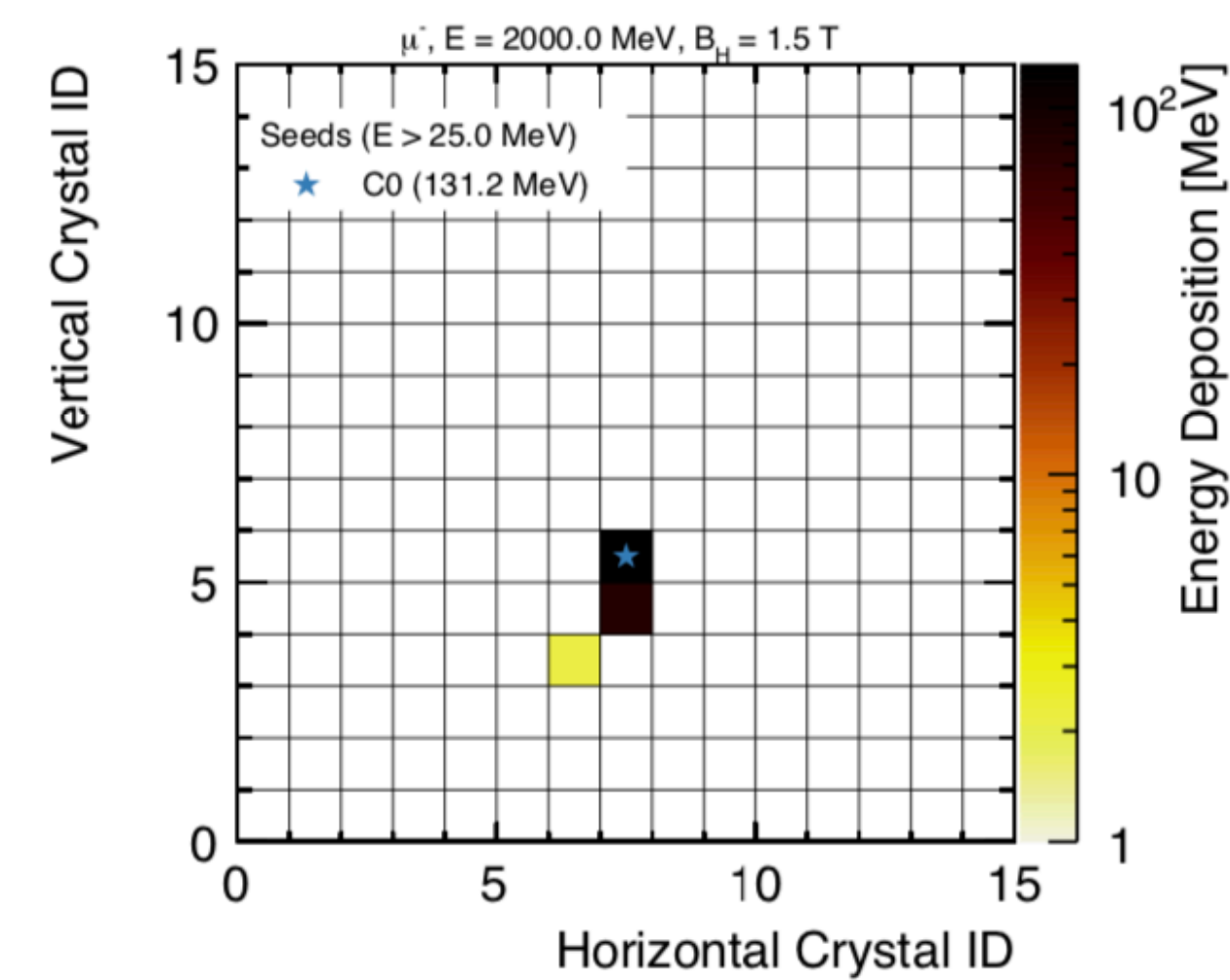
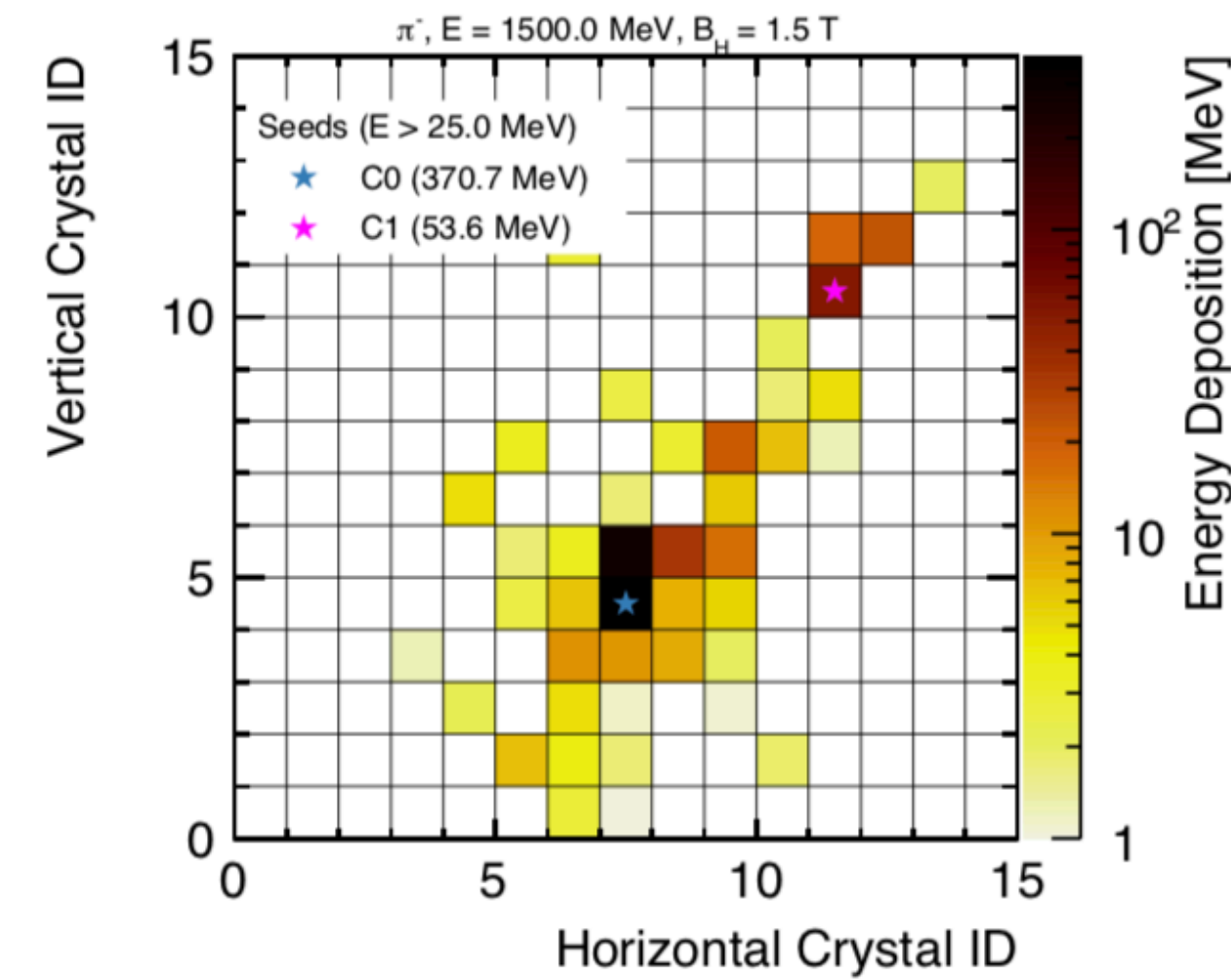
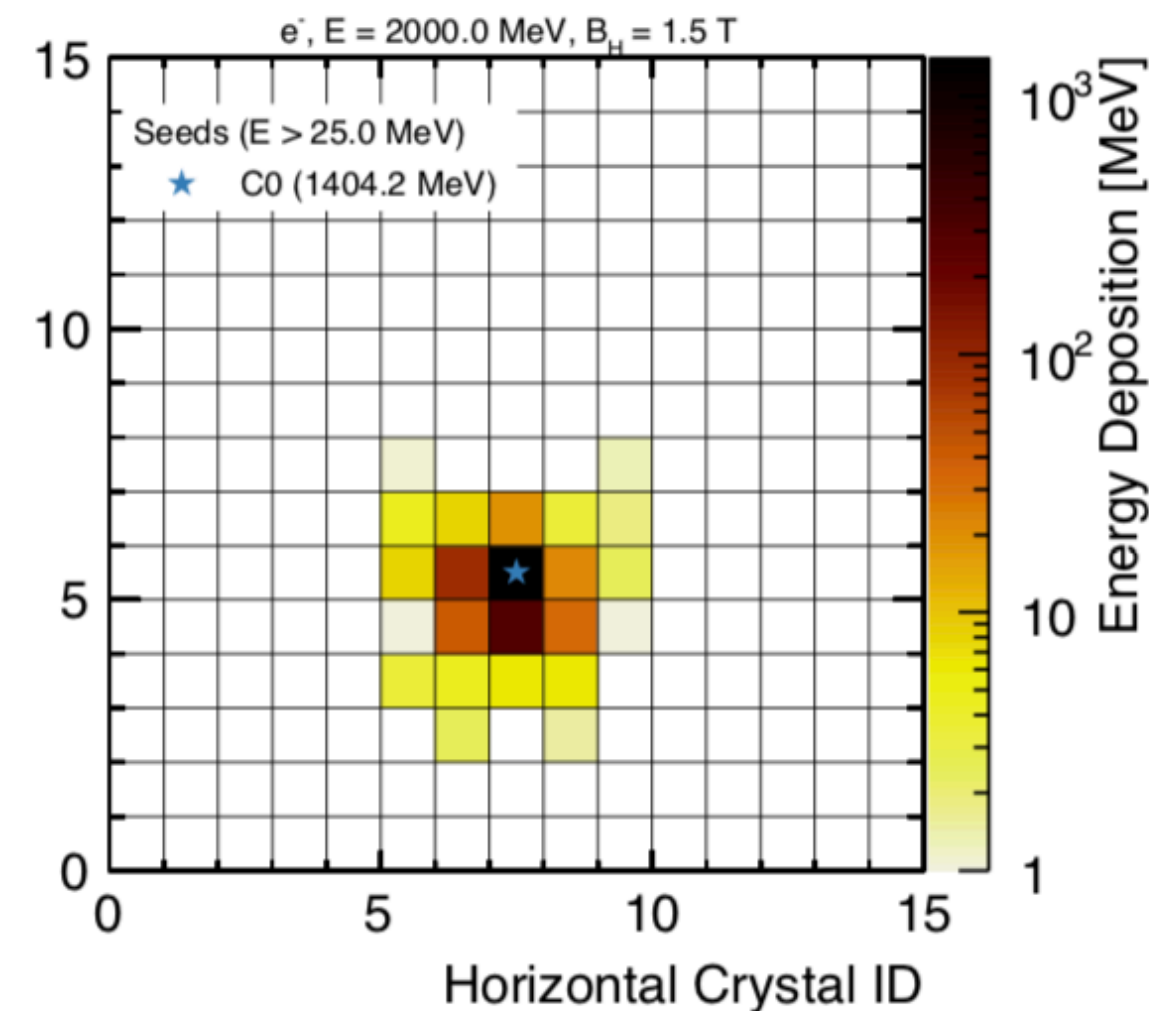
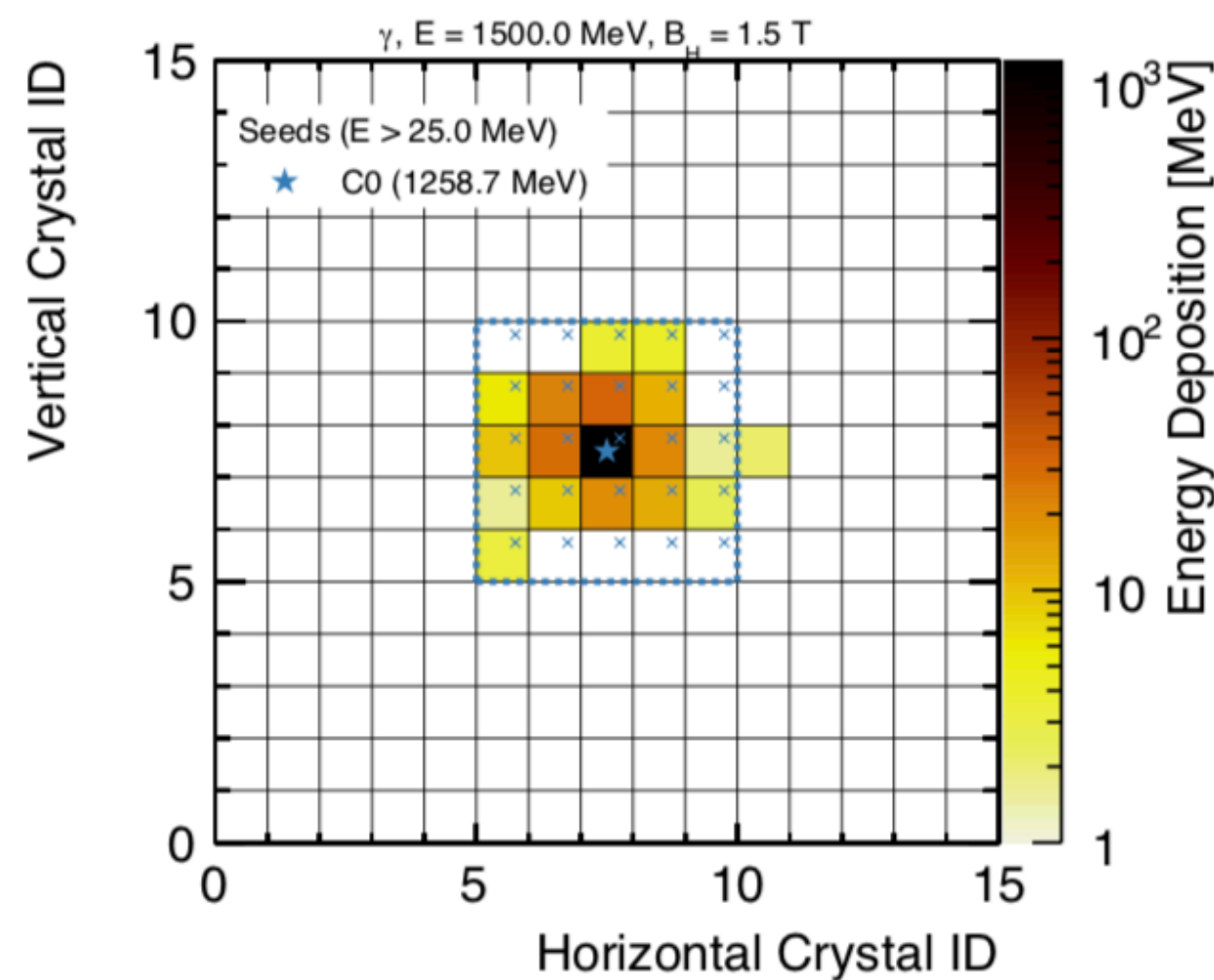
- Similar shape of  $\gamma$
- Less symmetric ( $B$  field bend, brems  $\gamma$  emitted before the ECL)

$\pi$

- Ionisation loss contained in 1-2 cells.
- Asymmetric lateral spread due to hadronic interactions

$\mu$

- Pure MIP behaviour.
- $\langle E_{cluster} \rangle \sim 200$  MeV





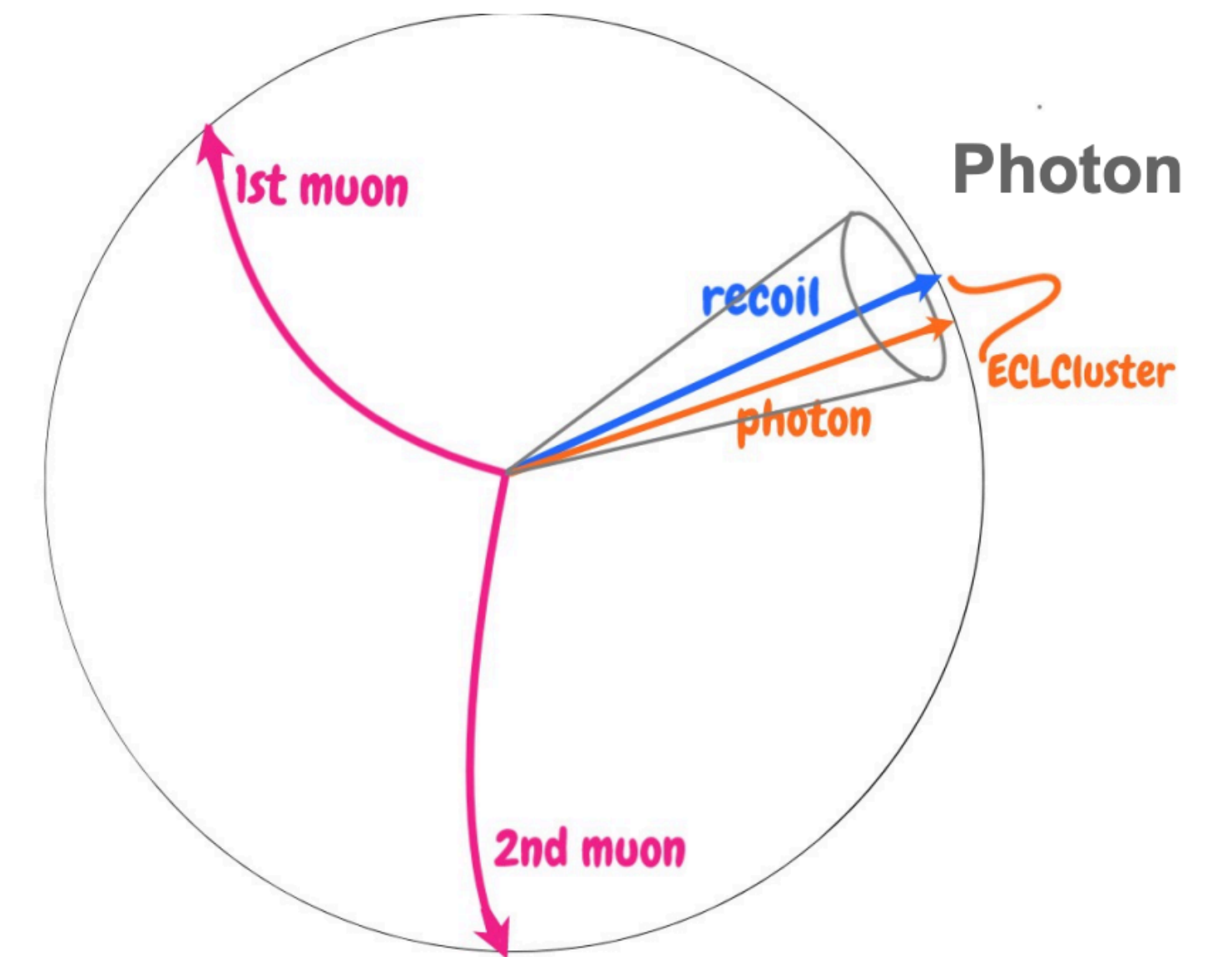
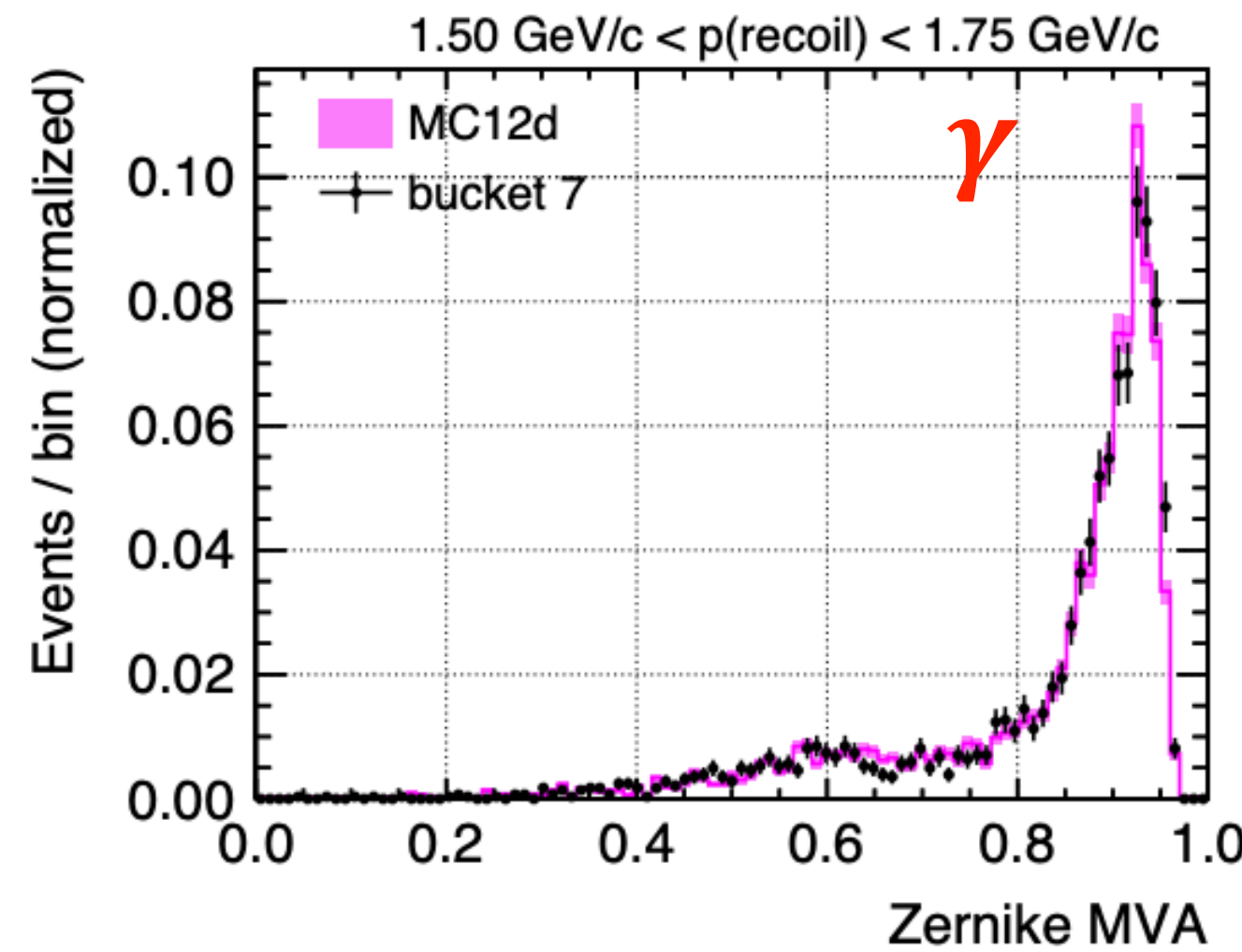
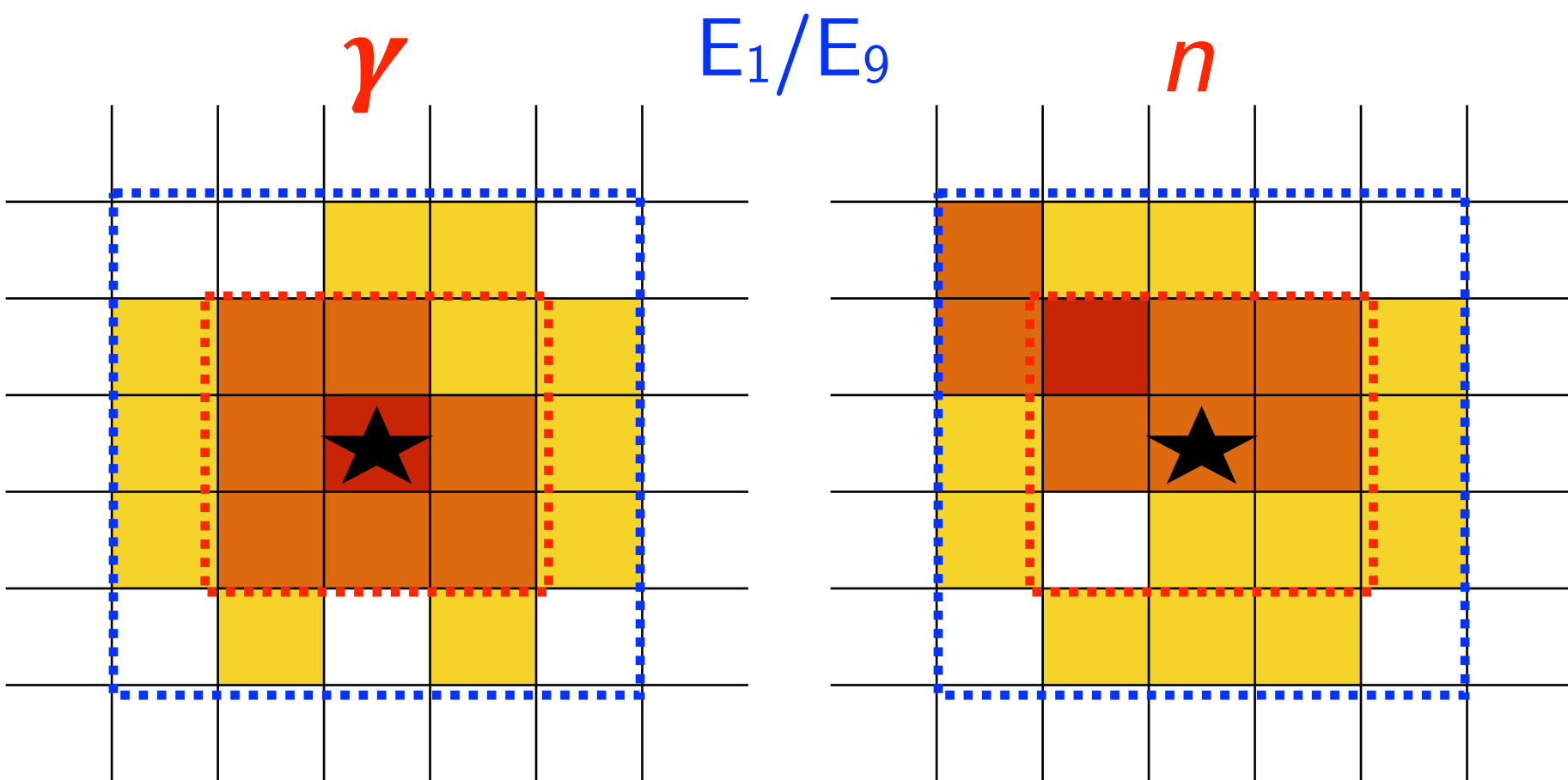
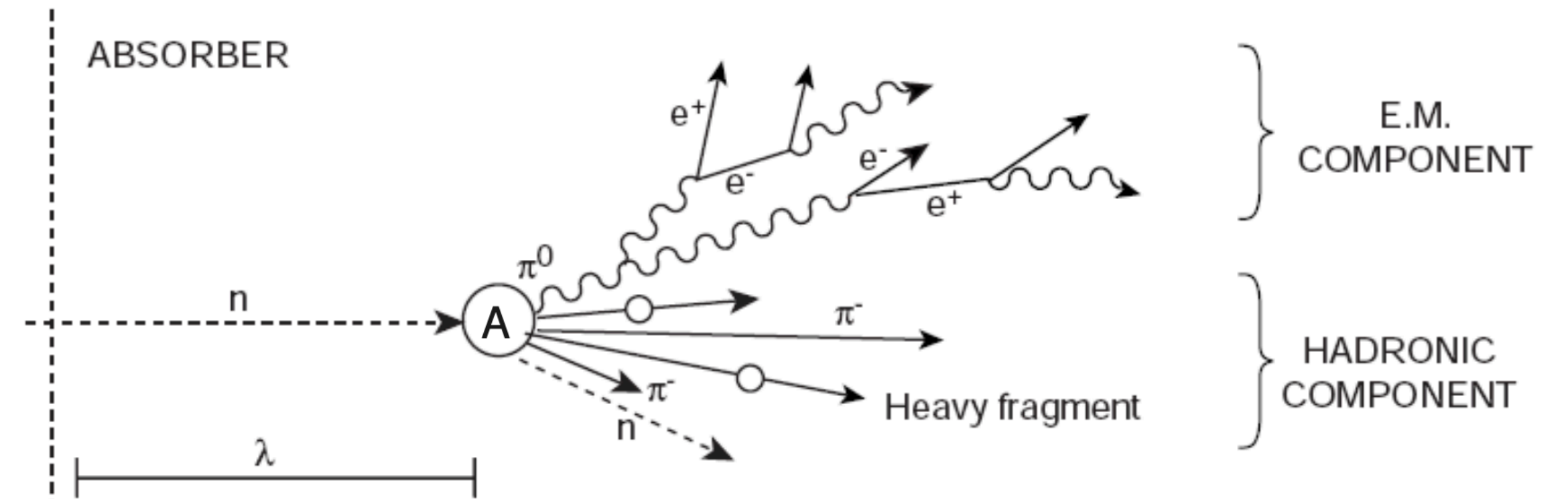
## 5. Neutral PID with the ECL

# 5. Neutral PID with the ECL

Photons can be mimicked by:

- Neutral hadrons
- Charged hadrons → “secondary” clusters w/o matching track due to hadronic splitoffs.

► Identification mostly relies on variables describing the lateral shower shape development →  $E_1/E_9$ , Zernike moments.



Standard “candle” to test ID performance in data:  $ee \rightarrow \mu\mu\gamma$

## 6. Charged PID with the ECL

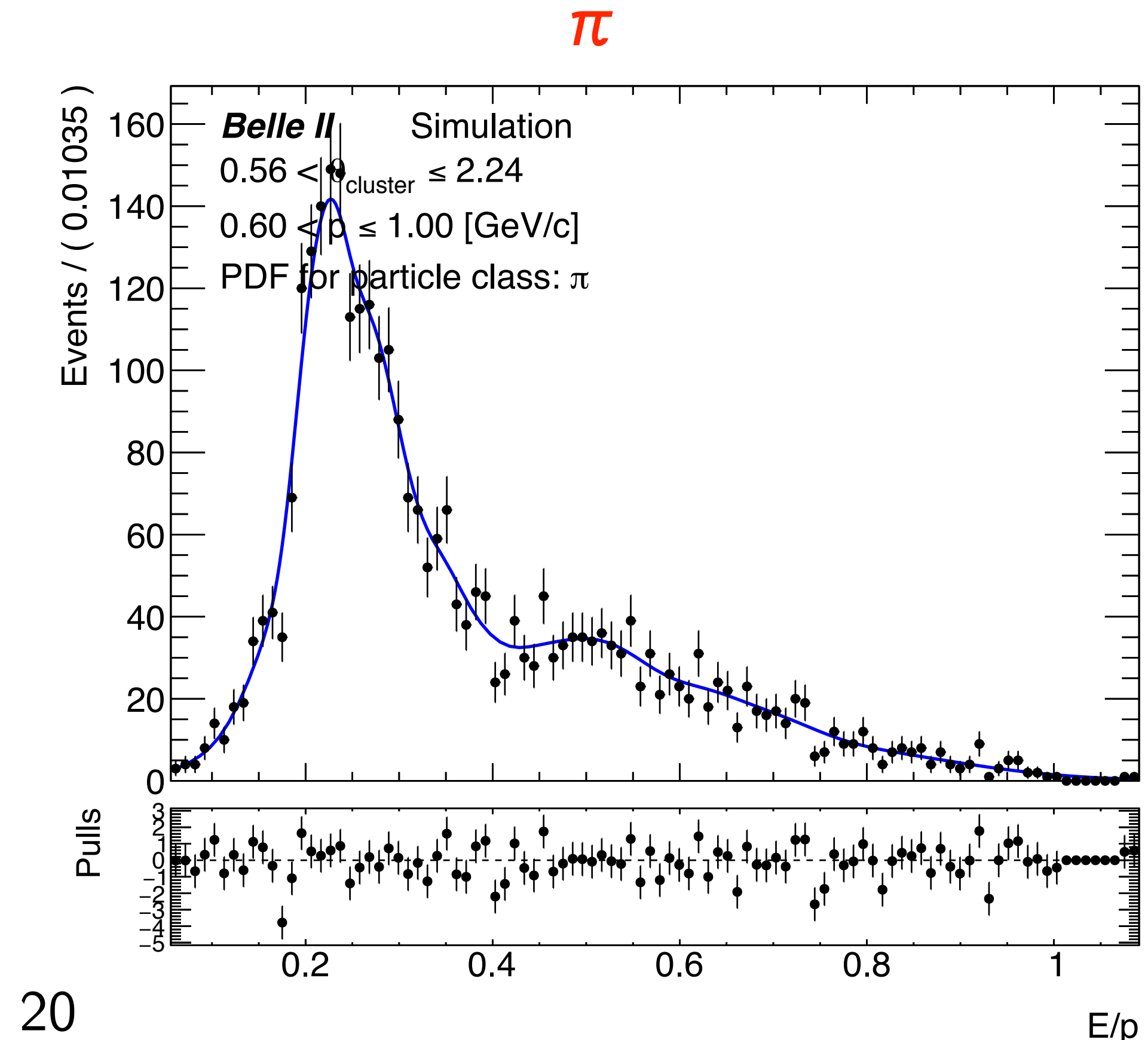
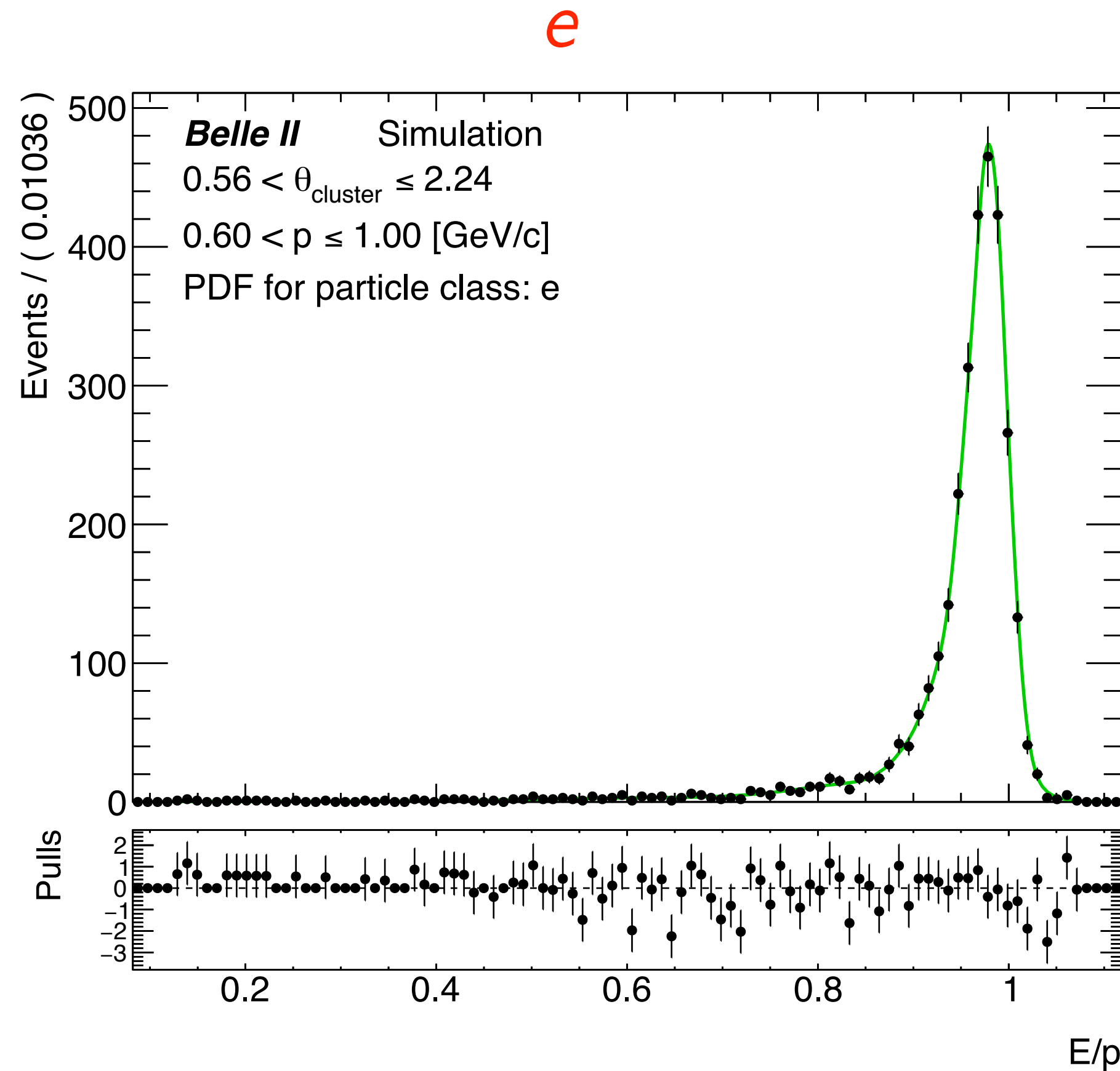
# 6.1 Standard proxy for *charged* ECL PID: $E/p$

Ratio of  $E_{cluster}$  over  $p_{track}$  : “standard” variable, mostly designed for *electron* identification ( $\rightarrow$  peaks at 1!)

- *PDFs* defined in MC simulation from one-dimensional fits of  $E/p$  templates (single particle samples).

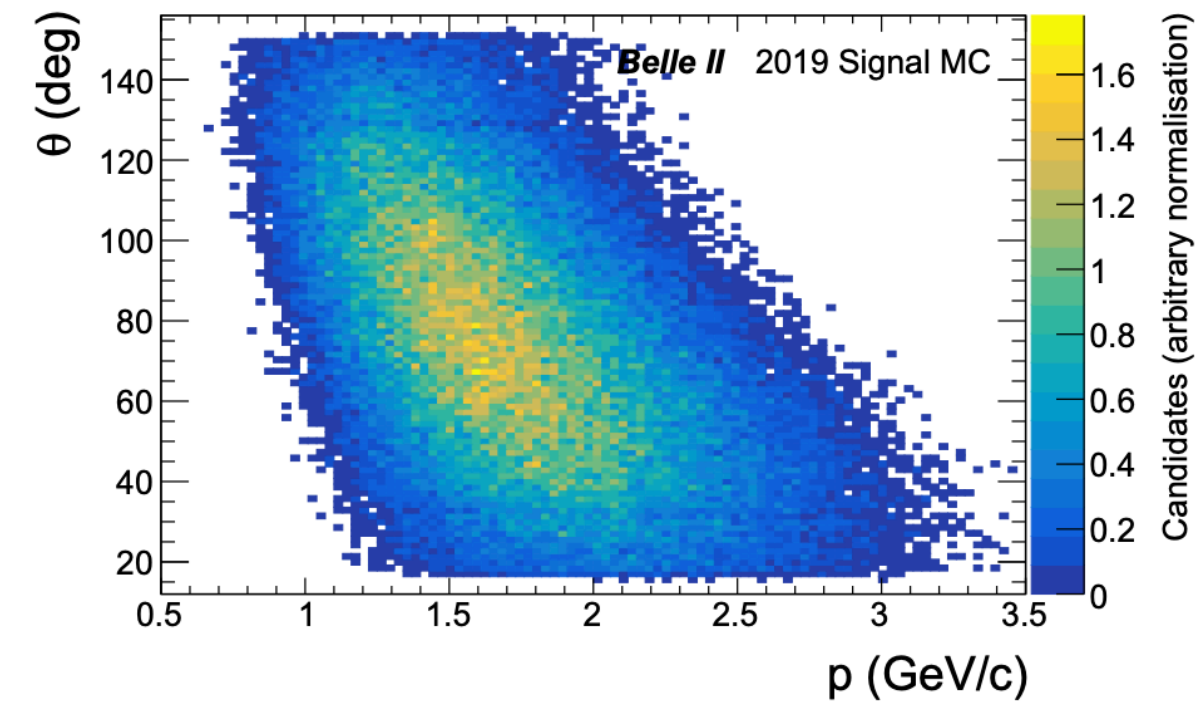
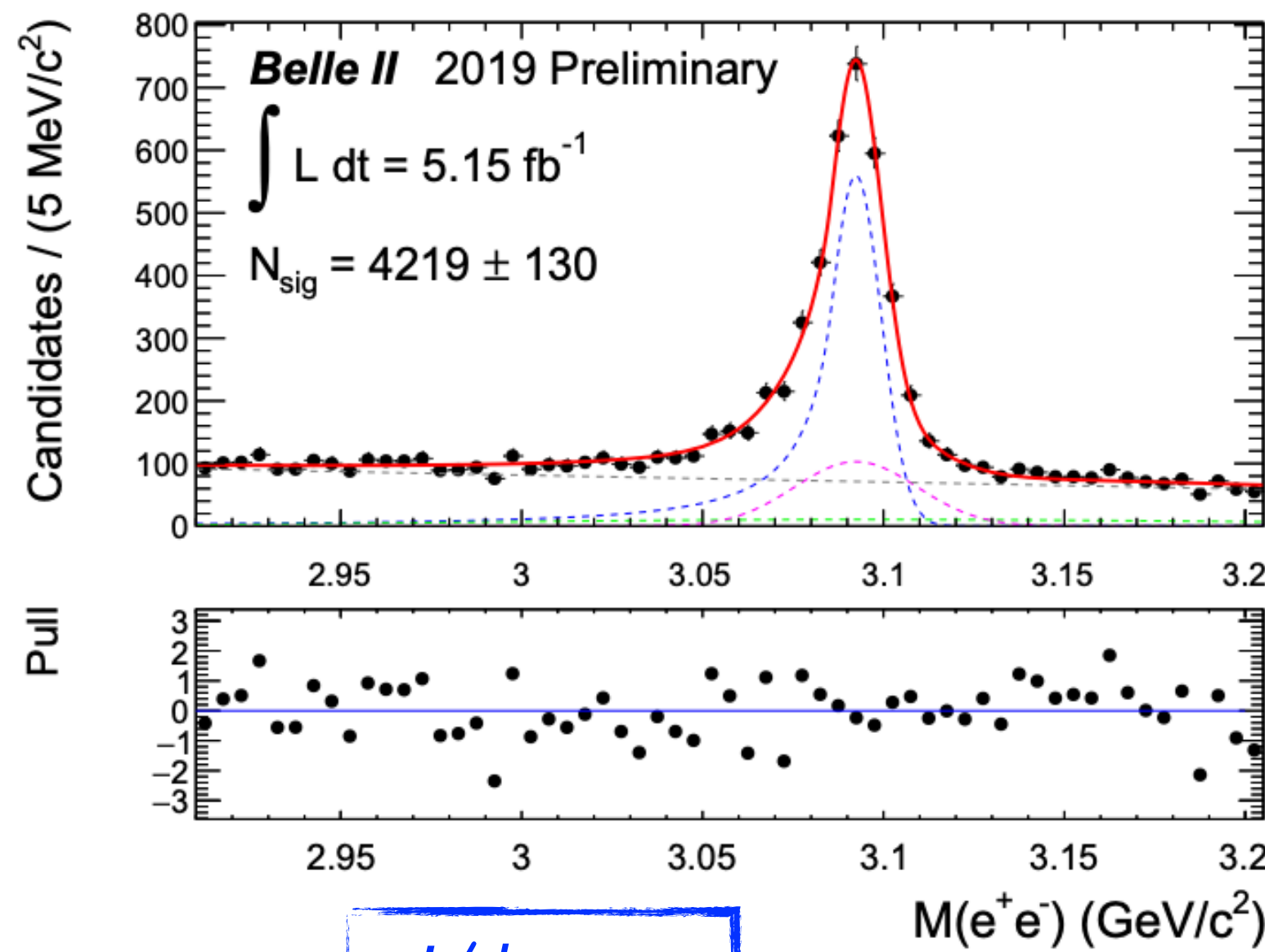
- Used to calculate likelihood of  $i$ -th particle type:

$$\mathcal{L}_i^{ECL} = \mathcal{L}(x|i) = \mathcal{L}((E/p)_{obs} | (E/p)_i^{MC})$$



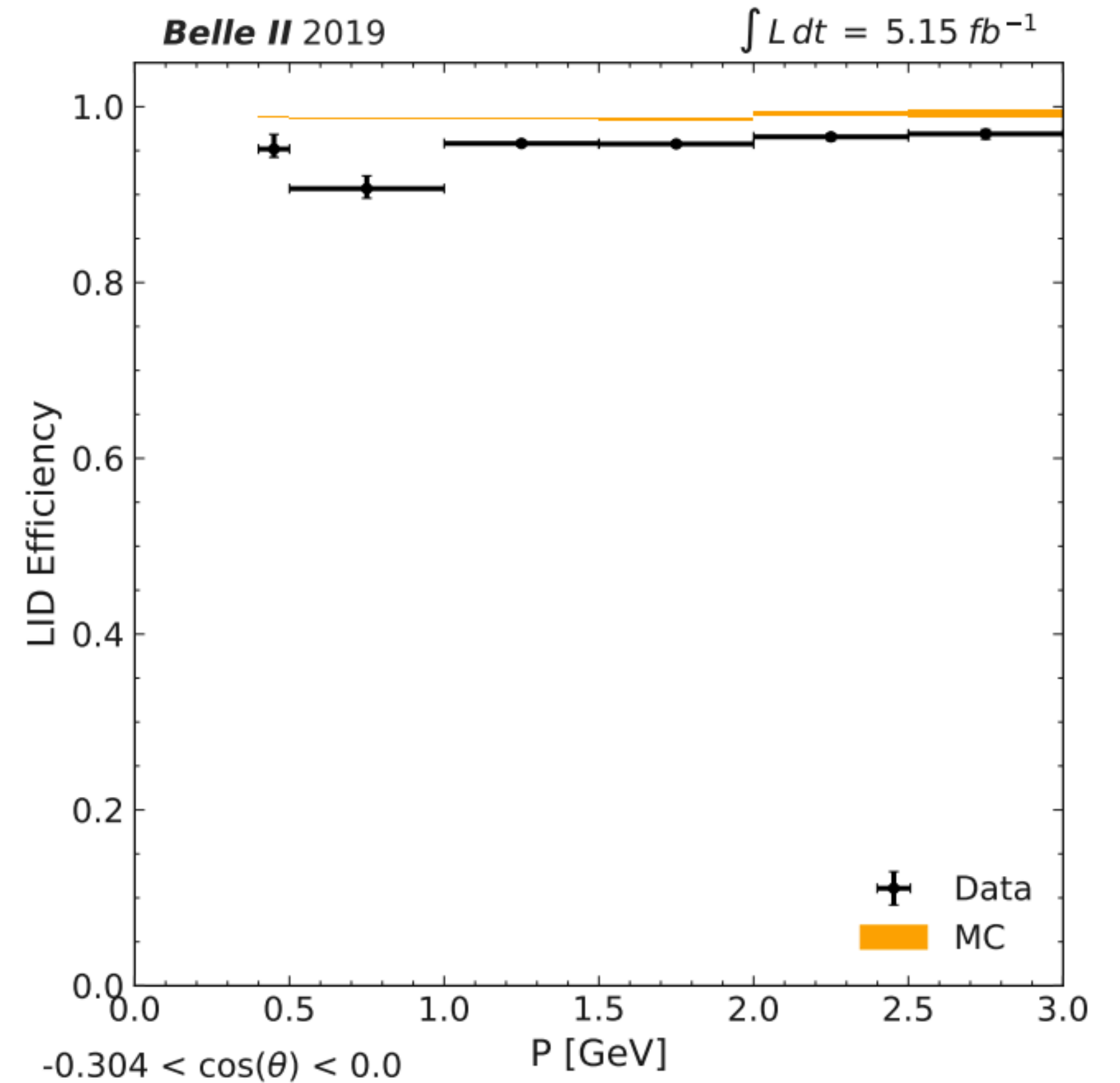
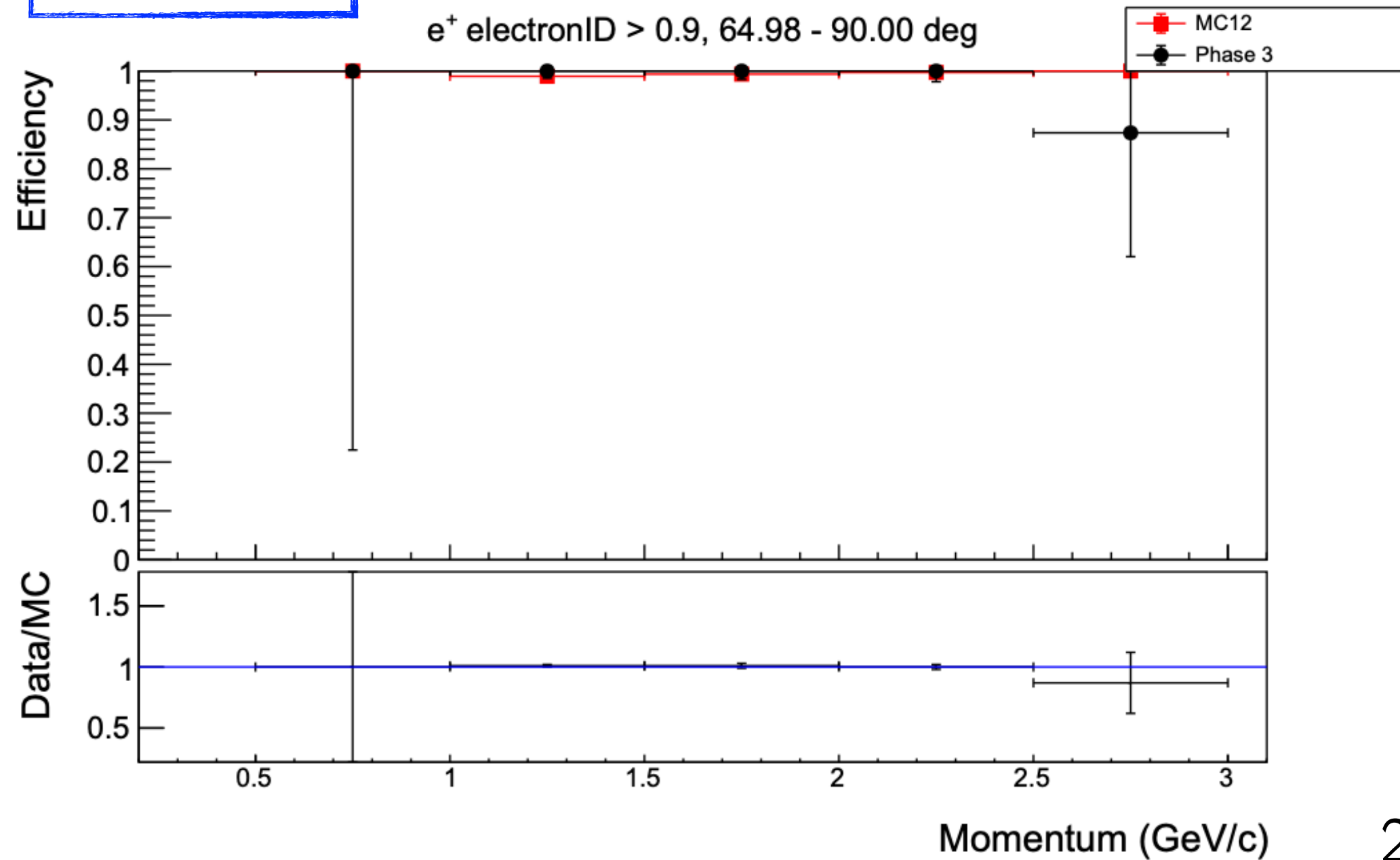
# 6.1 Standard proxy for *charged* ECL PID: $E/p$

( $E/p$ -based) electron identification performance in early *Belle II* data studied in  $J/\psi$  decays, as well as  $ee \rightarrow eeee$  events



$ee \rightarrow eeee$

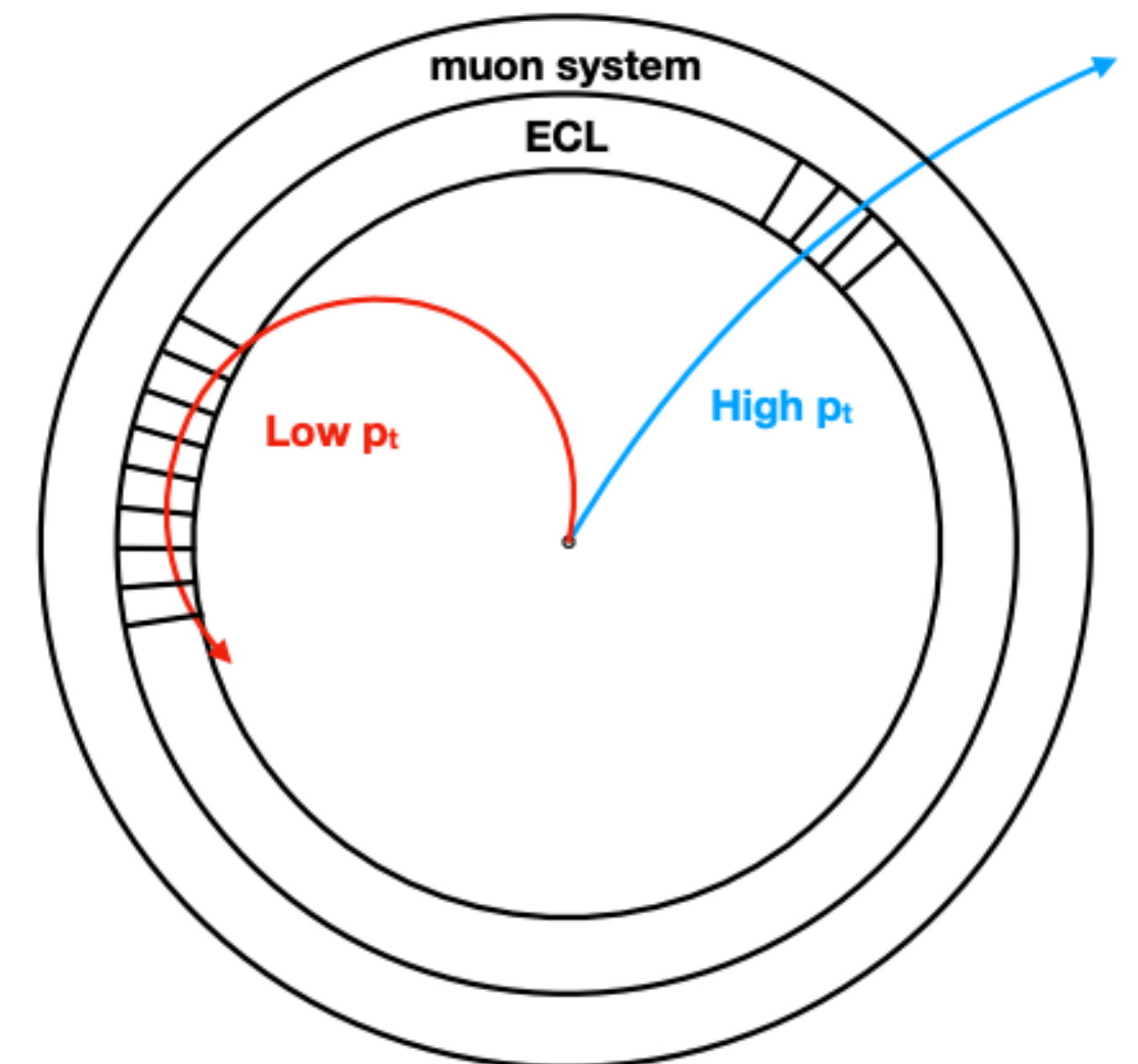
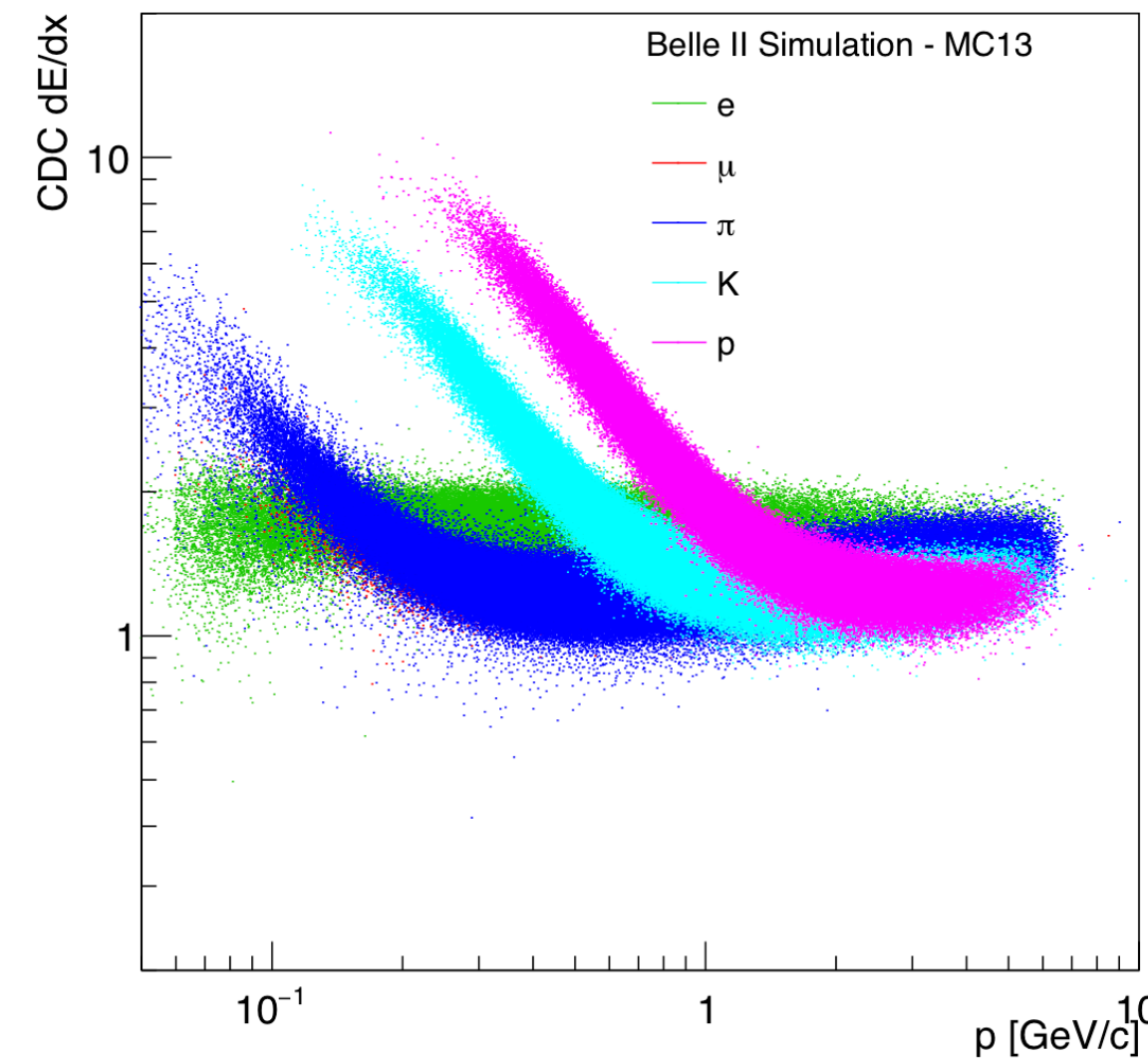
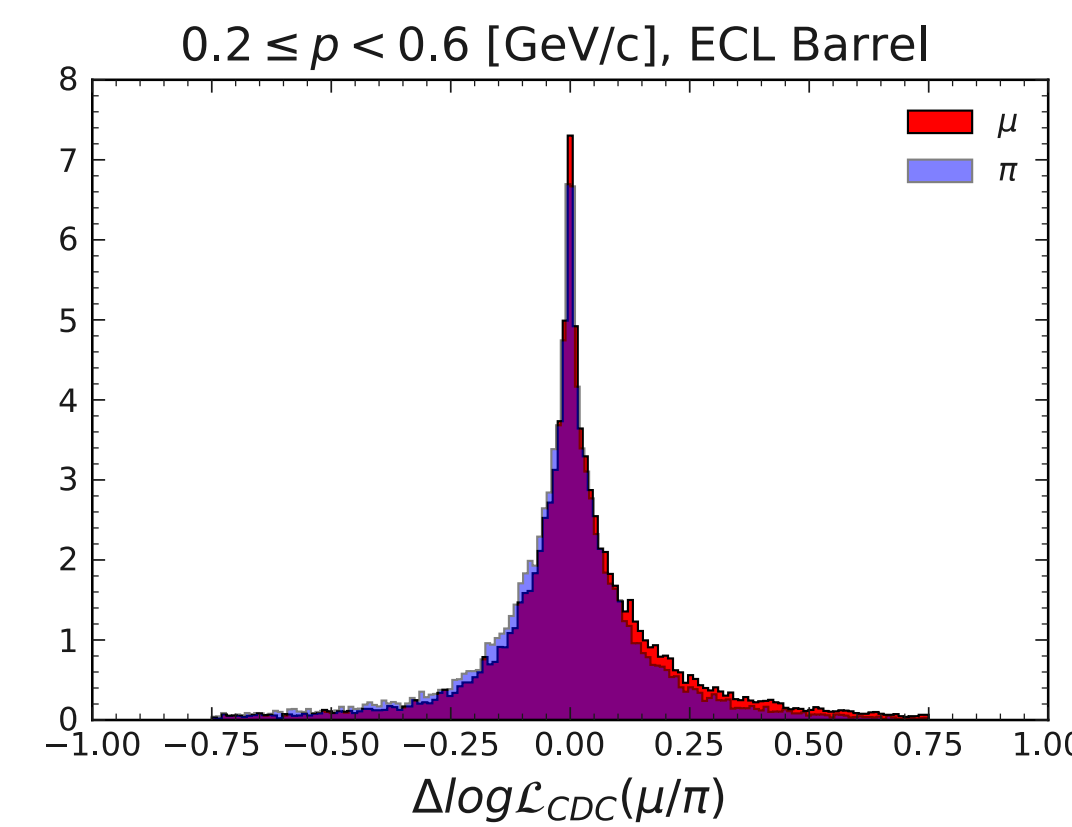
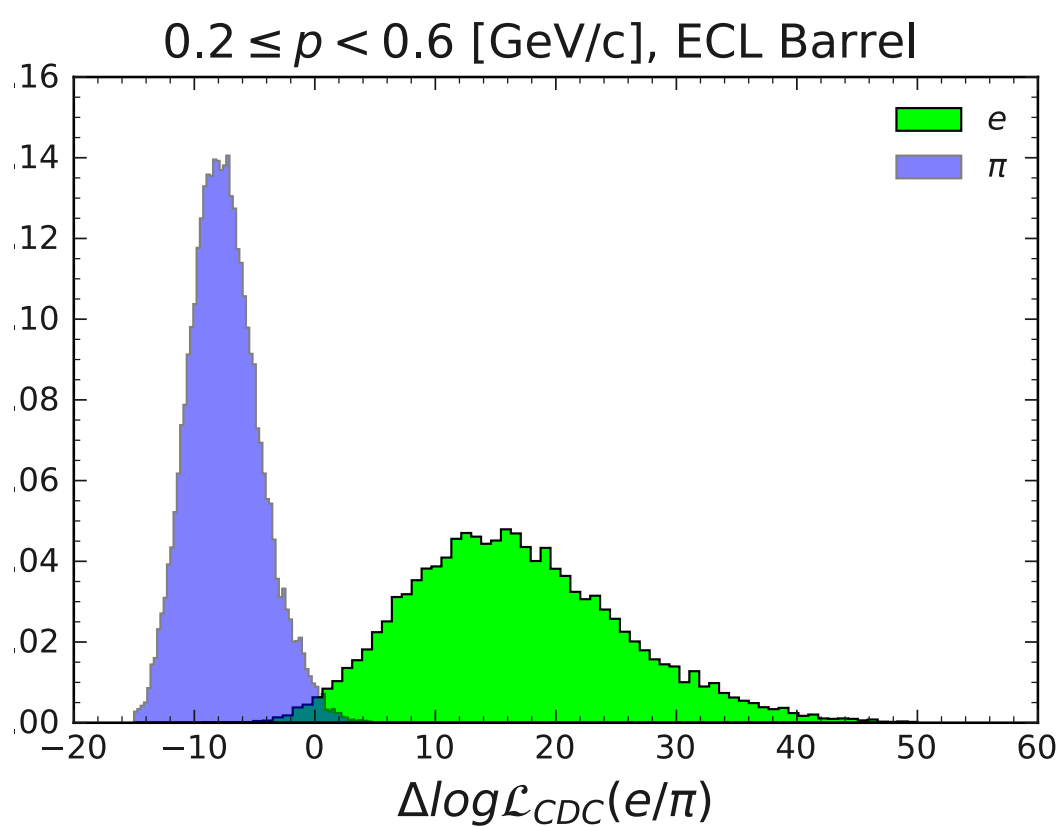
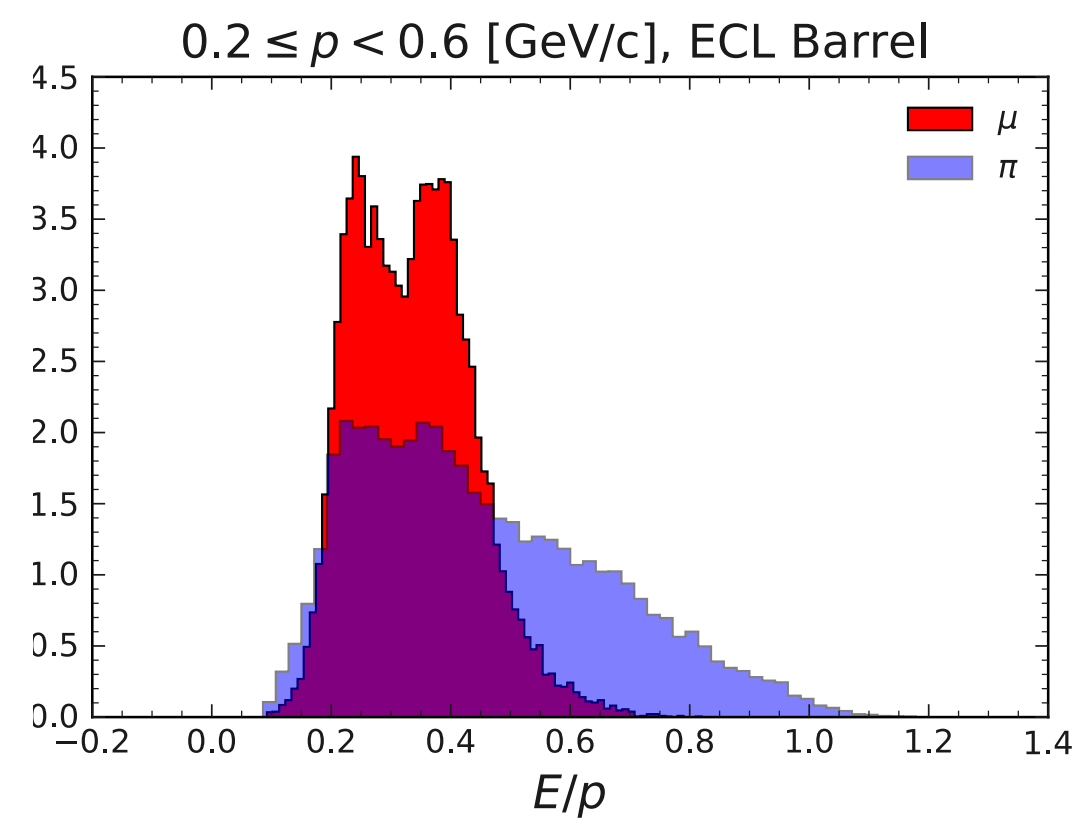
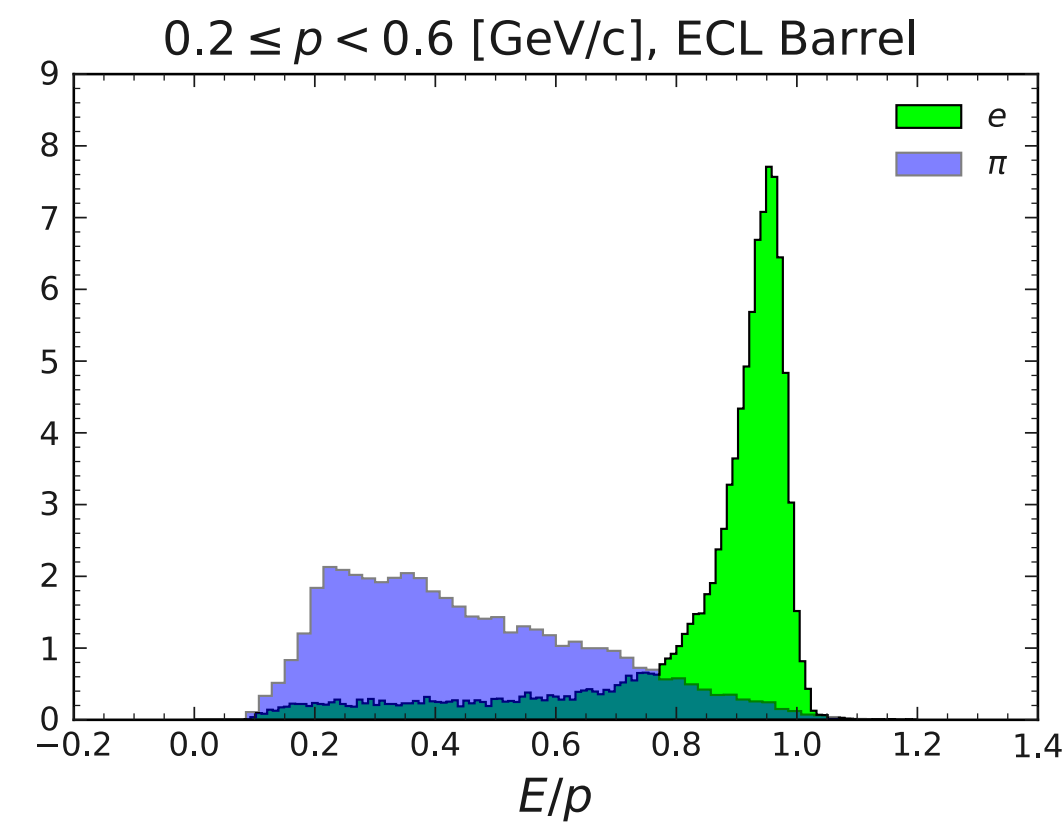
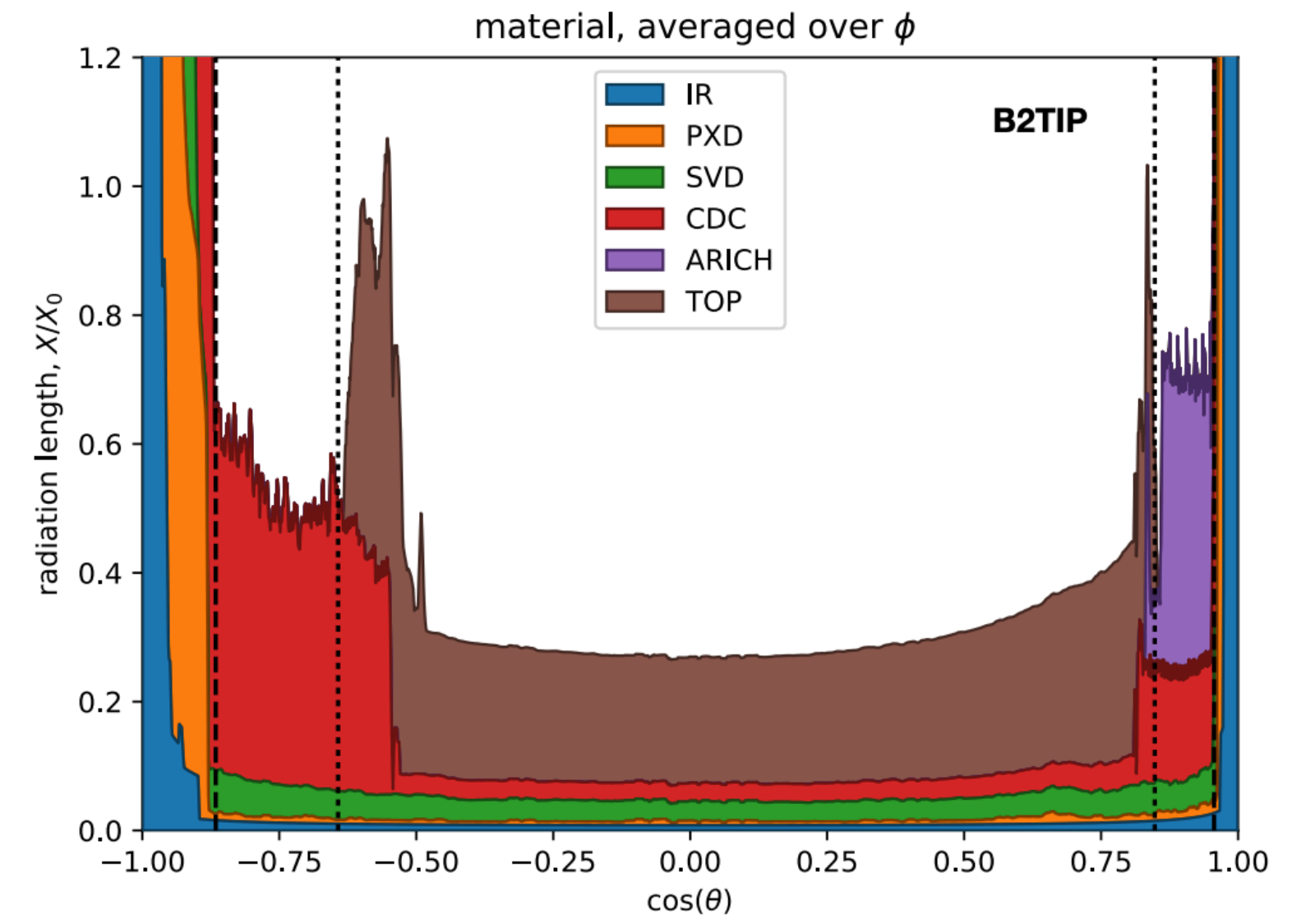
$J/\psi \rightarrow ee$



# 6.2 The low momentum PID challenge

At low momenta,  $E/p$  by itself becomes sub-optimal for PID purposes:

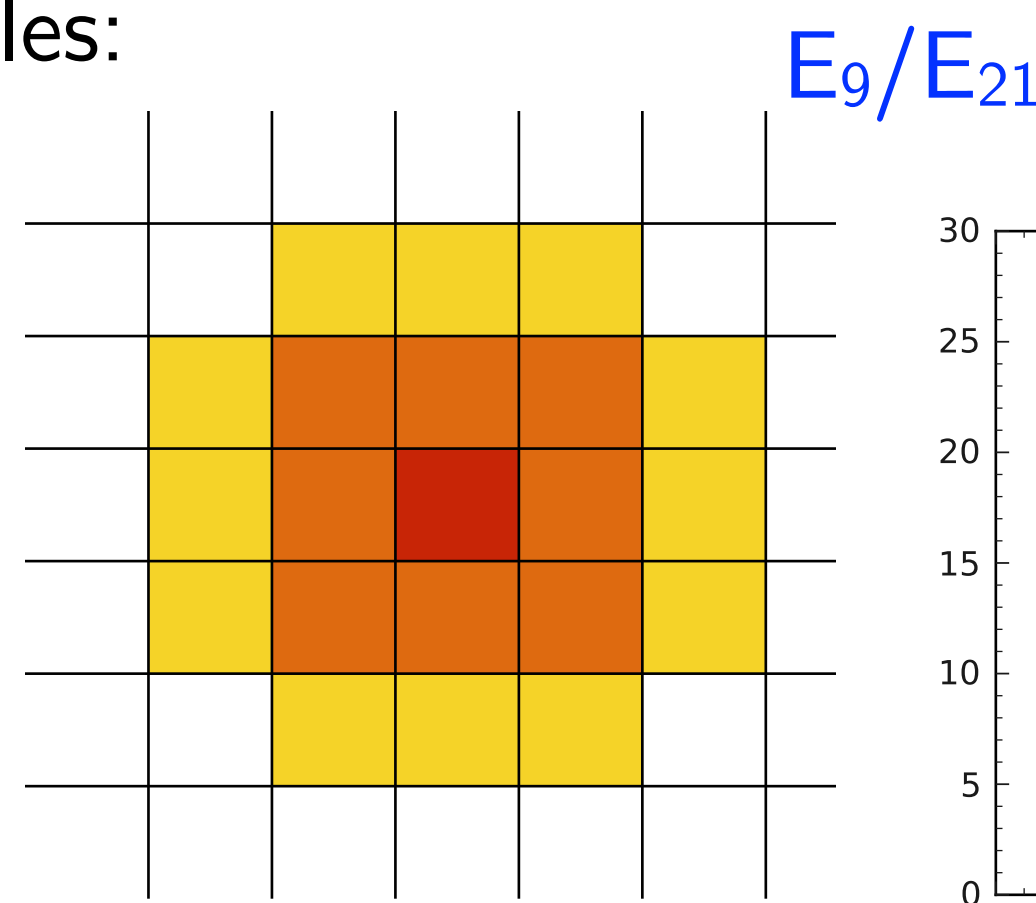
- **Electrons:** larger bremsstrahlung losses before the ECL + stronger track bending.
  - Separation power partially recovered by CDC.
- **Muons:** if  $p_T < 600$  GeV/c, they fall outside of the KLM acceptance.



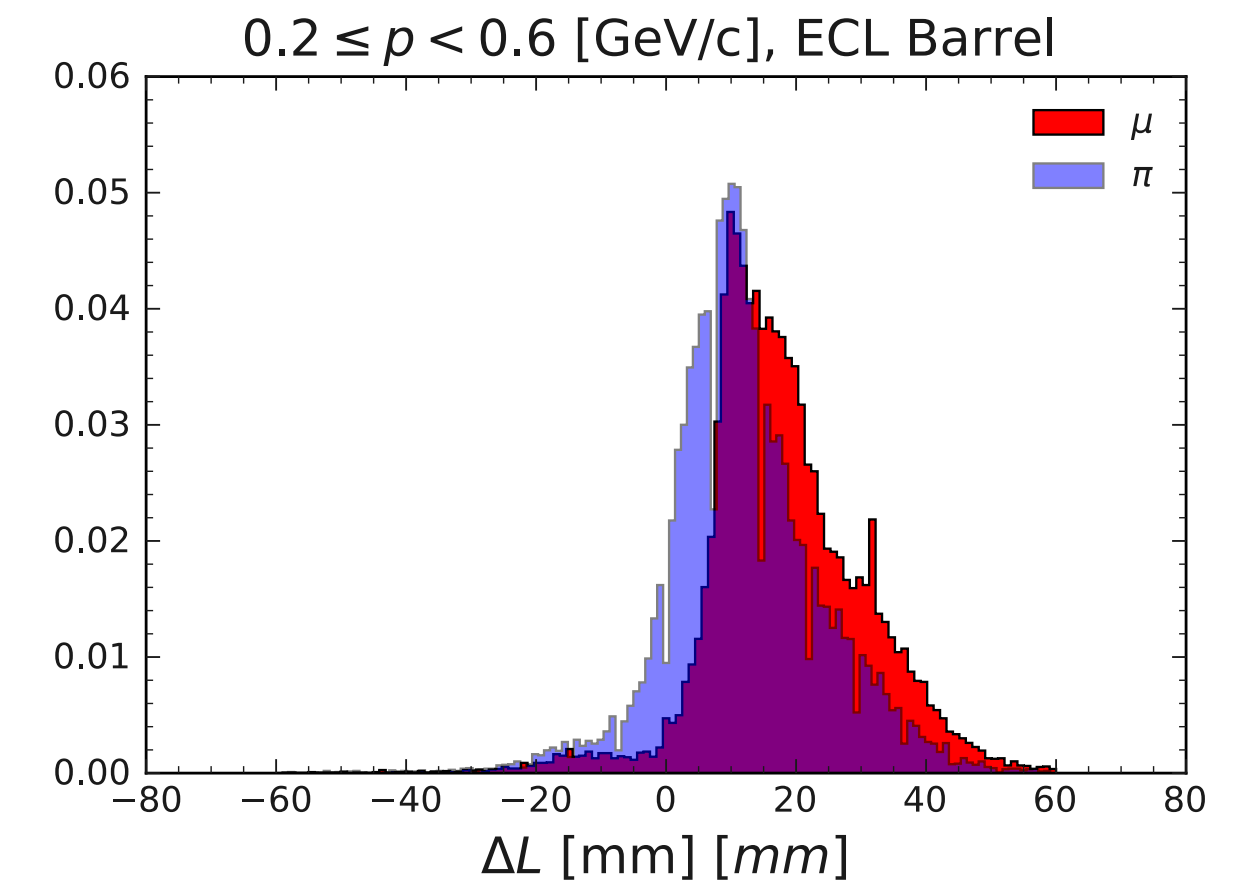
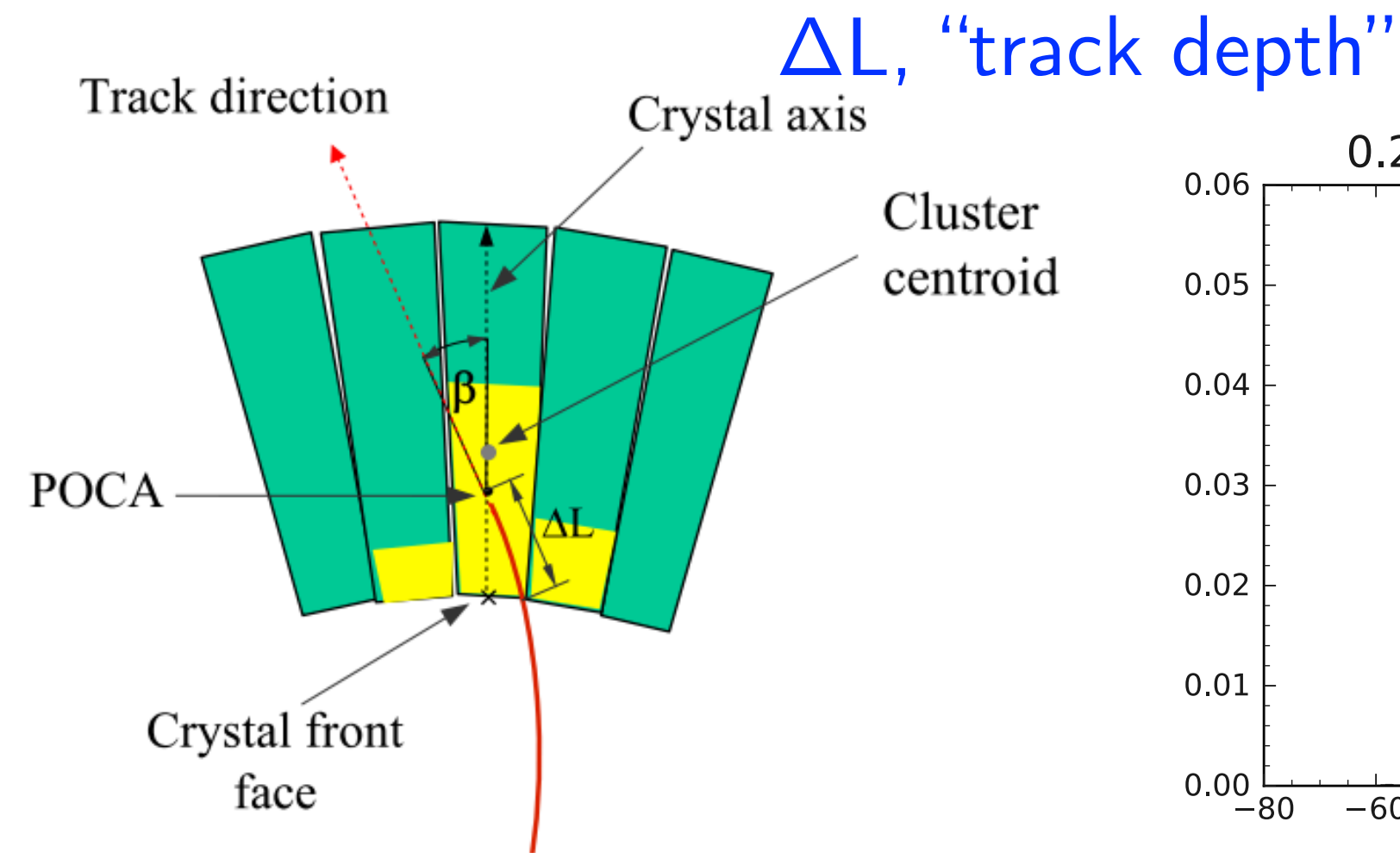
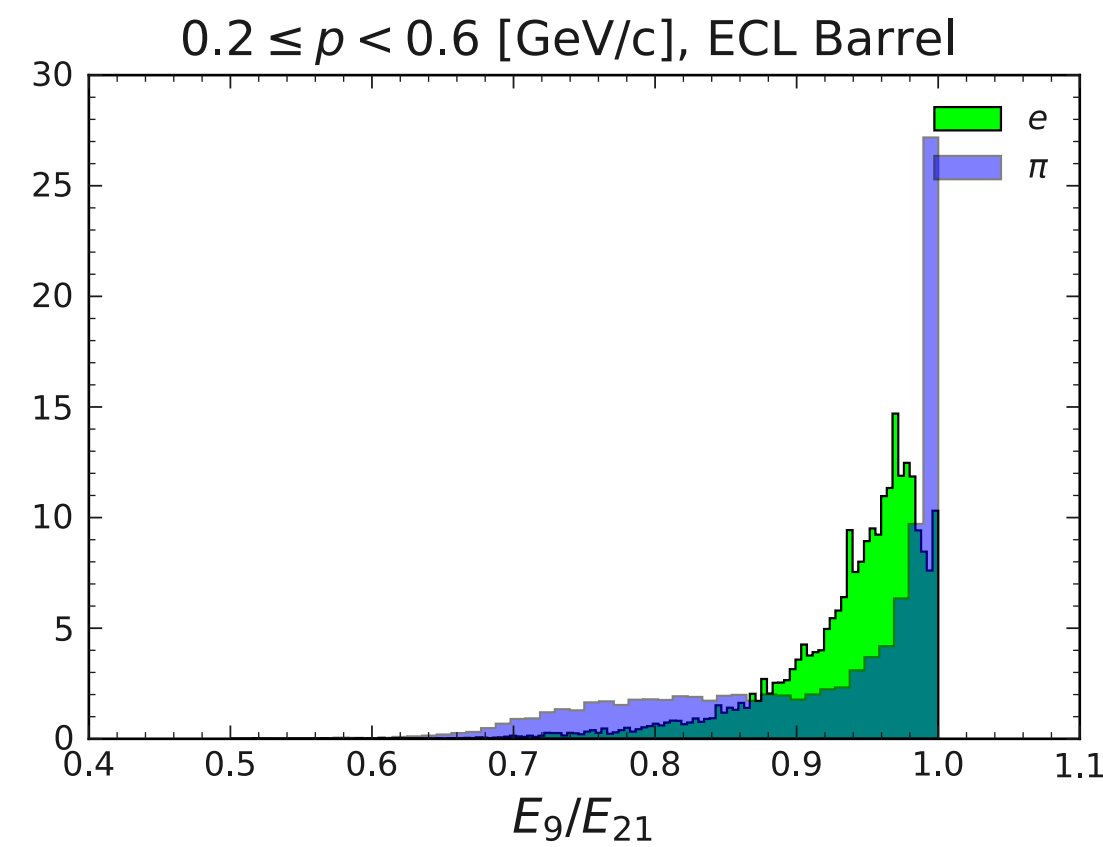
# 6.2 Novel improvements to (low $p$ ) ECL PID - BDT

Combine several ECL observables in a BDT  $\rightarrow$  exploit non-trivial correlations among shower shape variables,  $E/p$ , PSD...

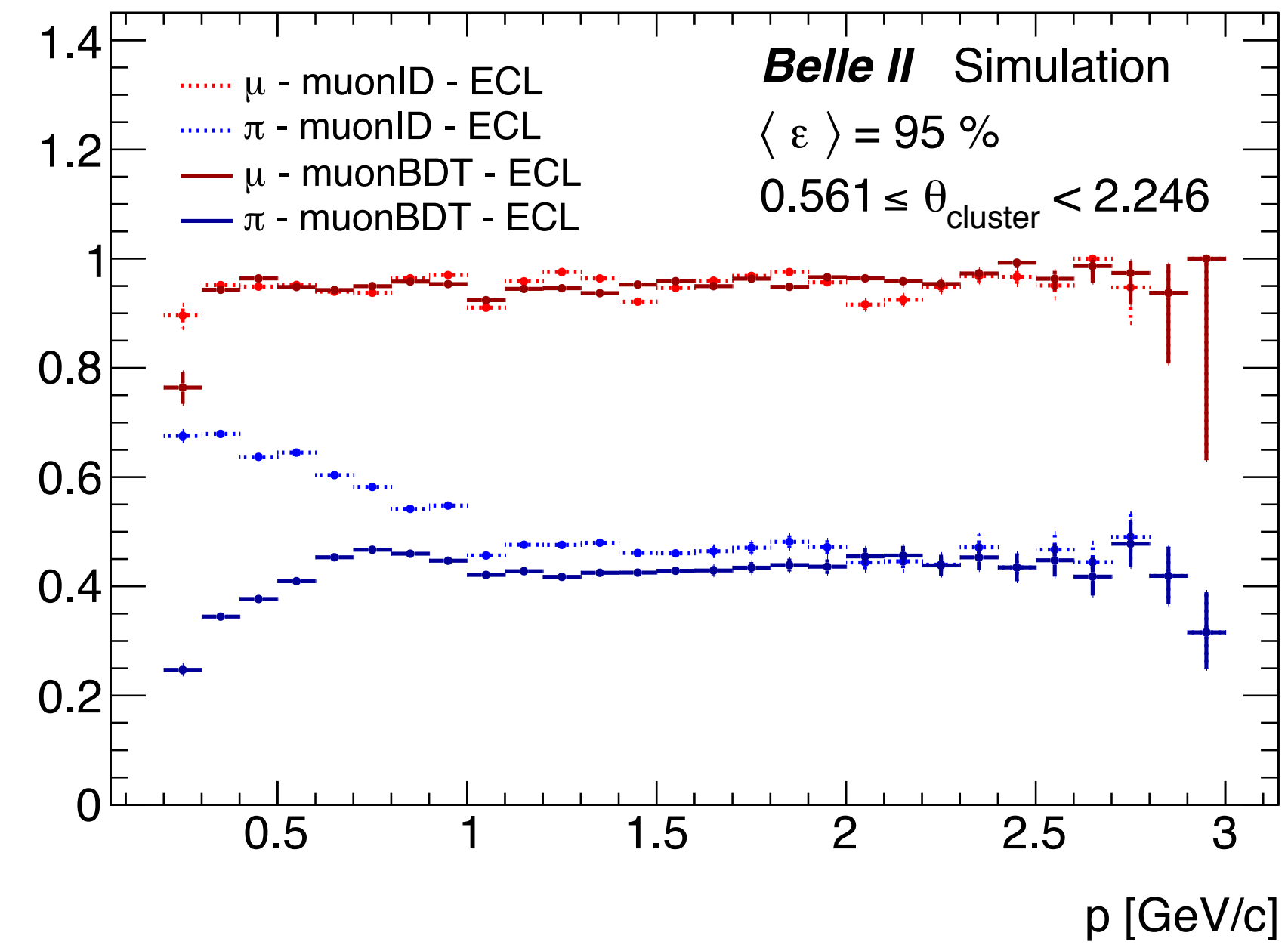
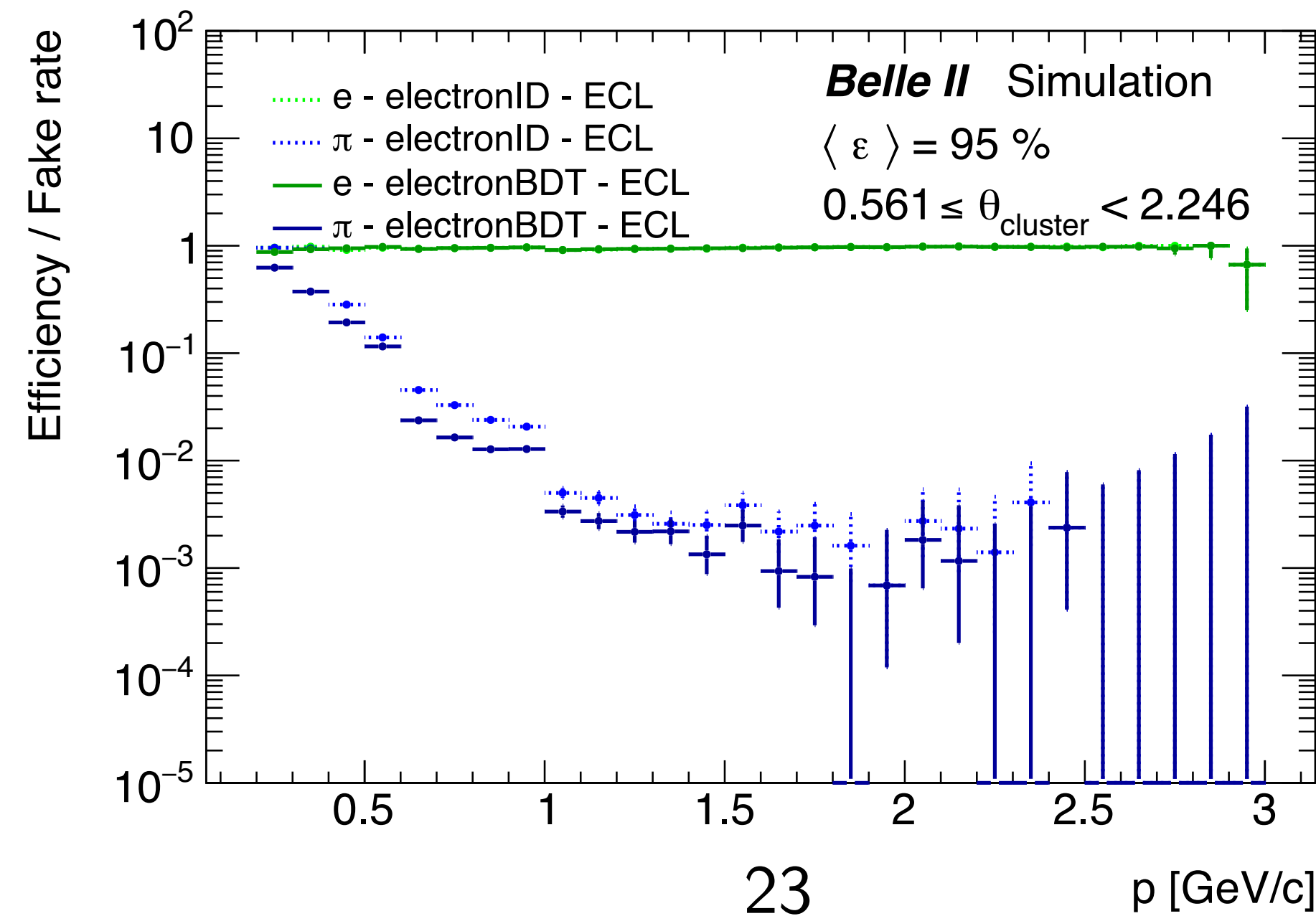
Examples:



$E_9/E_{21}$



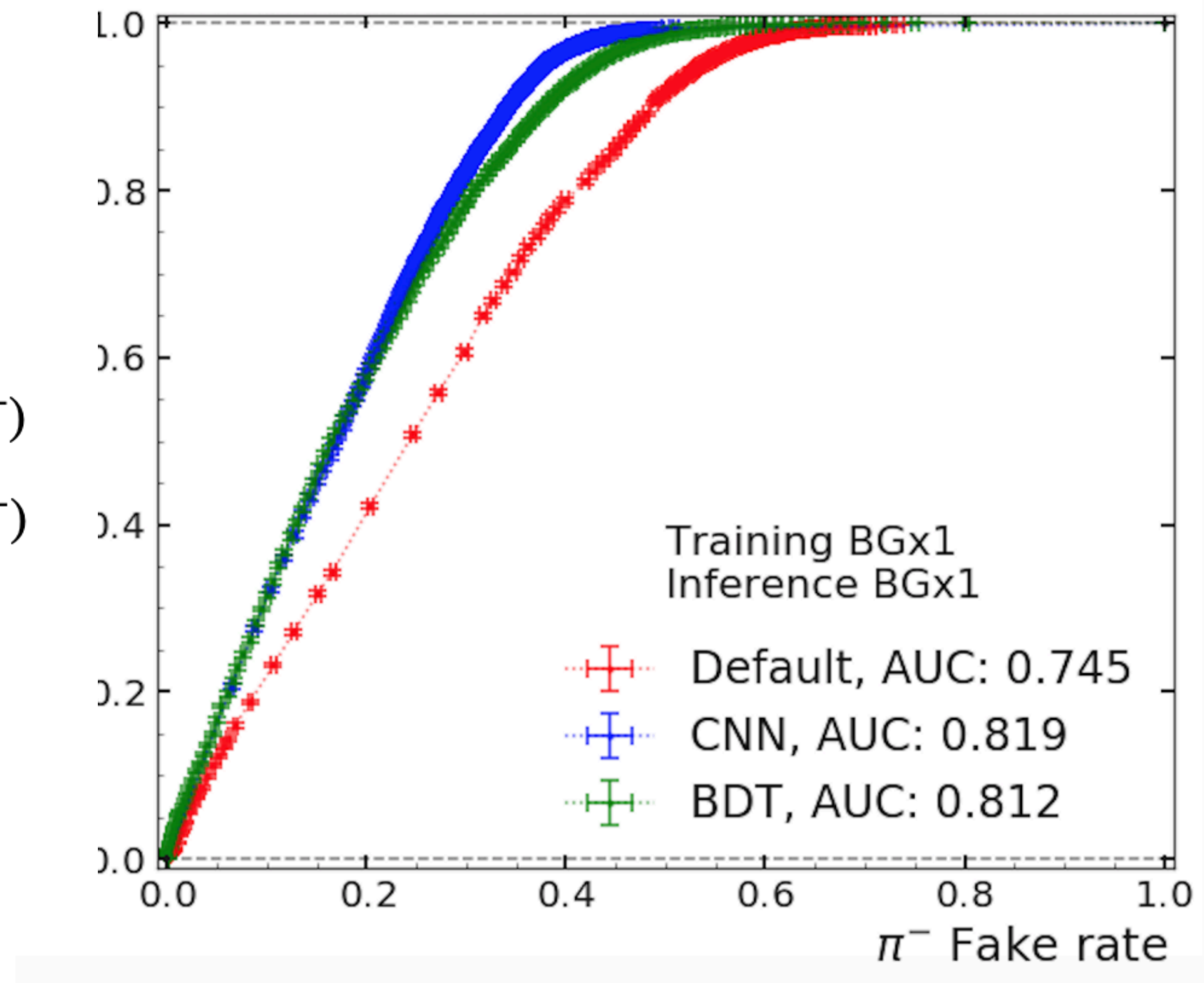
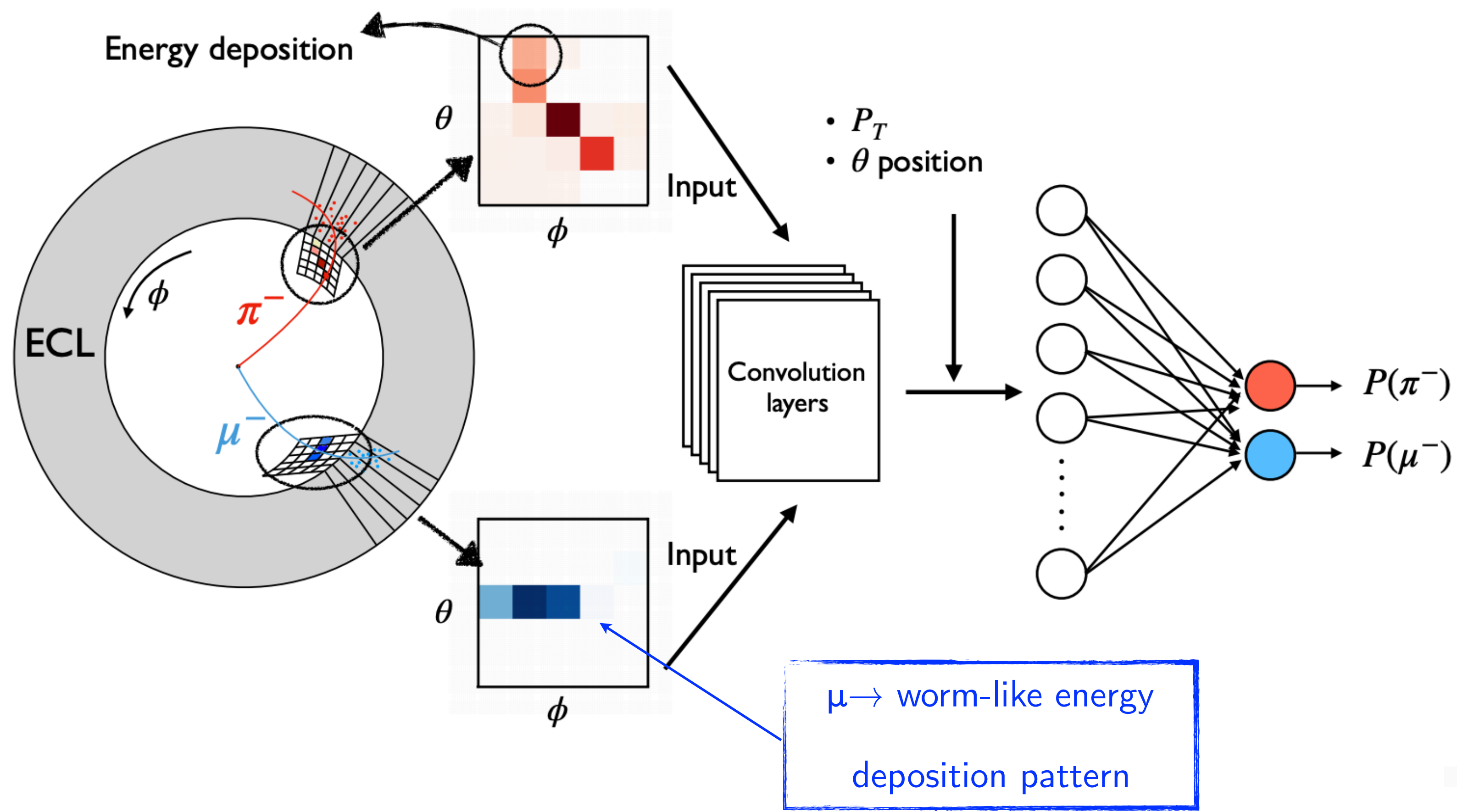
- Background ( $\pi$ ) rejection ( $\rightarrow 1/\text{fake rate}$ ) strongly improved wr.t simple  $E/p$ -based PID:



# 6.2 Novel improvements to (low $p$ ) ECL PID - Convolutional NN

Feed raw energy information (instead of higher level variables like shower shapes) in a convolutional NN architecture.

- Train algorithm on (pre-processed) calorimeter cells images for  $\mu$  and  $\pi$ .
- Idea is by-pass clustering algorithm shortcomings when dealing with “atypical” energy deposition patterns.





Questions?



# Bibliography

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- D.Ferlewicz et al [[Internal note](#)], Y.Sato et al. [[Internal note](#)]