

SemiLeptonic and Missing Energy B decays at Belle II

Giovanni Gaudino on behalf of Belle II collaboration
ICNFP Kolymbari – 2024, 28th August



Semileptonic B decays

Motivations of Semileptonic B decays

Lepton-Flavor Universality tests

SM Precision Measurements

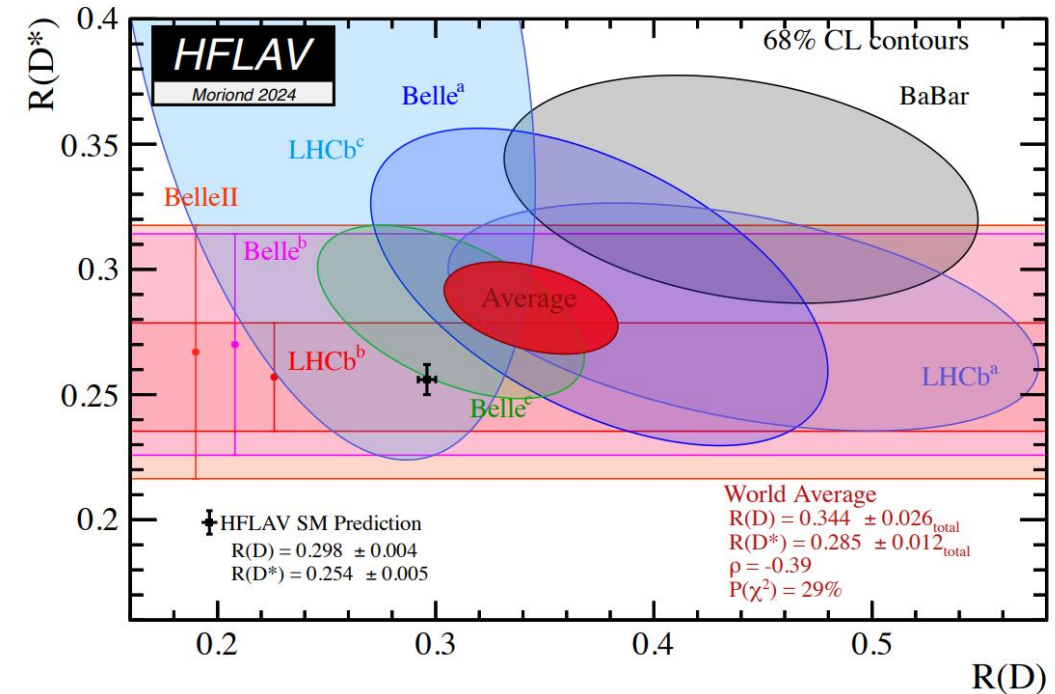
Electroweak Penguins

- In SM, the W boson couples equally to $\tau, \mu, e \rightarrow$ Lepton-Flavor Universality (LFU)
- Semileptonic B decays are sensitive to new physics beyond SM
- Ratio measurements provide stringent LFU tests: branching fractions, angular asymmetry, etc.
 - ✓ Normalization ($|V_{xb}|$) cancels
 - ✓ Part of theoretical, experimental uncertainties cancels

$$R(H_{\tau/\ell}) = \frac{B(B \rightarrow H\tau\nu)}{B(B \rightarrow H\ell\nu)}$$

$$\ell = e, \mu - H = D^{(*)}, X, \pi, \text{ etc}$$

Tension of $R(D_{\tau/\ell}^{(*)})$ with SM $\sim 3\sigma$



Motivations of Semileptonic B decays

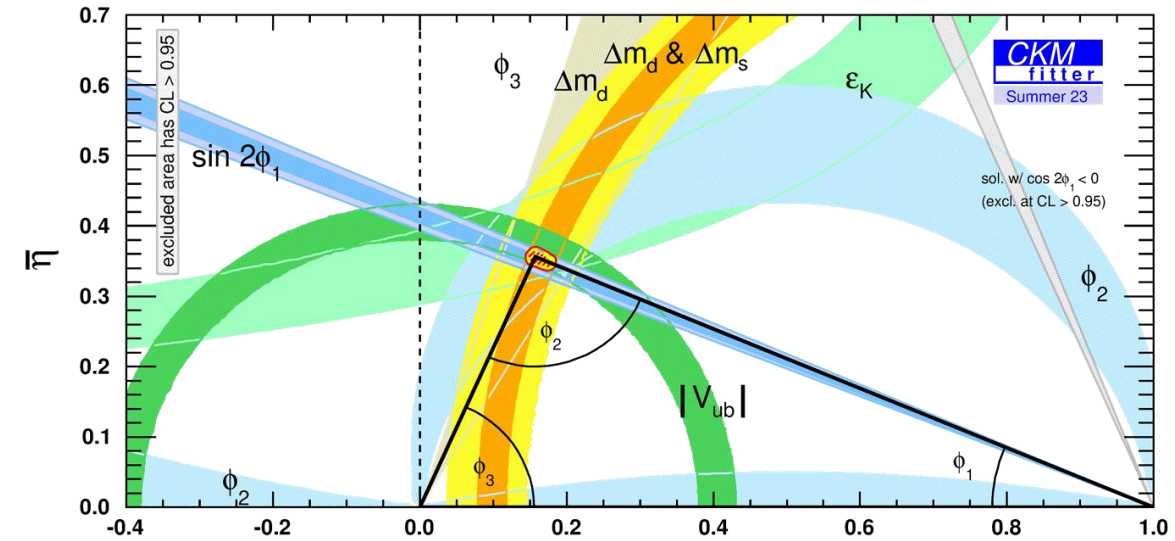
Lepton-Flavor Universality tests

SM Precision Measurements

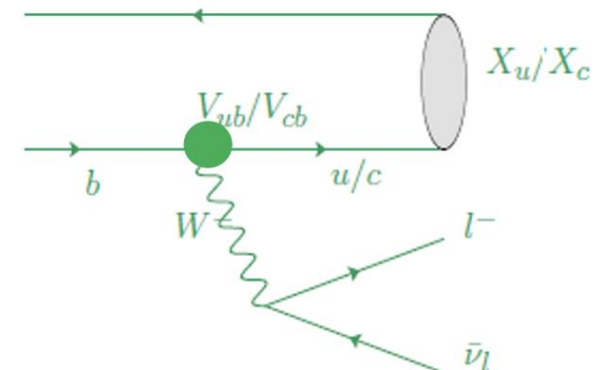
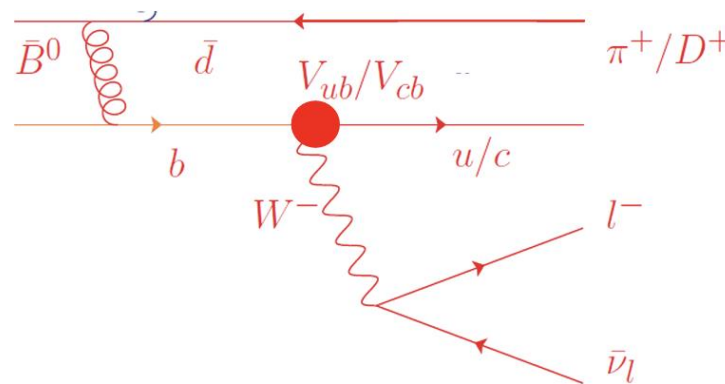
Electroweak Penguins

- $|V_{ub}|$ and $|V_{cb}|$ important to **constrain** CKM Unitarity
- **Precisely** measured with semileptonic B decays

$$\begin{bmatrix} d' \\ s' \\ b' \end{bmatrix} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \begin{bmatrix} d \\ s \\ b \end{bmatrix}$$



Longstanding **tension** among exclusive and inclusive determinations



Motivations of Semileptonic B decays

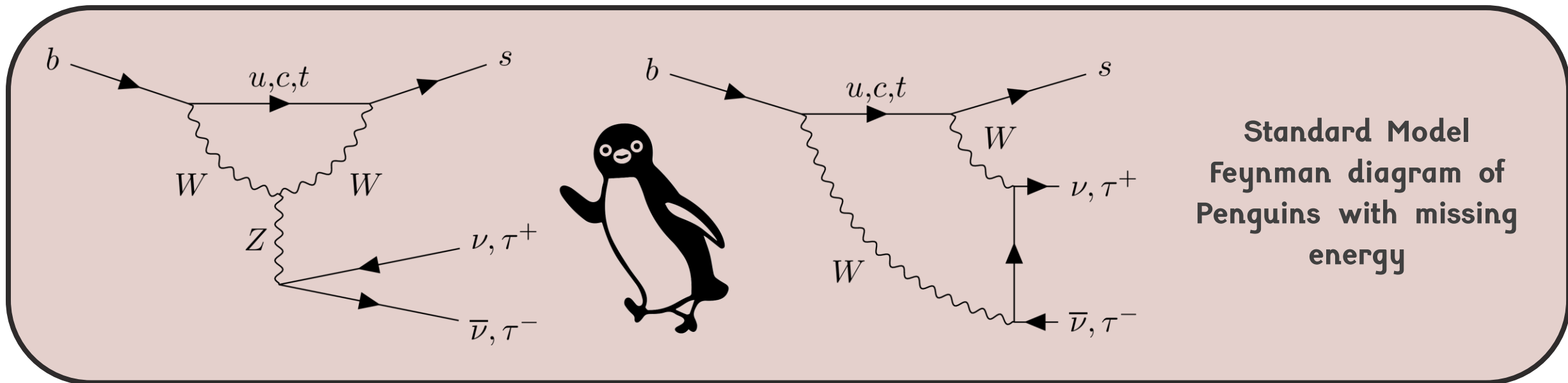
Lepton-Flavor Universality tests

SM Precision Measurements

Electroweak Penguins

Flavor-changing neutral currents are not possible at tree level in the **Standard Model (SM)**
Branching fractions predicted in the range 10^{-7} – 10^{-4} with 5–30% uncertainties (dominated by soft QCD effects).

Highly sensitive to potential **non-SM contributions**.



Belle II and SuperKEKB

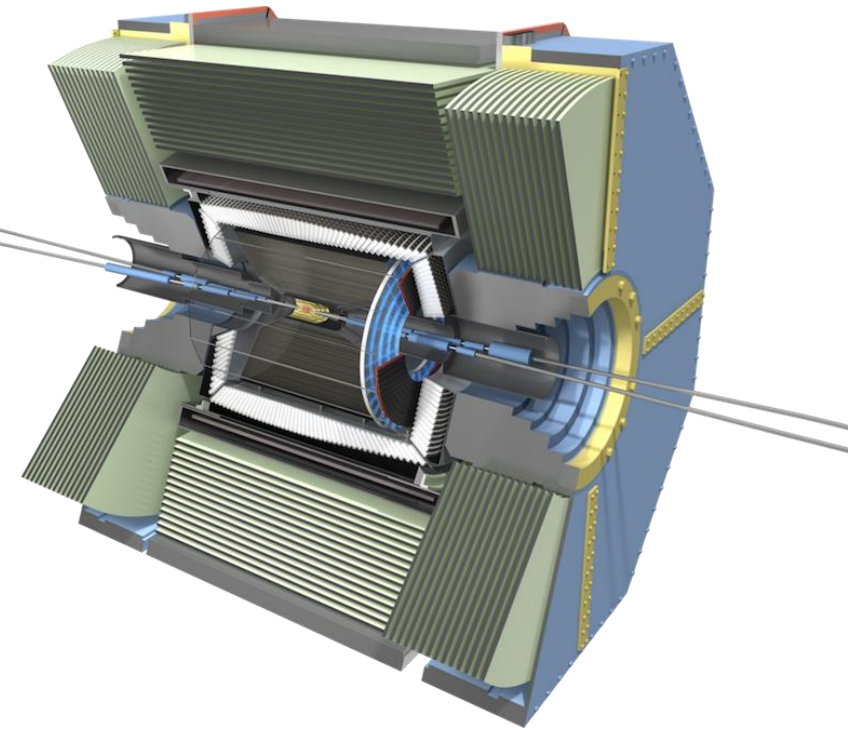
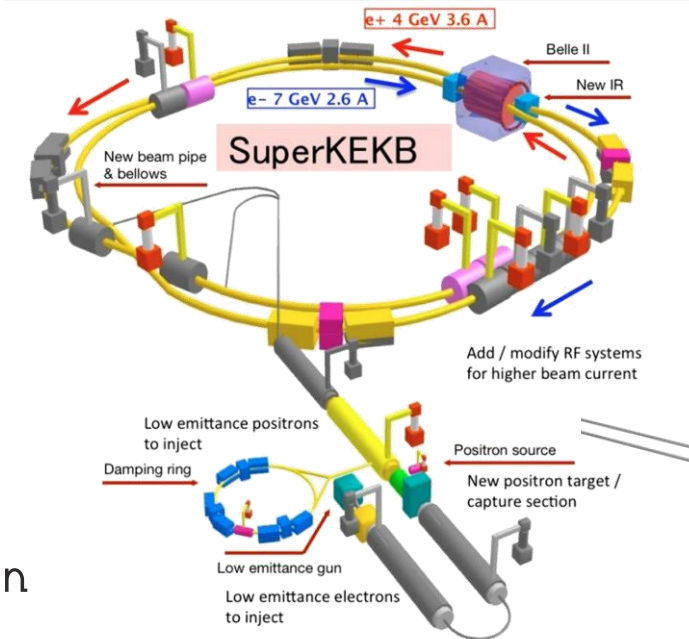
Belle II and SuperKEKB

SuperKEKB

- e^+e^- collider with energies 4 GeV and 7 GeV operating around $\Upsilon(4S)$ resonance.
- Achieved world-record peak Luminosity of $L = 4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$

Belle II

- Nearly 4π detector coverage
- Tracking, PID and photon reconstruction capabilities
- Similar performance for electrons and muons
- Well-suited to measure decays with missing energy, π^0 in the final state, inclusive measurement



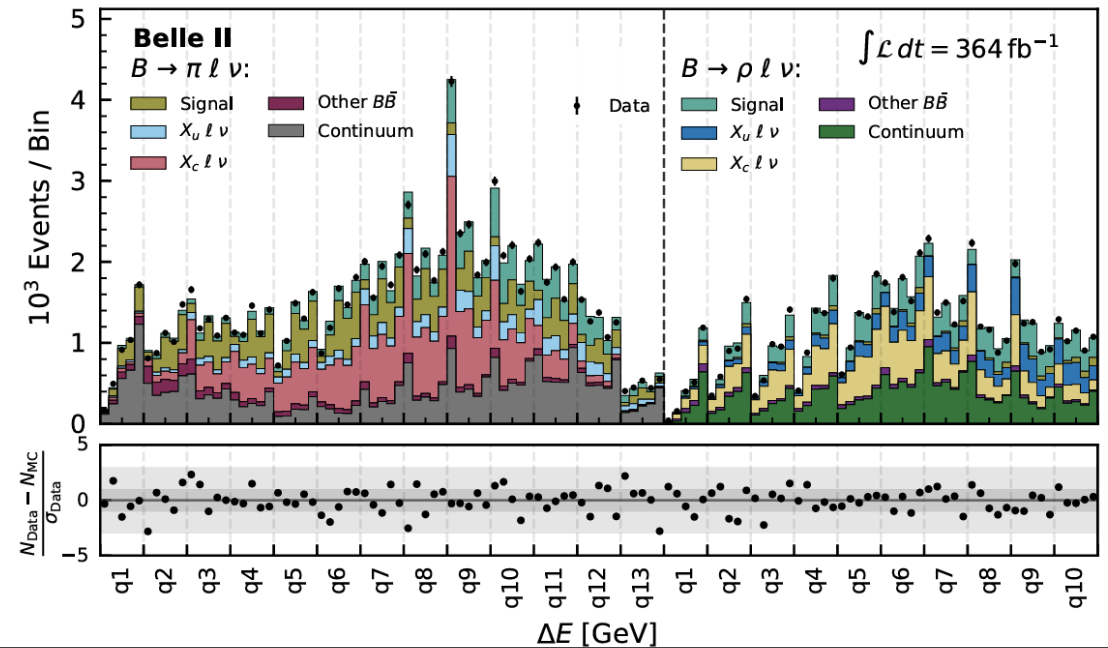
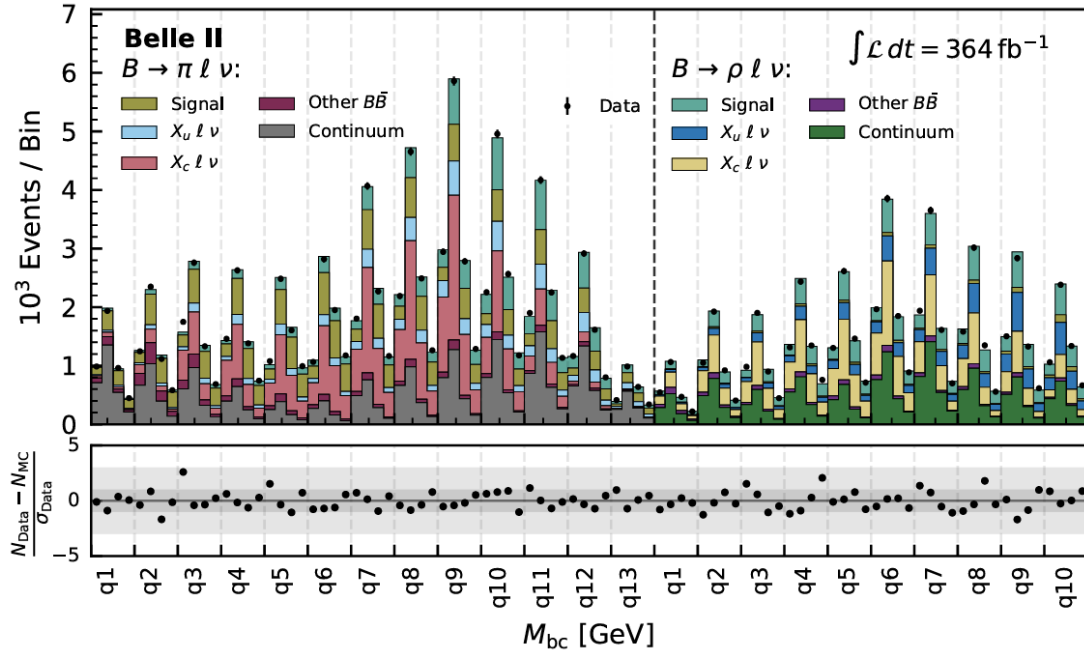
SM Precision Measurements

$|V_{ub}|$ from $B^0 \rightarrow \pi^- \ell^+ \nu$ and $B^+ \rightarrow \rho^0 \ell^+ \nu$

- Full Belle II Run1 dataset of 364 fb^{-1} , untagged
- Non-resonant e^+e^- interactions and B background suppressed using BDTs
- Signal yields extracted from 2 kinematic variables in bins of q^2 simultaneously for $\pi l \nu$ and $\rho l \nu$ mode $\rightarrow (13 + 10) \times 4 \times 5$ bins

$$M_{bc} c^2 = \sqrt{E_{beam}^{*2} - c^2 |\vec{p}_B^*|^2}$$

$$\Delta E = E_B^* - E_{beam}^*$$



$$B(B^0 \rightarrow \pi^- l^+ \nu_l) = (1.516 \pm 0.042(stat) \pm 0.059(syst)) \times 10^{-4}$$

$$B(B^+ \rightarrow \rho^0 l^+ \nu_l) = (1.625 \pm 0.079(stat) \pm 0.180(syst)) \times 10^{-4}$$

Consistent with PDG

$|V_{ub}|$ from $B^0 \rightarrow \pi^- \ell^+ \nu$ and $B^+ \rightarrow \rho^0 \ell^+ \nu$

$|V_{ub}|$ extracted separately from $\pi l \nu$ and $\rho l \nu$ mode using χ^2 fits to the measured q^2 spectra

$$\chi^2 = \sum_{i,j=1}^N (\Delta B_i - \Delta \Gamma_i \tau) C_{ij}^{-1} (\Delta B_j - \Delta \Gamma_j \tau) + \sum_m \chi_{Theory,m}^2$$

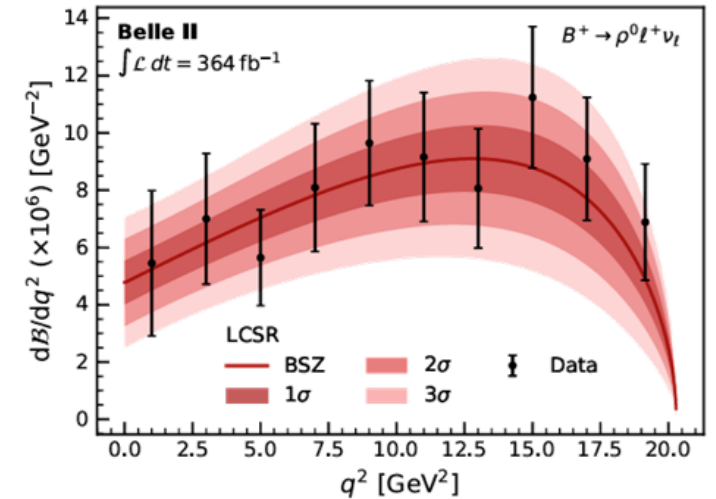
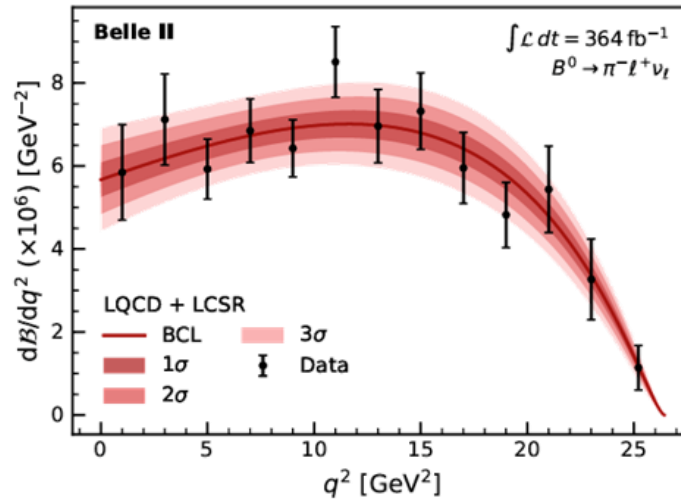
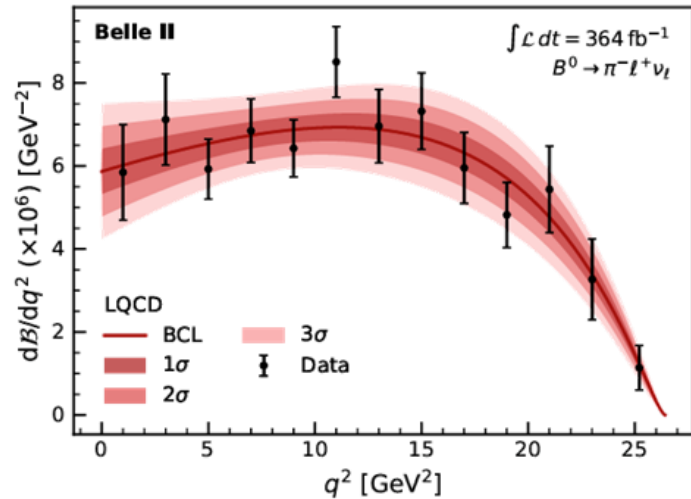
Belle II
Preliminary

Form-factor coefficients:
BCL for $B^0 \rightarrow \pi^- l^+ \nu_l$
BSZ for $B^+ \rightarrow \rho^0 l^+ \nu_l$

$B^0 \rightarrow \pi^- l^+ \nu_l$: $|V_{ub}| = (3.93 \pm 0.09(stat) \pm 0.13(syst) \pm 0.19(theo)) \times 10^{-3}$ LQCD constraints

$|V_{ub}| = (3.73 \pm 0.07(stat) \pm 0.07(syst) \pm 0.16(theo)) \times 10^{-3}$ LQCD+LCSR constraints

$B^+ \rightarrow \rho^0 l^+ \nu_l$: $|V_{ub}| = (3.19 \pm 0.12(stat) \pm 0.17(syst) \pm 0.26(theo)) \times 10^{-3}$ LCSR constraints



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$|V_{ub}|$ from $B^0 \rightarrow \pi^- \ell^+ \nu$ and $B^+ \rightarrow \rho^0 \ell^+ \nu$

$B^0 \rightarrow \pi^- \ell^+ \nu_l$:

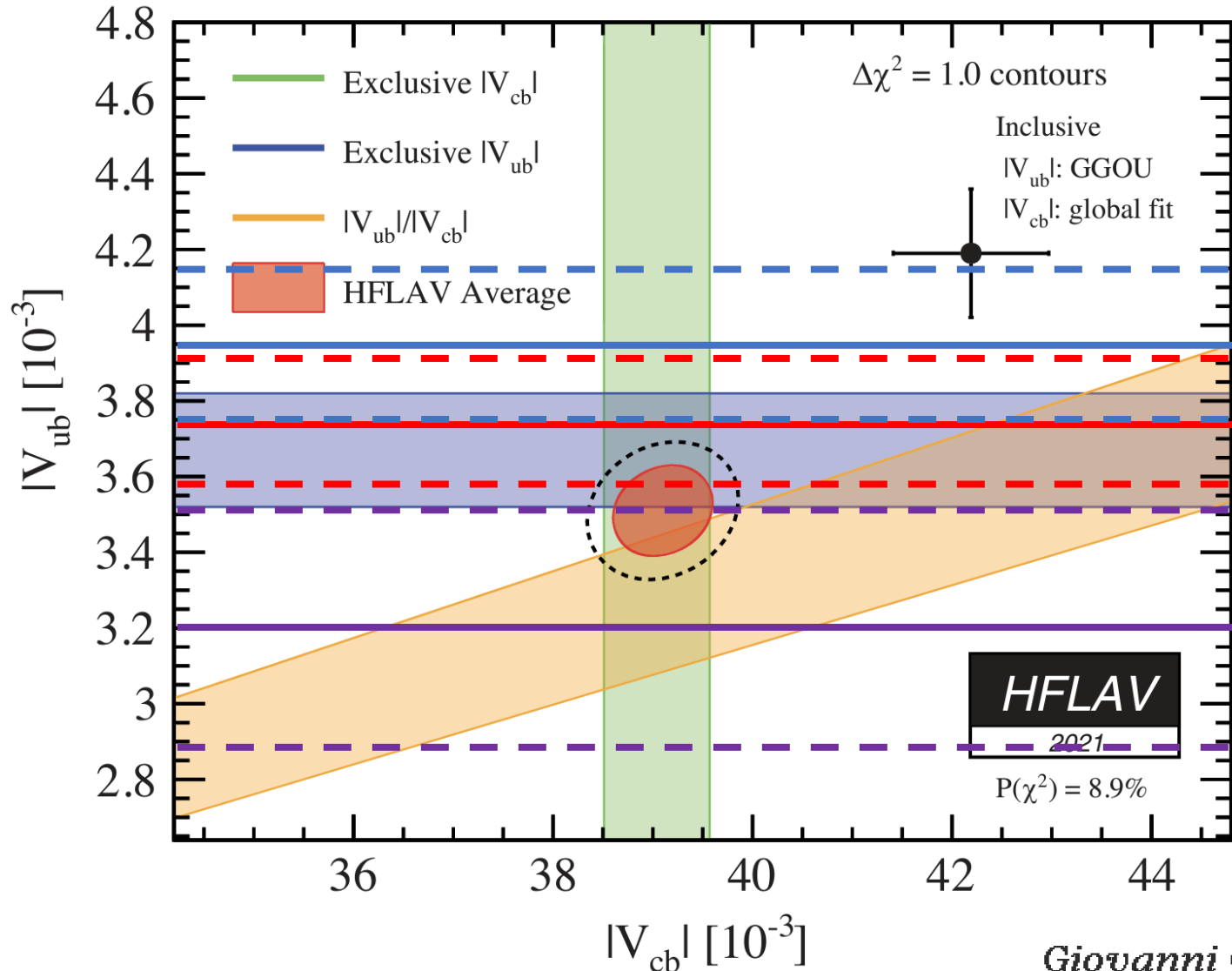
$$(3.93 \pm 0.19) \times 10^{-3}$$

$$(3.73 \pm 0.16) \times 10^{-3}$$

$B^+ \rightarrow \rho^0 \ell^+ \nu_l$:

$$(3.19 \pm 0.33) \times 10^{-3}$$

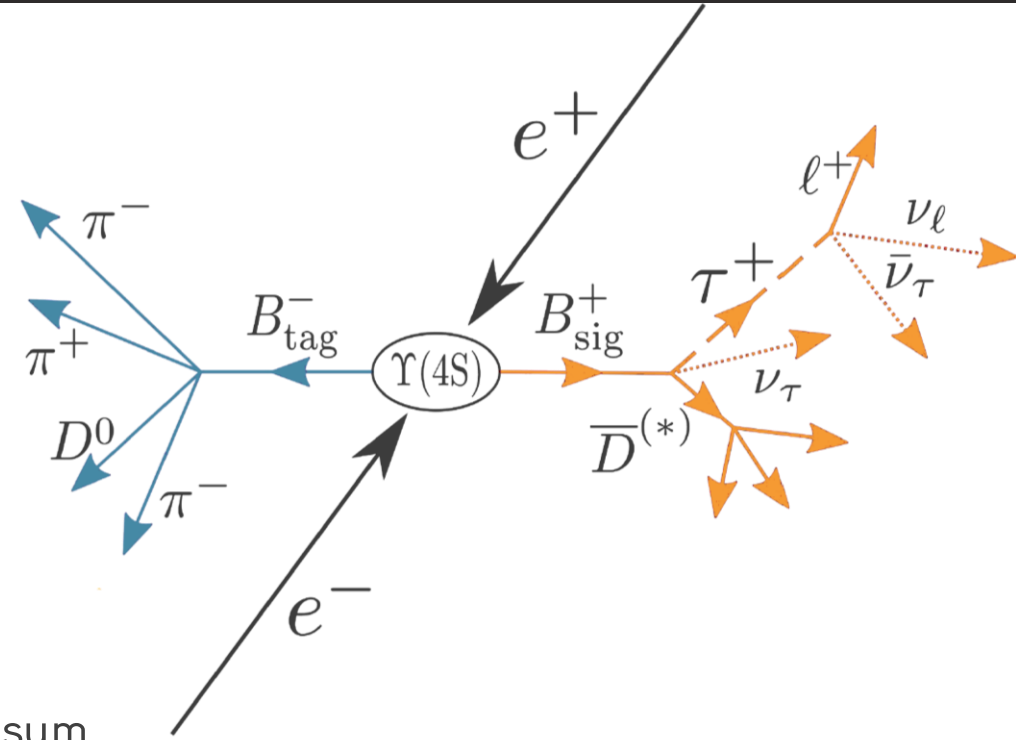
- Reducing the tension with $|V_{ub}|$ inclusive
- Still large uncertainty



Lepton Flavor Universality tests

Measurement of $R(D_{\tau/\ell}^*)$

- Dataset Luminosity: $L = 189/fb$
- Hadronic decay of the B_{tag} .
- Reconstruct τ and light lepton decays into the same final state particles to cancel many systematic uncertainties.
- Reconstruct the D^* in the following channels: $D^* \rightarrow D^0\pi/D\pi^0 - D^{*0} \rightarrow D^0\pi^0$.
- Rest of the event: no good quality tracks, no π^0 candidates. The sum of all the neutral extra clusters energy is called E_{ECL} .
- The main challenges are the separation between the $\tau(3\nu)$ and $\ell(1\nu)$ final states and the poor understood $B \rightarrow D^{**}\ell\nu$ backgrounds.



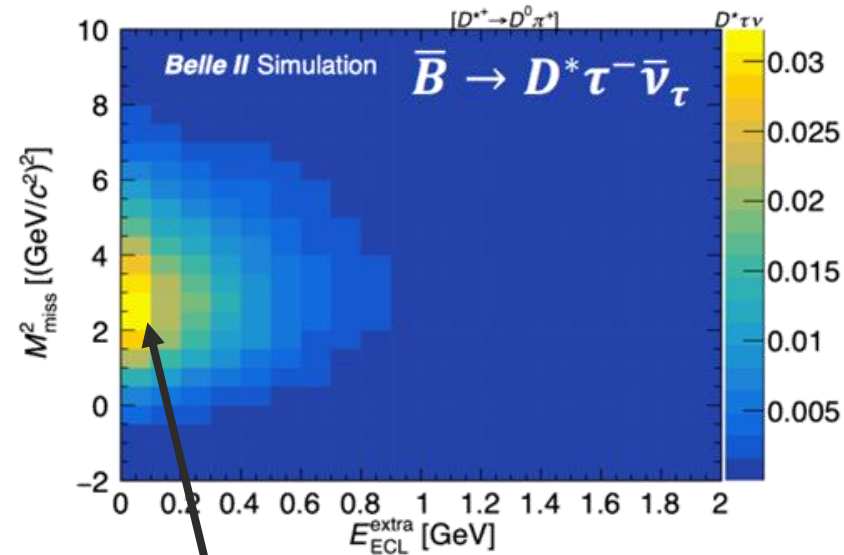
$$R(D_{\tau/\ell}^*) = \frac{B(B \rightarrow D^* \tau \nu)}{B(B \rightarrow D^* \ell \nu)}$$

Signal extraction

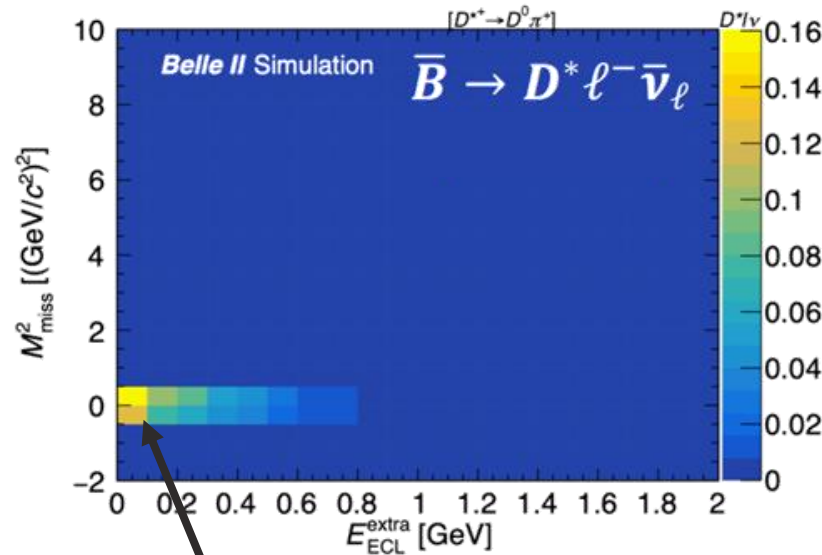
Two-dimensional binned likelihood fit to

- E_{ECL} : energy from neutral clusters remaining in the calorimeter after removing all reconstructed particles
- $M_{miss}^2 = (p_{e^+e^-} - p_{B_{tag}} - p_{D^*} - p_{\ell})^2$ missing mass of the event

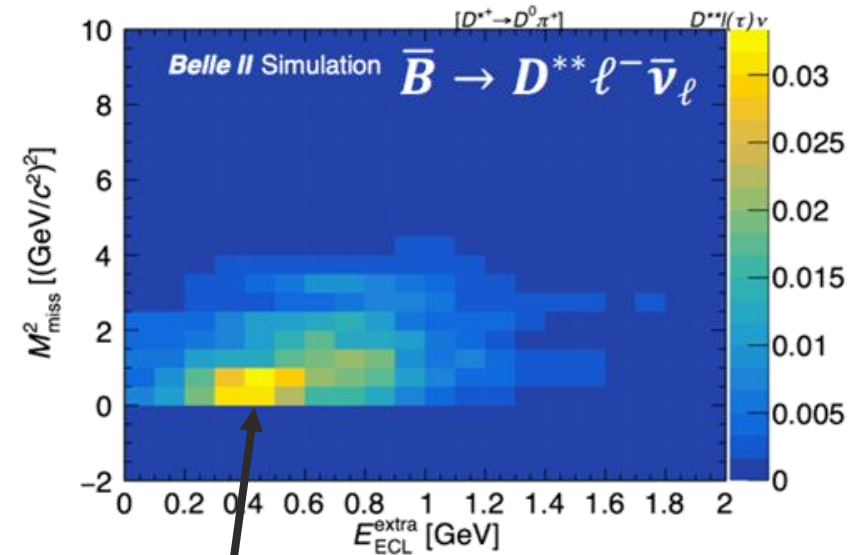
Comparable sensitivities between B^+ and B^0



Peaked around $E_{ECL} = 0$
and $M_{miss}^2 \approx 3 \text{ GeV}^2$



Peaked around $E_{ECL} = 0$
and $M_{miss}^2 = 0$



Higher E_{ECL} and M_{miss}^2 :
daughters of D^{**}

Results

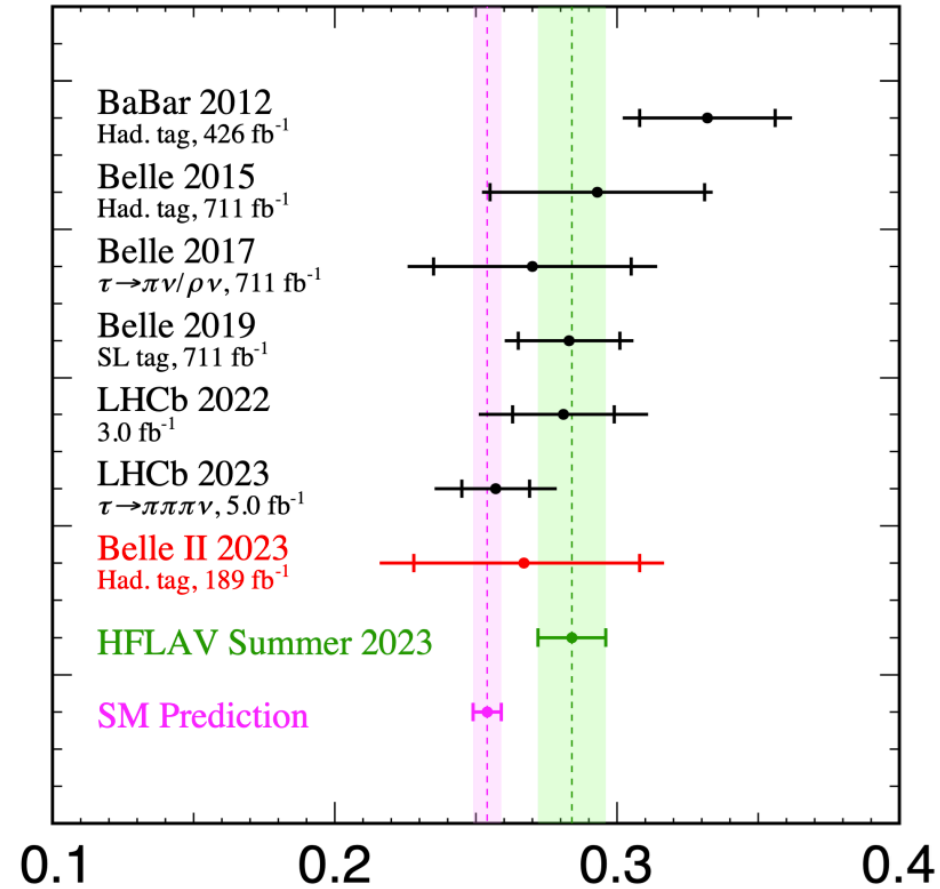
Belle II preliminary result

$$R(D_{\tau/\ell}^*) = 0.262_{-0.039}^{+0.041}(\text{stat})_{-0.032}^{+0.035}(\text{sys})$$

- First result from Belle II data
- Main systematics: MC statistics, shape of E_{ECL}
- Consistent with SM and HFLAV
- Previous version presented in [Lepton Photon 2023](#)
- Minor updates applied

In the future:

- Update of the measurement with 362/fb in progress
- Belle II will provide the most precise experimental information to resolve the $R(D)$ and $R(D^*)$ anomalies [[Snowmass White Paper: 2207.06307](#)]

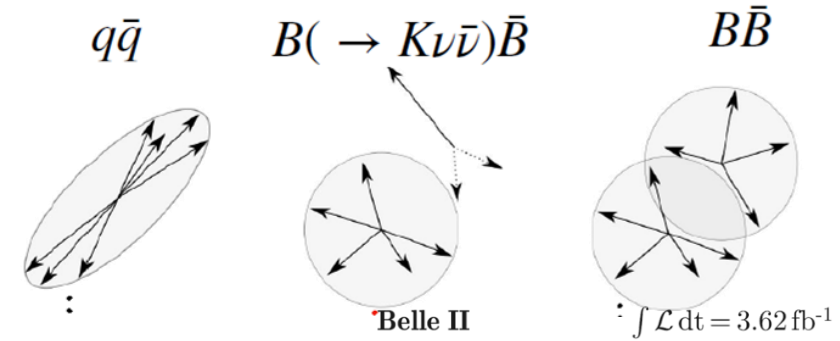


Electroweak Penguins



Measurement of $B \rightarrow K \nu \bar{\nu}$

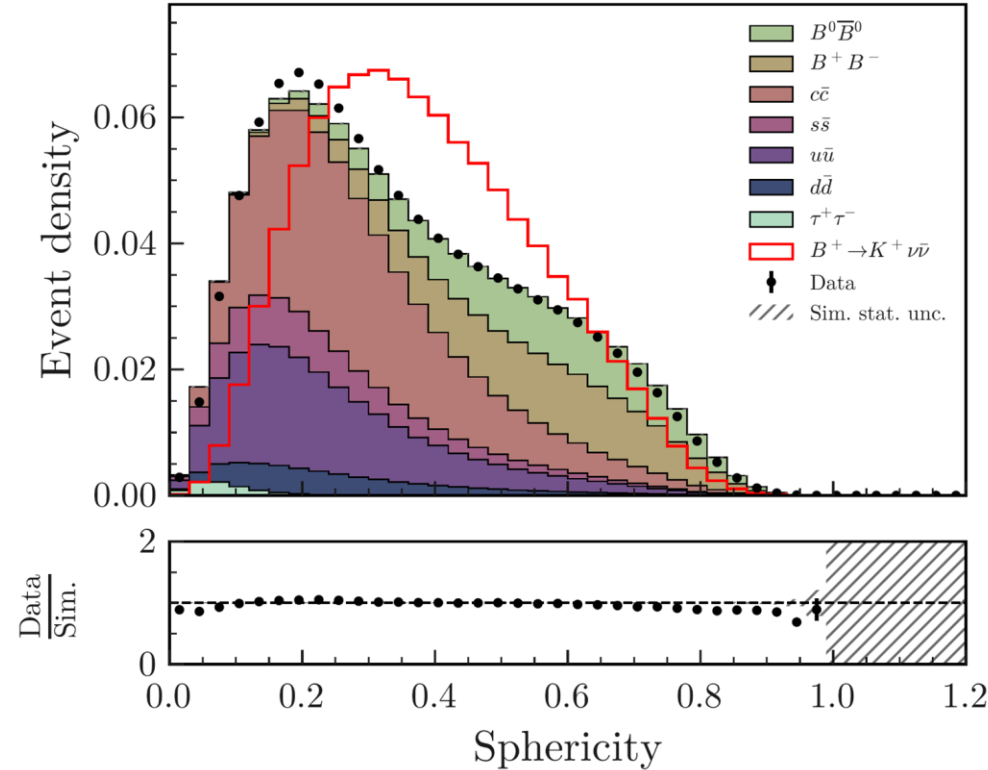
- Dataset Luminosity: $L = 364/fb$
- The final analysis is the combination of 2 measurements:
 - ITA:** Innovative Methode, more sensitive.
 - HTA:** Hadronic Tag Analysis (Conventional way, cross check for the inclusive analysis)



Focus on the Inclusive Tag: Two consecutive classifiers with signal kaon (the one with the lowest q^2), event shape and Rest of Event information

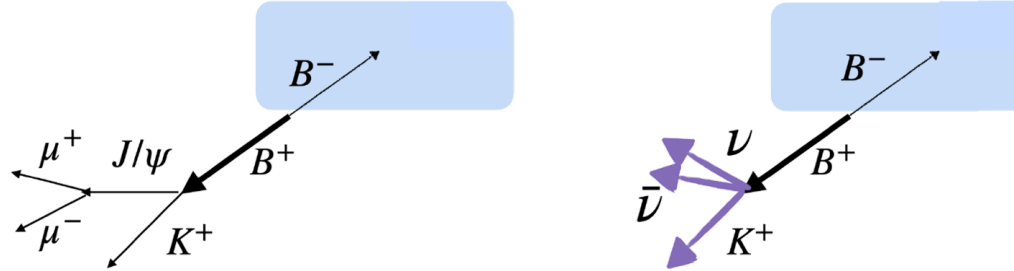
Final observables: q^2_{rec} in different second classifier (BDT) bins

$$q^2 = \frac{s}{4c^4} + M_K^2 - \frac{\sqrt{s} E_K^*}{c^4}$$



Control Sample studies

Signal Efficiency Validation: $B \rightarrow J/\psi K$ sample, removing J/ψ and correcting K^+ kinematics



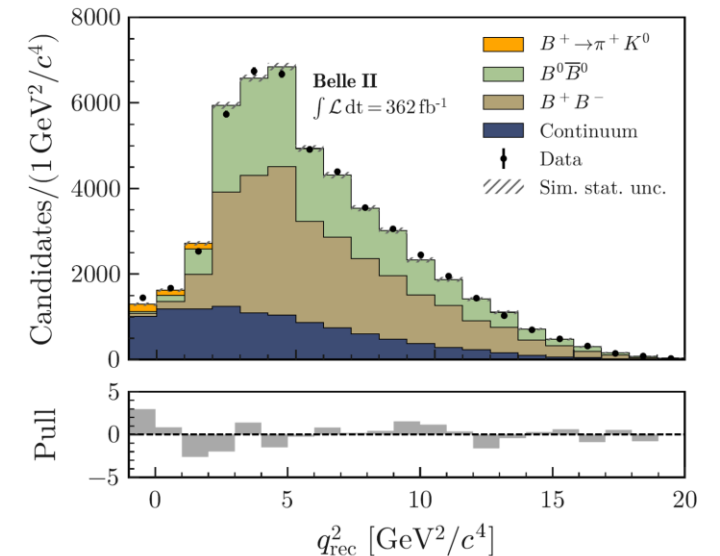
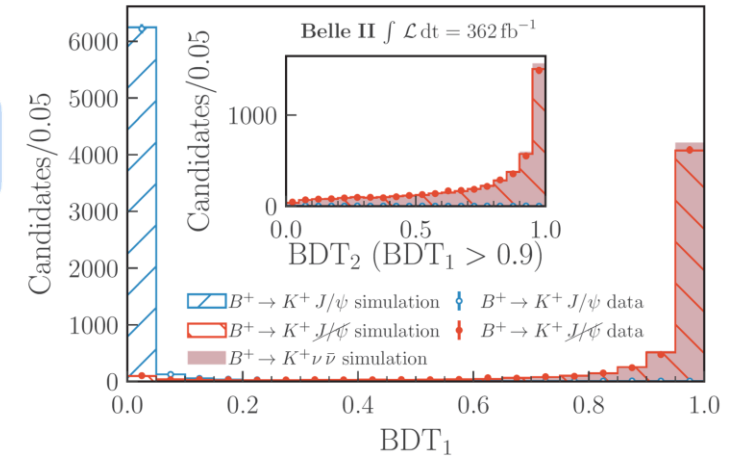
Background Validation:

- $q\bar{q}$: off-resonance data
- Undetected K_L in ECL can mimic neutrinos:
 - K_L efficiency: $e^+e^- \rightarrow \gamma\phi(\rightarrow K_L K_S)$
 - Corrections for $B^+ \rightarrow K^+ K_L K_L$ background from $B^+ \rightarrow K^+ K_S K_S$
 - $B \rightarrow X_c(K_L X)K$ corrected using a pion enriched sample

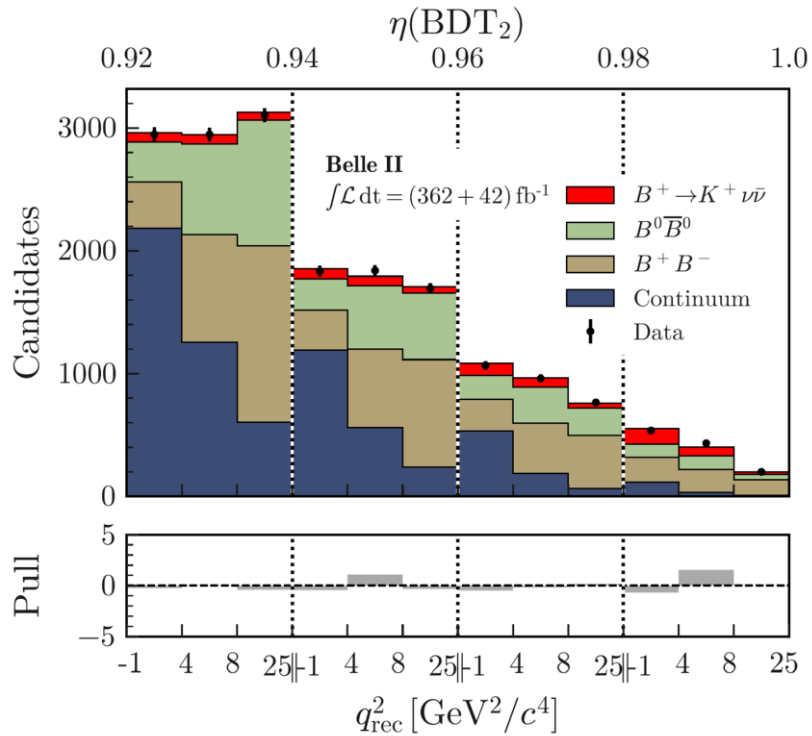
Closure validation measuring:

$$B(B^+ \rightarrow \pi^+ K^0) = (2.5 \pm 0.5) \times 10^{-5}$$

Compatible with PDG $(2.38 \pm 0.08) \times 10^{-5}$



Evidence for $B^+ \rightarrow K^+ \nu \bar{\nu}$



ITA

$$BR = [2.4 \pm 0.5 \pm 0.5] \times 10^{-5}$$

Excess Significance: 3.5σ
 2.9σ SM deviation

HTA

$$BR = [1.1^{+0.9+0.8}_{-0.8-0.5}] \times 10^{-5}$$

Excess Significance: 1.1σ
 0.6σ SM deviation

[arXiv:2311.14647](https://arxiv.org/abs/2311.14647)
 Accepted by PRD

Total Compatibility:
 $\chi^2/gdl = 5.6/5$

Combination

ITA and HTA compatibility: 1.2σ

$$BR(B^+ \rightarrow K^+ \nu \bar{\nu}) = [2.3 \pm 0.5(\text{stat})^{+0.5}_{-0.4}(\text{sys})] \times 10^{-5}$$

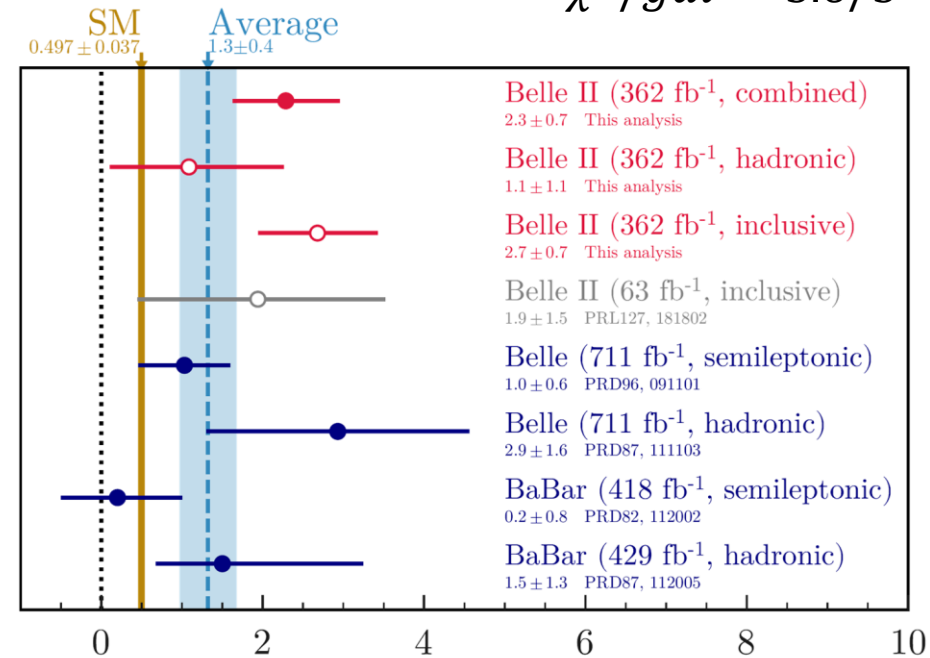
Excess Significance: 3.5σ
 SM Deviation: 2.7σ

HTA

Compatible with
 previous
 measurements

ITA

1.8σ tension
 with **Belle**
 2.3σ tension
 with **BaBar**



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Conclusions

Belle II at SuperKEKB: rich and diversified physics program to probe new physics in an indirect way

Few highlights presented today, using full or partial dataset

- First evidence of $B^+ \rightarrow K^+ \nu \bar{\nu}$, 2.8σ above the SM prediction
- New Exclusive $|V_{ub}|$ measurement from untagged $B \rightarrow \pi/\rho \ell \nu$
- New measurement of $R(D_{\tau/\ell}^*)$

More and more results to discuss (2 other important results in the backup)

More and more data to analyze

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Belle II General Meeting, KEK, June 2024



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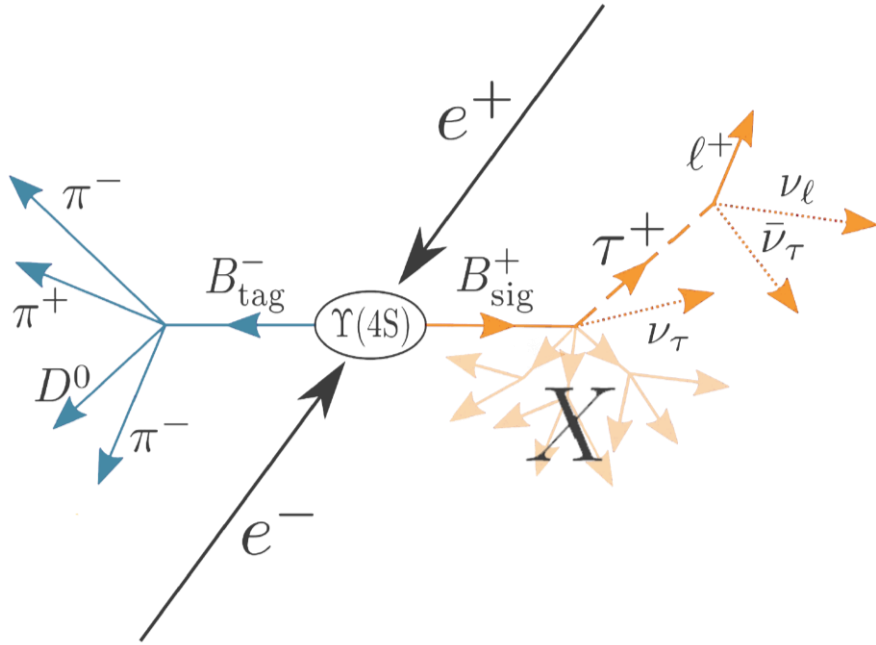


Thanks for the attention
and for the wonderful location!



Backup

Measurement of $R(X_{\tau/\ell})$



$$R(X_{\tau/\ell}) = \frac{B(B \rightarrow X\tau\nu)}{B(B \rightarrow X\ell\nu)}$$

- Dataset Luminosity: $L = 189/fb$
- Hadronic decay of the B_{tag} .
- Reconstruct τ and light lepton decays into the same final state particles to cancel many systematic uncertainties. $p_e > 0.3$ (0.5) GeV and $p_\mu > 0.4$ (0.7) GeV in CMS (lab)
- The remaining particles on the signal side are collectively referred to as X
- Main challenge: correct model of backgrounds.

Data driven MC Corrections and Fit

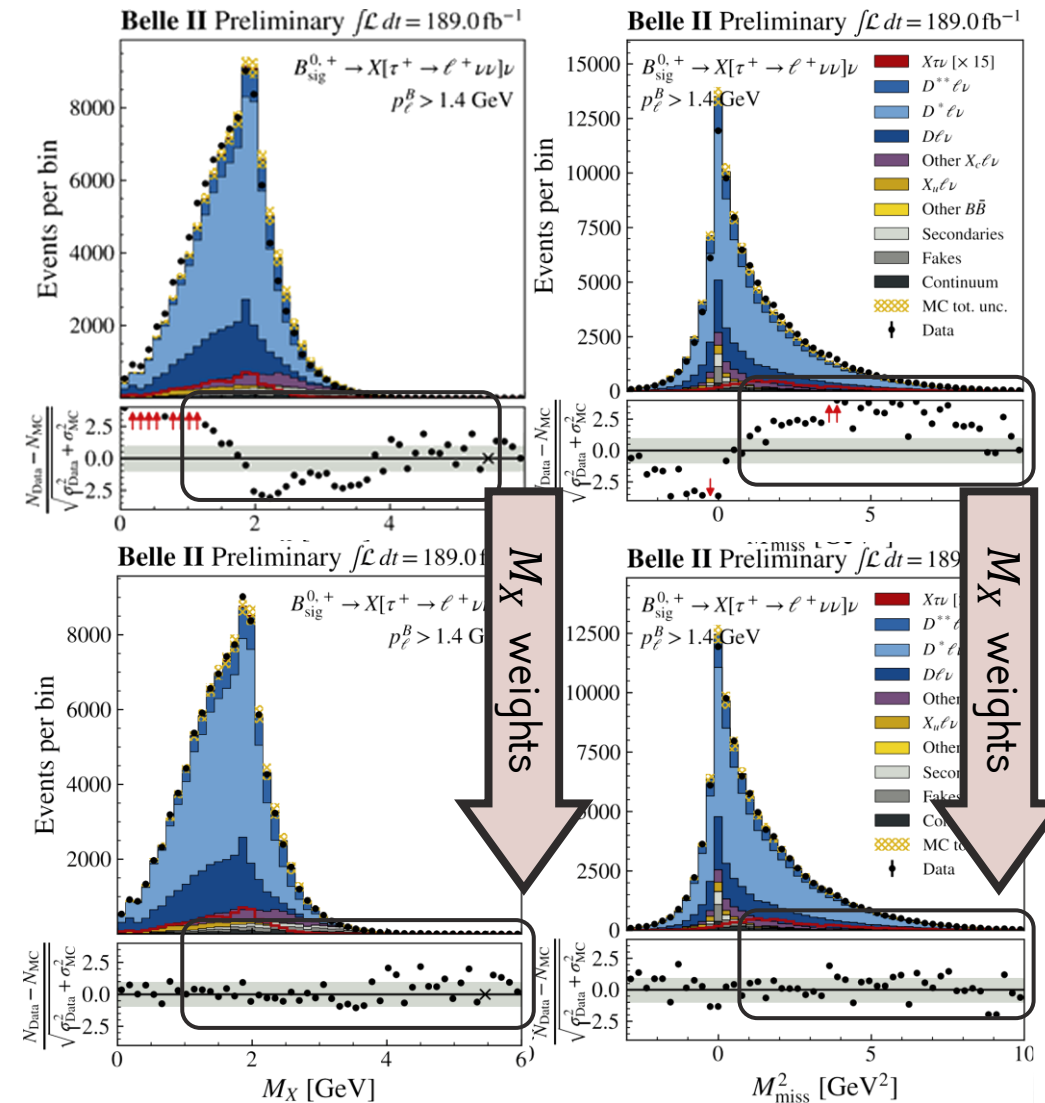
MC corrections:

- Detailed adjustments to MC (FFs, B and D BF)
- Detailed corrections based on comparisons of simulation with control regions: low q^2 , low M_{miss}^2 , high M_X .

Final Fit:

- 34 bins in p_ℓ vs M_{miss}^2
- Fit components: $X\tau\nu$, $X\ell\nu$, $B\bar{B}$ background (fakes and secondaries) and continuum (off resonance data*)

*Off resonance data: data taken under 60 MeV the $\Upsilon(4S)$ threshold



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Data driven MC Corrections and Fit

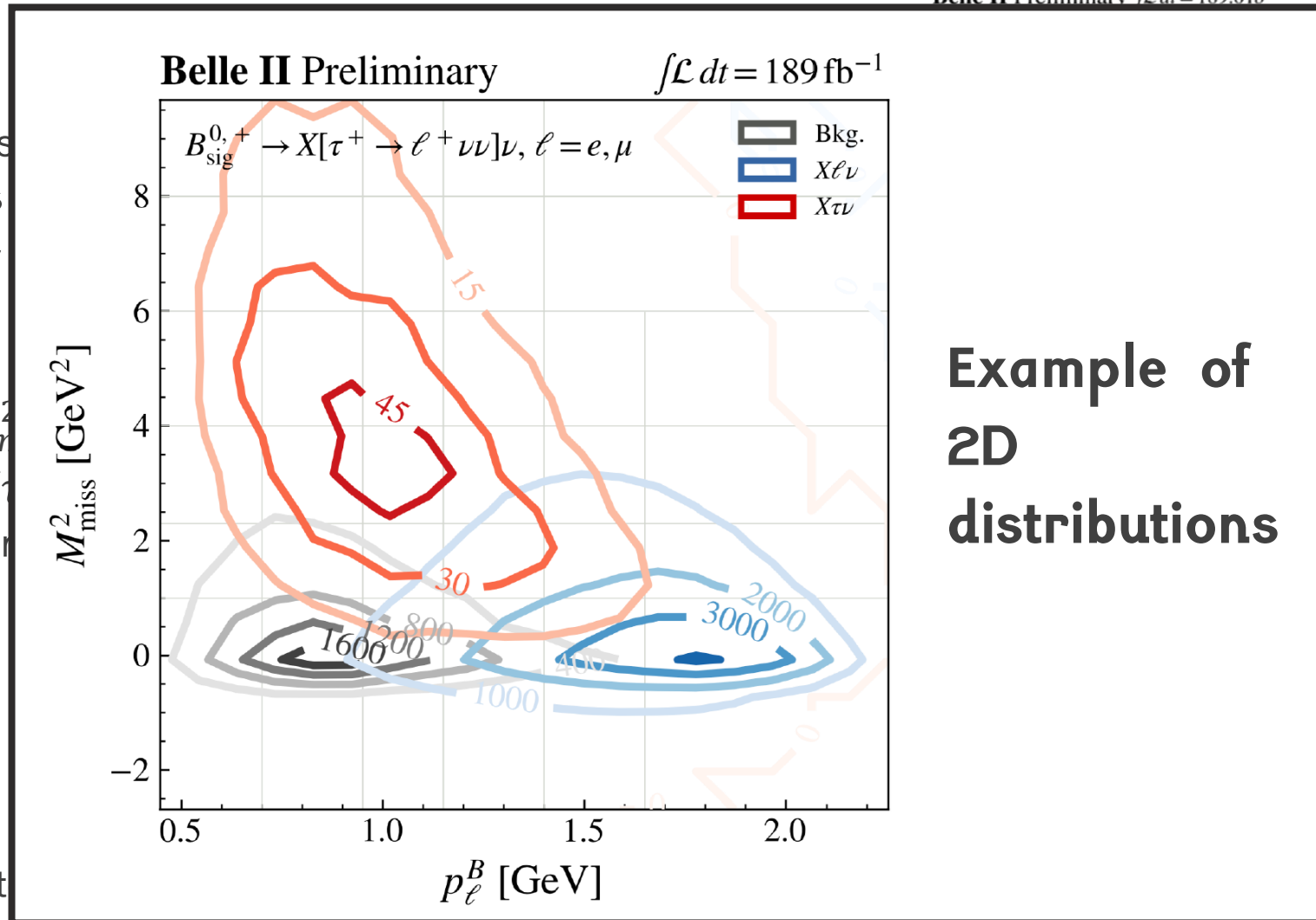
MC corrections:

- Detailed adjustments
- Detailed corrections control regions: low

Final Fit:

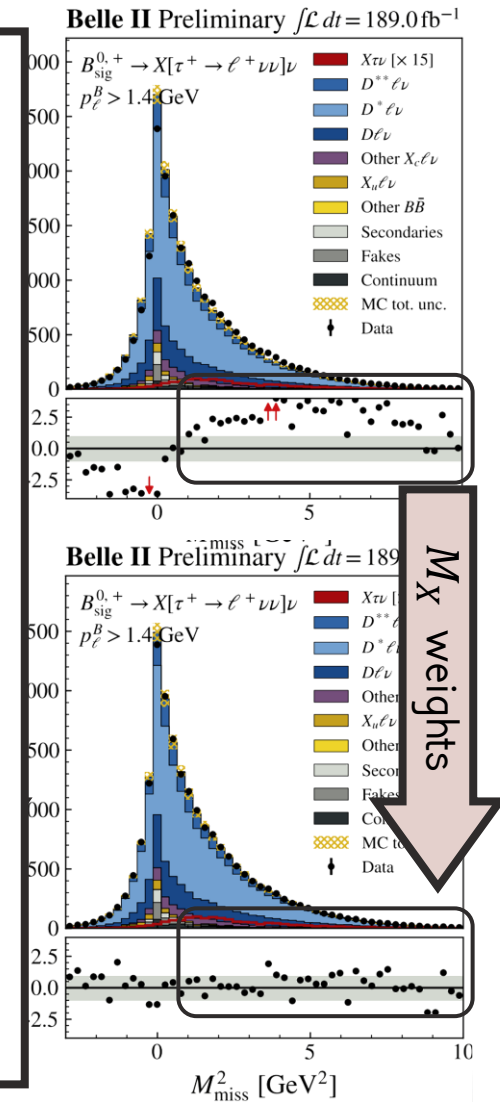
- 34 bins in p_ℓ vs M_{τ}^2
- Fit components: $X\tau$ (secondaries) and con

*Off resonance data: dat



Belle II Preliminary $\int \mathcal{L} dt = 189.0 \text{ fb}^{-1}$

Example of 2D distributions



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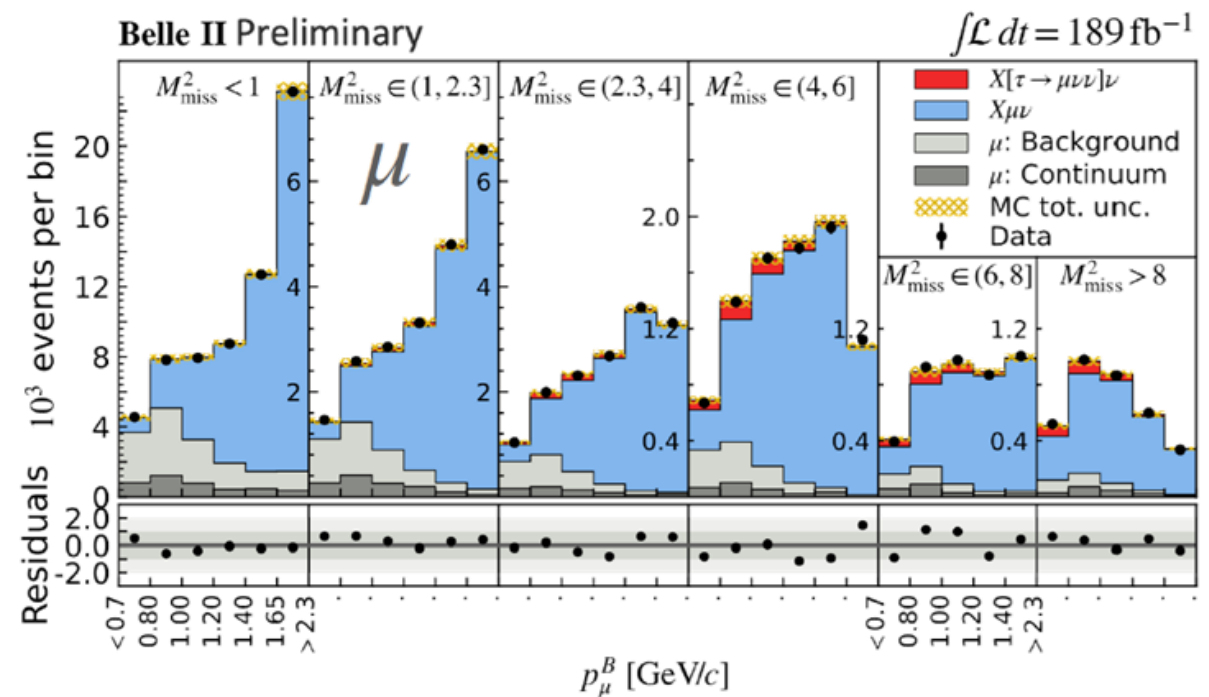
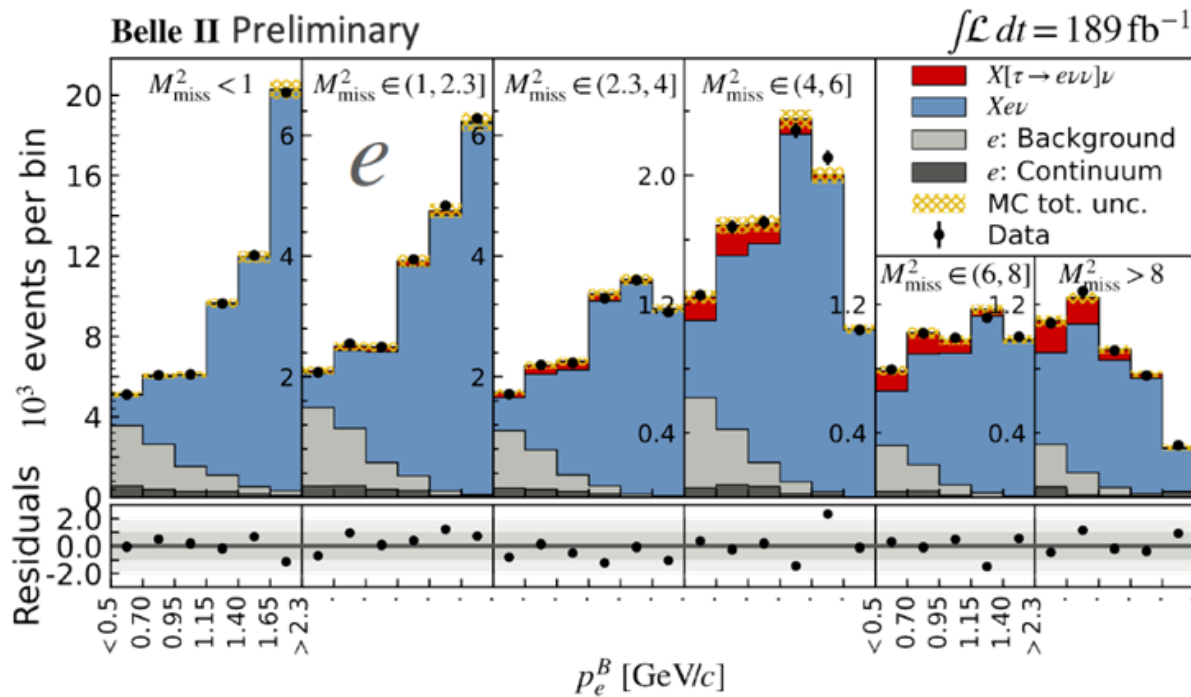
Results

Belle II preliminary result

$$R(X_{\tau/\ell}) = 0.228 \pm 0.016(stat) \pm 0.036(sys)$$

dominated by gap modes branching fraction,
 $B \rightarrow D^*$ form factors, background shape

- Largest systematics: signal and background model
- Consistent with previous measurements from LEP, the SM expectation and constraints from $R(D^*)$. Rough SM expectation: $R(X_{\tau/\ell}) \approx 0.223$ [JHEP11(2022)007]



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Backup

Measurement of $B^0 \rightarrow K^{*0} \tau^+ \tau^-$

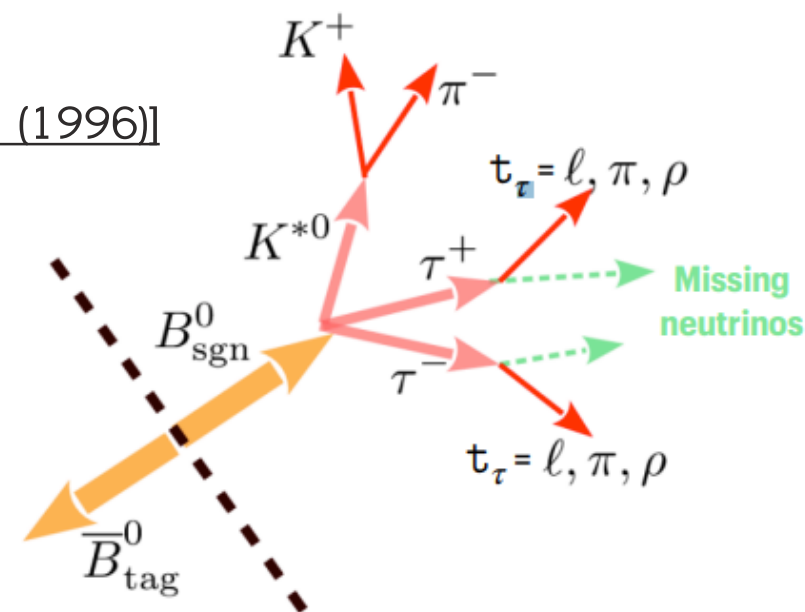
- Dataset Luminosity: $L = 364/fb$
- **Hadronic Tag** Analysis

Challenges

- Low Branching Fraction: $BR_{SM} = (0.98 \pm 0.10) \times 10^{-7}$ [PRD 53, 4964 (1996)]
- No signal peaking kinematic observable
- Large backgrounds+more than 3 prompt track
- Up to 4 neutrinos originating from the 2 τ
- K^{*0} has low momentum due to the phase space

Status of the Art:

Belle ($L = 711/fb$): $BR < 3.1 \times 10^{-3}$ at 90% CL
[PRD 108 L011102 (2023)]

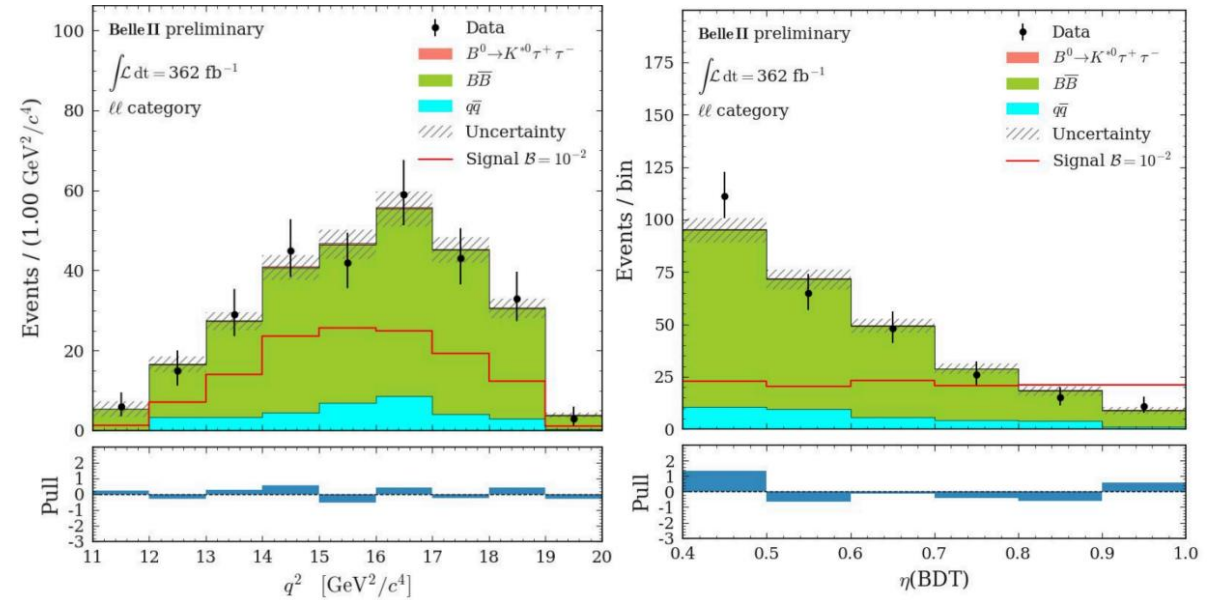


Strategy and Results

- Combinations of sub-track from τ lead to 4 categories: $\ell\ell, \ell\pi, \pi\pi, \rho X$
- **BDT** is trained using missing energy, extra cluster energy in EM calorimeter, q^2 , etc.
- BDT output $\eta(\text{BDT})$ is used to extract the signal yield with simultaneous fit to 4 categories

Validation:

- Total efficiency and Peaking $B\bar{B}$: $B^0 \rightarrow K^{*0}J/\psi$ sample, replace $K^{*0}J/\psi$ with $K^{*0}\tau^+\tau^-$ (14% uncertainty)
- Non-peaking $B\bar{B}$: sample with B_{sig} and B_{tag} and having same flavor
- $q\bar{q}$ background is scaled by off-resonance data



Belle II Preliminary Result
 $BR < 1.8 \times 10^{-3}$ at 90% CL

Twice better with only half sample wrt Belle!
 Better tagging + more categories + BDT classifier

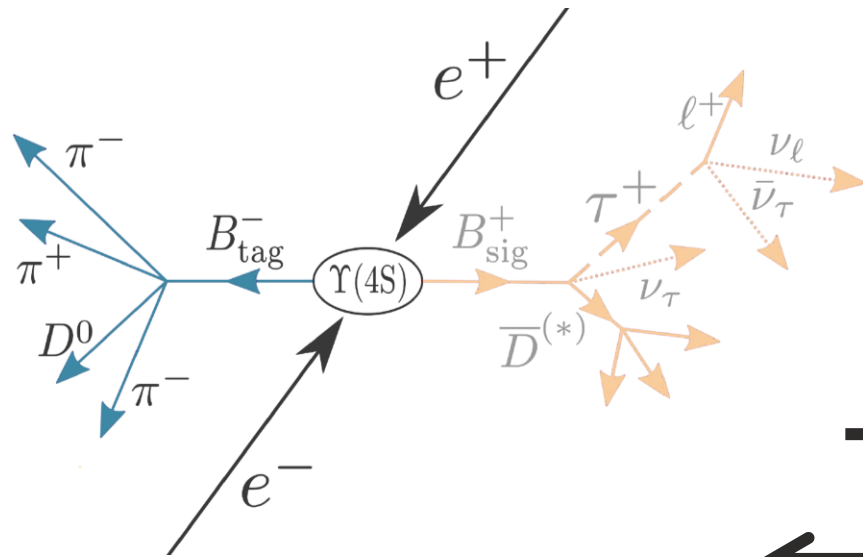
Missing Energy decays at Belle II

Reconstruction technique

Two different algorithms to reconstruct events with at least one neutrino in the final state

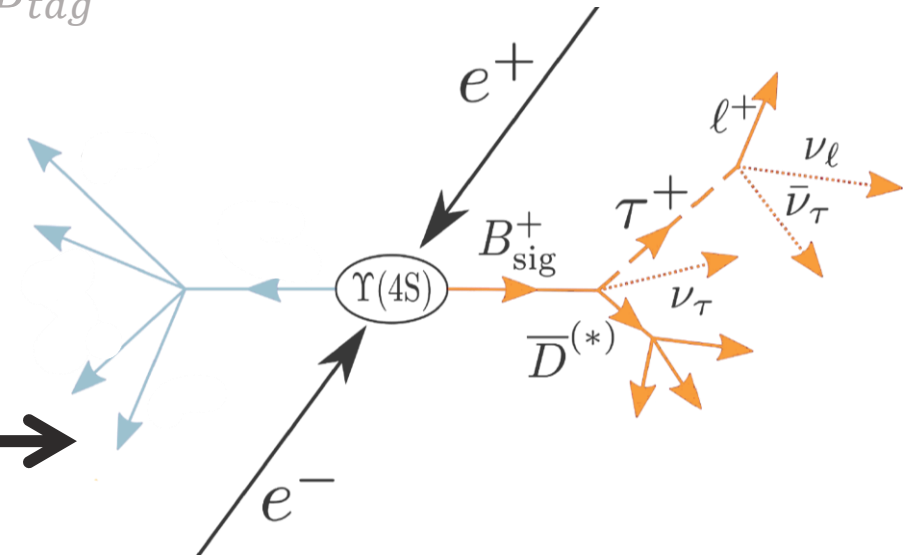
Full Event Interpretation (FEI):

1. Reconstruct all the decay chain of a B meson (both hadronic and semileptonic ways)
2. Search for the signal signature in the B_{tag} recoil



Inclusive Tag or Untagged:

1. Reconstruct the signal signature, identifying the B_{sig}
2. All the remaining tracks and clusters represent the B_{tag}



Efficiency

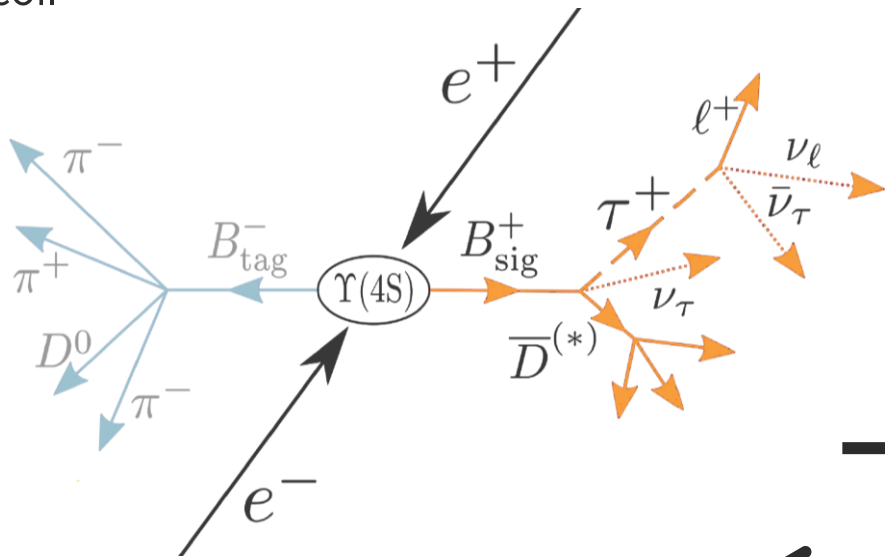
Purity

Reconstruction technique

Two different algorithms to reconstruct events with at least one neutrino in the final state

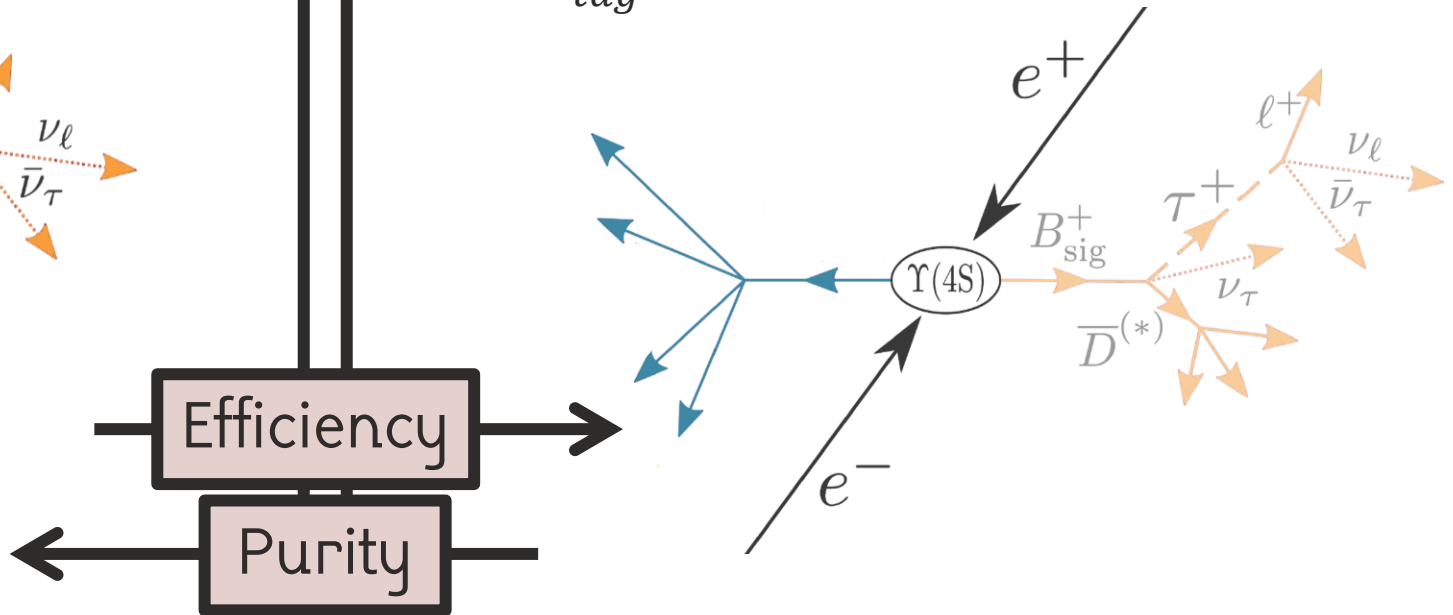
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Control Samples Background Validation

The final result is extracted using a MonteCarlo template fit. To validate/correct the shape and the normalization three different control samples:

