Tau physics at Belle II

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Why τ leptons?

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- τ lepton is the heaviest lepton in the Standard Model (SM) with both leptonic and hadronic decay modes.
- Larger mass compared to muon makes τ lepton more sensitive to some models of New Physics (NP).

Broad range of available measurements:

- Precise measurements of properties with possibility of CPT tests:
 - Mass
 - Lifetime
 - Electric and Magnetic DM
- Study of pure leptonic decays:
 - Lepton flavor universality (LFU)
 - Michel parameters



- QCD
- LFU
- CP violation (CPV)
- Direct search for New Physics:
 - Lepton flavor violation (LFV)
 - Lepton number violation (LNV)
 - Baryon number violation (BNV)
 - Invisible particles





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Why at Belle II?

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- e⁺e⁻ collisions outperform hadron machines in
 τ physics (clean environment and precise energy)
- B-factories BaBar, Belle and Belle II are perfect for the τ lepton studies due to unprecedented τ⁺τ⁻ data samples

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- Belle II has collected $4.9 \times 10^8 \tau^+ \tau^-$ pair events
- Significant improvements on the trigger for low-multiplicity events

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Why at Belle II?



- 96.2% of ee collisions do Bhabha scattering background
- Remaining 3.8 % compose Belle II physics program
 - $9.7\% \Upsilon(4S) \rightarrow BB$
 - 7.76% $\tau\tau$ production



- Advantages:
 - Clean physics environment, known initial state
 - Missing energy reconstruction
 - high trigger efficiency

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\sigma[e^+e^- \to e^+e^-(\gamma)] = 300 \ nb
\sigma[e^+e^- \rightarrow \gamma\gamma(\gamma)] = 4.99 \ nb
\sigma[e^+e^- \rightarrow u\bar{u}] = 1.61 \, nb
\sigma[e^+e^- \rightarrow c\bar{c}] = 1.3 \ nb
\sigma[e^+e^- \rightarrow \mu\mu] = 1.15 \ nb
\sigma[e^+e^- \to \Upsilon(4S)] = 1.11 \ nb
\sigma[e^+e^- \rightarrow \tau\tau] = 0.9 \ nb
\sigma[e^+e^- \to d\bar{d}] = 0.4 \ nb
\sigma[e^+e^- \rightarrow s\bar{s}] = 0.38 \, nb
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- Possible studies
 - High precision studies
 - Searches for rare decays

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- SM τ decays are not fully reconstructable due to missing neutrino
- Identify $\tau^+\tau^-$ events using thrust axis (\hat{t}):
 - Maximizes projection of all final state particle momenta in event:
- Define two hemispheres divided by the plane perpendicular to the thrust axis
- Reconstruct tag-side tau in standard model 1-prong or 3-prong decay
 - Exclusive \rightarrow use only 1-prong or 3-prong events
 - High purity, less efficiency
 - Inclusive \rightarrow do not reconstruct tag-side tau in a specific mode e^+
 - Higher signal efficiency
 - Higher background levels





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SM Measurements: Motivation



- Precision measurement of tau quantities can have significant impact
 - First row unitarity of CKM-Matrix (Cabibbo-angle-anomaly)
 - $\mathcal{B}(\tau \to K\nu)/\mathcal{B}(\tau \to \pi\nu) \sim |V_{\rm us}|/|V_{\rm ud}|$
 - Mass of tau is the one with worst (relative) precision among leptons

 $m_e = (0.51099895000 \pm 0.0000000015) \text{ MeV}/c^2$

 $m_{\mu} = (105.6583755 \pm 0.0000023) \,\mathrm{MeV}/c^2$

 $m_{ au} = (1776.86 \pm 0.12) \, \mathrm{MeV}/c^2$

- Lepton Flavor Universality
 - All leptons are expected to have same coupling strength to W-boson in SM
 - Different observations would suggest NP contributions
 - Mass and lifetime of τ are important inputs to those calculations



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Mass of the τ lepton

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- Precision is needed for LFU and α_s (m_{τ})
- Belle II in $\tau^- \rightarrow \pi^- \pi^+ \pi^- v_\tau$ ($\mathcal{L} = 190 \text{ fb}^{-1}$)
- Pseudomass method:
 - Fit kinematic edge of M_{min} distribution in $\tau \rightarrow 3\pi v_{\tau}$ decays with empirical function
 - Smeared edge due to ISR/FSR and detector resolution



$$M_{min} = \sqrt{M_{3\pi}^2 + 2(\sqrt{s}/2 - E_{3\pi}^*)(E_{3\pi}^* - p_{3\pi}^*)} \le m_{\tau}$$

Accuracy in \sqrt{s} and p is the key to precision





Mass of the au lepton



- Beam energy calibration and momentum correction are crucial for this measurement
 - E_{beam} corrected by hadronic B-Meson decays
 - Charged-particle momentum correction using $D^0 \to K^-\pi^+$ sample with cross-checks in $D^+ \to K^-\pi^+\pi^+$, $D^0 \to K^-\pi^+\pi^+$
 - $K^-\pi^+ K^-\pi^+$, and $J/\psi \to \mu^+\mu^-$





Mass of the τ lepton



• Perform unbinned maximum likelihood fit to the kinematic edge

of the mass distribution

$$F(M_{min}) = 1 - P_3 \cdot \arctan\left(\frac{M_{min} - P_1}{P_2}\right) + P_4(M_{min} - P_1) + P_5(M_{min} - P_1)^2$$

- P_1 : an estimator of the τ mass
- P_2 : the slope of the threshold
- $P_3 \sim P_5$: the shape away from the edge

$m_{ au} = 1777.09 \pm 0.08 \pm 0.11 \text{ MeV}/c^2$

- Belle II provides World's most precise result
 - the statistical uncertainty per unit sample size is smaller
 - improved event selection and momentum resolution





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- In the SM the electroweak gauge bosons have the same coupling to all generations of leptons
- Precise test of μ -e universality by measuring

$$\left(\frac{g_{\mu}}{g_{e}}\right)_{\tau} = \sqrt{\frac{\mathcal{B}\left(\tau^{-} \to \nu_{\tau} \mu^{-} \bar{\nu}_{\mu}(\gamma)\right)}{\mathcal{B}\left(\tau^{-} \to \nu_{\tau} \mu^{-} \bar{\nu}_{\mu}(\gamma)\right)}} \frac{f(m_{e}^{2}/m_{\tau}^{2})}{f\left(m_{\mu}^{2}/m_{\tau}^{2}\right)}$$

• Ratio of leptonic branching fractions

$$R_{\mu} \equiv \frac{\mathcal{B}\left(\tau^{-} \to \nu_{\tau} \mu^{-} \bar{\nu}_{\mu}(\gamma)\right)}{\mathcal{B}\left(\tau^{-} \to \nu_{\tau} \mu^{-} \bar{\nu}_{\mu}(\gamma)\right)} \stackrel{\text{SM}}{=} 0.9726$$

is sensitive to new physics if it violates

- Lepton flavor [2]
- Lepton universality in weak charged-currents

$$f(x) = 1 - 8x + 8x^3 - x^4 - 12x^2 \ln x$$
 [1]



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- Using the **362 fb**⁻¹ dataset collected at $\Upsilon(4S)$ with Belle II
- 1×1 -track topology decays
 - Tag side: one charged hadron and at least one π^0
 - Signal side: one e or μ
 - BF(~35%), low backgrounds, high trigger efficiency
- Background suppressed through rectangular cuts and neural network
 - 94% purity at 9.6% signal efficiency for combined e/μ samples
 - Main backgrounds:
 - $\succ e^+e^- \rightarrow \tau^+\tau^-$: π^{\pm} faking $e^{\pm} \sim 1.3\%$, $\mu^{\pm} \sim 5.2\%$
 - \triangleright e⁺e⁻ → τ⁺τ⁻ : misidentified tag side~2.3%
 - $\succ e^+e^- \rightarrow e^+e^-\tau^+\tau^-: 0.2\%$



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- *R_µ* measured through template binned maximum likelihood fits
 - Cover lepton momentum bins from 1.5 to
 5 GeV/c
- Main systematics from PID~0.32%, and triggers~0.10%
 - Included in the fit as nuisance parameters
 - **Total systematic** uncertainty of 0.37%
- Stability of the result
 - Checked for consistency of the result before unblinding
 - Sub-regions for different kinematic variables, data periods, PID requirements
 - Good agreement between the measured values



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- $R_{\mu} = 0.9675 \pm 0.0007(stat.) \pm 0.0036(sys.)$ and $\left(\frac{g_{\mu}}{g_{e}}\right)_{\tau} = 0.9974 \pm 0.0019$
 - Most precise test of μ -*e* universality in τ decays
 - Consistent with SM at 1.4σ



$$\int \left(\frac{g_{\mu}}{g_{e}}\right)_{\tau} = \sqrt{R_{\mu} \frac{f(m_{e}^{2}/m_{\tau}^{2})}{f(m_{\mu}^{2}/m_{\tau}^{2})}}$$
$$f(x) = 1 - 8x + 8x^{3} - x^{4} - 12x^{2} \ln x$$

 Combination of CLEO, Babar and Belle II yields (assuming independent systematics)

$$\bullet \quad \left(\frac{g_{\mu}}{g_{e}}\right)_{\tau} = 1.0005 \pm 0.0013$$



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BSM Search: Motivation





- Motivation: the decay channels forbidden in the SM but allowed in several new physics scenarios
 - LFV decay $\tau \to \ell \phi$
 - > The $\tau \rightarrow \mu \phi$ mode is a sensitive probe for leptoquark models
 - BNV decay $\tau \to \Lambda(\bar{\Lambda})\pi$
 - > BNV is one of the necessary conditions to explain the asymmetry of matter
 - Beyond SM scenarios allow for BNV and LNV
 - LFV decay $\tau \rightarrow \mu\mu\mu$ (Golden channel)
 - LFV decay $\tau \rightarrow \ell \alpha$
 - \blacktriangleright new bosons as candidates for dark matter
 - \blacktriangleright explain the anomalous magnetic moment of the muon

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Physics Models	$\mathcal{B}(au o \mu \mu \mu)$
SM	$10^{-53} \sim 10^{-55}$
SM + seesaw	10 ⁻¹⁰
SUSY + Higgs	10 ⁻⁸
SUSY + SO(10)	10 ⁻¹⁰
Non-universal Z'	10 ⁻⁸



LFV decay $\tau \rightarrow \ell \phi$

arXiv:2305.04759



- Untagged inclusive reconstruction, reconstruct signal side as φ meson + lepton candidate, assign everything else (neutral clusters, tracks) to the rest of event (ROE):
 - higher signal efficiency (~16% improvement), more background;
 - backgrounds reduced with pre selections and a BDT trained against $q\bar{q}$ events.







arXiv:2407.05117

- A baryon number violation decay that is also an LFV decay.
- Previous search on 154 fb⁻¹ at Belle [1] set limits at 90% C.L. of 0. 72(1.4) × 10⁻⁷ for $\mathcal{B}(\tau \to \Lambda(\overline{\Lambda})\pi)$.
- At Belle II:
 - Reconstruct exactly 4 charged tracks (total null charge) in one-prong tag approach;
 - $\Lambda(\overline{\Lambda})$ is reconstructed from proton (anti-proton) and pion;
 - Signal selection and background suppression using loose pre-selection, followed by Gradient-BDT;
 - \succ The flight significance (L/σ) of Λ and $\overline{\Lambda}$ candidates is one of the most discriminant variables.





BNV decay $\tau \rightarrow \Lambda(\Lambda)\pi$



arXiv:2407.05117

- Signal efficiencies are 9.5% and 9.9% for $\tau \to \Lambda \pi$ and $\tau \to \overline{\Lambda} \pi$;
- Poisson counting experiment technique in signal region in the $M(\Lambda \pi) = \sqrt{E_{\Lambda \pi}^2 P_{\Lambda \pi}^2}$ and $\Delta E = E_{\Lambda \pi}^{CM} \sqrt{s}/2$ plane;
- Expected events are $1^{+1.3}_{-1.1}$ and 0.5 ± 0.6 for $\tau \to \Lambda \pi$ and $\tau \to \overline{\Lambda} \pi$;
- No observed events;
- World's best upper limits at 90% C.L. of 4.7×10^{-8} for $\mathcal{B}(\tau \to \Lambda \pi)$ and 4.3×10^{-8} for $\mathcal{B}(\tau \to \overline{\Lambda} \pi)$;





LFV decay $\tau \rightarrow \mu \mu \mu$

- Previous results from Belle: 2. 1×10^{-8} at 90% C.L. with 782 fb⁻¹ [1].
 - Signal side: three muons;
 - Tag side: 1-track τ decay (events with 4 tracks);
- Belle II with 424 fb⁻¹





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• Extract signal yield from 2D plane $(M_{3\mu}, \Delta E_{3\mu})$:

$$M_{3\mu} = \sqrt{E_{3\mu}^2 - P_{3\mu}^2}$$
$$\Delta E_{3\mu} = E_{3\mu}^{CM} - E_{\text{beam}}^{CM}$$

- For signal:
 - $\succ \Delta E_{3\mu}$ close to 0 and $M_{3\mu}$ close to τ mass;
 - ➤ Tails due to initial and final state radiation.
- [1] K. Hayasaka, et al., (Belle Collaboration) Phys. Lett. B 687, 139 (2010).

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1prong

3prongs <

LFV decay $\tau \rightarrow \mu \mu \mu$

Main analysis approach:

tag

 \blacktriangleright Inclusion of 3 \times 1 and 3 \times 3 toplogies;

τ

Selection and background rejection using BDT;

sig

- Signal: efficiency: 20.4% (2.7 × Belle efficiency);
- Number of expected BG: 0.5;
- 1 event observed inside the SR;
- $\mathcal{B}(\tau \to 3\mu) < 1.9 \times 10^{-8}$ at 90% C.L.;

Most stringent limit to date

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$ \begin{array}{c} 0.4 \\ \bigcirc \\ \bigcirc \\ \bigcirc \\ \\ \bigcirc \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ $		$\bigcup_{i=1}^{\infty} 1.2 \text{Belle II (Preliminary)} \\ \tau^{\pm} \rightarrow \mu^{\pm} \mu^{\mp} \mu^{\pm} \\ \int \mathcal{L}dt = 424 fb^{-1} \\ \end{array}$	Expected CL_s median		UL at 90% C.L. on $\mathcal{B}(\tau \to 3\mu)$
$\bigtriangledown^{0.3}$	Simulated background	1.0	Expected $CL_s \pm 1\sigma$ \leftarrow Observed CL_s	ATLAS	$3.8 \times 10^{-7} \ (\mathcal{L} = 20.3 \ \text{fb}^{-1})$
	• •	0.8		LHCb	$4.6 \times 10^{-8} (\mathcal{L} = 3.0 \text{fb}^{-1})$
	· ·			CMS	$2.9 \times 10^{-8} (\mathcal{L} = 131 \text{fb}^{-1})$
				Belle	$2.1 \times 10^{-8} (\mathcal{L} = 782 \text{fb}^{-1})$
-0.2	• •	0.2		BaBar	$3.3 \times 10^{-8} (\mathcal{L} = 486 \text{fb}^{-1})$
		$0.0 \frac{1}{2}$		Belle II	$1.9 \times 10^{-8} (\mathcal{L} = 424 \text{fb}^{-1})$
1.700 1.725 1.730 1.775	$M_{3\mu} [GeV/c^2]$	${\cal B}(au^{\pm}$	$\rightarrow \mu^{\pm} \mu^{\mp} \mu^{\pm}) \qquad \times 10^{-8}$		

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LFV invisible decay $\tau \rightarrow \ell \alpha$ PRL 130 (2023) 181803

- α is an invisible spin-0 boson.
- This direct search probes BSM theories with high sensitivity.
- Previous limits from ARGUS [1]: (Result from 1995)
 - 10^{-2} to 10^{-3} ; 0.5 fb⁻¹ of data;
- Tag tau is reconstructed via $\tau^+ \rightarrow h^+ h^- h^+ \bar{\nu}_{\tau}$ $(h = \pi, K)$.
- Tau momentum is unknown.
 - Pseudo rest frame is used $(\vec{p}_{\tau} \approx -\vec{p}_{3h}/|\vec{p}_{3h}|)$;
 - Look for an excess above $\tau^- \rightarrow \ell^- \bar{\nu}_\ell \nu_\tau$ background;

$$x_{\ell} \equiv \frac{E_{\ell}^*}{m_{\tau}c^2/2}$$

where E_{ℓ}^* is the energy of the charged lepton in the τ pseudo rest frame.

[1] H. Albrecht, et.al, (ARGUS Collaboration), Z.Phys.C 68, 25 (1995).



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LFV invisible decay $\tau \rightarrow \ell \alpha$ PRL 130 (2023) 181803

- Simulation derived templates fit for different α mass hypotheses.
- ULs are 2 to 14 times more stringent than ARGUS.
- Measure $\mathcal{B}_{\ell\alpha}/\mathcal{B}_{\ell\overline{\nu}\nu} \equiv \mathcal{B}(\tau^- \to \ell^- \alpha)/\mathcal{B}(\tau^- \to \ell^- \overline{\nu}_{\ell} \nu_{\tau})$ with $\tau^- \to \ell^- \overline{\nu}_{\ell} \nu_{\tau}$

 $\ell^- \overline{\nu}_\ell \nu_\tau$ as normalization channel.

• $\int \mathcal{L} dt = 62.8 \text{ fb}^{-1} \sim 58 \text{ Million } ee \rightarrow \tau\tau.$

$M_{\alpha} [{\rm GeV}/c^2]$	$\mathcal{B}_{e \alpha} / \mathcal{B}_{e \bar{\nu} \nu}$ (×10 ⁻³)	UL at 95% C.L. $(\times 10^{-3})$	UL at 90% C.L. (×10 ⁻³)
0.0	-8.1 ± 3.9	5.3(0.94)	4.3(0.76)
0.5	-0.9 ± 4.3	7.8(1.40)	6.5(1.15)
0.7	1.7 ± 4.0	9.0(1.61)	7.6(1.36)
1.0	1.7 ± 4.2	9.7(1.73)	8.2(1.47)
1.2	-1.1 ± 2.6	4.5(0.80)	3.7(0.66)
1.4	-0.3 ± 1.0	1.8(0.32)	1.5(0.26)
1.6	0.2 ± 0.5	1.1(0.19)	0.9(0.16)
$\overline{M_{\alpha} \; [\text{GeV}/c^2]}$	$\mathcal{B}_{\mulpha}/\mathcal{B}_{\muar u u}$ (×10 ⁻³)	UL at 95% C.L. (×10 ⁻³)	UL at 90% C.L. (×10 ⁻³)
0.0	-9.4 ± 3.7	3.4(0.59)	2.7(0.47)
0.5	-3.2 ± 3.9	6.2(1.07)	5.1(0.88)
0.7	2.7 ± 3.4	9.0(1.56)	7.8(1.35)
1.0	1.7 ± 5.4	12.2(2.13)	10.3(1.80)
1.2	-0.2 ± 2.4	3.6(0.62)	2.9(0.51)
1 /	0.0 ± 0.0	25(044)	2.2(0.38)
1.4	0.9 ± 0.9	2.3(0.77)	2.2(0.00)



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Summary

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- B factories are a good environment for tau physics!
- Belle II will contribute to the understanding of tau lepton properties
 - Searches for BSM physics
 - Test of LFU
 - Precision measurements of SM parameters
- Based on the early data set, Belle II has already provided the community with competitive results and new methods applications, and more are upcoming.
- A lot more to come with more data,
 - Now 531 fb⁻¹ is accumulated, next run is underway.
- Belle II provides an opportunity to update measurements done by Belle and BaBar with improved precision and to conduct new studies, not available for the previous generation.





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Thank you for

attention!



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