



La Thuile 2025

Les Rencontres de Physique de la Vallée d'Aoste
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Tau and dark sector physics at Belle and Belle II

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on behalf of the Belle and Belle II collaborations

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Belle and Belle II experiments

B-factory concept

Experiments at symmetric e^+e^- colliders running mostly at the Y(4S) energy (=10.58 GeV), located at Tsukuba (JP).

- KEKB (1990-2010)
- Super KEKB: major upgrade of KEKB (2019-ongoing)
 - Target world highest instantaneous luminosity:
 - $6 \times 10^{35} \text{ cm}^{-2}\text{s}^{-1}$ (x30 KEKB)
 - So far, world instantaneous luminosity of $5.1 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

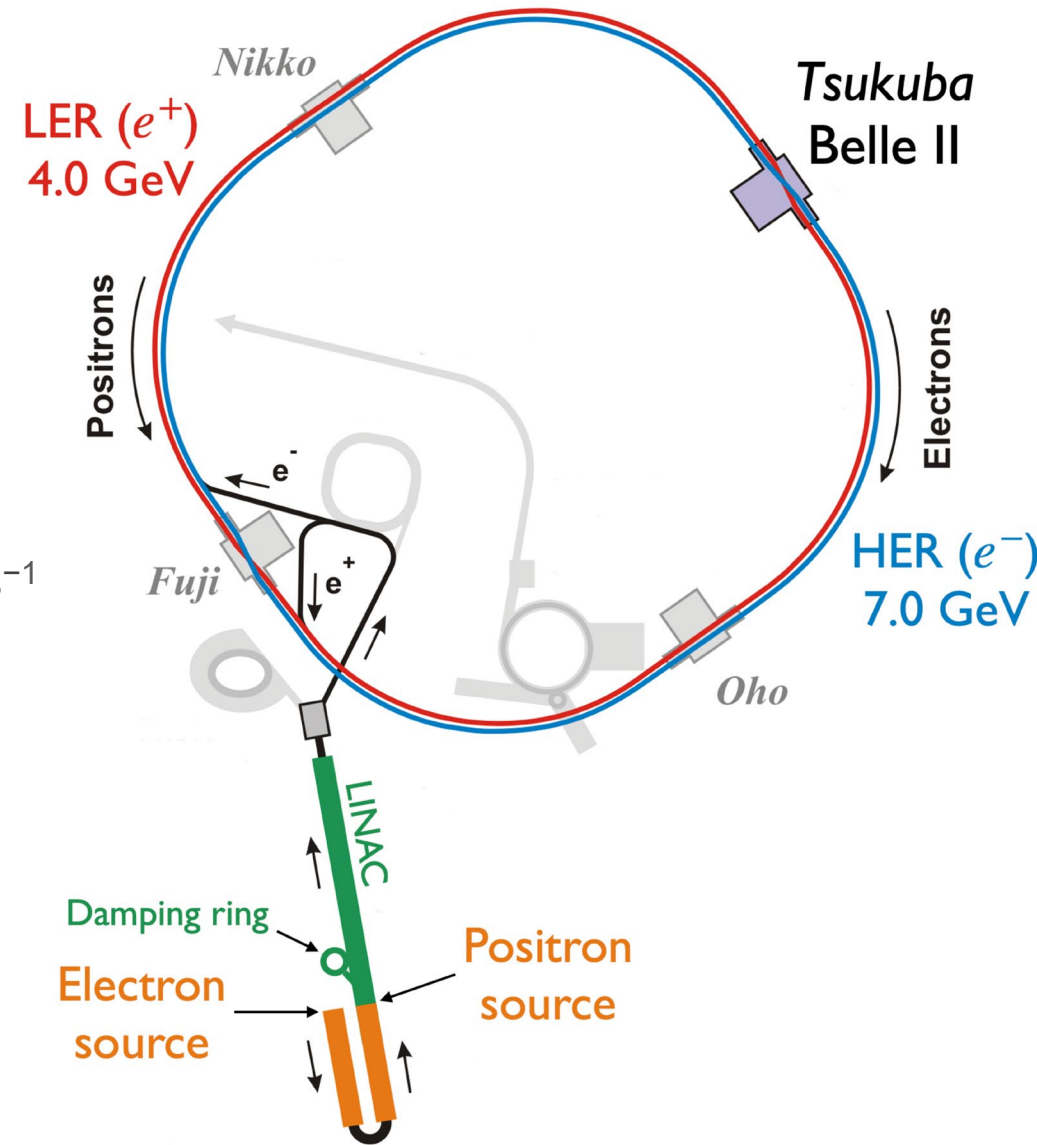
✓ Available datasets: 1 ab^{-1} @Belle, 0.6 ab^{-1} @Belle II

✓ Rich physics program:

- beauty, charm, τ and dark sector

see
M. Veronesi talk

↓
covered in this talk

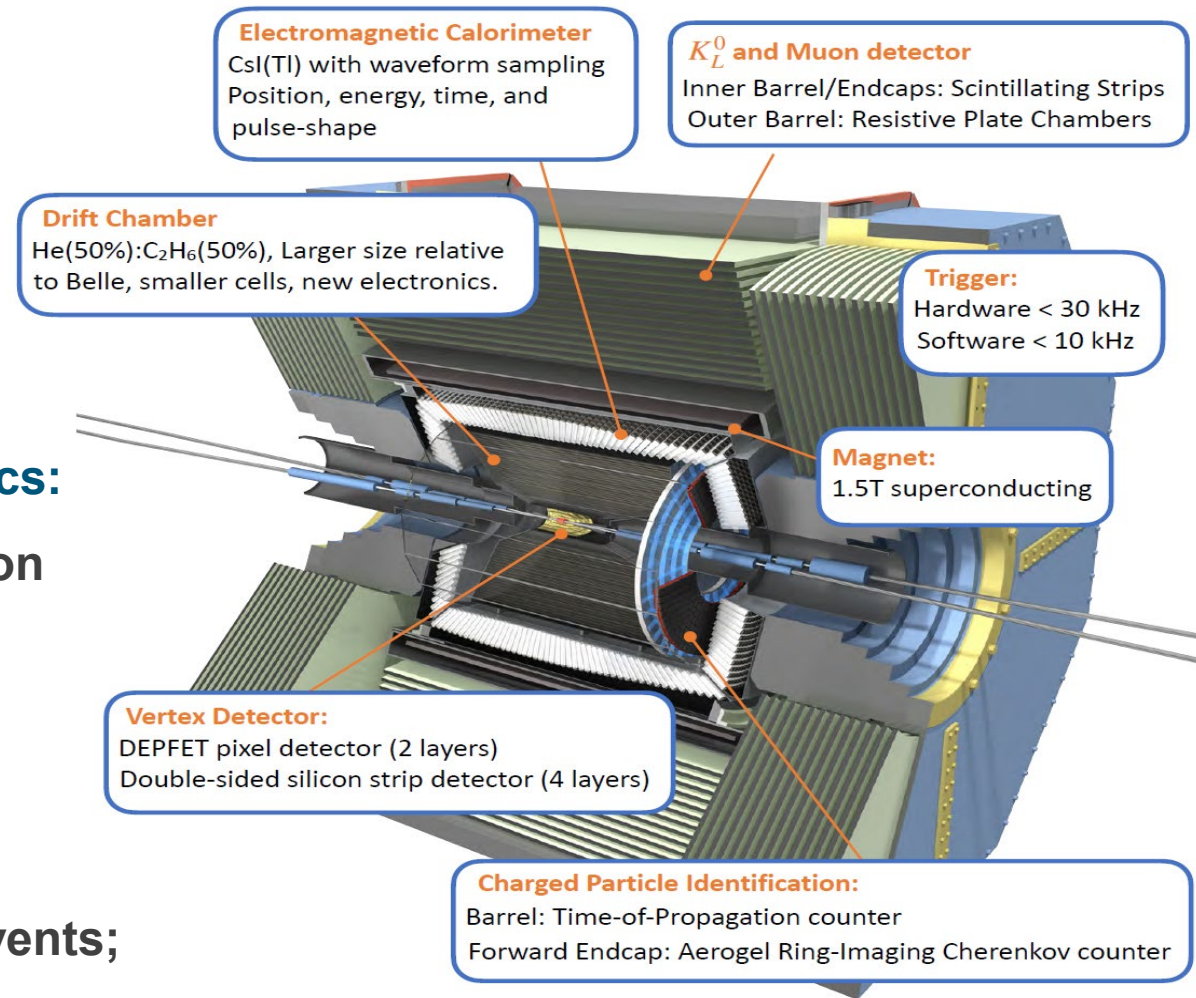


Belle and Belle II experiments

Detector overview

General purpose detectors

- Belle II is the major upgrade of Belle detector.
 - Better resolution, particle identification and capability to cope with higher background;
- ✓ **Excellent capabilities for tau and dark sector physics:**
 - **Good missing energy and neutral reconstruction**
 - Well defined initial state;
 - Hermetic detector coverage (almost 4π);
 - Clean environment
 - **Good particle identification;**
 - **Excellent vertexing and tracking;**
 - **Special triggers dedicated to low-multiplicity events;**



[Prog. Theo. Exp. Phys. 12 \(2019\)](#)

Tau searches

Tau physics at Belle and Belle II

Overview

B-factories are also τ factories

- τ pairs cross-section equivalent to BB processes

$$\sigma(e^+e^- \rightarrow \tau^+\tau^-) = 0.92 \text{ nb}$$

$$\sigma(e^+e^- \rightarrow B\bar{B}) = 1.05 \text{ nb}$$



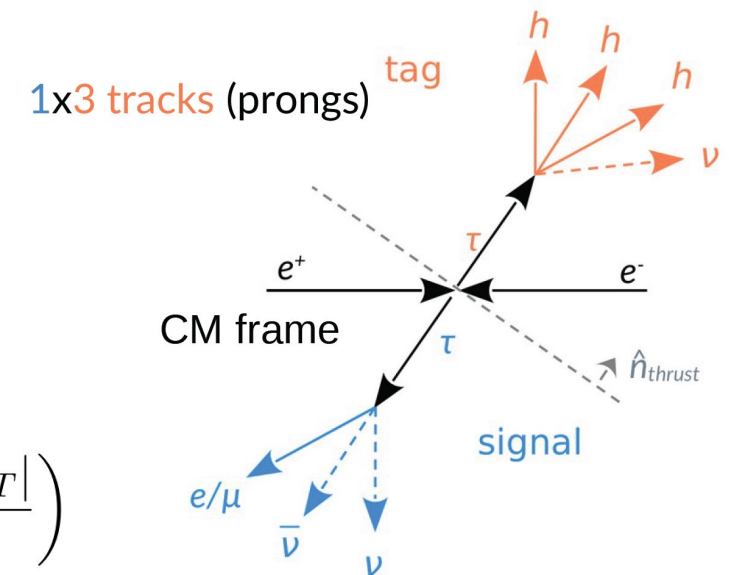
Testbed for:

- ✓ **precision SM measurements**
 - τ lifetime, mass, coupling (universality)
- ✓ **searches for rare or forbidden processes**
 - Mostly lepton flavor violating τ decays

Produced in pairs: $e^+e^- \rightarrow \tau^+\tau^-$

- **Back to back and boosted in the center-of-mass frame**
- Identify events by reconstructing the thrust axis n_T (maximizes thrust T)
- Separate them in two opposite hemispheres
- Typically use one side to tag the event by reconstructing decays with **1 charged track (1-prong)** or **3 charged tracks (3-prong)**
 - Reconstruct signal on other hemisphere

$$T = \max_{\hat{n}_T} \left(\frac{\sum_i |p_i \cdot \hat{n}_T|}{\sum_i |p_i|} \right)$$



Lepton Flavor Universality in τ decays

Overview

Test of μ -e universality in the τ decays

$$R_\mu = \frac{B(\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau)}{B(\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau)} \stackrel{\text{SM}}{=} 0.9726 \quad \left(\frac{g_\mu}{g_e}\right)_\tau^2 \propto R_\mu \times \frac{f(m_e^2/m_\tau^2)}{f(m_\mu^2/m_\tau^2)} \stackrel{\text{SM}}{=} 1$$

R_μ measured in **1x1 prong topology** with $\pi^- + n\pi^0$ tag by Belle II with 365 fb⁻¹

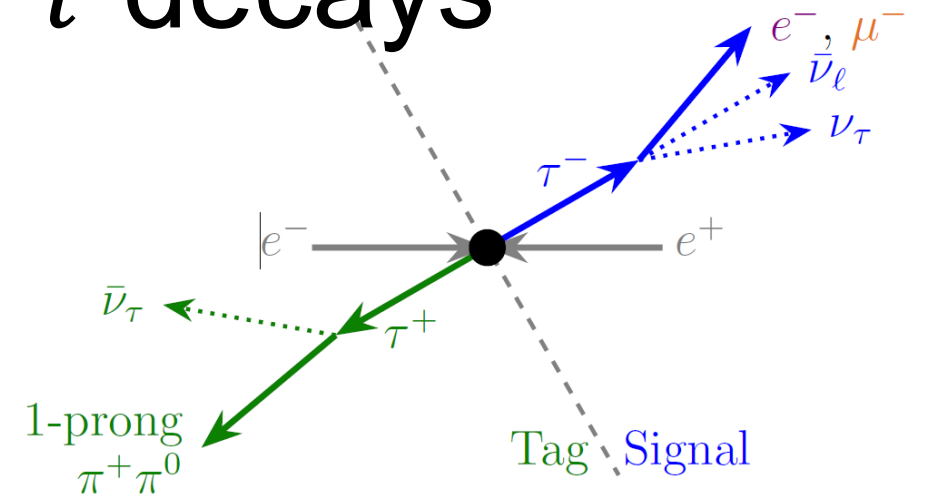
Rectangular cuts and a neural network selection

- **94% purity with 9.6% signal efficiency**

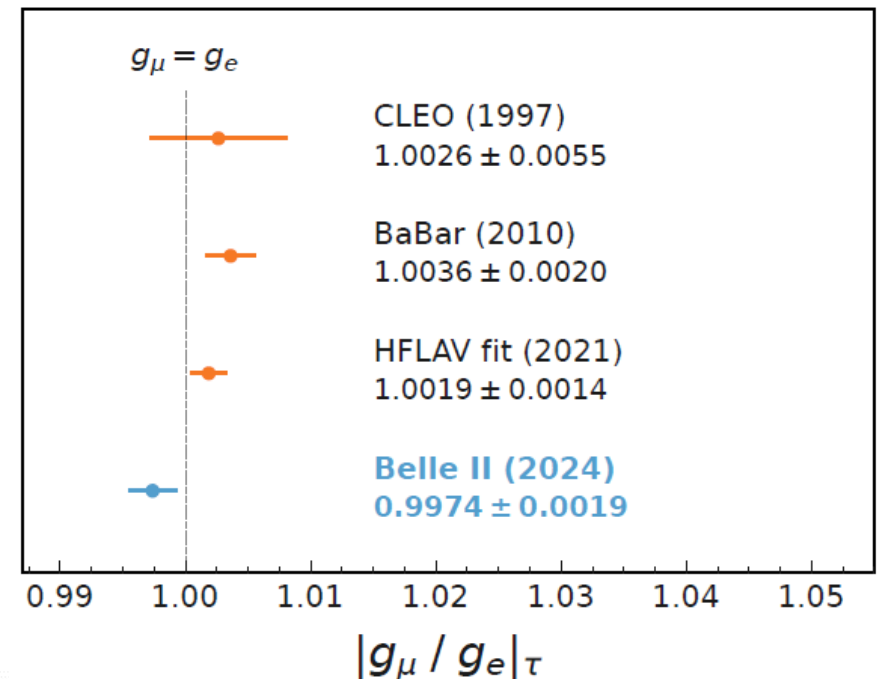
Main systematics are from PID (0.32%) and trigger (0.1%)

$$R_\mu = 0.9675 \pm 0.0007 \text{ (stat.)} \pm 0.0036 \text{ (sys.)}$$

- ✓ **Most precise test of e- μ universality in τ decays from a single measurement**
- ✓ Consistent with SM expectation at the level of 1.4σ



[JHEP08\(2024\)205](#)



Lepton Flavor Violation searches

Motivations

Lepton Flavor Violation (LVF) is negligibly small in SM

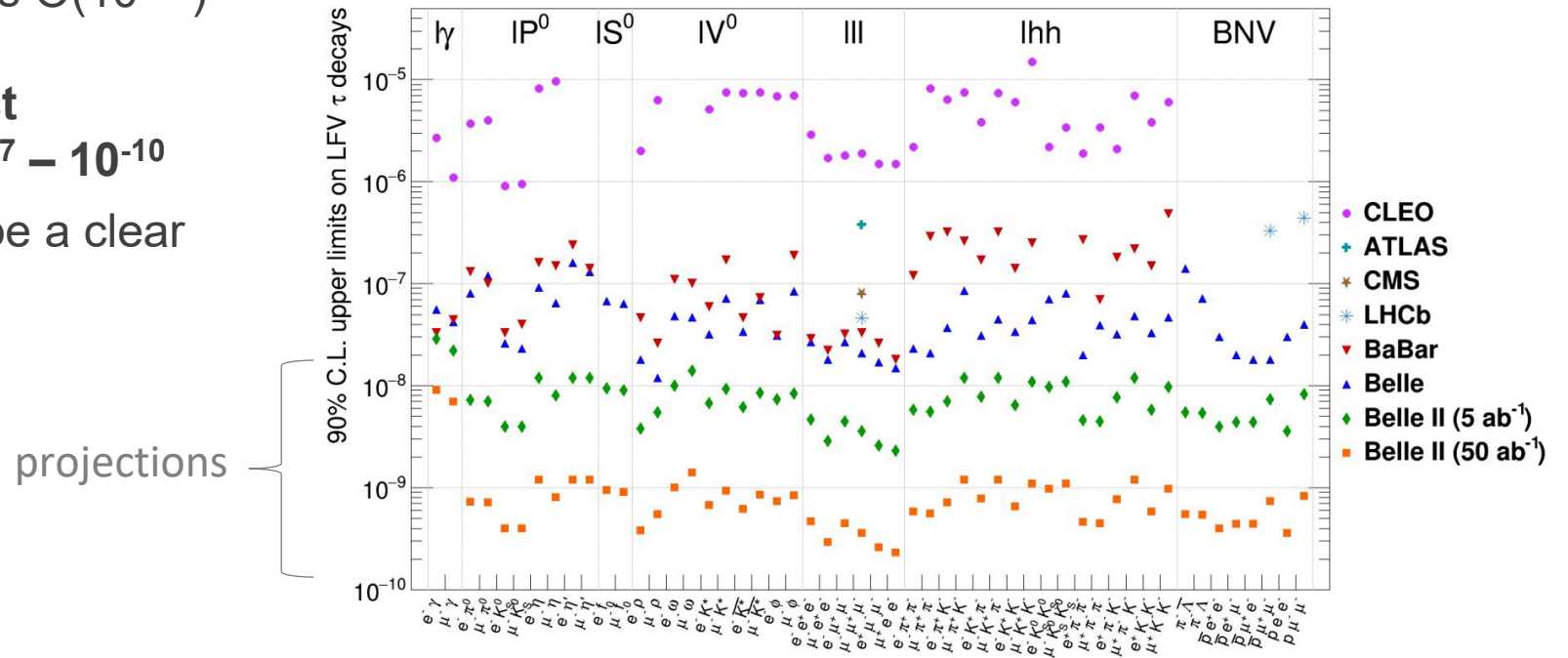
- Only allowed by neutrino oscillations $O(10^{-55})$

Various new-physics models predict branching fractions in the range $10^{-7} - 10^{-10}$

- Observation of LFV decays would be a clear signature of New Physics

[arXiv.2203.14919]

Existing and expected limits on LFV $\tau\tau$ decays



Neutrinoless 2-body or 3-body decays to **52 final states**.

$\tau \rightarrow 3\mu$

Results

Belle II search for the clean channel: $\tau \rightarrow 3\mu$

- Good reconstruction of τ mass end energy
- Low SM background

Already probed by Belle and LHC experiments

Use untagged reconstruction

Require 3 tracks identified as muons (signal side) and use BDT classifier exploiting kinematic variables to reject the main $e^+e^- \rightarrow qq$ backgrounds

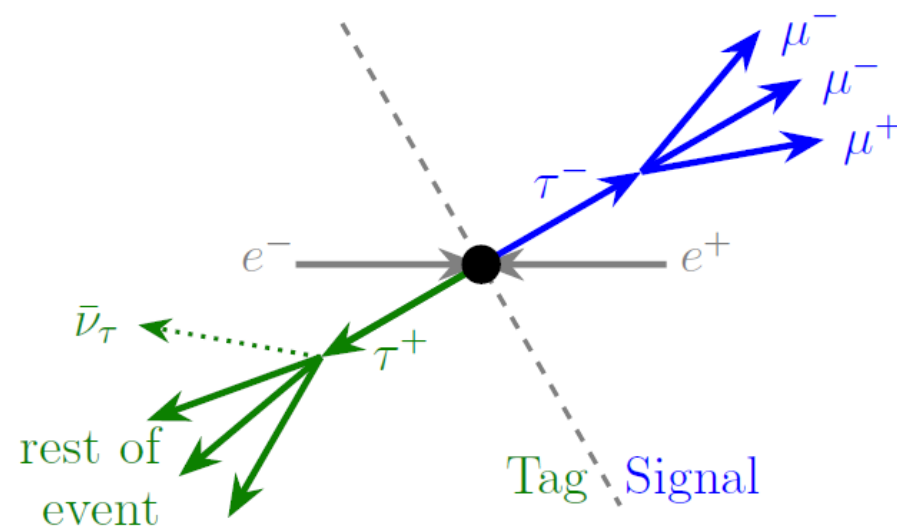
- x3 efficiency compared to Belle

Extract signal yield from 2D plane ($M_{3\mu}, \Delta E_{3\mu} = E_\tau - E_{\text{beam}}$)

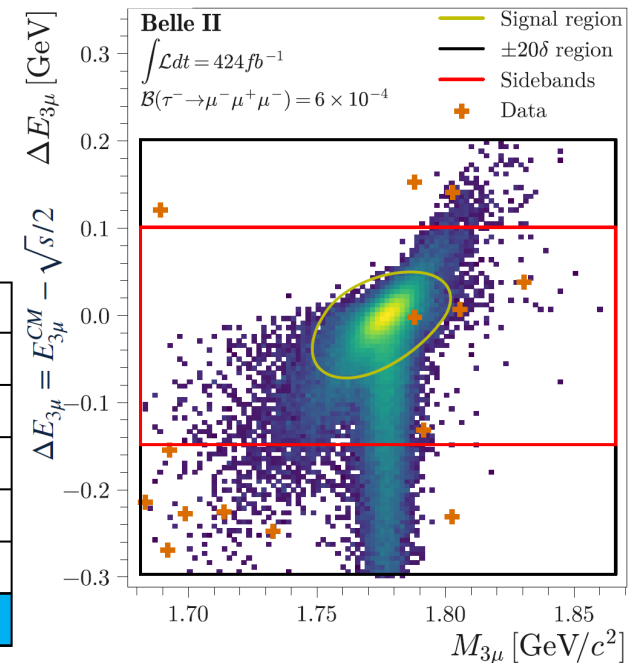
- 1 event in the signal region in 424 fb^{-1} consistent with data-driven background prediction (0.5 events)

Set 90% CL upper limits on the BF

✓ New most stringent results



[JHEP09(2024)062]



	UL at 90% C.L. on $B(\tau \rightarrow 3\mu)$
ATLAS	3.8×10^{-7} ($\mathcal{L} = 20.3 \text{ fb}^{-1}$)
LHCb	4.6×10^{-8} ($\mathcal{L} = 3.0 \text{ fb}^{-1}$)
CMS	2.9×10^{-8} ($\mathcal{L} = 131 \text{ fb}^{-1}$)
Belle	2.1×10^{-8} ($\mathcal{L} = 782 \text{ fb}^{-1}$)
BaBar	3.3×10^{-8} ($\mathcal{L} = 486 \text{ fb}^{-1}$)
Belle II	1.9×10^{-8} ($\mathcal{L} = 424 \text{ fb}^{-1}$)

Most stringent limit to date

$$\tau \rightarrow e 2\ell$$

new result

Results

Extend previous study to 5 more modes:
with at least one electron in the final state

- $e^-e^+e^-, e^-e^+\mu^-, e^-\mu^+e^-, \mu^-\mu^+e^-, \mu^-e^+\mu^-$
- Higher background contamination

Use untagged reconstruction

Data driven BDT classifier trained on sideband in data

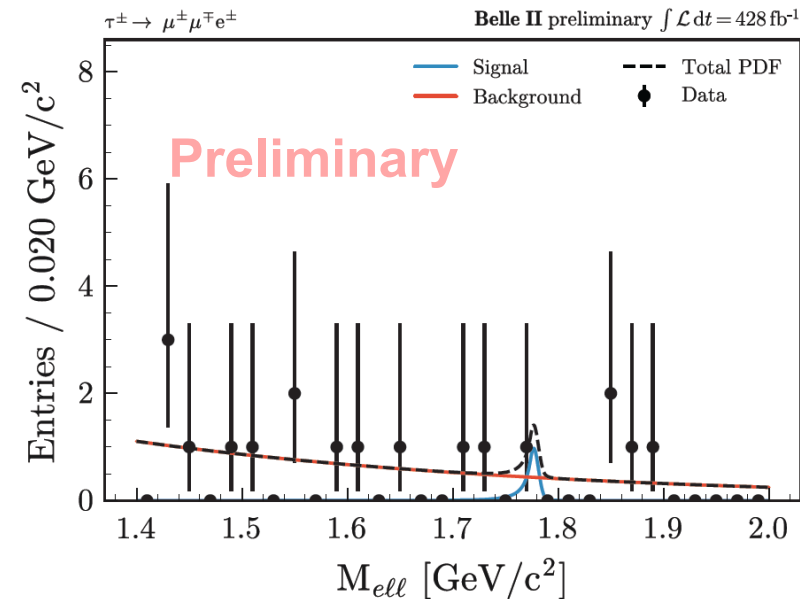
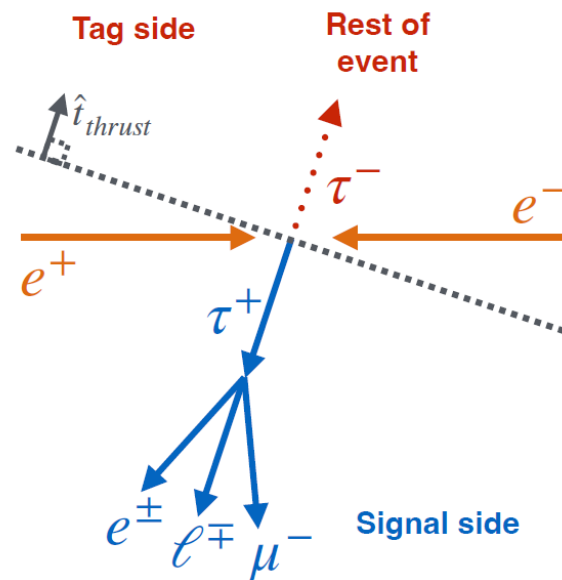
- Rely on rest of event and kinematic variables
- Reject the main four leptons backgrounds

Signal extracted by fitting M_{ell}

No significant excess was observed in 424 fb⁻¹

- Set 90% CL upper limit on the branching fraction

✓ most stringent upper limit on all modes



	$\mathcal{B}_{exp}^{UL} \times 10^{-8}$	$\mathcal{B}_{obs}^{UL} \times 10^{-8}$
$e^-e^+e^-$	2.50	2.18
$e^-e^+\mu^-$	2.00	1.38
$e^-\mu^+e^-$	1.54	1.36
$\mu^-\mu^+e^-$	1.80	2.36
$\mu^-e^+\mu^-$	1.54	1.46



$$\tau^- \rightarrow \ell^- K_S^0 \quad \text{new result}$$

Results

Belle + Belle II search for $\tau^- \rightarrow \ell^- K_S^0$ ($\ell=e, \mu$)

Reconstruct 4 charged particles (0 net charge) in **1x3 topology**

- K_S^0 reconstructed from $\pi^+\pi^-$

Preselection rectangular cuts and BDT classifier

- Use track kinematics, event shape and neutral variables
- Resulting efficiency: 10%

Extract signal yield from 2D plane ($M_\tau, \Delta E = E_\tau - E_{\text{beam}}$)

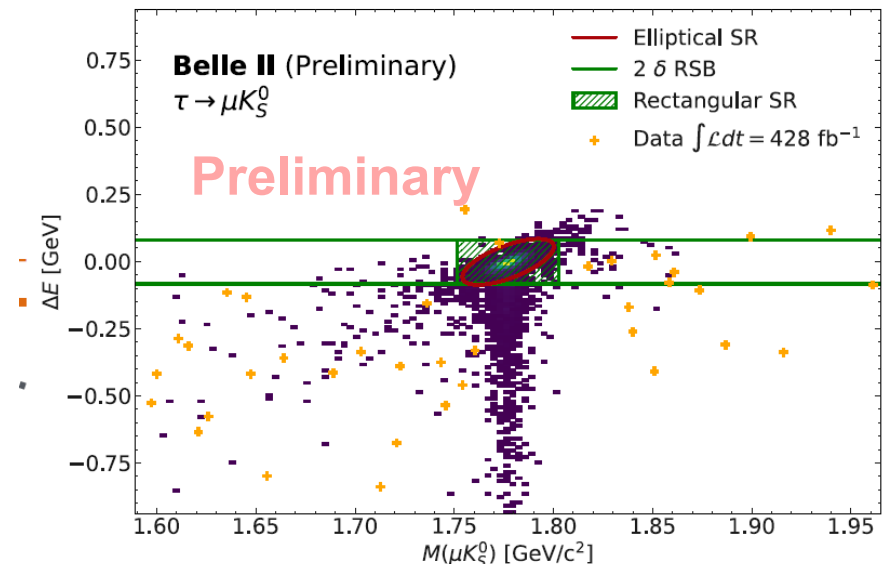
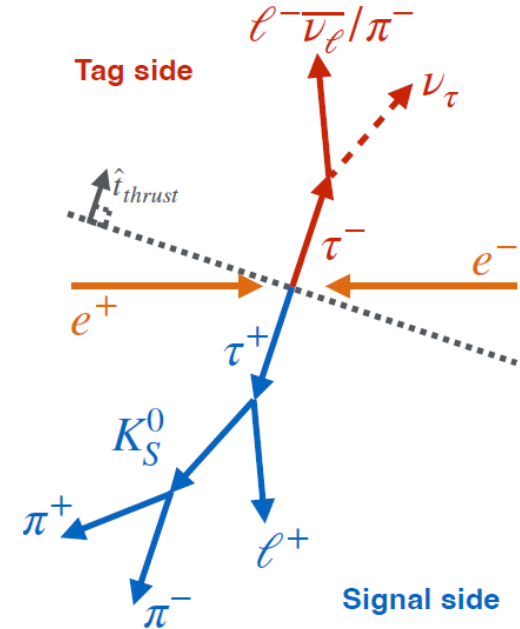
No significant signal was observed in 424 fb⁻¹ + 980 fb⁻¹ (Belle + Belle II)

Set a combined 90% CL upper limit on the BR

$$B(\tau \rightarrow K_S^0 e) < 0.8 \times 10^{-8}$$

$$B(\tau \rightarrow K_S^0 \mu) < 1.2 \times 10^{-8}$$

✓ **World most stringent upper limit on all modes**



dark sector searches

Dark sector at Belle (II)

Motivations

The particle nature of dark matter is still a compelling question

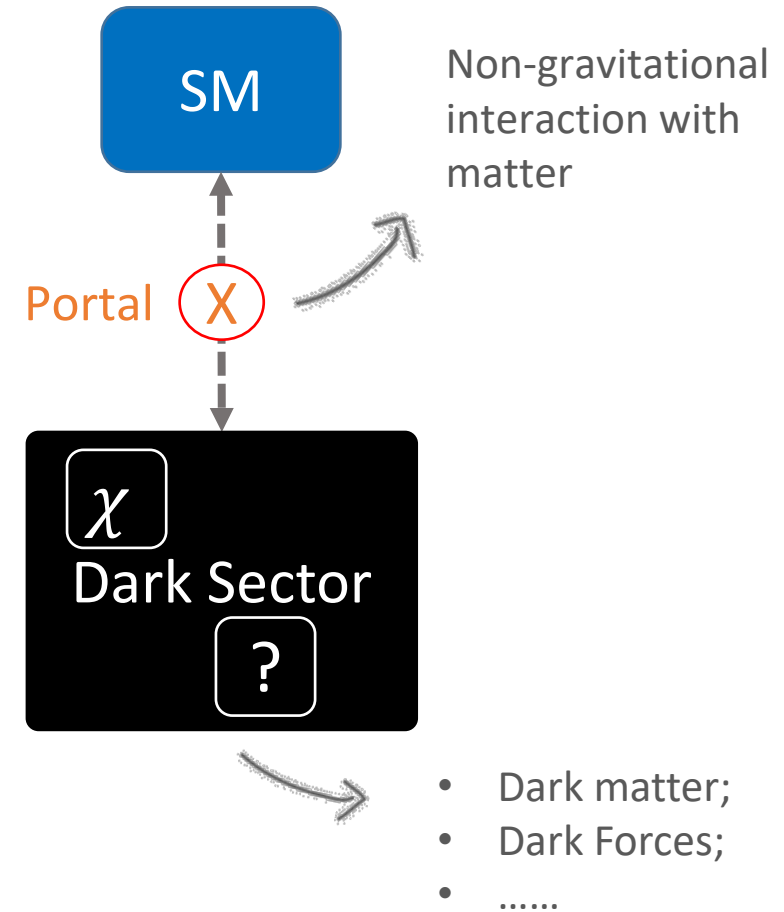
- No evidence of DM at electro-weak scale in experiments motivates a considerable focus on “**dark sector**” models [1]:
 - Light dark matter particles;
 - New dark force carriers with feeble interactions with the SM (portals).

B-factories can access the mass range favored by light dark sectors

Able to explore on-shell mediators in the MeV – 10 GeV range in

- **visible** and **invisible decays**
- **displaced decay topologies**: up to $\mathcal{O}(1)$ m decay-lengths

[1] Essig et al., [arXiv:1311.0029](https://arxiv.org/abs/1311.0029) (2013)



Inelastic dark matter with a dark Higgs

Overview

Non minimal dark sector with a dark photon A' , a dark higgs h' and two **dark matter states with a small mass splitting [1]:**

- χ_1 is stable (relic DM candidate)
- χ_2 is long-lived;

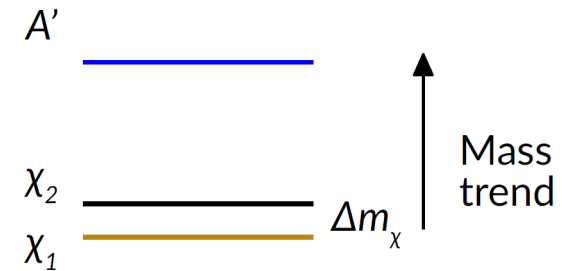
Can explain the lack of a signal in direct detection.

Here looking for A' and h' simultaneous production:

- h' mixes with SM Higgs with strength θ
- A' mixes with SM photon with strength ϵ
- focus on $m_{A'} > m_{\chi_1} + m_{\chi_2}$
 - the decay $A' \rightarrow \chi_1 \chi_2$ is favored

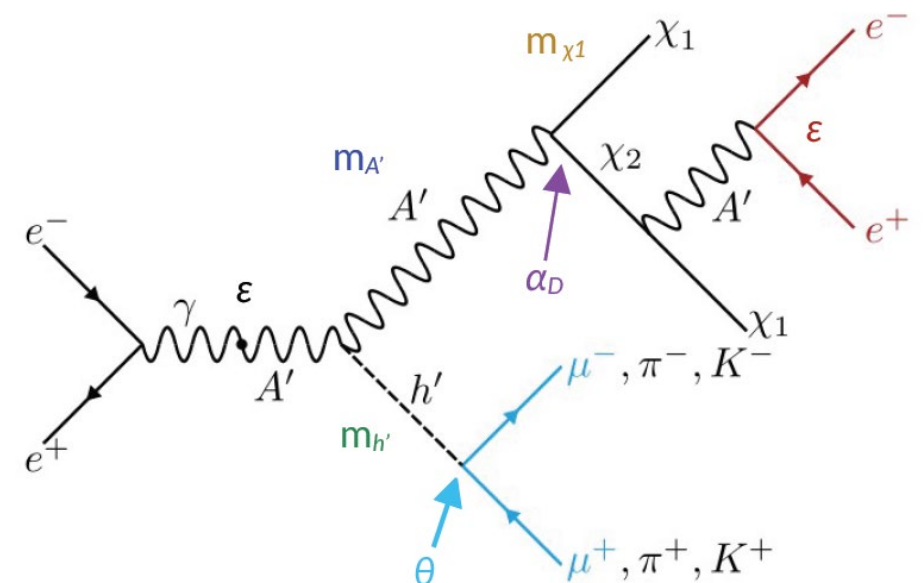
Dataset: 365 fb⁻¹ from Belle II

4 dark sector particles: A', h', χ_1, χ_2
 7 parameters: $m_{A'}, m_{h'}, m_{\chi_1}, m_{\chi_2}, \theta, \epsilon, \alpha_D$



[1] PRD 64, 043502 (2001)

$$e^+e^- \rightarrow h'(\rightarrow x_+x_-)A'(\rightarrow \chi_1\chi_2(\rightarrow \chi_1e^+e^-), x = \mu, \pi, K$$



Inelastic dark matter with a dark Higgs

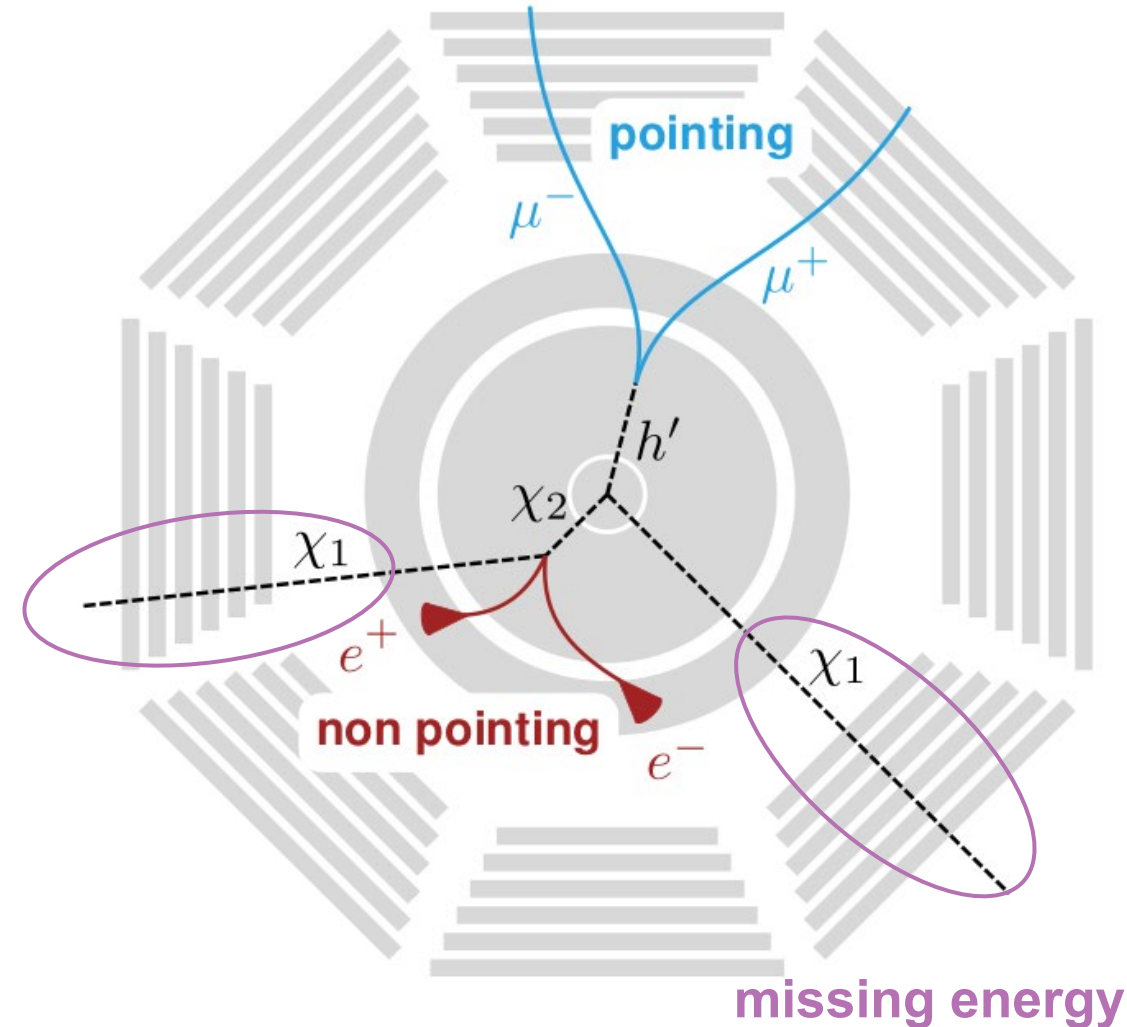
Strategy

Challenging analysis for tracking (and trigger)

- χ_2 is long lived (small mass splitting considered)
- h' long lived for small mixing angle θ

Require four tracks in the final state:

- 2 forming a **pointing displaced vertex**
- 2 forming a **non-pointing displaced vertex**
- **Missing energy** ($\chi_1 \chi_2$)
 - **3 channel explored:** $h'(\rightarrow x^+x^-)$, $x = \mu, \pi, K$



Inelastic dark matter with a dark Higgs

Results

Signal selection using pointing angles and vertex distance from the interaction point

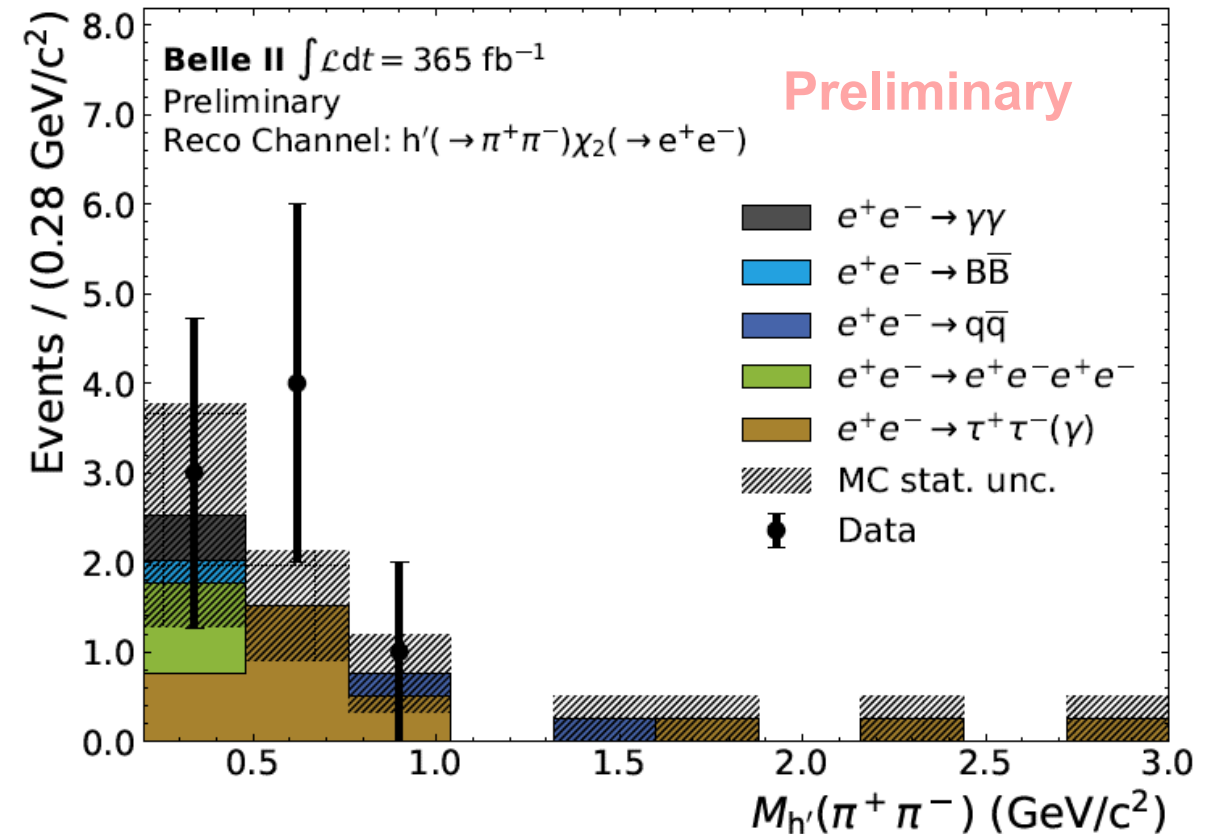
- **very low SM background**

Expected background estimated in data from sidebands to not rely on MC

Counting strategy to extract signal yields

No significant excess found in the individual final states or the combination:

- 9 events observed (8 of 9 are $\pi^+\pi^-$) consistent with expected background.

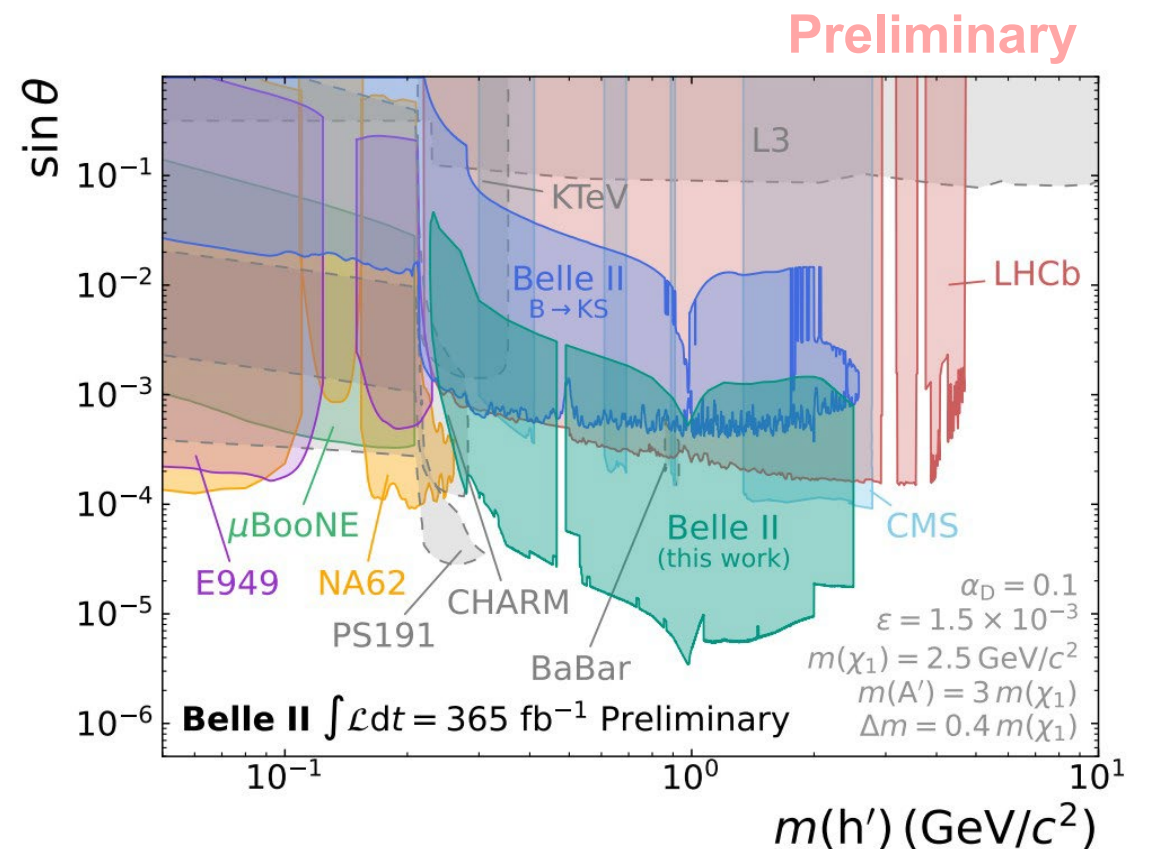
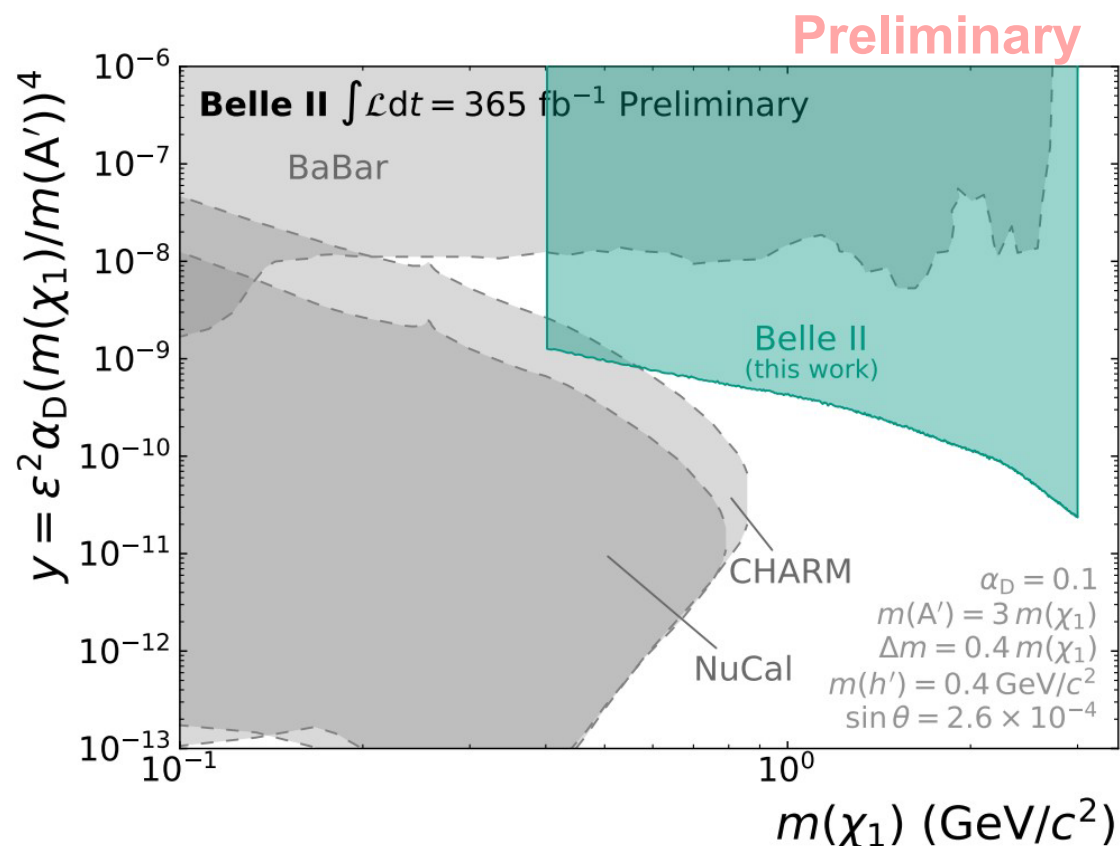


Inelastic dark matter with a dark Higgs

Results

✓ **Strong limits on θ and $\epsilon \times \alpha_D$, but dependence on 5 other parameters.**

- Many more (~ 30) plots for different parameter configurations



$B \rightarrow K^{(*)} a (\rightarrow \gamma\gamma)$ **new result**

Overview

Flavor changing neutral current B decays are perfect testbed to search for new physics

- Extremely suppressed in SM
- New physics could appear at the same order of the SM processes

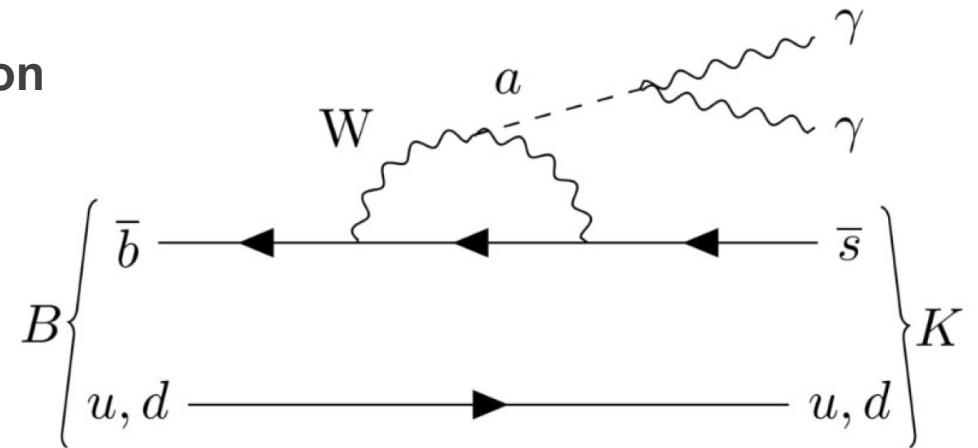
Here searching for an Axion-Like particle emission by W^\pm boson in $B \rightarrow K^{(*)} a$ decays

- $BR(a \rightarrow \gamma\gamma) \simeq 100\%$ for $m_a \ll m_{W^\pm}$
- Mass region investigated 0.16 – 4.50 (4.20) GeV/c^2

Existing constraints from [BaBar](#) (424 fb^{-1})

This analysis:

- **full Belle dataset (711 fb^{-1})**
- **exploiting multiple kaon modes: K_S^0 , K^+ , K^{*0} , and K^{*+}**



$$B \rightarrow K^{(*)} a(\rightarrow \gamma\gamma)$$

Overview

Signal B reconstructed combining a pair of photons with a track identified as a kaon

Main background from continuum, while BB subdominant. Smooth backgrounds, but near SM pseudoscalars masses.

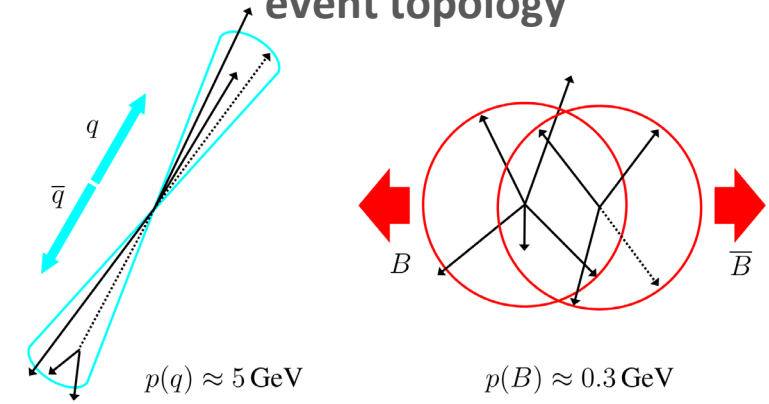
- Rejected using few BDTs exploiting:

- Differences from B nominal kinematics and event-topology variables to separate signal from the continuum
- Calorimeter cluster variables to suppress π^0 backgrounds

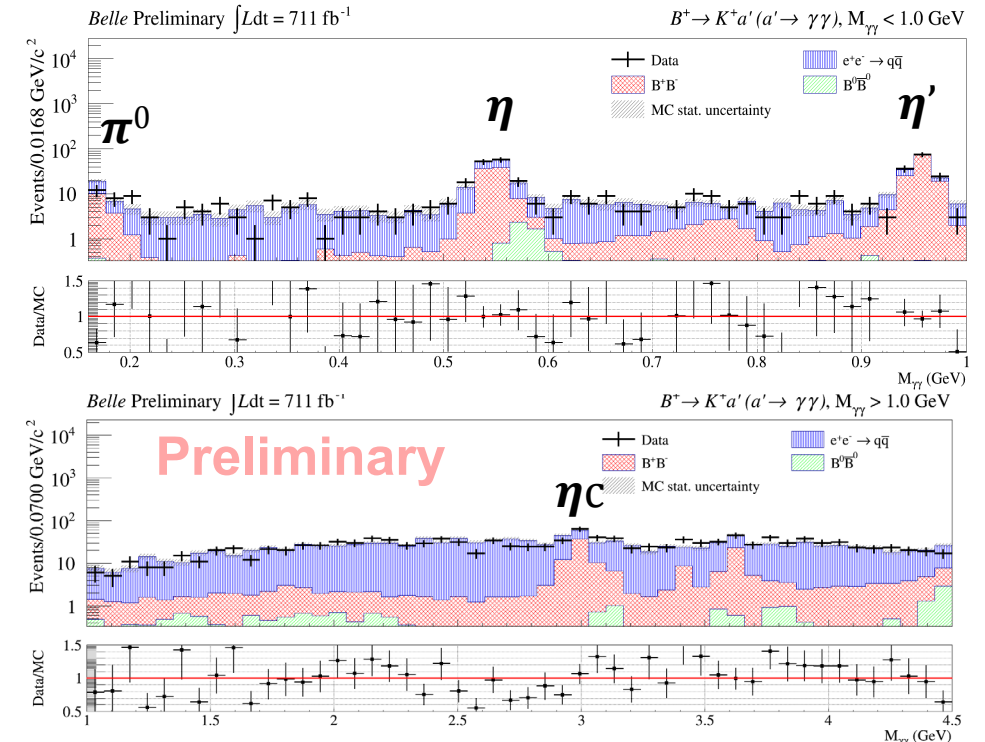
Signal extracted with a scan over $M_{\gamma\gamma}$

- Steps of signal mass resolution ($\sim 8 - 18$ MeV);
- Peaking background regions vetoed

Continuum vs BB event topology



$M_{\gamma\gamma}$ for the K^+ mode

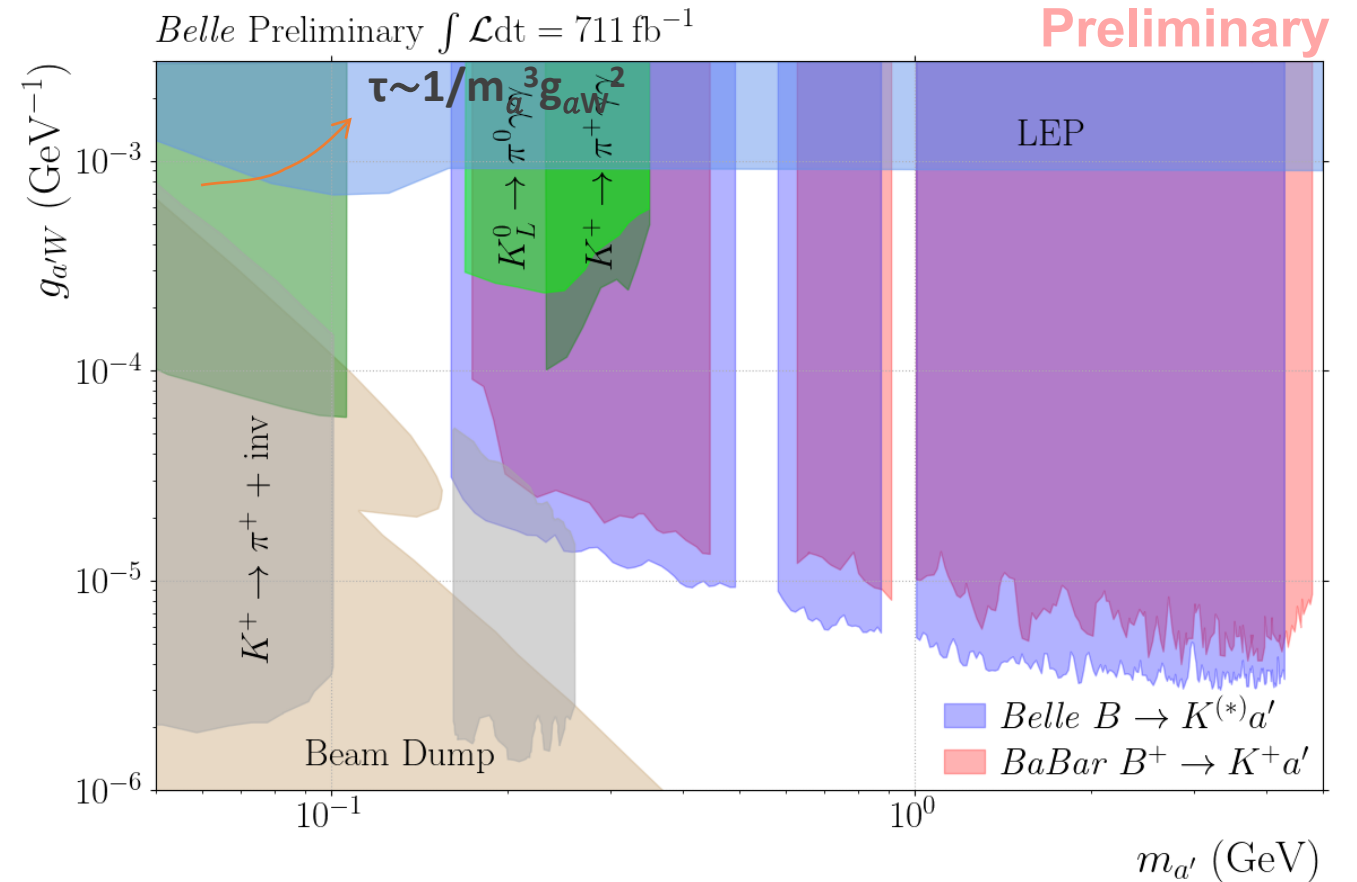


$B \rightarrow K^{(*)} a (\rightarrow \gamma\gamma)$

Overview

No significant excess observed in 711 fb⁻¹

- Simultaneous fit on 4 kaon modes
- ✓ World leading 90% CL upper limits to g_{aW}
- ❖ ALP lifetime becomes important at low masses and couplings ($\tau \sim 1/m_a^3 g_{aW}^2$)
- ❖ Signal efficiency drop due to long-lived ALP is taken into account in results



Conclusion

Belle and Belle II: unique facilities with many exciting dark sector and tau physics opportunities

- **Several new world best limit on high-profile τ LFU / LFV searches**
 - Proving that Belle and Belle II are also τ -factories
- **Unique sensitivity for light dark sectors searches**
 - Excellent performance with displaced vertices and missing energy allows the world's leading results complementary to other experiments

Still many frontiers of improvements:

- Increase data sample size;
- Improved analysis techniques, and reduced systematic uncertainties

➤ **Luminosity and physics output expected to continue to ramp up with next data-taking period**

Stay tuned....