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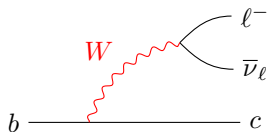
# Theory of semileptonic $B$ decays

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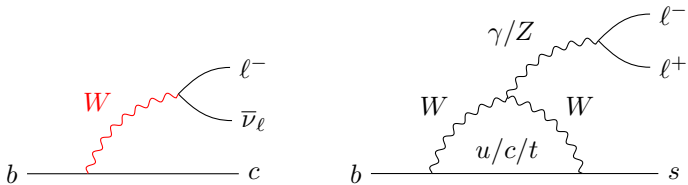
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Keri Vos  
Maastricht University

# Semileptonic $B$ decays

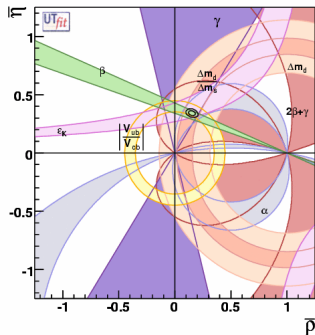


# Semileptonic $B$ decays



# Why (semileptonic) $B$ decays?

- Weak interaction
  - Test the SM
  - Understand CP violation (CKM angles)
  - Search for new physics
- Strong interaction
  - Insights into QCD
  - Heavy quark expansion

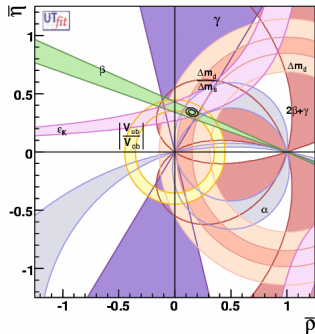


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## Semileptonic Decays

- $b \rightarrow ul\nu$  and  $b \rightarrow cl\nu$
- $V_{ub}$  and  $V_{cb}$
- Exclusive versus inclusive
- Theory versus experiment?
- SM or New Physics?



# The challenge

- Weak interaction: Transitions between quarks
- Observations: Transitions between hadrons
- Dealing with QCD at large distances/small scales
- **Precise predictions required**
  - Precise error estimates
- Effective Field Theory methods
  - Heavy quark expansion in QCD

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  - OPE for inclusive decays
- Phenomenology of semileptonic decays
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# Effective Field Theories

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- Indispensable tool to separate different energy scales
- Semileptonic decays: many different scales involved
  - $\Lambda_{\text{QCD}}, m_c, m_b, m_t, m_W$  and possible NP scale
- Operator Product Expansion (scales  $x \gg 1/\Lambda$ )

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{eff}}^{(4)} + \frac{1}{\Lambda} \mathcal{L}_{\text{eff}}^{(5)} + \frac{1}{\Lambda^2} \mathcal{L}_{\text{eff}}^{(6)} + \dots$$

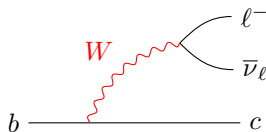
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# Effective Weak Hamiltonian

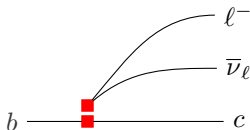


- Start from the Standard Model: Integrate out  $W, Z, t$
- Effective Weak Hamiltonian

$$\mathcal{H}_{\text{eff}} = \frac{g^2}{\sqrt{2}m_W^2} V_{q'q} [\bar{q}'\gamma_\mu(1 - \gamma_5)q] [\bar{\nu}_\ell\gamma_\mu(1 - \gamma_5)\ell]$$
$$\rightarrow \frac{4G_F}{\sqrt{2}} V_{q'q} j_{\mu,\text{had}} j_{\text{lep}}^\mu$$

- QED corrections:  $\alpha_{\text{em}}/\pi \log(m_W^2/m_b^2)$
- No QCD corrections of that order (unlike in non-leptonic decays)

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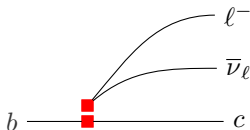


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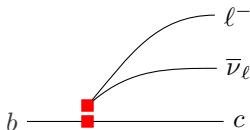


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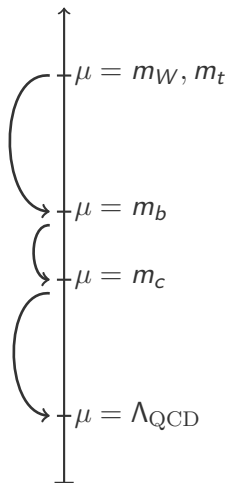


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$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{q'q} \sum_i C_i \left( \frac{\Lambda}{\mu} \right) \mathcal{O}_i(\mu)$$

- Typical procedure:

- Matching at the scale  $\mu = m_W$
- RGE running to the scale  $\mu = m_b$

$$0 = \mu \frac{d}{d\mu} H_{\text{eff}}$$

- Resummations of large logs

- Matching at the scale  $\mu = m_b$
- RGE running to the scale  $\mu = m_c$

- ...

# Heavy Quark Limit

- $1/m_Q$  Expansion: substantial theoretical progress
- Typical momentum transfer between  $Q\bar{q} \sim \Lambda_{\text{QCD}}$
- Velocity  $v$  of heavy quark  $Q$  almost unchanged by QCD
- Static limit  $m_b, m_c \rightarrow \infty$  with fixed velocity ( $Q = b, c$ )

$$v_Q = \frac{p_Q}{m_Q}$$

- For  $m_Q \rightarrow \infty$ :  $m_{\text{hadron}} = m_Q$ ,  $p_{\text{hadron}} = p_Q \rightarrow v_{\text{hadron}} = v_Q$
- Heavy quark does not feel recoil from the light quarks and gluons

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# Heavy Quark Symmetries

## Heavy quark flavor symmetry

- Dynamics unchanged under the exchange of heavy quark flavors
- Interaction of gluons identical for all heavy quarks
- Similarly  $m \rightarrow 0$  gives Chiral Flavor symmetry (isospin)

## Heavy quark spin symmetry

- Spin-dependent interactions proportional to chromomagnetic moment of the quark

$$H_{\text{int}} = \frac{g}{2m_Q} \bar{Q}(\vec{\sigma} \cdot \vec{B})Q \stackrel{m_Q \rightarrow \infty}{\approx} 0$$

- Dynamics unchanged under arbitrary transformations on the spin of the heavy quark
- Heavy Quark Spin Symmetry  $\rightarrow$  Spin Flavour Symmetry Multiplets

# Heavy Quark Effective Theory (HQET)

- Effective theory with manifest heavy quark symmetry in the  $m_Q \rightarrow \infty$  limit
- Valid at scales much smaller than mass  $m_Q$
- Expansion in inverse powers of  $m_Q$
- Define the static field  $b_v$  with velocity  $v$

$$b_v(x) = e^{im_b v \cdot x} \frac{1}{2} (1 + \not{v}) b(x), \quad p_B = m_b v + k$$

- HQET Lagrangian

$$\mathcal{L} = \bar{b}_v (i v \cdot D) b_v + \frac{1}{2m_b} \bar{b}_v (i \not{D})^2 b_v + \dots$$

- Derive Feynman rules to do loop calculations/calculate radiative corrections!

# Exclusive Decays

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## Exclusive Decays

- Hadronic matrix elements parametrized by form factors ( $q^2 = (p_B - p_P)^2$ )

$$\langle P(p_P) | \bar{q} \gamma^\mu b | B(p_B) \rangle = f_+(q^2) \left( p_B^\mu + p_P^\mu - \frac{m_B^2 - m_P^2}{q^2} q^\mu \right) + f_0(q^2) \frac{m_B^2 - m_P^2}{q^2} q^\mu$$

$$\langle P(p_P) | \bar{q} \gamma^\mu \gamma_5 b | B(p_B) \rangle = 0$$

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## $B \rightarrow D^{(*)}$ decays

- Form factors are related by Heavy Quark Symmetry
- Express momenta in velocities with  $w = v \cdot v'$

$$\langle H^{(c)}(v') | \bar{c}_v \Gamma b_v | H^{(b)}(v) \rangle = \text{Tr} X \bar{H}^{(c)} \Gamma H^{(b)} \rightarrow -\xi(w) \text{Tr} \bar{H}^{(c)} \Gamma H^{(b)},$$

- Isgur-Wise function  $\xi$  form factor
- HQET gives normalization in zero-recoil point  $\xi(w = 1) = 1$



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## $B \rightarrow D^{(*)}$ decays

- Express in terms of velocities:  $w = v \cdot v'$  Bernlochner, Ligeti, Papucci, Robinson [2017]

$$\langle D(v') | \bar{c} \gamma^\mu b | B(v) \rangle = \sqrt{m_B m_D} h_+(w) (v + v')^\mu + h_-(w) (v - v')^\mu,$$

$$\langle D^*(v', \epsilon) | \bar{c} \gamma^\mu b | B(v) \rangle = i \sqrt{m_B m_D^*} h_V(w) \epsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta$$

$$\langle D^*(v', \epsilon) | \bar{c} \gamma^\mu \gamma_5 b | B(v) \rangle = \sqrt{m_B m_D^*} h_{A_1}(w + 1) \epsilon^{*\mu} - h_{A_2}(\epsilon^* \cdot v) v^\mu - h_{A_3}(\epsilon^* \cdot v) v'^\mu$$

- In the Heavy Quark limit only a single **Isgur-Wise function  $\xi$  form factor**:

$$h_+ = h_V = h_{A_1} = h_{A_2} = \xi(w), \quad h_- = h_{A_3} = 0 \quad \text{with } \xi(w = 1) = 1$$

# Application: Heavy Quark Symmetries

- Decay rate for  $B \rightarrow D\ell\nu$ :

$$\frac{d\Gamma}{dw} = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (m_B + m_D)^2 m_D^3 (w^2 - 1)^{3/2} |\eta_{\text{ew}} \mathcal{F}(w)|^2$$

with

$$\mathcal{F}(w) = h_+(w) - \frac{m_B - m_D}{m_B + m_D} h_-(w)$$

- Unitarity and Dispersion relations constrain form factors Boyd, Grinstein, Lebed [1995]
- Add HQET conditions and  $1/m_Q$  corrections Caprini, Lellouch, Neubert [1997]; Bigi, Gambino [2016]

$$\mathcal{F}(w) \sim \mathcal{F}(1) \left[ 1 - 8\rho^2 z + (51\rho^2 - 10)z^2 - (252\rho^2 - 84)z^3 \right]$$

$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}$$

- Different parametrization for  $B \rightarrow D^*$
- Zero-recoil point:  $w = 1 \rightarrow z = 0$  (HQ limit  $\mathcal{F}(w = 1) = 1$ )
- Only one fit parameter: slope parameters  $\rho$
- Devised to predict the form factors!
- Too rigid at current level of precision?  $\rightarrow$  Next lecture!

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# Inclusive $B$ decays

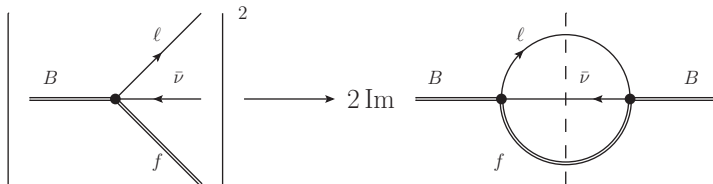
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- Optical Theorem
- Operator Product Expansion (OPE) = Heavy Quark Expansion (HQE)

$$d\Gamma = d\Gamma_0 + d\Gamma_2 \left( \frac{\Lambda_{\text{QCD}}}{m_b} \right)^2 + d\Gamma_3 \left( \frac{\Lambda_{\text{QCD}}}{m_b} \right)^3 + d\Gamma_4 \left( \frac{\Lambda_{\text{QCD}}}{m_b} \right)^4 \\ + d\Gamma_5 \left[ a_0 \left( \frac{\Lambda_{\text{QCD}}}{m_b} \right)^5 + a_1 \left( \frac{\Lambda_{\text{QCD}}}{m_b} \right)^3 \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^2 \right] + \dots$$

# Inclusive $B$ decays

Chay, Georgi, Bigi, Shifman, Uraltsev, Vainstein, Manohar, Wise, Neubert, Mannel, . . .



## Optical Theorem

$$\begin{aligned}
 \Gamma &\propto \sum_X (2\pi)^4 \delta^4(P_B - P_X) |\langle X | \mathcal{H}_{\text{eff}} | B(v) \rangle|^2 \\
 &= \int d^4x \langle B(v) | \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) | B(v) \rangle \\
 &= 2 \text{Im} \int d^4x e^{-iq \cdot x} \langle B(v) | T \left\{ \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) \right\} | B(v) \rangle
 \end{aligned}$$

where  $\mathcal{H}_{\text{eff}} = J_C^\mu L_\mu$ ,  $J_C^\mu = \bar{b} \gamma^\mu P_L c$



## Heavy Quark Expansion

- B meson:  $p_B = m_B v$
- Split the momentum  $b$  quark:  $p_b = m_b v + k$ , expand in  $k \sim iD Q_v$
- Field-redefinition of the heavy field  $Q(x) = \exp(-im(v \cdot x)) Q_v(x)$

$$\begin{aligned}\Gamma &= 2 \operatorname{Im} \int d^4x e^{-iq \cdot x} \langle B(v) | T \{ \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) \} | B(v) \rangle \\ &= 2 \operatorname{Im} \int d^4x e^{i(m_b v - q) \cdot x} \langle B(v) | T \{ \tilde{\mathcal{H}}_{\text{eff}}(x) \tilde{\mathcal{H}}_{\text{eff}}^\dagger(0) \} | B(v) \rangle\end{aligned}$$

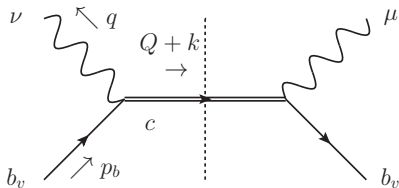
where  $\tilde{\mathcal{H}}_{\text{eff}} = \tilde{J}_C^\mu L_\mu$ ,  $\tilde{J}_C^\mu = \bar{b}_v \gamma^\mu P_L c$ ,  $\Gamma \propto 2 \operatorname{Im} T^{\mu\nu} L_{\mu\nu}$

# Inclusive Decays: the OPE

$$\Gamma(B \rightarrow X_c \ell \nu_\ell) \propto 2\text{Im} T^{\mu\nu} L_{\mu\nu}$$

$$T^{\mu\nu} = i \int d^4x e^{i(m_b v - q) \cdot x} T \{ \bar{b}_\nu(x) \gamma^\mu P_L c(x), \bar{c}(0) \gamma^\nu P_L b_\nu(0) \}$$

$$Q = m_b v - q$$



$$= \bar{b}_\nu \gamma_\mu P_L \left[ \frac{i}{\not{Q} + i\not{D} - m_c} \right] \gamma_\nu P_L b_\nu$$

$$\frac{i}{\not{Q} + i\not{D} - m_c} = \frac{i}{\not{Q} - m_c} + \frac{i}{\not{Q} - m_c} (-i\not{D}) \frac{i}{\not{Q} - m_c} + \frac{i}{\not{Q} - m_c} (-i\not{D}) \frac{i}{\not{Q} - m_c} (-i\not{D}) \frac{i}{\not{Q} - m_c} + \dots$$

## Operator Product Expansion (OPE)

$$2 \operatorname{Im} \left[ \text{Diagram} \right] = \sum_{n,i} \frac{C_i^{(n)}(\mu, \alpha_s)}{m_b^i} \langle B | \mathcal{O}_i^{(n)} | B \rangle_\mu$$

- $C_i(\mu)$ : short distance, perturbative coefficients
- $\langle B | \mathcal{O}_i | B \rangle_\mu$ : non-perturbative forward matrix elements of local operators
- operators contain chains of covariant derivatives

$$\langle B | \mathcal{O}_i^{(n)} | B \rangle = \langle B | \bar{b}_v (iD_\mu) \dots (iD_{\mu_n}) b_v | B \rangle$$

$\Gamma_i$  are power series in  $\mathcal{O}(\alpha_s)$

$$\Gamma = \Gamma_0 + \frac{1}{m_b} \Gamma_1 + \frac{1}{m_b^2} \Gamma_2 + \frac{1}{m_b^3} \Gamma_3 \dots$$

- $\Gamma_0$ : decay of the free quark (partonic contributions),  $\Gamma_1 = 0$
- $\Gamma_2$ :  $\mu_\pi^2$  kinetic term and the  $\mu_G^2$  chromomagnetic moment

$$2M_B \mu_\pi^2 = - \langle B | \bar{b}_\nu iD_\mu iD^\mu b_\nu | B \rangle$$

$$2M_B \mu_G^2 = \langle B | \bar{b}_\nu (-i\sigma^{\mu\nu}) iD_\mu iD_\nu b_\nu | B \rangle$$

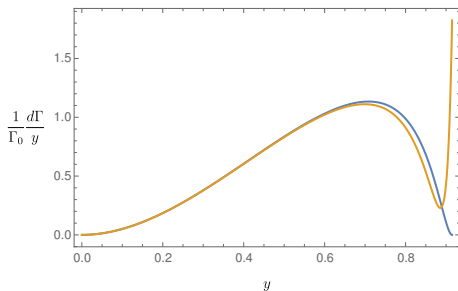
- $\Gamma_3$ :  $\rho_D^3$  Darwin term and  $\rho_{LS}^3$  spin-orbit term

$$2M_B \rho_D^3 = \frac{1}{2} \langle B | \bar{b}_\nu [iD_\mu, [ivD, iD^\mu]] b_\nu | B \rangle$$

$$2M_B \rho_{LS}^3 = \frac{1}{2} \langle B | \bar{b}_\nu \{iD_\mu, [ivD, iD_\nu]\} (-i\sigma^{\mu\nu}) b_\nu | B \rangle$$

- $\Gamma_4$ : 9 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109
- $\Gamma_5$ : 18 parameters Mannel, Turczyk, Uraltsev, JHEP 1010 (2011) 109

# Spectra of Inclusive Decays



- Reliable calculation in HQE possible for the moments of the spectrum
- End point region:  $\rho = m_c^2/m_b^2, y = 2E_\ell/m_b$

$$\frac{d\Gamma}{dy} \sim \theta(1 - y - \rho) \left[ 2 - \frac{\mu_\pi^2}{(m_b(1-y))^2} \left( \frac{\rho}{1-y} \right)^2 \left( 3 - 4 \frac{\rho}{1-y} \right) \right]$$

$$B \rightarrow X_u l \bar{\nu}$$

# Modified Heavy Quark Expansion

- Cuts needed to suppress large charm background
- Pushes towards specific corner of the phase space
  - Local OPE as in  $b \rightarrow c$  cannot work
  - Sensitivity to  $b$ -quark wave function properties (Fermi motion)
  - Deal with energetic light degrees of freedom
  - **More than two scales involved!**
- Expansion parameter  $\Lambda_{\text{QCD}}/(m_b - 2E_\ell)$
- Use light-cone OPE with light-cone directions  $n$  and  $\bar{n}$
- Introduce shape functions

$$2m_B f(\omega) = \langle B(v) | \bar{b}_v \delta(\omega + i(n \cdot D)) b_v | B(v) \rangle$$

- **Universal**
- Similar to parton distribution in DIS

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- Leading order shape functions

$$2m_B f(\omega) = \langle B(v) | \bar{b}_v \delta(\omega + i(n \cdot D)) b_v | B(v) \rangle$$

- Charged Lepton Energy Spectrum (at leading order)

$$\frac{d\Gamma}{dy} \sim \int d\omega \theta(m_b(1-y) - \omega) f(\omega)$$

- Moments of the shapefunction are related to HQE ( $b \rightarrow c$ ) parameters:

$$f(\omega) = \delta(\omega) + \frac{\mu_\pi^2}{6m_b^2} \delta''(\omega) - \frac{\rho_D^3}{m_b^3} \delta'''(\omega) + \dots$$

- Shape function is non-perturbative and cannot be computed

- Systematic framework: Soft Collinear Effective Theory (SCET)
- Separates the different scales in the problem

$$d\Gamma = H \otimes J \otimes S$$

- H: Hard scattering kernel at  $\mathcal{O}(m_b)$
- J: universal Jet function at  $\mathcal{O}(\sqrt{m_b\Lambda_{\text{QCD}}})$
- S: Shape function at  $\mathcal{O}(\Lambda_{\text{QCD}})$
- Framework to include radiative corrections (+ NNLL resummation)
- Introduces 3 subleading shape functions
- Other approach: OPE with hard-cutoff  $\mu$  Gambino, Giordano, Ossola, Uraltsev
  - Use pert. theory above cutoff and parametrize the infrared
  - Different definition of the shape functions
- Shape functions have to be parametrized and obtained from data → next lecture!

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# Heavy Quark Expansion for Charm?

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# Heavy Quark Expansion for Charm

Voloshin [2001]; Bauer, Luke, Falk [1997]; Bigi, Uraltsev, Zwicky [2007]; Bigi, Mannel, Turczyk, Uraltsev, JHEP 1004 (2010)  
Breidenbach, Feldmann, Mannel, Turczyk, Phys. Rev. D78 (2008) 014022; Gambino, Kamenik, Nucl. Phys. B840 (2010) 424

- Notoriously difficult  $\rightarrow$  no good expansion parameter
- Large  $D$  lifetime differences can be qualitatively explained in HQE
- Turn vice into virtue  $\rightarrow$  larger dependence on higher-order terms

# Heavy Quark Expansion for Charm

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- Notoriously difficult  $\rightarrow$  no good expansion parameter
- Large  $D$  lifetime differences can be qualitatively explained in HQE
- **Turn vice into virtue**  $\rightarrow$  larger dependence on higher-order terms
- $b \rightarrow c$ : charm as perturbative scale, expand in fixed  $\rho = m_c^2/m_b^2$
- $b \rightarrow u$ : massless quarks  $\rho \rightarrow 0$  limit exists
- IR sensitivity to light quark gives additional four-quark non-pert. parameters:

$$\langle B | (\bar{b}_\nu \gamma^\nu P_L q) (\bar{q} \gamma^\mu P_L b_\nu) | B \rangle = 2M_B [T_1(\mu) g^{\mu\nu} + T_2(\mu) v^\mu v^\nu]$$

- The general structure of the expansion for  $D \rightarrow X_s \ell \bar{\nu}$ :

$$d\Gamma = d\Gamma_0 + d\Gamma_{(2,1)} \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^2 + d\Gamma_{(2,2)} \left( \frac{m_s}{m_c} \right)^2 \\ + d\Gamma_3 \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^3 + d\Gamma_{(4,1)} \left( \frac{\Lambda_{\text{QCD}}}{m_c} \right)^4 + d\Gamma_{(4,2)} \left( \frac{m_s}{m_c} \right)^4 + \dots$$

- Expansion parameters:
  - $1/m_c$
  - $\alpha_s$
  - $m_s/m_c$

Fael, Mannel, KKV, hep-ph/1910.05234

- Additional HQE parameters for  $c \rightarrow q$ :  $T_i \equiv \frac{1}{2m_D} \langle D | O_i^{4q} | D \rangle$
- Up to  $1/m_c^3$  only one extra HQE param:

$$\begin{aligned} \tau_0 = & 128\pi^2 \left( T_1(\mu) - T_2(\mu) - 2\frac{T_3(\mu)}{m_c} + \frac{T_4(\mu)}{m_c} \right) \\ & + \log \left( \frac{\mu^2}{m_c^2} \right) \left[ 8\tilde{\rho}_D^3 + \frac{1}{m_c} \left( \frac{16}{3}r_G^4 - \frac{16}{3}r_E^4 + \frac{8}{3}s_E^4 - \frac{1}{3}s_{qB}^4 - 12m_s^4 \right) \right] \end{aligned}$$

- Up to  $1/m_c^4$  only two extra HQE params:  $\tau_m$  and  $\tau_\epsilon$ .



$$\rho = m_s^2/m_c^2$$

Fael, Mannel, KKV

$$\begin{aligned} \frac{\Gamma(D \rightarrow X_s \ell \nu)}{\Gamma_0} = & \left(1 - 8\rho - 10\rho^2\right) \mu_3 + (-2 - 8\rho) \frac{\mu_G^2}{m_c^2} + 6 \frac{\tilde{\rho}_D^3}{m_c^3} \\ & + \frac{16}{9} \frac{r_G^4}{m_c^4} + \frac{32}{9} \frac{r_E^4}{m_c^4} - \frac{34}{3} \frac{s_B^4}{m_c^4} + \frac{74}{9} \frac{s_E^4}{m_c^4} + \frac{47}{36} \frac{s_{qB}^4}{m_c^4} + \frac{\tau_0}{m_c^3} \end{aligned}$$

- Data required to test description
- Extract four-quark operators (weak annihilation) from data
  - Also contribute in  $b \rightarrow u$
  - Effect is  $(m_b/m_c)^3$  enhanced in  $D$  compared to  $B$  decays
  - Crucial for precise  $B \rightarrow X_{d,s} \ell \ell$  predictions Hurth, Huber, Lunghi, Qin, Jenkins, KKV
- Comparison of extracted HQE parameters with  $B$  decays

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Key question: HQE indeed applicable to inclusive charm decays?

# Phenomenology

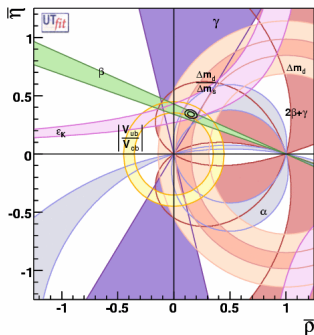
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# Motivation

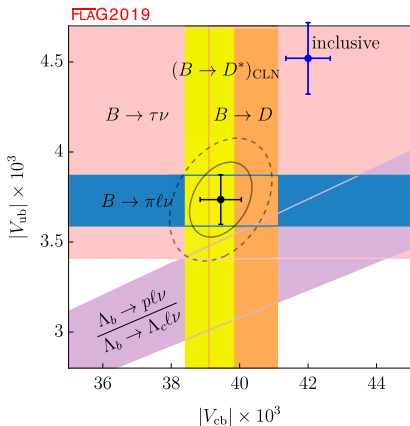
- Semileptonic decays offer **Inclusive** and **Exclusive**  $V_{cb}$  and  $V_{ub}$  determinations
- Crucial to understand the SM CKM mechanism

## Inclusive versus Exclusive

- Discrepancy between both determinations
- Next steps ...



# Inclusive versus Exclusive decays



$|V_{cb}|$

- Exclusive  $B \rightarrow D^{(*)} l \bar{\nu}$
- Inclusive  $B \rightarrow X_c l \nu$

$|V_{ub}|$

- Exclusive  $B \rightarrow \pi l \nu$  ( $B \rightarrow \tau \nu$ )
- Inclusive  $B \rightarrow X_u l \nu$

$|V_{ub}|/|V_{cb}|$

- First determination in baryons

$$\frac{\mathcal{B}(\Lambda_b \rightarrow p l \nu)}{\mathcal{B}(\Lambda_b \rightarrow \Lambda_c l \nu)} = (1.5 \pm 0.1) \left| \frac{V_{ub}}{V_{cb}} \right|^2$$

LHCb'18; Detmold, Lehner, Meinel'15

# Inclusive $V_{cb}$ determination

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# Inclusive Decays: Recap

$$\Gamma = \Gamma_0 + \frac{1}{m_b} \Gamma_1 + \frac{1}{m_b^2} \Gamma_2 + \frac{1}{m_b^3} \Gamma_3 + \dots$$

$\Gamma_i$  are power series in  $\mathcal{O}(\alpha_s)$

- Operator Product Expansion = Heavy Quark Expansion (HQE)
- Introduces non-perturbative matrix elements at every order in  $1/m_b$
- Reliable calculations for **moments of the spectrum**

# Moments of the spectrum

BABAR, PRD 68 (2004) 111104; BABAR, PRD 81 (2010) 032003; Belle, PRD 75 (2007) 032005

Non-perturbative matrix elements obtained from moments of differential rate

## Charged lepton energy

$$\langle E^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell E_\ell^n \frac{d\Gamma}{dE_\ell}}{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}}$$

## Hadronic invariant mass

$$\langle (M_X^2)^n \rangle_{\text{cut}} = \frac{\int_{E_\ell > E_{\text{cut}}} dM_X^2 (M_X^2)^n \frac{d\Gamma}{dM_X^2}}{\int_{E_\ell > E_{\text{cut}}} dM_X^2 \frac{d\Gamma}{dM_X^2}}$$

$$R^*(E_{\text{cut}}) = \frac{\int_{E_\ell > E_{\text{cut}}} dE_\ell \frac{d\Gamma}{dE_\ell}}{\int_0 dE_\ell \frac{d\Gamma}{dE_\ell}}$$

- Moments up to  $n = 3, 4$  and with several energy cuts available
- Experimentally necessary to use lepton energy cut



$$\begin{array}{c}
 R^*(E_{\text{cut}}) \quad \langle E^n \rangle_{\text{cut}} \quad \langle (M_X^2)^n \rangle_{\text{cut}} \\
 \downarrow \\
 \mu_\pi^2, \mu_G^2, \rho_D^3, \rho_{LS}^3, m_b, (m_c) \\
 \downarrow \\
 \text{Br}(\bar{B} \rightarrow X_c \ell \bar{\nu}) \propto \frac{|V_{cb}|^2}{\tau_B} \left[ \Gamma_0 + \Gamma_{\mu_\pi} \frac{\mu_\pi^2}{m_b^2} + \Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + \Gamma_{\rho_D} \frac{\rho_D^3}{m_b^3} \right] \\
 \downarrow \\
 V_{cb} = (42.21 \pm 0.78) \times 10^{-3}
 \end{array}$$

Gambino, Schwanda, PRD 89 (2014) 014022;  
 Alberti, Gambino et al, PRL 114 (2015) 061802

# State-of-the-art

Jezabek, Kuhn, NPB 314 (1989) 1; Melnikov, PLB 666 (2008) 336; Pak, Czarnecki, PRD 78 (2008) 114015; Becher, Boos, Lunghi, JHEP 0712 (2007) 062; Alberti, Gambino, Nandi, JHEP 1401 (2014) 147; Mannel, Pivovarov, Rosenthal, PLB 741 (2015) 290.

$$\Gamma \propto |V_{cb}|^2 m_b^5 \left[ \Gamma_0 + \Gamma_0^{(1)} \frac{\alpha_s}{\pi} + \Gamma_0^{(2)} \left( \frac{\alpha_s}{\pi} \right)^2 + \frac{\mu_\pi^2}{m_b^2} \left( \Gamma(\pi,0) + \frac{\alpha_s}{\pi} \Gamma(\pi,1) \right) \right. \\ \left. + \frac{\mu_G^2}{m_b^2} \left( \Gamma(G,0) + \frac{\alpha_s}{\pi} \Gamma(G,1) \right) + \frac{\rho_D^3}{m_b^3} \Gamma(D,0) + \mathcal{O} \left( \frac{1}{m_b^4} \right) \dots \right]$$

- Includes all known  $\alpha_s$  and  $\alpha_s^2$  corrections
- Kinetic mass scheme 1411.6560,1107.3100; hep-ph/0401063
- Only uses mild external constraints
- Include terms up to  $1/m_b^3$
- Assigned 1.4% theo. error due to missing higher orders
  - Missing power-corrections and  $\alpha_s$ -corrections
  - Quark-hadron duality violation expected to be small

Bigi, Shifman, Uraltsev, Vainshtein, hep-ph/9704245, hep-ph/9405410; Czarnecki, Melnikov, Uraltsev, hep-ph/9708372.

$$\Gamma \propto |V_{cb}|^2 m_b^5 \left[ \Gamma_0 + \Gamma_0^{(1)} \frac{\alpha_s}{\pi} + \Gamma_0^{(2)} \left( \frac{\alpha_s}{\pi} \right)^2 \dots \right]$$

- QCD corrections calculated in the pole mass
- Very large corrections  $\Gamma_0^{(1)}$  and  $\Gamma_0^{(2)}$
- Renormalon issues require short-distance mass
- $\overline{\text{MS}}$  for scales  $\mu$  above heavy quark mass
- Kinetic mass: relating hadron versus quark mass  
QCD corrections using hard cut off  $\mu$

$$m_H = m_Q(\mu) + \bar{\Lambda}(\mu) + \frac{\mu_\pi^2(\mu)}{2m_Q(\mu)} + \dots$$

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- Higher-order terms in the HQE generate corrections  $(\alpha_s/\pi)\mu^n/m_Q^n$ .
- $\Lambda_{\text{QCD}} < \mu < m_Q$ : expansion parameters  $\mu/m_Q$ 
  - Typical choice  $\mu = 1 \text{ GeV}$ :  $\mu/m_B \simeq 0.2$
  - Challenging for charm decays!
- Also hadronic matrix elements get shifted!

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# Towards higher precision

Jezabek, Kuhn, NPB 314 (1989) 1; Melnikov, PLB 666 (2008) 336; Pak, Czarnecki, PRD 78 (2008) 114015; Becher, Boos, Lunghi, JHEP 0712 (2007) 062; Alberti, Gambino, Nandi, JHEP 1401 (2014) 147; Mannel, Pivovarov, Rosenthal, PLB 741 (2015) 290.

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- 4 up to  $1/m_b^3$
- 13 up to  $1/m_b^4$  Dassinger, Mannel, Turczyk, JHEP 0703 (2007) 087
- 31 up to  $1/m_b^5$  Mannel, Turczyk, Uraltsev, JHEP 1011 (2010) 109

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- 31 up to  $1/m_b^5$  Mannel, Turczyk, Uraltsev, JHEP 1011 (2010) 109

## Next steps:

- Include  $\alpha_s$  corrections to for  $\rho_D^3$  Mannel, Pivovarov [in progress]; Gambino [in progress]
- Full determination up to  $1/m_b^4$  from data
- Lattice?!

## Lowest State Saturation Approximation (LSSA)

$$\langle B|O_1 O_2|B\rangle = \sum_n \langle B|O_1|n\rangle \langle n|O_2|B\rangle$$

$$\rho_D^3 = \varepsilon \mu_\pi^2, \quad \rho_{LS}^3 = -\varepsilon \mu_G^2, \quad \varepsilon = m_P - m_B \sim 0.4 \text{ GeV}$$

Mannel, Turczyk, Uraltsev JHEP 1011 (2010) 109; Heinonen, Mannel, NPB 889 (2014) 46

- Assume fully saturated by the lowest state ( $B, B^*$  or  $P$ -wave excitation)
- Matrix elements with time derivative saturated by  $P$  wave states
- LSSA only estimate! Set with 60% Gaussian uncertainty
- $\mathcal{O}(1/m_b^4, 1/m_b^5)$  can then be included in fit Healey, Turczyk, Gambino, PLB 763 (2016) 60
- $-0.25\%$  shift due to power corrections

$$|V_{cb}|_{\text{incl}} = (42.00 \pm 0.64) \times 10^{-3}$$

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$$m_H = m + \bar{\Lambda} + \frac{1}{2m}(\mu_\pi^2 - \mu_G^2)_{m \rightarrow \infty} + \dots$$

- Calculate meson masses for several quark masses
- Extracted in the static  $B$  limit (versus physical  $B$  states)

$$\mu_\pi^2 = \mu_\pi^2|_\infty - \frac{\rho_{\pi\pi}^3 + \frac{1}{2}\rho_{\pi G}^3}{m_b} + \mathcal{O}\left(\frac{1}{m_b^2}\right)$$

$$\mu_G^2 = \mu_G^2|_\infty + \frac{\rho_S^3 + \rho_A^3 + \frac{1}{2}\rho_{\pi G}^3}{m_b} + \mathcal{O}\left(\frac{1}{m_b^2}\right)$$

$\rho_{A,S,\pi\pi,\pi G}$  non-local matrix elements

- Precise determination of  $\bar{\Lambda}$ ,  $\mu_G^2$  and  $\mu_\pi^2$
- Gives estimate of  $\rho_D^3$  in agreement with semileptonic determinations Gambino,

Mannel, Uraltsev [1206.2296]

# Reparametrization Invariance

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# Reparametrization invariance

Dugan, Golden, Grinstein, Chen, Luke, Manohar, Hill, Solon, Heinonen, Mannel, KKV

- Choice of  $v$  not unique, result independent of  $v$  (Lorentz invariance)
- Reparametrization Invariant (RPI) under an infinitesimal change

$$v_\mu \rightarrow v_\mu + \delta v_\mu$$

$$\delta_{RP} v_\mu = \delta v_\mu \quad \text{and} \quad \delta_{RP} iD_\mu = -m_b \delta v_\mu$$

- Links different orders in  $1/m_b$ 
  - Gives exact relations between different orders
  - Resums towers of operators
  - Reduces the number of independent parameters



$$R = \sum_{n=0}^{\infty} C_{\mu_1 \dots \mu_n}^{(n)}(v) \otimes \bar{b}_v(iD_{\mu_1} \dots iD_{\mu_n}) b_v$$

$$\begin{aligned} \delta_{\text{RP}} R = 0 &= \sum_{n=0}^{\infty} \left[ \delta_{\text{RP}} C_{\mu_1 \dots \mu_n}^{(n)} \right] \bar{b}_v(iD^{\mu_1} \dots iD^{\mu_n}) b_v \\ &+ \sum_{n=0}^{\infty} C_{\mu_1 \dots \mu_n}^{(n)} \left[ \delta_{\text{RP}} \bar{b}_v(iD^{\mu_1} \dots iD^{\mu_n}) b_v \right] \end{aligned}$$

## The RPI relation:

$$\delta_{\text{RP}} C_{\mu_1 \dots \mu_n}^{(n)} = m_b \delta v^\alpha \left[ C_{\alpha \mu_1 \dots \mu_n}^{(n+1)} + C_{\mu_1 \alpha \mu_2 \dots \mu_n}^{(n+1)} + \dots + C_{\mu_1 \dots \mu_n \alpha}^{(n+1)} \right]$$

- $1/m_b^2$ :  $\mu_G^2 \rightarrow \underbrace{\eta (-i\sigma_{\mu\nu})}_{C_{\mu\nu}^{(2)}} \otimes \bar{b}_\nu (iD^\mu iD^\nu) b_\nu$
- $1/m_b^3$ :  $\rho_{LS}^3 \rightarrow \xi v_\alpha \underbrace{(-i\sigma_{\mu\nu})}_{C_{\mu\alpha\nu}^{(3)}} \otimes \bar{b}_\nu (iD^\mu iD^\alpha iD^\nu) b_\nu$

## The RPI relation:

$$\begin{aligned}\delta_{\text{RP}} C_{\mu\nu}^{(2)} &= 0 \\ &= m_b \delta v^\alpha \left( C_{\mu\nu\alpha}^{(3)} + C_{\mu\alpha\nu}^{(3)} + C_{\alpha\mu\nu}^{(3)} \right) \\ &= -im_b \xi \delta v^\alpha (\sigma_{\mu\alpha} v_\nu + \sigma_{\alpha\nu} v_\mu) \\ &\Leftrightarrow \xi = 0\end{aligned}$$

# Non-perturbative matrix elements

Mannel, KKV, JHEP 1806 (2018) 115

- 1:

$$- 2M_B \mu_3 = \langle B | \bar{b}_\nu b_\nu | B \rangle = 2M_B \left( 1 - \frac{\mu_\pi^2 - \mu_G^2}{2m_b} \right)$$

- $1/m_b^2$ :

$$- 2M_B \mu_G^2 = \langle B | \bar{b}_\nu (-i\sigma^{\mu\nu}) iD_\mu iD_\nu b_\nu | B \rangle$$

- $1/m_b^3$ :

$$- 2M_B \tilde{\rho}_D^3 = \frac{1}{2} \langle B | \bar{b}_\nu \left[ iD_\mu, \left[ ivD + \frac{(iD)^2}{m_b} \right], iD^\mu \right] b_\nu | B \rangle$$

- $1/m_b^4$ :

$$- 2M_B r_G^4 \equiv \frac{1}{2} \langle B | \bar{b}_\nu [iD_\mu, iD_\nu] [iD^\mu, iD^\nu] b_\nu | B \rangle \propto \langle \vec{E}^2 - \vec{B}^2 \rangle$$

$$- 2M_B r_E^4 \equiv \frac{1}{2} \langle B | \bar{b}_\nu [ivD, iD_\mu] [ivD, iD^\mu] b_\nu | B \rangle \propto \langle \vec{E}^2 \rangle$$

$$- 2M_B s_B^4 \equiv \frac{1}{2} \langle B | \bar{b}_\nu [iD_\mu, iD_\alpha] [iD^\mu, iD_\beta] (-i\sigma^{\alpha\beta}) b_\nu | B \rangle \propto \langle \vec{\sigma} \cdot \vec{B} \times \vec{B} \rangle$$

$$- 2M_B s_E^4 \equiv \frac{1}{2} \langle B | \bar{b}_\nu [ivD, iD_\alpha] [ivD, iD_\beta] (-i\sigma^{\alpha\beta}) b_\nu | B \rangle \propto \langle \vec{\sigma} \cdot \vec{E} \times \vec{E} \rangle$$

$$- 2M_B s_{qB}^4 \equiv \frac{1}{2} \langle B | \bar{b}_\nu [iD_\mu, [iD^\mu, [iD_\alpha, iD_\beta]]] (-i\sigma^{\alpha\beta}) b_\nu | B \rangle \propto \langle \square \vec{\sigma} \cdot \vec{B} \rangle .$$

Up to  $1/m_b^4$ : 8 parameters versus previous 13

# Alternative $V_{cb}$ determination

- RPI reduced set to directly fit the higher order terms
- Requires RPI observables

$$O = \int w(v, p_e, p_\nu) \langle \text{Im } T(S) \rangle L(p_e, p_\nu) d\Phi_3$$

- The observable  $O$  is RPI if  $\delta_{\text{RP}} w(v, p_e, p_\nu) = 0$

$O$	$w(v, p_e, p_\nu)$	RPI
Total Rate	1	✓
Moments charged lepton energy	$(v \cdot p_e)^n$	✗
Moments hadronic invariant mass	$(M_B v - q)^{2n}$	✗
Moments leptonic invariant mass	$(q^2)^n$	✓

Fael, Mannel, KKV, JHEP 02 (2019) 177

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Moments leptonic invariant mass	$(q^2)^n$	✓

Fael, Mannel, KKV, JHEP 02 (2019) 177

- Ratio between the rate with and without a cut

$$R^*(q_{\text{cut}}^2) = \int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2} \bigg/ \int_0 dq^2 \frac{d\Gamma}{dq^2}$$

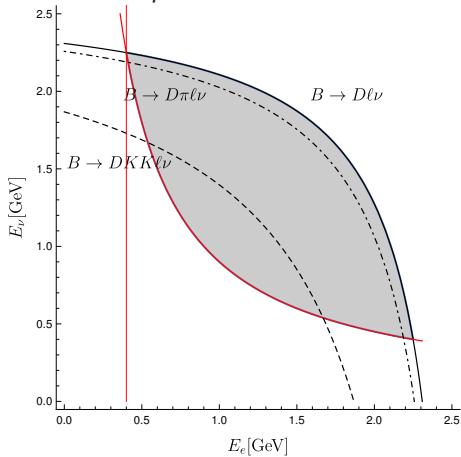
- $q^2$  moments

$$\langle (q^2)^n \rangle_{\text{cut}} = \int_{q^2 > q_{\text{cut}}^2} dq^2 (q^2)^n \frac{d\Gamma}{dq^2} \bigg/ \int_{q^2 > q_{\text{cut}}^2} dq^2 \frac{d\Gamma}{dq^2}$$

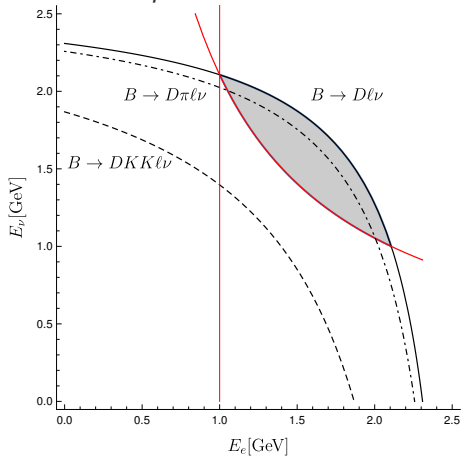
Fael, Mannel, KKV, JHEP 02 (2019) 177

Note; Energy cut is not RPI, but  $q_{\text{cut}}^2$  is RPI and can be used to remove the low electron energies

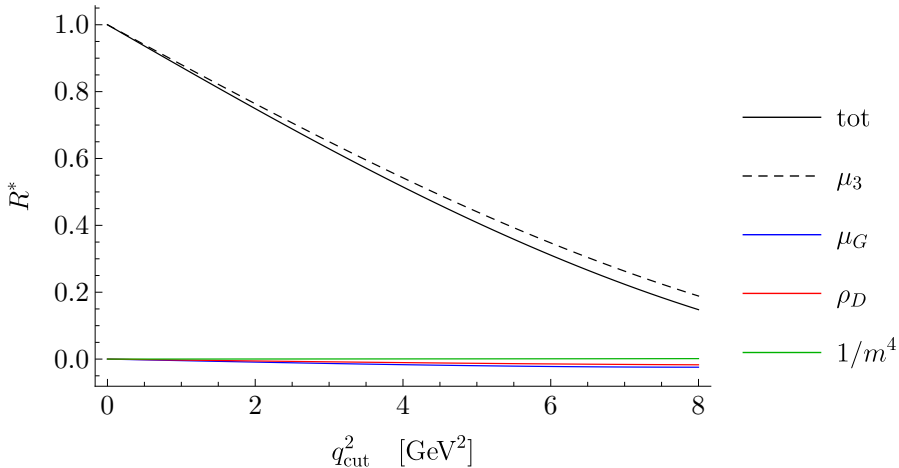
$$q^2 > 3.6 \text{ GeV}^2$$



$$q^2 > 8.4 \text{ GeV}^2$$

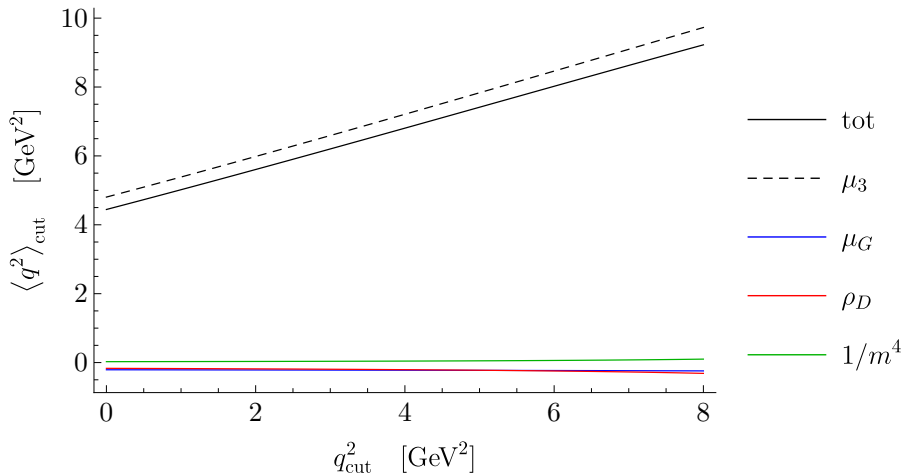




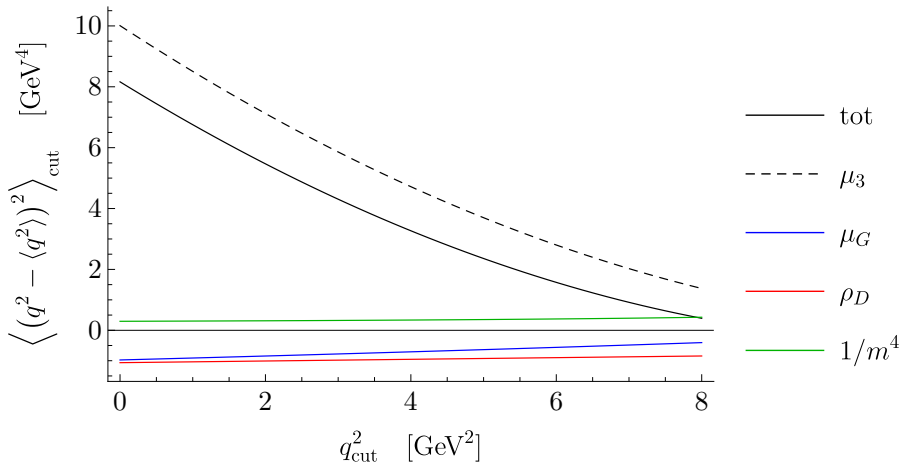


Fael, Mannel, KKV, JHEP 02 (2019) 177

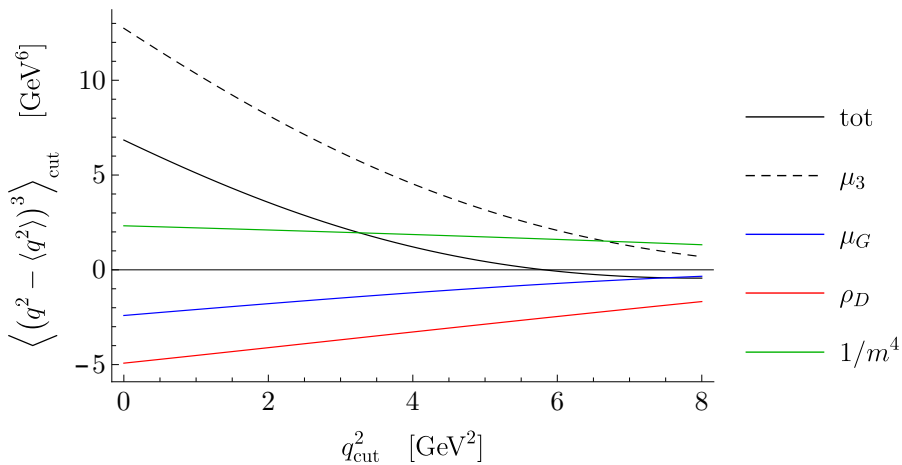
Benchmark values based on Gambino, Haeley, Turczyk, PLB 763 (2016) 60



Fael, Mannel, KKV, JHEP 02 (2019) 177



Fael, Mannel, KKV, JHEP 02 (2019) 177



Fael, Mannel, KKV, JHEP 02 (2019) 177

$$\begin{array}{c}
 R^*(q_{\text{cut}}^2) \quad \langle (q^2)^n \rangle_{\text{cut}} \\
 \downarrow \\
 \mu_3, \mu_G, \tilde{\rho}_D, r_E, r_G, s_E, s_B, s_{qB}, m_b, m_c \\
 \downarrow \\
 \text{Br}(\bar{B} \rightarrow X_c \ell \bar{\nu}) \propto \frac{|V_{cb}|^2}{\tau_B} \left[ \Gamma_{\mu_3} \mu_3 + \Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + \Gamma_{\tilde{\rho}_D} \frac{\tilde{\rho}_D^3}{m_b^3} \right. \\
 \left. + \Gamma_{r_E} \frac{r_E^4}{m_b^4} + \Gamma_{r_G} \frac{r_G^4}{m_b^4} + \Gamma_{s_B} \frac{s_B^4}{m_b^4} + \Gamma_{s_E} \frac{s_E^4}{m_b^4} + \Gamma_{s_{qB}} \frac{s_{qB}^4}{m_b^4} \right] \\
 \downarrow \\
 V_{cb} = ?
 \end{array}$$

Fael, Mannel, KKV, JHEP 02 (2019) 177

## $b \rightarrow u \ell \nu$ contribution

- suppressed by  $V_{ub}/V_{cb}$
- can be calculated precisely in HQE!
- compare used Monte Carlo with theory

## $b \rightarrow c(\tau \rightarrow \mu \nu \bar{\nu})\bar{\nu}$ contribution

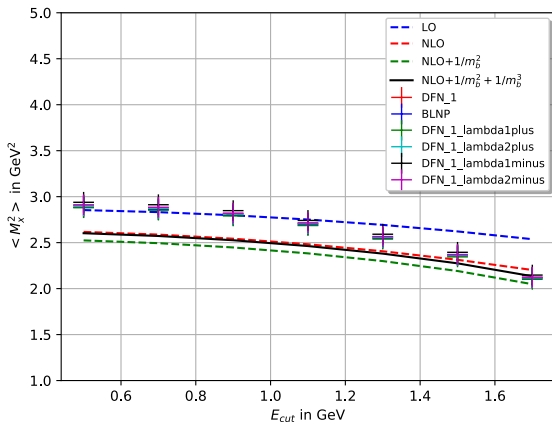
- phase space suppressed
- likewise can be calculated precisely

## Analysis of full $B \rightarrow X_\mu$ data sample?

- including also QED effects

# Monte Carlo versus HQE

Rahimi, Mannel, KKV, in progress; MC data by Lu Cao and Florian Bernlochner



Preliminary!

# Exclusive $V_{cb}$ determination

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$B \rightarrow D\ell\nu$ 

$$\frac{d\Gamma}{dw} = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (m_B + m_D)^2 m_D^3 (w^2 - 1)^{3/2} |\eta_{ew} \mathcal{F}(w)|^2$$

- $\mathcal{F}(w)$  available from Lattice at different kinematical points!
- Of crucial importance!
- Different parametrization: BGL and CLN
  - BGL: model independent
  - CLN: adds HQET relations: simple, effective parametrization up to certain precision!
- Recently a lot of attention for the  $V_{cb}$  puzzle! Apologies for missed citations
- Triggered also by Belle data in format that allows independent reanalyses

BGL Boyd, Grinstein, Lebed [1995]

- Start with  $z$ -expansion

$$F(z) = \frac{1}{B_F(z)\phi_F(z)} \sum_{j=0}^{\infty} a_j^F z^j$$
$$z(q^2) = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}} \quad w = \frac{m_B^2 + m_D^2 - q^2}{2m_B m_D} \quad 0 < z < 0.056$$

- $B_F(z)$  Blaschke factors removes poles below threshold
- $\phi(z)$  outer functions: phase space factors
- Unitarity and Dispersion relations constrain **EACH** form factor:

$$\sum_{j=0}^{\infty} (a_j^F)^2 \leq 1$$

- **Weak unitarity constraints:** saturation by single channel
- For  $B \rightarrow D^*$  form factors axial form factors  $f$  and  $\mathcal{F}_1$  related
- Need to truncate the series at some  $N$

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- Model independent parameterization
- Remember: truncation at some  $N$  Bernlochner, Ligeti, Robinson [2019]
  - Optimal: adding terms until fit is not altered in relevant way Gambino, Jung, Schacht [2019]
- **Does not include all available information!**
- In general may not give the strongest possible constraints

## Strong Unitarity Bound Boyd, Grinstein, Lebed [1995] Caprini, Lellouch, Neubert [1997]

- Include all four  $b \rightarrow c$  channels:  $B^{(*)} \rightarrow D^{(*)}$
- Additional constraint on unitarity sum of channels with same quantum numbers:

$$\sum_{i=1}^H \sum_{n=0}^N (b_n^i)^2 \leq 1$$

- Requires knowledge of all  $b \rightarrow c$  form factors: non-perturbative input
- Constraints can be included using HQE relations
- Idea of CLN

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## CLN Caprini, Lellouch, Neubert [1997]

- Simple parametrization including **strong unitarity constraints**
- HQET used to strongly reduce number of parameters

$$\mathcal{F}(w) \sim \mathcal{F}(1) \left[ 1 - 8\rho^2 z + (51\rho^2 - 10)z^2 - (252\rho^2 - 84)z^3 \right]$$
$$z = \frac{\sqrt{w+1} - \sqrt{2}}{\sqrt{w+1} + \sqrt{2}}$$

- Different coefficients for  $B \rightarrow D^*$
- Neglects HQE relations between  $B \rightarrow D$  and  $B \rightarrow D^*$  form factors
- Only one fit parameter: **slope parameter  $\rho$**
- Uncertainties on fixed parameters never included in exp. analyses!
- Lattice data that test and complement HQE relations not included
- Shortcomings addressed in many recent papers Bigi, Schacht, Gambino, Jung, Straub, Bernlochner, Ligetti, Robinson, Bordone, van Dyk, Gubernari
- Too simple for the current level of precision
- Do not use determinations based on CLN! Summary Semileptonic MITP workshop [2006.07287]



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## Optimal Determination(?):

- Include strong unitarity
- Include higher-order corrections to HQE
- Adding  $1/m_c^2$  crucial Bordone, Jung, van Dyk [2019]
- Include Lattice + QCD sum rule info on form factors
- Requires a global fit!

$B \rightarrow D\ell\nu$ 

$$\frac{d\Gamma}{dw} = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (m_B + m_D)^2 m_D^3 (w^2 - 1)^{3/2} |\eta_{\text{ew}} \mathcal{F}(w)|^2$$

- $\mathcal{F}(w)$  available from Lattice at different kinematical points!
- Latest Belle analysis uses both BGL and CLN Belle [1510.03657]
  - Compatible results and in agreement with inclusive determination
- Global fit including strong unitarity

Bigi, Gambino, Phys. Rev. D94 (2016) 094008

$$|V_{cb}|_{\text{excl, Global Fit, } D} = (40.5 \pm 1.0) \times 10^{-3}$$

- In agreement with inclusive determination

$B \rightarrow D\ell\nu$ 

$$\frac{d\Gamma}{dw} = \frac{G_F^2 m_B^5}{48\pi^3} |V_{cb}|^2 (m_B + m_D)^2 m_D^3 (w^2 - 1)^{3/2} |\eta_{\text{ew}} \mathcal{F}(w)|^2$$

- $\mathcal{F}(w)$  available from Lattice at different kinematical points!
- Latest Belle analysis uses both BGL and CLN Belle [1510.03657]
  - Compatible results and in agreement with inclusive determination
- Global fit including strong unitarity

Bigi, Gambino, Phys. Rev. D94 (2016) 094008

$$|V_{cb}|_{\text{excl, Global Fit, } D} = (40.5 \pm 1.0) \times 10^{-3}$$

- In agreement with inclusive determination



## $B \rightarrow D^* \ell \nu$

Caprini, Lellouch, Neubert (1998); Boyd, Grinstein, Lebed (1997)

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- Form factor  $\mathcal{F}(w = 1)$  computed on the lattice Fermilab/MILC
- Extrapolation to zero-recoil point necessary
- Far more sensitive to the specific parameterization!
- Too many discussions to review here triggered by Belle data Belle [1702.01521]

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HFLAV'17

$$|V_{cb}|_{\text{excl, CLN}} = (39.2 \pm 0.7) \times 10^{-3}$$

Grinstein, Kobach, PLB 771 359 (2017)

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Bigi, Gambino, Schacht, PLB 769 441 (2017)

- BGL always closer to inclusive decay; larger uncertainty
- Without Lattice data on the slope of the form factor; BGL offers more conservative and reliable choice

$B \rightarrow D\ell\nu + B \rightarrow D^*\ell\nu$  Bordone, Jung, van Dyk [2019]

- Including lattice + QCD sumrules
- Fully exploiting HQE +  $1/m_c^2$  corrections
- Recent analysis: agreement between inclusive and exclusive  $|V_{cb}|$

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Bordone, Jung, van Dyk [2019]

- Theory only BGL fit parameters including errors also available Bordone, Jung, Gubernari, van Dyk [2019]

# Exclusive $V_{ub}$ determination

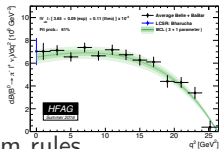
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## Exclusive $B \rightarrow \pi \ell \nu$

Bourrely, Caprini, Lellouch, PRD79, 013008 (2009); Bharucha, JHEP 1205 (2012)

$$\frac{d\mathcal{B}(B \rightarrow \pi \ell \nu)}{dq^2} = \frac{G_F^2 \tau_B}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+^{B\pi}(q^2)|^2$$

- Only one form factor required
- Combined inputs from Lattice QCD (BCL) and QCD sum rules



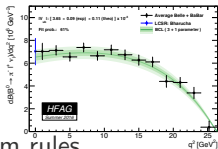
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## Other probes:

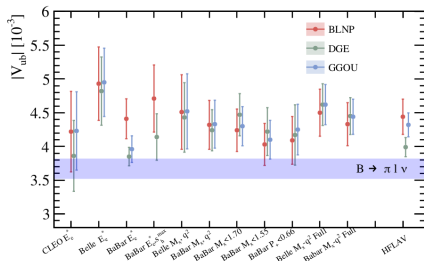
- $B_s \rightarrow K \ell \nu$ 
  - Form factors available in QCD sum rules Khodjamirian, Rusov, JHEP 08 (2017) 112
  - on the Lattice Fermilab/MILC [1901.02561]
- $B \rightarrow \rho \ell \nu$
- Pure leptonic  $B \rightarrow \tau \nu$



# Inclusive $V_{ub}$ determination

# Inclusive $V_{ub}$ determination

- Modified HQE for  $b \rightarrow u$  due to cuts to suppress charm!
- Requires the leading and subleading shapefunctions
  - Comparison with  $B \rightarrow X_s \gamma$  (challenging)
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- Different approaches
  - BLNP Bosch, Lange, Neubert, Paz
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  - SIMBA Tachmann (2x), Ligetti, Bernlochner



[2006.07287]

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$$|V_{ub}|_{\text{incl}} = (4.5 \pm 0.3) \times 10^{-3} \quad \text{PDG'18}$$

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## Next steps:

- Extract shape functions from global fit (SIMBA and NN $V_{ub}$ )
- Implementing higher-order corrections in BLNP KKV, Mannel, Lange; in progress

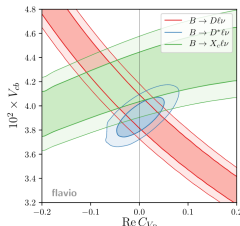
# New Physics

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- Too many to count: exclusive  $B \rightarrow D^{(*)}$  in combination with

$$R_{D^{(*)}} = \frac{B \rightarrow D^{(*)} \tau \nu}{B \rightarrow D^{(*)} \mu \nu}$$

- For inclusive  $b \rightarrow c$  less analyses
  - RH-current, scalar and tensor NP contributions to rate Jung, Straub [2018]
  - RH-current to moments Feger, Mannel, et. al. [2010]
  - NP in semitauponic mode Rusov, Mannel, Shahriaran [2017]
  - NP for moments KKV, Fael, Olschewsky [in progress]



# Summary & Outlook

Inclusive  $|V_{cb}|$  and exclusive  $V_{ub}$  least disputed

## Next steps:

- New modes
- Perturbative corrections
- Proliferation of non-perturbative matrix elements:  $q^2$  moments

Inclusive  $|V_{ub}|$  and exclusive  $V_{cb}$  require more studies

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- Lattice form factors of  $B \rightarrow D^{(*)}$  at different kinematical points
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Thank you for your attention

# Backup

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$$\begin{aligned}
\Gamma = & \frac{G_F^2 m_b^5}{192 \pi^3} |V_{cb}|^2 \left[ \mu_3 - 2 \frac{\mu_G^2}{m_b^2} + \left( \frac{34}{3} + 8 \log \rho \right) \frac{\tilde{\rho}_D^3}{m_b^2} \right. \\
& + \frac{16}{9} (4 + 3 \log \rho) \frac{r_G^4}{m_b^4} - \frac{16}{9} (1 + 3 \log \rho) \frac{r_E^4}{m_b^4} - \frac{2}{3} \frac{s_B^4}{m_b^4} \\
& \left. + \left( \frac{50}{9} + \frac{8}{3} \log \rho \right) \frac{s_E^4}{m_b^4} - \left( \frac{25}{36} + \frac{1}{3} \log \rho \right) \frac{s_{qb}^4}{m_b^4} + O \left( \rho, \frac{1}{m_b^5} \right) \right]
\end{aligned}$$

with  $\rho = m_c^2/m_b^2$

- Reduction of single  $\gamma$  matrix:

$$\begin{aligned}\langle \bar{Q}_v \Gamma (iD_{\mu_1}) \dots (iD_{\mu_n}) Q_v \rangle &= \frac{1}{2} \langle \bar{Q}_v \{ \Gamma, \not{v} \} (iD_{\mu_1}) \dots (iD_{\mu_n}) Q_v \rangle \\ &+ \frac{1}{2m} \langle \bar{Q}_v \{ (i\not{D}), (iD_{\mu_1}) \dots (iD_{\mu_n}) \Gamma \} Q_v \rangle\end{aligned}$$

$$\langle \bar{Q}_v \gamma_\beta (iD_{\mu_1}) \dots (iD_{\mu_n}) Q_v \rangle = v_\beta \langle \bar{Q}_v (iD_{\mu_1}) \dots (iD_{\mu_n}) Q_v \rangle + \mathcal{O}(1/m_b)$$

- Use E.O.M.

$$\begin{aligned}\not{v} Q_v &= Q_v - \frac{i\not{D}}{m_b} Q_v \\ (iv \cdot D) Q_v &= -\frac{1}{2m_b} (i\not{D})(i\not{D}) Q_v\end{aligned}$$

$$0 = \sum_i \left( \mu \frac{d}{d\mu} C_i(\Lambda/\mu) \right) \mathcal{O}_i(\mu) + C_i(\Lambda/\mu) \left( \mu \frac{d}{d\mu} \mathcal{O}_i(\mu) \right)$$

- Operator mixing:

$$\mu \frac{d}{d\mu} \mathcal{O}_i(\mu) = \sum_j \gamma_{ij}(\mu) \mathcal{O}_j(\mu)$$

- The operators  $\mathcal{O}_j$  form a basis:

$$\sum_i \left[ \delta_{ij} \mu \frac{d}{d\mu} + \gamma_{ij}^T(\mu) \right] C_j(\Lambda/\mu) = 0$$

- Wilson coefficient  $C_j$  also depends on  $\alpha_s(\mu)$  introducing the QCD  $\beta$ -function:

$$\mu \frac{d}{d\mu} = \left( \mu \frac{\partial}{\partial \mu} + \beta(\alpha_s) \frac{\partial}{\partial \alpha_s} \right)$$

# Renormalization Group

- Renormalization Group Equations (RGE):

$$\sum_i \left[ \delta_{ij} \left( \mu \frac{\partial}{\partial \mu} + \beta(\alpha_s) \frac{\partial}{\partial \alpha_s} \right) + \gamma_{ij}^T(\alpha_s) \right] C_j(\Lambda/\mu) = 0$$

- **Running:** Use RGE to relate coefficients at different scales  $\mu$
- Resummation of large logarithms. Leading Log Approx. (LLA):

$$C_i(\Lambda/\mu, \alpha_s) \sum_{n=0}^{\infty} b_i^{nn} \left( \frac{\alpha_s}{4\pi} \right)^n \ln^n \frac{\Lambda}{\mu}$$

- At next order include next-to-leading logs etc..