Flavor physics: past & future

Zoltan Ligeti

(ligeti@berkeley.edu)



March 22, 2021



- Why are we (still) interested in all these measurements?
 (i) Many open questions
 (ii) Unexpected discoveries are... unpredictable
- Introduction
- Kaons
- B past
- B future
 - + other probes: charm, top, EDMs, higgs
- Please interrupt with any questions or comments. I mean it! (Can't see raised hands)





Disclaimer: won't talk about spectroscopy

 Most cited Belle & LHCb and 2nd most cited BaBar papers are on spectroscopy (main detector papers aside)



Could easily be the subject of many talks





What is particle physics?

- Elementary interactions and d.o.f.? Observed phenomena consistent with SM (Michelson 1894: "... it seems probable that most of the grand underlying principles have been firmly established ...")
- Standard Model of particle physics:



Standard Model of cosmology:



- Inconsistent: Two very successful theories, but this cannot be the full story
 - Dark matter
 - Baryon asymmetry of the Universe
 - Neutrino mass
 - Inflation in the early universe
 - Dark energy







THEORETICAL PHYSICS

The crucial role of symmetries

- Intimate connection between symmetries and conservation laws
 [Noether]
 Much of what we know is determined by symmetries, their range of validity, if and how they are violated... interactions, conservation laws, selection rules, forbidden / suppressed processes
 - Continuous symmetries... e.g.: translation momentum conservation
 - Gauge (internal) symmetries... e.g.: U(1) electromagnetic interaction
- Discrete symmetries:
 - C = charge conjugation
 - $P = \text{ parity } (\vec{x} \leftrightarrow -\vec{x})$
 - $T = \text{time reversal} (t \leftrightarrow -t, \text{ initial} \leftrightarrow \text{final states})$

CPT cannot be violated in a relativistically covariant local quantum field theory

• Discovered 1957: weak interactions (maximally) violate *P* and *C* [Lee & Yang, Nobel 1957]





The Universe: matter vs. antimatter

- Gravity, electromagnetism, strong interaction are same for matter and antimatter
- As the early Universe cooled, quarks and antiquarks annihilated

 $\frac{N(\text{baryon})}{N(\text{photon})} \sim 10^{-9} \implies \frac{N_q - N_{\overline{q}}}{N_q + N_{\overline{q}}} \sim 10^{-9}$ $t < 10^{-6} \text{ s} \ (T > 10^{13} \text{ K} \sim 1 \text{ GeV})$

• SM prediction: $\sim 10^{10}$ times smaller [Nonzero! Sakharov (1966): (i) baryon number violation; (ii) charge (*C*) and charge-parity (*CP*) violation; (iii) deviation from thermal equilibrium]



All present in SM; additional CP violation is required
 What is the microscopic theory of CP violation? How precisely can we probe it?





What is *CP* violation?

- Different behavior for particles and antiparticles E.g.: $\Gamma(A \to B) \neq \Gamma(\overline{A} \to \overline{B})$, such as $\Gamma(B^0 \to \pi^+\pi^-) \neq \Gamma(\overline{B}{}^0 \to \pi^+\pi^-)$
- *CP* violation does not exist classically, requires interference (physical phases)





Why is *CP* violation interesting?

- SM cannot explain baryon asymmetry \Rightarrow additional *CP* violation must exist
 - Electroweak baryogenesis? (testable at LHC)
 - Leptogenesis? (Connection to neutrinos?)
 - Something else?
- SM: a single *CP* violating parameter (In the quark sector, neglecting strong *CP* phase, θ_{QCD} , negligible in flavor changing processes)
 - Strong predictive power (correlations, zeros)
 - Stringent tests of the standard model
- NP: many sources of *CP* violation possible neutral current, Higgs, new sectors





CP violation involving known particles: 2, 3, 5?

• Gauge symmetry:
$$SU(3)_c \times SU(2)_L \times U(1)_Y$$
 param's (CPV)
8 gluons W^{\pm}, Z^0, γ 3 (+ θ_{QCD})
• Particle content: 3 generations of quarks and leptons
 $Q_L(3,2)_{1/6}, u_R(3,1)_{2/3}, d_R(3,1)_{-1/3}$ 10 (1)
 $L_L(1,2)_{-1/2}, \ell_R(1,1)_{-1}$ 12 (3) or 10 (1)
quarks: $\begin{pmatrix} u & c & t \\ d & s & b \end{pmatrix}$ leptons: $\begin{pmatrix} \nu_1 & \nu_2 & \nu_3 \\ e & \mu & \tau \end{pmatrix}$
• Symmetry breaking: $SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$
 $\phi(1,2)_{1/2}$ Higgs, with vev: $\langle \phi \rangle = \begin{pmatrix} 0 \\ v/\sqrt{2} \end{pmatrix}$ 2 (0)
Not known: $\mathcal{L}_Y = -Y_e^{ij} \overline{L_{Li}^r} \phi e_{Rj}^r - \begin{cases} \frac{Y_{\nu}^{ij}}{\lambda} L_{Li}^r L_{Lj}^r \phi \phi \\ Y_{\nu}^{ij} \overline{L_{Li}^r} \phi \nu_{Rj}^r \end{cases}$ requires ν_R fields

• We do not know what is the Lagrangian that describes the observed particles!





Brief history of *CP* **violation**

- 1964 1999: *CP* violation discovered in *K* decay, " ϵ " [fitted w/ CKM phase, not a test]
- 1999: second *CP* violation measured in kaons, " ϵ'/ϵ " [notoriously hard to calculate]
- 1999 2010:

 e^+e^- *B*-factory experiments, *B*_A*B*_A*R* (Stanford) and Belle (Tsukuba), measured dozens of *CP* violating observables in *B* meson processes

• 2009 – 2019:

LHCb: improvements + CP violation in B_s mesons with comparable precision

- 2019: LHCb: discovery of *CP* violation in *D* meson decay $(A_{K^+K^-} - A_{\pi^+\pi^-})$
- *CP* violation in itself is no longer automatically interesting, only if sensitive to NP
- One *CP* violating parameter (KM phase) can account for it all so far





BERKELEY CENTER FOR THEORETICAL PHYSICS



1964: *CP* **symmetry is broken**

- The *CP* symmetry was expected to hold
- Two neutral states, nearly equal mass, but lifetime ratio > 500 — phase space $CP |K^0\rangle = e^{i\varphi} |\overline{K}^0\rangle, \ CP |\overline{K}^0\rangle = e^{-i\varphi} |K^0\rangle$



If *CP* conserved: *CP* eigenstates = mass eigenstates $|K_{S,L}\rangle = \frac{1}{\sqrt{2}}(|K^0\rangle \pm e^{i\varphi}|\overline{K}^0\rangle)$

- $\pi\pi$ in J = 0 state has CP = +1, so only $K_S \to \pi\pi$
- Discovered in 1964: (0.2%) (Nobel prize, 1980)
- A new *CP* violating interaction? Is *CP* an approximate symmetry?

[Before charm and much of the SM; could involve new particles / interactions; SM not "minimal"]

Many options... No other independent observation of CP violation until 1999





It was a surprise...

PROPOSAL FOR K⁰ DECAY AND INTERACTION EXPERIMENT J. W. Cronin, V. L. Fitch, R. Turlay (April 10, 1963)

1. 1

I. INTRODUCTION

The present proposal was largely stimulated by the recent anomalous results of Adair et al., on the coherent regeneration of K_{1}^{0} mesons. It is the purpose of this experiment to check these results with a precision far transcending that attained in the previous experiment. Other results to be obtained will be a new and much better limit for the partial rate of $K_{2}^{0} \div \pi^{+} + \pi^{-}$, a new limit for the presence (or absence) of neutral currents as observed through $K_{2} \div \mu^{+} + \mu^{-}$. In addition, if time permits, the coherent regeneration of K_{1} 's in dense materials can be observed with good accuracy.

II. EXPERIMENTAL APPARATUS

Fortuitously the equipment of this experiment already exists in operating condition. We propose to use the present 30° neutral beam at the A.G.S. along with the di-pion detector and hydrogen target currently being used by Cronin, et al. at the Cosmotron. We further propose that this experiment be done during the forthcoming μ -p scattering experiment on a parasitic basis.

The di-pion apparatus appears ideal for the experiment. The energy resolution is better than 4 Mev in the m^{*} or the Q value measurement. The origin of the decay can be located to better than 0.1 inches. The 4 Mev resolution is to be compared with the 20 Mev in the Adair bubble chamber. Indeed it is through the greatly improved resolution (coupled with better statistics) that one can expect to get improved limits on the partial decay rates mentioned above.

III. COUNTING RATES

We have made careful Monte Carlo calculations of the counting rates expected. For example, using the 30° beam with the detector 60-ft. from the A.G.S. target we could expect 0.6 decay events per 10^{11} circulating protons if the K₂ went entirely to two pions. This means that one can set a limit of about one in a thousand for the partial rate of K₂ + 2 π in one hour of operation. The actual limit is set, of course, by the number of three-body K₂ decays that look like two-body decays. We have not as yet made detailed calculations of this. However, it is certain that the excellent resolution of the apparatus will greatly assist in arriving at a much better limit.

If the experiment of Adair, et al. is correct the rate of coherently regenerated K_1 's in hydrogen will be approximately 80/hour. This is to be compared with a total of 20 events in the original experiment. The apparatus has enough angular acceptance to detect incoherently produced K_1 's with uniform efficiency to beyond 15°. We emphasize the advantage of being able to remove the regenerating material (e.g., hydrogen) from the neutral beam.

IV. POWER REQUIREMENTS

The power requirements for the experiment are extraordinarily modest. We must power one 18-in. x 36-in. magnet for sweeping the beam of charged particles. The two magnets in the di-pion spectrometer are operated in series and use a total of 20 kw.

\Rightarrow Cronin & Fitch, Nobel Prize, 1980

 \Rightarrow 3 generations, Kobayashi & Maskawa, Nobel Prize, 2008

A near miss: factor-of-2 improvements matter

ANNALS OF PHYSICS: 5, 156-181 (1958)

VOLUME 6, NUMBER 10 PHYSICAL REVIEW LETTERS MAY 15, 1961 Long-lived Neutral K Mesons* DECAY PROPERTIES OF K.º MESONS* D. Neagu, E. O. Okonov, N. I. Petrov, A. M. Rosanova, and V. A. Rusakov M. BARDON, K. LANDE, AND L. M. LEDERMAN Joint Institute of Nuclear Research, Moscow, U.S.S.R. (Received April 20, 1961) Columbia University, New York, New York, and Brookhaven National Laboratories, Upton, New York Combining our data with those obtained in refer-AND ence 7, we set an upper limit of 0.3% for the relative probability of the decay $K_2^0 \rightarrow \pi^- + \pi^+$. Our WILLIAM CHINOWSKY Brookhaven National Laboratories. Upton. New York set an upper limit < 0.6% on the reactions $K_2^0 \rightarrow \begin{cases} \mu^{\pm} + e^{\mp} \\ e^+ + e^- \end{cases}$ "At that stage the search was terminated by administration of the Lab." [Okun, hep-ph/0112031] and on $K_2^0 \to \pi^+ + \pi^-$.

VOLUME 13, NUMBER 4 PHYSICAL REVIEW LETTERS 27 JULY 1964

EVIDENCE FOR THE 2π DECAY OF THE K_2^{0} MESON*[†]

J. H. Christenson, J. W. Cronin,[‡] V. L. Fitch,[‡] and R. Turlay[§] Princeton University, Princeton, New Jersey (Received 10 July 1964) We would conclude therefore that K_2^0 decays to two pions with a branching ratio $R = (K_2 - \pi^+ + \pi^-)/(K_2^0 - \text{all charged modes}) = (2.0 \pm 0.4) \times 10^{-3}$ where the error is the standard deviation. As empha-

Timeline of discovery, superweak, KM paper

• History often different from what may seem "obvious" later:

EVIDENCE FOR THE 2π DECAY OF THE K_2^{0} MESON*[†]

J. H. Christenson, J. W. Cronin,[‡] V. L. Fitch,[‡] and R. Turlay[§] Princeton University, Princeton, New Jersey (Received 10 July 1964)

VIOLATION OF CP INVARIANCE AND THE POSSIBILITY OF VERY WEAK INTERACTIONS*

L. Wolfenstein Carnegie Institute of Technology, Pittsburgh, Pennsylvania (Received 31 August 1964)

CP-Violation in the Renormalizable Theory of Weak Interaction

Makoto KOBAYASHI and Toshihide MASKAWA

Department of Physics, Kyoto University, Kyoto

(Received September 1, 1972)



The quest for $K o \pi u ar{ u}$

• Theoretically clean: $K_L \to \pi^0 \nu \bar{\nu}$ is CP violating, $K^+ \to \pi^+ \nu \bar{\nu}$ is dominantly so 50 years of searches, sensitive to $\mathcal{O}(100 \,\text{TeV})$ ("longer than for Higgs" — Mary K Gaillard)



• NA62 @ ICHEP: $\mathcal{B}(K^+ \to \pi^+ \nu \bar{\nu}) = (11.0^{+4.0}_{-3.5}) \times 10^{-11}$ — at the SM level

• KOTO, 2019: 4 events in $K_L \rightarrow \pi^0 \nu \bar{\nu}$ search; @ ICHEP: $4 \rightarrow 3$ w/ 1.05 ± 0.28 BG





CP violation in *B* decays

b quark (Υ resonance) discovered 1977

1981 plans: not mixing nor CPV

- Fig. 3. A Program to Understand B Decay
- 1. Search for exotic B decays.

If found, explore details;

-otherwise-

- 2. Search for flavor changing neutral currents.
 If found, measure (b → dZ⁰)/(b → sZ⁰);
 -otherwise-
- 3. Measure semileptonic decay branching ratio.
- 4. Measure ratio $(b \rightarrow uW)/(b \rightarrow cW)$.
- 5. Measure ev:μν:τν ratio in semileptonic decay.

Non-b-Decay Features of B Decay

- 6. Look for lifetime difference between B^{\pm} and B^{0} .
- 7. Look for $B^{O}-\overline{B}^{O}$ mixing.

[8. CP violation?] [Ed Thorndike, overview at a CLEO planning workshop, 1981]



 \Rightarrow dark sector searches? symmetry violations?

 \Rightarrow big part of the program

- \Rightarrow big part of the program
- $\Rightarrow |V_{ub}/V_{cb}|$: essential to constrain NP
- $\Rightarrow \text{Prophecy of } R(D^{(*)}) ?$ (Hardly ever discussed 1982 2012, as far as I know)

 \Rightarrow Less important

- \Rightarrow Was the first on this list discovered
- \Rightarrow Became a central focus of the field





Past surprises exploring b quark properties

- 1977: Υ discovery after $6 \, \mathrm{GeV}$ "Oops-Leon" in 1976 [Ledermar
 - [Lederman et al. @ Fermilab]
- 1983: Long B meson lifetime $\Rightarrow |V_{cb}|$ is small [MAC & Mark II @ SLAC]
 - If $|V_{cb}|$ were as large as $|V_{us}|$, no time dependent measurements...
- 1987: $B^0 \overline{B}^0$ mixing discovered, ARGUS, PLB 192 (1987) 245 $r = 0.21 \pm 0.08 = (\text{decay, after mixing})/(\text{decay, no mixing})$
 - Few months earlier: CLEO, PRL 58 (1987) 183: r < 0.24 (90% CL)

(Took 2 more years to confirm ARGUS - can be rather different to set limits vs. observe signals)

Implied: $m_t > m_W$ (bound was 23 GeV) \Rightarrow no top hadrons, maximal B_s mixing

 \Rightarrow SM predicts large CP violation, and large FCNC B decay rates

• Also in 1987: idea of asymmetric $e^+e^- B$ factory [P. Oddone]





LBL PUB-5244 SLAC-352 CALT-68-1589

The Machine (1989)

FEASIBILITY STUDY for an ASYMMETRIC B FACTORY BASED ON PEP

October 1989

Lawrence Berkeley Laboratory 1 Cyclotron Road Berkeley, California 94720

Stanford Linear Accelerator Center Stanford University • Stanford, California 94305

> California Institute of Technology Pasadena, California 91125

1.2. APIARY: PEP plus a New 3.1-GeV Ring

We have conducted preliminary investigations of a design for a B factory to be sited at SLAC. The specific scenario we consider, APIARY (Asymmetric Particle Interactions Accelerator Research Yard), involves a high-luminosity, asymmetric, 9 GeV $\times 3.1$ GeV electron-positron collider with a high-energy storage ring based on PEP and a newly constructed low-energy ring.

(APIARY is no more contrived than BaBar as an abbreviation)

Prepared for the U.S. Department of Energy under Contract Numbers DE-AC03-76SF00098, DE-AC03-76SF00515, and DE-AC03-81-ER40050.

SLAC-353 LBL PUB-5245 CALT-68-1588 UC-414 (T/E)

6.

7.

THE PHYSICS PROGRAM OF A HIGH-LUMINOSITY ASYMMETRIC B FACTORY AT SLAC

ABSTRACT

A high-luminosity asymmetric energy B Factory, proposed as an upgrade to the PEP storage ring at SLAC, provides the best opportunity to study CP violation as a means of testing the consistency of the Standard Model. If the phenomenon of CP violation is explained by the Standard Model simply through the non-zero angles and phase of the Kobayashi-Maskawa matrix, then there are precise relations between the K-M parameters and the various measurable CP-violating asymmetries in B meson decay. Should these consistency relations fail, the origin of CP violation must lie outside the Standard Model framework. Our measurements would then lead to the first experiment-driven extensions of the Standard Model.

The *B* Factory will also carry out a varied, high-quality program of studies of other aspects of the physics of *b* quarks, as well as high-precision measurements in τ and charm physics. We describe a detailed series of measurements to be carried out in the first few years at a peak luminosity of 3×10^{33} cm⁻²sec⁻¹, the initial luminosity goal of the *B* Factory, as well as the program accessible to a larger data sample.

Work supported in part by the Department of Energy under contracts DE-AC03-76SF00515, DE-AC03-76SF00098 and DE-AC03-81-ER40050

Printed in the United States of America. Available from the National Technical Information Service, U.S. Department of Commerce, 5285 Port Royal Road, Springfield, Virginia 22161. Price: Printed Copy A12, Microfiche A01.

The Physics (1989)

5. MEASURING CP VIOLATION AT AN ASYMMETRIC

	COLLIDER	57	
5.1	INTRODUCTION	57	
5.2	Using CP Eigenstates at the $\Upsilon(4S)$ to Measure		
	CP VIOLATION	60	
5.3	Physics and Detector Simulation	65	
5.4	Measuring sin 2 β with $B \rightarrow J/\psi K_s^o$	69	
5.5	Measuring sin 2α with $B \rightarrow \pi^+\pi^-$	83	[No]
5.6	Measuring sin 2γ with $B_{\pmb{s}} \to \rho K^o_{\pmb{s}}$	84	[No]
5.7	Comparing Different Boosts and Beampipes	91	
5.8	Comparing Symmetric and Asymmetric B Factories	94	
B DI	ECAY PHYSICS OTHER THAN CP VIOLATION	100	
6.1	INTRODUCTION	100	
6.2	$B_s \bar{B_s}$ Mixing	101	
6.3	b ightarrow c Hadronic Decays–Understanding the Weak		
	DECAY MECHANISM	117	
6.4	$b \rightarrow c$ Semi-leptonic Decays–Tagging B Mesons by		
	PARTIAL RECONSTRUCTION	123	
6.5	$b \rightarrow u$ Decays–Determination of V_{ub}	124	
6.6	$b \rightarrow s \gamma$: Penguin Diagrams $\ldots \ldots \ldots \ldots \ldots$	150	
6.7	$B^+ \rightarrow \tau \nu_{\tau}$: Determination of f_B	156	
ΥPH	HYSICS AT AN ASYMMETRIC B FACTORY	162	
7.1	INTRODUCTION	162	
7.2	A REVIEW OF THE PRESENT STATUS	164	
7.3	Υ Physics Capabilities at High Luminosities	169	
7.4	Detector Constraints	186	
7.5	Conclusions	193	

Questions, 1999: is SM qualitatively correct?

- Until 1999, ϵ was the only measured CPV; $\epsilon'/\epsilon \neq 0$ only established in 1999
- Not known if the SM picture of CPV even approx. correct; other sources of CPV?
 - CPV in $\Delta F = 2$ only (superweak)? Also in $\Delta F = 1$?
 - Are all CPV effects small? Or only small in kaons due to small mixing angles?
 - One or more CPV parameters?
 - CPV relates to charged currents only? Also in neutral currents?
 - Does CPV treat 3rd generation special? Up / down sectors?
 - CPV in flavor changing interactions only? EDM searches?
 - CPV only in quark sector? Also in lepton sector?
 - Find new sources of CPV that could help with baryogenesis?





Questions, now: solutions to flavor puzzles?

- Flavor \equiv what distinguishes generations? [break $U(3)_Q \times U(3)_u \times U(3)_d \times U(3)_L \times U(3)_e$] Experimentally, rich and sensitive ways to probe SM, and search for NP
- SM flavor: masses? mixing angles? 3 generations? most of the SM param's Flavor in SM is simple: only Higgs fermion couplings break flavor symmetries
- BSM flavor: TeV scale (hierarchy problem) ≪ "naive" flavor & *CP* viol. scale Most TeV-scale new physics contain new sources of *CP* and flavor violation E.g., SUSY: ~10× increase in flavor parameters (*CP* and flavor problems?) Generic TeV-scale flavor structure excluded ⇒ new mechanisms to reduce signals
- Many BSM models have observable signals, baryogenesis remains a puzzle
- Any new particle that couples to quarks or leptons ⇒ new flavor parameters (Understanding these param's can be crucial; e.g., Higgs, or squark & slepton couplings [if exists])





B physics: key ingredients

- Many interesting processes with clean theoretical interpretations:
 - Top quark loops not strongly suppressed (GIM less effective)
 - Large *CP* violating effects possible, some with clean interpretation
 - Some of the hadronic physics understood model independently $(m_b \gg \Lambda_{\rm QCD})$
- Experimentally feasible to study:
 - $\Upsilon(4S)$ resonance is clean source of B mesons
 - Long *B* meson lifetime
 - (If $|V_{cb}|$ were as large as $|V_{us}|$, no B factories built, this talk would not take place, etc.)
 - Timescale of oscillation and decay comparable: $\Delta m/\Gamma \simeq 0.77$ (and $\Delta \Gamma \ll \Gamma$)
- Time-dependent measurements: asymmetric e^+e^- colliders (essential before LHC)





CP violation

CPV in interference between decay and mixing

- Can get theoretically clean information in some cases when B⁰ and B

 ⁰ decay to same final state
 Mass eigenstates: |B_{H,L}> = p|B⁰> ∓ q|B
- Time-dependent *CP* asymmetry:

$$a_{f_{CP}} = \frac{\Gamma[\overline{B}^{0}(t) \to f_{CP}] - \Gamma[B^{0}(t) \to f_{CP}]}{\Gamma[\overline{B}^{0}(t) \to f_{CP}] + \Gamma[B^{0}(t) \to f_{CP}]}$$

• If amplitudes with one weak phase dominate, hadronic physics drops out:

 $a_{f_{CP}} = (\pm 1) \sin(\text{phase difference between decay paths}) \sin(\Delta m t)$ $\arg[(q/p)(\overline{A}/A)]$

Measure phases in the Lagrangian with small theoretical uncertainties







Quantum entanglement — use EPR

• $B^0\overline{B}^0$ pair created in a *p*-wave (L = 1) evolve coherently and undergo oscillations

Two identical bosons must be in a symmetric state — if one decays as a B^0 (\overline{B}^0), then at the same time the other B must be \overline{B}^0 (B^0)



• First decay ends quantum correlation and determines flavor of other B at $t = t_1$



Hadron colliders — no quantum correlation

• B_s^0 with sufficient boost to study CPV at Tevatron & LHC (+ Belle data on rates)



• $gg, q\bar{q} \rightarrow b\bar{b}$: measure flavor of a *b* hadron, and flavor of B_s^0 as a function of time Need excellent time resolution, and fully reconstructed B_s^0 to know its boost





CP violation in $B ightarrow \psi K_S$ by the naked eye





• *CP* violation in *K* decays is small because of small CKM elements, not because *CP* violation is generically small — it is O(1) in some *B* decays



THEORETICAL PHYSICS



$\sin 2eta$ in $b ightarrow s ar{s}s$ "penguin" modes

• In the SM, very close to $S_{\psi K}$ — earlier hints of tensions gone, e.g., in $S_{\phi K}$, $S_{\eta' K}$



Interesting to significantly reduce current experimental uncertainties





CP violation

Testing quark flavor (take I)

• The $(u, c, t) W^{\pm} (d, s, b)$ couplings:

$$V_{\text{CKM}} = \underbrace{\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}}_{\text{CKM matrix}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \dots$$

Only 4 parameters: λ ("Cabibbo angle", from $K \to \pi \ell \nu$), A (from $b \to c \ell \nu$) used to be less precise: $\bar{\rho}$ and $\bar{\eta}$ (only source of CP violation)

CKM measurements: magnitudes \sim decay rates, phases $\sim CP$ violation 9 complex observables \Rightarrow many testable relations

• Many observables are $f(\rho, \eta)$ — want to compare:

$$-b \rightarrow u \ell \bar{\nu} \Rightarrow |V_{ub}/V_{cb}|^2 \propto \rho^2 + \eta^2$$

$$-\Delta m_{B_d}/\Delta m_{B_s} \Rightarrow |V_{td}/V_{ts}|^2 \propto (1-\rho)^2 + \eta^2$$

– *CP* violation in *K*, *B*, B_s decay







The *B*-factories money plot

- Spectacular progress in last 20 years
- The CKM mechanism dominates *CP* violation & flavor changing processes
- The implications of the consistency of measurements are often overstated
- Larger allowed region if there is NP







The *B*-factories money plot

- Spectacular progress in last 20 years
- The CKM mechanism dominates *CP* violation & flavor changing processes
- The implications of the consistency of measurements are often overstated
- Larger allowed region if there is NP
- Compare tree-level (lower plot) and loop-dominated measurements
- LHCb: constraints in the B_s sector (2nd–3rd gen.) caught up with B_d



• $\mathcal{O}(20\%)$ NP contributions to most loop-level processes (FCNC) are still allowed





Testing quark flavor (take II)

- Assume that NP is negligible in tree-level processes, arbitrary in FCNCs (loops)
- Consider tree-level + meson mixing:

General parametrization of many models by two real parameters (in addition to SM):

$$h e^{2i\sigma} = A_{\rm NP}(B^0 \to \overline{B}{}^0) / A_{\rm SM}(B^0 \to \overline{B}{}^0)$$

$$\swarrow \uparrow_{\rm NP \text{ parameters}}$$



- What is the scale Λ ? How different is the $C_{\rm NP}$ coupling from $C_{\rm SM}$?
- Is h = O(1) allowed? If not, the CKM mechanism dominates

To answer, redo CKM fit: tree-dominated unchanged, loop-mediated modified

(Importance of these constraints known since the 1970s, conservative picture of future progress)





Future sensitivity to NP in \boldsymbol{B} mixing



0.08

0.06



• What NP parameter space can be probed?

•
$$h_{d,s} \Leftrightarrow \mathsf{NP}$$
 scale: $h \simeq \frac{|C_{ij}|^2}{|V_{ti}^* V_{tj}|^2} \left(\frac{4.5 \,\mathrm{TeV}}{\Lambda}\right)^2$ [2006.04824]

Couplings	NP loop	Sensitivity for Summer 2019 [TeV]		Phase I Sensitivity [TeV]		Phase II Sensitivity [TeV]	
Coupings	order	B_d mixing	B_s mixing	B_d mixing	B_s mixing	B_d mixing	B_s mixing
$ C_{ij} = V_{ti}V_{tj}^* $	tree level	9	13	17	18	20	21
(CKM-like)	one loop	0.7	1.0	1.3	1.4	1.6	1.7
$ C_{ij} = 1$	tree level	1×10^3	3×10^2	2×10^3	4×10^2	2×10^3	5×10^2
(no hierarchy)	one loop	80	20	2×10^2	30	2×10^2	40

Big improvements in 2020s

Complementary to high- p_T searches

Then theory improves or progress slows



0.02

0.04

h_d

ݙ

0.04

0.02

0.00

0.00



Future

Huge increases in data sets



Does not matter if *CP* violating or conserving — only sensitivity to NP

LHCb — LHC at CERN

	LHC era			HL-LHC era		
	Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2021-24)	Run 4 (2027-30)	Run 5+ (2031+)	
ATLAS, CMS	25 fb ⁻¹	150 fb ⁻¹	300 fb ⁻¹	\rightarrow	3000 fb ⁻¹	
LHCb	3 fb ^{−1}	9 fb ⁻¹	23 fb ⁻¹	50 fb ⁻¹	*300 fb ⁻¹	

* assumes a future LHCb upgrade to raise the instantaneous luminosity to $2x10^{34}$ cm⁻²s⁻¹

- Major LHCb upgrade in LS2 (raise instantaneous luminosity to $2 \times 10^{33}/cm^2/s$) Major ATLAS and CMS upgrades come in LS3 for HL-LHC
- LHCb, 2017, Expression of Interest for an upgrade in LS4 to $2 \times 10^{34}/cm^2/s$





Belle II — SuperKEKB in Japan



- First collisions 2018 (unfinished detector), with full detector starting spring 2019 Goal: $50 \times$ the Belle and nearly $100 \times$ the *BABAR* data set
- Discussions started about physics case and feasibility of a factor ~ 5 upgrade, similar to LHCb Phase-II upgrade aiming 50/fb \rightarrow 300/fb, after LHC LS4





New accelerator, novel concepts & techniques to achieve 10³⁶ luminosity 2/13/2017: LER superconducting final focusing magnet installed

$D - \overline{D}$ mixing and CP violation

- *CP* violation in *D* decays:
 - LHCb, Nov. 2011: $\Delta A_{CP} \equiv A_{K^+K^-} A_{\pi^+\pi^-} = -(8.2 \pm 2.4) \times 10^{-3}$ LHCb, Mar. 2019: $\Delta A_{CP} = -(1.82 \pm 0.33) \times 10^{-3}$ (a stretch in the SM, imbo)
- I think we still don't know how big an effect could (not) be accommodated in SM



• SUSY: interplay of *D* & *K* bounds: alignment, universality, heavy squarks?





Lepton non-universality would be clear evidence for NP

1) R_K and R_{K^*} $(B \to X\mu^+\mu^-)/(B \to Xe^+e^-) \sim 20\%$ correction to SM loop

2) R(D) and $R(D^*)$ $(B \to X\tau\bar{\nu})/(B \to X(e,\mu)\bar{\nu}) \sim 20\%$ correction to SM tree ^B

 $\label{eq:Scales: R_{K^{(*)}} \lesssim \text{few} \times 10^1 \, \text{TeV}, \quad R(D^{(*)}) \lesssim \text{few} \times 10^0 \, \text{TeV} \quad \text{Would bound NP scale!}$

• Theor. less clean: 3) P'_5 angular distribution $(B \to K^* \mu^+ \mu^-)$ 4) $B_s \to \phi \mu^+ \mu^-$ rate

Can fit 1), 3), 4) with one operator: $C_{9,\mu}^{(NP)}/C_{9,\mu}^{(SM)} \sim -0.2$, $O_{9,\mu} = (\bar{s}\gamma_{\alpha}P_{L}b)(\bar{\mu}\gamma^{\alpha}\mu)$

- Viable BSM models... leptoquarks? No clear connection to DM & hierarchy puzzle
 Attention to many BSM scenarios previously less explored
- What are smallest deviations from SM, which can be unambiguously established?







R_K and R_{K^*} : theoretically cleanest

• LHCb: $R_{K^{(*)}} = \frac{B \to K^{(*)} \mu^+ \mu^-}{B \to K^{(*)} e^+ e^-} < 1$ both ratios $\sim 2.5\sigma$ from lepton universality [Tomorrow: https://indico.cern.ch/event/976688/]



- Combined fits only by theorists (some include P'_5 and/or $B_s \rightarrow \phi \mu^+ \mu^-$)
- Modifying one Wilson coefficient in \mathcal{H}_{eff} gives good fit: $\delta C_{9,\mu} \sim -1$ (NP or QCD?)

THEORETICAL PHYSICS



The $B ightarrow D^{(*)} au ar{ u}$ decay rates

• BABAR, Belle, LHCb:
$$R(X) = \frac{\Gamma(B \to X \tau \bar{\nu})}{\Gamma(B \to X(e/\mu)\bar{\nu})}$$

 3.1σ from SM predictions — robust due to heavy quark symmetry + lattice QCD (only *D* so far)

more than statistics: $R(D^*)$ with $au o
u 3\pi$ [1708.08856] $B_c o J/\psi \, au ar
u$ [1711.05623]



- Imply NP at a fairly low scale (leptoquarks, W', etc.), likely visible at ATLAS / CMS Some of the models Fierz (mostly) to the same (SM) operator: distributions, τ polarization = SM
- Tree level: three ways to insert mediator: $(b\nu)(c\tau)$, $(b\tau)(c\nu)$, $(bc)(\tau\nu)$ overlap with ATLAS & CMS searches for \tilde{b} , leptoquark, H^{\pm}
- Models built to fit these anomalies have impacted many ATLAS & CMS searches





Exciting future

- LHCb: $R_{K^{(*)}}$ sensitivity with existing Run 1–2 data can still improve a lot
- LHCb and Belle II: increase $pp \rightarrow b\bar{b}$ and $e^+e^- \rightarrow B\bar{B}$ data sets by factor ~ 50



Belle II (50/ab, at SM level): $\delta R(D) \sim 0.005 \ (2\%)$ $\delta R(D^*) \sim 0.010 \ (3\%)$

Measurements will improve a lot! (Even if central values change, plenty of room for establishing deviations from SM)

• Competition, complementarity, cross-checks between LHCb and Belle II





Some key measurements, done much better



Breadth crucial, often have to combine many measurements and theory

("The interesting messages are not simple, the simple messages are not interesting")

• Uncertainty of predictions \ll current experimental errors (\Rightarrow seek lot more data)





$B ightarrow \mu^+ \mu^-$: interesting well beyond HL-LHC

• $B_d \rightarrow \mu^+ \mu^-$ in SM, 10^{-10} : LHCb expects 10% (300/fb), CMS expects 15% (3/ab) SM uncertainty $\simeq (2\%) \oplus f_{B_q}^2 \oplus \text{CKM}$ [Bobeth, FPCP'15] and may be further reduced



• Theoretically cleanest $|V_{ub}|$ I know, use isospin: $\mathcal{B}(B_u \to \ell \bar{\nu})/\mathcal{B}(B_d \to \mu^+ \mu^-)$

• A decay with mass-scale sensitivity (dim.-6 operator) that competes w/ $K \rightarrow \pi \nu \bar{\nu}$



Final remarks

What are the largest useful data sets?

- Which measurements will remain far from being limited by theory uncertainties?
 - For $\gamma \equiv \phi_3$, theory uncertainty only from higher order EW
 - $B_{s,d} \rightarrow \mu\mu$, $B \rightarrow \mu\nu$ and other leptonic decays (lattice QCD, [double] ratios)
 - $A_{SL}^{d,s}$ can it keep scaling with statistics?
 - Lepton flavor violation & lepton universality violation searches
 - Possibly *CP* violation in *D* mixing (firm up theory)
- Very broad program
- In some decay modes, even in 2030s we'll have: (exp. bound)/SM $\gtrsim 10^3$ E.g., $B_{d,s} \rightarrow e^+e^-$, $\tau^+\tau^-$, etc. — can build models... (Please prove me wrong!)
- Sensitivity to NP would improve with data \gg LHCb & Belle II (nb: Belle II / ARGUS $\sim 10^5$)





Many "exotic" searches

- Better tests of (exact or approximate) conservation laws
- Exhaustive list of dark / hidden sector searches
- LFV meson decays, e.g., $M^0 \rightarrow \mu^- e^+$, $B^+ \rightarrow h^+ \mu^- e^+$, etc.
- Invisible modes, even baryonic, $B \rightarrow N + \text{invis.}$ [+mesons] [1907.10612, 1810.00880, 1708.01259]
- Hidden valley inspired scenarios, e.g., multiple displaced vertices, even with $\ell^+\ell^-$
- Exotic Higgs decays, e.g., high multiplicity, displaced vertices $(H \rightarrow XX \rightarrow abab)$
- Search for "quirks" (non-straight "tracks") at LHCb using many velo layers
- I do not know how many CP violating quantities have been measured...
 neither how many new hadronic states discovered by BABAR, Belle, LHCb ...





Theory challenges / opportunities

- New methods & ideas: recall that the best α and γ measurements are in modes proposed in light of Belle & BaBar data (i.e., not in the BaBar Physics Book)
 - Better SM upper bounds on $S_{\eta'K_S} S_{\psi K_S}$, $S_{\phi K_S} S_{\psi K_S}$, and $S_{\pi^0 K_S} S_{\psi K_S}$ And similarly in B_s decays, and for $\sin 2\beta_{(s)}$ itself
 - How big can CP violation be in $D^0 \overline{D}^0$ mixing (and in D decays) in the SM?
 - Better understanding of semileptonic form factors; bound on $S_{K_S\pi^0\gamma}$ in SM?
 - Many lattice QCD calculations (operators within and beyond SM)
 - Inclusive & exclusive semileptonic decays
 - Factorization at subleading order (different approaches), charm loops
 - Can direct CP asymmetries in nonleptonic modes be understood enough to make them "discovery modes"? [SU(3), the heavy quark limit, etc.]
- We know how to make progress on some + discover new frameworks / methods?





BERKELEY CENTER FOR THEORETICAL PHYSICS

Some conclusions

- Flavor physics probes scales $\gg 1 \text{ TeV}$; sensitivity limited by statistics, not theory \Rightarrow New physics could show up any time measurements improve
- In FCNCs, NP/SM $\gtrsim 20\%$ still allowed; any discovery \Rightarrow upper bound on NP scale
- Precision tests of SM will improve in the next decade by $10-10^4$
- Few tensions with SM; some of these (or others) could soon become decisive
- Discovering lepton universality violation would focus even more attention on LFV
- Many interesting theoretical questions relevant for optimal experimental sensitivity
- Flavor measurements will tell us a lot, whether NP is discovered or not:

Evidence for B	SW2	FLAVOR			
		yes	no		
	yes	complementary information	distinguish models		
AILAS & UNIS	no	points to where to look next	sensitive to highest scales		





BERKELEY CENTER FO



Extra slides

Direct CPV is also $\mathcal{O}(1)$



SCET / factorization $\Rightarrow \arg(C/T) = \mathcal{O}(\Lambda_{\text{QCD}}/m_b)$ and $A + P_{ew}$ small

- Large fluctuations? Breakdown of $1/m \exp$? Missing something subtle? BSM?
- Can we understand theory well enough, to possibly disprove SM?

• Even larger $A_{CP}(B_s \to \pi^+ K^-) = 0.213 \pm 0.017$ understood in terms of SU(3)

[Grossman, ZL, Robinson, 1308.4143]





CP violation everywhere: three-body decays







Anticipated increases in sensitivity

Scales of dim-6 operators probed — various mechanisms devised to let TeV-scale
 NP obey these bounds (Pattern and orders of magnitudes matter more than precise values)



• $\mu N \rightarrow eN$ may be the largest increase in mass-scale sensitivity in next 10–15 yrs





Electric dipole moments

- SM + m_{ν} : CPV can occur in: (i) quark mixing; (ii) lepton mixing; and (iii) θ_{QCD} Only observed $\delta_{\text{KM}} \neq 0$, baryogenesis implies there must be more
- Neutron EDM bound: "the strong *CP* problem", $\theta_{QCD} < 10^{-10}$ axion? θ_{QCD} is negligible for CPV in flavor-changing processes
- EDMs from CKM: vanish at one- and two-loop large suppression at three-loop level



- E.g., SUSY: quark and lepton EDMs can be generated at one-loop Generic prediction (TeV-scale, no small param's) above current bounds; if $m_{\rm SUSY} \sim O(10 \,{\rm TeV})$, may still discover EDMs
- Expected 10²-10³ improvements: complementary to LHC Discovery would give (rough) upper bound on NP scale







Example of discovery potential

• Discovery significance at Phase I (left) and Phase II (right), if central values (CKM param's, $h_{d,s}$, and $\sigma_{d,s}$) remain as in the current fit (on p.12)

(Assume future measurements have the corresponding central values, with uncertainties as in the Table on p.11)



If new physics contributes to semileptonic decays, as hinted at by the $R(D^{(*)})$ anomaly, then things get more complicated, may still isolate sources (see paper)





New particles, e.g., supersymmetry

- Any new particle that couples to quarks or leptons \Rightarrow new flavor parameters The LHC will measure: masses, production rates, decay modes (some), etc. Details of interactions of new particles with quarks and leptons will be important
- New physics flavor structure can be:
 - Minimally flavor violating (mimic the SM)
 - Related but not identical to the SM
 - Unrelated to the SM, or even completely anarchic



must be heavy

new physics mass scale:

Some aspects will be understood from ATLAS & CMS data (masses, decays, etc.)

New sources of CP violation: squark & slepton couplings, flavor diagonal processes (e, n EDM), neutral currents; may enhance FCNCs ($B_{(s)} \rightarrow \ell^+ \ell^-, \mu \rightarrow e\gamma$)





Known for decades: $K^0 - \overline{K}^0$ mixing and BSM

• E.g.:
$$\frac{\left(\Delta m_{K}\right)^{\text{SUSY}}}{\left(\Delta m_{K}\right)^{\text{exp}}} \sim 10^{4} \left(\frac{1 \text{ TeV}}{\tilde{m}}\right)^{2} \left(\frac{\Delta \tilde{m}_{12}^{2}}{\tilde{m}^{2}}\right)^{2} \text{Re}\left[(K_{L}^{d})_{12}(K_{R}^{d})_{12}\right]$$
(oversimplified)

 $K^{d}_{L(R)}$: mixing in gluino couplings to left-(right-)handed down quarks and squarks

- Constraint from ϵ_K : replace $10^4 \operatorname{Re}\left[(K_L^d)_{12}(K_R^d)_{12}\right]$ with $\sim 10^6 \operatorname{Im}\left[(K_L^d)_{12}(K_R^d)_{12}\right]$ (44 CPV phases: CKM + 3 flavor diagonal + 40 in mixing of fermion-sfermion-gaugino couplings)
- Classes of models to suppress each terms (structures imposed to satisfy bounds)
 - (i) Heavy squarks: $\tilde{m} \gg 1 \,\text{TeV}$ (e.g., split SUSY)
 - (ii) Universality: $\Delta m^2_{\tilde{O},\tilde{D}} \ll \tilde{m}^2$ (e.g., gauge mediation)
 - (iii) Alignment: $|(K_{L,R}^d)_{12}| \ll 1$ (e.g., horizontal symmetry)
- All viable BSM models incorporate some of the above known since the '70s





The MSSM parameters and flavor

Superpotential:

[Haber, hep-ph/9709450]

$$W = \sum_{i,j} \left(Y_{ij}^{u} H_{u} Q_{Li} \bar{U}_{Lj} + Y_{ij}^{d} H_{d} Q_{Li} \bar{D}_{Lj} + Y_{ij}^{\ell} H_{d} L_{Li} \bar{E}_{Lj} \right) + \mu H_{u} H_{d}$$

Soft SUSY breaking terms: $(S = \tilde{Q}_L, \tilde{\bar{D}}_L, \tilde{\bar{U}}_L, \tilde{\bar{L}}_L, \tilde{\bar{E}}_L)$ $\mathcal{L}_{\text{soft}} = -\left(A^u_{ij}H_u\tilde{Q}_{Li}\tilde{\bar{U}}_{Lj} + A^d_{ij}H_d\tilde{Q}_{Li}\tilde{\bar{D}}_{Lj} + A^\ell_{ij}H_d\tilde{L}_{Li}\tilde{\bar{E}}_{Lj} + BH_uH_d\right)$ $-\sum_{\text{scalars}} (m_S^2)_{ij}S_i\bar{S}_j - \frac{1}{2}\left(M_1\tilde{B}\tilde{B} + M_2\tilde{W}\tilde{W} + M_3\tilde{g}\tilde{g}\right)$

 $3 Y^f$ Yukawa and $3 A^f$ matrices — $6 \times (9 \text{ real} + 9 \text{ imaginary})$ parameters $5 m_S^2$ hermitian sfermion mass-squared matrices — $5 \times (6 \text{ real} + 3 \text{ imag.})$ param's

Gauge and Higgs sectors: $g_{1,2,3}, \theta_{\text{QCD}}, M_{1,2,3}, m_{h_{u,d}}^2, \mu, B - 11 \text{ real} + 5 \text{ imag.}$

Parameters: (95 + 74) - (15 + 30) from $U(3)^5 \times U(1)_{PQ} \times U(1)_R \rightarrow U(1)_B \times U(1)_L$

• 44 CPV phases: CKM + 3 in M_1, M_2, μ (set $\mu B^*, M_3$ real) + 40 in mixing matrices of fermion-sfermion-gaugino couplings (+80 real param's)





CMS "B-parking" in 2018

• Collected 10^{10} B-s; hope to compete w/ LHCb on $R_{K^{(*)}}$ anomaly [CMS @ LHCC, Nov 2018]



Effort in 2018 paid off, 12B triggered events on tape

 Up to 5.5 kHz in the second part of the fill where events are smaller

Now studying processing strategy

 1.1B events were already fully processed in order to help development of trigger/ reconstruction



7.6 PB on tape Avg event size is 0.64 MB (1MB for standard events)

Simone.Gennai@cern.ch



ZL – p. viii

16

