

OPE in Belle II Analyses

Part 1: Basics of Quantum Field Theory

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What is an Operator Product Expansion (OPE) ?

An **Operator Product Expansion (OPE)** is defined as an expansion of a product of operator-valued (quantum) fields evaluated at two closely placed spacetime points in terms of “local” operators :

$$\mathcal{O}_1(x)\mathcal{O}_2(0) = \sum_i C_i(x)\mathcal{O}_i(0) .$$

The expansion coefficients $C_i(x)$ are called the **Wilson coefficients**. (Named after Kenneth G. Wilson (1936-2013), Nobel Prize in Physics 1982.)

The behavior of the operator product as x is varied is encapsulated in the **Wilson coefficients**.

Why is this relevant for **Belle II**?

What is an Operator Product Expansion (OPE) ?

Many OPE-type expressions appear in the **Belle II Physics Book** (PTEP 2019, 123C01).

Examples:

- 1 Eq. (62), the effective interaction Hamiltonian for $b \rightarrow c\bar{u}d$:

$$H^{b \rightarrow c\bar{u}d} = \frac{4G_F}{\sqrt{2}} V_{cb} V_{ud}^* \sum_{j=1,2} C_j Q_j^{c\bar{u}d},$$

where

$$Q_1^{c\bar{u}d} = (\bar{d}_L^\alpha \gamma_\mu u_L^\beta)(\bar{c}_L^\beta \gamma^\mu b_L^\alpha), \quad Q_2^{c\bar{u}d} = (\bar{d}_L^\alpha \gamma_\mu u_L^\alpha)(\bar{c}_L^\beta \gamma^\mu b_L^\beta).$$

Here, α and β are color indices. See also Eq. (344).

What is an Operator Product Expansion (OPE) ?

- 2 Eq. (136), the effective interaction Hamiltonian for possible new physics effects in $b \rightarrow c\tau\nu$:

$$-\mathcal{L}_{\text{eff}} = 2\sqrt{2}G_F V_{cb} \left[(1 + C_{V_1})\mathcal{O}_{V_1} + C_{V_2}\mathcal{O}_{V_2} + C_{S_1}\mathcal{O}_{S_1} + C_{S_2}\mathcal{O}_{S_2} + C_T\mathcal{O}_T \right]$$

where

$$\begin{aligned} \mathcal{O}_{V_1} &= (\bar{c}_L \gamma_\mu b_L)(\bar{\tau}_L \gamma^\mu \nu_L), & \mathcal{O}_{V_2} &= (\bar{c}_R \gamma_\mu b_R)(\bar{\tau}_L \gamma^\mu \nu_L), \\ \mathcal{O}_{S_1} &= (\bar{c}_L b_R)(\bar{\tau}_R \nu_L), & \mathcal{O}_{S_2} &= (\bar{c}_R b_L)(\bar{\tau}_R \nu_L), \\ \mathcal{O}_T &= (\bar{c}_R \sigma_{\mu\nu} b_L)(\bar{\tau}_R \sigma^{\mu\nu} \nu_L). \end{aligned}$$

(Note that $\mathcal{H}_{\text{int}} = -\mathcal{L}_{\text{int}}$ in the absence of derivatives in \mathcal{L}_{int} .)

- 3 Eq. (147) gives a similar expression for $b \rightarrow u\tau\nu$, where c is replaced by u everywhere.

What is an Operator Product Expansion (OPE) ?

- Eq. (417), the effective interaction Hamiltonian relevant for possible new physics effects in $c \rightarrow ul^+l^-$ ($D^0 \rightarrow l^+l^-$) :

$$\mathcal{H}_{\text{NP}}^{\text{rare}} = \sum_{i=1}^{10} \frac{\tilde{C}_i(\mu)}{\Lambda^2} \tilde{Q}_i,$$

where

$$\begin{aligned}\tilde{Q}_1 &= (\bar{l}_L \gamma_\mu l_L)(\bar{u}_L \gamma^\mu c_L), & \tilde{Q}_2 &= (\bar{l}_L \gamma_\mu l_L)(\bar{u}_R \gamma^\mu c_R), \\ \tilde{Q}_3 &= (\bar{l}_L l_R)(\bar{u}_R c_L), & \tilde{Q}_4 &= (\bar{l}_R l_L)(\bar{u}_R c_L), \\ \tilde{Q}_5 &= (\bar{l}_R \sigma_{\mu\nu} l_L)(\bar{u}_R \sigma^{\mu\nu} c_L).\end{aligned}$$

$\tilde{Q}_{6\sim 10}$ are obtained from $\tilde{Q}_{1\sim 5}$ via the interchange $L \leftrightarrow R$.

What is an Operator Product Expansion (OPE) ?

- Wait!
 - The interaction Hamiltonian $\mathcal{H}_{\text{int}} = -\mathcal{L}_{\text{int}}$ on the left-hand sides are not products of operators evaluated at two different spacetime points, or are they!?
 - Where are the x -dependences of the Wilson coefficients? Is the μ in the Wilson coefficients $\tilde{C}_i(\mu)$ of Eq. (417) the same thing as x ?
 - What is the Fermi constant G_F doing in Eqs. (62), (136), and (147)? And what is Λ in Eq. (417)?
 - etc.
- In order to understand what is going on, we need to introduce the concepts of “renormalization scale μ ,” and “effective QFT at μ ”
- We will begin by reviewing the basics of Quantum Field Theory (QFT) so that we know what we mean when we say “operator”.

Classical Harmonic Oscillator - Lagrangian

Consider the classical Lagrangian for a harmonic oscillator:

$$L = \frac{1}{2}m\dot{q}^2 - \frac{1}{2}kq^2 .$$

The equation of motion and generic solution are

$$0 = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = m\ddot{q} + kq$$

↓

$$q(t) = A e^{-i\omega t} + A^* e^{i\omega t}, \quad \omega = \sqrt{\frac{k}{m}} .$$

Classical Harmonic Oscillator - Hamiltonian

Momentum conjugate to q is

$$p = \frac{\partial L}{\partial \dot{q}} = m\dot{q},$$

and the Hamiltonian is

$$H = p\dot{q} - L = \frac{p^2}{2m} + \frac{1}{2}kq^2.$$

The equations of motion and solution are

$$\begin{aligned}\dot{q} &= \{q, H\} = \frac{p}{m}, \\ \dot{p} &= \{p, H\} = -kq, \\ &\downarrow\end{aligned}$$

$$q(t) = Ae^{-i\omega t} + A^*e^{i\omega t}, \quad \omega = \sqrt{\frac{k}{m}}.$$



Quantum Harmonic Oscillator

Quantization (Heisenberg picture) :

$$q \rightarrow \hat{q}, \quad p \rightarrow \hat{p}, \quad \{q, p\} = 1 \rightarrow \frac{1}{i\hbar}[\hat{q}, \hat{p}] = 1.$$

The equations of motion and solution are

$$\begin{aligned}\dot{\hat{q}} &= \frac{1}{i\hbar}[\hat{q}, \hat{H}] = \frac{\hat{p}}{m}, \\ \dot{\hat{p}} &= \frac{1}{i\hbar}[\hat{p}, \hat{H}] = -k\hat{q}, \\ &\downarrow \\ \hat{q}(t) &= \sqrt{\frac{\hbar}{2m\omega}} \left(\hat{a} e^{-i\omega t} + \hat{a}^\dagger e^{i\omega t} \right), \quad \omega = \sqrt{\frac{k}{m}}, \\ \frac{1}{i\hbar}[\hat{q}, \hat{p}] &= 1 \rightarrow [\hat{a}, \hat{a}^\dagger] = 1.\end{aligned}$$

Quantum Harmonic Oscillator

\hat{a}^\dagger and \hat{a} are the creation and annihilation operators:

$$\hat{a}^\dagger \hat{a} |n\rangle = n |n\rangle, \quad \hat{a} |n\rangle = \sqrt{n} |n-1\rangle, \quad \hat{a}^\dagger |n\rangle = \sqrt{n+1} |n+1\rangle,$$

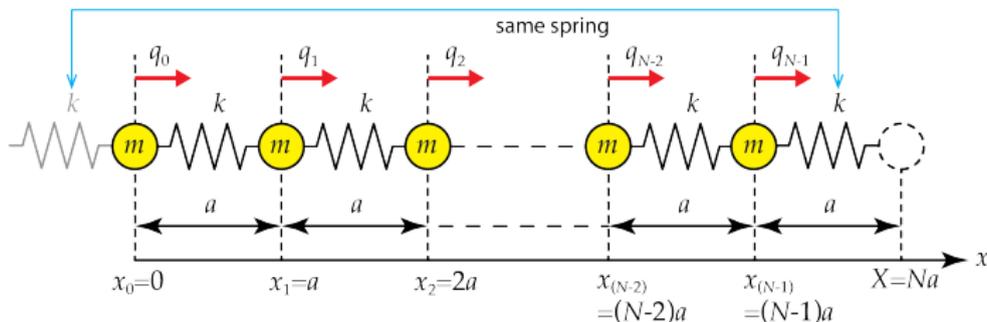
where $n = 0, 1, 2, \dots$.

Hamiltonian and energy eigenvalues:

$$\begin{aligned} \hat{H} &= \frac{\hat{p}^2}{2m} + \frac{1}{2} k \hat{q}^2 = \hbar\omega \left(\hat{a}^\dagger \hat{a} + \frac{1}{2} \right) \\ &\downarrow \\ E_n &= \hbar\omega \left(n + \frac{1}{2} \right). \end{aligned}$$

1D Lattice Oscillations - Lagrangian

Consider N equal masses connected by N equal springs with periodic boundary condition ($q_N = q_0$) :



The Lagrangian and equation of motion are

$$L = \frac{1}{2}m \sum_{j=0}^{N-1} \dot{q}_j^2 - \frac{1}{2}k \sum_{j=0}^{N-1} (q_{j+1} - q_j)^2,$$

$$0 = \frac{d}{dt} \frac{\partial L}{\partial \dot{q}_j} - \frac{\partial L}{\partial q_j} = m\ddot{q}_j + k \left[-q_{j-1} + 2q_j - q_{j+1} \right]$$

1D Lattice Oscillations - Lagrangian

The generic solution is

$$q_j(t) = \frac{1}{\sqrt{N}} \sum_n \left\{ A_n e^{-i(\omega_n t - j\theta_n)} + A_n^* e^{i(\omega_n t - j\theta_n)} \right\}$$

where

$$\theta_n = \frac{2n\pi}{N}, \quad \omega_n = 2\sqrt{\frac{k}{m}} \left| \sin \frac{\theta_n}{2} \right|, \quad n \in \mathbb{Z}.$$

If we label q_j with its equilibrium position $x_j = ja$ along the x -axis instead of the integer j , then we can write

$$q(t, x_j) = \frac{1}{\sqrt{N}} \sum_n \left\{ A_n e^{-i(\omega_n t - k_n x_j)} + A_n^* e^{i(\omega_n t - k_n x_j)} \right\}$$

where

$$k_n = \frac{\theta_n}{a} = \frac{2n\pi}{Na}$$

is the wave-number.

1D Lattice Oscillations - Hamiltonian

The momentum conjugate to q_j is

$$p_j = \frac{\partial L}{\partial \dot{q}_j} = m\dot{q}_j ,$$

and the Hamiltonian is

$$H = \sum_j p_j \dot{q}_j - L = \frac{1}{2m} \sum_{j=0}^{N-1} p_j^2 + \frac{1}{2}k \sum_{j=0}^{N-1} (q_{j+1} - q_j)^2 .$$

The equations of motion are

$$\dot{q}_j = \{q_j, H\} = \frac{p_j}{m} ,$$

$$\dot{p}_j = \{p_j, H\} = k(q_{j+1} - 2q_j + q_{j-1}) ,$$

which are equivalent to the Euler-Lagrange equations.

1D Lattice Oscillations - Quantization

To quantize, we make the replacements

$$q_j \rightarrow \hat{q}_j \quad p_j \rightarrow \hat{p}_j \quad \{q_i, p_j\} = \delta_{ij} \rightarrow \frac{1}{i\hbar} [\hat{q}_i, \hat{p}_j] = \delta_{ij}$$

The equations of motion are

$$\begin{aligned}\dot{\hat{q}}_j &= \frac{1}{i\hbar} [\hat{q}_j, \hat{H}] = \frac{\hat{p}_j}{m}, \\ \dot{\hat{p}}_j &= \frac{1}{i\hbar} [\hat{p}_j, \hat{H}] = k(\hat{q}_{j+1} - 2\hat{q}_j + \hat{q}_{j-1}),\end{aligned}$$

which are exactly the same as the classical equations.

The solution is

$$\hat{q}_j(t) = \frac{1}{\sqrt{N}} \sum_n \sqrt{\frac{\hbar}{2m\omega_n}} \left\{ \hat{a}_n e^{-i(\omega_n t - j\theta_n)} + \hat{a}_n^\dagger e^{i(\omega_n t - j\theta_n)} \right\}$$

1D Lattice Oscillations - Quantization

or

$$\hat{q}(t, x_j) = \frac{1}{\sqrt{N}} \sum_n \sqrt{\frac{\hbar}{2m\omega_n}} \left\{ \hat{a}_n e^{-i(\omega_n t - k_n x_j)} + \hat{a}_n^\dagger e^{i(\omega_n t - k_n x_j)} \right\}$$

where

$$\frac{1}{i\hbar} [\hat{q}_i, \hat{p}_j] = \delta_{ij} \quad \rightarrow \quad [\hat{a}_m, \hat{a}_n^\dagger] = \delta_{mn},$$

with all other commutators zero.

The Hamiltonian in terms of the creation and annihilation operators are

$$\hat{H} = \frac{1}{2m} \sum_{j=0}^{N-1} \hat{p}_j^2 + \frac{1}{2} k \sum_{j=0}^{N-1} (\hat{q}_{j+1} - \hat{q}_j)^2 = \sum_n \hbar\omega_n \left(\hat{a}_n^\dagger \hat{a}_n + \frac{1}{2} \right).$$

1D Lattice Oscillations - Quantization

If we define

$$\hat{P} = \sum_n \hbar k_n \hat{a}_n^\dagger \hat{a}_n,$$

then \hat{P} is the generator of translations in x -space since it is straightforward to show that the operator

$$\hat{T} = e^{i\hat{P}a}$$

transforms q_j to q_{j+1} :

$$\hat{T} \hat{q}_j \hat{T}^{-1} = \hat{q}_{j+1}, \quad \text{or} \quad \hat{T} \hat{q}(x_j) \hat{T}^{-1} = \hat{q}(x_{j+1}) = \hat{q}(x_j + a).$$

So we can identify \hat{P} with the momentum operator.

So the operator \hat{a}_n^\dagger and \hat{a}_n respectively increases and decreases the energy and momentum of the system in units of $\hbar\omega_n$ and $\hbar k_n$.

We can use quantized fields to model particles!



Particle Interpretation

At each lattice site, there exists a field operator given by

$$\hat{q}(t, x_j) = \frac{1}{\sqrt{N}} \sum_n \sqrt{\frac{\hbar}{2m\omega_n}} \left\{ \hat{a}_n e^{-i(\omega_n t - k_n x_j)} + \hat{a}_n^\dagger e^{i(\omega_n t - k_n x_j)} \right\}$$

which can either create or annihilate a particle. This operator can also be thought of as residing in a cell of width a centered at x_j .

The potential energy includes terms that annihilates a particle at some point x_j on the lattice and then recreates it at a neighboring point $x_{j\pm 1}$, describing propagation of the particle from one cell to the next.

For finite lattice spacing a , the momentum of the particle is limited to the **1st Brillouin Zone**:

$$-\frac{\pi}{a} < k_n < \frac{\pi}{a} .$$

For particles with momenta well inside this zone, the lattice spacing a will not be noticeable. (Wavelengths are much longer than a .)



Taking the Continuum Limit

We usually take the **continuum** ($a \rightarrow 0$) and **infinite volume** ($Na \rightarrow \infty$) **limits** for the ease of imposing Lorentz covariance (at the expense of introducing various infinities). The \hat{q}_j and \hat{p}_j operators are rescaled

$$\frac{\hat{q}(x_j)}{\sqrt{a}} \rightarrow \hat{\phi}(t, x), \quad \frac{\hat{p}(x_j)}{\sqrt{a}} \rightarrow \hat{\pi}(t, x),$$

so that

$$[\hat{q}(t, x_i), \hat{p}(t, x_j)] = i\hbar\delta_{ij} \rightarrow [\hat{\phi}(t, x), \hat{\pi}(t, y)] = i\hbar\delta(x - y)$$

(for Bosons). Sums over $x_j = ja$ and k_n are replaced by integrals:

$$\sum_{j=0}^{N-1} f(x_j) \rightarrow \frac{1}{a} \int_0^{Na} dx f(x), \quad \sum_{n=-[N/2]}^{[N/2]} g(k_n) \rightarrow Na \int_{-\pi/a}^{\pi/a} \frac{dk}{2\pi} g(k).$$



Fields used in Particle Physics – Real Scalar

We work in $3 + 1$ dimensions and use **natural units** in which $\hbar = c = 1$. We also omit hats on the operators.

- Real Scalar Field – models chargeless spin-0 particle for which antiparticle = particle.

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2 ,$$

$$0 = (\partial^2 + m^2) \phi ,$$

$$\phi(x) = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \left(a(k) e^{-ikx} + a^\dagger(k) e^{ikx} \right) ,$$

$$[a(k), a^\dagger(k')] = (2\pi)^3 2\omega_k \delta^3(k - k') ,$$

where $\omega_k = \sqrt{k^2 + m^2}$. The normalization used here is relativistic.

Fields used in Particle Physics – Complex Scalar

- Complex Scalar Field – models spin-0 particle with charge (antiparticle \neq particle).

$$\mathcal{L} = \partial_\mu \phi^\dagger \partial^\mu \phi - m^2 \phi^\dagger \phi ,$$

$$0 = (\partial^2 + m^2)\phi$$

$$\phi(x) = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \left(a(k) e^{-ikx} + c^\dagger(k) e^{ikx} \right) ,$$

$$[a(k), a^\dagger(k')] = [c(k), c^\dagger(k')] = (2\pi)^3 2\omega_k \delta^3(k - k') .$$

The states created by a^\dagger and those created by c^\dagger have opposite charge.

Fields used in Particle Physics – Dirac Spinor

- Dirac Spinor Field – models spin- $\frac{1}{2}$ particle with charge (antiparticle \neq particle).

$$\begin{aligned}\mathcal{L} &= \frac{i}{2} \left\{ \bar{\psi} \gamma^\mu (\partial_\mu \psi) - (\partial_\mu \bar{\psi}) \gamma^\mu \psi \right\} - m \bar{\psi} \psi \\ &= \bar{\psi} (i \not{\partial} - m) \psi + (\text{total derivative}) ,\end{aligned}$$

$$0 = (i \not{\partial} - m) \psi ,$$

$$\psi(x) = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \sum_s \left[b(k, s) u(k, s) e^{-ikx} + d^\dagger(k, s) v(k, s) e^{ikx} \right]$$

The creation and annihilation operators satisfy **anti-commutation relations** :

$$\{b(k, s), b^\dagger(k', s')\} = \{d(k, s), d^\dagger(k', s')\} = (2\pi)^3 2\omega_k \delta^3(k - k') \delta_{ss'} .$$

The states created by b^\dagger and those created by d^\dagger have opposite charge.



Fields used in Particle Physics – Majorana Spinor

- Majorana Spinor Field – models chargeless spin- $\frac{1}{2}$ particle for which antiparticle = particle. We impose the condition

$$\psi_M^C = \psi_M$$

where C denotes charge conjugation. (Subscript M is for Majorana.)
The Lagrangian density and the equation of motion are

$$\mathcal{L} = \frac{1}{2} \bar{\psi}_M (i\cancel{\partial} - m) \psi_M, \quad (i\cancel{\partial} - m) \psi_M = 0.$$

Solution is

$$\psi_M(x) = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \sum_s \left[b(k, s) u(k, s) e^{-ikx} + b^\dagger(k, s) v(k, s) e^{ikx} \right]$$

where

$$\{b(k, s), b^\dagger(k', s')\} = (2\pi)^3 2\omega_k \delta^3(k - k') \delta_{ss'}.$$

Fields used in Particle Physics – Vector

- The Lagrangian density for the massless case is

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad \underbrace{-\frac{\xi}{2}(\partial^\mu A_\mu)^2}_{\text{gauge fixing term}}$$

where

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu .$$

The equation of motion is simplest in Feynman gauge, $\xi = 1$:

$$\partial^2 A_\mu = 0 .$$

The solution is

$$A^\mu = \int \frac{d^3k}{(2\pi)^3 2\omega_k} \sum_{\lambda=0}^3 \left[a(k, \lambda) \varepsilon^\mu(k, \lambda) e^{-ikx} + a^\dagger(k, \lambda) \varepsilon^{\mu*}(k, \lambda) e^{ikx} \right]$$

where $\lambda = 0, 1, 2, 3$ is the polarization label, and

$$[a(k, \lambda), a^\dagger(k', \lambda')] = -g_{\lambda\lambda'} (2\pi)^3 2\omega_k \delta^3(k - k') .$$



Interactions

- Without interactions, nothing happens!
- An interaction that occurs at spacetime point x is described by field operators multiplied together at x , e.g.

$$\bar{\psi}(x)\gamma^\mu\psi(x)A_\mu(x)$$

Each field is a sum of a creation operator part and an annihilation operator part:

$$\psi \sim b + d^\dagger, \quad \bar{\psi} \sim b^\dagger + d, \quad A_\mu \sim a + a^\dagger$$

If ψ describes the electron and A_μ the photon, then the above interaction can annihilate an electron with ψ , create an electron with $\bar{\psi}$, and either annihilate or create a photon with A_μ . So it can describe either photon absorption or emission by an electron.

Standard Model Interactions - Weak Interactions

See section 7, Theory Overview, of the **Belle II Physics Book**.

Eq. (49) gives the interaction of the quarks with the W -boson:

$$\mathcal{L}_W^q = \frac{g}{\sqrt{2}} \left[V_{jk} \bar{u}_{Lj} \gamma^\mu d_{Lk} W_\mu^+ + V_{jk}^* \bar{d}_{Lk} \gamma^\mu u_{Lj} W_\mu^- \right]$$

- Repeated indices (flavor indices j , k , and the Lorenz index μ) are summed (Einstein convention).
- V_{jk} are the elements of the **Cabbibo-Kobayashi-Maskawa (CKM) matrix** that **Prof. Schwartz** discussed yesterday. (See also section 7.2)
- The subscript L means that the fermion field is left-handed:

$$\psi_L = \frac{1}{2}(1 - \gamma_5)\psi, \quad \psi_R = \frac{1}{2}(1 + \gamma_5)\psi.$$

- $(u_1, u_2, u_3) = (u, c, t)$, $(d_1, d_2, d_3) = (d, s, b)$.
- Interactions of the quarks with the Z -boson are not shown.

Standard Model Interactions - Strong Interactions

The coupling of quark q to the gluons G_μ^a ($a = 1, 2, \dots, 8$):

$$\mathcal{L}_{\text{QCD}} = g_s \bar{q}^\alpha \gamma^\mu (T_a)_{\alpha\beta} q^\beta G_\mu^a$$

- $\alpha, \beta = R, G, B$ are color indices.
- $T_a = \lambda_a/2$ where

$$\begin{aligned} \lambda_1 &= \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, & \lambda_2 &= \begin{bmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, & \lambda_3 &= \begin{bmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \\ \lambda_4 &= \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}, & \lambda_5 &= \begin{bmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{bmatrix}, \\ \lambda_6 &= \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, & \lambda_7 &= \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{bmatrix}, & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{bmatrix}. \end{aligned}$$