

Morning:

How do we know there are three (and only three) families of light active neutrinos

How do we know neutrinos have negative helicity (and are left-handed)?

How do we know neutrinos have mass?

Afternoon:

Neutrino mass measurements (in beta decay and cosmology)
Neutrino oscillations and CP violation future neutrino program
Can neutrino masses be different from those of other fermions?
How can we discover that neutrinos have a Majorana mass term?
(If time permits: is there a eV-scale sterile neutrino?)

Neutrino mass...

The simple fact that neutrinos transform during their flight from source to detector is a proof that they have mass.

Particle that has no mass cannot transform:

$$\tau_{lab} = \gamma \tau_{particle} = E/m \tau_{particle}$$
 if $m \to 0 \to \tau_{lab} \to \infty$!

Neutrino oscillations are sensitive to mass differences Δm_{ij}^2

$$P_{\mu}(|\nu_{e}(t)\rangle) = \sin^{2} 2\theta \sin^{2}(1.27\Delta m_{12}^{2}L/E)$$

How can one detect the neutrino mass itself?

There are presently 4 different methods:

- -- kinematic method (the most direct and most difficult)
- -- effect of neutrino mass on the early universe
- -- neutrinoless double beta decay
- -- detect directly the heavy right-handed neutrinos



β-decay: absolute v-mass

model independent, kinematics

status: $m_v < 2 \text{ eV}$ potential: $m_v \approx 0.2 \text{ eV}$

e.g.: KATRIN, ECHO, HOLMES, Project-8, ...

0νββ-decay: eff. Majorana mass

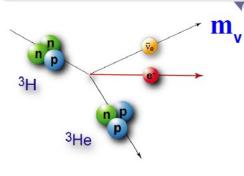
model-dependent (CP-phases)

status: $m_{gg} < 0.1 \text{ eV}$

potential: $m_{BB} \approx 20-50 \text{ meV}$

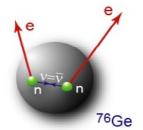
 $m_{\beta\beta}$

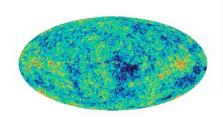
e.g.: GERDA, KamLAND-Zen, CUORE, EXO, Majorana, Nemo 3, ...



neutrino mass measurements



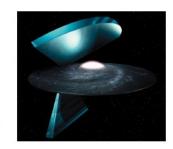




model dependent, analysis of CMB and structure formation data

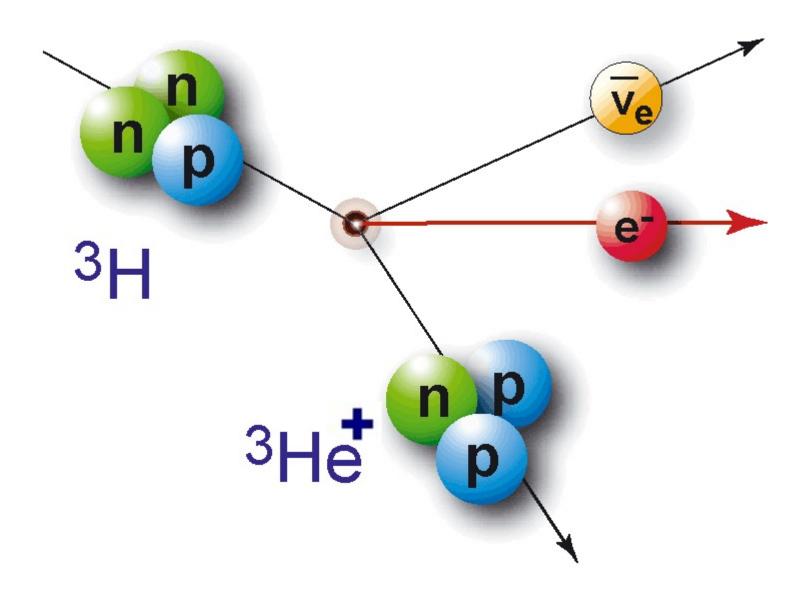
status: $\Sigma m_v < 0.23 \text{ eV}$

(Planck Collaboration, A&A 594 (2016) A13)





Electron antineutrino mass measurement in tritium β decay





Electron antineutrino mass measurement in tritium β decay





What is measured

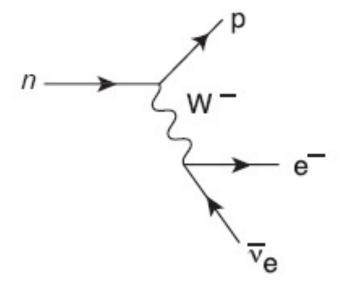
e-spectrum in β decay

$$(Z, A) \rightarrow (Z + 1, A)^{+} + e^{-} + \bar{\nu}_{e}$$

The only variable measured is electrons kinetic energy

The goal of the measurement is to determine a value for the mass of the electron antineutrino

$$m^2(\nu_e) = \sum_{i} |U_{ei}|^2 \cdot m^2(\nu_i)$$





Why the measurement is of importance

Neutrino oscillation experiments can only measure squared mass differences, not masses.

Neutrino-less Double B decay Measures this:

$$m_{ee} = |\sum m(\nu_j) \mid U_{ej} \mid^2 e^{i\phi_j} \mid$$

which can be obtained with high precision, but involves the phase factor and relies on the fact that neutrinos have a Majorana mass term

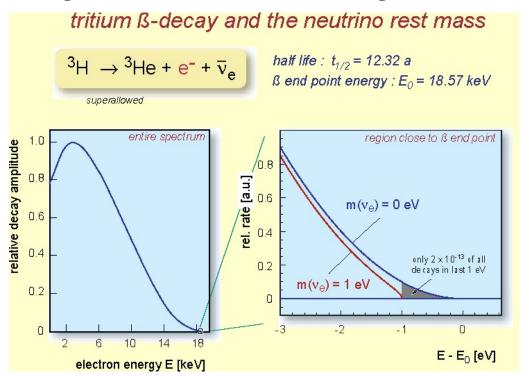
Kinematic measurement is model independent Importance in:

Cosmology: An average neutrino mass of 1 eV would contribute to the energy and matter distribution of the universe by 8 % in units of the critical density

Particle physics: Probe for new theoretical models beyond the standard model (See-saw, SUSY, String theory etc.)



Why tritium β decay is ideal



Tritium decay provides high luminosity in the shaded area. The reasons for that is:

Tritium and 187 Re have the lowest possible E_0 , but tritium is preferred due to:

Much higher tritium decay rate, ¹⁸⁷Re half life is 2.46×10⁻¹⁰ times smaller

Less inelastic scattering in the source Simpler excitation states in daughter Helium.



The differential decay rate

In the low-energy limit and by hiding the hadronic part in C we get this expression (Approximations made by neglecting mass terms at one point):

$$\frac{dR}{dE} = N \frac{G_F^2 C}{\pi^3} p(E + m_e c^2) (E_0 - E) \sqrt{(E_0 - E)^2 - m^2 (v) c^4}$$

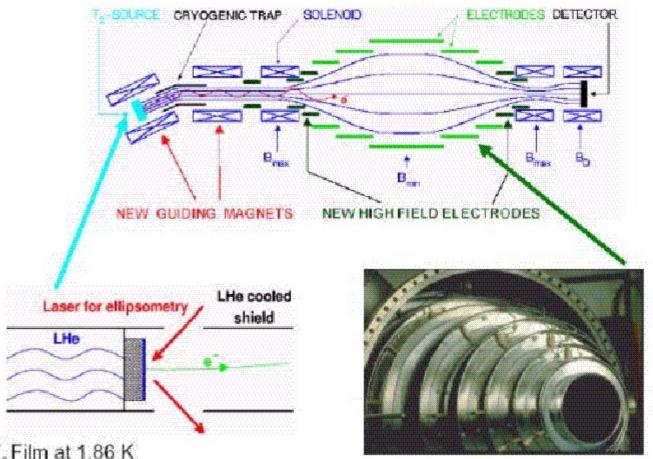
Comparison with the formula used in the Mainz experiment:

$$\frac{dR}{dE} = N \frac{G_F^2}{2\pi^3 \hbar^7 c^5} \cos^2(\Theta_C) |M|^2 F(E, Z+1) p(E+m_e c^2)$$

$$\times \sum_{ij} P_i (E_0 - V_i - E) |U_{ej}|^2 \sqrt{(E_0 - V_i - E)^2 - m^2 (\mathbf{v}_j) c^4}$$



Mainz Neutrino Mass Experiment since 1997



Mainz v group 2001:

- J. Bonn
- B. Bornschein*
- L. Bornschein
- B. Flatt
- Ch. Kraus
- B. Müller

E.W. Otten

J.P.Schall

Th. Thümmler**

Ch. Weinheimer**

- → FZ Karlsruhe
- → Univ. Bonn

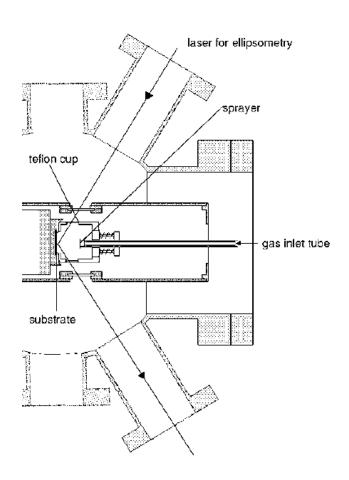
T, Film at 1.86 K

- quench-condensed on graphite (HOPG)
- 45 nm thick (≈130ML), area 2cm²
- Thickness determination by ellipsometry



The source

- T₂ is prepared on a substrate held at 1.9K
 - The tritium gas is analyzed with a quadrupole mass spectrometer.
 - The pressure in the tritium gas inlet tube is between 10^{-2} and 10^{-1} mbar.
 - Gas is sprayed on a HOPG substrate (Highly Oriented Pyrolytic Graphite) held at 1.9K.
 - The gas is quench condensed on the film (80-100 Å at a time)
 - The growth of the layers is controlled optically (3 min/run)
 - Length of the whole film preparation is between 10 and 25 min.
 - Typical run values thickness
 417±30 Å, purity 75%±10%



MAC-E-Filter

- Magnetic Adiabatic Collimation followed by an Electrostatic Filter.
- Silicon (semiconductor) detector in five rings, only the central three are used to derive values.
- High resolution:
- $U_{0 \text{ eff}} = -18370 \text{ V}$
- Adiabatic motion $\mu = \frac{\frac{1}{2}mv_{\perp}^2}{B}$ $\Delta\Omega \approx 2\pi$
- The diameter and length of the spectrometer Mainz:
 - D=1m and L=4m Troitsk:
 - D= 1.5 m and L=7 m.

$$\Delta E_{\rm k}/E_{\rm k} = B_{\rm a}/B_{\rm max} = 1/4000.$$

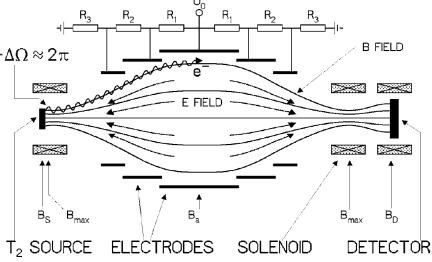
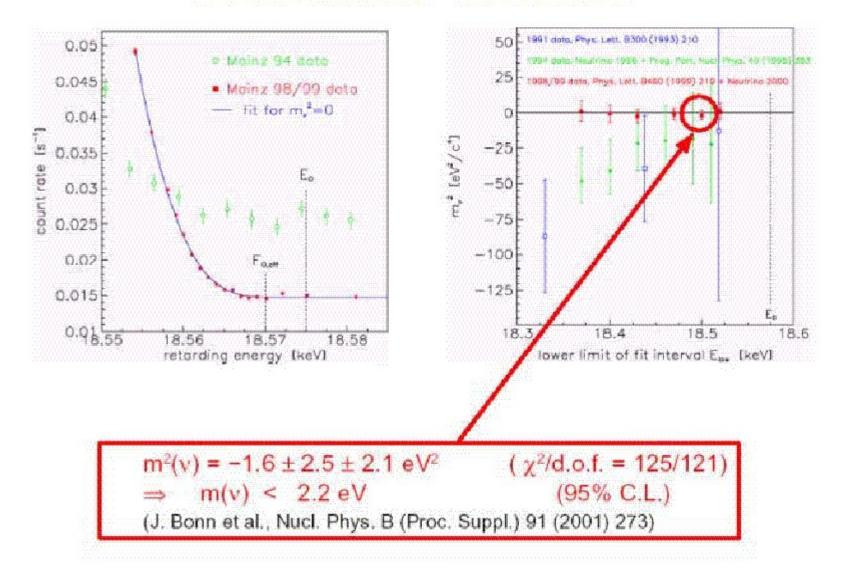


Fig. 1. Schematic sketch of the Mainz spectrometer (MAC-E-Filter).

p. (without E field)

11/1/2-

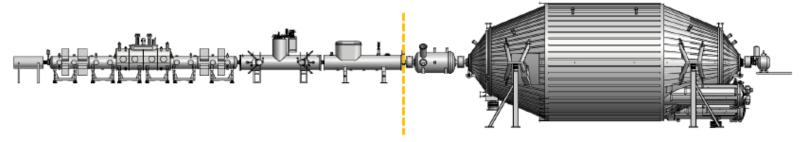
Mainz data of 1998, 1999



KATRIN experiment programmed to begin in 2008. Aim is to be sensitive to $m_{\nu e} < 0.2 \text{ eV}$



large scale facility KATRIN



ß-spectroscopy at tritium endpoint $E_0 = 18.6 \text{ keV}$

\$\times\$ improve precision by factor 100 (pinnacle of long history)

\$\times\$ fully adiabatic (meV-range) particle transport over > 50 m

♦ 10¹¹ Bq source ⇔ 10⁻² Bq background

tritium handling

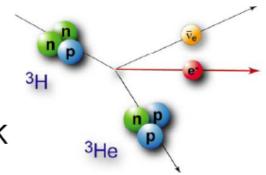
- stable supply of high purity T₂
- tritium retention factor > 1014

UHV techniques

- p < 10⁻¹¹ mbar in large spectrometers

cryo engineering

- 10⁻³ temperature stabilisation of source at 27K





spectrometer - transport

Handbook of Vacuum Technology







Oct. – Nov. 2006: 8800 km sea-going voyage from Deggendorf-FZK

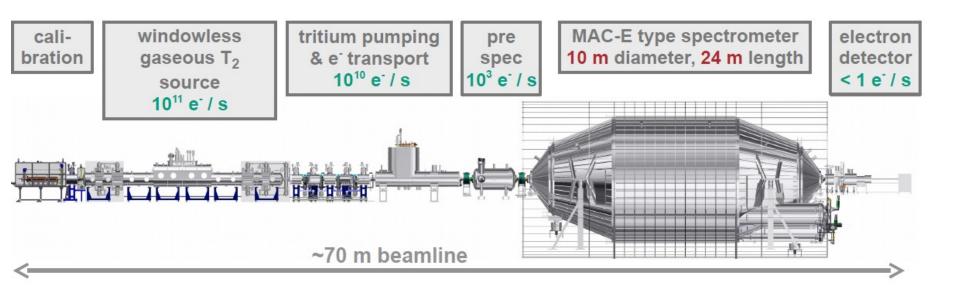








The KATRIN experiment at Karlsruhe Institute of Technology



Basic ideas of KATRIN:

- Windowless gaseous molecular tritium source
 - → ultra-high luminosity and small systematics
- Huge spectrometer of MAC-E-Filter type
 - → ultra-high energy resolution

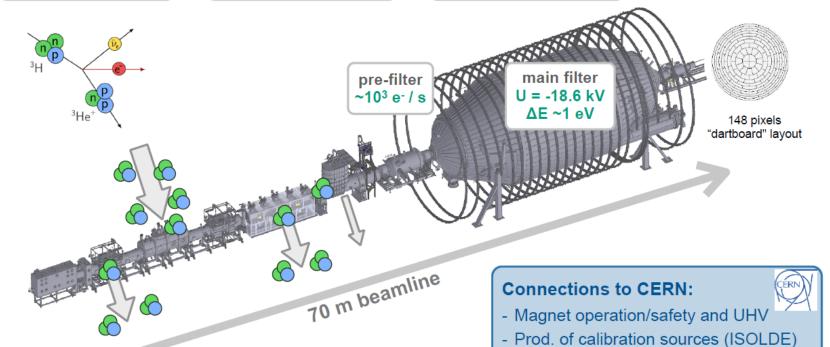
Sensitivity on m(v_e): 2 eV \rightarrow 200 meV

Working principle of KATRIN



windowless gaseous T₂ source 10¹¹ e⁻/s tritium pumping & e- transport
T₂ flow reduction >10¹⁴

high-pass energy filters MAC-E filter counting detector



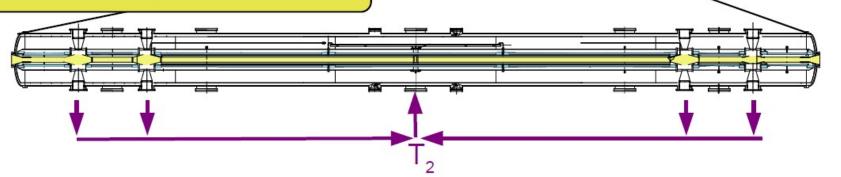
- KATRIN precision HV divider @ISOLDE



Windowless Gaseous Molecular **Tritium Source WGTS**

per mill stability source strength request: $dN/dt \sim f_T \cdot N / \tau \sim n = f_T \cdot p V / R T$

tritium fraction f_T & ideal gas law



WGTS:

tube in long superconducting solenoids

Ø 9cm, length: 10m, T = (30 ± 0.03) K

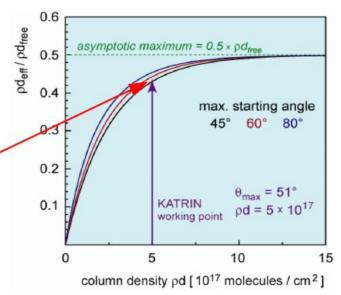
Tritium recirculation (and purification) $p_{ini} = (3 \pm 0.003) \mu bar, q_{ini} = 4.7Ci/s$

 T_2 purity f_T by laser Raman spectr.

 $\rightarrow \rho d = 5 \cdot 10^{17} / cm^2$

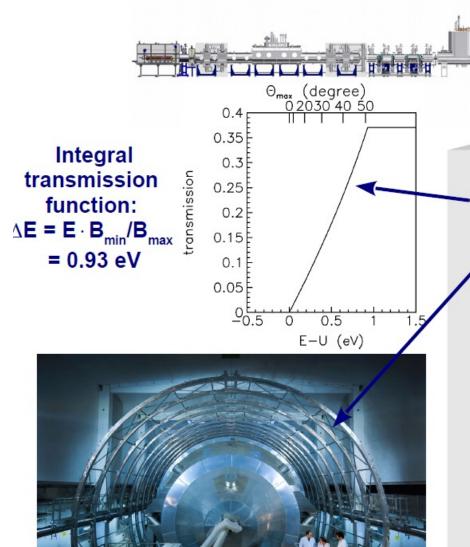
measure with near to maximum count rate with small systematics

check column density by e-gun

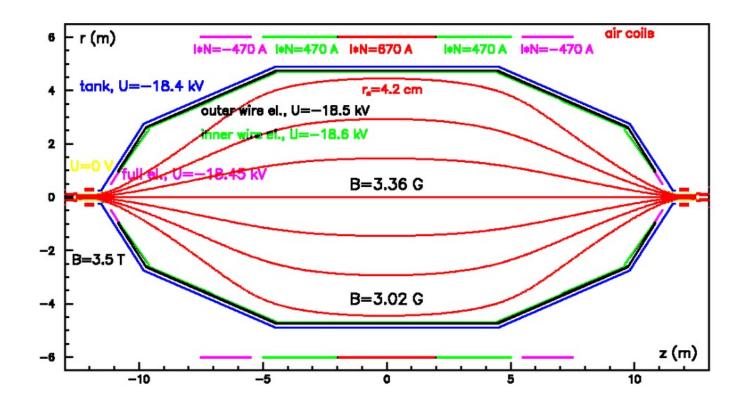




The KATRIN Main Spectrometer: an integrating high resolution MAC-E-Filter



18.6 kV retardation voltage, σ < 60 meV/years
 <p>Energy resolution (0% → 100% transmission): 0.93 eV
 Ultra-high vacuum, pressure < 10⁻¹¹ mbar
 Air coils for earth magnetic field compensation
 Double layer wire electrode for background reduction and field shaping



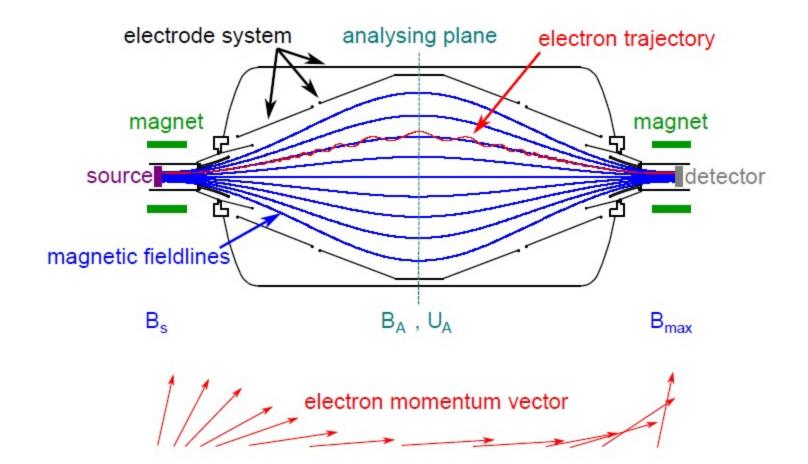
: Electromagnetic design of the KATRIN main spectrometer with twolayer wire electrodes

Take electrons of any momentum orientation in high B-field (B = 3.5 T) and make the adiabatic transformation to longitudinal momentum in very small B-field (B_{min} = 3.36 G) (1T = 10'000 G) Conservation of angular momentum L = P_T .R with R= P_T /0.3B \rightarrow L= P_T ²/0.3B = Cte \rightarrow P_T scales as 1/sqrt(B)



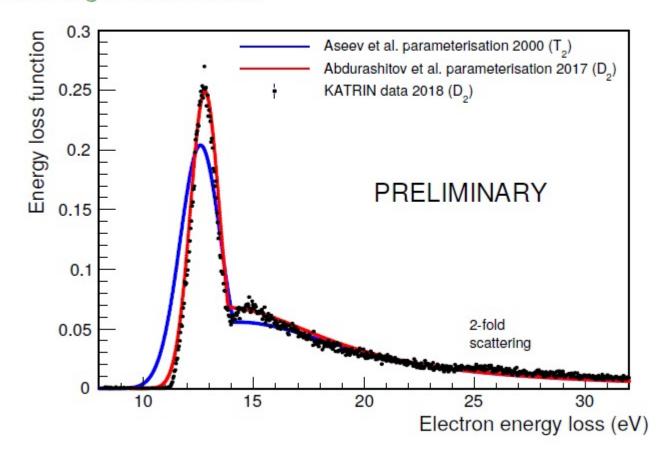
Magnetic Adiabatic Collimation & Electrostatic filter

- Align electrons along electrostatic field
- Select all signal electrons with $E>qU_A\left(1+\frac{B_{\rm A}}{B_{\rm max}}\right)$



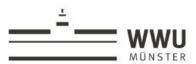


- Electron gun data (0.2 eV resolution)
- Time of flight measurement



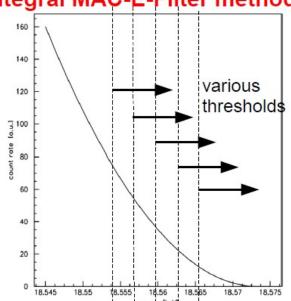
⇒Refines KATRIN model





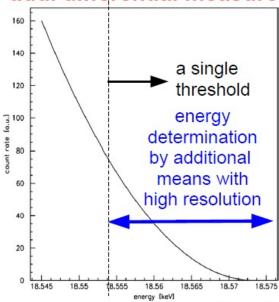
Gain of additional differential method avoiding loss of statistics by many filter settings

Integral MAC-E-Filter method



need many retardation voltages, about 40 different settings, to obtain spectral information

add. differential measurement



need one retardation voltage to limit count rate and use other means, e.g. high-res. detector to obtain spectral information

 \rightarrow Differential method: expect naively statistical improvement in m_v² of up to a factor $\sqrt{40}$ w.r.t. standard KATRIN,

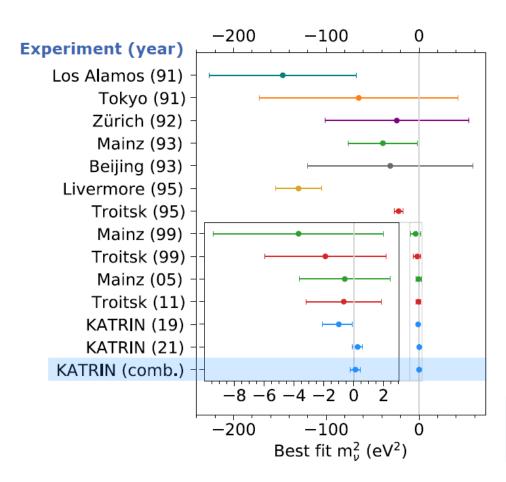
i.e. up to a factor of 2.5 in m, w.r.t. standard KATRIN!

→ KATRIN could reach < 100 meV with such a method

Numbers are in agreement with simulations in dipl. thesis of A. Mertens, KIT, 2012

30-year retrospective on tritium experiments







- + dedicated systematics experiments
- + theoretical model

Scale-up & further development of MAC-E technique with gaseous source

KATRIN (2021): first direct neutrino-mass experiment to reach sub-eV sensitivity

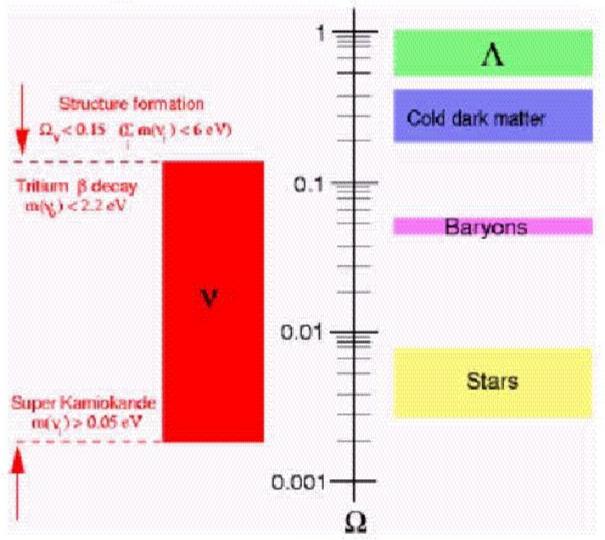
Combined result: $\mathbf{m}_{
u}^{\mathbf{2}} = (0.1 \pm 0.3) \; \mathrm{eV}^{\mathbf{2}}$

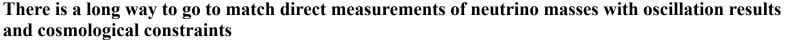
Combined limit: $m_v < 0.8 \text{ eV}$ (90% CL)



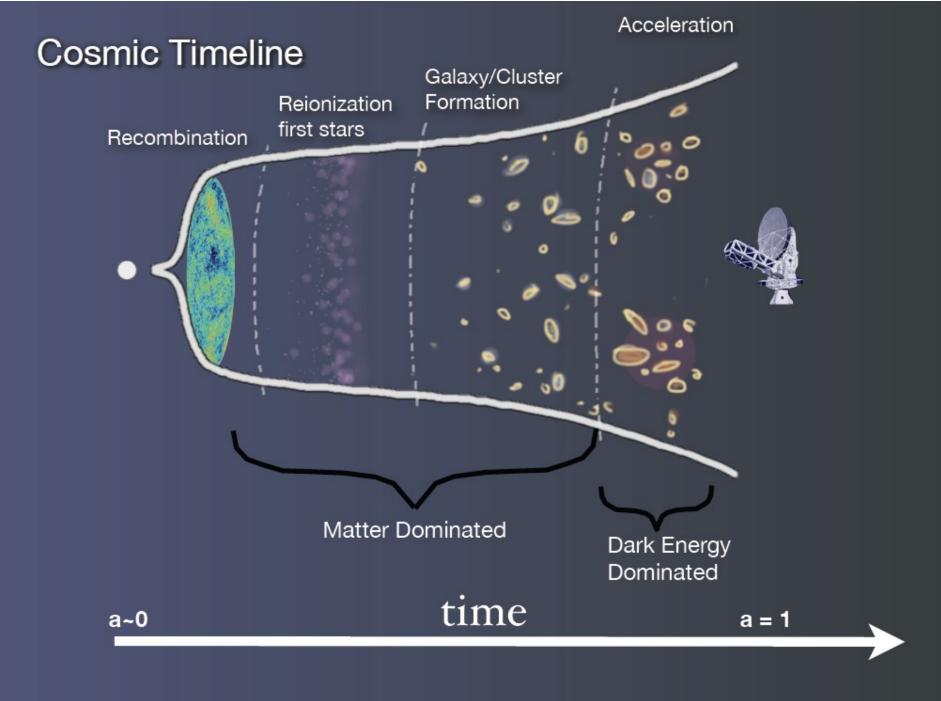
What IS the neutrino mass?????

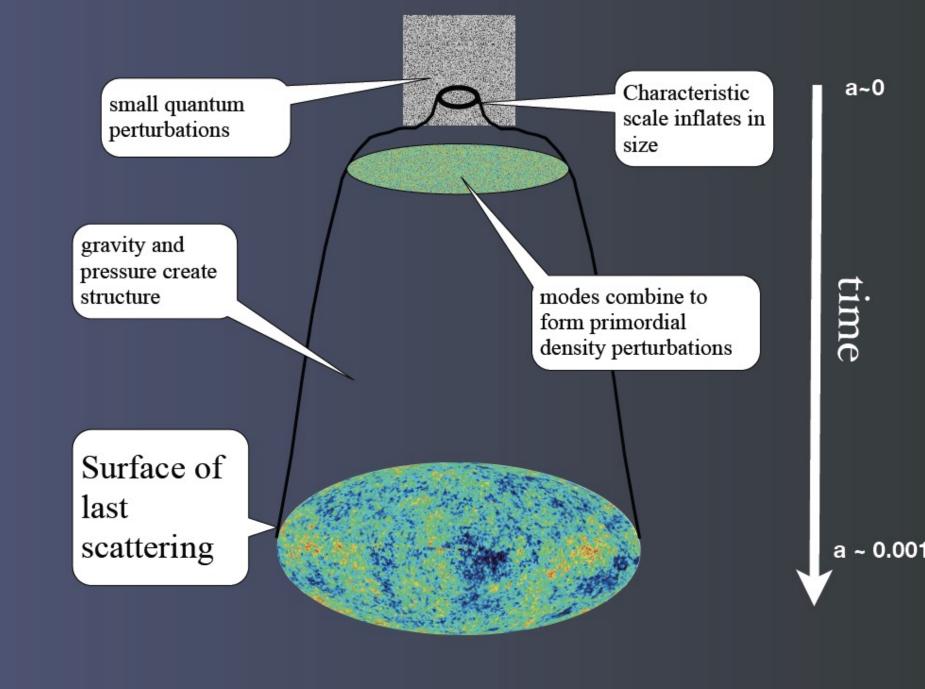
Cosmology and neutrino mass











Direct exploration of the Big Bang -- Cosmology

measurements of the large scale structure of the universe using a variety of techniques

- -- Cosmic Microwave Background
- -- observations of red shifts of distant galaxies with a variety of candles.

Big news in 2002: Dark Energy or cosmological constant

→large scale structure in space, time and velocity is determined by early universe fluctuations, thus by mechanisms of energy release (neutrinos or other hot dark matter)

The early universe is sensitive to neutrinos which are carriers of fast, weakly interacting, kinetic energy.

Number of neutrino (or neutrino-like) degrees of freedom controls the size of the effects

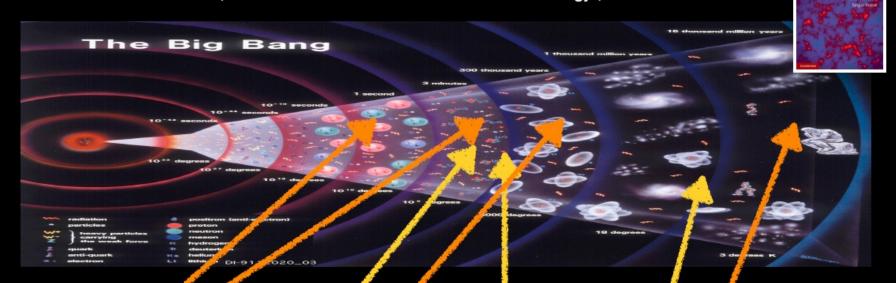
Mass of neutrinos

controls the velocity of neutrinos and the energy at which they stop being relevant



What neutrino effects are we testing?

JL & Pastor Pys. Rep. 2016; JL, Mangano, Miele, Pastor "Neutrino Cosmology" CUP; Drewes et al. 1602.04816; PDG review: JL & Verde "Neutrinos in Cosmology"; Gerbino & Lattanzi 2017



relativistic
neutrino contribution
to early expansion

metric fluctuations during nonrelativistic **neutrino** transition (early ISW) non-relativistic **neutrino** contribution to late expansion rate (acoustic angular scale)

neutrino slow down early dark matter clustering

neutrino propagation and dispersion velocity

neutrino slow down late ordinary/dark matter clustering

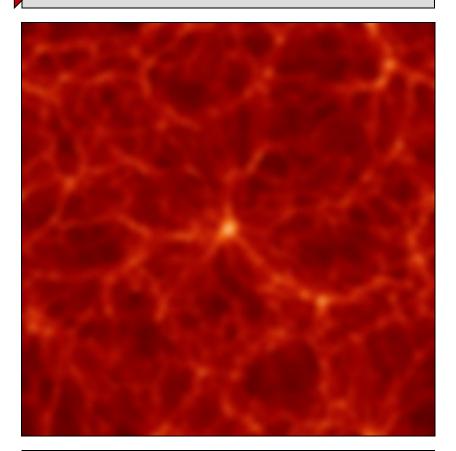




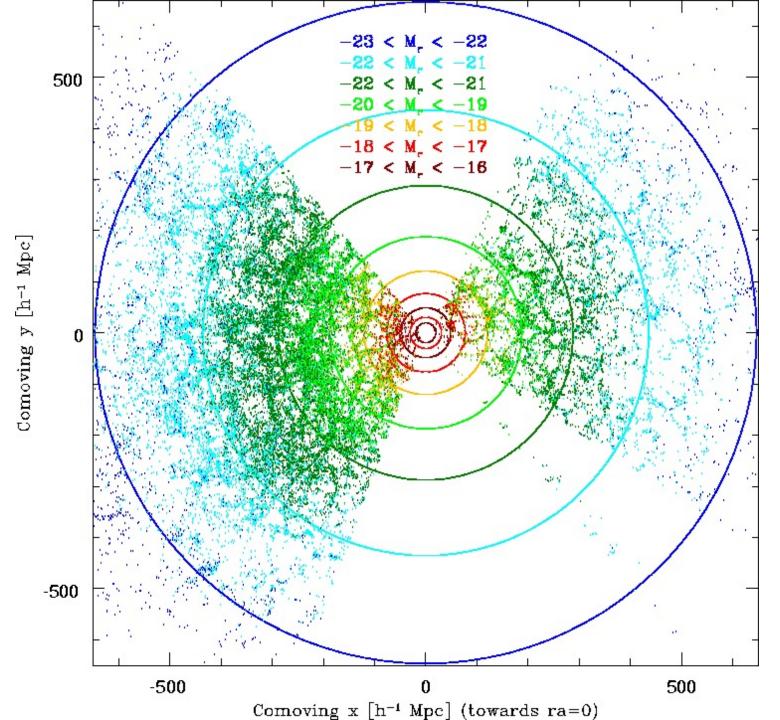
Formation of Structure

Smooth Structured

Structure forms by gravitational instability of primordial density fluctuations



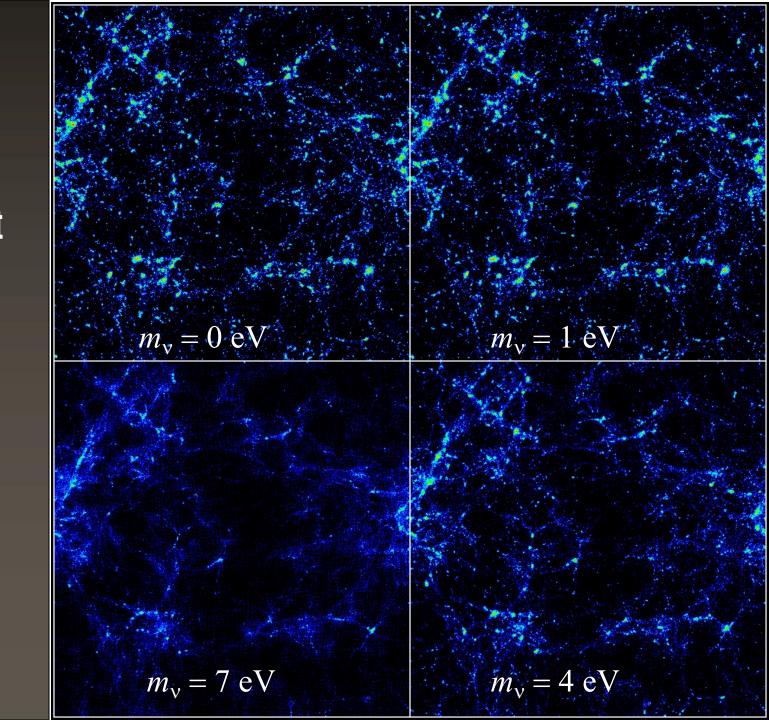
A fraction of hot dark matter suppresses small-scale structure

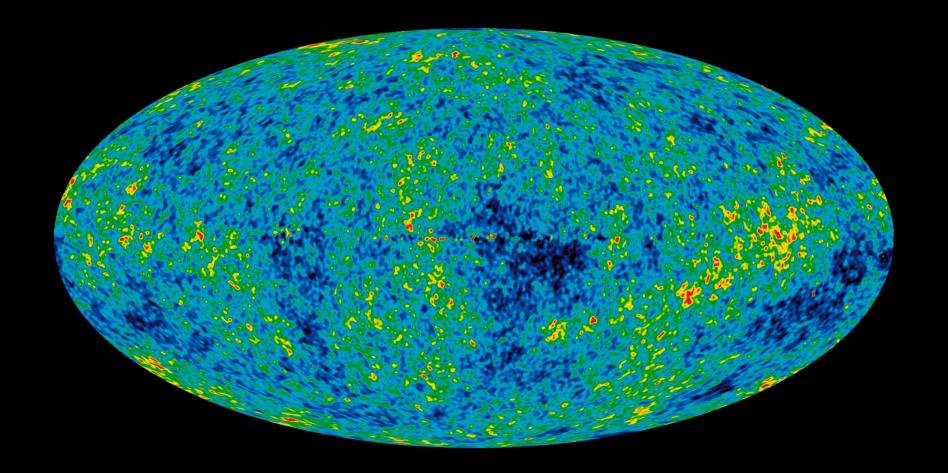




Halzen

adding hot neutrino dark matter erases small structure





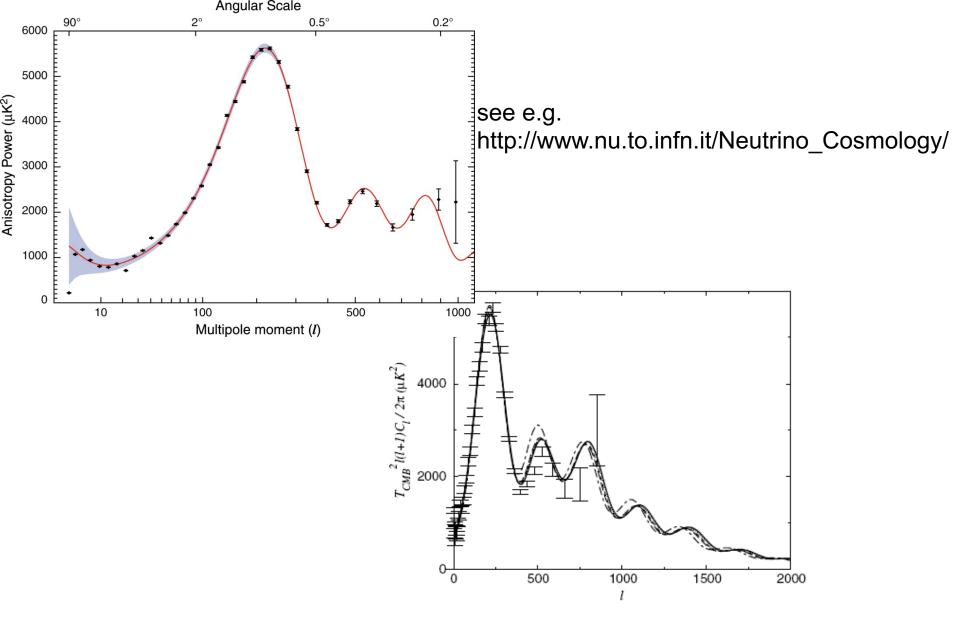
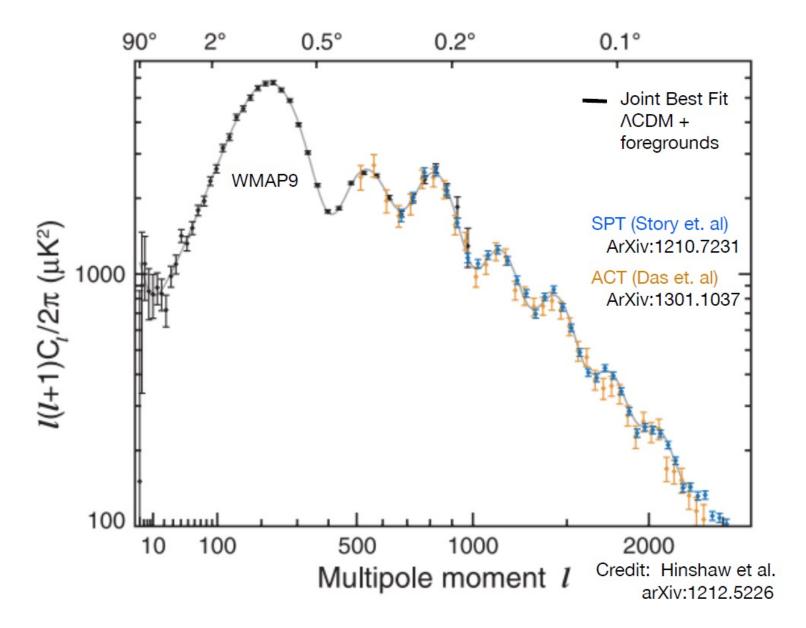
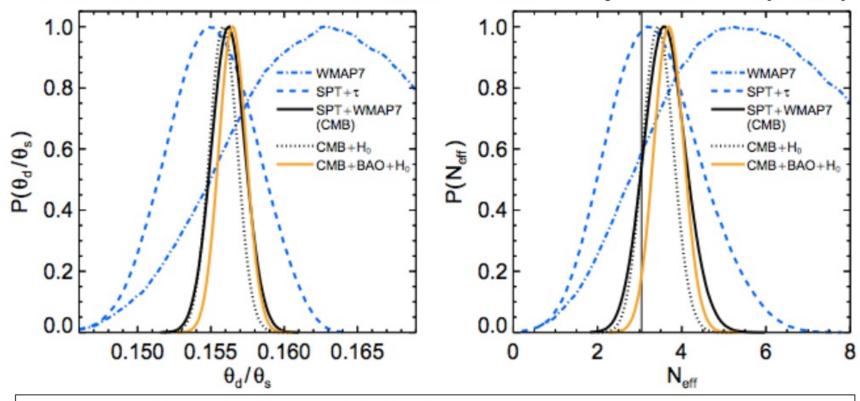


Figure 4. CMB power spectra for neutrino mass per flavour $m_{\nu} = 0$ (——), $m_{\nu} = 0.1$ (.....), $m_{\nu} = 0.3$ (- - - - -), $m_{\nu} = 0.5$ (- - - - -), and $m_{\nu} = 3$ eV (———). The other parameters are fixed at $\Omega_{\rm m} = 0.3$, $\Omega_{\rm b} = 0.04$ and h = 0.7. The vertical bars are the WMAP power spectrum data points.





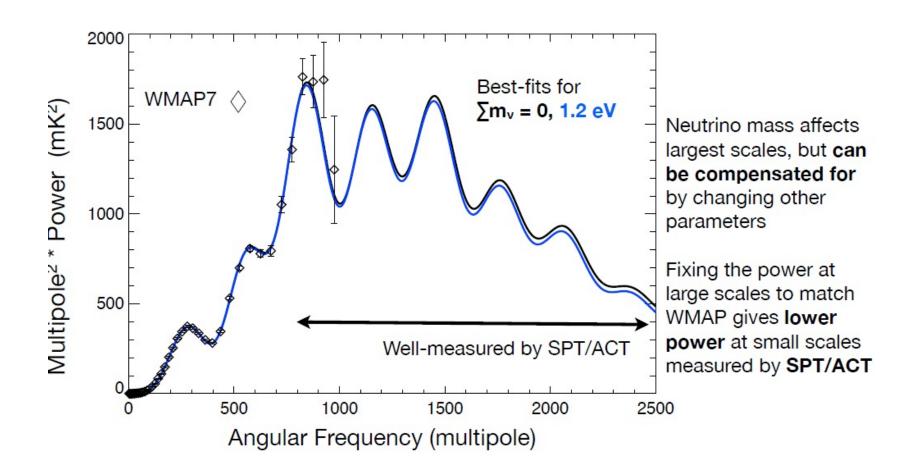
Number of Neutrino-like Species (Neff)



- N_{eff} = 3.62 ± 0.48 (SPT+WMAP7)
- $N_{eff} = 3.71 \pm 0.35 (SPT+WMAP7+H_0+BAO)$ (1.9 σ higher than 3.046)
- N_{eff} = 2.97 ± 0.56 (ACT+WMAP7)
- $N_{eff} = 3.50 \pm 0.42 (ACT+WMAP7+H_0+BAO)$

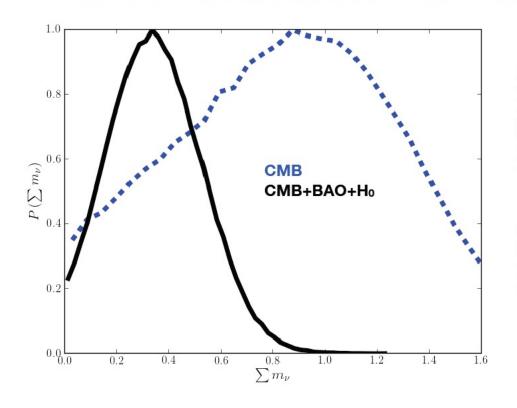


Massive neutrinos from the CMB





Mass constraints from the CMB



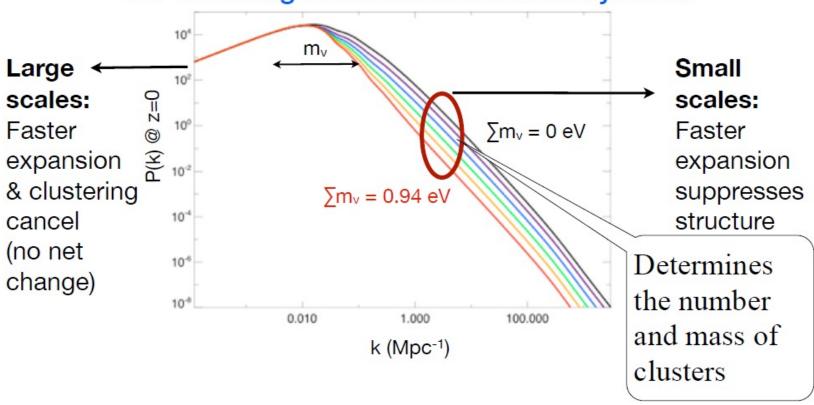
BAO and H₀ measurements provide low-redshift information on the hubble rate at recent times

CMB is consistent at < 2 σ with massless neutrinos--not very satisfying!



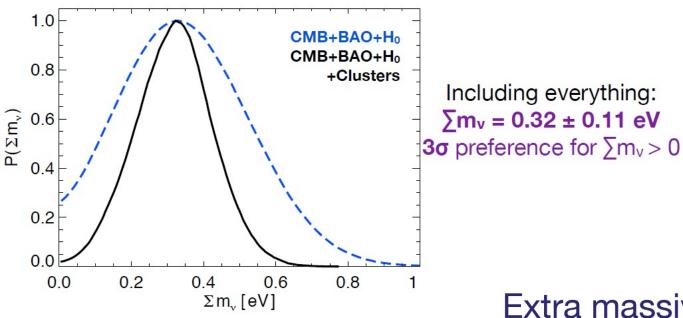
As seen by structure growth

0.1 eV changes cluster abundance by 25%



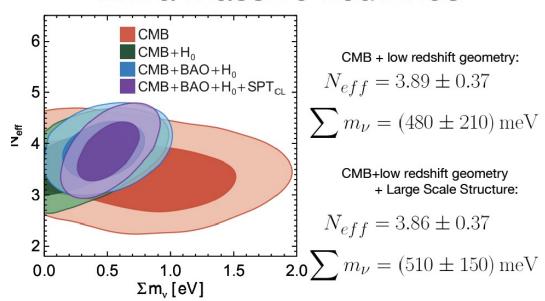


Hints of massive neutrinos



Altogether not very significant
... and model-dependent.
Shows sensitivity of our universe
to the minute properties of neutrinos

Extra massive neutrinos



More recent results

Neutrinos from cosmology, Graziano Rossi, Moriond 2016 EW https://indico.in2p3.fr/event/12279/other-view?detailLevel=contribution&showSession=all&view=nicecompact&showDate=all

KEY RESULTS

Individual constraints on $\sum m_{\nu}$ (95% CL)

$$\sum \mathbf{m}_{
u} <$$
 0.12 eV $ightarrow$ CMB + Lyman- $lpha$ + BAO

Joint constraints on $N_{\rm eff}$ and $\sum m_{\nu}$ (95% CL)

$$N_{
m eff}=$$
 2.88 $^{+0.20}_{-0.20}$ & $\sum m_{
u}<$ 0.14 eV $ightarrow$ CMB + Lyman- $lpha$ + BAO

- **1.** Results on $\sum m_{\nu}$ tend to favor the *normal hierarchy scenario* for the masses of the active neutrino species
- 2. Sterile neutrino thermalized with active neutrinos ruled out at more than 5σ and $N_{\rm eff}=0$ rejected at more than $14\sigma\to {\rm most}$ robust evidence for the CNB from $N_{\rm eff}\sim 3$

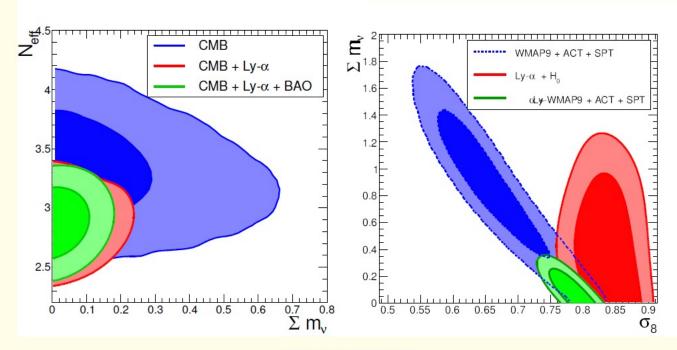
COSMOLOGICAL PRIMER

COSMOLOGY & NEUTRINOS

SYNERGIES & PROSPECTS



FINAL JOINT CONSTRAINTS



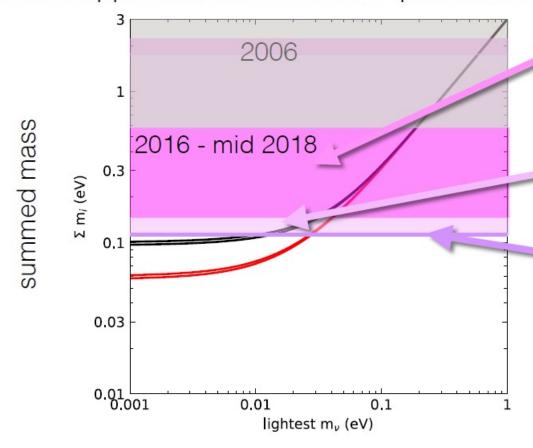
Rossi et al. (2015)

- $N_{
 m eff}=2.91^{+0.21}_{-0.22}$ and $\sum m_{
 u}<$ 0.15 eV (all at 95% CL) ightarrow CMB + Lyman-lpha
- $N_{
 m eff}=2.88^{+0.20}_{-0.20}$ and $\sum m_{
 u}<$ 0.14 eV (all at 95% CL) ightarrow CMB + Lyman-lpha + BAO



Summed mass of active neutrinos

95%CL upper bounds on Σ_im_i for 7 parameters



CMB only: Planck, w/o high-I polarisation and lensing... Σ_im_i < 590 to 140 meV (95%CL)

CMB + conservative LSS:

- Planck 2016 {TT+SIMLow+lensing} + BAO:
 Σ_im_i < 170 meV (95%CL)
- Planck 2016 {TTTEEE+SIMLow} + BAO:
 Σ_im_i < 120 meV (95%CL)
- Planck 2015 + Lyman- α : $\Sigma_i m_i <$ **120 meV** (95%CL)

[Planck col.] 1605.02985; Cuesta et al. 2016; Palanque-Delabrouille et al. 1506.05976; Vagnozzi et al. 1701.08172; PDG "Neutrino Cosmology" [JL & Verde]

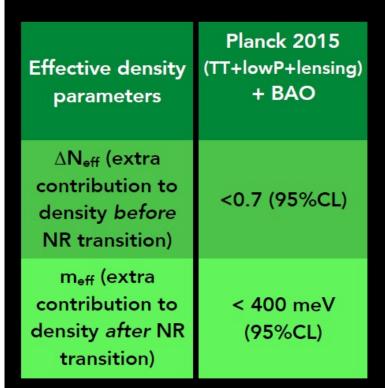
... harder to avoid bounds with simple cosmological model extensions

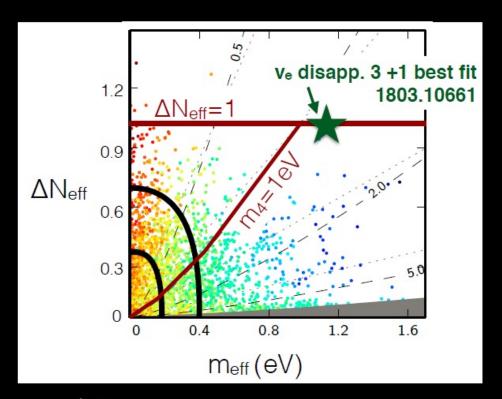


These data are sensitive to the existence of additional sterile low mass neutrinos, (as invoked to explain LSND and MiniBoone) and exclude them:

Extra relics (small mass case)

Current bounds on one early-decoupled or non-thermalized extra light species (e.g. V_4 of 3+1 scenario, abusively called "sterile neutrino")

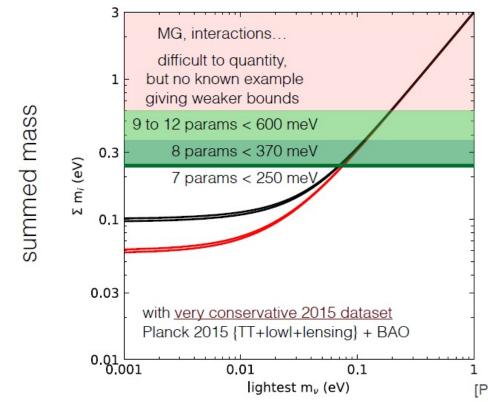




For Dodelson-Widrow neutrinos, physical mass $m = m_{eff}/\Delta N_{eff}$

Robustness of mass bounds against cosmological model extensions

95%CL upper bounds on Σ_im_i beyond 7 parameters



Usual suspects:

- extra massless relics
- extra light relics
- spatial curvature
- simplest dynamical DE
- primordial GWs
- · primordial tilt running

Even more freedom in:

- · modified Einstein Gravity
- interactions in DM sector
- primordial perturbations

[Planck col.] 1502.01589; Di Valentino et al. 1507.06646





Neutrinos have mass and mix

This is NOT the Standard Model

why cant we just add masses to neutrinos?

$$V_i = \overline{V}_i$$

or

Dirac neutrinos?

$$V_i \neq \overline{V}_i$$

 $e+ \neq e- since Charge(e+) = - Charge(e-).$

But neutrinos may not carry, any conserved charge-like quantum number.

There is NO experimetal evidence or theoretical need for a conserved Lepton Number L as

$$L(v) = L(I-) = -L(v) = -L(I+) = 1$$

then, nothing distinguishes

$$V_i$$
 from \overline{V}



Adding masses to the Stadard model neutrino 'simply' by adding a Dirac mass term

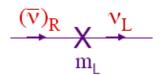
$$m_D \nu_L \overline{\nu}_R , \qquad m_D \overline{\nu}_L \nu_R$$

$$\stackrel{\stackrel{\leftarrow}{\nabla_{R}}}{\longrightarrow} X \stackrel{\stackrel{\leftarrow}{\nabla_{L}}}{\longrightarrow}$$

implies adding a right-handed neutrino.

No SM symmetry prevents adding then a term like

$$m_M \overline{v_R}^c v_R$$



and this simply means that a neutrino turns into a antineutrino (the charge conjugate of a right handed antineutrino is a left handed neutrino!)

this does not violate spin conservation since a left handed field has a component of the opposite helicity (and vice versa)

$$v_L \approx v_- + v_+ m/E$$

Neutrino mass with Dirac and Majorana mass terms:

$$\mathcal{L} = \frac{1}{2} (\bar{\nu}_L, \bar{N}_R^c) \begin{pmatrix} 0 & m_D \\ m_D^T & M_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N_R \end{pmatrix}$$

$$\max_{\mathbf{M}_R} \neq 0$$

$$\max_{\mathbf{D}} \neq 0$$

$$\min_{\mathbf{D}} = \mathbf{M}_{\mathbf{D}} = \mathbf{M}_{\mathbf{D}}$$

$$\ll 1$$

$$m_{\nu} = \frac{1}{2} \left[(0 + M_R) - \sqrt{(0 - M_R)^2 + 4 m_D^2} \right] \qquad \simeq -m_D^2 / M_R$$

$$M = \frac{1}{2} \left[(0 + M_R) + \sqrt{(0 - M_R)^2 + 4 m_D^2} \right] \qquad \simeq M_R$$

general formula

 $\begin{array}{c} M_R \neq 0 \\ m_D = 0 \\ \hline \\ Majorana\ only \\ \hline \\ M_L & \overline{V}_R \\ I_{weak} = \frac{1}{2} & \frac{1}{2} \\ 2\ states\ of\ equal\ masses \\ \hline All\ have \qquad I=1/2\ (active) \end{array}$

 $M_{R} \neq 0$ $m_{D} \neq 0$ Dirac + Majorana $I_{weak} = V_{L} \quad N_{R} \quad \overline{V}_{R} \quad N_{L}$ $\frac{1}{2} \quad 0 \quad \frac{1}{2} \quad 0$ 4 states , 2 mass levels $m1 \quad have I=1/2 \quad (active)$ $m2 \quad have I=0 \quad (sterile)$

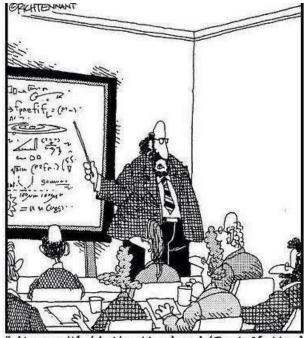
if $m_D \ll M_R$

 $M_{R} = 0$ $m_{D} \neq 0$ Dirac only, (like e- vs e+): $I_{weak} = \begin{array}{cccc} V_{L} & V_{R} & \overline{V}_{L} \\ V_{L} & V_{R} & \overline{V}_{L} \\ V_{L} & 0 & \frac{1}{2} & 0 \\ V_{L} & 0 & \frac{1}{2$

Electroweak eigenstates

$$\frac{\begin{pmatrix} e \\ v_e \end{pmatrix}}{L} \begin{pmatrix} \mu \\ v_{\mu} \end{pmatrix}_{L} \begin{pmatrix} \tau \\ v_{\tau} \end{pmatrix}_{L} \qquad (e)_{R} (\mu)_{R} (\tau)_{R} \qquad Q=-1
(v_e)_{R} (v_{\mu})_{R} (v_{\tau})_{R} \qquad Q=0$$

I = 1/2 I = 0



'Along with 'Antimatter,' and 'Dark Matter,' we've recently discovered the existence of 'Doesn't Matter,' which appears to have no effect on the universe whatsoever."

Right handed neutrinos
are singlets
no weak interaction
no EM interaction
no strong interaction

can't produce them can't detect them -- so why bother? -

Also called 'sterile'



$$\tan 2\theta = \frac{2 \, m_D}{M_R - 0} \qquad \ll 1$$

$$m_\nu = \frac{1}{2} \left[(0 + M_R) - \sqrt{(0 - M_R)^2 + 4 \, m_D^2} \right] \qquad \simeq -m_D^2/M_R$$

$$M = \frac{1}{2} \left[(0 + M_R) + \sqrt{(0 - M_R)^2 + 4 \, m_D^2} \right] \qquad \simeq M_R$$
 general formula if $m_D \ll M_R$

 m_D associated with EWSB, part of SM, bounded by $v/\sqrt{2}=174$ GeV

 M_R is SM singlet, does whatever it wants: $\Rightarrow M_R \gg m_D$

Hence, $\theta \simeq m_D/M_R \ll 1$

Note that this is not necessary As one can have M anywhere...

$$u = \nu_L \cos \theta - N_R^c \sin \theta \simeq \nu_L \text{ with mass } m_\nu \simeq -m_D^2/M_R$$

$$N = N_R \cos \theta + \nu_L^c \sin \theta \simeq N_R \text{ with mass } M \simeq M_R$$

one family see-saw :
$$\theta \approx (m_D/M)$$

$$m_v \approx \frac{m_D^2}{M}$$

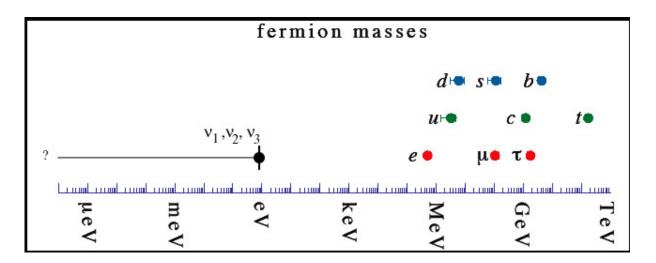
$$m_N \approx M \qquad \Rightarrow |U|^2 \propto \theta^2 \approx m_v / m_N$$

Neutrinos: the New Physics there is... and a lot of it!

SM	Dirac mass term only	Majorana mass term only	Dirac AND Majorana Mass terms	
$ \begin{array}{ccc} V_{L} & \overline{V}_{R} \\ I = \frac{1}{2} & \frac{1}{2} \end{array} $	$\begin{array}{cccc} \mathbf{V_L} & \mathbf{V_R} & \mathbf{\overline{V}_R} & \mathbf{\overline{V}_L} \\ \mathbf{1/2} & 0 & \mathbf{1/2} & 0 \end{array}$	V_{L} V_{R} V_{L} V_{R}	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	
X 3 Families	X 3 Families	X 3 Families	··· \	
6 massless states 3 masses 12 states 3 active neutrinos 3 active antinu's 6 sterile neutrinos 3 mixing angles 1 CP violating phas 0vββ = 0		3 masses 6 active states No steriles 3 mixing angles 3 CP violating phases 0vββ ≠ 0	6 masses 12 states 6 active states 6 sterile neutrinos More mixing angles and CPV phases 0vββ ≠ 0 Dark matter	

Mass hierarchies are all unknown except $m_1 < m_2$ Preferred scenario has both Dirac and Majorana terms many physics possibilities and experimental challenges



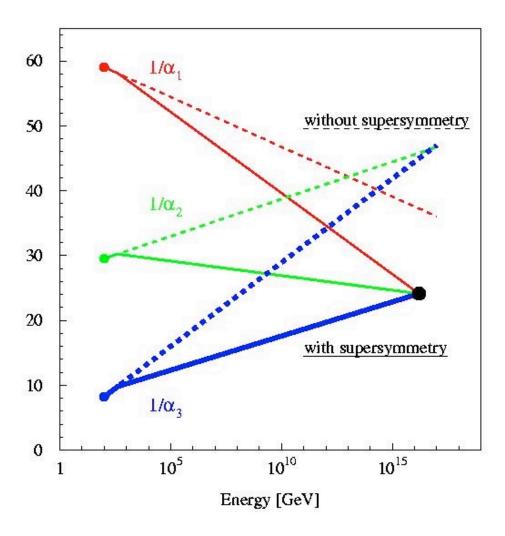


The mass spectrum of the elementary particles. Neutrinos are 10^{12} times lighter than other elementary fermions. The hierarchy of this spectrum remains a puzzle of particle physics.

Most attractive wisdom: via the see-saw mwchanism, the neutrinos are very light because they are low-lying states in a split doublet with heavy neutrinos of mass scale interestingly similar to the grand unification scale.

$$m M = \langle v \rangle^2$$
 with $\langle v \rangle \sim = m_{top} = 174 GeV$

$$\rightarrow$$
 for $m_{v.} = O(10^{-2}) \text{ eV} \Rightarrow M \sim 10^{15} \text{ GeV}$



One often considers that $M_R \sim M_{GUT} \sim 10^{10} \ to \ 10^{15} \ GeV$

Pion decay with massive neutrinos

$$\frac{\pi^{+} \quad \mu^{+}}{\nu_{L}} + \frac{\pi^{+} \quad \mu^{+}}{\nu_{L}} + \frac{\nu_{L}}{\nu_{L}} = \overline{\nu_{R}}$$

$$\frac{\pi^{+} \quad \mu^{+}}{\nu_{L}} = \overline{\nu_{R}}$$

in case of pure Dirac:

transition to sterile right handed neutrinos in case of pure Majorana:

transition to anti-neutrino

in case of see-saw:

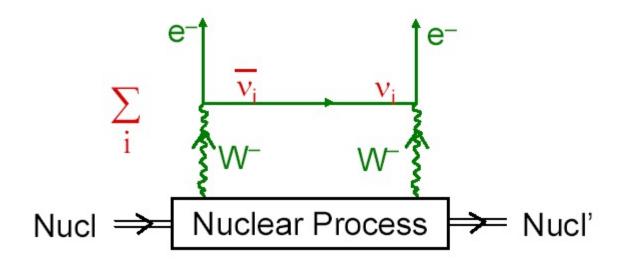
if possible, transition to heavy RH neutrino

$$(.05/30\ 10^6)^2 = 10^{-18}$$

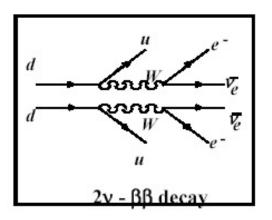
no problem

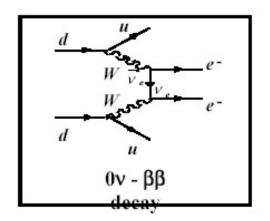
The Idea That Can Work —

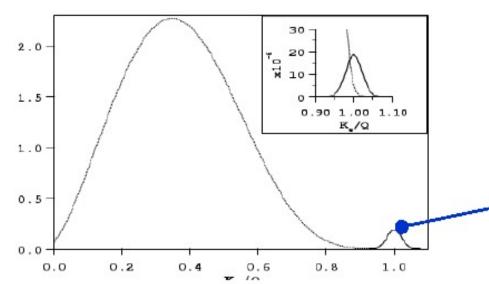
Neutrinoless Double Beta Decay [0νββ]



By avoiding competition, this process can cope with the small neutrino masses.





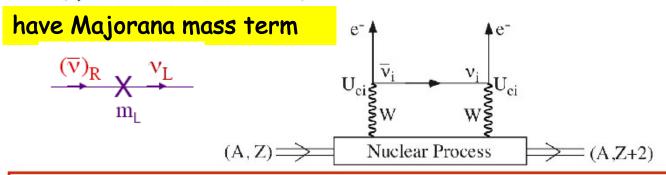


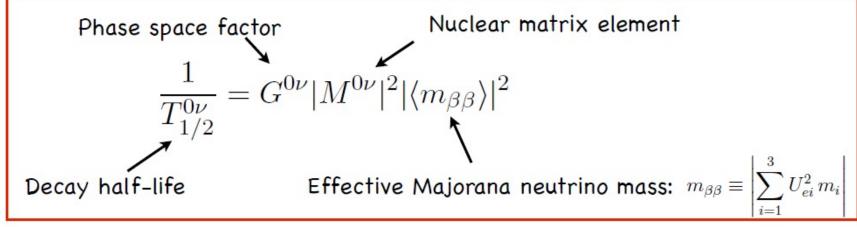
Two neutrino $\beta\beta$ decay has been detected in ten nuclei also into exited states



Neutrinoless Double Beta Decay (Ονββ)

Hypothetical ββ decay mode allowed if neutrinos





- M^{OV} is not known, must be estimated theoretically, estimates vary by factor of ~2 depending on method
- For $m_{\beta\beta}$ = 50 meV estimated half lives 10^{25} 10^{27} years! depending on the nuclear system

Three Neutrino Mixing

$$\nu_{l\mathsf{L}} = \sum_{j=1}^{3} U_{lj} \, \nu_{j\mathsf{L}} \; .$$

U is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS trino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

 $U - n \times n$ unitary:

 $\frac{1}{2}n(n-1)$ mixing angles:

$$V_{\mu 2} = U_{\mu 3} \\ V_{\mu 2} = U_{\mu 3} \\ V_{\tau 2} = U_{\tau 3}$$

$$V_{\tau 3} = V_{\tau 2} = V_{\tau 3}$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13}e^{i\delta} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13}e^{i\delta} \end{pmatrix}$$

 $U = V \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix}$

CP-violating phases:

•
$$\nu_j$$
 - Dirac: $\frac{1}{2}(n-1)(n-2)$ 0 1 3 $A(\beta\beta)_{0\nu} \sim \langle m \rangle$ M(A,Z), M(A,Z) - NME,
• ν_j - Majorana: $\frac{1}{2}n(n-1)$ 1 3 6 $|\langle m \rangle| = |m_1|U_{e1}|^2 + m_2|U_{e2}|^2 e^{i\alpha_{21}} + m_3|U_{e3}|^2 e^{i\alpha_{31}}|$,

$$\nu_j$$
- Majorana: $\frac{1}{2}n(n-1)$ 1 3

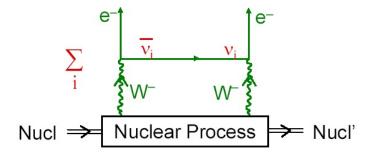
$$n=$$
 3: 1 Dirac and 2 additional CP-violating phases, Majorana phases

S.M. Bilenky, J. Hosek, S.T.P.,1980; J. Schechter, J.W.F. Valle, 1980; M. Doi, T. Kotani, E. Takasugi, 1981

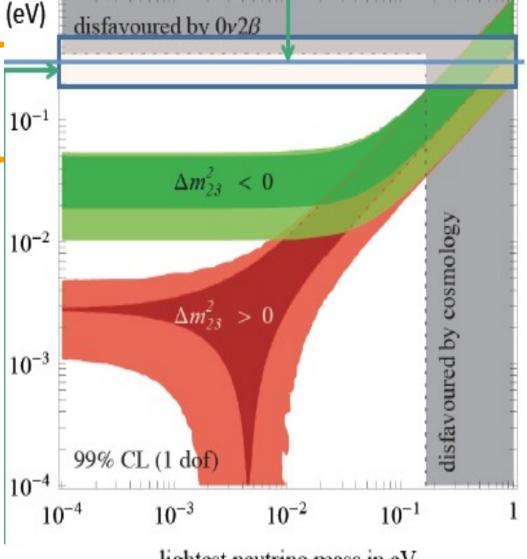


what $0\nu\beta\beta$ measures is < m >:

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle$$
 M(A,Z), M(A,Z) - NME,
$$|\langle m \rangle| = |m_1|U_{e1}|^2 + m_2|U_{e2}|^2 e^{i\alpha_{21}} + m_3|U_{e3}|^2 e^{i\alpha_{31}}|,$$

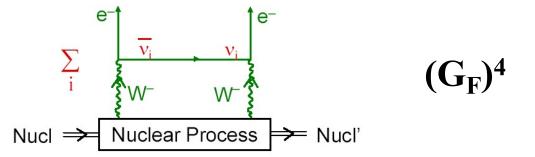


 m_1 m_2 m_3 are physical masses of active neutrinos (I=1/2) which in this case are just the same as in oscillation experiments, and which interacts with the W



lightest neutrino mass in eV





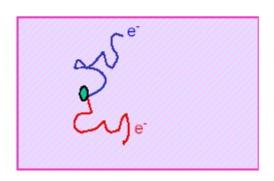
Experimental approach

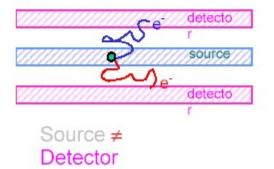
```
Geochemical experiments ^{82}Se = > ^{82}Kr, ^{96}Zr = > ^{96}Mo (?), ^{128}Te = > ^{128}Xe (non confirmed), ^{130}Te = > ^{130}Te Radiochemical experiments ^{238}U = > ^{238}Pu (non confirmed)
```

Direct experiments

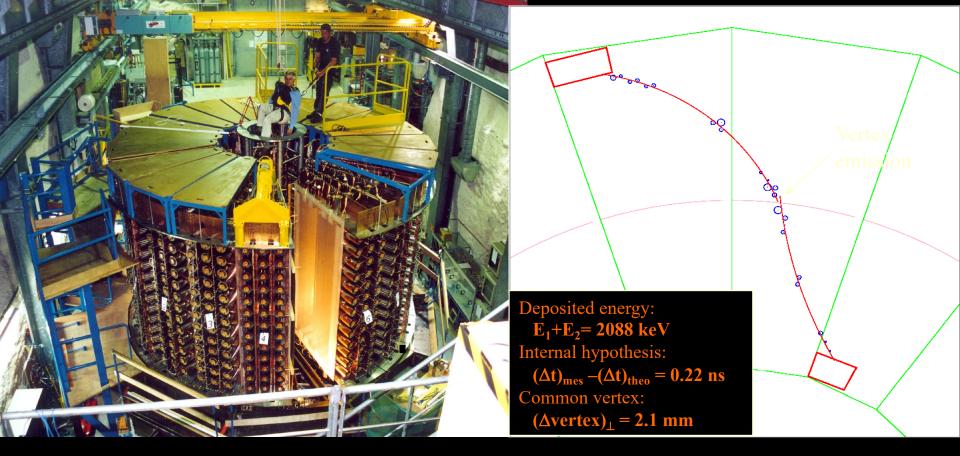
Source = detector (calorimetric)

Source ≠ detector









NEMO

Criteria to select $\beta\beta$ events:

- 2 tracks with charge < 0
- 2 PMT, each > 200 keV
- PMT-Track association
- Common vertex

- Internal hypothesis (external event rejection)
- No other isolated PMT (γ rejection)
- No delayed track (214Bi rejection)

typical 2νββ evenement GIF2004 Alain Blondel



.

Candidate Isotope	Experiment	
⁴⁸ Ca	Candles	
⁷⁶ G e	Gerda, Majorana	
⁸² Se	SuperNemo,Lucifer	
¹³⁰ Te	CUORE	
¹³⁶ Xe	EXO, NEXT, KamLAND-Zen	
¹⁵⁰ Nd	SNO+	

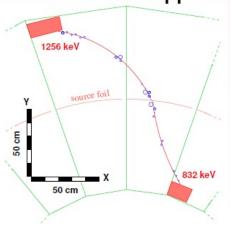


Where they show what they can do:

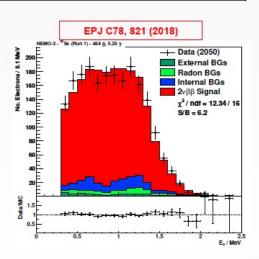
Best results from 2vββ

Isotope	T _{1/2} (10 ¹⁹ yrs)	Experiment	
⁴⁸ Ca	6.4 ± 1.2	NEMO-3	
⁷⁶ Ge	192.6 ± 9.4	GERDA	
⁸² Se	9.4 ± 0.6	NEMO-3	
⁹⁶ Zr	2.35 ± 0.21	NEMO-3	
¹⁰⁰ Mo	0.68 ± 0.05	NEMO-3	
¹¹⁶ Cd	2.74 ± 0.18	NEMO-3/Aurora	
¹³⁰ Te	79 ± 2	CUORE	
¹³⁶ Xe	216.5 ± 6.1	6.1 EXO-200	
¹⁵⁰ Nd	0.93 ± 0.06	0.93 ± 0.06 NEMO-3	

NEMO-3 candidate ββ event



- · Probe nuclear models
 - SSD vs HSD
- Possible experimental access to g_A
- · Ultimate background characterisation
- Sensitive to exotic new physics
 - · (LNV with Majoron, Lorentz violation, boson neutrinos, GF variation etc)





Best results from 0vββ

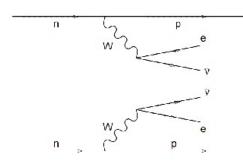
$$T_{1/2}^{0v}$$
 (90% C.L.) = 2.54×10²⁶ y $\left(\frac{\varepsilon \times a}{W}\right)\sqrt{\frac{M \times t}{b \times \Delta E}}$

Isotope, mass	Q _{ββ} , keV	b x ΔE x M, counts/yr	T _{1/2} , yr	<m<sub>v>, eV</m<sub>	Experiment, technique
⁷⁶ Ge, 40kg	2039	0.07	> 0.9 x 10 ²⁶	< 0.11-0.25	GERDA, HPGe
⁸² Se, 5kg	2998	0.4	> 2.4 x 10 ²⁴	< 0.38-0.77	CUPID-0, scintillating bolometers
¹⁰⁰ Mo, 7kg	3034	1.5	> 1.1 x 10 ²⁴	< 0.33-0.62	NEMO-3, tracko-calo
¹³⁰ Te, 200kg	2528	21	> 1.5 x 10 ²⁵	< 0.13-0.50	CUORE, bolometers
¹³⁶ Xe, 380kg	2458	1	> 1.07 x 10 ²⁶	< 0.06-0.16	KamLAND- Zen, doped LS

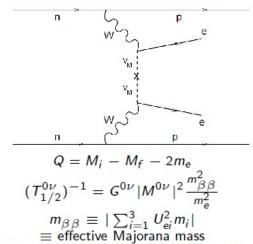
Different techniques reach similar sensitivity with different isotope mass

GERDA motivations

The GERmanium Detector Array experiment is an ultra-low background experiment designed to search for 76 Ge $0\nu\beta\beta$ decay.

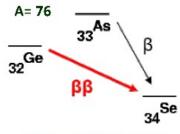


Light Majorana neutrino exchange



Schechter-Valle: $0\nu\beta\beta \Longrightarrow Majorana \nu$

information on the absolute mass scale!



$$Q_{\beta\beta}=2039~{\rm keV}$$

Part of Heidelberg-Moscow Collaboration claimed evidence for $0\nu\beta\beta$ observation of 76 Ge

$$T_{1/2}^{0\nu}=1.19(0.69-4.18) \ imes 10^{25} \ {
m yr} \ (3\sigma \ {
m range})$$
 Phys. Lett. B 586, 198 (2004)

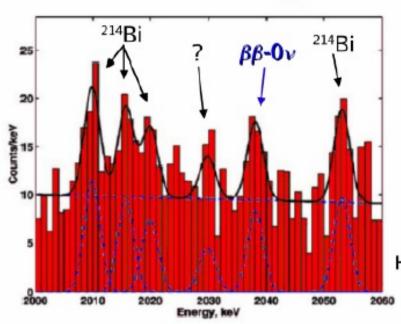
$$T_{1/2}^{0\nu} = 2.23_{-0.31}^{+0.44} \times 10^{25} \text{ yr}$$

Mod.Phys.Lett.A21:1547-
1566,2006)

GERDA first goal: check the HdM claim

Heidelberg-Moscow exp.: evidence for $\beta\beta$ -0 ν of ⁷⁶Ge

- best exploitation of the Ge detector technique proposed by E. Fiorini in 1960
 - ▶ longest running experiment (13 years) with largest exposure (71.7 kg×y)
 - Status-of-the-art for low background techniques and for enriched Ge detectors
 - ▶ reference for all last generation $\beta\beta$ -0 ν experiments



```
1990 – 2003 data, all 5 detectors

exposure = 71.7 kg×y

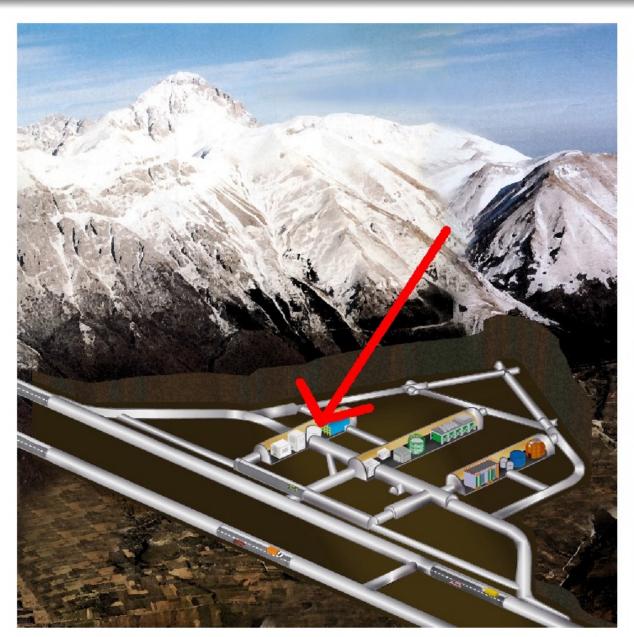
\tau_{y_2}^{0v} = 1.2 \times 10^{25} years

\langle m_{y_2} \rangle = 0.44 eV
```

H.V.Klapdor-Kleingrothaus et al., Phys. Lett. B 586 (2004) 198

- still, community does not fully accept the result, because:
 - ightharpoonup signal is indeed **too faint** (4 σ) to be *blindly* accepted: people still find some weak points in the published analysis
 - presence of not understood peaks around the signal and with similar significance
 - impossibility to check an energy window larger than the published one
- nevertheless any future $\beta\beta$ -0 ν experiment will have to cope with this result

GERDA @ LNGS



The GERDA experiment is hosted in the Hall A of the Gran Sasso Laboratory (INFN)

1400 m of rock 3800 m.w.e. Suppression of $\mu\text{-flux}{>}10^6$



The GERDA setup



Water tank

 $\emptyset = 10 \text{ m}$ h = 8.9 m $V \text{ water} = 590 \text{ m}^3$ The water tank acts as an active Cherenkov veto

Cryostat

 $\emptyset = 4 \text{ m}$ H= 5.88 m Filled by LAr

I Ar

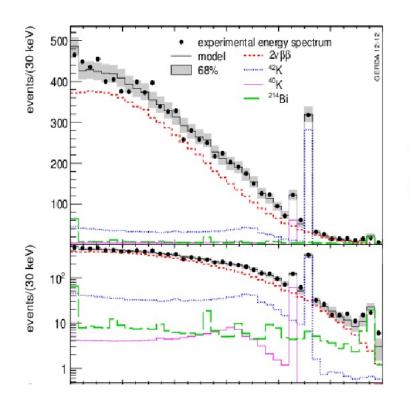
Volume $\sim 64 \text{ m}^3$ T= 88.8 K

Naked detectors in LAr!

 $\mathsf{LAr} \to \mathsf{Passive}$ shielding, Cooling, Active veto detecting scintillation light (Phase II) Detectors are organized in strings - Low mass holders

The current lock system supports 2 arms = 3+1 strings of detectors.

76 Ge $2\nu\beta\beta$ half-life



Binned maximum likelihood

Fit range: 600-1800 keV

Exposure: 5.04 kg·yr

Best fit:

 $2\nu\beta\beta$ 80%

⁴²K 14%

²¹⁴Bi 4%

⁴⁰K 2%

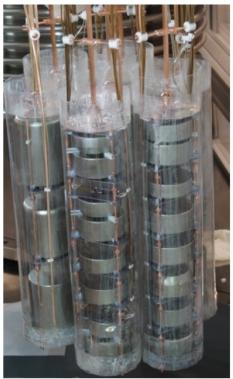
Integrating over all the nuisance parameters:
$$T_{1/2}^{2\nu}=(1.84^{+0.09}_{-0.08}~^{+0.11}_{-0.06}~^{\rm syst}_{\rm syst})\times10^{21}~\rm yr$$

The GERDA Collaboration J.Phys.G 40 (2013) 035110

Start of GERDA Phase II





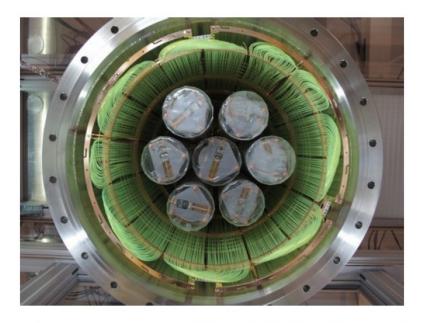




Coaxial layout of detectors

Full Integration of Phase II Array finished in December 2015

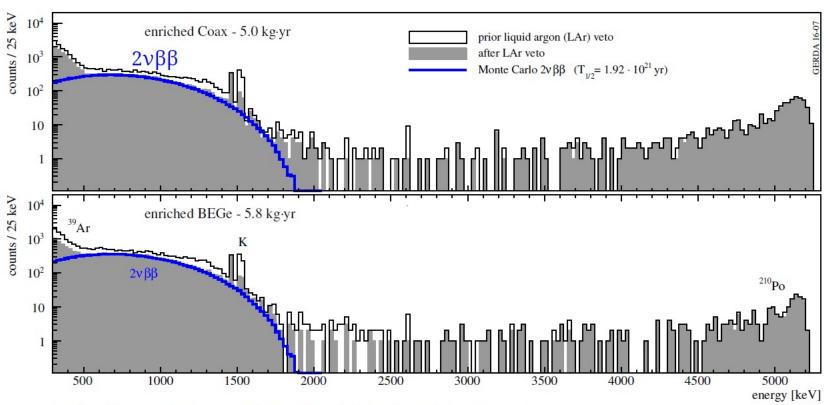
all Ge and LAr detector channels working



Performance of the LAr Veto



• $2\nu\beta\beta$:bck = 96:4 (1.0-1.3 MeV)

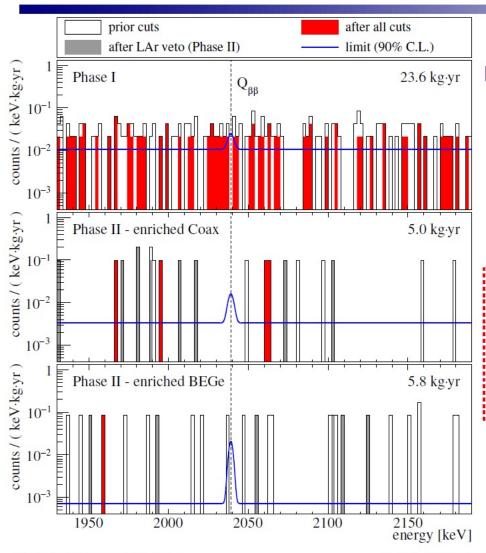


 $2\nu\beta\beta$ MC with T $_{_{1/2}}$ = 1.9 \cdot 10 21 yr from Phase I EPJC 75 (2015) 416

Spectrum at $Q_{\beta\beta}$

Victoria Wagner (MPIK)





Extended unbinned profile likehood:

- flat background in 1930-2190 keV
- signal = Gaussian with mean at $Q_{\beta\beta}$ and standard deviation σ_{E}
- 7 parameters: 6 BI + common T_{1/2}
- best fit for $N_{0v} = 0$

GERDA Phase II

• lower limit $T_{1/2}^{0v} > 5.3 \cdot 10^{25} \text{ yr} +$ with $T_{1/2}^{0v}$ sensitivity $4.0 \cdot 10^{25} \text{ yr} +$ (90 % C.L.)

> ⁺Frequentist approach after Cowan et al., EPJC 71 (2011) 1554

> > Moriond, 24.03.2017

Conclusions

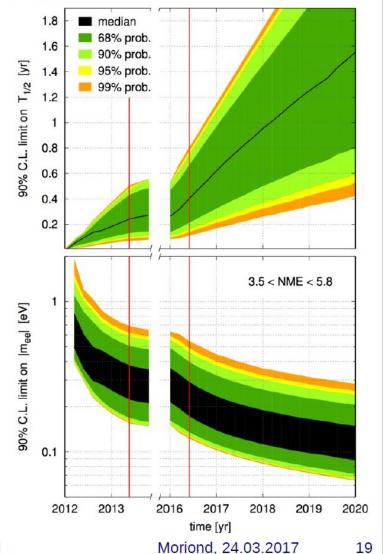


• GERDA sets a new limit on the half-life of $0\nu\beta\beta$ decay of ^{76}Ge

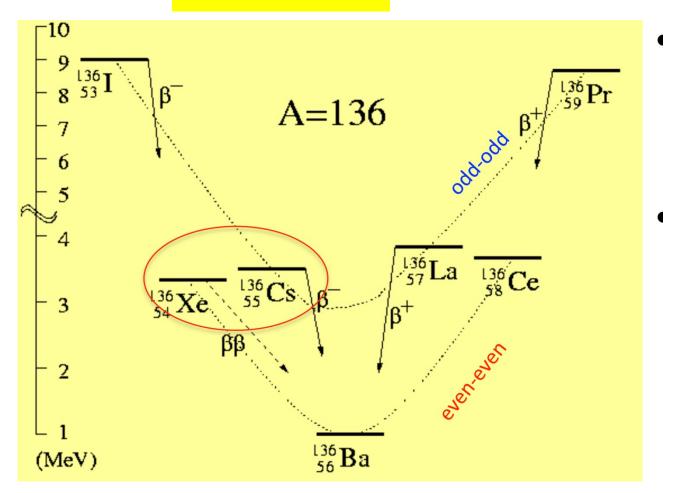
$$T_{1/2}^{0v} > 5.3 \cdot 10^{25} yr @ 90 C.L.$$

$$m_{\beta\beta}{<}(150-330)\,meV$$

- best energy resolution: FWHM = 3.0 keV (4.0 keV) BEGe (Coax) at $Q_{\beta\beta}$
- flat background in ROI
- lowest background at Q_{ββ:}
 10⁻³ counts/ (keV·kg·yr)
 will stay background-free within 100 kg·yr
 - → important ingredients for discovery



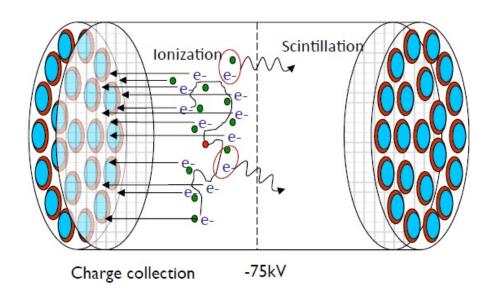
¹³⁶XENON

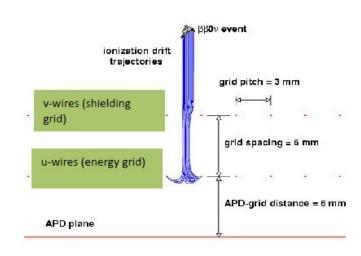


Q-value 2457.9±0.4keV



EXO-200 Time Projection Chamber (TPC) Basics



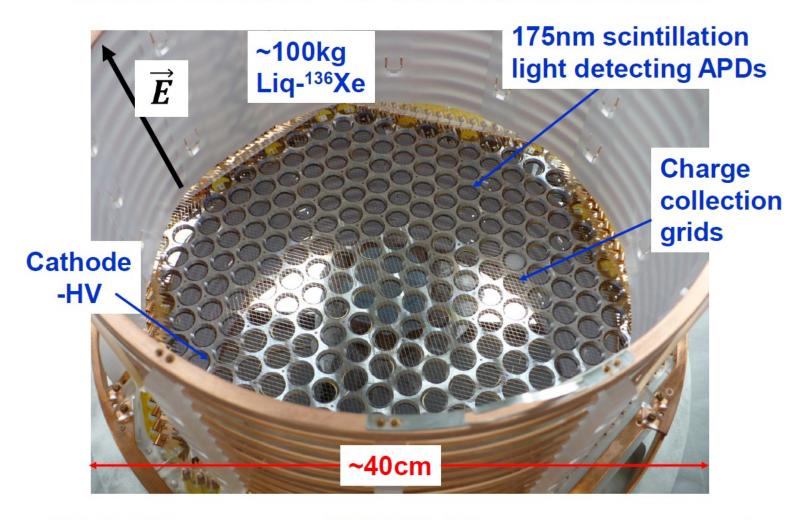


TPC Schematics

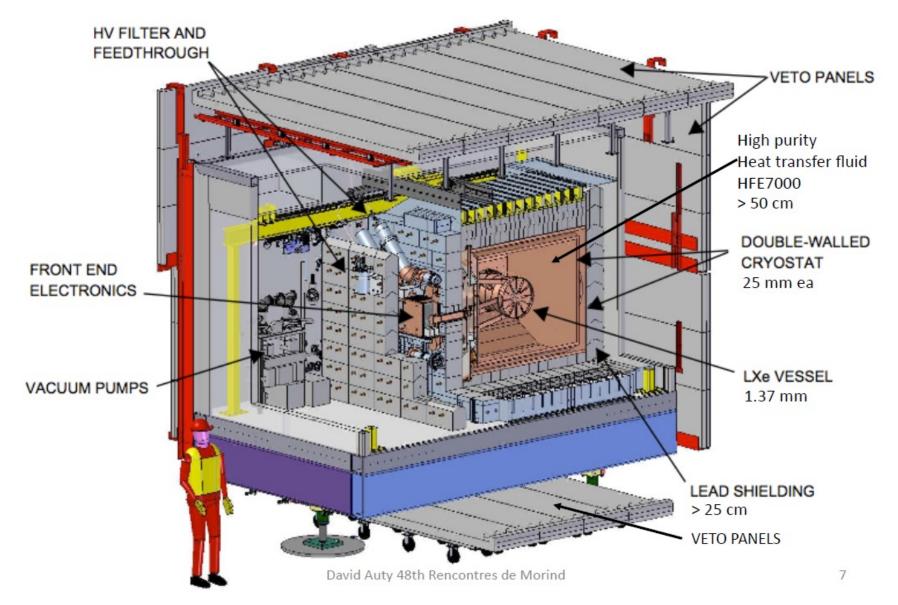
Simulation of Charge Drift

- Two TPC modules with common cathode in the middle.
- APD array observes prompt scintillation for drift time measurement.
 - From which the Z-position can be calculated
- V-position given by induction signal on shielding grid.
- U-position and energy given by charge collection grid.

The EXO-200 liquid ¹³⁶Xe Time Projection Chamber

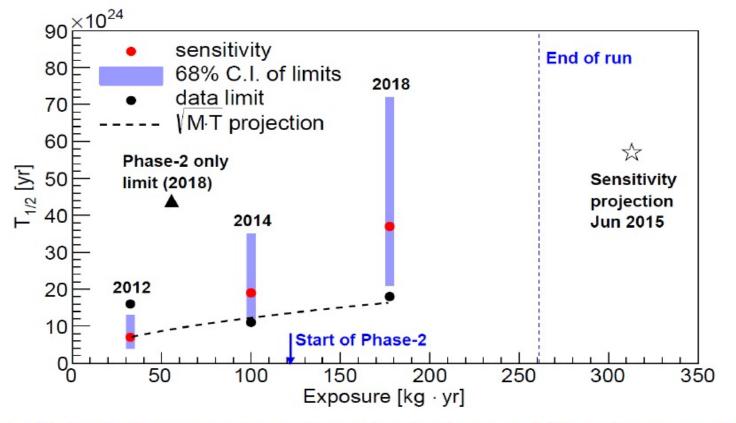


The EXO-200 Detector



A brief history of EXO-200 results

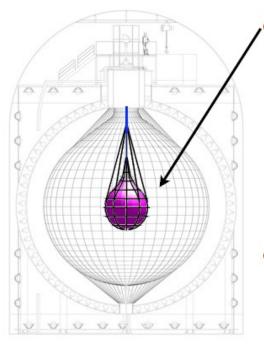
	Sensitivity (yr)	90% CL Limit (yr)	<m<sub>gg> (meV)</m<sub>
PRL 109, 032505 (2012)	0.7x10 ²⁵	1.6x10 ²⁵	FF
Nature 510, 229 (2014)	1.9x10 ²⁵	1.1x10 ²⁵	
PRL 120 072701 (2018)	3.8x10 ²⁵	1.8x10 ²⁵	147-398



The sensitivity is the correct way to estimate the capability of an experiment, because it contains all the information that can be / is used.

If one wants to use the incomplete picture of a single parameter, then the "background index" is ~ (0.11±0.01) / (kg·yr·FWHM)

KamLAND-Zen



Mini-balloon \emptyset =3.08 m installed into center of KamLAND LS, 25 μ m thick nylon film

²³⁸ U	2×10 ⁻¹² g/g		
²³² Th	5×10 ⁻¹² g/g		
⁴⁰ K	6×10 ⁻¹² g/g		
Xe leakage	<0.26kg/yr		

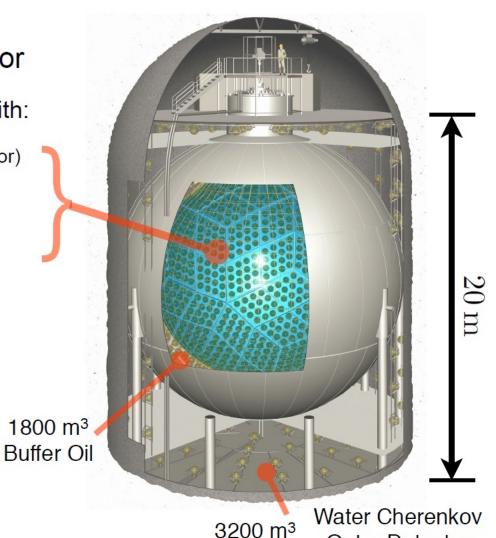
 Filled with 13 tons of Xe-loaded LS (300kg of ¹³⁶Xe):

Component	Chemical formula	Fraction
Decane	$C_{10}H_{26}$	82%(by volume)
Pseudocumene	C_9H_{12}	18%(by volume)
PPO	$\mathrm{C}_{15}\mathrm{H}_{11}\mathrm{NO}$	$2.7~\mathrm{g/l}$
Dissolved Xe	$90.93 \pm 0.05\%$ 136 Xe	2.5% by weight
	$8.89{\pm}0.01\%\ ^{134}\rm{Xe}$	2.570 by weight

 KL-Zen is only ~1% of KamLAND volume, reactor, geoneutrino, supernova watch etc continue in remaining KamLAND LS

KamLAND(-Zen) detector

- 1 kton Scintillation Detector
 - 6.5m radius balloon filled with:
 - 20% Pseudocumene (scintillator)
 - 80% Dodecane (oil)
 - PPO
- 34% PMT coverage
 - ~1300 17" fast PMTs
 - ~550 20" large PMTs
- Water Cherenkov veto
- Operational since 2002

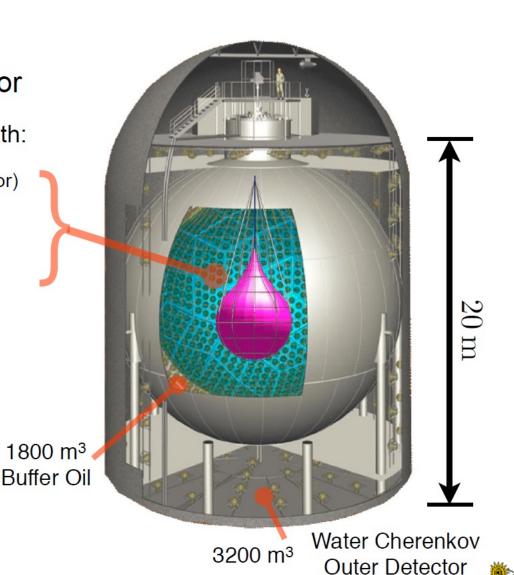


Outer Detector

Neutrino physics -- Alain Blondel

KamLAND(-Zen) detector

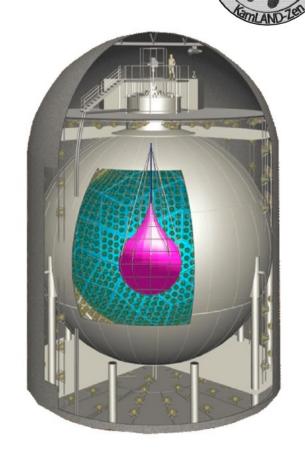
- 1 kton Scintillation Detector
 - 6.5m radius balloon filled with:
 - 20% Pseudocumene (scintillator)
 - 80% Dodecane (oil)
 - PPO
- 34% PMT coverage
 - ~1300 17" fast PMTs
 - ~550 20" large PMTs
- Water Cherenkov veto
- Operational since 2002



KamLAND-Zen advantages & disadvantages

- +Well-understood detector
- +Highly pure, self-shielding environment
- +Large $\beta\beta$ source mass, scalable
- Relatively poor energy resolution
- No particle identification

$$T_{1/2}^{0\nu} \propto \epsilon \frac{a}{A} \sqrt{\frac{Mt}{b\Delta E}}$$



KamLAND-Zen Timeline

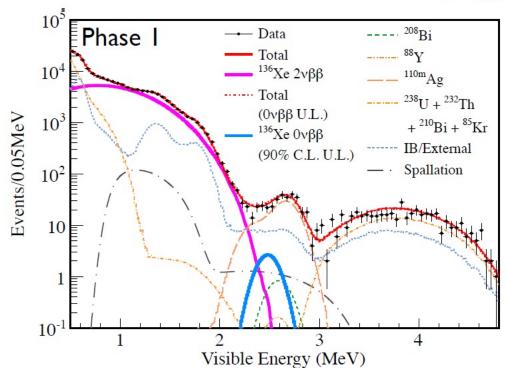


Phase I

Sept 'll:Start 90 kg-yr

$$T_{1/2}^{2\nu} = 2.30 \pm 0.02 \,(\text{stat}) \pm 0.12 \,(\text{sys}) \times 10^{21} \,\text{yr}$$

 $T^{0\nu}_{1/2} > 1.9 \times 10^{25} \,\text{yr} \,(90\% \,\text{CL})$



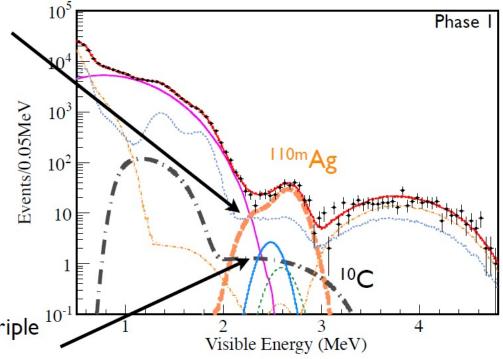
due to Fukushima-I nuclear fallout

Phase I to Phase II Improvements

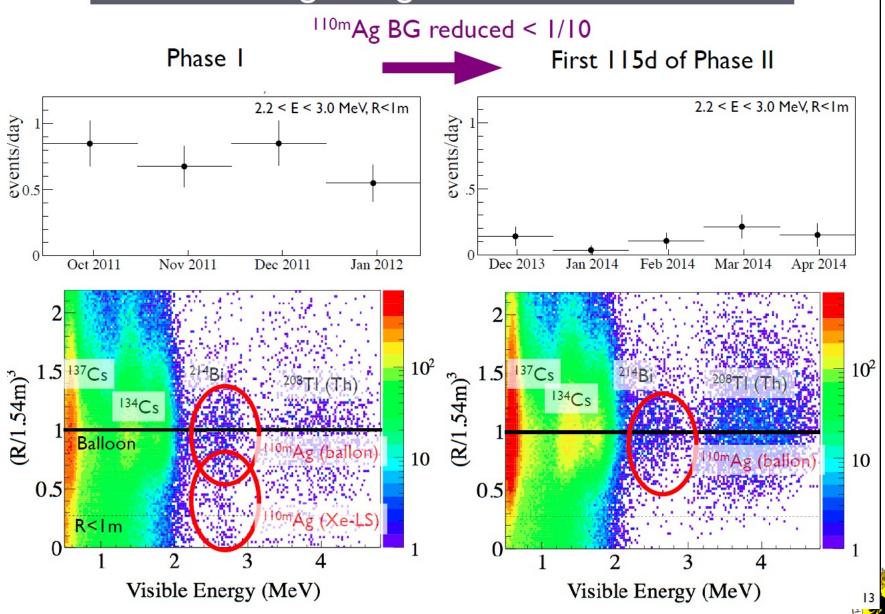


 Remove radioactive impurities with Xe-LS purification

- long distillation campaign + new LS
- Increase the amount of Xe
 - 320kg → 383kg (+20%)
- Spallation cut after muon
 → ¹⁰C rejection
 - muon-neutron-¹⁰C (T=27.8s) triple coincidence

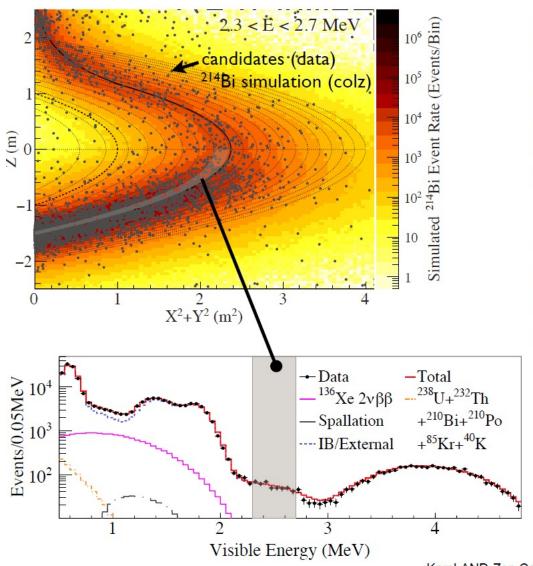


110mAg Background Reduction



Neutrino physics -- Alain Blondel

KLZ-400 Phase 2 Data



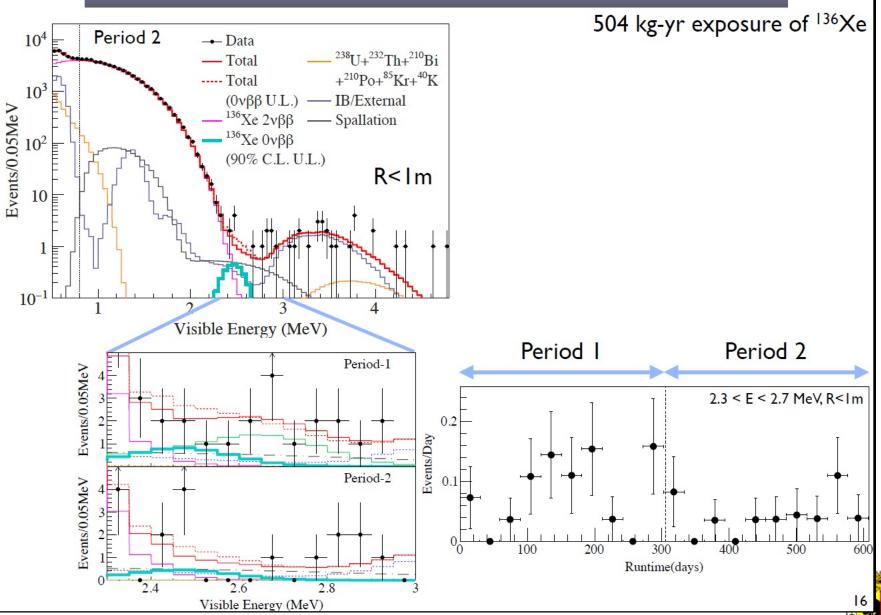
Event Selection:

- i) R < 2m
- ii) $\Delta T > 2$ ms after muons
- iii) no 214 Bi- 214 Po (τ =237 μ s)
- iv) no 212 Bi- 212 Po (T=0.4 μ s)
- v) no reactor neutrinos

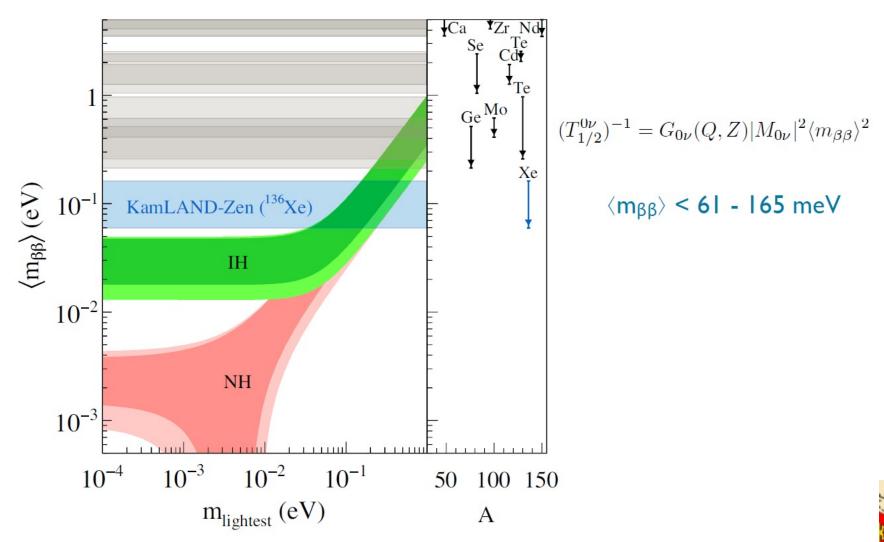
We use 40 equal-volume bins to account for varying BG: Simultaneous spectral fit in all volume bins

KamLAND-Zen Coll, Phys. Rev. Lett. 117, 082503 (2016); arXiv:1605.02889

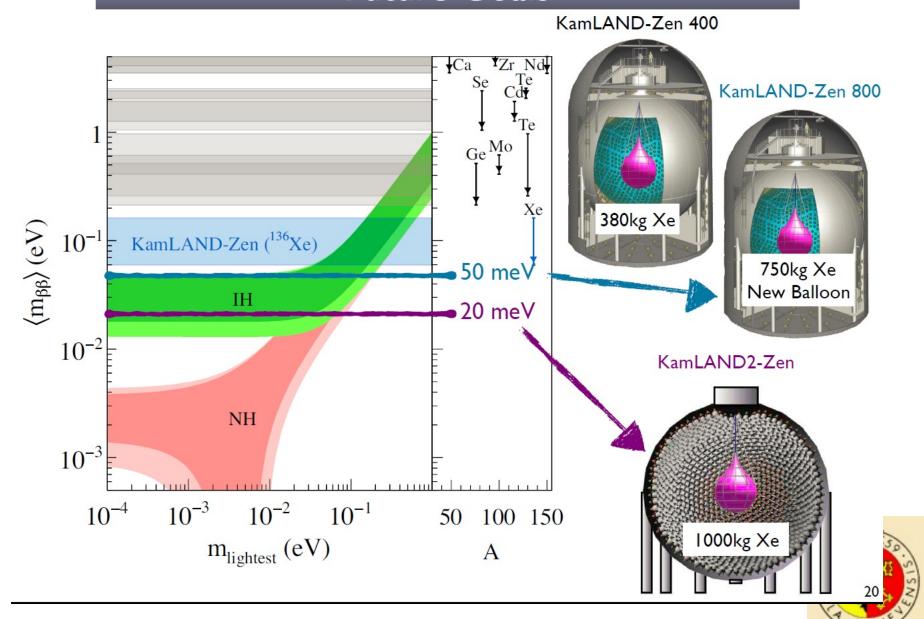
Results for Phase-2



Effective Neutrino Mass



Future Goals



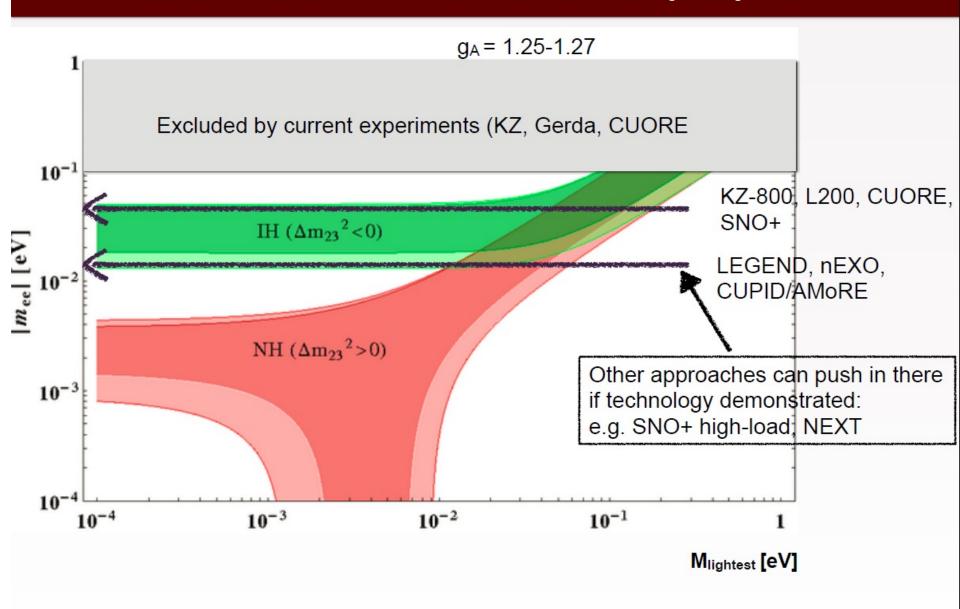
Best results from 0vββ

$$T_{1/2}^{0v}$$
 (90% C.L.) = 2.54×10²⁶ y $\left(\frac{\varepsilon \times a}{W}\right)\sqrt{\frac{M \times t}{b \times \Delta E}}$

Isotope, mass	Q _{ββ} , keV	b x ΔE x M, counts/yr	T _{1/2} , yr	<m<sub>v>, eV</m<sub>	Experiment, technique
⁷⁶ Ge, 40kg	2039	0.07	> 0.9 x 10 ²⁶	< 0.11-0.25	GERDA, HPGe
⁸² Se, 5kg	2998	0.4	> 2.4 x 10 ²⁴	< 0.38-0.77	CUPID-0, scintillating bolometers
¹⁰⁰ Mo, 7kg	3034	1.5	> 1.1 x 10 ²⁴	< 0.33-0.62	NEMO-3, tracko-calo
¹³⁰ Te, 200kg	2528	21	> 1.5 x 10 ²⁵	< 0.13-0.50	CUORE, bolometers
¹³⁶ Xe, 380kg	2458	1	> 1.07 x 10 ²⁶	< 0.06-0.16	KamLAND- Zen, doped LS

Different techniques reach similar sensitivity with different isotope mass

Current Results and Next Generation prospects

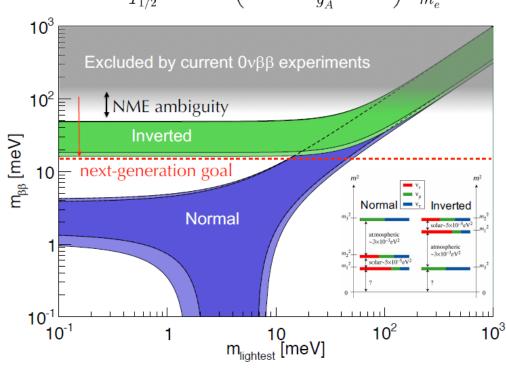


Current Limits and Future Goals

Present best limits:

- 136 Xe (KamLAND-Zen): $T_{1/2} > 10^{26}$ yrs
- 76 Ge (GERDA): $T_{1/2} > 10^{26}$ yrs
- 130 Te (CUORE): $T_{1/2} > 3x10^{25}$ yrs
- Future goal:
 ~2 OoM improvement in T_{1/2}
 - Covers IO
 - Up to 50% of NO
 - Factor of ~few in Λ
 - An aggressive experimental goal

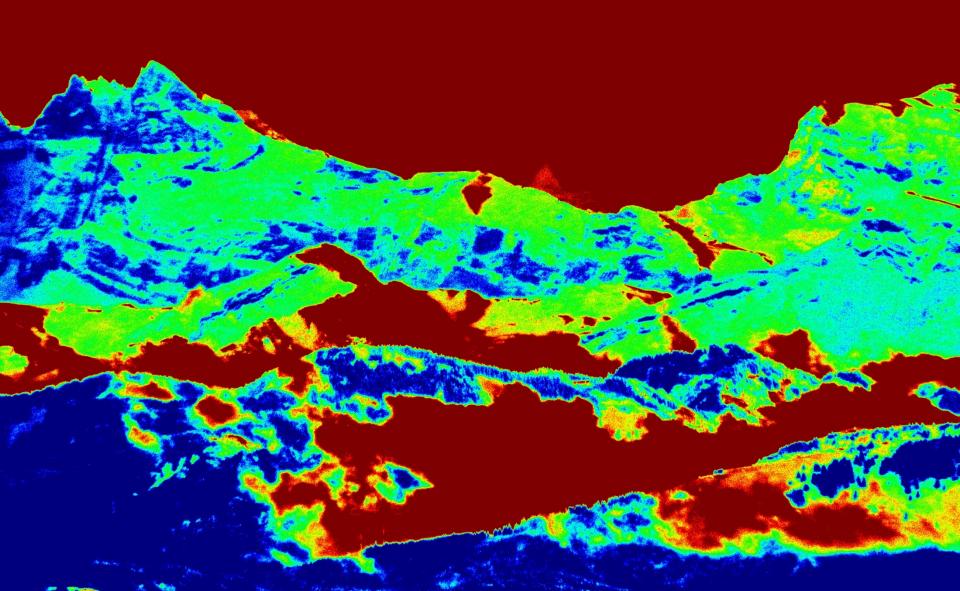
$$\frac{1}{T_{1/2}} = G_{01} g_A^4 \left(M^{0\nu} + \frac{g_\nu^{\text{NN}} m_\pi^2}{g_A^2} M_{\text{cont}}^{0\nu} \right)^2 \frac{m_{\beta\beta}^2}{m_e^2}$$



Detwiler



The Search for the Right-Handed Neutrinos





Share this: f G y + 951







The Nobel Prize in Physics 2015



Photo © Takaaki Kaiita Takaaki Kajita Prize share: 1/2



Oueen's University Arthur B. McDonald

Prize share: 1/2

The Nobel Prize in Physics 2015 was awarded jointly to Takaaki Kajita and Arthur B. McDonald "for the discovery of neutrino oscillations, which shows that neutrinos have mass"

Q | Terms

Copyright © Nobel Media AB 2015



The discovery that neutrino flavours transform (Neutrino Oscillations) was a long process initiated in 1968 and completed in 1998-2001.

→ Neutrinos have mass!

There is no unique way to incorporate this in the Standard Model

It almost certainly implies the existence of

- -- new mass-generation mechanism
- -- new phenomena such as right-handed neutrinos
- possible explanations for the baryon asymmetry of the universe and for dark matter

Neutrino masses? Mixings? Ordering? Majorana mass term? CP violation eV, keV, GeV, TeV, ..., ZeV RH neutrinos?

> This opens a deep field of research for many many years.



Baryon asymmetry of Universe requires:

-- CP violation 3 families of neutrinos

-- fermion number violation Majorana mass term

-- non-equilibrium

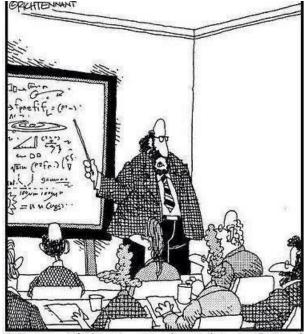
The Big Bang + heavy neutrino decay

Electroweak eigenstates



$$\begin{pmatrix} \mathbf{e} \\ \mathbf{v}_{e} \end{pmatrix} \begin{pmatrix} \mu \\ \mathbf{v}_{\mu} \end{pmatrix}_{\mathsf{L}} \begin{pmatrix} \tau \\ \mathbf{v}_{\tau} \end{pmatrix}_{\mathsf{L}} \qquad (\mathbf{e})_{\mathsf{R}} (\mu)_{\mathsf{R}} (\tau)_{\mathsf{R}} \qquad \mathsf{Q} = -1 \\
(\mathbf{v}_{e})_{\mathsf{R}} (\mathbf{v}_{\mu})_{\mathsf{R}} (\mathbf{v}_{\tau})_{\mathsf{R}} \qquad \mathsf{Q} = 0$$

 $I = 1/2 \qquad \qquad I = 0$



'Along with 'Antimatter,' and 'Dark Matter,' we've recently discovered the existence of 'Doesn't Matter,' which appears to have no effect on the universe whatsoever."

Right handed neutrinos are singlets no weak interaction no EM interaction no strong interaction

can't produce them can't detect them -- so why bother? --

Also called 'sterile'

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Adding masses to the Standard model neutrino 'simply' by adding a Dirac mass term (Yukawa coupling)

$$m_D \nu_L \overline{\nu}_R \qquad \qquad \underset{m_D}{\underline{\overleftarrow{\nu}_L}} \nu_R \qquad \qquad \underset{m_D}{\underline{\overleftarrow{\nu}_R}} \quad \underset{m_D}{\underline{\overleftarrow{\nu}_L}} \vee_R$$

implies adding a right-handed neutrino (new particle)

No SM symmetry prevents adding then a term like

$$m_{\mathrm{M}} \overline{v_{\mathrm{R}}}^{\mathrm{c}} v_{\mathrm{R}}$$
 $\frac{(\overline{v})_{\mathrm{R}}}{m_{\mathrm{L}}} \times v_{\mathrm{L}}}{m_{\mathrm{L}}}$

and this simply means that a neutrino turns into a antineutrino

It is perfectly conceivable ('natural'?) that both terms are present.

Dirac mass term + Majorana mass term → 'see-saw'

B. Kayser, the physics of massive neutrinos (1989)

Mass eigenstates



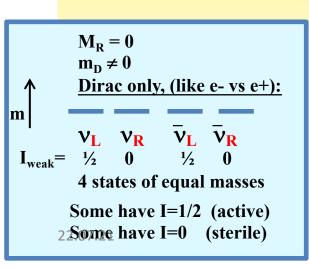
See-saw type I:

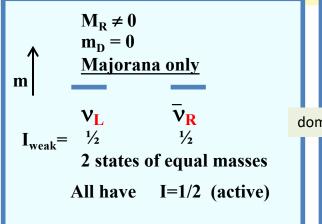
$$\mathcal{L} = \frac{1}{2} (\bar{\nu}_L, \, \bar{N}_R^c) \left(\begin{array}{cc} 0 & m_D \\ m_D^T & M_R \end{array} \right) \left(\begin{array}{c} \nu_L^c \\ N_R \end{array} \right) \qquad \begin{array}{c} \mathbf{M_R} \neq \mathbf{0} \\ \mathbf{m_D} \neq \mathbf{0} \\ \underline{\mathbf{Dirac + Majorana}} \\ \mathbf{mass terms} \end{array}$$

$$\tan 2\theta = \frac{2\,m_D}{M_R - 0} \qquad \ll 1$$

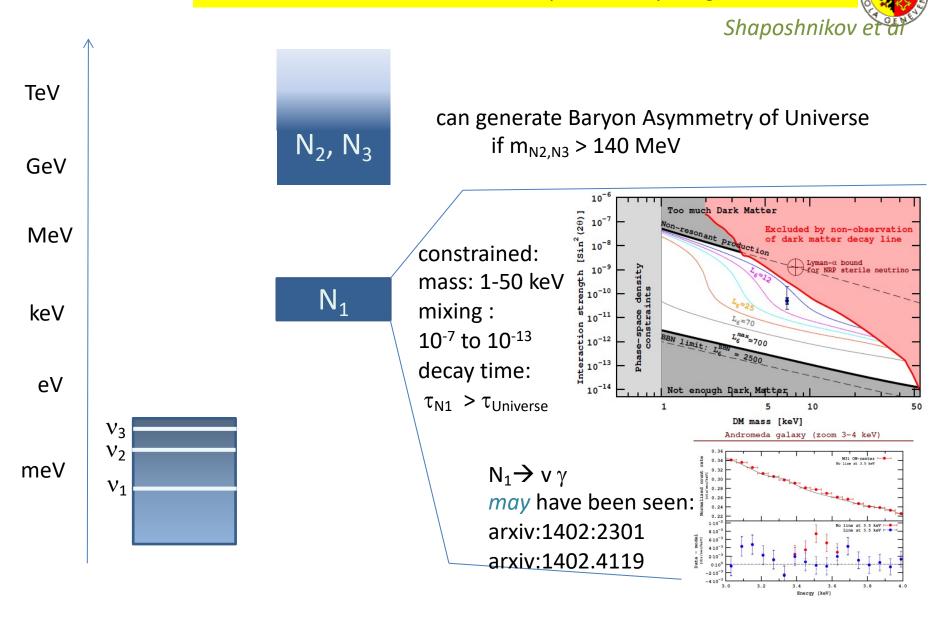
$$m_\nu = \frac{1}{2} \left[(0 + M_R) - \sqrt{(0 - M_R)^2 + 4\,m_D^2} \right] \qquad \simeq -m_D^2/M_R$$

$$M = \frac{1}{2} \left[(0 + M_R) + \sqrt{(0 - M_R)^2 + 4\,m_D^2} \right] \qquad \simeq M_R$$
 general formula if $m_D \ll M_R$





There even exists a scenario that explains everything: the vMSM



Manifestations of heavy right handed neutrinos

one family see-saw :

$$\theta \approx (m_D/M)$$

 $m_v \approx \frac{m_D^2}{M}$
 $m_N \approx M$
 $|U|^2 \propto \theta^2 \approx m_v/m_N$

$$v = vL \cos\theta - N^c_R \sin\theta$$

$$N = N_R \cos\theta + v_L^{c} \sin\theta$$

what is produced in W, Z decays is:

$$v_L = v \cos\theta + N \sin\theta$$

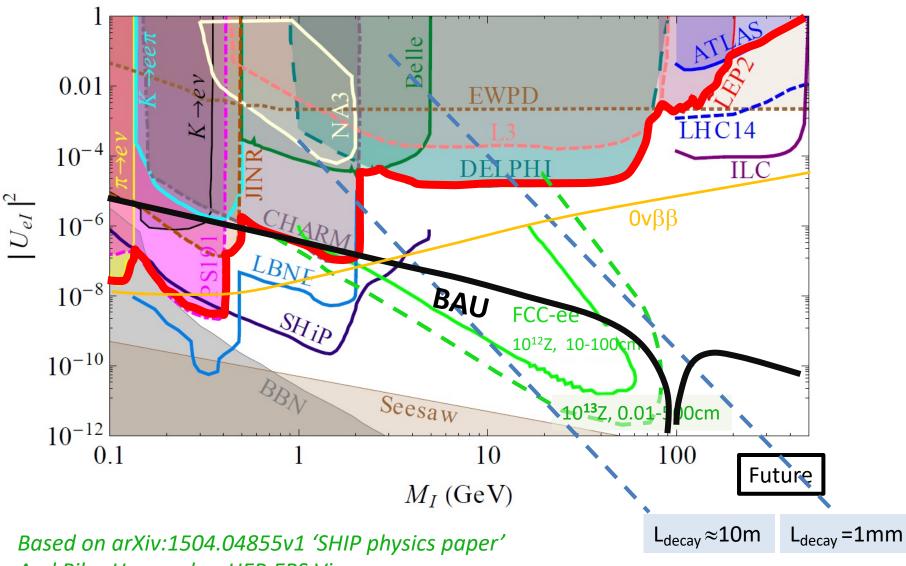
- -- mixing with active neutrinos leads to various observable consequences
 - -- if very light (eV), possible effect on neutrino oscillations (see talks later today)
 - -- if in keV region (dark matter), monochromatic photons from galaxies with $E=m_N/2$
- -- possibly measurable effects at High Energy

If N is heavy it will decay in the detector (not invisible)

- → PMNS matrix unitarity violation and deficit in Z «invisible» width
- \rightarrow Higgs, Z, W visible exotic decays H $\rightarrow v_i \ \overline{N}_i$ and Z $\rightarrow v_i \ \overline{N}_i$, W-> I_i \overline{N}_i
- \rightarrow also in K, charm and b decays via W*-> $I_i \pm N$, N $\rightarrow I_j \pm N$ with any of six sign and lepton flavour combination
- \rightarrow violation of unitarity and lepton universality in Z, W or τ decays
- -- etc... etc...
- -- Couplings are very small $(m_v/m_{
 m N})$ (but who knows?) and generally seem out of reach at high energy colliders.



Present limits



And Pilar Hernandez, HEP-EPS Vienna

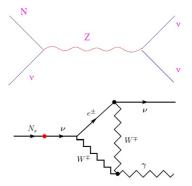
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Search Processes (I)



m_N Below m_{π} :

 $N \rightarrow 3\nu$; $N \rightarrow \nu \gamma$ w $E_{\gamma} = m_N/2$

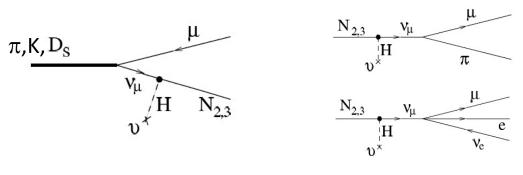


$$au_{N_1} = 10^{14}\,\mathrm{years}\left(rac{10\,\mathrm{keV}}{M_N}
ight)^5 \left(rac{10^{-8}}{ heta_1^2}
ight)$$

Long life, dark matter candidate Equilibrium with neutrinos produced in the stars

→ Search for gamma emission line (such as 3.5 keV line)
Drewes et al; arXiv:1602.04816v1

Meson decay (π ,K: neutrino beams) examples:



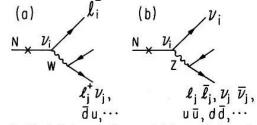


FIG. 2. Typical decays of a neutral heavy lepton via (a) charged current and (b) neutral current. Here the lepton l_i

$$L \approx \frac{3}{|U|^2 \left(m_{\nu_m} (\text{GeV}/c^2)\right)^6} \times \frac{P_{\nu}}{45 \text{GeV}/c}$$

Decay via W gives at least two charged particles, and amounts to ~60% of decays.

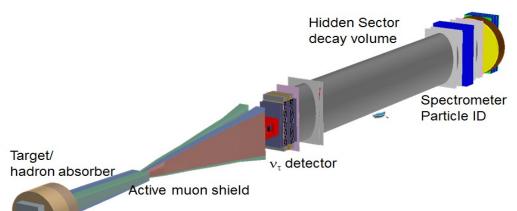
Searches for long lived decays in neutrino beams PS191, NuTeV, CHARM; SHIP and DUNE proposals



Experiment	PS191	NuTeV	CHARM	SHiP
Proton energy (GeV)	19.2	800	400	400
Protons on target $(\cdot 10^{19})$	0.86	0.25	0.24	20
Decay volume (m^3)	360	1100	315	1780
Decay volume pressure (bar)	1 (He)	1 (He)	1 (air)	$10^{-6} (air)$
Distance to target (m)	128	1400	480	80-90
Off beam axis (mrad)	40	0	10	0

Next generation heavy neutrino search experiment SHIP

- -- focuses on neutrinos from charm to cover 0.5 2 GeV region
- -- uses beam dump to reduce background from neutrino interactions from pions and Kaons and bring the detector as close as possible to source.
- -- increase of beam intensity and decay volume status: proposal, physics report and technical report exist. R&D phase approved at CERN



arXiv:1504.04855 arXiv:1504.04956

13.03.2016







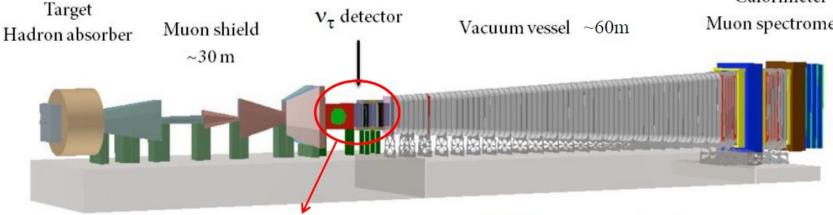


deflect muons from 2ry meson decay ~ 35m long, 1.7 T magnet

PID Energy measure

Muon spectrometer

Calorimeter



Neutrino detector

Hidden particle detector

 $\sim 150 \text{ m}$

Hadron absorber eliminate 2ry mesons

~ 5m Fe

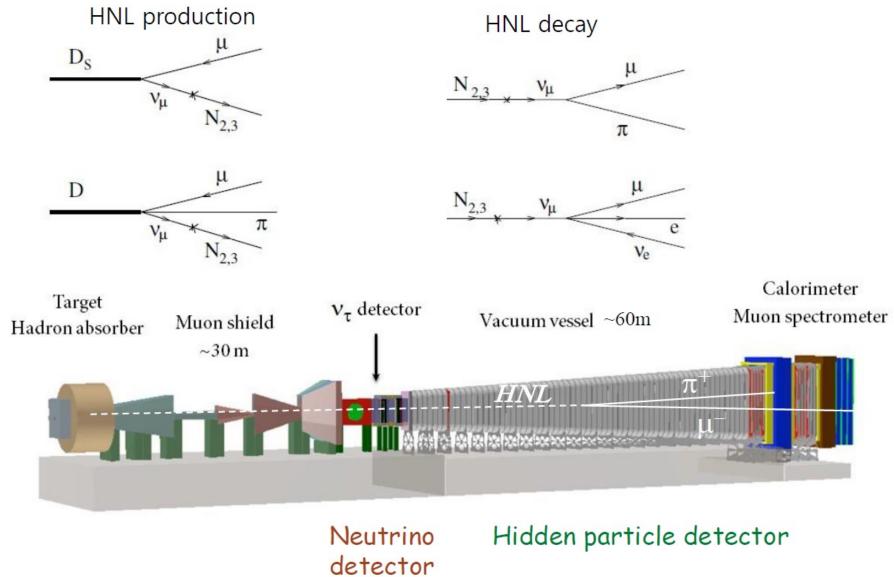
Nuclear Emulsion

Tau-neutrino physics LDM search

Vacuum decay vessel

~60 m long evacuated decay vessel surrounded by liquid scintillator veto system



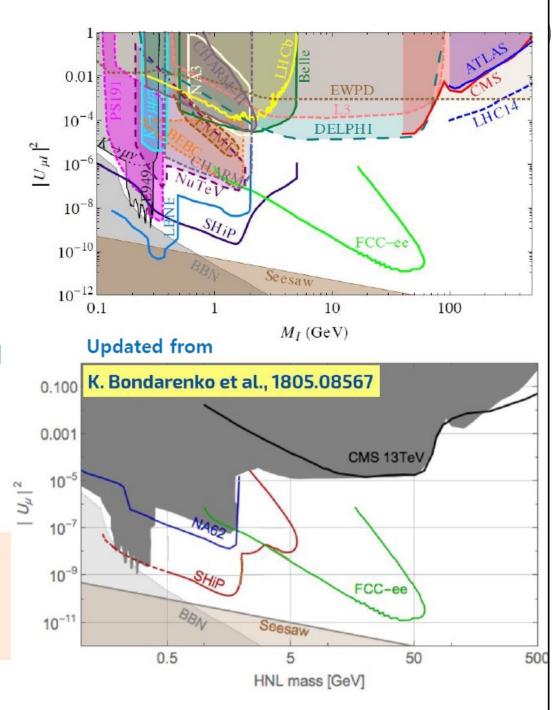


HNL sensitivity

Cosmologically interesting region at low couplings

- m_{HNL} < m_b
 SHiP will have much better sensitivity than LHCb or Belle2
- m_b < m_{HNL} < m_Z
 FCC-ee, improvements expected from ATLAS/CMS
- m_{HNL} > m_z targeted by ATLAS/CMS at HL-LHC

At $m_{HNL} = 1$ GeV and $U^2 = 10^{-8}$ (50 x lower than present limit), SHiP will see more than 1,000 fully reconstructed events.

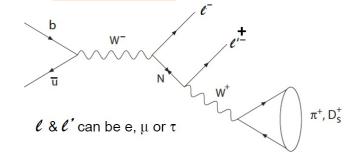


Processes (II)

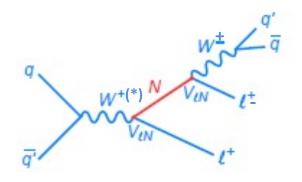


Search for heavy right-handed neutrinos in collider experiments.

B factories

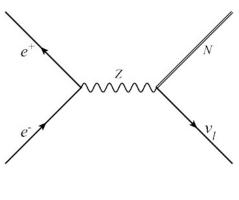


Hadron colliders

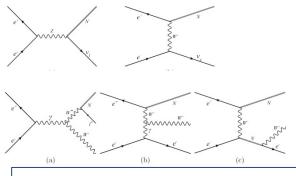


Z factory (FCC-ee, Tera-Z)

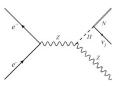
arXiv:1411.5230



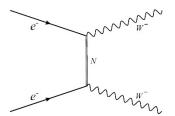
HE Lepton Collider (LEP2, CEPC, CLIC, FCC-ee, ILC, μμ)



Phys. Rev. D 92, 075002 (2015) arXiv:1503.05491



E. $e^-e^- \rightarrow W^-W^-$



Searches for heavy neutrinos v_h in B decays



-- BELLE Phys. Rev. D. 87, 071102 (2013), arXiv:1301.1105

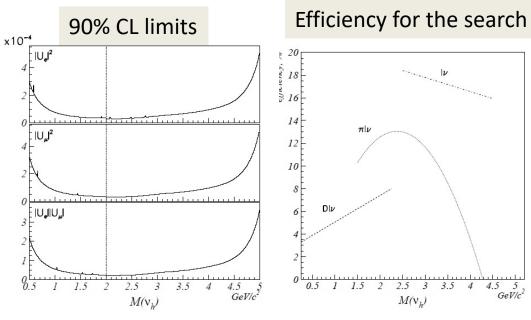
 $7.8 \cdot 10^8$ B mesons at Y_{4s} !

Search for $\ell_2 + (\ell_1 \pi)$, where ℓ_1 and π have **opposite charge and displaced vertex** for M(v_h) =1GeV/c2 and $|U_e|^2 = |U_{\mu}|^2 = 10^{-4}$ the flight length is $c\tau \simeq 20$ m.

 \rightarrow charge and flavour of $\ell_2\ell_1$ can be **any combination of e**, μ , + **or** - because the heavy neutrino is assumed to be Majorana. (If Dirac fermion, -> opposite charges only).

anded Neu

A few signal events, no 'peak'.



Scope for 10-100x improvement at SuperKEKb

LHCb collaboration, PRL 112, 131802 (2014)

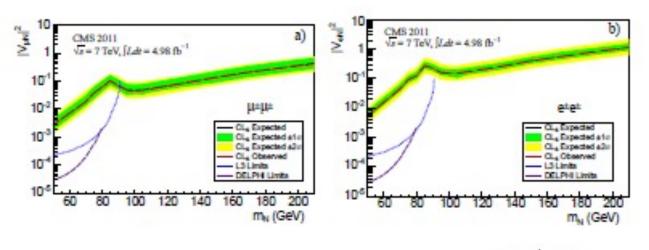
 $\mathcal{B}(B^- \to \pi^+ \mu^- \mu^-) < 4.0 \times 10^{-9} \text{ at } 95\%$

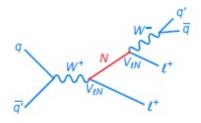
Scope for much improvement at 13TeV&HL-LHC!

Neutrino mass [MeV]

CMS search for same sign muon pairs or electron pairs at the LHC

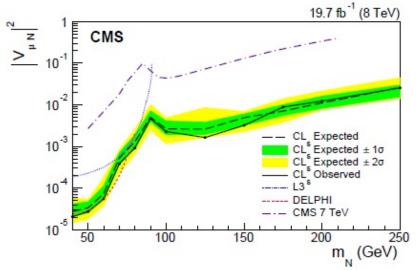






CMS arXiv:1207.6079.

arXiv:1501.05566

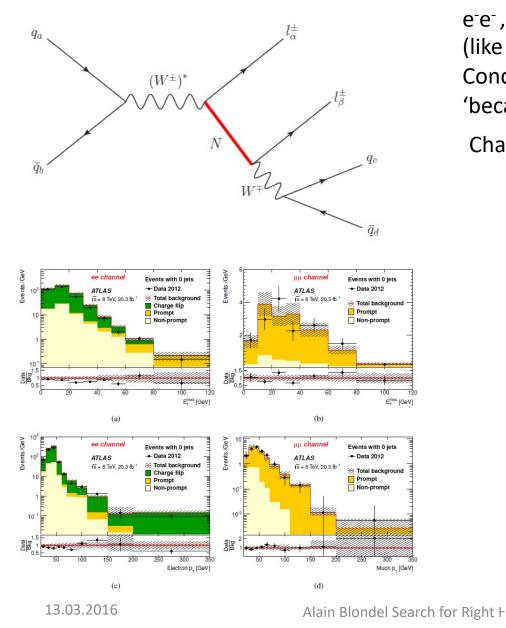


Begin to match/superseed the DELPHI limit.

limits at $|U|^2 \sim 10^{-2-5}$ level

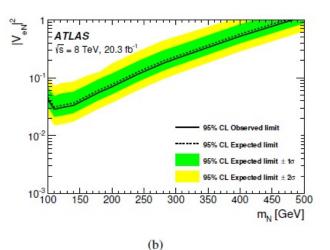
22.07.21

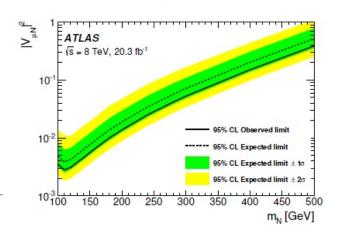
ATLAS search for Heavy Neutrinos at LHC JHEP07(2015)162 arXiv:1506.060



e⁻e⁻, e⁺e⁺, μ ⁻ μ ⁻, μ ⁺ μ ⁺ final states (like sign, like flavour leptons) Concentrates on m_N>100 GeV 'because <100 GeV excluded by LEP'

Charge flip significant bkgd for ee channel





LHC prospects



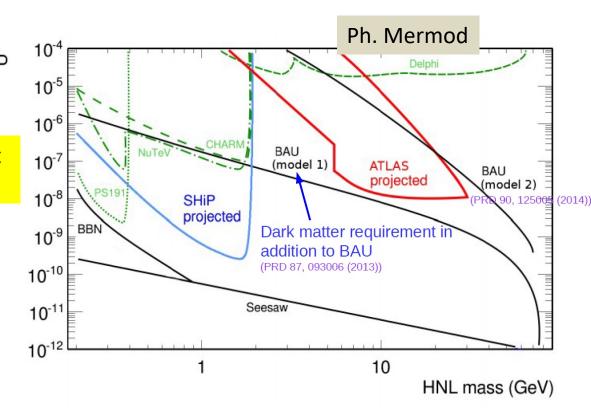
~109 vs from W decays in ATLAS and CMS with 25 fb-1 @8 TeV

Signals of RH neutrinos with mass \leq m_W could be visible if mixing angle O(10^{-7,8})

The keys for that region of phase space

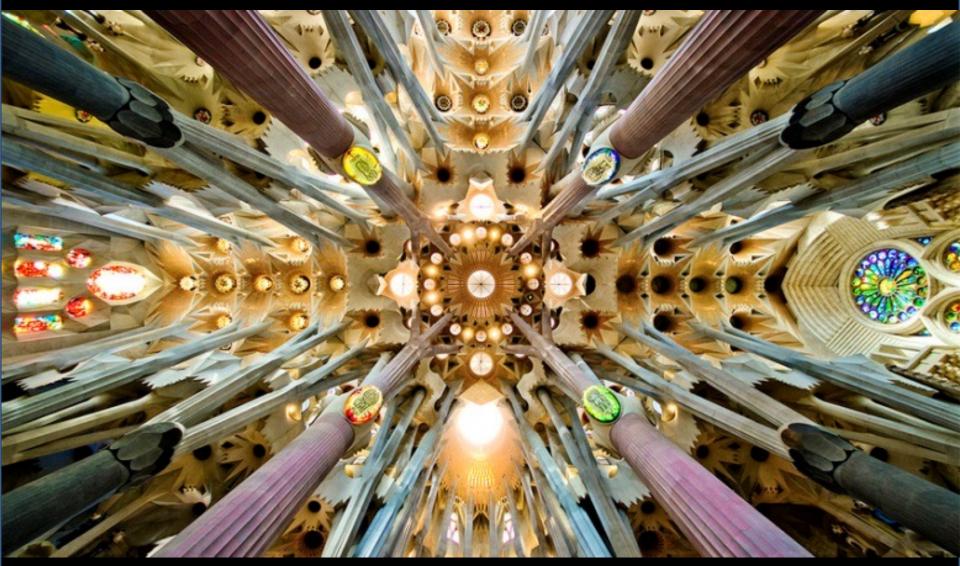
- -- require **displaced vertex**
- -- allow leptons of different charge and flavour
- -- constrain to W mass.

Hope for considerable improvement in W decays at LHC!



13.03.2016 Ala

Heavy Neutrino searches at Future Circular Colliders



The Future Circular Colliders CDR and cost review Q4 2018 for ESU

International collaboration to Study Colliders fitting in a new ~100 km infrastructure, fitting in the *Genevois*

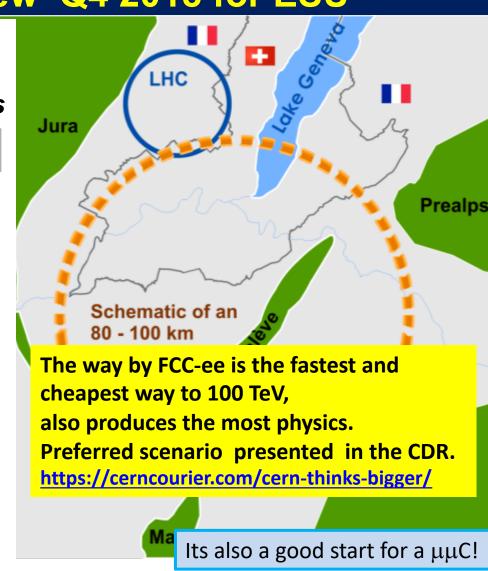
- Ultimate goal: ≥16 T magnets
 ≥100 TeV pp-collider (FCC-hh)
- → defining infrastructure requirements

Two possible first steps:

- e⁺e⁻ collider (FCC-ee)
 High Lumi, E_{CM} =90-400 GeV
- HE-LHC 16T ⇒ 27 TeV in LEP/LHC tunnel

Possible addition:

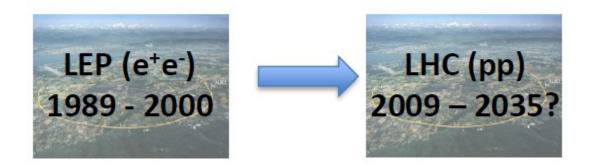
• p-e (FCC-he) option







27km tunnel



M. Aleksa

The next step: 100km tunnel



a 10-20 TeV muon collider using the 45 GeV stored e+ as LEMMA SOURCE?

FCC data taking starts at the end of HL-LHC



The Conceptual Design Report for the FCC was published 15 January 2019

Vol1 Physics

Vol2 FCC-ee

Vol3 FCC-hh and eh

Vol4 HE-LHC

https://fcc-cdr.web.cern.ch/

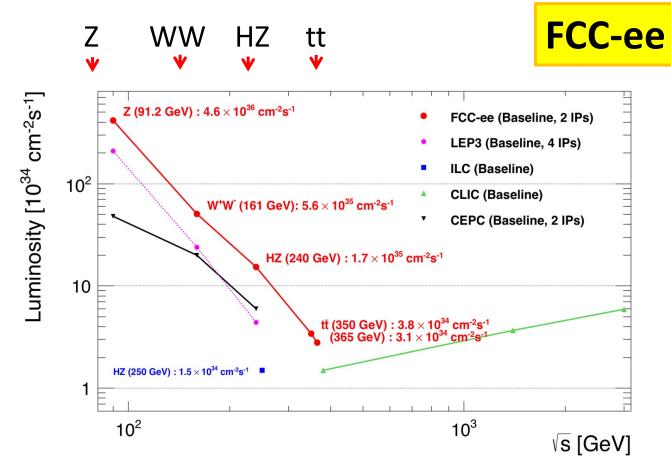
where can also be found the contributions to the European Strategy

A public presentation of the main results was given on 4-5 March

https://indico.cern.ch/event/789349/

what follows is based on slides presented at the meeting





Event statistics:

 $\begin{array}{lll} \text{Z peak} & \text{E}_{\text{cm}}: \ 91 \ \text{GeV} \\ \text{WW threshold} & \text{E}_{\text{cm}}: \ 161 \ \text{GeV} \\ \\ \hline \text{ZH threshold} & \text{E}_{\text{cm}}: \ 240 \ \text{GeV} \\ \hline \text{tt threshold} & \text{E}_{\text{cm}}: \ 350 \ \text{GeV} \\ \end{array}$

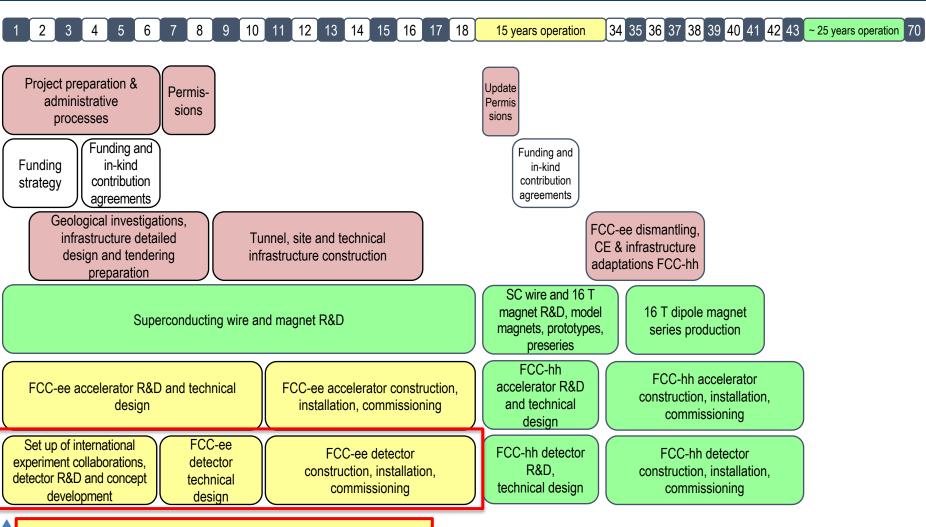
 $5\ 10^{12}\ e+e- \rightarrow Z$ LEP x 10^5 $10^8\ e+e- \rightarrow WW$ LEP x 2.10^3 $10^6\ e+e- \rightarrow ZH$ Never done $10^6\ e+e- \rightarrow t\bar{t}$ Never done

E_{CM} errors: **LEP x 10**⁵ 100 keV **LEP x 2.10**³ 300 keV **Never done** 2 MeV

5 MeV



FCC integrated project technical timeline



work is cut out for physics and detectors

70 years seems like a long time!

(indirect) Effect of right handed neutrinos on EW precision observables

TO E NEW YORK

The relationship $|U|^2 \propto \theta^2 \approx m_v / m_N$ is valid for one family see-saw. For two or three families the mixing can be larger (*Shaposhnikov*) Antush and Fisher have shown that a slight # in Majorana mass can generate larger mixing between the left- and right-handed neutrinos. Worth exploring.

 $\langle v_L = v \cos\theta + N \sin\theta \rangle \rightarrow (\cos\theta)^2$ becomes parametrized as 1+ $\varepsilon_{\alpha\beta}$ ($\varepsilon_{\alpha\alpha}$ is negative) the coupling to light 'normal' neutrinos is typically reduced.

In the G_F , M_Z α_{QED} scheme, G_F (extracted from $\mu \rightarrow e \nu_e \nu_\mu$) and g should be *increased* This leads to *correlated* variations of all predictions upon e or mu neutrino mixing. The 'number of neutrinos' and tau decays are specifically sensitive to the tau-neutrino mixing.

Prediction in MUV	Prediction in the SM	Experiment
$[R_{\ell}]_{\rm SM} (1 - 0.15(\varepsilon_{ee} + \varepsilon_{\mu\mu}))$	20.744(11)	20.767(25)
$[R_b]_{\rm SM} (1 + 0.03(\varepsilon_{ee} + \varepsilon_{\mu\mu}))$	0.21577(4)	0.21629(66)
$[R_c]_{\rm SM} (1 - 0.06(\varepsilon_{ee} + \varepsilon_{\mu\mu}))$	0.17226(6)	0.1721(30)
$\left[\sigma_{had}^{0}\right]_{SM} \left(1 - 0.25(\varepsilon_{ee} + \varepsilon_{\mu\mu}) - 0.27\varepsilon_{\tau}\right)$	41.470(15) nb	41.541(37) nb
$[R_{inv}]_{SM} (1 + 0.75(\varepsilon_{ee} + \varepsilon_{\mu\mu}) + 0.67\varepsilon_{\tau})$	5.9723(10)	5.942(16)
$[M_W]_{\rm SM}(1-0.11(\varepsilon_{ee}+\varepsilon_{\mu\mu}))$	80.359(11) GeV	80.385(15) GeV
$[\Gamma_{\text{lept}}]_{\text{SM}}(1 - 0.59(\varepsilon_{ee} + \varepsilon_{\mu\mu}))$	83.966(12) MeV	83.984(86) MeV
$[(s_{W,\text{eff}}^{\ell,\text{lep}})^2]_{\text{SM}}(1+0.71(\varepsilon_{ee}+\varepsilon_{\mu\mu}))$	0.23150(1)	0.23113(21)
$[(s_{W,\text{eff}}^{\ell,\text{had}})^2]_{\text{SM}}(1+0.71(\varepsilon_{ee}+\varepsilon_{\mu\mu}))$	0.23150(1)	0.23222(27)

Table 1: Experimental results and SM predictions for the EWPO, and the modification in the MUV scheme, to first order in the parameters $\varepsilon_{\alpha\beta}$. The theoretical predictions and experimental values are taken from Ref. [16]. The values of $(s_{W,\text{eff}}^{\ell,\text{lep}})^2$ and $(s_{W,\text{eff}}^{\ell,\text{had}})^2$ are taken from Ref. [17].

DIRECT Heavy Neutrino production in Z decays



Production:

$$BR (Z^{0} \to \nu_{m} \overline{\nu}) = BR (Z^{0} \to \nu \overline{\nu}) |U|^{2} \left(1 - \frac{m_{\nu_{m}}^{2}}{m_{Z^{0}}^{2}}\right)^{2} \left(1 + \frac{1}{2} \frac{m_{\nu_{m}}^{2}}{m_{Z^{0}}^{2}}\right)^{2}$$

multiply by 2 for anti neutrino and add contributions of 3 neutrino species (with differen

Decay

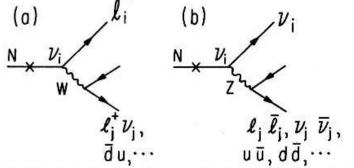


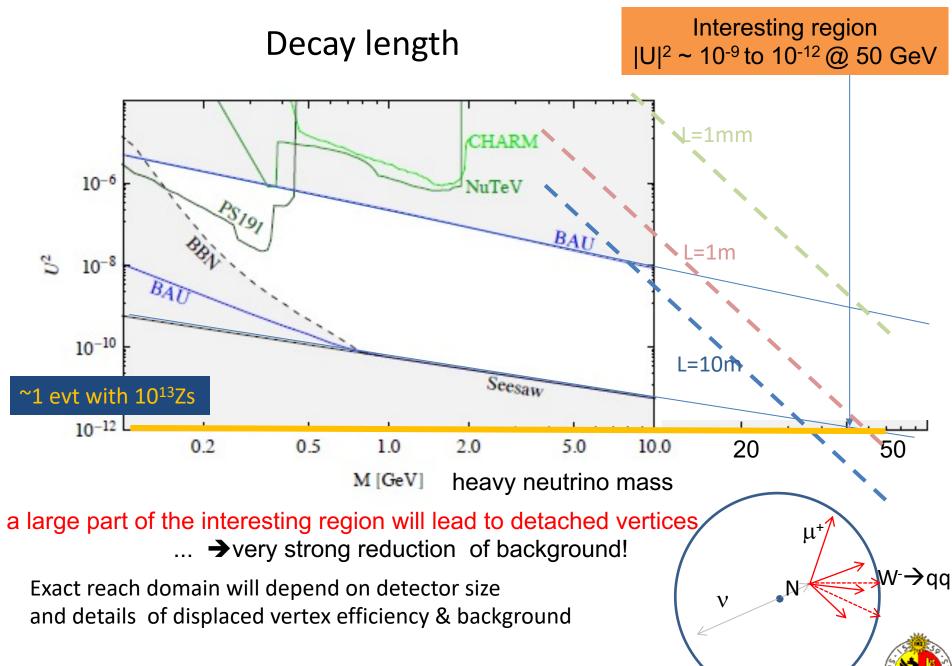
FIG. 2. Typical decays of a neutral heavy lepton via (a) charged current and (b) neutral current. Here the lepton l_i denotes e, μ , or τ .

Decay length:

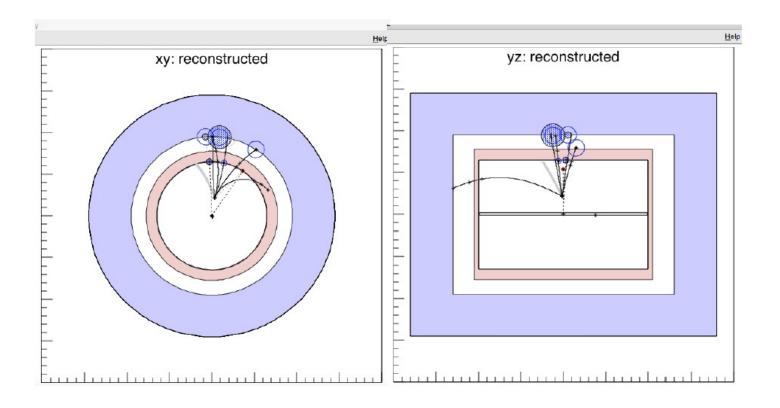
$$L \approx \frac{3 \text{ cm}}{|U|^2 \left(m_{\nu_m} (\text{GeV}/c^2)\right)^6}$$

NB CC decay always leads to ≥ 2 charged tracks

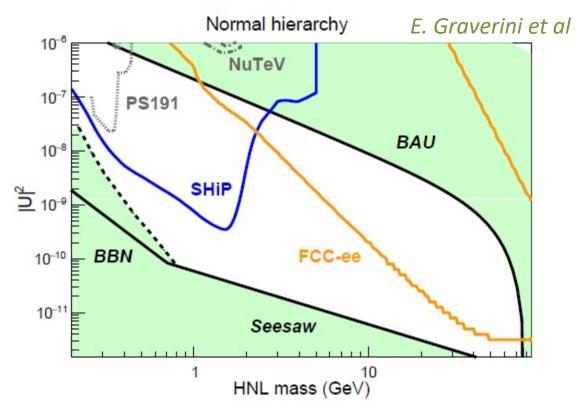
Backgrounds : four fermion: $e+e-\rightarrow W^{*+}W^{*-}e+e-\rightarrow Z^{*}(vv)+(Z/\gamma)^{*}$



Simulation of heavy neutrino decay in a FCC-ee detector



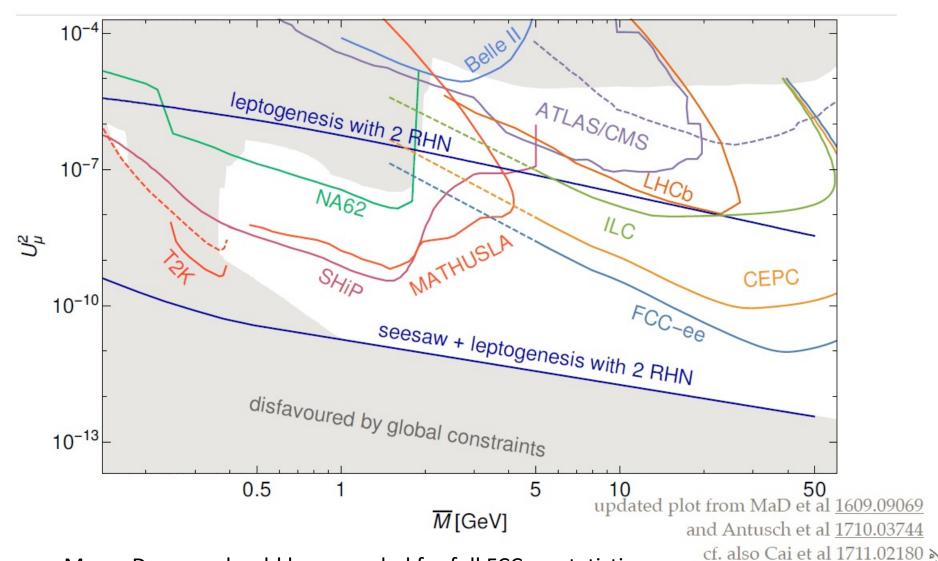




(a) Decay length $500 \mu m$ to 2 m

with 5 10^{12} Z

Constraints and Future Searches

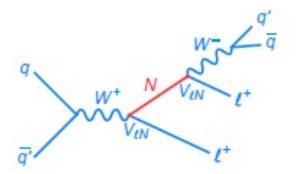


Marco Drewes, should be upgraded for full FCC-ee statistics
Alain Blondel Future Lepton Colliders

FCC-hh

We have seen that the Z factory offers a clean method for detection of Heavy Right-Handed neutrinos Ws are less abundant at the lepton colliders

At the 100 TeV pp W is the dominant particle, Expect 10¹³ real W's.



There is a lot of /pile-up/backgrounds/lifetime/trigger issues which need to be investigated. BUT.... in the regime of long lived HNLs the simultaneous presence of

- -- the initial lepton from W decays
- -- the detached vertex with kinematically constrained decay allows for a significant background reduction.

But it allows also a characterization both in flavour and charge of the produced neutrino, thus information of the flavour sensitive mixing angles and a test of the fermion violating nature of the intermediate (Majorana) particle.

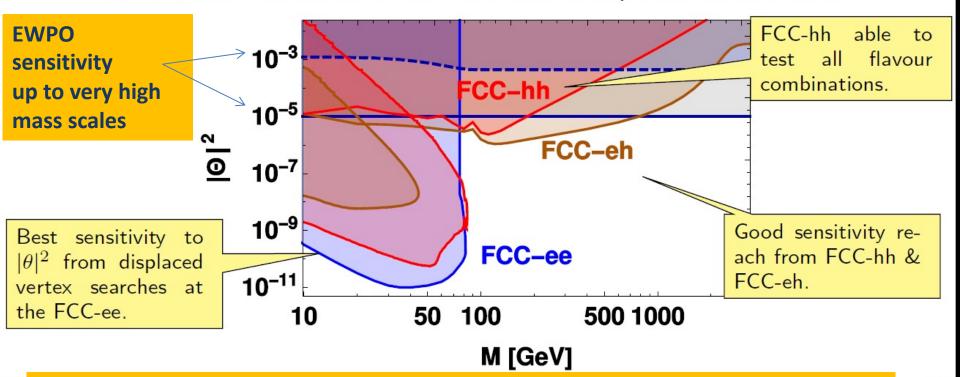
VERY interesting...

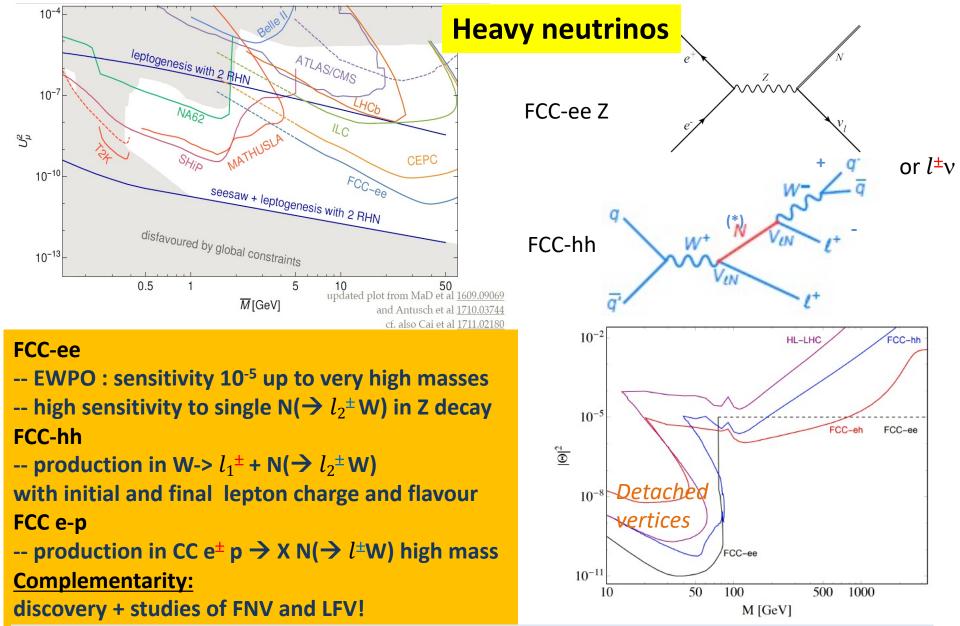


Summary

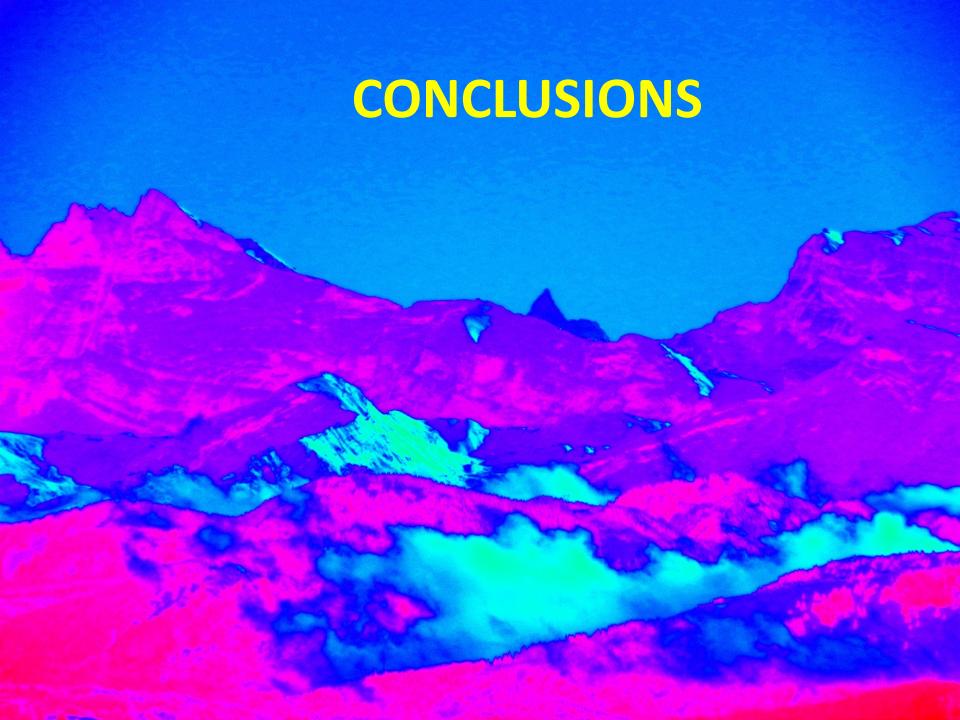
Another example of Synergy and complementarity while ee covers a large part of space very cleanly, its either 'white' in lepton flavour or the result of EWPOs etc Observation at FCC –hh or eh would test flavour mixing matrix!

- Systematic assessment of heavy neutrino signatures at colliders.
- First looks at FCC-hh and FCC-eh sensitivities.
- Golden channels:
 - FCC-hh: LFV signatures and displaced vertex search
 - FCC-eh: LFV signatures and displaced vertex search
 - FCC-ee: Indirect search via EWPO and displaced vertex search





The capability to probe massive neutrino mechanisms for generating the matter-antimatter asymmetry in the Universe should be a central consideration in the selection and design of future colliders. (from the neutrino town meeting report to the ESPP)



CONCLUSION ON NEUTRINOS

Neutrinos are the only place in particle physics where 'Beyond the Standard Model' has been observed, through the phenomenon of neutrino oscillations.

Neutrino oscillations: a quantum phenomenon which occurs because neutrinos have extremely small masses and mass splittings, which in itself is extremely surprising.

The leading possible explanation is the existence of right handed neutrinos with higher masses induced by the existence of a *Majorana mass term*.

This may provide an explanation for other unexplained experimental facts

- dark matter
- the baryon asymmetry of the universe

This is an exciting field with many experimental possibilities using complementary

- -- neutrino beam experiments
- -- nuclear physics experiments
- -- collider experiments
- -- astrophysical and cosmological experiments

