Introduction to (quark) flavor physics

Gino Isidori [University of Zürich]

	▶ Introduction [<i>the SM as an effective theory</i>]
Part I	► The flavor problem(s)
	► Flavor symmetries [accidental <i>or fundamental?</i>]
	The LFU anomalies
Part II	EFT considerations on the anomalies
	A possible new paradigm in flavor physics
	Conclusions



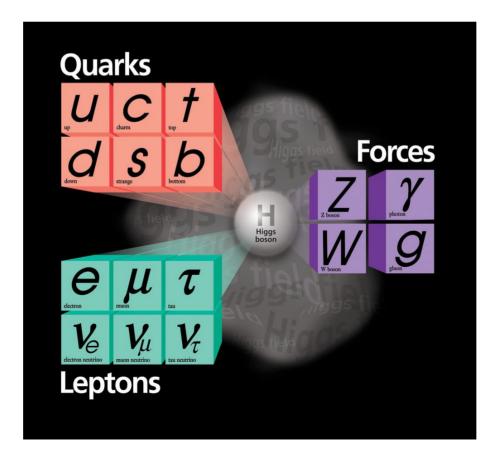


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Introduction

(*Almost...*) all <u>microscopic phenomena</u> we observe in Nature seems to be well described by a <u>remarkably simple Theory</u>, the so-called "Standard Model" (SM) (*that we continue to call "model" only for historical reasons...*):

$$\mathscr{L}_{\text{Standard Model}} = \mathscr{L}_{\text{gauge}}(\psi_{i}, A_{a}) + \mathscr{L}_{\text{Higgs}}(H, A_{a}, \psi_{i})$$



Introduction

Despite all its phenomenological successes, this Theory has some deep unsolved problems (*hierarchy problem*, *flavor problem*, *neutrino masses, dark-matter, dark energy, inflation...*)

The Standard Model (SM) should be regarded as an *Effective Field Theory* (*EFT*)

i.e. the limit (*in the range of energies and effective couplings so far probed*) of a more fundamental theory with new degrees of freedom

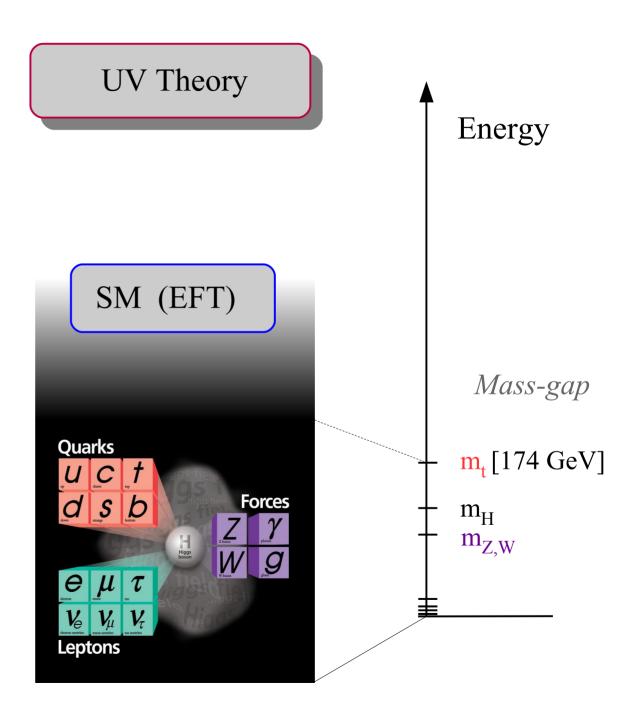
 $\mathscr{S}_{\text{SM-EFT}} = \mathscr{S}_{\text{gauge}}(\psi_{i}, A_{a}) + \mathscr{S}_{\text{Higgs}}(H, A_{a}, \psi_{i}) + \dots$

What we used to call the SM...

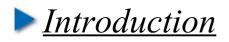


What we know after the first phase of the LHC is that:

- The Higgs boson is SM-like and is "light" (completion of the SM spectrum)
- There is a mass-gap above the SM spectrum



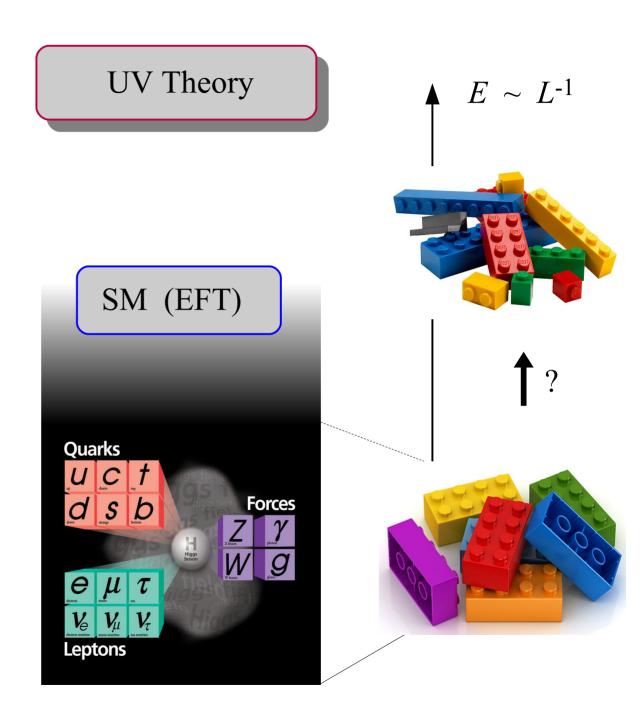
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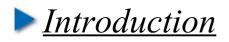
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We identified the *"light" ("large")* pieces of our *"construction game"* & their <u>long-range interactions</u>



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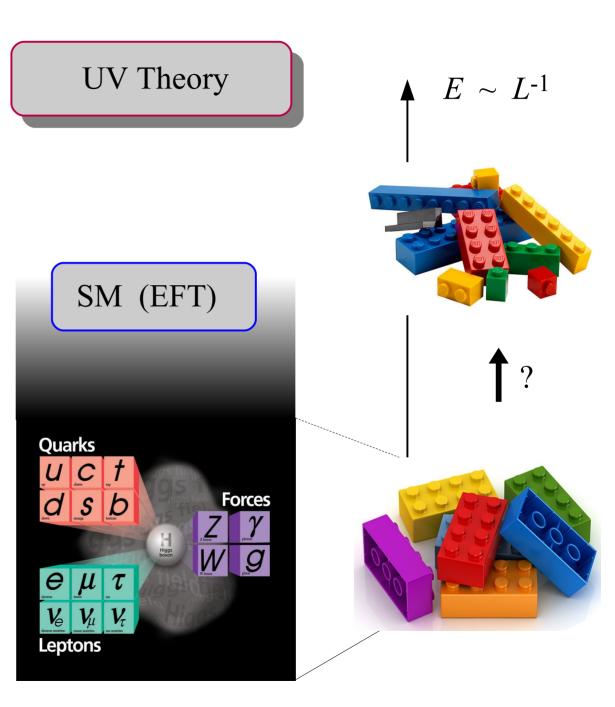


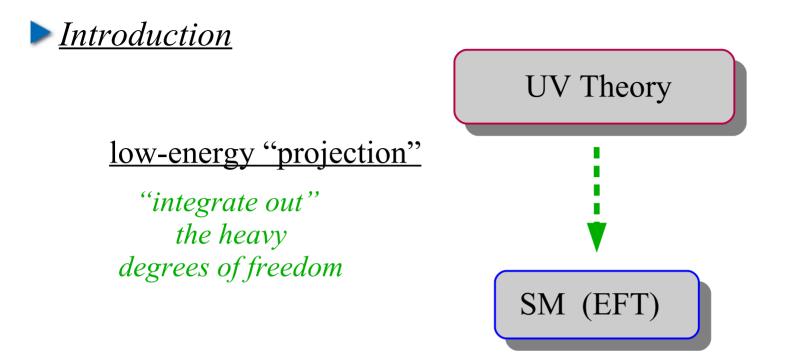
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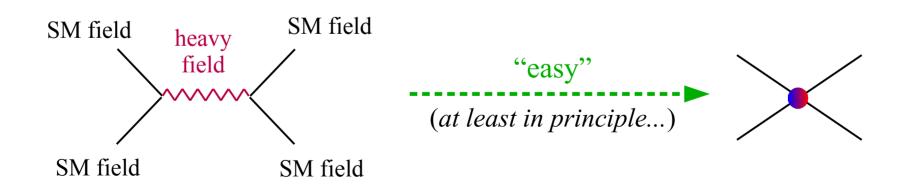
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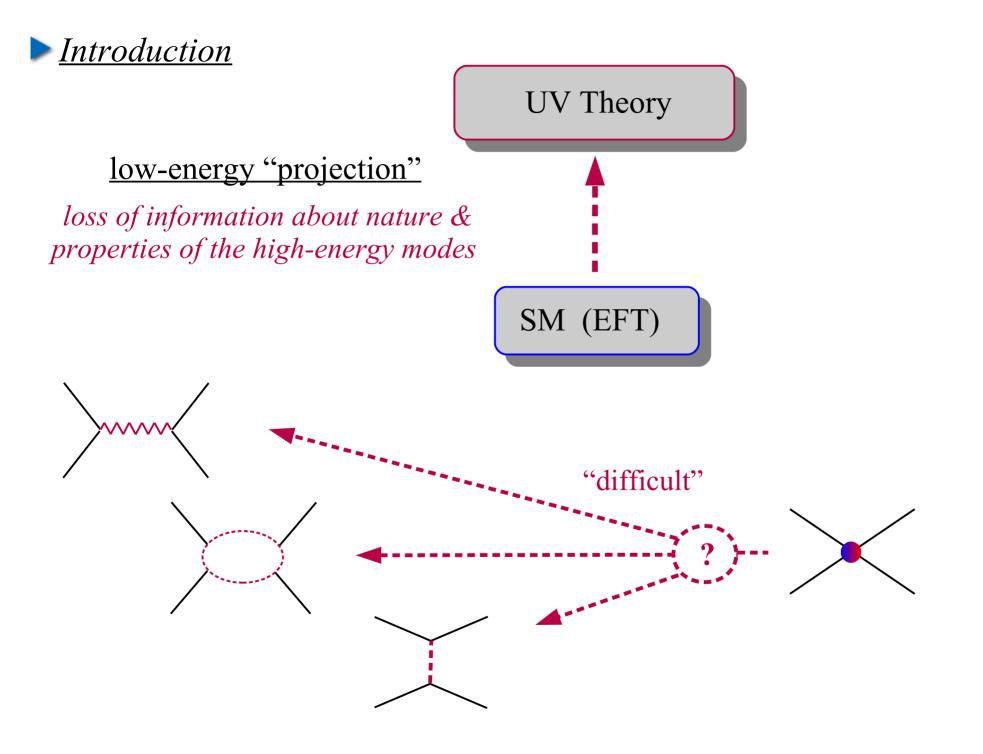
Reconstructing the UV theory from its low-energy limit is a very difficult problem with <u>no unique solution</u>

[It took more than 35 years to go from the Fermi Theory to the SM...]



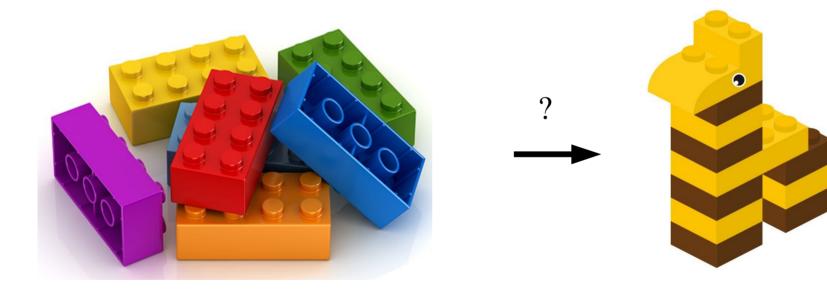






Introduction

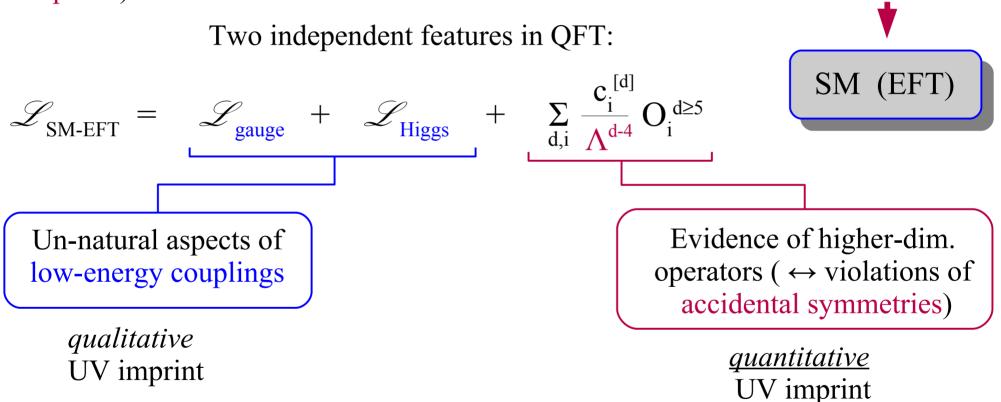
In the absence of <u>direct signals</u> of the new (heavy) degrees of freedom, the most interesting hints toward UV dynamics come from possible <u>un-natural features</u> of the EFT.



UV Theory

Introduction

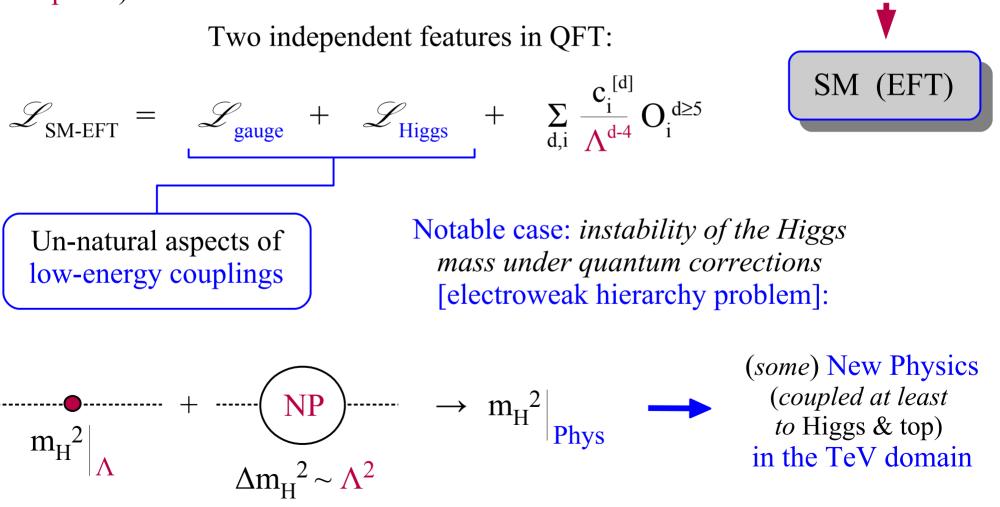
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UV Theory

Introduction

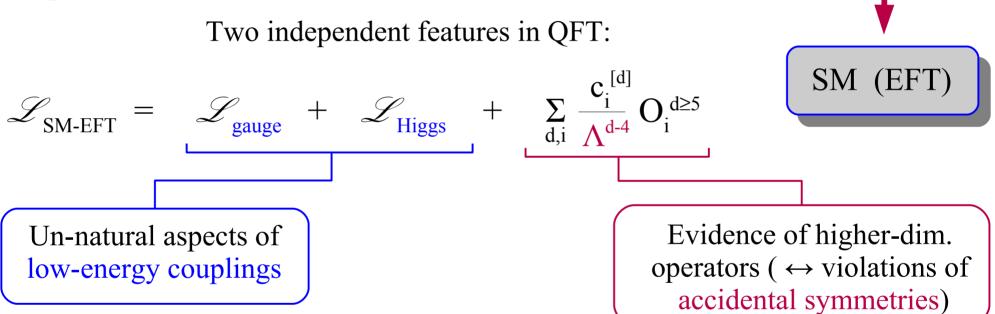
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UV Theory

Introduction

In the absence of <u>direct signals</u> of the new (heavy) degrees of freedom, the most interesting hints toward UV dynamics come from possible <u>un-natural features</u> of the EFT (= UV imprints).



Flavour physics is telling us <u>much more</u> (and might tell us even more in the near future...) <u>on both these aspects !</u>

The Flavor Problem(s)

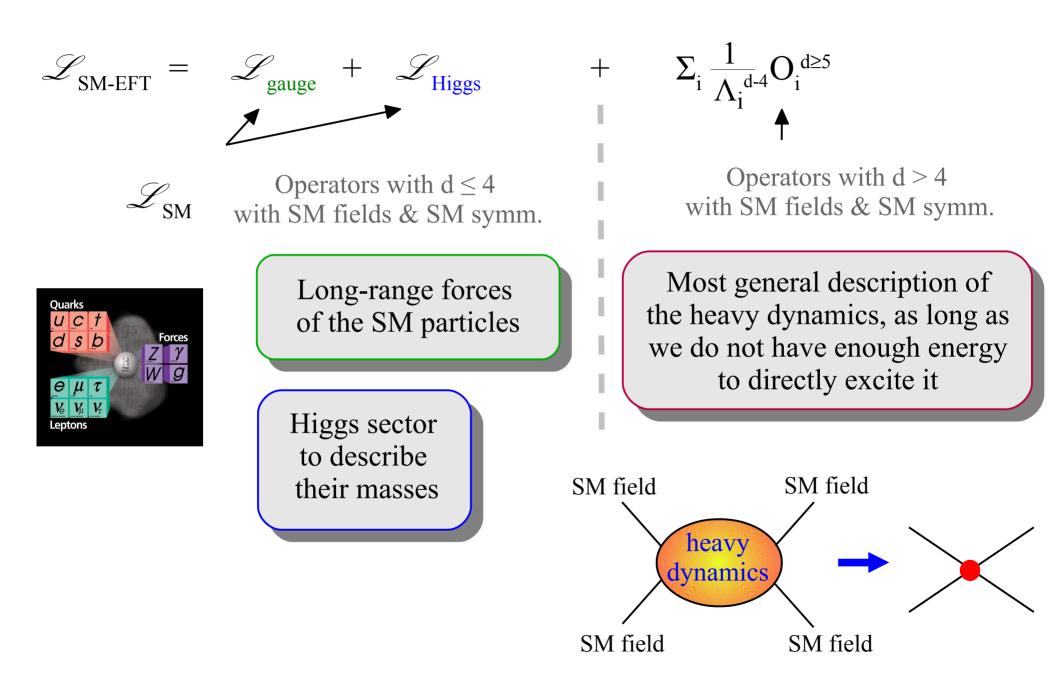




Isidor Issac Rabi

(1898—1988)

The Flavor Problem(s)



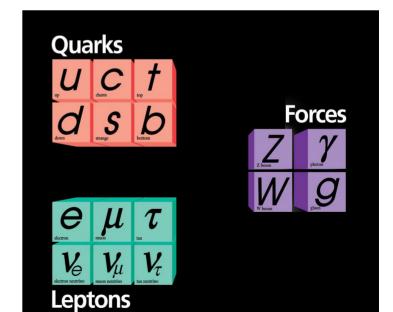
The Flavor Problem(s)



Structure fully dictated by

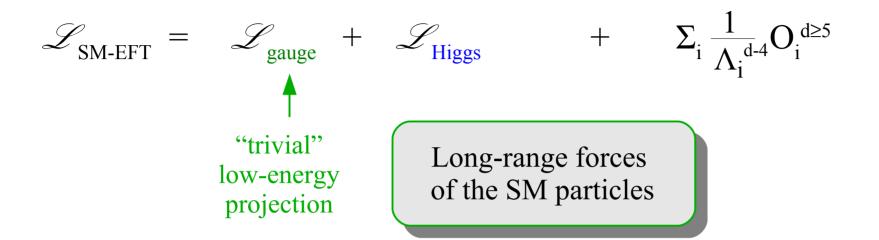
- Number of light fields
- Their charges under long-range interactions

It contains only "natural" O(1) couplings



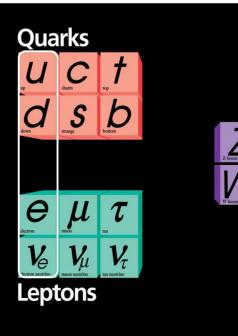
Forces

<u>The Flavor Problem(s)</u>

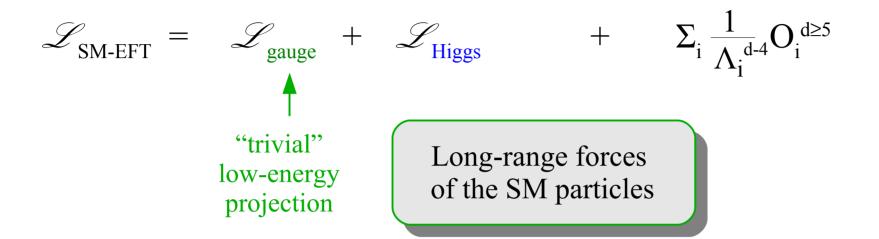


Three <u>identical replica</u> of the basic fermion family \Rightarrow huge <u>flavor-degeneracy</u> [U(3)⁵ symmetry]

$$\mathscr{L}_{gauge} = \Sigma_a - \frac{1}{4g_a^2} (F_{\mu\nu}^{a})^2 + \Sigma_{\psi} \Sigma_i \overline{\psi}_i i D \psi_i$$

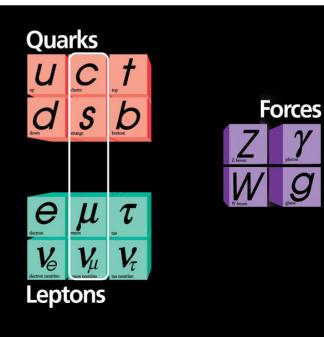


<u>The Flavor Problem(s)</u>

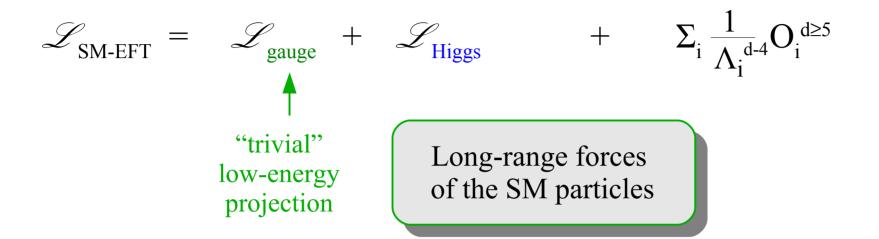


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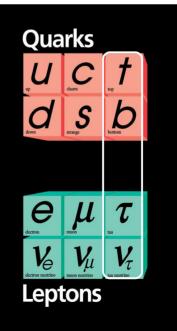


The Flavor Problem(s)

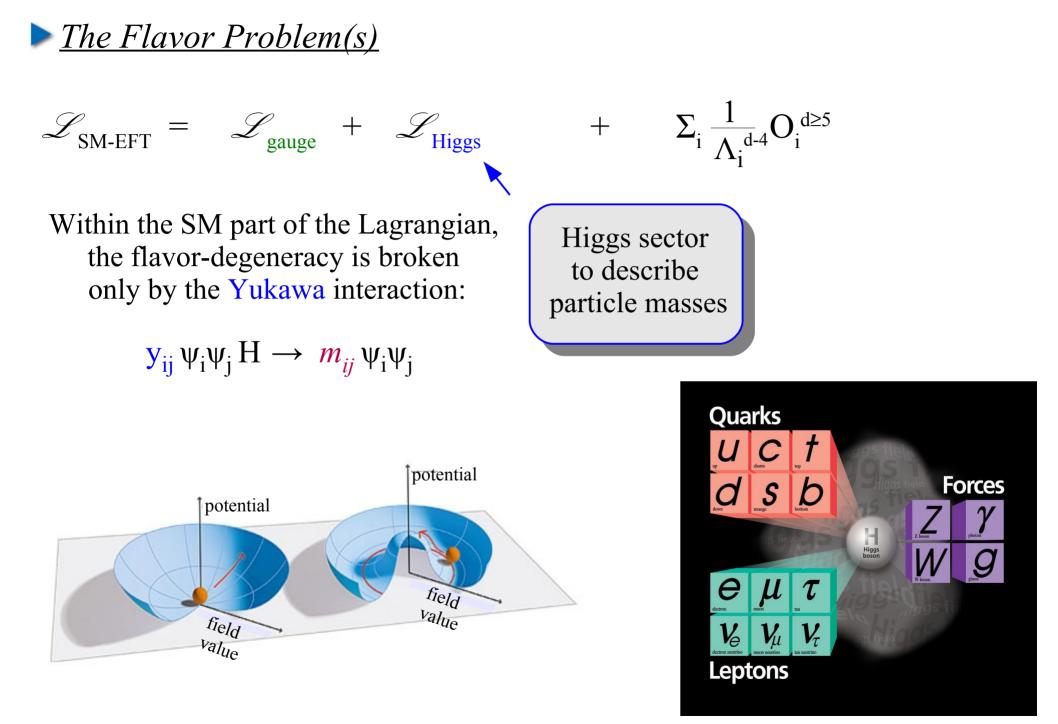


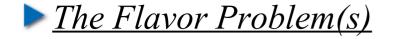
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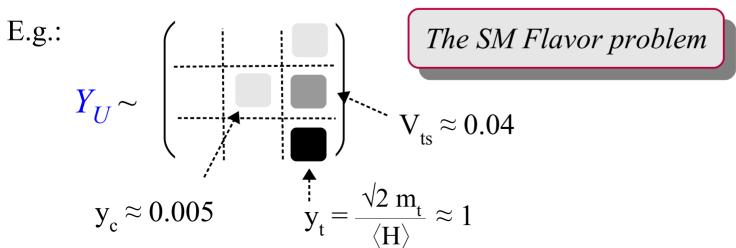


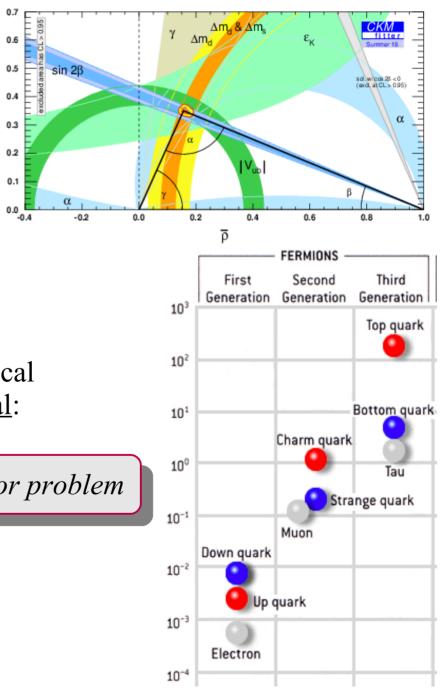
$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}}$$

Within the SM part of the Lagrangian, the flavor-degeneracy is broken only by the Yukawa interaction:

 $y_{ij} \psi_i \psi_j H \rightarrow m_{ij} \psi_i \psi_j$

The Yukawa couplings have a peculiar hierarchical structure which does not appear to be accidental:





0.7

6.0

0.5

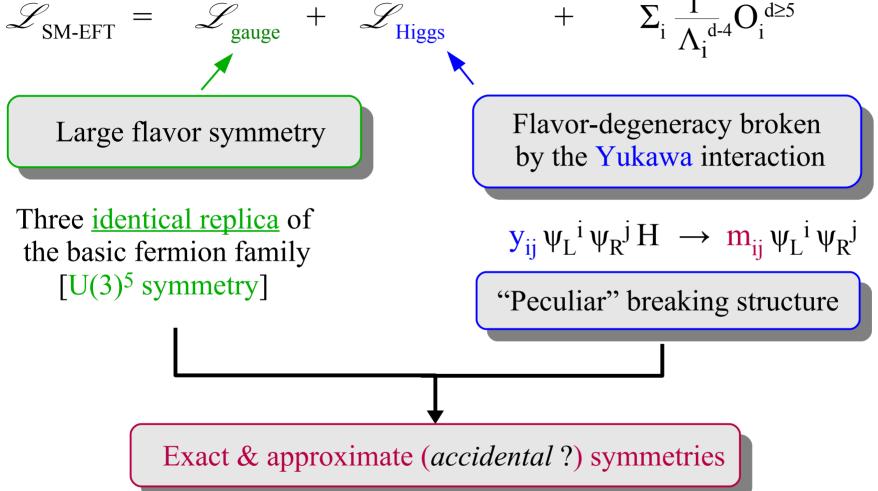
0.4 Ē

0.2

0.1

Eg:

The Flavor Problem(s) $\mathscr{L}_{SM-FFT} = \mathscr{L}_{gauge} + \mathscr{L}_{Higgs}$



- $U(1)_{L_e} \times U(1)_{L_{\mu}} \times U(1)_{L_{\mu}} = (individual) \text{ Lepton Flavor } [exact symmetry]$
- $m_u \approx m_d \approx 0 \rightarrow \text{Isospin symmetry } [approximate symmetry]$

The Flavor Problem(s)

 $\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}}$

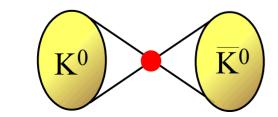
In principle, we could expect many other sources of flavor non-degeneracy from the heavy dynamics

However (beside a few *anomalies* in B-meson decays \rightarrow *more later*...), we observe none

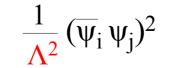
+ $\sum_{i} \frac{1}{\Lambda_{i}^{d-4}} O_{i}^{d \ge 5}$

Most general description of the heavy dynamics, as long as we do not have enough energy to directly excite it

<u>Stringent bounds</u> on the scale of possible new <u>flavor non-universal interactions</u>



E.g.:



The Flavor Problem(s)

$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} +$$

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 $\mathscr{S}_{_{\mathrm{Higgs}}}$

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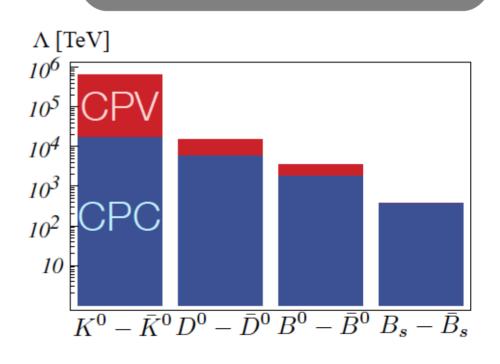
<u>Stringent bounds</u> on the scale of possible new <u>flavor non-universal interactions</u>

The NP Flavor problem

$$\Sigma_{i} \frac{1}{\Lambda_{i}^{d-4}} O_{i}^{d \ge 5}$$

+

Most general description of the heavy dynamics, as long as we do not have enough energy to directly excite it



Flavor Symmetries

[accidental or fundamental?]



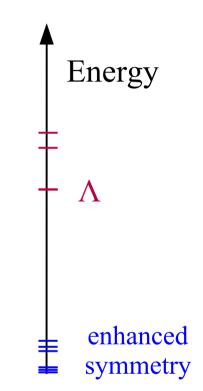


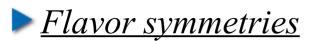
c [d]

Flavor symmetries

$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}} + \sum_{d,i} \frac{C_i}{\Lambda^{d-4}} O_i^{d \ge 5}$$

We denote as "accidental symmetries" the symmetries which are not fundamental properties of the underlying theory, but emerge accidentally at low energies (*i.e. in the renormalizable part of the Lagrangian*) \rightarrow not enough "variables" to describe the violation of the symmetry at large distances [~*multipole expansion*]





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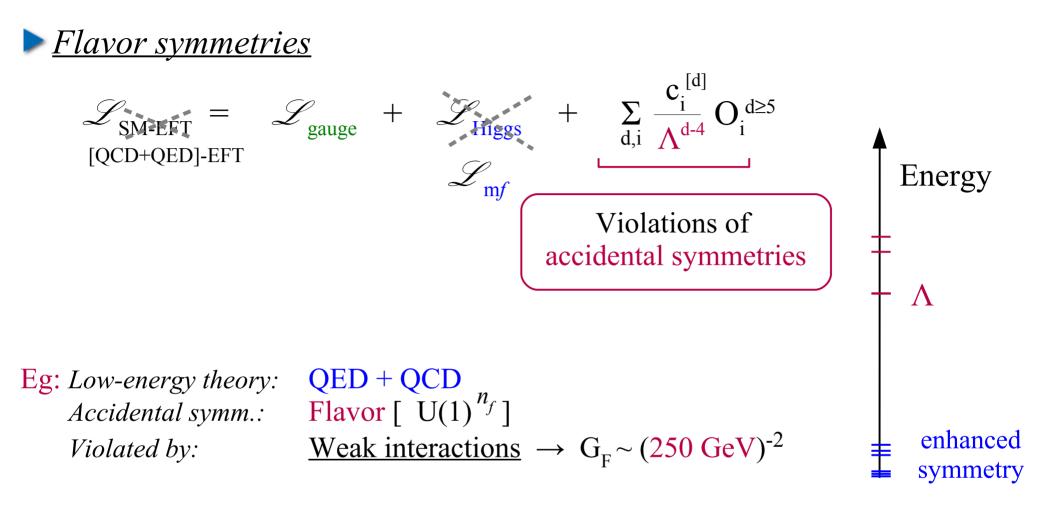
Energy
A
A
enhanced
symmetry

If a symmetry arises accidentally in the low-energy theory, we expect it to be violated by higher dim. ops

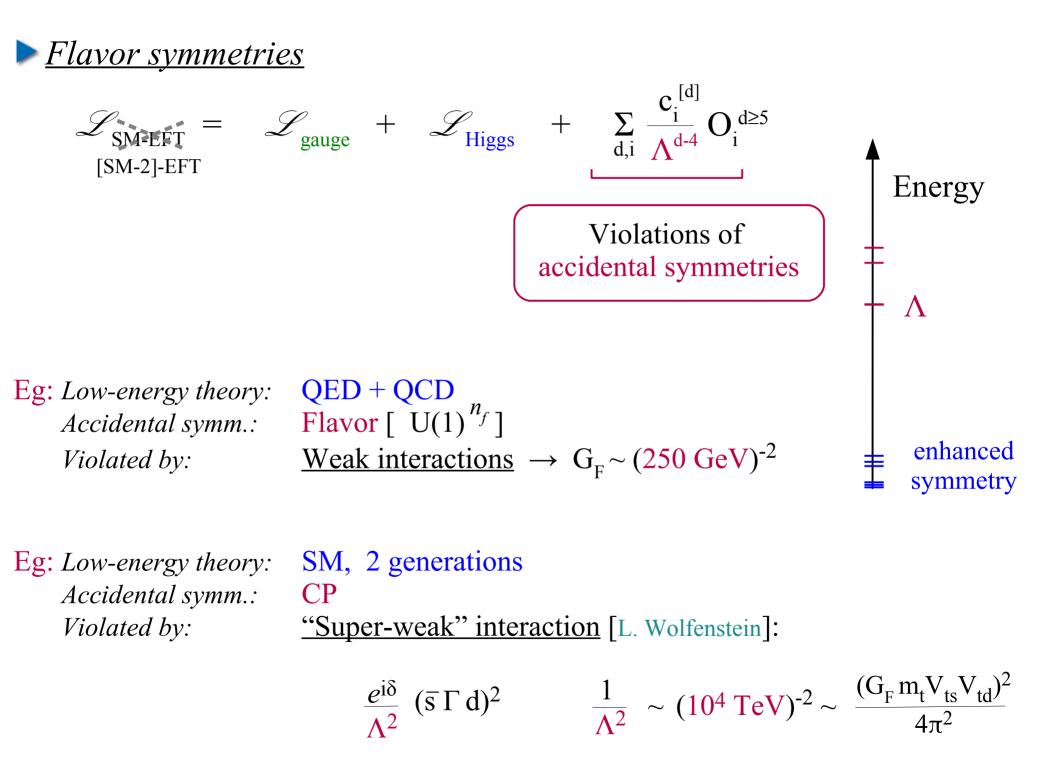
Violations of accidental symmetries

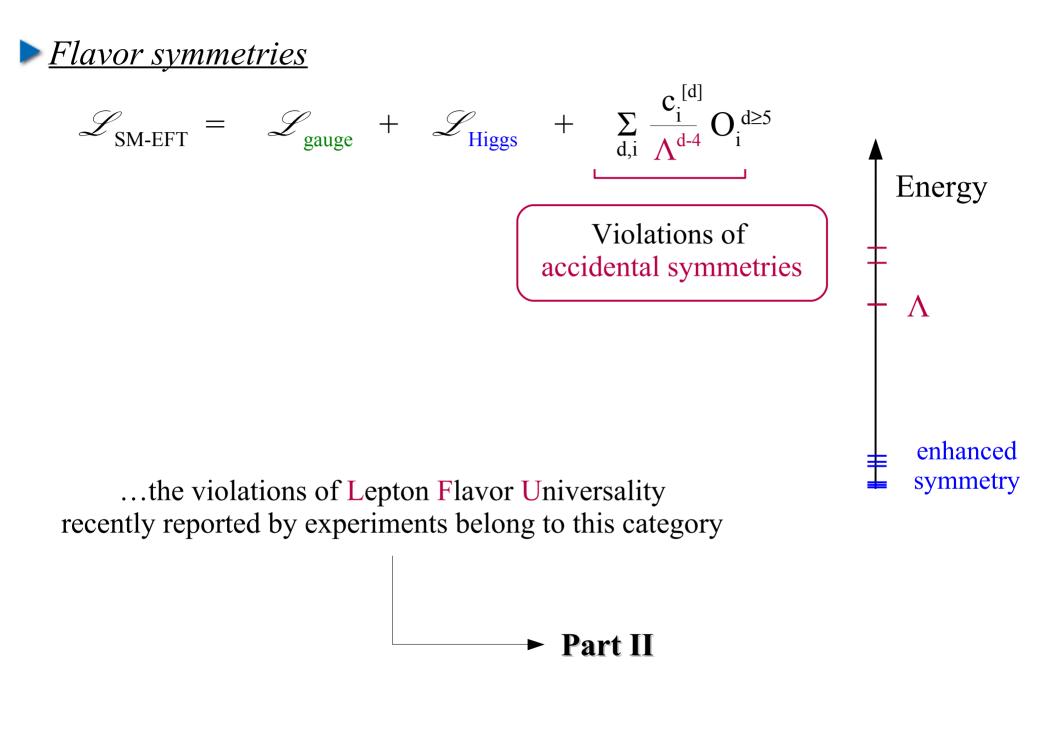
~ [d]

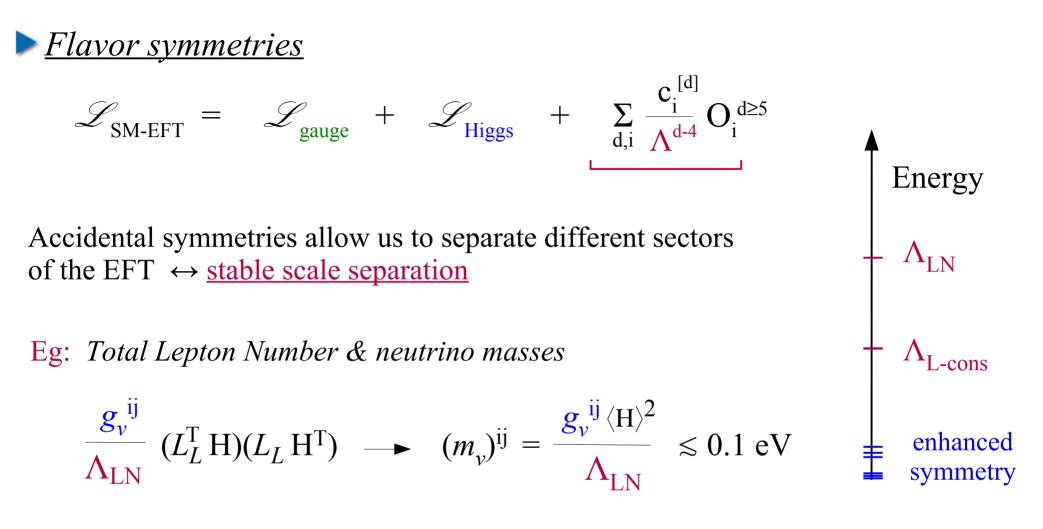
Well-known examples from the past...



Well-known examples from the past...

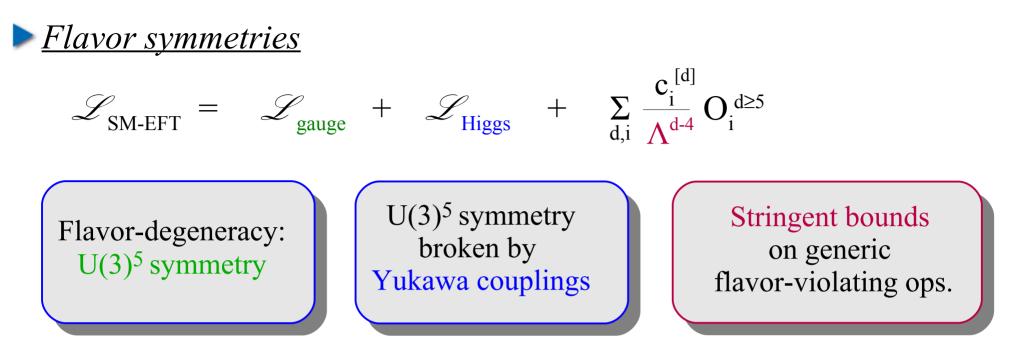






Consistent to assume d=6 ops preserving LN characterized by $\Lambda_{L-cons} << \Lambda_{LN}$

N.B.: <u>The same is true for flavor-violating terms</u> (*with minor technical differences related to approximate vs. exact symmetries*)



The big questions in flavor physics:

- Are all the the flavor symmetries do the SM broken in the other sectors of the SM-EFT ?
- Can we make sense of the tight NP bounds from flavor-violating processes and still hope to see NP not far from the TeV scale?
- Are there sectors of the SM-EFT where we can detect new sources of flavor symmetry breaking given existing bounds?

Flavor symmetries

$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}} + \sum_{d,i} \frac{C_i^{\text{L}^{d}}}{\Lambda^{d-4}} O_i^{d \ge 5}$$

Symmetry hypotheses formulated to separate the SMEFT flavor-breaking sectors:



Chivukula & Georgi, '89 D'Ambrosio *et al.* '02

• Accidental U(3)⁵ symmetry at work up to a very high energies, not accessible in experiments ($\Lambda_{\rm Y}$)

[6]

- Only breaking terms surviving at low energies are the SM Y's
- NP scale accessible in experiments is <u>flavor blind</u>

 $\begin{array}{c} \Lambda_{\text{NP}} & \text{Lowest } \Lambda_{\text{NP}} & \text{allowed by flavor bounds } [\rightarrow Higgs hier. prob] \\ V \end{array}$

Flavor symmetries

$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}} + \sum_{d,i} \frac{C_i^{\text{tag}}}{\Lambda^{d-4}} O_i^{d \ge 5}$$

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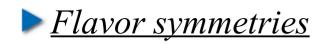
- Chivukula & Georgi, '89 D'Ambrosio et al. '02
- Accidental $U(3)^5$ symmetry at work up to a very high energies, not accessible in experiments $(\Lambda_{\rm V})$
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- NP scale accessible in experiments is <u>flavor blind</u>



"Inefficient" lowering of Λ_{NP} from collider bounds in the post LHC era
 No explanation of Y hierarchies

[6]

 $\Lambda_{\rm NP}$ <u>Direct-search bounds stronger for flavor-blind NP</u> -Lowest $\Lambda_{\rm NP}$ - allowed by flavor bounds [--->*Higgs-hier.-prob*]---

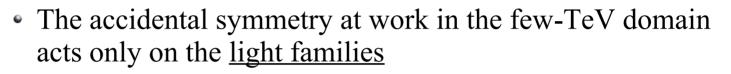


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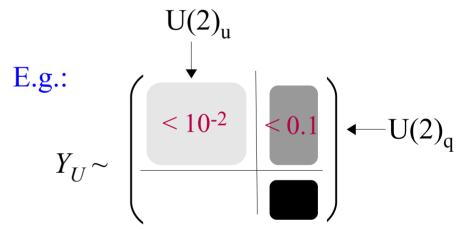
Symmetry hypotheses formulated to separate the SMEFT flavor-breaking sectors:



Kagan *et al.* '09 Barbieri *et al.* '11 Blankenburg *et al.* '12



[6]



Lowest NP scale (Λ_{3,H}) allowed by <u>flavor & collider</u> [→ *potential to ameliorate the hierarchy problem*]
Starting point approximate (flavor) symm. of ℒ_{SM} [→ *potential to address the origin of Y hierarchies*]

Flavor symmetries

$$\mathscr{L}_{\text{SM-EFT}} = \mathscr{L}_{\text{gauge}} + \mathscr{L}_{\text{Higgs}} + \sum_{d,i} \frac{C_i^{\text{tr}}}{\Lambda^{d-4}} O_i^{d \ge 5}$$

Symmetry hypotheses formulated to separate the SMEFT flavor-breaking sectors:



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Blankenburg et al. '12

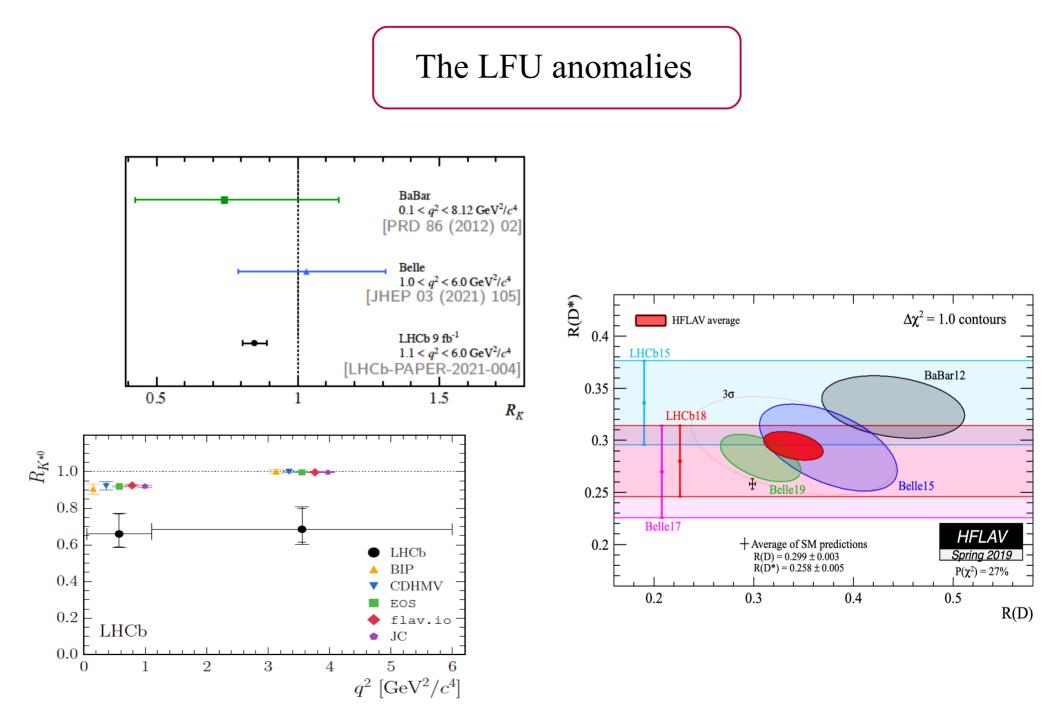
• The accidental symmetry at work in the few-TeV domain acts only on the <u>light families</u>

[6]

- Different generations structurally different
- Potentially observable effects in flavor-changing observables, despite direct searches bound

Challenge or opportunity? Data will tell us!

- $\Lambda_{1,2}$ $\Lambda_{3,H}$ V
- Lowest NP scale $(\Lambda_{3,H})$ allowed by <u>flavor</u> & <u>collider</u> [\rightarrow *potential to ameliorate the hierarchy problem*]
 - Starting point approximate (flavor) symm. of \mathscr{L}_{SM} [\rightarrow potential to address the origin of Y hierarchies]



The LFU anomalies

Since 2013 results in semi-leptonic B decays started to exhibit tensions with the SM predictions connected to a possible violation of Lepton Flavor Universality

More precisely, we seem to observe a <u>different behavior</u> (*beside pure* kinematical effects) of different lepton species in the following processes:

- $b \rightarrow s l^+l^-$ (neutral currents): μvs. e
- b \rightarrow c *lv* (charged currents): τ vs. light leptons (μ , e)

<u>The LFU anomalies</u>

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N.B: LFU is an <u>accidental symmetry</u> of the SM Lagrangian in the limit where we neglect the lepton Yukawa couplings.

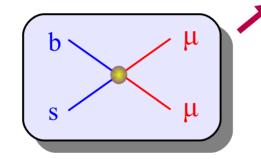
LFU is <u>badly broken</u> in the Yukawa sector: $y_e \sim 3 \times 10^{-6}$, $y_u \sim 3 \times 10^{-4}$, $y_\tau \sim 10^{-2}$

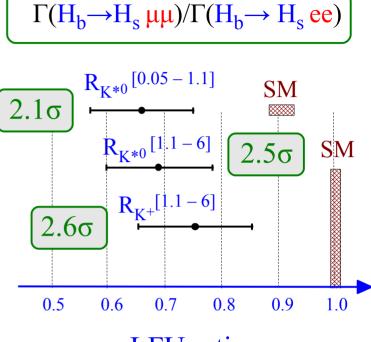
but all the lepton Yukawa couplings are small compared to SM gauge couplings, giving rise to the (*approximate*) universality of decay amplitudes which differ only by the different lepton species involved

<u>The LFU anomalies</u>

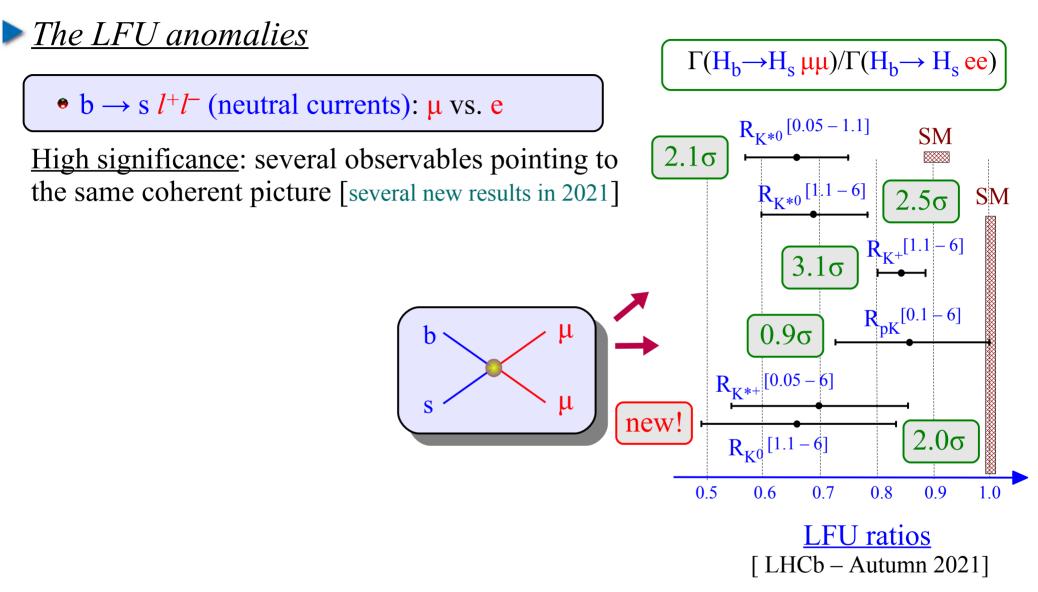
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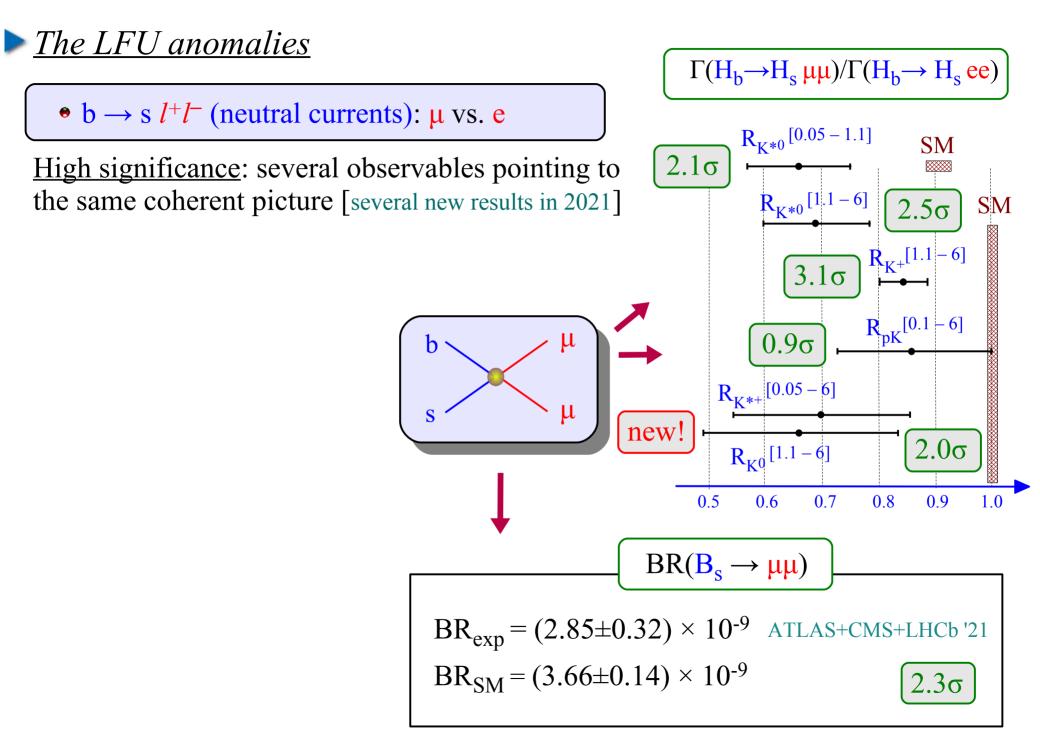
<u>High significance</u>: several observables pointing to the same coherent picture [several new results in 2021]

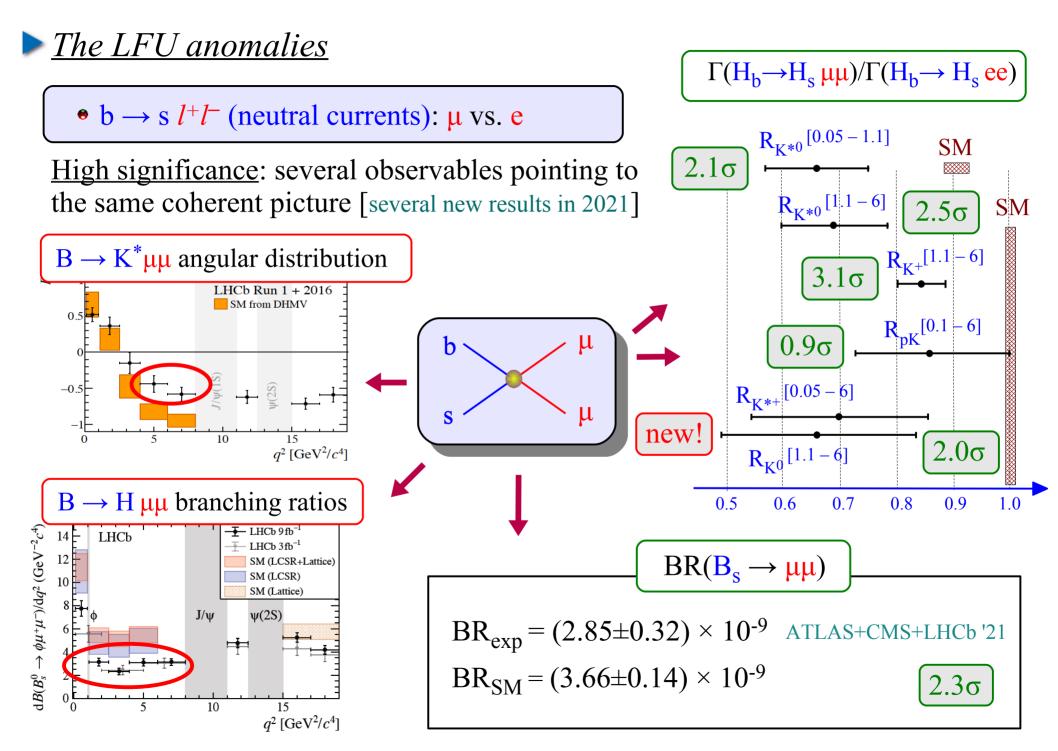




<u>LFU ratios</u> [LHCb – Spring 2017]

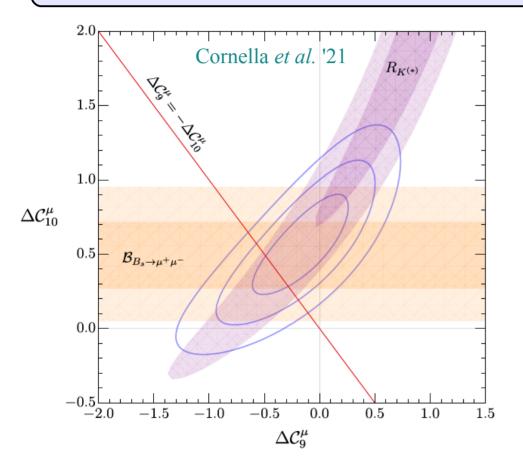






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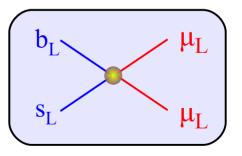


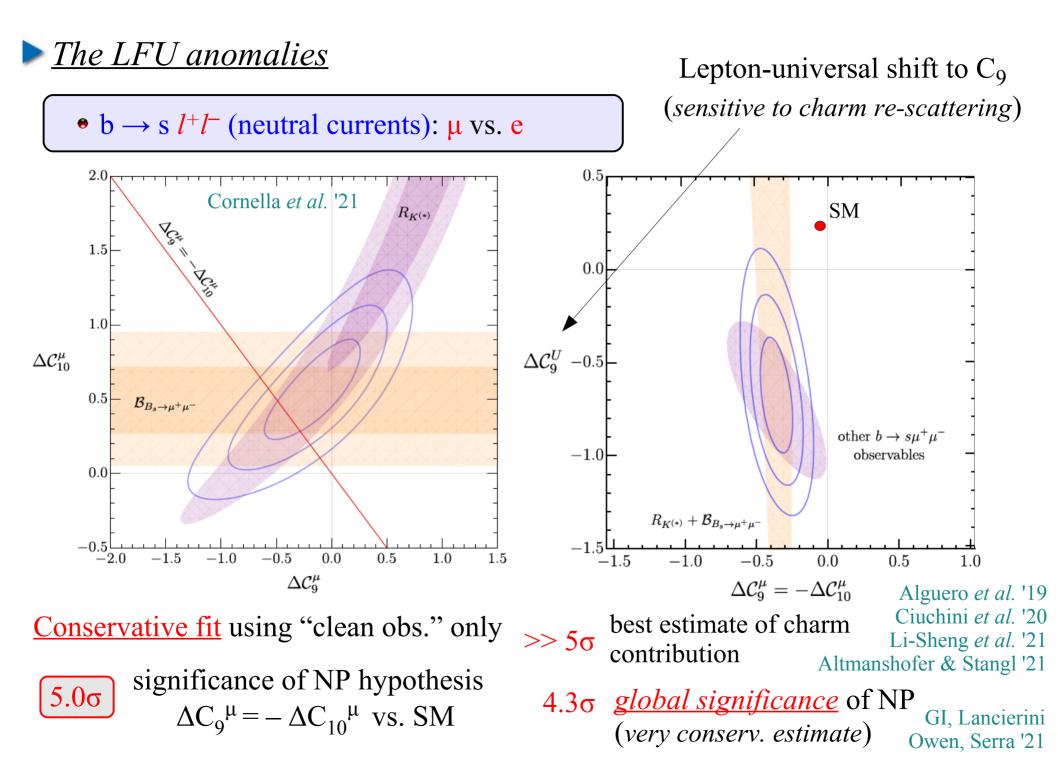


Conservative fit using "clean obs." only

5.0 σ significance of NP hypothesis $\Delta C_9^{\mu} = -\Delta C_{10}^{\mu}$ vs. SM Clean short-distance effect $[\Delta C_i^{\mu} = C_i^{\mu} - C_i^{e}]:$

$$\mathcal{O}_{10}^{\ell} = (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \gamma_5 \ell)$$
$$\mathcal{O}_9^{\ell} = (\bar{s}_L \gamma_\mu b_L) (\bar{\ell} \gamma^\mu \ell)$$



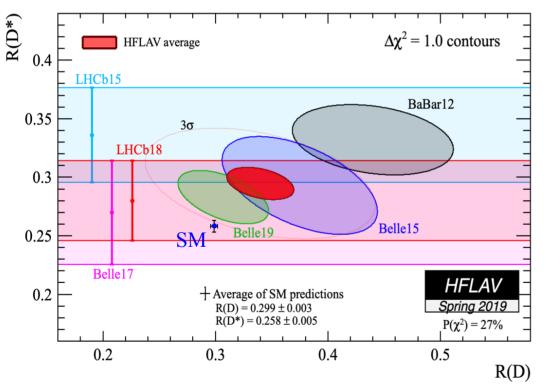


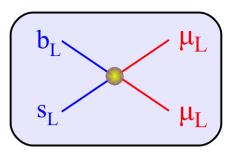
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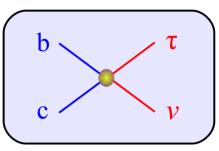
<u>The LFU anomalies</u>

• b \rightarrow s l^+l^- (neutral currents): μ vs. e

• b \rightarrow c *lv* (charged currents): τ vs. light leptons (μ , e)







$$R(X) = \frac{\Gamma(B \to X \tau v)}{\Gamma(B \to X l v)} X = D \text{ or } D^*$$

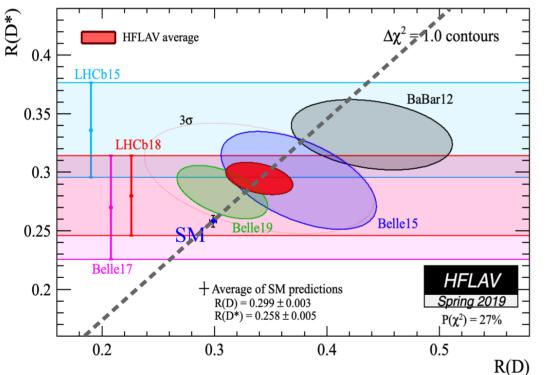
- Clean SM predictions (*uncertainties cancel in the ratios*)
- Consistent results by 3 different exp.ts: 3.1σ excess over SM
- Slower progress

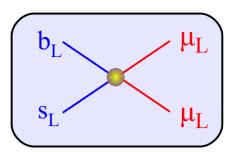
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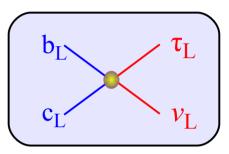
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• b \rightarrow s l^+l^- (neutral currents): μ vs. e

• b \rightarrow c *lv* (charged currents): τ vs. light leptons (μ , e)



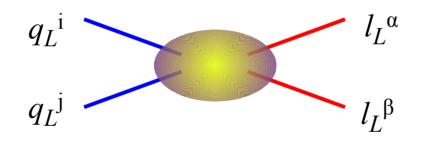




$$R(X) = \frac{\Gamma(B \to X \tau v)}{\Gamma(B \to X l v)} X = D \text{ or } D^*$$

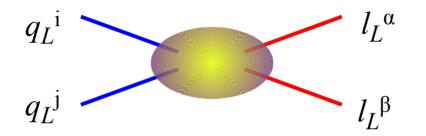
- Clean SM predictions (*uncertainties cancel in the ratios*)
- Consistent results by 3 different exp.ts: 3.1σ excess over SM
- Slower progress
- <u>Large NP effect</u> competing with tree-level SM amplitude
- Left-handed NP amplitude describe well data (*but other options still possible*)

EFT considerations on the LFU anomalies



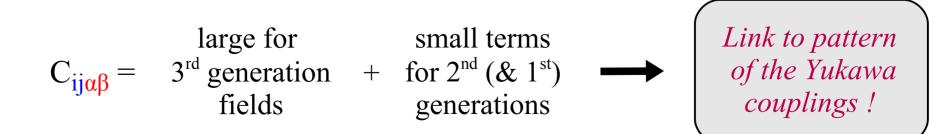
<u>EFT considerations</u>

- Anomalies are seen only in semi-leptonic (quark×lepton) operators
- We definitely need non-vanishing <u>left-handed</u> current-current operators although other contributions are also possible



Bhattacharya *et al.* '14 Alonso, Grinstein, Camalich '15 Greljo, GI, Marzocca '15 (+many others...)

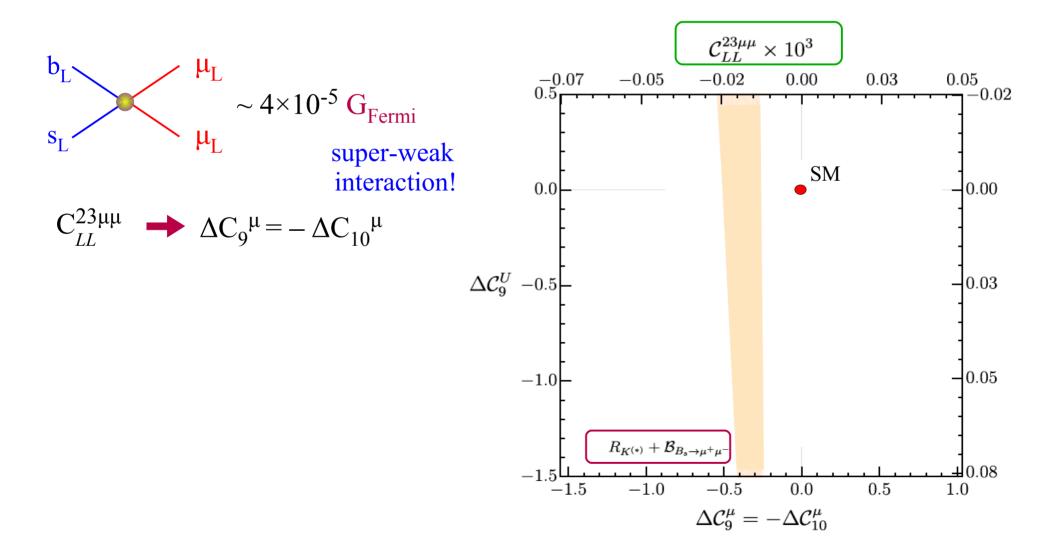
- Large coupling [*competing with SM tree-level*] in $bc \rightarrow l_3 v_3$ [R_D, R_{D*}]
- Small coupling [*competing with SM loop-level*] in bs $\rightarrow l_2 l_2 [R_K, R_{K^*}, ...]$



EFT considerations

Data point to (short-distance) NP effects in operators of the type

$$\mathcal{O}_{LL}^{ij\alpha\beta} = (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{\ell}_L^\beta \gamma_\mu q_L^j)$$

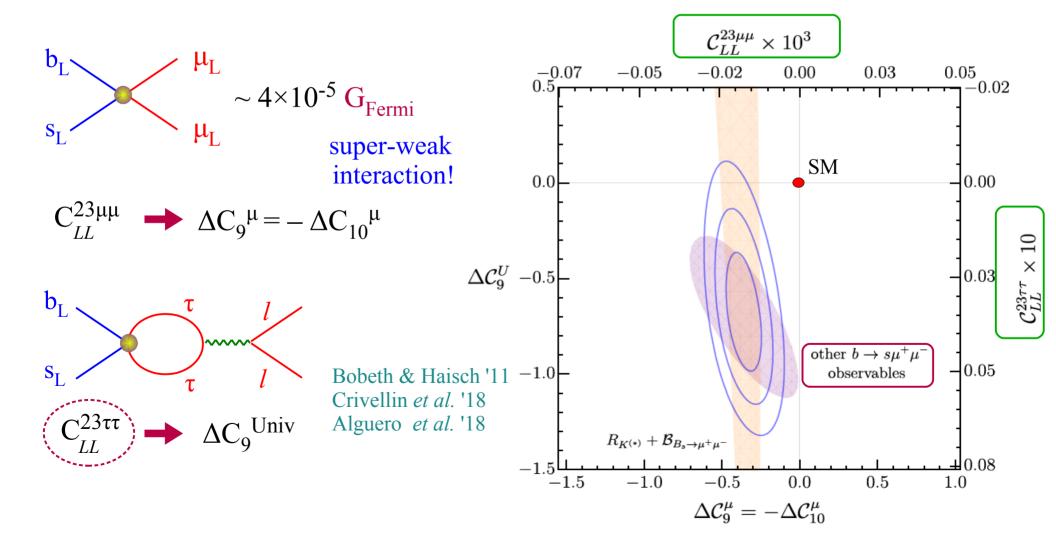


EFT considerations

Data point to (short-distance) NP effects in operators of the type

$$\mathcal{O}_{LL}^{ij\alpha\beta} = (\bar{q}_L^i \gamma_\mu \ell_L^\alpha) (\bar{\ell}_L^\beta \gamma_\mu q_L^j)$$

• O(10⁻¹) suppress. for each 2^{nd} gen. l_L

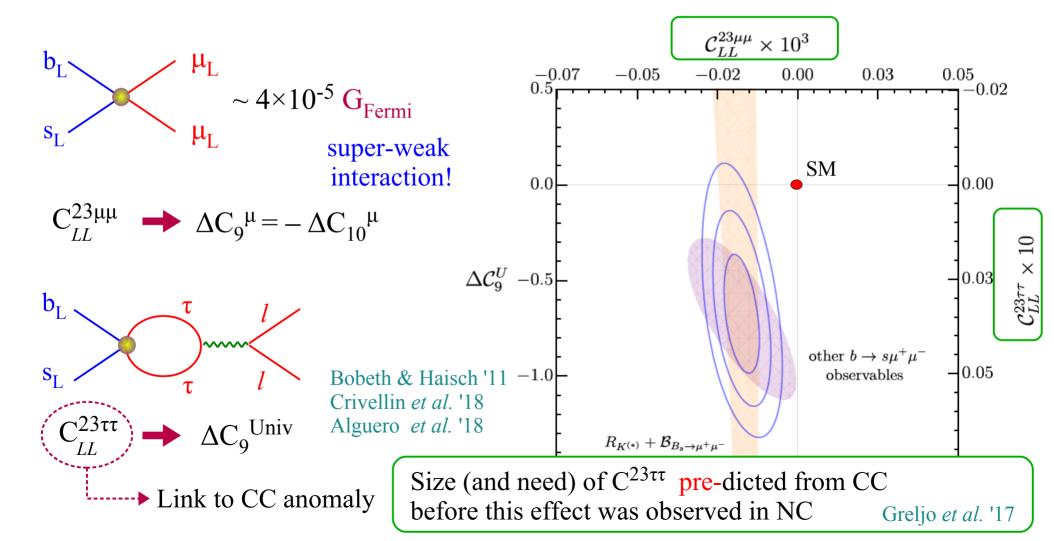


EFT considerations

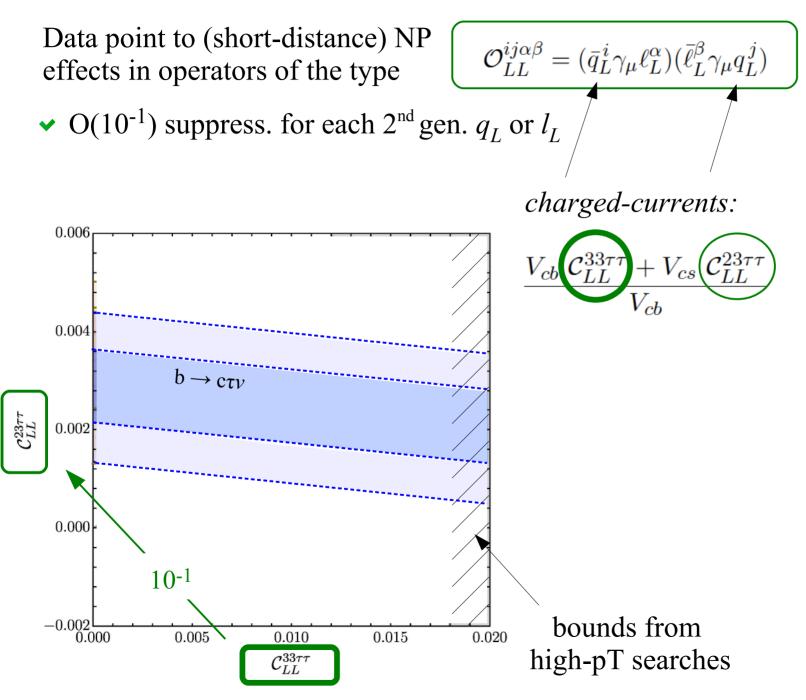
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EFT considerations

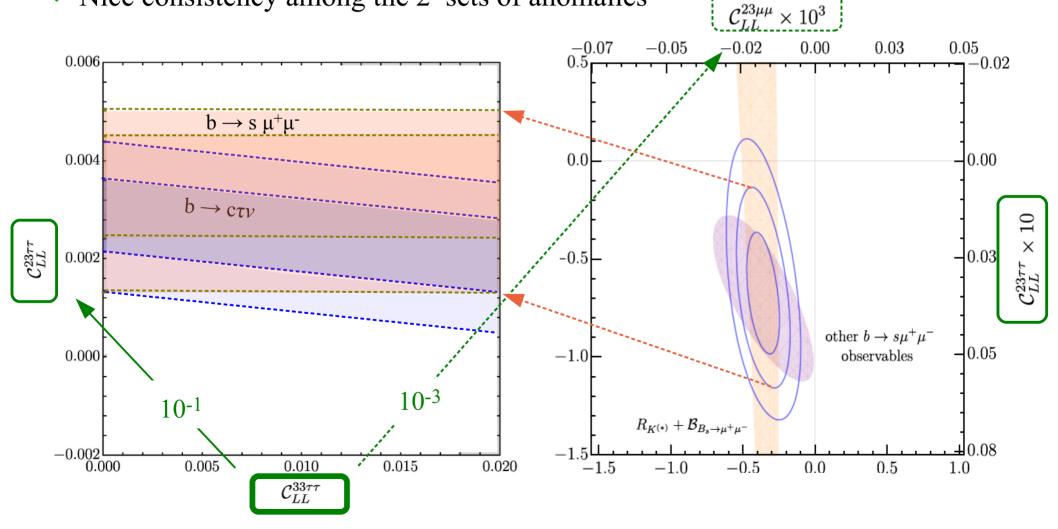


EFT considerations

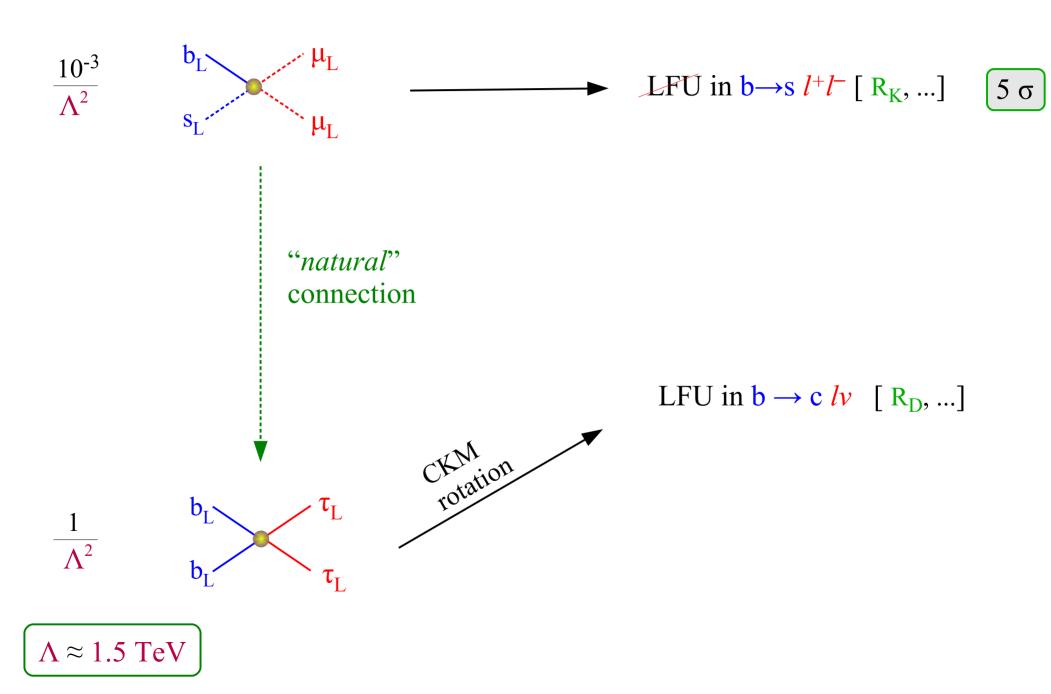
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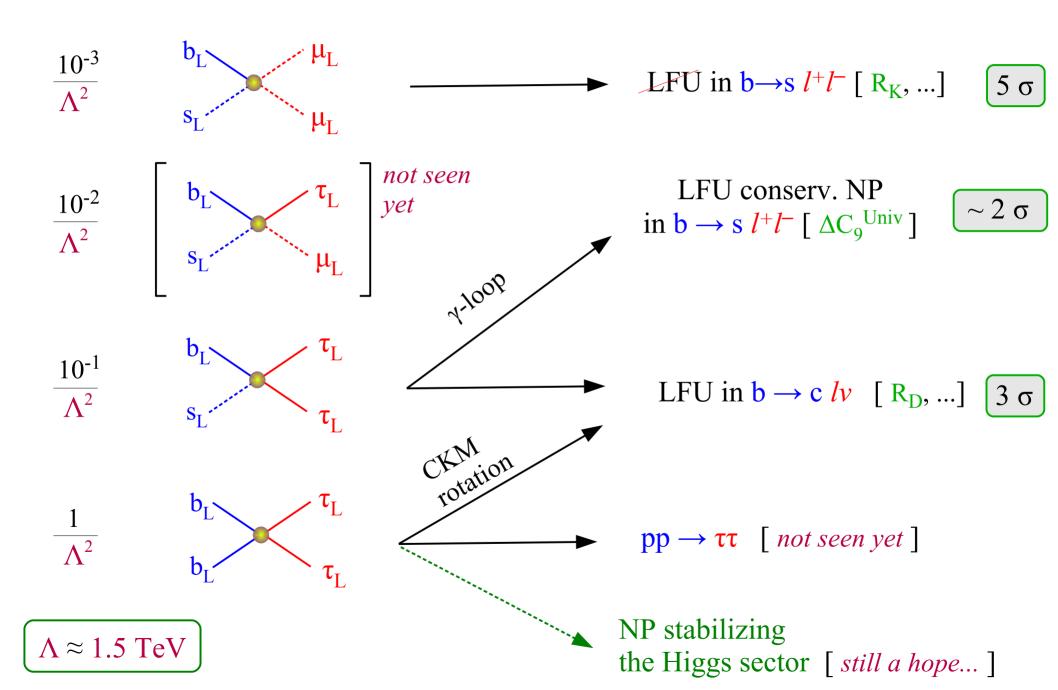
- O(10⁻¹) suppress. for each 2^{nd} gen. q_L or l_L
- ✓ Nice consistency among the 2 sets of anomalies

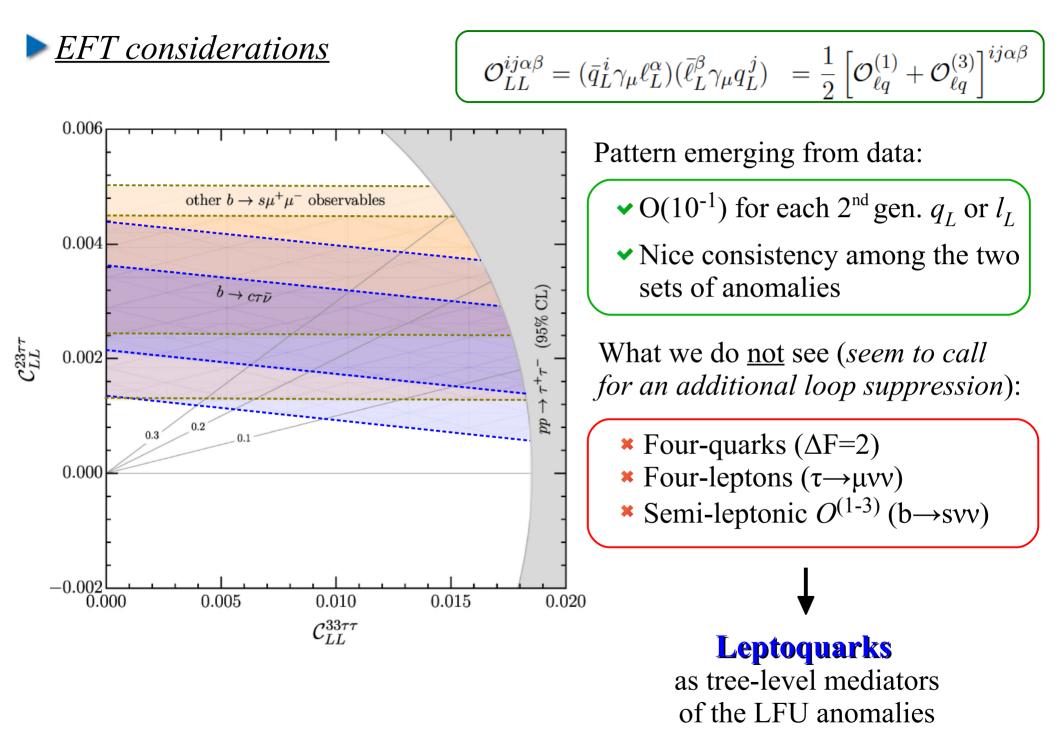






EFT considerations





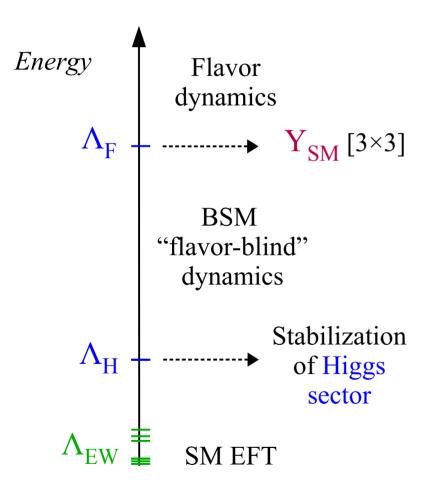
A possible new paradigm in flavor physics



Belle-II Workshop, Nov 2021

A new paradigm in flavor physics

The old (MFV) paradigm:



Main idea:

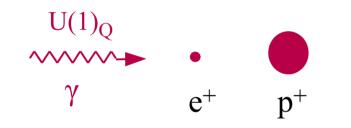
- Concentrate on the Higgs hierarchy problem
- Postpone (*ignore*) the flavor problem

3 gen. = "identical copies" up to high energies

A new paradigm in flavor physics

To better appreciate the change of perspective we need: let's consider the following analogy:

Suppose we could test matter only with long wave-length photons:

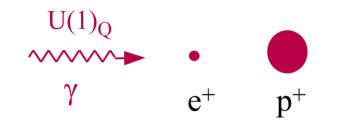


we would conclude that these two particles are "<u>identical copies</u>" <u>but for their mass</u> ...

A new paradigm in flavor physics

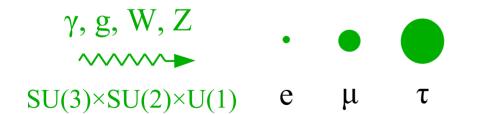
To better appreciate the change of perspective we need: let's consider the following analogy:

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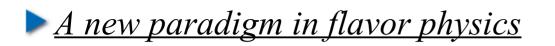
we would conclude that these two particles are "<u>identical copies</u>" <u>but for their mass</u> ...

This is exactly the same (*potentially misleading*) argument we use to infer flavor universality in the SM...

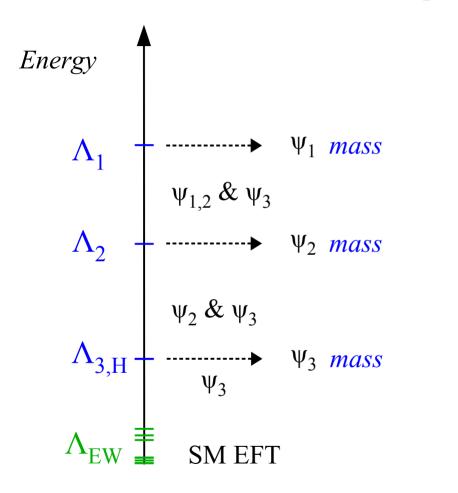


These three (families) of particles seems to be "<u>identical copies</u>" <u>but for their mass</u> ...

The SM quantum numbers of the three families could be an "accidental" <u>low-energy</u> <u>property</u>: the different families may well have a very different behavior at high energies, as <u>signaled by their different mass</u>



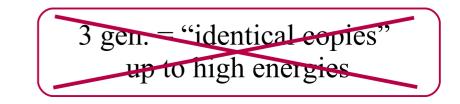
Multi-scale picture ⓐ origin of flavor:

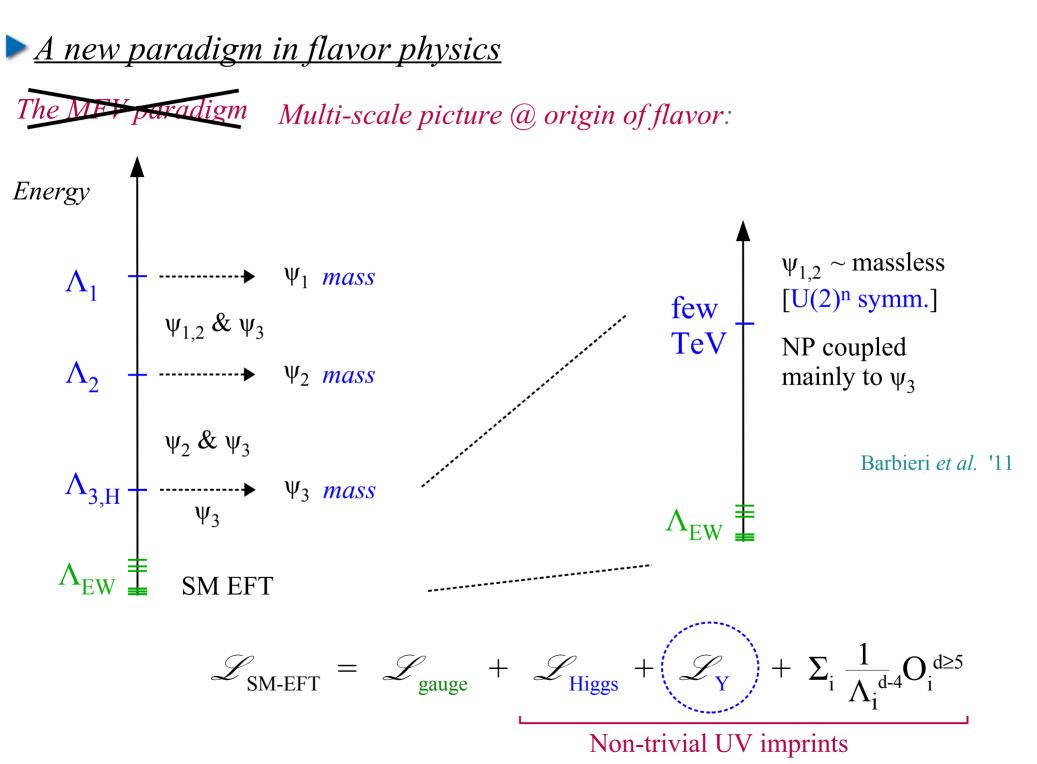


Barbieri '21 Allwicher, GI, Thomsen '20 E Bordone *et al.* '17 Panico & Pomarol '16 E Dvali & Shifman '00

Main idea:

- Flavor non-universal interactions already at the TeV scale:
- 1st & 2nd gen. have small masses because they are coupled to NP at heavier scales





A new paradigm in flavor physics

IF the multi-scale picture is correct.... we should expect to see several other BSM effects in low-energy observables (independently *of the specific natures of the mediators*)

- Exciting (and vast) program for Belle-II, complementary to the one of LHCb and other facilities.
- This program is <u>essential</u> to characterize the NP sector.

A new paradigm in flavor physics

If the multi-scale picture is correct, we should expect to see several other BSM effects in low-energy observables.

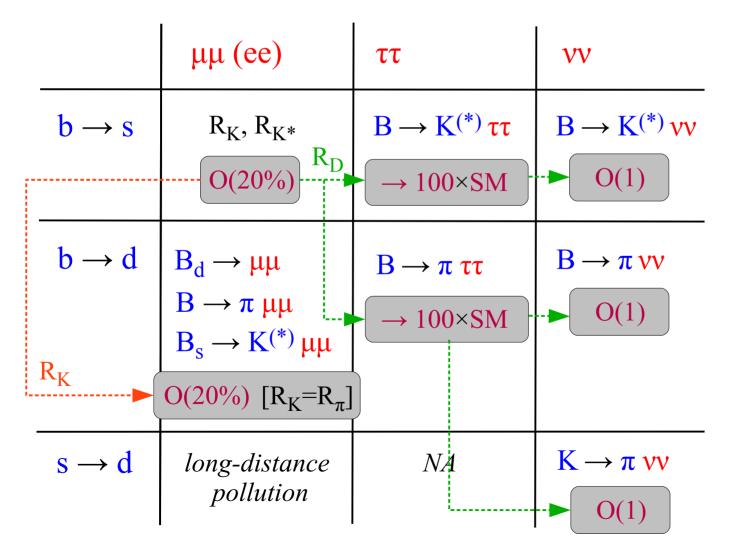
E.g.: <u>correlations among down-type FCNCs</u> [using the results of U(2)-based EFT]:

	μμ (ee)	ττ	VV
$b \rightarrow s$	R _K , R _{K*} <u>present</u> <u>anomalies</u>	$B \to K^{(*)} \tau \tau$	$B \to K^{(*)} vv$ \vdots
$b \rightarrow d$	$B_{d} \rightarrow \mu \mu$ $B \rightarrow \pi \mu \mu$ $B_{s} \rightarrow K^{(*)} \mu \mu$ \vdots	$B \rightarrow \pi \tau \tau$	$B \rightarrow \pi \nu \nu$
$s \rightarrow d$	long-distance pollution	NA	$K \rightarrow \pi \nu \nu$

A new paradigm in flavor physics

If the multi-scale picture is correct, we should expect to see several other BSM effects in low-energy observables.

E.g.: <u>correlations among down-type FCNCs</u> [using the results of U(2)-based EFT]:



A new paradigm in flavor physics

If the multi-scale picture is correct, we should expect to see several other BSM effects in low-energy observables.

E.g.: <u>correlations among down-type FCNCs</u> [using the results of U(2)-based EFT]:

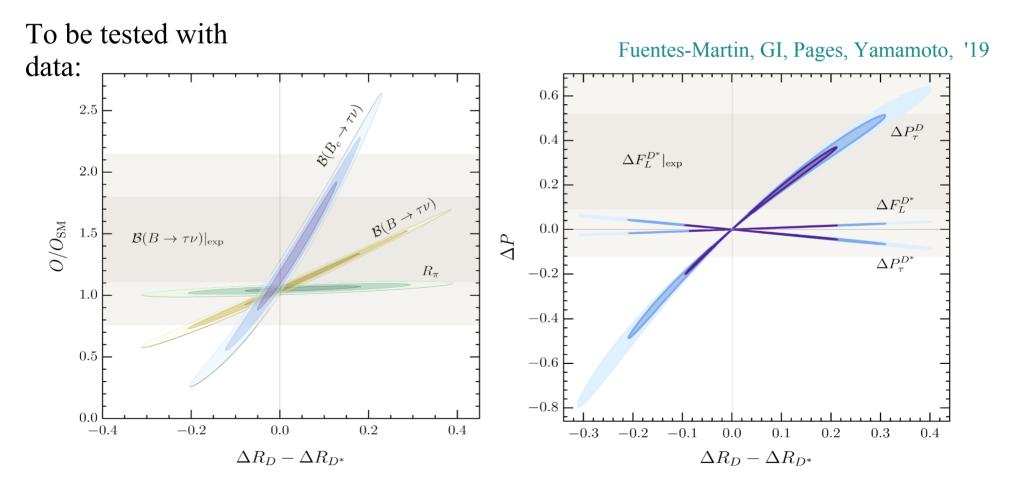
	μμ (ee)	ττ	νν	τμ	μe
$b \rightarrow s$	R _K , R _{K*} O(20%)	$B \rightarrow K^{(*)} \tau \tau$ $\rightarrow 100 \times SM$	$B \rightarrow K^{(*)} vv$ $O(1)$	$B \rightarrow K \tau \mu$ $\rightarrow \sim 10^{-6}$	$ \begin{array}{c} \mathbf{B} \to \mathbf{K} \ \mu \mathbf{e} \\ \hline ??? \end{array} $
$b \rightarrow d$	$B_{d} \rightarrow \mu\mu$ $B \rightarrow \pi \mu\mu$ $B_{s} \rightarrow K^{(*)} \mu\mu$ $O(20\%) [R_{K}=R_{\pi}]$	$B \rightarrow \pi \tau \tau$ $\rightarrow 100 \times SM$	$B \rightarrow \pi \nu \nu$ $O(1)$	$B \rightarrow \pi \tau \mu$ $\rightarrow \sim 10^{-7}$	$B \rightarrow \pi \mu e$???
$s \rightarrow d$	long-distance pollution	NA	$\frac{K \rightarrow \pi vv}{O(1)}$	NA	K → μe ???

A new paradigm in flavor physics

E.g. (II): charged-current observebles

Minimal setup:

- LH nature of NP \rightarrow universality of all $R^{\tau/\mu}(b\rightarrow c)$ ratios $[R_D = R_{D^*} = ...]$
- U(2) symmetry $\rightarrow R^{\tau/\mu}(b \rightarrow c) = R^{\tau/\mu}(b \rightarrow u)$ universality $[R_D = R_{\pi} = ...]$

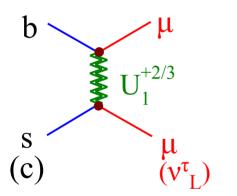


A new paradigm in flavor physics

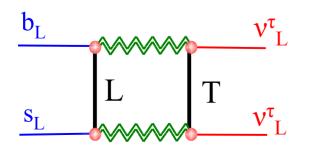
E.g. (III): loop-induced FCNCs

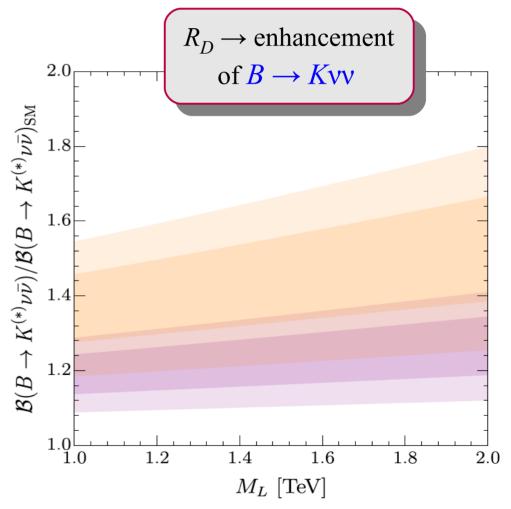
 $B \rightarrow K^{(*)} v v$

No tree-level contribution from the "most succesfull" mediator



But one-loop indiced amplitude:





Cornella, Fuentes-Martin, Faroughi, GI, Neubert, '21 Fuentes-Martin, GI, Konig, Selimovic, '20

Conclusions

- Flavor is an essential ingredient to understand the structure of physics beyond the SM. This statement, which we deduce already by the SM Yukawa structure, is reinforced by the recent anomalies
- The statistical significance of the LFU anomalies is growing: in the $b \rightarrow sll$ system, the chance this is a pure statistical fluctuation is marginal.
- <u>If combined</u>, the two sets of anomalies point to non-trivial flavor dynamics around the TeV scale, involving mainly the 3^{rd} family \rightarrow connection to the origin of flavor [multi-scale picture at the origin of flavor hierarchies]
- <u>No contradiction</u> with existing low- & high-energy data, <u>but new non-</u><u>standard effects should emerge soon</u> in both these areas

Very interesting (near-by!) future...

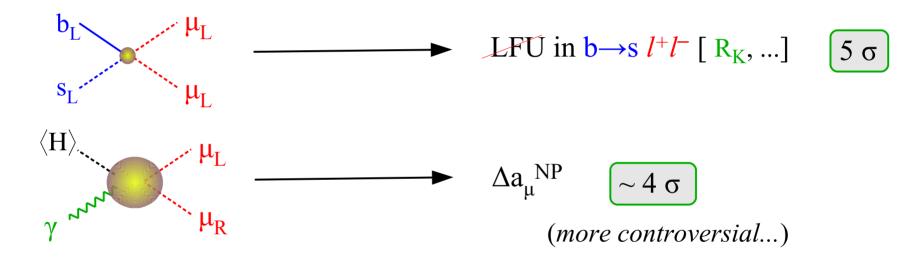
(both on the exp., the pheno, and the model-building point of view)



EFT considerations

The situation might be different if the charged-current anomalies will go away $[\rightarrow key \ role \ of \ Belle-II \ in \ clarifying \ this...]$

A possible alternative "story":



Possible unified description by means of a new interaction with special role for muons (and maybe tau's) $\rightarrow Z'$ is back !

- Connections to origin of the Yukawa is lost
- Exact flavor symmetries needed to avoid $\mu \rightarrow e\gamma$ bounds (*different behavior of quarks & leptons*)

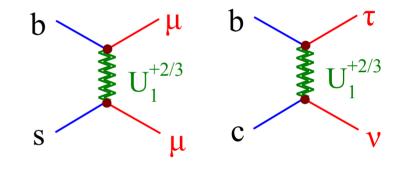
Greljo, Stangl, Thomsen '21 Baum *et al.* '21 Davighi, '21 Altmannshofer *et al.* '21 + *many others*...

From EFT to simplified models

Which LQ explains which anomaly?

	Model	<i>R</i> _{<i>K</i>^(*)}	R _{D(*)}	$R_{K^{(*)}} \& R_{D^{(*)}}$
Scalars	$S_1 = (3, 1)_{-1/3}$	×	✓	×
	$R_2 = (3, 2)_{7/6}$	×	\checkmark	×
	$\widetilde{R}_2 = (3, 2)_{1/6}$	×	×	×
	$S_3 = (3, 3)_{-1/3}$	\checkmark	×	×
Vector	$U_1 = (3, 1)_{2/3}$	\checkmark	\checkmark	\checkmark
Ve	$ {}^{_{\!$	\checkmark	×	×

Angelescu, Becirevic, DAF, Sumensari [1808.08179]

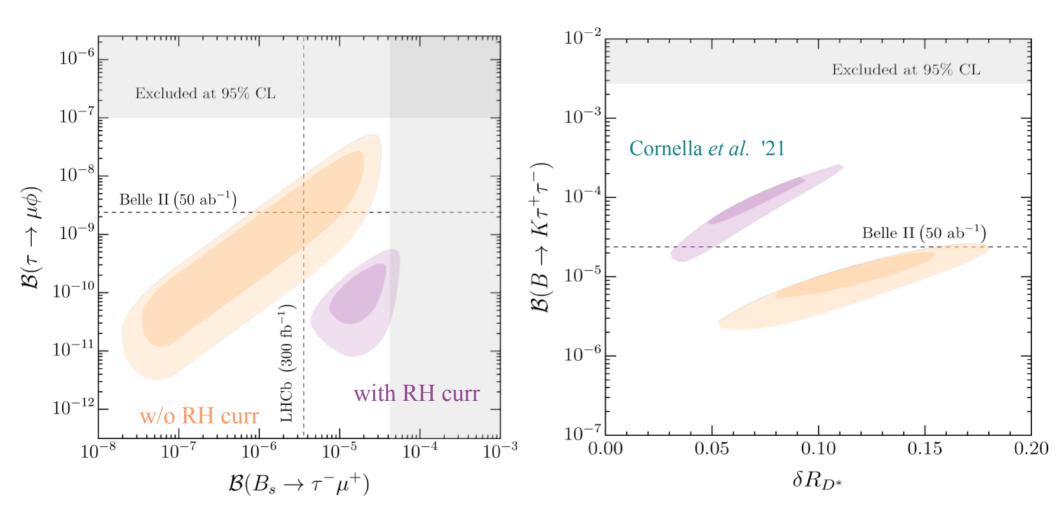


Barbieri, GI, Pattori, Senia '15

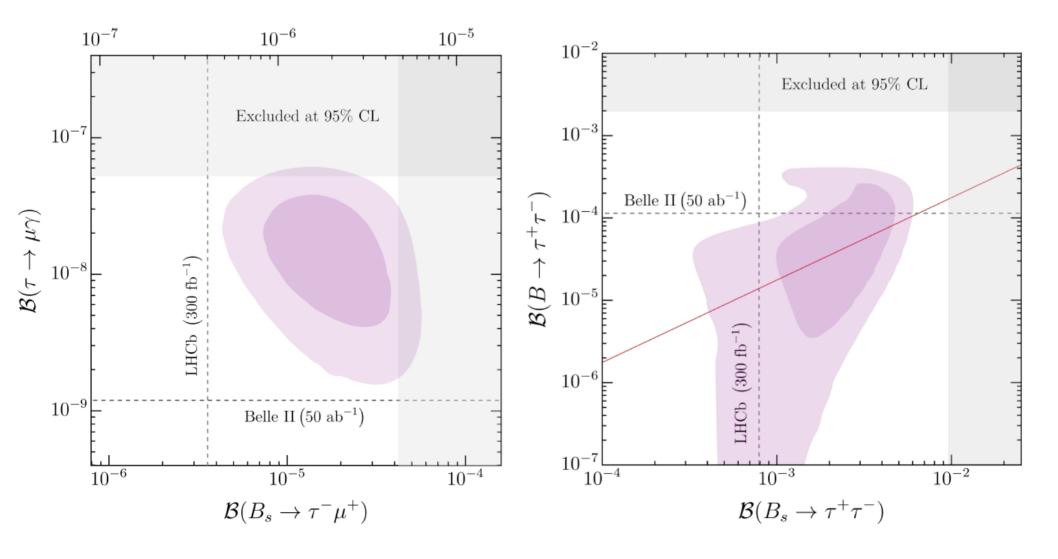
- → <u>mediator:</u> U₁
- → <u>flavor structure</u>: U(2)ⁿ

LQ of the Pati-Salam gauge group: $SU(4) \times SU(2)_L \times SU(2)_R$

Other low-energy observables



Other low-energy observables



Other low-energy observables

Tests of universality in tau decays:

