



RICH Detector Principles and the Belle II TOP, ARICH

Shohei Nishida KEK Belle II Physics Week Dec. 2, 2021

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Belle II and Particle Identification





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Cherenkov Light



• Cherenkov Light is produced when a charged track that passes inside a material is faster than the speed of light inside the material.







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$$\frac{d^2 N}{dEdx} = \frac{\alpha z^2}{\hbar c} \sin^2 \theta_c = \frac{\alpha^2 z^2}{r_e m_e c^2} \left(1 - \frac{1}{\beta^2 n^2(E)} \right)$$
$$\approx 370 \, \sin^2 \theta_c(E) \, \text{eV}^{-1} \text{cm}^{-1} \qquad (z=1) \,,$$

The number of photons is larger when the Cherenkov angle is larger (i.e. the charged particle is faster; the refractive index n is larger).

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 $\mathbf{p} = \mathbf{m} \boldsymbol{\beta} \boldsymbol{\gamma}$

 $\gamma = (1 - \beta^2)^{-1/2}$

How to utilize Cherenkov light for PID ?

- \implies Obtain the information of β (velocity of the charged particle), independently from the momentum (measured by CDC etc.)
- Cherenkov light is emitted when $\beta > 1/n$.
 - ✓ By measuring Cherenkov light, one can tell whether the mass is smaller than a certain threshold (for a certain momentum).
 - ✓ Threshold-type Cherenkov Counter.
 - Aerogel Cherenkov Counter (ACC) @ Belle
- The Cherenkov angle depends on β (and n).
 - ✓ Measure the Cherenkov angle.
 - ✓ Ring Imaging CHerenkov counter (RICH).
 - DIRC @ BaBar
 - TOP, ARICH @ Belle II

 $\cos\theta_c = \frac{1}{\beta n}$

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Aerogel Cherenkov Counter at Belle







- K/π separation is possible only for

 ✓ 0.98
 ✓ 0.80
- Other momentum region needs to be covered by other detectors (dE/dx, TOF).
- Targeting lower momentum region (0.6 < p <2 GeV) in endcap ACC, because of no TOF.
- Simple and robust detector (it could work at Belle II environment)



- Adequately good performance.
- Knock-on electrons cause mis-ID (source of limitation of the performance)





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200

150

100

50

0

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Pion Momentum GeV/c





Another PID device at Belle: Time of Flight (TOF) counter



 π^+ : 0.1396 GeV, K⁺: 0.4937 GeV $\beta = p / E$ $E = sqrt(p^2 + m^2)$

• For 1 GeV π , E = 1.010 GeV, β = 0.99 • For 1 GeV K, E = 1.115 GeV, β = 0.90

Time of flight from IP to the detector?

t = L / β c ~ 3 / β [ns]

If we can measure the arrival time with a precision of well below 0.3 ns, we can separate π and K @ 1GeV.

- Covers up to 1.5 GeV.
- TOF time resolution ~ 80 ps

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Ring Imaging Cherenkov Counter (RICH)

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Ring Imaging CHerenkov counter (RICH) : measure the Cherenkov angle



- Simple-minded RICH may be proximity type, but (historically) focusing type is the standard.
- Proposed by J.Seguinot and T.Ypsilantis in 1977.
- Enough path length over radiator
 → focus by mirror.
- Longer path \leftrightarrow Less position resolution needed.



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Principle of RICH



Radiator	Materials	Refractive Index
 Refractive index and transparency. The choice is up to the momentum of the 	Gas	1.0001~1.001 @ 1atm
particles to be identified.	Aerogel	1.01~1.1
\checkmark high momentum \rightarrow gas	Water	1.33
 But, the choice affects the configuration 	Quartz	1.46
of the RICH detector. \checkmark Gas \rightarrow Long path length in radiator.		β > 1/n
Photodetector		$\cos\theta_c = \frac{1}{2}$
 Detection of the single photon. Position measurements. 		Bn
 Necessary position resolution dependent on the configuration. Multi-channel 	nds -	Magnetic field. Radiation.

- device may be required.
 Quantum efficiency (QE) / photon detection efficiency (PDE)
- Limitations from the experiment, environment.

- Rate (necessary timing resolution).
- Coverage; cost.

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Example: LHCb RICH





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Example: LHCb RICH





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Example: BaBar DIRC









DIRC (Detection of Internal Reflected Cherenkov light)



4 x 1.225 m Synthetic Fused Silica Bars glued end-to-end

- Total reflection inside quartz bars
 - ✓ Angle information is kept.

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Aerogel RICH



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Aerogel RICH







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Aerogel RICH



- Endcap ACC at Belle covered K/π separation at 0.6
 - ✓ Because TOF was not equipped at the endcap.
- Wider momentum range up to 4 GeV → RICH
- Available space was limited (same size as endcap ACC)
 - \rightarrow Proximity type
- Higher refractive index than Belle ACC
 → (still) Aerogel Radiator
 - \checkmark possible to adjust the index.





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Proximity RICH





PID performance is determined by the Cherenkov angle resolution per track:

$$\sigma_{\text{track}} = \frac{\sigma_{\theta}}{\sqrt{N_{p.e.}}}$$

 $N_{p.e.}$: Number of detected photons σ_{θ} : Cherenkov angle resolution per photon (how precise we can measure the angle).

Main contribution to σ_{θ}

- Position resolution of the photon detector
- Thickness of the radiator
- Tracking resolution of the charged particle (position, angle)
- Multiple scattering of track (low momentum)
- Wave length dependence of the refractive index (Chromatic dispersion)



Proximity RICH



Normal Proximity RICH





 $N_{p.e.}$ and σ_{θ} is proportional to d (radiator thickness) $d \rightarrow large \Rightarrow N_{p.e.} \rightarrow large, \sigma_{\theta} \rightarrow bad$ $d \rightarrow small \Rightarrow N_{p.e.} \rightarrow small, \sigma_{\theta} \rightarrow good$



40

50

thickness (mm)

30



30

40

thickness [mm]

50

20

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20

10

О





Solution for the contradiction: dual radiator RICH



- Use two layer of aerogels with different refractive index.
 - \checkmark Ring image overlap at the photo-detector.
 - Possible only with aerogels: we can adjust the index of aerogels.
 - More layers can make better performance (but not so much different).



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Aerogel



- Composition SiO₂. Very light.
 Sparse structure
- Refractive index is around 1.01-1.1, and can be adjusted

For Belle II ARICH

- 2cm × 2 layers.
- $n_1 = 1.045$ and $n_2 = 1.055$
- Good transparency (~40mm)
- 248 tiles in total
 - ✓ Cut with water jet from 18cm × 18cm tile.





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Photodetector



Requirement to the photon-detector:

- Single photon detection with good QE/PDE.
- ~5mm pixel size.
- Large coverage.
- Immune to 1.5T magnetic field.
- Radiation tolerance (neutron, gamma).

Multi-anode PMT



NG: magnetic field NG: radiation(neutron)

used for initial test

some test was done



MPPC/SiPM

200mm T K Charged particle Radiator Photon detector

HAPD



OK

MCP-PMT



OK good timing resolution (work as TOF too?)

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□4.9[mm]

Photodetector

- Single photon detection.
- ~5mm pixel size. Large coverage.
- Immune to 1.5T magnetic field.
- Radiation tolerance (neutron, gamma).

➡ HAPD (Hybrid Avalanche Photo-Detector)



Hybrid: Vacuum tube + semi-conductor

- Developed with Hamamatsu Photonics.
- 144 channels (36-ch APD chip × 4).
- Gain ≥45000.
- Peak QE ~28%
- Size 73mm × 73mm.
- Effective area 63mm×63mm (65%).

Total 420 HAPDs

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ARICH Electronics





- Receive hitdata from 5-6 front-end boards, and send to DAQ.
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Front-end Board

 4 ASIC + Xilinx FPGA (Spartan6).



- Total 60480 channel
- Only ON/OFF information
 - ✓ Pulse height not readout.
 - Readout the hits for 4 different timings (i.e. 4 bit per channel)













420 HAPDs







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- Rough performance can be obtained Cherenkov angle (σ_{θ}) and Number of photons per track (N_{p.e.})
- Distribution with Bhabha sample from the commissioning run (2018).
 - ✓ N_{p.e.} = 9.5 (10.4), σ_{θ} = 16.3 (14.7) mrad in data (MC)
 - ✓ corresponding to 4.3 σ K/ π separation at 4 GeV.

Cherenkov Angle distribution (Bhabha, 2018)



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(cosmic)

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Particle Identification



Particle Identification (PID) by ARICH is obtained from the comparison of the hit pattern and the expected PDF for different particle hypothesis.

$$\ln \mathcal{L}_{h} = -N_{h} + \sum_{\text{hit } i} [n_{h,i} + \ln (1 - e^{-n_{h,i}})]$$

h: particle hypothesis (e, μ , π , K, p,..) N_h : expected total number of hits

n_{h,i} : expected number of hits (probability) at pixel i

Note: ARICH has only ON/OFF information in each channel (pixel).

Likelihood ratio

$$R_{K/\pi} = \frac{\mathcal{L}_K}{\mathcal{L}_K + \mathcal{L}_\pi}$$
$$R_{\pi/K} = \frac{\mathcal{L}_\pi}{\mathcal{L}_K + \mathcal{L}_\pi} = 1 - R_{K/\pi}$$

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Performance



Recent Performance [D* study by Vismaya V S (hadron ID group)]



Bucket 25: exp 18, run 2646-2986, release-05 Sproc-2: release-06

- Working well generally.
- Trying to improve the performance (alignment, improving PDFs)

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Radiation Tolerance



- ARICH is relatively tolerant to the beam background.
 - Only small number of background hits are seen; negligible to the performance at this moment.
- Deterioration of HAPDs (increase of the leakage current, larger noise) due to silicon bulk damage by neutrons.
 - Tolerant to 10¹² neutrons / cm² @ 1MeV equiv., assumed for to 10 years' operation.
 - Sensor performance will be gradually degraded, with a very modest effect on the PID performance.
- SEU (single event upset) in the FPGAs of the front-end.



neutron irradiation test of HAPD



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TOP (Time Of Propagation)



Some figures are taken from presentations by K. Matsuoka @ RICH2016, U.Tamponi @ RICH2018, S. Sandiya @ TIPP2021.

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TOP





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Measurement principle of TOP (Time of Propagation) Detector



- Measure the time of propagation of K and π : need ~ 50 ps timing resolution
- Measure the position of photons, too.
- Also works as a TOF (Time of Flight) detector for low momentum particles.
 - $\checkmark\,$ Combination of TOF and RICH with a single device







- Very flat quartz bar
- Photo-detector with good timing resolution.
- Focus Mirror
 - ✓ Parallel photons are focused: remove the uncertainty from the bar thickness.
 - \checkmark y actually differs with different θ_{c} (when wave length is different).
 - \rightarrow Correction of chromatic dispersion (look at the relation of y and t)





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MCP-PMT



MCP (Micro Channel Plate) - PMT



Photon (Cross-section) Photocathode (NaKSbCs) CP x 2 4 x 4 anodes 5.275 mm Micro channel 5.275 mm CP x 2 4 x 4 anodes

• 4 × 4 channels

- NaKSbCs photo cathode; QE>24%
- TTS (Transit Time Spread)* < 40ps
 - * = Fluctuation of the signal timing for single photon input.

best time resolution!

Photodetector with the



Each module is read by 64 ASICs packed into 4 boardstacks



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MCP-PMT



Aging problem of MCP

- QE drops as a function of accumulated charge.
 - ✓ The gas and ion from MCP damage the photo-cathode.
- ALD (Atomic Layer Deposition) and life-extended ALD type were developed during mass production.
- Conventional type (40% of installed MCP) will be replaced in 2023 (LS1).
- The MCP-PMT rate (~accumulate charge) is now limited to 3 MHz so that MCP-PMTs survive till the replacement







PID at TOP





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PID at TOP





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PID at TOP



TOP needs very accurate timing and calibration, e.g.)

The TOP sampling clock is locked to the accelerator radio-frequency clock (RF clock) \rightarrow Any offset between the two will result in a mis-reconstruction of the PDFs

Most probable collision time → reconstructed back-fitting the higher momentum tracks in the event

- \rightarrow If calibrations are correct, it will match with a tick of the RF clock
- → Resolution on data: 150 ps (bunch crossing: 2 ns)



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Performance



• Momentum distribution of the K and π sample:



• Data-MC comparison for K-eff. and π mis-ID rate for R[K/ π] > 0.5 w.r.t. momenta



TOP works well, but the performance is not very good yet.

> The overall PID performance is still worse than Belle.

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R&D for Future





R&D for the photon sensors (SiPM), electronics are going on.







Backup

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Belle and PID





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TOF @ Belle





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LHCb RICH





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Example: LHCb RICH



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DIRC (Detection of Internal Reflected Cherenkov light)



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ARICH Electronics





- CMOS 0.35 μm process @ TSMC and X-FAB.
- 36 ch / chip (i.e. 4 ASIC for one HAPD).
- Variable gain (3.1-12.5 V/pC) and shaping time (100-200ns).
- Common threshold but adjustable offset (16-bit; for each channel).
- DICE (Dual Interlocked CEII) register to be tolerant to SEU.
- Mass production done at X-FAB.

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Another effect from neutrons is SEU in the FPGAs in the front-end.



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ARICH Gallery















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HAPDs



Signal hits / channel / event



Current status of HAPD operations

- 5 HAPDs (1.2%) are off due to a problem of LV cable to the front-end electronics.
 - ✓ To be repaired (in long-shutdown)
- 3.0% of channels suffer bias (or guard) problem inside APD.
 - ✓ Typically sudden increase of leakage current.
- 1.7% of channels suffer HV problem.
 - ✓ Various reasons.

Total 5.9% dead

The problem of APD is still increasing, but is getting stabilized.

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ARICH PDF



PDF components:

- Cherenkov photons from the aerogel.
- Background correlated to particles.
 - Depends on whether particles pass the quartz window of HAPDs.
 Separate PDF for the two cases.
- Random background.

PDFs are calibrated with $e^+e^- \rightarrow \mu^+\mu^-$ (higher momentum), $K_S \rightarrow \pi^+\pi^-$ (lower momentum)



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ARICH Performance

 $\pi_{\rm slow}^+$

 $K^- \pi^+$



 $\pi_{
m slow}$

PID performance estimated with D* using 5.2 fb⁻¹ data (taken in 2019).

- Use tracks that enter ARICH.
- Apply D* mass selection and look at D⁰ mass.



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TOP Quartz Bar





Quartz

- Connect 2 bars with 1.25m × 45cm
 × 2cm
- Roughness < 0.5nm, flatness < 6μ m
- + Focusing Mirror + Expansion Block
- Readout with 32 of 16ch PMT
- Polishing: Okamoto & Zygo

16 TOP modules



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18.5

300

350

400

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channel



TOP



The TOP sampling clock is locked to the accelerator radio-frequency clock (RF clock) \rightarrow Any offset between the two will result in a mis-reconstruction of the PDFs

Most probable collision time

- \rightarrow reconstructed back-fitting the higher momentum tracks in the event
- \rightarrow If calibrations are correct, it will match with a tick of the RF clock
- \rightarrow Resolution on data: 150 ps (bunch crossing: 2 ns)



[U.Tamponi@RICH2018]

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TOP Performance



 Data-MC comparison for K-eff. and π mis-ID rate (TOP only) for R[K/π] > 0.5 w.r.t. azimuthal angle.



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K efficiency at	10% π mis-ID
-----------------	------------------

	Data	MC
Belle	88%	94%
Belle II	84%	90%

- Current Belle II hadron ID performance is slightly worse than what achieved by Belle at the end of the run
- Data-simulation agreement
 already at a comparable level

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- In Belle and Belle II, total PID is obtained with likelihood.
- For that purpose, likelihood values for 5 (e, μ , π ,K,p) or 6 (+deuteron) mass hypotheses are calculated by each detector.
- Selection is applied by comparing two particle hypotheses: PID(i:j) = L(i) / (L(i)+L(j))
- Likelihood from different detectors is combined by making a product:
 L(i) = L_{ACC}(i) × L_{TOF}(i) × L_{CDC}(i) (@ Belle, i.e. atc_pid)
- PID(K: π) tends to be 1 if the particle is K-like, and 0 if it is π -like.
- PID(i:j) = 1 PID(j:i)
- Do not use a selection PID(i:j)>0.5 .
 - ✓ There can be many tracks that PID(i:j) become exactly 0.5, so PID(i:j)>0.5 and PID(i:j)>=0.5 gives different results.
- It is better to use "recommended values" in Belle analysis, since official systematic error table is already calculated.



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Likelihood



- Q) We can compare only two particle hypotheses. Why do we use only PID(K:π) to select kaon?
 - ✓ If you want, you can apply cuts on PID(K:e), PID(K:µ), PID(K:p) too, but this is not necessary (maybe even harmful for systematic study).
 - A) PID is mainly done by mass difference. So, if you apply a cut on PID(K:π), this automatically cut electrons and muons, too.
 - ✓ A) The number of produced particles at Belle: $\pi > K > e$, $p > \mu$.
 - ✓ A) From physics. You may have similar (background) processes where K is replaced by π . (e.g. with different CKM factor).
- But, if μ becomes background, you can explicitly veto μ . In this case, you reject it using Muid, because Muid uses KLM information.