# **Unexpected LHCb** Neutrals, Neutrinos, Taus, and all that

2021 BELLE II PHYSICS WEEK DECEMBER 2, 2021

PHOEBE HAMILTON (UNIVERSITY OF MARYLAND)



## Goals today:

•This talk:

- Show how LHCb uses the strengths of its detector to overcome the limitations of the environment
- Focus on key techniques -- not a complete "analysis seminar"

#### •Beyond this talk:

- Stimulate thinking about new ways to use the information you have to approach analyses in new ways and unlock "impossible" signals
- Prevent you from being locked into "conventional" analysis paradigms as the only way to do things

## Outline

OIntroduction to LHCb & our "environment" in data

Hadronic B decays

- LHCb standard technique overview
- New technology in  $B^+ \to K^+ \pi^0$
- Extensions
- Semileptonic B decays
  - General LHCb approaches
  - Tau analyses
    - Muonic
    - 3-prong

Upgrade preview



oLHC collisions produce copious amounts of beauty and charm

- At 7 TeV:  $\sigma_{b\bar{b}} \sim 250 \ \mu b$
- At 13 TeV:  $\sigma_{b\bar{b}} \approx 530 \ \mu b$
- $\circ\,$  Production dominantly occurs at high  $\eta$  with highly-boosted CM frame
- Fragmentation (averaged in acceptance): 62% B, 12% Bs, 26% baryon

 $_{\odot}$ Central detector ( $|\eta| < 2.5$ ) scheme covers only 52% (45%) of b quark (pair) production despite surrounding >98% of the solid angle

### The LHCb Detector

- LHCb approach: focus on forward direction: cover 27% (25%) of (pair) production while instrumenting < 3% of the solid angle</li>
  - Lumi @ LHCb ~  $3 \times 10^{32}/cm^2/s \rightarrow 40,000 \ b\bar{b}/s$  produced in acceptance (~400 billion per snowmass standard year)

#### $\circ$ Coverage is from $\approx 15 \text{ mrad} - 300(250)\text{mrad}$ in the horizontal (vertical)

 $_{\circ}~\approx 1^{\circ}\text{-}17^{\circ}$  (very roughly)



### The LHCb Detector

Charged particles ( $e, \mu, p \pi^{\pm}, K^{\pm}$ ) are bent into/out of the page and their charge, momentum, and trajectory are measured (dipole spectrometer)

*b*-hadron decay products are distinguished from proton-proton collision fragments via their impact parameter with respect to reconstructed pp vertices



### The LHCb Detector

Dedicated Gas Cherenkov detectors provide measurements of  $\theta_c = \theta(v/c)$ to separate different particle species High-energy muons are not easily stopped by material and are identified by their exiting out the back of the detector



### **Experimental Environments**



- B-factories: exploit clean BB *production* from  $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\overline{B}$  (Q = 20 MeV)
  - A priori knowledge of B energy and collision CM, no extra particles in signal events
  - Easy to cross-feed tracks due to low CM momentum of B mesons Event shape needed to separate  $B\overline{B}$  vs more frequent  $e^+e^- \rightarrow q\overline{q}$ , q = u, d, s, c
- LHCb: exploit clean B hadron *decay vertex*
  - At LHC energies, b hadrons fly macroscopic distances before decay: use displaced vertex, large impact parameter of charged tracks, etc
  - Production is  $gg \rightarrow b\overline{b} + MPI + showering + ISR + \cdots$ , many extra tracks, very large background for neutrals

### LHCb Events



### Example

• Excellent example of a mode that plays to LHCb strengths:  $B^0 \rightarrow K^+\pi^-$ 

- Only two tracks to reconstruct (high efficiency)
- Kaon in final state (most PV tracks are pions)
- $^\circ~2\times 10^{-5}$  branching fraction is large (enough) given  $10^4 b \overline{b}/{\rm s}$
- Momentum and vertex (~ lifetime) resolution allows clear separation of  $B^0$ ,  $B_s^0$





#### The $B \rightarrow K\pi$ System

- $B^0 \to K^+ \pi^-, B^0 \to K^0 \pi^0, B^+ \to K^+ \pi^0, B^+ \to K^0 \pi^+$
- Dominated by QCD penguin diagrams
  - Suppressed by loop
  - Tree suppressed by  $V_{ub}$
- Different Kπ decays have contributions from different diagrams
- Potentially sensitive to new physics through massive virtual particles in loops

OSkipping many details...



(a)  $B \to K\pi$  penguin diagrams





<sup>(</sup>b)  $B \to K^+ \pi$  colour-favored tree diagrams



(d)  $B\to K\pi^0$  electroweak penguin diagrams



01/12/2020

#### The $K\pi$ Puzzle Continued

$$A_{CP}(K^{+}\pi^{-}) + A_{CP}(K^{0}\pi^{+})\frac{B(K^{0}\pi^{+})}{B(K^{+}\pi^{-})}\frac{\tau_{0}}{\tau_{+}} = A_{CP}(K^{+}\pi^{0})\frac{2B(K^{+}\pi^{0})}{B(K^{+}\pi^{-})}\frac{\tau_{0}}{\tau_{+}} + A_{CP}(K^{0}\pi^{0})\frac{2B(K^{0}\pi^{0})}{B(K^{+}\pi^{-})}\frac{2B(K^{0}\pi^{0})}{B(K^{+}\pi^{-})}\frac{\pi_{0}}{\tau_{+}}$$

- All Kπ CP asymmetries and branching fractions can be incorporated in more precise equivalence
- Current measurements (<u>HFLAV 2018</u>) predict  $A_{CP}(K^0\pi^0) = -0.150 \pm 0.032$ , value measured by B factories:  $0.01 \pm 0.10$
- Fits to  $K\pi$  observables show some tension
- Can be resolved by enhancement of color-suppressed trees or NP in penguins
- $B^0 \rightarrow K_S^0 \pi^0$  is a key component of Belle II physics program



Buras et al., Eur. Phys. J. C 32 (2003) 45, Phys. Rev. Lett. 92 (2004) 101804, Nucl. Phys. B 697 (2004) 133; S. Baek et al., Phys. Rev. D 71 (2005) 057502, Phys. Lett. B 653 (2007) 249, Phys. Lett. B 675 (2009) 59; M. Gronau, Phys. Lett.B 627 (2005) 82; N. B. Beaudry et al., JHEP01(2018) 074; R. Fleischer et al., Phys. Lett. B 785 (2018) 525

o"impossible" 1-track mode  $B^+ \rightarrow K^+ \pi^0$  is also of clear interest to check consistency of sum rule

 $^\circ\,$  Covered by B-factories, but bringing LHCb statistics to bear is tantalizing (bf  $^\sim\,1.3\,\times\,10^{-5})$ 

9

# LHCb Events & $B \rightarrow K^+ \pi^{-/0}$



OHave only a single track and a blob in the calorimeter, no conventional vertex is possible!

• Is there a way to identify the signal without knowing the trajectory of the 2<sup>nd</sup> daughter particle?

•Before we talk about the answer, lets look back in time a bit...

#### Some Time Travel

oLHCb's problems in this mode look unique, but in reality they are not!

- oA similar difficulty exists at the Bfactories: Want to do timedependent  $A_{CP}$  in  $K_S^0 \pi^0$ ,  $K_S^0 \pi^0 \gamma$ , etc
  - B-tagging can yield clean signal peaks, but no secondary vtx = no decay time info!
- Their solution: compute a vertex between the  $K_S^0$  trajectory and the beam axis
  - Underlying approximation is that  $\Delta x, \Delta y \ll \Delta z$

arXiv:hep-ex/0403001 (hep-ex)

[Submitted on 27 Feb 2004]

# Measurements of CP-violating Asymmetries in $B^0 o K^0_s \pi^0$ Decays

The BABAR Collaboration, B. Aubert, et al



### Mother-trajectory approach



• Construct intersection of 3-momentum vector (origin at PV) and Kaon flight trajectory!

- Usual LHCb analysis place selection on the consistency of the reconstructed momentum and displacement vectors -- here we require the same consistency but with different information
- OBDT combines isolation and kinematics to do remainder of background suppression, but this really is the secret sauce-- without MT DOCA we couldn't even trigger this!

Will Parker, CERN LHC seminar, 1Dec2020

## Result: A Clear Signal!





### Extensions to other modes

 $\odot$ Mother-trajectory approach can be applied in a straightforward way to intermediate lifetime neutrals as well ( $K_s^0$ ,  $\Lambda^0$ )

○New result: photon polarization & CP asymmetry in  $\Lambda_b^0 \rightarrow \Lambda^0 \gamma$ arXiv:2111.10194, Submitted to PRL



#### Semileptonic B decays



o "Beta decay" of B hadrons – signature is lepton ( $\mu$  or e (or  $\tau$ !)), recoiling hadronic system, and missing momentum

oTheoretically well-understood in the SM

- Tree level virtual W emission strong V-A structure
- No QCD interaction between the lepton-neutrino system and the recoiling hadron(s)
  - $\circ \overline{B} \to W^{*\pm}D^{(*)}$  half of the decay still needs non-perturbative input

• Charged lepton universality implies branching fractions for semileptonic decays to  $e, \mu, \tau$  differ only phase space and helicity-suppressed contributions

#### What we want to measure





### In a more perfect world...



 By fully reconstructing the event, the missing energy (or alternately the signal B initial momentum) can be constrained and the missing mass directly computed

•But at LHCb we lack the information needed to close the kinematics!

- Unknown hard interaction energy, many underlying event particles, etc...
- We know the *direction* of  $p_B$ , but not the *magnitude*... need a constraint!

#### Rest frame approximation



• Key observation: Distributions are broad to begin with – a well-behaved approximation will still preserve differences between signal, normalization and backgrounds Take  $(\gamma \beta_z)_{\bar{B}} = (\gamma \beta_z)_{D^* \mu}$ 

$$\Rightarrow (p_z)_{\bar{B}} = \frac{m_B}{m(D^*\mu)} (p_z)_{D^*\mu}$$

• The problem of large nontrivial resolutions is by no means unique to us, and it should not be daunting

- See, e.g., neutron spectrometry
- If resolution/approximations in reconstruction are *reliably simulated*, then you can get away with a lot!

LHCb-PAPER-2015-025 supplementary

#### Fit

•Using rest frame approximation, construct 3D "template" histograms for each process contributing to  $D^{*+}\mu^-$ 

- Signal, normalization, and partially reconstructed backgrounds use simulated events, other backgrounds use control data
- Templates are functions of any relevant model parameters via interpolation between histograms generated with different fixed values of those parameters
- •These templates are then used as PDFs for a maximum likelihood fit to data • ~Inclusive spectral unfolding in multiple dimensions
- -> distributions shown previously directly translate to one-dimensional projections of the 3D templates for signal and normalization



#### Reducing partially reconstructed backgrounds



Make use of superb tracking system

- $^{\circ}$  Scan over every reconstructed track and compare against  $D^{*+}\mu^{-}$  vertex
  - $^\circ~$  Check for vertex quality with PV and SV, change in displacement of SV,  $p_T$  , alignment of track and  $D^{*+}\mu^-$  momenta
  - Our equivalent tool to requiring no more reconstructed physics objects after signal and tag are selected

#### • Each track receives BDT score as "SV-like" (high) vs "PV-like" (low)

- Cut on most SV-like track below threshold: get signal sample enriched in exclusive decays. Rejects 70% of events with 1 additional slow pion
- Cut on most SV-like track(s) being above threshold: get control samples enriched in interesting backgrounds  $B \rightarrow D^{*+}\pi\mu\nu$ ,  $B \rightarrow D^{*+}\pi\pi\mu\nu$ ,  $B \rightarrow D^{*+}H_c(\rightarrow\mu\nu X')X$  (very analogous to high  $E_{ECL}$  control regions in, e.g.  $B \rightarrow \tau\nu$ )

#### Other Major Tool: *Control Samples*

 $\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_{\mu}$  (normalization)

 $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_{\tau}$ (signal)

Corrections applied to double-charm dalitz plots, form factors of semileptonic backgrounds (excited charm) measured

Control sample fits to constrain shapes

$$\begin{split} \bar{B}^{0} &\to D^{**+} \mu^{-} \bar{\nu}_{\mu} + \bar{B}^{0} \to D^{**+} \tau^{-} \bar{\nu}_{\tau} \\ \bar{B}^{-} &\to D^{**0} \mu^{-} \bar{\nu}_{\mu} + \bar{B}^{-} \to D^{**0} \tau^{-} \bar{\nu}_{\tau} \\ D^{**} &\to D^{*+} \pi \text{ (3 states each, 6 PDFs)} \end{split}$$

 $\bar{B}^0_S \to D^{**+}_S \mu^- \bar{\nu}_\mu$  $D_s^{**+} \rightarrow D^{*+}K_s^0$ , (2 states, 1 free param)

 $h \rightarrow \mu$ 

misidentification

 $B^{+,0} \rightarrow \overline{D}^{**} \mu^+ \nu_{\mu}$  $\overline{D}^{**} \rightarrow D^{*-}\pi\pi$ , (cocktail)

combinatorial

 $\overline{B} \to D^{*+}H_c(\to \mu\nu X')X$  $+\overline{\overline{B}} \rightarrow D^{*+}D^{-}_{s}(\rightarrow \tau \overline{\overline{v_{\tau}}})X$ 



#### Other Major Tool: Control Samples

 $\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_{\mu}$  (normaliza)

 $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_{\tau}$ (signal) Johnathan Frakes on simulated *B* background samples "out of the box"

$$\begin{split} \bar{B}^{0} &\to D^{**+} \mu^{-} \bar{\nu}_{\mu} + \bar{B}^{0} \to D^{**} \\ \bar{B}^{-} &\to D^{**0} \mu^{-} \bar{\nu}_{\mu} + \bar{B}^{-} \to D^{*} \\ D^{**} &\to D^{*+} \pi \text{ (3 states each, 6)} \end{split}$$





#### LHCb result

- •3D fit to  $m^2_{miss}$ ,  $E^*_\mu$ ,  $q^2$
- •Result:  $R(D^*) = 0.336 \pm 0.027 \pm 0.030$ 
  - (2.1 sigma from CLN prediction)
  - First measurement of a  $b \rightarrow X \tau \nu$  decay at a hadron collider
- Dominant systematics from MC statistical uncertainty and background from hadrons misidentified as muons







• This signal mode is historically very challenging due to the large inclusive  $\overline{B} \rightarrow D^{*+} 3\pi_{direct} X$  branching fraction (includes normalization mode)

Size is 100x expected signal

Very large boost yields a tertiary vertex and additional handles at LHCb

- $^\circ\,$  Requiring  $4\sigma\,$  separation of vertices along  $\hat{z}$  removes 99.9% of non-flying background
- Signal efficiency is ~34%

#### Reconstruction of Fit Variables

•Again, we make use of alignment of flight direction and total momentum, except now we have a tau vertex to play with!

- Know:  $p_{3\pi}$ ,  $p_{D^*}$ , B flight vector from PV,  $3\pi$  flight vector from  $D^*$
- Using known B and au mass to solve results in 2 imes 2-fold quadratic ambiguities

$$\vec{p}_{B^0}| = \frac{(m_{D^*\tau}^2 + m_{B^0}^2)|\vec{p}_{D^*\tau}|\cos\theta_{B^0,D^*\tau} \pm E_{D^*\tau}\sqrt{(m_{B^0}^2 - m_{D^*\tau}^2)^2 - 4m_{B^0}^2|\vec{p}_{D^*\tau}|^2\sin^2\theta_{B^0}}}{2(E_{D^*\tau}^2 - |\vec{p}_{D^*\tau}|^2\cos^2\theta_{B^0,D^*\tau})}$$

$$|\vec{p}_{\tau}| = \frac{(m_{3\pi}^2 + m_{\tau}^2)|\vec{p}_{3\pi}|\cos\theta_{\tau,3\pi} \pm E_{3\pi}\sqrt{(m_{\tau}^2 - m_{3\pi}^2)^2 - 4m_{\tau}^2|\vec{p}_{3\pi}|^2\sin^2\theta_{\tau,3\pi}}}{2(E_{3\pi}^2 - |\vec{p}_{3\pi}|^2\cos^2\theta_{\tau,3\pi})}$$

- •Choose  $\theta, \theta'$  such that the ambiguity vanishes
  - Provides  $\approx 10\%$  resolution on  $q^2$
- 2<sup>nd</sup> reconstruction hypothesis: assume no neutinos at B vertex, unknown mass neutral system at 3pi vertex – obtain estimate for mass m(3pi+N) which peaks for Ds bkgnd
- using strengths of detector to overcome difficulties of environment/final state!

### Controlling Ds backgrounds

- Largest background is from  $B \rightarrow D^*D_s[\rightarrow 3\pi X]$ 
  - Train BDT to distinguish the two decays using (mainly)  $3\pi$ 0 dynamics
  - BDT used both as selection 0 and fit variable
- D<sub>s</sub> decay simulation is untrustworthy at best (don't blindly trust decay.dec!). 3 Step process to calibrate background simulation:
  - Train BDT on "vanilla" 0 (uncorrected) simulation
  - use to select pure  $D_s$  sample
  - correct  $D_s$  simulation modelling by comparison of BDT inputs in background-like 0 region
  - Apply corrections to background template in signal 0 fit



PRD 97 (2018) 072013

Fit

- 3D fit in  $q^2$ ,  $\tau$  decay time (not shown), BDT
- Exclusive  $\bar{B} \rightarrow D^{*+} 3\pi_{direct}$  provides normalization for measurement

• 
$$K(D^*) \equiv \frac{\mathcal{B}(\overline{B}^0 \to D^{*+} \tau^- \overline{\nu}_{\tau})}{\mathcal{B}(\overline{B}^0 \to D^{*+} \pi^- \pi^+ \pi^-)}$$
  
 $R(D^*) = K(D^*) \times \frac{\mathcal{B}(\overline{B}^0 \to D^{*+} \pi^- \pi^+ \pi^-)}{\mathcal{B}(\overline{B}^0 \to D^{*+} \mu^- \overline{\nu}_{\tau})}$ 

#### • **Result:** $0.286 \pm 0.019 \pm 0.025 \pm 0.021$





# Next Steps for LHCb

HEAVY FLAVOR IN RUN3 AND BEYOND

#### LFU Ratio prospects

- •General prospects for increasing precision of core observables  $(R(X_c))$  are relatively well-established
  - Ultimate sensitivity depends on what systematics become limiting
  - Large datasets -> large control samples -> most systematics can be reduced
- Right: projections if limiting systematics become combinatorial background shapes, PID efficiencies, data/MC corrections
- Absolutely crucial that computing keep up with data (need simulation ~4x data to keep up)
  - Raw power/architecture improvements?
  - Improved FastMC? (systematics?)



#### $b \rightarrow u \tau v$

•  $b \rightarrow u$  semileptonics are challenging due to very large combinatoric backgrounds

- Low daughter multiplicity, no tertiary vertex
- One handle: rarer  $X_u$  systems (p, K instead of  $\pi$ )
- Example: Existing LHCb result on  $|V_{ub}|$  in  $\Lambda_b^0 \rightarrow p\mu\nu$  is already systematics limited with just Run1
  - External inputs dominate form factors,  $\Lambda_c^+ \rightarrow pK\pi$
- •Targets for LFU:  $B^- \rightarrow p\bar{p}\tau\nu$ 
  - Expect O(1000) normalization in first search for this mode at LHCb, by Run5 could have similar stats to 2015 LHCb R(D\*) measurement
  - Many challenging partially reconstructed bkgds





 $\mathcal{B}(B^- o p ar{p} \mu^- \overline{
u}_\mu) = \ (3.1^{+3.1}_{-2.4} \pm 0.7) imes 10^{-6}$ 





### Farewell to a Superb Detector

#### LHCb remains diverse and vibrant experimental collaboration during long shutdown:

 ~1400(!!!) members across 85 institutions in 18 countries working on Run1&2 data, upgrade construction, upgrade software, planning for further future, etc.

#### oLHCb as we knew it has been disassembled to make room for the Phase-I upgrade detector

- Fast readout for real-time software decisionmaking
- More granular subdetectors to cope with 'busier' events

#### oPhotos: LHCbExperiment on Instagram







# LHCb Upgrade in a Nutshell

#### Cannot increase luminosity any further in Run2 trigger scheme

- Fixed-bandwith hardware decisions limit output rate and only access limited event info
- Increasingly strict requirements select \*against\* heavy-flavour events

#### **OLHCb Upgrade Concept:**

- Completely rebuilt detector readout to be synchronous with LHC beam crossings
- Real-time analysis style event selection
  - Fast event reconstruction with GPU technology
  - Can identify candidate B hadrons and interesting tracks \*before\* making the decision!

#### • Result: Order-of-magnitude increase in dataset

#### $\circ {\rm Require\ significant\ increase\ in\ segmentation\ to\ deal\ with\ \sim 5\ pp\ collisions\ per\ event$

- All new charged-particle trackers
- Re-optimized and rebuilt particle identification subdetectors



# Upgrade outside the nutshell



# Summary

 LHCb groups have been hard at work finding ways to move beyond the applications envisioned in the physics case and design work

 Many of the techniques have spiritual (if not direct) analogs in the B factories – we don't work in a vacuum and neither do you!

 Not every possibility for Belle-II has been done already, nor possibly even imagined yet!

 Keep your eyes open and look for connections between your problems and others, you never know where a breakthrough will come from!

# Backup Slides

### Aside: Semileptonics with light leptons

**P**⊥

-P\_



B vertex well-

reconstructed

В

•With a single missing neutrino, there exists a very nice tool:

$$m_{corr} = \sqrt{m_{vis}^2 + p_\perp^2 + |p_\perp|}$$

Signal peaks at B mass, shape well-described by MC, not very sensitive to form factors

• This tool is surprisingly general however:

- Exactly equivalent to "transverse mass" variable used for energy frontier searches involving missing energy
- Also appears in some partial-reconstruction techniques with single missing neutral particles
- Used in LHCb inclusive triggering to find B decays with daughters below HLT tracking threshold and/or neutrals

100

Good to keep in your toolbox!



