# DEEP DIVE INTO SEMILEPTONIC ANALYSES

Bob Kowalewski University of Victoria





#### Motivation

# Why study semileptonic decays?

- Provide access to fundamental CKM parameters  $|V_{cb}|$  and  $|V_{ub}|$
- Probe charged weak *b* decays:
  - Allow tests of lepton universality
  - Allow tests of structure (pure V A or not?)
- Are more abundant (but less clean) than purely leptonic decays
- Are better understood theoretically than fully hadronic decays (but they're harder to reconstruct due to missing neutrino(s))

 $\left\langle X \left| \overline{b} \gamma^{\mu} q \right| B \right\rangle_{SM}$  $\left\langle X \left| \mathcal{O} \right| B \right\rangle_{NP?}$ 



# SM $\leftarrow$ Physics goals $\rightarrow$ NP

- |V<sub>cb</sub>|
  - Abundant  $B \rightarrow X_c \ell \nu$  decay modes ( $\approx 24\%$  of all B decays)
- |*V*<sub>*ub*</sub>|
  - Rarer ( $\approx 0.5\%$  of all B decays)
  - Large potential background from  $b \rightarrow c$  transitions
- Form factors

- Lepton flavor universality: measure *e*, μ, τ decay rates separately
- Look for contributions that aren't pure V A



### Lepton flavor universality tests

- A fundamental assumption in the SM is that spin-1 bosons couple *only* to charge; for flavor-changing interactions this means weak isospin
- As a result,  $e, \mu$  and  $\tau$  decay rate differences arise only due to phase space  $\rightarrow$  the SM makes precise predictions about their ratios
- Ratios like  $\mathcal{R}_D = \frac{\Gamma(B \to D \tau \nu)}{\Gamma(B \to D \ell \nu)}$  provide stringent tests, since uncertainties from form factors and experimental sources partially cancel in the ratio



- Other quantities (e.g.  $\tau$  polarization) are also useful probes
- Much more detail in RMP article:

"Semitauonic b-hadron decays: A lepton flavor universality laboratory", F. Bernlochner, M. F. Sevilla, D. J. Robinson, G. Wormser, [arXiv: 2101.08326]



# High priority measurements for Belle II

- Semi-tauonic decays  $(B \rightarrow X\tau\nu)$  as measured by BaBar, Belle and LHCb are in some tension with SM expectations
  - we must establish whether this is due to "New Physics" or "Nuisance Parameters"
- And there's our friendly competition with LHCb...

- Inclusive/exclusive puzzle:
  - $|V_{cb}|$  and  $|V_{ub}|$  can be determined using *inclusive* or *exclusive* decays (the methods are complementary); the results don't agree well
- Missing modes: The measured exclusive modes do not saturate the inclusive BF (the gap is  $\sim 1.2 \pm 0.4\%$ ); how does what is missing impact important measurements?



#### Inclusive and exclusive SL decays

Inclusive  $B \to X_q \ell \nu$ 

- Theory Relies on Heavy Quark Expansion (OPE), a systematic expansion in  $\Lambda_{OCD}/m_b$ ; non-perturbative coefficients can be determined from data (via fit to moments in  $E_{e}, m_{X}, ...)$
- Measurement allows for unreconstructed "X" and represents a sum over all modes that involve the reconstructed particles
- E.g.,  $\overline{B} \to D(X)\ell\nu = \sum_{i} \overline{B} \to DX_{i}\ell\nu$  (" $X_{i}$ ") can be null, a pion, a photon, two pions, etc.)

Theory uncertainties

Exclusive  $B \rightarrow H\ell\nu$ 

arise from different • Theory requires form factors  $F(q^2)$ ; shape can in principle be measured but normalization must be come from theory (LQCD)

> • Measure a specific decay (or simultaneously measure multiple decays); everything else is treated as background

• E.g.,  $\overline{B}^0 \to D^{*+} \ell^- \nu$ , or  $B^- \to \pi^0 \ell^- \nu$ 

#### The basics

### Electrons and muons in Belle II

#### Electrons

- High identification efficiency and low misID background down to  $\approx 0.3 \; \mbox{GeV}$
- Affected by radiative corrections (in production/decay) and bremsstrahlung (in detector). Brem corrections are helpful but it is essential to quantify the *uncertainty* due to brems

#### Muons

• High identification efficiency and low misID above  $\approx 1$  GeV; large  $\pi/K$  misID at low p





#### Sources of electrons and muon candidates

- Prompt leptons from weak *b*, *c* hadron decay
- Backgrounds from hadron mis-ID, detector interactions
- Production cross-sections/BFs at 10.58 GeV:

	$b\overline{b}$	сē	$q\bar{q} (q = u, d, s)$
$\sigma(e^+e^- \to q\bar{q})$	1.1 nb	1.3 nb	2.0 nb
$BF\left(H_q \to X\ell\nu\right)$	0.11	(0.07—0.18)	(only fakes)

- Leptons from  $B \to J/\psi \to \ell^+ \ell^-$  (~0.013  $\ell/B$ ) matter for  $b \to u \ell v$  studies
- Event shapes help with continuum suppression



# Electrons and muons at $\Upsilon(4S)$

- In CM, *B* mesons are nearly at rest; the decay products are isotropic and each *B* decays independently
- Both B and charm weak decays have significant SL BFs; charmonium contributes less, but can give high p leptons
- Leptons from  $B \to \ell$  are harder than cascades  $B \to D/\tau \to \ell$
- Charge correlations:  $b \to \ell^-$  but  $b \to c/\tau \to \ell^+$  and  $b \to \bar{c} \to \ell^-$ ; primary leptons tag b (and B) flavor
- Real leptons in continuum come primarily from  $c\bar{c}$ , but other  $q\bar{q}$  are a source of fake leptons (mis-ID)
- In CM the charm mesons are boosted leading to more collimation (jet-like)





#### Electrons spectrum from $B\overline{B}$ and $q\overline{q}$

- Off-resonance data (scaled for luminosity and cross-section differences) provides an excellent control sample for modeling the continuum background
- $B \to X_c \ell \nu$  decays dominate over continuum and over  $B \to X_u \ell \nu$ : BF $(B \to X_c \ell \nu) \sim 50 * BF(B \to X_u \ell \nu)$
- $B \to X_u \ell \nu$  can be measured in regions ( $p_\ell \gtrsim 2.4$  GeV,  $q^2 > 11.7$  GeV<sup>2</sup>) forbidden to  $B \to X_c \ell \nu$
- Continuum background is also significant for  $B \rightarrow X_u \ell \nu$ decays (note region around p = 2.5 GeV where continuum has  $\sim 10^5$  events/bin and  $B \rightarrow X_u \ell \nu$  has  $\sim 10^4$ )
- Many analyses use dedicated continuum suppression methods (often MVA)





#### Measurement strategies

#### Untagged

- High efficiency 👍
- Weak/no kinematic constraints 👎
- Kinematic acceptance is limited by backgrounds (details depend on mode) <sup>+</sup>
- Large background from  $e^+e^- \rightarrow q \bar{q}$
- Due to backgrounds, only cleanest decay modes (e.g.  $D^+ \rightarrow K^- \pi^+ \pi^+$ ) are used

#### **B**-Tagged

- Low overall efficiency (including tag)
- Strong kinematic constraints for 1
  u modes  $\blacklozenge$
- Kinematic acceptance usually better than untagged
- Other complications will be discussed later

# Missing neutrinos

If only one neutrino is missing in the event, kinematic constraints are useful. If you have >1 missing particle, the kinematics are not constrained

• For hadronic tags

 $p_{miss} = p_{e^+e^-} - \sum_{j=1}^{N_{obs}} p_j$ so  $U \equiv E_{miss} - |\vec{p}_{miss}|$ should be  $\approx 0 \ (\sigma_U \sim 40 \text{MeV})$ 

Alternatively,  $m_{miss}^2 = U(E_{miss} + |\vec{p}_{miss}|),$ but this mixes resolution and physics



 For untagged analyses or semileptonic tags<sup>[\*]</sup>,

$$\cos \theta_{BY} \equiv \frac{2E_B^* E_Y^* - m_B^2 - m_Y^2}{2|\vec{p}_B^*||\vec{p}_Y^*|}$$

lies in [-1,1] for  $B \rightarrow Y \ell \nu$ decays. Missing particles (e.g. slow pions) push this to  $\sim [-3,1]$ , but still allow useful discrimination



<sup>[\*]</sup> One can define another variable in events where signal and tag each have one missing neutrino; I leave it as an exercise

#### Kinematics exercises

The "traditional" variable,  $\cos \theta_{BY} \equiv \frac{2E_B^* E_Y^* - m_B^2 - m_Y^2}{2|\vec{p}_B^*||\vec{p}_Y^*|}$ , is a calculated angle in the CM frame (we should probably call it  $\cos \theta_{BY}^*$ ). Since the *B* mesons are not at rest, the  $\cos \theta_{BY}$  distribution has more entries near +1 than near -1 (the *Y* is boosted forward)

1. Show that a related variable gives the angle in the *rest frame of the decaying B meson*:

$$\cos \theta_{BY}^{B} = \frac{2E_{Y}^{*} - E_{B}^{*}(1+r^{2})}{|\vec{p}_{B}^{*}|(1-r^{2})}$$

 $\left(r \equiv \frac{m_Y}{m_B}\right)$ . This quantity is distributed uniformly on [-1,1] for true  $B \to Y\nu$  decays

2. Show that when both *B* mesons from  $\Upsilon(4S)$  decay semileptonically, the following must be satisfied ( $\gamma$  is the angle between  $Y_1$  and  $Y_2$ ):

$$\cos^2 \phi_B = \frac{\cos^2 \theta_{BY_1} + \cos^2 \theta_{BY_2} + 2\cos \theta_{BY_1} \cos \theta_{BY_2} \cos \gamma}{\sin^2 \gamma} \epsilon [0,1]$$

### Exclusive decay landscape

- Measure branching fractions or ratios of BFs; use to determine  $|V_{qb}|$
- Measure form factors as function of  $q^2$  (with good resolution) and angles
- Where possible, measure all the relevant kinematic variables over the full phase space
- Deal with feed-down or feed-across from related decay modes; these can be large effects
- For details on theory, see. Review of Modern Physics article by F. Bernlochner, M. F. Sevilla, D. J. Robinson, G. Wormser, <u>arXiv: 2101.08326</u>

# $B \rightarrow D\ell\nu$ analyses

• Fully differential decay rate depends only on  $q^2$ , or  $w = \frac{m_B^2 + m_D^2 - q^2}{2m_B m_D}$ , or

 $z(q^{2}) = \frac{\sqrt{t_{+}} - q^{2} - \sqrt{t_{+}} - t_{0}}{\sqrt{t_{+}} - q^{2} + \sqrt{t_{+}} - t_{0}}}$  (t<sub>+</sub> and t<sub>0</sub> are constants)

FF parameterized as function of w ∈ [1,1.6] (or z ∈ [-0.032,0.032]).
 BGL form based on analyticity and unitarity is commonly used:

$$f_{+}(z) = \frac{1}{P_{+}(z)\phi_{+}(z)} \sum_{n=0}^{N} a_{+,n} z^{n}$$

- Lattice QCD calculations provide O(1%) precision at large  $q^2$  and also provide shape information;  $|V_{cb}|$  and FF parameter determination done with simultaneous fit to lattice+experiment
- Challenge: large feed-down from  $B \rightarrow D^* \ell \nu$  decays with missing  $\pi, \gamma$
- A second FF ( $f_0$ ) arises for massive leptons (au)
- To improve resolution, kinematic fits are used in tagged analyses and the "diamond frame" is used for  $q^2$  in untagged analyses



# $B \rightarrow D^* \ell \nu$ analyses

- Fully differential rate depends on angles (θ<sub>ℓ</sub>, θ<sub>V</sub>, χ) and q<sup>2</sup> or w or z; there are three form factors for light leptons, parameterized in different ways ({A<sub>1</sub>, A<sub>2</sub>, V}; {f, F<sub>1</sub>, g}; ...)
- Measurement of the full 4D experimental rate requires high stats and good modeling of acceptance
- Again,  $\ell = \tau$  again brings in an additional FF
- Lattice QCD provides O(1%) predictions at large  $q^2$ and shape information; the  $D^*: D: \pi$  coupling makes this calculation more challenging than for  $B \rightarrow D$
- Combined expt+lattice fits are used to determine  $\left|V_{cb}\right|$  and the FF parameters

 Heavy Quark Effective Theory offers a useful framework, relating all FFs to a universal "Isgur-Wise" function, but HQET constraints are no longer needed to interpret data



# $B \rightarrow \pi \ell \nu$ analyses

- Fully differential decay rate depends only on  $q^2$
- Lattice calculations provide ~3% precision for  $q^2 > 16~{\rm GeV^2}$
- Challenge: untagged analyses have large backgrounds from continuum and from feed-down ( $B \to \rho \ell \nu$ ) decays
- Tagged analyses are much cleaner but have low yields (tag efficiency times  ${\rm BF}(B\to\pi\ell\nu)<10^{-6})$
- While untagged analyses have large background, especially at high  $q^2$  (where  $|\vec{p}_{\pi}|$  is small), they still dominate current  $|V_{ub}|$  determinations (this will be true until we have many ab<sup>-1</sup>)



## Inclusive decay landscape

For lack of time I'm not going to talk much about inclusive decays.

- The semileptonic  $B \rightarrow X_c \ell \nu$  BF is a crucial element in determining  $|V_{cb}|$
- Moments of the  $E_e$ ,  $m_h$  and  $q^2$  distributions in  $B \to X_c \ell \nu$  decays are important inputs in determining coefficients of the Heavy Quark Expansion, on which the determination of  $|V_{cb}|$  is based
- The semileptonic  $B \to X_u \ell v$  BF has a theoretically robust relation to  $|V_{ub}|$ ; unfortunately, the full BF is not easily measure, and partial BFs in restricted regions of phase space bring in larger theory uncertainties
- These topics are discussed in many places, including in the PDG review article on semileptonic B decays, <u>https://pdg.lbl.gov/2021/web/viewer.html?file=https://pdg.lbl.gov/2021/reviews/rpp2020-rev-vcb-vub.pdf</u>

#### Untagged analyses - examples

#### Global fit to untagged $B \rightarrow D\ell^-\nu(X)$

- High statistics: about 8000  $D\ell^-$  pairs / fb<sup>-1</sup>
- Three independent variables for B decays:  $p_D$ ,  $p_\ell$ ,  $\cos \theta_{BY}$
- *W* helicity state populations differ for  $B \rightarrow D$  and  $B \rightarrow D^*$  transitions, leading to different  $p_D$  and  $p_\ell$  distributions;  $\cos \theta_{BY}$  is also shifted. Decays to heavier  $X_c$  states shift  $p_D$ ,  $p_\ell$  and  $\cos \theta_{BY}$  to still lower values
- Global fit to  $B \to D\ell^- \nu X$  can determine BFs and FF slopes for both  $B \to D\ell^- \nu$  and  $B \to D^*\ell^- \nu$  without ever reconstructing soft  $\pi^+/\pi^0$
- Leading uncertainties arise from modeling of heavier  $X_c$  states, D decay BFs and detector modeling
- 2009 measurement (207 fb<sup>-1</sup>) still gives world-leading precision on  $BF(B^- \rightarrow D^{*0}\ell^-\nu)$  (4%) and  $BF(B^- \rightarrow D^0\ell^-\nu)$  (5.5%)



# Tagged analyses – which tag?

- Tagged analysis strategy:
  - Require that tag+signal decays use *all* good tracks (*N*<sup>trk</sup><sub>Extra</sub>=0);
  - Measure how much neutral calorimeter activity is not part of either tag or signal ( $E_{Extra}$ , a.k.a.  $E_{ECL}$ )

#### Hadronic tags

- Tag side fully reconstructed we know  $p_{tag}$ ( $\therefore p_{miss}$ ) and calculate  $U = E_{miss} - |\vec{p}_{miss}|$
- For high efficiency, we include tag decays with high multiplicity  $\Rightarrow$  lots of activity in the detector, which increases  $E_{Extra}$
- High multiplicity tag modes are also less clean ⇒ many candidates per event

#### Semileptonic tags

- Tag side has neutrino we don't know  $ec{p}_{B_{tag}}$
- Less activity in the detector from visible tagside particles (helps with  $E_{Extra}$ )
- Lower multiplicity and visible energy compensates for weaker kinematic constraint (use  $\cos \theta_{BY}$  to select tag)

# **B** tagging: the fine print

Tagging (Full Event Interpretation in Belle II) is powerful but has challenges

- Purity is the "best" tag the true one?
  - The answer depends on the signal side decay mode and multiplicity
  - Unfortunately, the overall tag+signal efficiency depends on purity: if you choose the wrong tag you can fail to reconstruct the signal from the ROE ("rest of event")
  - The hardest case is for analyses where the ROE is unconstrained (e.g. when we try to measure the  $X_c$  system in  $B \rightarrow X_c \ell \nu$ )

- Calibration
  - B decays involve millions of individual modes ⇒
     EVTGEN does not agree with data when we sum over reconstructed B decay chains
  - The modeling of the detector is also imperfect
  - We therefore "calibrate" (compare data with MC) to correct the simulated FEI efficiency; these calibration factors are large (~30%)
  - Unfortunately, we have very few high-stats calibration channels and the correction differs (in principle) for different signal modes

#### Tagged analyses - examples

# $B \rightarrow X_u \ell \nu$ with hadronic tag

Belle paper (PhysRevD.88.032005) is current state-of-the-art

- Measures many modes:  $B \to h\ell\nu$  with  $h = \pi^+, \pi^0, \rho^+, \rho^0, \omega$ Recall from isospin  $BF(B^0 \to h^+\ell\nu) = 2 \times BF(B^- \to h^0\ell\nu)$
- Require tag+signal topology, fit  $M_{miss}^2$  spectra
- Largest systematic uncertainty: tag calibration (4.5%); cross-feed from other  $X_u \ell v$  modes also important for  $\rho^+ \ell v$
- Cleanliness of  $B \rightarrow \rho \ell \nu$  allows comparison of  $\pi^+ \pi^- \ell \nu$  and  $\pi^+ \pi^0 \ell \nu$  composition with simulation (see plot); this is impossible in untagged analyses



# BaBar $R(D^{(*)})$ with hadronic tag

BaBar 2012 result (arXiv:1303.0571)

- Fit to  $m^2_{miss}$  and  $p_\ell$  to both signal  $(B \to D^{(*)}\tau\nu)$  and normalization  $(B \to D^{(*)}\ell\nu)$  modes
- Use  $B \rightarrow D^{(*)}\pi^0 \ell \nu$  mode to control  $D^{**}$  (i.e. highermass  $X_c$  states) systematics
- Leading systematics from D<sup>\*\*</sup> modeling, other background and MC statistics (56 2D-templates needed for fit...)





# Belle $R(D^{(*)})$ with semileptonic tag

- <u>arXiv:1910.05864</u> highest precision to date
- Semileptonic  $B \to D^{(*)} \ell \nu$  tags and leptonic decays  $\tau \to \ell \nu \overline{\nu}$  are selected
- Tag selected based on tag BDT and  $\cos heta_{BY}$
- No additional tracks allowed;  $E_{ECL}$  measured
- MVA used to select signal region; graph insets show selected regions
- Syserrs: PDF shapes (sig and bkg modeling), feed-down  $(D^* \rightarrow D\pi)$ , relative efficiency factors (sig/norm)



#### Systematic uncertainties

### **Experimental uncertainties**

The usual suspects:

- Particle identification
- Bremsstrahlung
- Tracking efficiency and resolution
- Photon efficiency, resolution and background
- Backgrounds from the accelerator and interactions in detector material ( $E_{extra}$ )

## Theoretical/external uncertainties

- Uncertainty on BF and FF for particles in the signal decay chain (e.g. D meson decays) and for decay modes that contribute to backgrounds
- Simulation of semileptonic decays
  - Modelling form factors (and their uncertainty) for exclusive decays
  - Filling the gap between known exclusive modes and the inclusive semileptonic BF (requires assumptions about resonances and decay modes that have never been measured)
  - Modelling inclusive  $b \rightarrow u \ell \nu$  decays
  - Combining inclusive and exclusive  $B \rightarrow X_u \ell \nu$  samples
  - Modeling radiative corrections

### Systematic uncertainties – example 1

- Systematic uncertainties on inclusive SL BF [<u>BELLE2-</u> <u>CONF-PH-2021-012</u>]
- The BF is determined in a template fit to  $b \rightarrow c\ell \nu$ , other  $B\overline{B} \ (b \rightarrow u\ell \nu, b \rightarrow c(\tau) \rightarrow \ell)$ , continuum, fakes
- BF determined as BF( $B \rightarrow X_c \ell \nu$ ) =  $(9.75 \pm 0.03 \pm 0.47)\%$
- Even on 62.8fb<sup>-1</sup> this measurement is already completely dominated by systematic uncertainties (world's best measurement has 2% uncertainty)
- Shape of dominant  $b \rightarrow c \ell \nu$  component is leading uncertainty

- Lepton ID (eff, fakes) significant
- Off-res uncertainty taken as difference between fixing and floating continuum normalization

	Relative unce	rtainty [%]
Contribution	Electron mode	Muon mode
Tracking	0.69	0.69
$N_{Bar{B}}$	1.1	1.1
Lepton ID corrections	1.64	2.33
$f_0/f_+, B$ lifetime	1.2	1.2
$B \to X_c \ell \nu_\ell$ branching fractions	2.65	2.15
$B \to X_c \ell \nu_\ell$ form factors	1.11	1.11
$B\bar{B}$ background model	0.24	0.34
Off-resonance data model	0.34	2.91
Sum	3.77	4.79

### Systematic uncertainties – example 2

- Systematic uncertainties on exclusive BF for  $\bar{B}^0 \to \pi^+ \ell^- \nu$  [Belle2-CONF-PH-2021-013]
- Hadronic tagging (FEI) used on  $62.8 \mathrm{fb}^{-1}$
- Major uncertainty is FEI calibration
- Lepton ID and tracking efficiency are next
- Determining the number of  $B^0 \overline{B}{}^0$  events produced gives ~1.6% uncertainty

% of $\Delta \mathcal{B}_i(B^0 \to \pi^- \ell^+ \nu_\ell)$			
$0 \le q^2 < 8 \text{GeV}^2/c^4$	$8 \le q^2 < 16 { m GeV}^2/c^4$ 16	$\leq q^2 \leq 26.4 \mathrm{GeV}^2/c^4$	
	1.2		
	2.8		
	1.1	_	
	1.4		
0.8	0.8	0.9	
1.7	1.3	1.6	
0.7	0.6	0.6	
4.0	3.9	4.0	
	$0 \le q^2 < 8 \text{GeV}^2/c^4$ $0.8$ $1.7$ $0.7$ $4.0$		

#### From the paper:

"For  $B \rightarrow \pi l \nu$  decays, the systematic uncertainties from the modeling of  $B \rightarrow X_u l \nu$  are expected to be small compared to other systematic uncertainties."

This will not be the case for other modes, such as  $B \rightarrow \rho l \nu$ 

#### Recommendation for Belle II semileptonic analyses

- Both physics and experimental factors are common amongst many individual decay modes and analyses – this suggests grouping channels together into larger analyses to get the most from our data
- Good examples are the BaBar global fit to  $B \to D^{(*)} \ell \nu(X)$  and Belle  $B \to X_u \ell \nu$  hadronic tag analyses discussed here
- Another good example of such a multi-channel analysis is the recent Belle result circulated internally by Frank Meier (BN1569); it's a *lot* of work but has impact

#### Summary

- Semileptonic decays offer a valuable tool for testing and further quantifying the SM and in looking for new physics
- Many semileptonic analyses are systematics limited (and most of those that aren't now will be in a few years) → good ideas and hard work needed to make progress
- Grouping related channels together brings real benefits but may require tighter coordination amongst analysts (bigger teams)
- Belle II has great potential in this area



### Some useful references

• Much more detail on tauonic decays and the theory of exclusive semileptonic decays in RMP article

"Semitauonic b-hadron decays: A lepton flavor universality laboratory,", F. Bernlochner, M. F. Sevilla, D. J. Robinson, G. Wormser, [arXiv: 2101.08326]

- Inclusive semileptonic decays are discussed in the PDG review article
   <u>https://pdg.lbl.gov/2021/web/viewer.html?file=https://pdg.lbl.gov/2021/reviews/rpp2020-rev-vcb-vub.pdf</u>
- References on B tagging (FEI) <u>https://confluence.desy.de/pages/viewpage.action?pageId=35004501</u>
- References on efficiency (tracking, photon, PID) determination <u>https://confluence.desy.de/display/BI/Physics+Performance+Webhome</u>

#### Modeling charmless semileptonic B decays

- Low-lying resonances are modelled using FFs, BFs
- Higher mass contributions (by rate the majority) are generated using an inclusive quarklevel model followed by hadronization
- These two very different samples must be mixed together
  - Preserving the BFs and FFs of resonant states
  - Trying to maintain the overall kinematics  $(q^2, E_\ell, M_{X_u})$  of inclusive sample
- Apart from low-multiplicity modes (e.g. 2 pions) we don't have good tests of whether this modeling provides a good description of reality

#### Using off-peak data to model continuum

The modeling of fragmentation and hadronization at these low energies is far from perfect; this motivates collecting an **experimental control sample** with similar  $e^+e^- \rightarrow q\bar{q}$  production but no  $B\bar{B}$  production.

- The off-peak sample will always be statistically limited (luminosity ratio  $\frac{\mathcal{L}_{on}}{\mathcal{L}_{off}} \sim 15$ )
- Annihilation cross-section falls as 1/s; need to scale off-peak by  $\frac{s_{off}}{s_{or}} \sim 0.99$
- Momenta of particles must also be scaled, but not just by  $\sqrt{\frac{s_{on}}{s_{off}}}$ , since multiplicity also changes; need to simulate  $e^+e^- \rightarrow q\bar{q}$  at both  $s_{on}$  and  $s_{off}$  to gauge the impact

### $B \rightarrow Xev$ (untagged) for $|V_{ub}|$

- High-statistics *e* spectrum; event-shape-based continuum suppression; simultaneous fit to on-peak and off-peak data. Fit for  $0.8 < E_e < 2.7$  GeV determines continuum and normalizations for  $6 B \rightarrow X_c ev$  modes and a  $B \rightarrow X_u ev$  model
- Systematic uncertainties: modeling (FFs, higher resonances) of  $B \rightarrow X_c e \nu$ , electron ID/misID, radiative corrections, modeling of  $B \rightarrow X_u e \nu$
- Experimental sensitivity only for  $E_e \gtrsim 2.1$  GeV; attempts to determine the partial BF for lower  $E_e$  depend sensitively on the  $B \rightarrow X_u ev$  model assumed
- To extract  $|V_{ub}|$  one needs a theory model for  $\Delta\Gamma = \int_{E>E_0} \frac{d\Gamma}{dE}$  to compare with the *corresponding* partial BF  $\Delta$ B



