

# Separation of $\bar{c}c, \bar{b}b$ using ML

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# Outline

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1. Motivation
2. Model
3. Results

# Motivation

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We want to be able to separate  $c\bar{c}$  and  $b\bar{b}$  events with high efficiency for  $A_{LR}$  measurements.

Build ML based classifier (gradient boosted decision tree – GBDT) using **event shape variables**.

Results:

Sufficient for selecting  $b\bar{b}$  events, but further selections are needed to select  $c\bar{c}$  events.

# BDT model

## Evaluation variables:

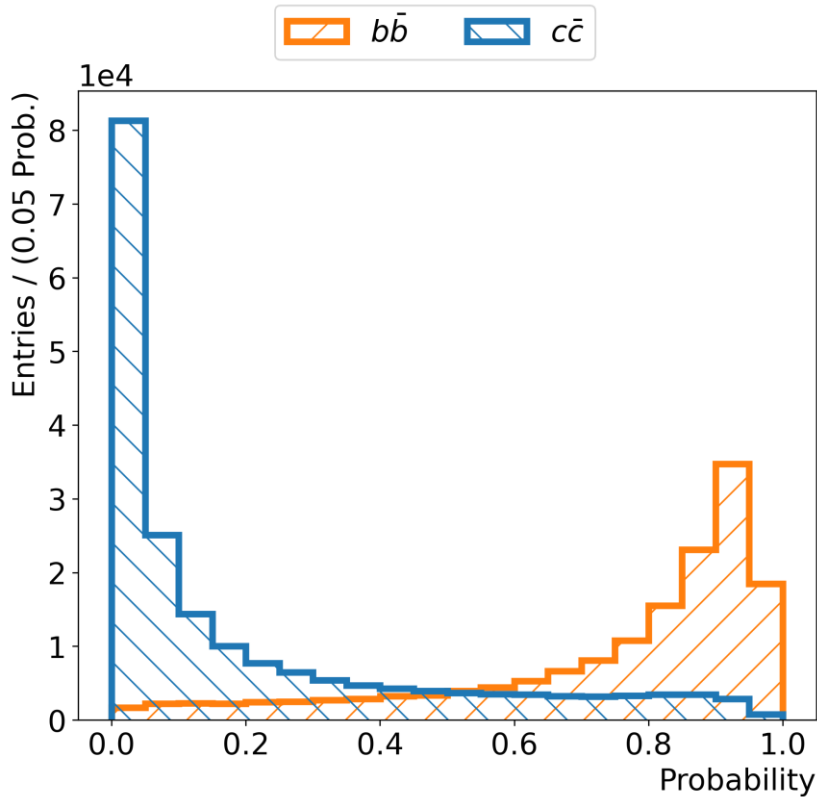
$$b\bar{b} \text{ Efficiency} = \frac{\# \text{ of real } b\bar{b} \text{ predicted as } b\bar{b}}{\# \text{ total number of MC generated } b\bar{b}}$$

$$c\bar{c} \text{ Fake rate} = \frac{\# \text{ of real } c\bar{c} \text{ misidentified as } b\bar{b}}{\# \text{ total number of MC generated } c\bar{c}}$$

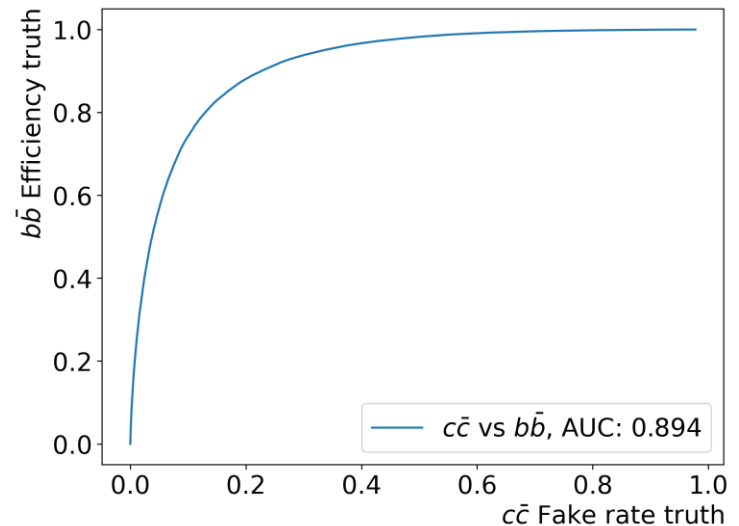
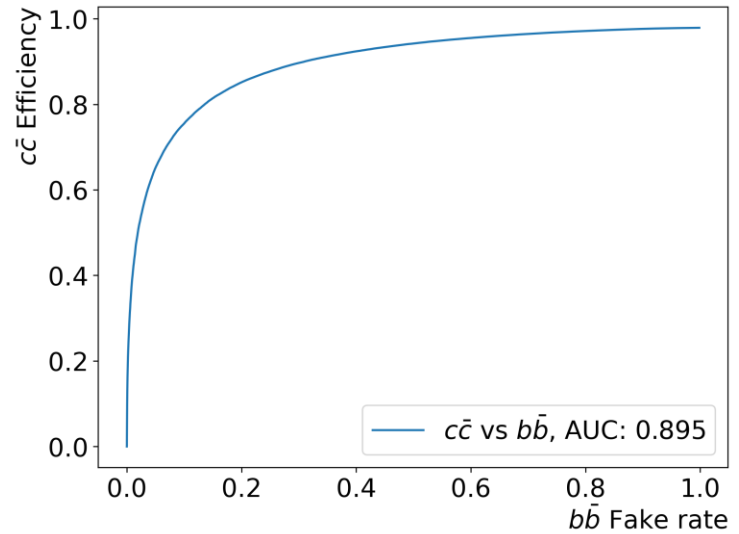
Variable	Feature Importance
foxWolframR2	0.590
thrust	0.184
foxWolframR1	0.081
harmonicMomentThrust0	0.060
thrustAxisCosTheta	0.039
harmonicMomentCollision2	0.020
foxWolframR3	0.010
aplanarity	0.006
harmonicMomentThrust2	0.006
sphericity	0.004

**10 most important variables  
(as defined by the GBDT)**

# Results ROC curves



Use **ROC** and **AUC** to quantify model quality. Rates are normalized to # of generated particles (MC number).



# Results $c\bar{c}$

$c\bar{c}$  selection against  $b\bar{b}$

Fraction		Events per $\text{nb}^{-1}$	
$c\bar{c}$ efficiency	$b\bar{b}$ fake rate	$c\bar{c}$	$b\bar{b}$
0.102	0.000	0.133	0.000
0.201	0.002	0.261	0.002
0.301	0.005	0.391	0.006
0.402	0.010	0.523	0.011
0.500	0.020	0.650	0.022
0.599	0.037	0.779	0.041
0.700	0.069	0.910	0.077
0.800	0.138	1.040	0.153
0.900	0.311	1.170	0.345
0.979	0.998	1.273	1.108

(a) Efficiency and fake rates.

(b) Number of events selected as  $c\bar{c}$ .

Table 4: Classification of  $c\bar{c}$  events against  $b\bar{b}$  events. These tables show the results from figure 7a.

# Results $b\bar{b}$

$b\bar{b}$  selection against  $c\bar{c}$  and  $uds(\tau)$  background in fraction

Efficiency $b\bar{b}$	Background Fraction	Fraction				
		Fake Rate				
		$c\bar{c}$	$u\bar{u}$	$d\bar{d}$	$s\bar{s}$	$\tau^+\tau^-$
0.098	0.088	0.003	0.002	0.002	0.002	0.002
0.196	0.091	0.008	0.004	0.004	0.004	0.002
0.301	0.110	0.015	0.008	0.008	0.008	0.003
0.401	0.128	0.024	0.013	0.013	0.014	0.003
0.501	0.153	0.038	0.020	0.020	0.022	0.003
0.599	0.181	0.056	0.029	0.030	0.032	0.004
0.699	0.221	0.083	0.044	0.046	0.050	0.004
0.800	0.281	0.130	0.070	0.073	0.079	0.006
0.900	0.380	0.226	0.124	0.131	0.144	0.014
0.998	0.802	0.979	0.966	0.966	0.941	0.996



# Results $b\bar{b}$

$b\bar{b}$  selection against  $c\bar{c}$  and  $uds(\tau)$  background in nb

Events selected as $b\bar{b}$ per $\text{nb}^{-1}$					
$b\bar{b}$	$c\bar{c}$	$u\bar{u}$	$d\bar{d}$	$s\bar{s}$	$\tau^+\tau^-$
0.109	0.004	0.003	0.001	0.001	0.002
0.218	0.010	0.006	0.002	0.002	0.002
0.334	0.020	0.013	0.003	0.003	0.003
0.445	0.031	0.021	0.005	0.005	0.003
0.556	0.049	0.032	0.008	0.008	0.003
0.665	0.073	0.047	0.012	0.012	0.004
0.776	0.108	0.071	0.018	0.019	0.004
0.888	0.169	0.113	0.029	0.030	0.006
0.999	0.294	0.200	0.052	0.055	0.013
1.108	1.273	1.555	0.386	0.358	0.915





# Lepton selection

Include the requirement that the **event must have a lepton**. Muon selection is not optimal. Does reduce the uds contribution. cc and tau not very affected

Type	Fraction					
	MC Truth			ID Cuts		
	$\mu$	$e$	Total	$\mu$	$e$	Total
$b\bar{b}$	0.26	0.27	0.40	0.33	0.22	0.41
$c\bar{c}$	0.13	0.16	0.28	0.25	0.12	0.34
$u\bar{u}$	0.02	0.06	0.08	0.16	0.05	0.20
$d\bar{d}$	0.02	0.06	0.08	0.16	0.05	0.20
$s\bar{s}$	0.02	0.05	0.07	0.14	0.04	0.17
$\tau^+\tau^-$	0.25	0.27	0.47	0.27	0.21	0.45

(a) Fraction of events containing at least one lepton.

Type	Events per $\text{nb}^{-1}$					
	MC Truth			ID Cuts		
	$\mu$	$e$	Total	$\mu$	$e$	Total
$b\bar{b}$	0.29	0.30	0.45	0.36	0.24	0.46
$c\bar{c}$	0.17	0.21	0.36	0.32	0.15	0.44
$u\bar{u}$	0.04	0.10	0.13	0.25	0.07	0.31
$d\bar{d}$	0.01	0.02	0.03	0.06	0.02	0.08
$s\bar{s}$	0.01	0.02	0.03	0.05	0.01	0.07
$\tau^+\tau^-$	0.23	0.24	0.44	0.25	0.20	0.41

(b) Number of events containing at least one lepton.

Table 7: Simulation sets containing at least one lepton. The sets are selected using cuts: truth is the number MC generated number of events with leptons. ID cuts uses a cut on the default particle identification tool ( $>0.95$ ) and on  $E/p$  ( $>0.85$  electron).

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# Selecting with Kaon

Fraction

Type	Truth				ID Cuts			
	$\mu$	$e$	$K$	Total	$\mu$	$e$	$K$	Total
$b\bar{b}$	0.177	0.184	0.409	0.244	0.227	0.145	0.408	0.255
$c\bar{c}$	0.077	0.095	0.409	0.129	0.147	0.068	0.392	0.155
$u\bar{u}$	0.008	0.021	0.150	0.022	0.057	0.016	0.159	0.051
$d\bar{d}$	0.008	0.020	0.134	0.020	0.053	0.015	0.145	0.047
$s\bar{s}$	0.012	0.027	0.290	0.037	0.085	0.021	0.271	0.082
$\tau^+\tau^-$	0.015	0.017	0.012	0.006	0.018	0.013	0.021	0.010

Events per  $\text{nb}^{-1}$

Type	Truth				ID Cuts			
	$\mu$	$e$	$K$	Total	$\mu$	$e$	$K$	Total
$b\bar{b}$	0.197	0.204	0.454	0.271	0.252	0.161	0.452	0.283
$c\bar{c}$	0.100	0.123	0.531	0.168	0.191	0.088	0.509	0.201
$u\bar{u}$	0.013	0.034	0.242	0.036	0.092	0.026	0.256	0.083
$d\bar{d}$	0.003	0.008	0.054	0.008	0.021	0.006	0.058	0.019
$s\bar{s}$	0.005	0.010	0.110	0.014	0.032	0.008	0.103	0.031
$\tau^+\tau^-$	0.014	0.016	0.011	0.005	0.017	0.012	0.019	0.009

Selection that has:

- Either muon or electron
- Kaon

Does help reduce the  $uds$  background

**EARLY RESULTS**

# Conclusion

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$c\bar{c}$  and  $b\bar{b}$  classification can be achieved with good quality using only event shape variables.

Some additional selections such as: requiring lepton and or kaon in the event are needed to reduce backgrounds  $usd(\tau)$  in the  $c\bar{c}$  selection.

Might need D meson tagging.