Polarized electron source R&D and EIC pre-Injector Design

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SuperKEKB e-polarization Upgrade Nov. 10th 2022







Electron Ion collider Introduction

• Science goals

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?

• EIC Design Goals

- o High luminosity: L=(0.1-1)x10³⁴ cm⁻² s⁻¹ → 10-100 fb⁻¹
- Collisions of highly polarized +/-70% e, p and light ion beams with flexible spin patterns
- \circ Large range of center of mass energies: E_{cm}=(20-140) GeV
- o Large range of ion species: protons-Uranium
- o Ensure accommodation of a second IR
- o Large detector acceptance
- o Good background conditions



EIC Accelerators

Design based on existing RHIC, RHIC is well maintained, operating at its peak

•Hadron storage ring 40-275 GeV (existing)

•RHIC Yellow(Blue) Ring

•Many bunches, 1160 @ 1A beam current

- •Bright beam emittance
- •Strong hadron cooling (new)

•Electron storage ring (2.5–18 GeV, new)

•Many bunches,

•Large beam current (2.5 A) 10 MW S.R. power

•s.c. RF cavities

•Electron rapid cycling synchrotron (new)

High charge polarized pre-injector
Spin transparent due to high periodicity



EIC Electron pre-injector



- 300 kV polarized HVDC gun generates 7nC x 8 polarized electron beam every second.
- 400 MeV pre-injector
- Rotate the electron spin direction from longitudinal to vertical

Polarized HVDC gun

Electron-Ion Collider

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EIC polarized electron source requirement

	EIC	Achieved in stable operation	R&D deliverable
Bunch charge [nC]	7	7.5 (12)	Y
Peak current [A]	3.8	4.8 (No SCL)	Y
Frequency [Hz]	1 (8 bunches)	1 (9000 bunches)	Y/N*
Voltage [kV]	300	300	Y
Average Current	56 nA	76.5 uA	Y
Polarization [%]	> 85%	Bulk (~35%)	Y/N**



EIC polarized gun at Stonybrook Univ.

* Our laser rep. rate is 10 kHz

** Measure GaAs polarization at retarding field Mott polarimetry. Our gun beam line doesn't have Mott polarimeter.

EIC lifetime requirement in CDR : 24x7x3600x8= 4.8 e6 bunches /week for 2 weeks

HVDC gun design



New features includes:

- Active cathode cooling
- Large cathode
- Semiconductor jacket HV cable
- x,y,z moveable electrically insulated anode.

	Inverted gun
Ball diameter	20 cm
Chamber diameter	80 cm
Gap distance	5.7 cm
Voltage	350 kV
Cathode size	1.3 cm
Electrodes angle	22 degs
Cathode gradients	4.0 MV/m
Maximum gradient	9.8 MV/m
Anode diameter	2.2 cm
Peak current	4.8 A
Bunch charge	7 nC
N_emittance	3.6 mm-mrad
Pumping speed	35000 L/s
Anode bias	3000 V

Gun design include:

- high voltage feedthrough
- triple-point sheds
- Beam quality, envelop, halo
- NEG pump positioning.
- Electrode, anode outer shape

Main tools:

- Possion: 2D study
- Opera3D: triple point sheds kick.
- GPT 3D beam tracking, ion back tracking
- Python: field emission tracking, Ion back tracking

Maximum gradient @350 kV: 9.8 MV/m(gap nose, triple point shed)

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Test beam line at SBU



Achieve extremely high Vacuum



Thin wall+ 10 hrs 900 Cfire + week 400 C bake+ 20000 L/s pumping speed= extremely good vacuum



Beam-line vacuum in experiment



We added gap in between the anode and the gun chamber to get extra conductivity

Electron-Ion Collider

HV electrode treatment and installation







Power supply and HV cable

- 400 kV Power supply is SF6 free set up.
- PS is 5 meter away from the gun within a grounded cage.
- Resistors for gun conditioning and 460 ohm resistor for beam operation.
- Custom designed Semiconductor jacket to redistribute the storage energy(50pF/ft, 46 Joules) into the DC gap if discharge happen





Electron-Ion Collider

Active cooling of HVDC gun

Aiming to absorb the laser power up to 10 W. We are collaborating with Dielectric Sci. developed the active cooling HV feedthrough.



It was designed for high current operation. Not necessary for EIC polarized source. But beneficial towards high current polarized/unpolarized gun.

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HV conditioning



Gun conditioned at Dec. 2020(vacuum conditioning, total take 23 hrs, Cooling is on): ➤ Achieved gun design value 352 kV without field emission(without activated GaAs) ➤ Achieved gun design value 325 kV without field emission(with activated GaAs and new puck)

We didn't use inert gas conditioning

Electron-Ion Collider

Cathode insertion







With several times practices, now can insert a cathode in ~20 mins.

Electron-Ion Collider

Bunch charge and cathode lifetime







Pencil shape 2D space charge limit:

 $J_{2d} = 2.33 \times 10^{-6} V^{3/2} / d(1 + \frac{d}{4r})$

If rm>0, then space charge limit happen

Beam shape looks good right before the beam dump Large cathode size, we can increase the active area to get a higher charge(3 mm)

GaAs lifetime in the gun



- Bulk GaAs with 785 nm polarized laser; Gun operate at 300 kV. Run up to 67.5 uA.
- 7.5 nC bunch charge polarized beam, 5000 pulses/s ~37.5 uA;
 - With anode bias, we didn't observe QE drop.
 - Without anode bias 1/e lifetime is 63 hrs. Dominated by the outgassing from FC.
- Charge from 7 hours test= 33 weeks of EIC operation

Comparison with other operational and retired polarized gun



- The slope line shows the average current contour level.
- The ball diameter is representative of the peak current of the gun.

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• The red dash line at EIC R&D shows the maximum achieved peak current of 8 A

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Polarized photocathode



Strained GaAs band energy level



- Lattice constants must differ enough to introduce a suitable strain (typical >1% lattice mismatch)
- The bandgap of the substrate layer must be larger than strained layer

For $E_g < h\nu < E_g + \delta$: *P* = 100%

Strained superlattice photocathode



DBR photocathodes



Standard strained superlattice (SSL) photocathode



Strained superlattice (SSL) photocathode with Distributed Bragg reflector (DBR)

GaAs	5 nm	p=5 $ imes$ 10 ¹⁹ cm ⁻³		
GaAs/GaAsP SL	(3.8/2.8 nm) ×14	$p=5 \cdot 10^{17} \text{ cm}^{-3}$		
GaAsP _{0.35}	750 nm	$\rm p=5\times10^{18}\rm cm^{-3}$		
GaAsP _{0.35} / AlAsP _{0.4} DBR	(54/64 nm) ×12	$\rm p{=}5\times10^{18}\rm cm^{{-}3}$		
GaAsP _{0.35}	2000 nm	$\rm p=5\times10^{18}\rm cm^{-3}$		
Graded GaAsP _x (x = $0 \sim 0.35$)	5000 nm	$\rm p{=}5\times10^{18}\rm cm^{{-}3}$		
GaAs buffer	200 nm	$\rm p=2\times10^{18}\rm cm^{-3}$		
p-GaAs substrate (p>10 ¹⁸ cm ⁻³)				





Compact Mott detector performance

- Sherman function depends on detector design, target voltage and quality of target crystal
- Typical value: $S_{eff} = 0.1 \sim 0.3$ for 20-25 kV



Specs Mott polarimeter system

- We established polarization measurement for polarized electron source using mini-Mott.
- Several SL-GaAs samples(SVT ,Sadia, ODU) have been measured.

SVT(USA) was the best vendor. Expert moved to Acken Inc(China). 6 wafers order submitted(delayed due to Shanghai lock down, delivery time Aug. 22nd) US SL-GaAs vendor is growing (ODU, Sadia, et,al). We expect the US supply train will be restored by the EIC start operation.





MOCVD photocathode progress

Jlab, ODU and BNL: GaAs/GaAsP SSL with AlGaAs/GaAsP DBR Best performance: QE=2.35%, ESP=92% Inexpensive compared to MBE.

GaAs	5 nm	$p=5 \times 10^{19} \text{ cm}^{-3}$	100 —	ESP an
<u> </u>			100	• ESP, 1
GaAs/GaAsP SL	(3.8/2.8 nm) ×14	p=5 · 10 ¹⁷ cm ⁻³		activa
GaAsP	750 nm	$n-5 \times 10^{18} \text{ cm}^{-3}$		▲ ESP, 2
GaASP _{0.35}	750 mm	p-3 × 10 cm	ari	activa
GaAsP _{0.35} / AlAsP _{0.4} DBR	(54/64 nm) ×12	p=5 $ imes$ 10^{18} cm ⁻³	lod [©]	
GaAsP _{0.35}	2000 nm	p=5 $ imes$ 10 18 cm ⁻³	nspi BS3,	A CONTRACT OF A
Graded GaAsP _x (x = $0 \sim 0.35$)	5000 nm	$p=5\times10^{18}cm^{\text{-3}}$		~
GaAs buffer	200 nm	p=2 $ imes$ 10 ¹⁸ cm ⁻³		8 ⁸
p-GaAs s	ubstrate (p>10 ¹	^{.8} cm ⁻³)	20 - 720	730 7



MBE photocathode progress

BNL and Sandia: SC GaAs/GaAsP SL with AlGaAs/GaAsP DBR 2 DBR samples

Best performance: QE=16%, ESP=61%

			80		18
			70		- 16
GaAs	5 nm	$p = 5x10^{19} \text{ cm}^{-3}$	• ES P, 1st acti 60 •	vation tivation	
GaAs/GaAs _{0.62} P _{0.38}	(4/4 nm) x30	$p = 5x10^{17} \text{ cm}^{-3}$	◆ ESP, 3rd act ● QE, 1st activ	ivation vation	12
GaAs _{0.81} P _{0.19} (+2.6%)	309 nm	$p = 5x10^{18} \text{ cm}^{-3}$	QE, 2nd acti	vation vation	
AlAs _{0.81} P _{0.19} /GaAs _{0.81} P _{0.19}	(66.7/56.4 nm) x 10	$p = 5x10^{18} \text{ cm}^{-3}$			- 8
GaAs _{0.81} P _{0.19}	2000 nm	$p = 5x10^{18} \text{ cm}^{-3}$	30		- 6
GaAs->GaAs _{0.81} P _{0.19}	2750 nm	$p = 5x10^{18} \text{ cm}^{-3}$	20	• • • •	- 4
GaAs buffer	200 nm	$p = 5x10^{18} \text{ cm}^{-3}$	10		- 2
GaAs substrate		$p > 1x10^{18} \text{ cm}^{-3}$			
			710 730	0 750	770 790

Wavelength (nm)

Pre-injector design

Pre-injector beam line set up

300 kV HVDC gun



	Nominal
Bunch charge [nC]	7
Bunch length [ps]	40
Energy spread dp/p	2.5e-3
Frequency [Hz]	1Hz w 8 bunches
Energy [MeV]	400
Polarization [%]	85%
Lifetime	>2 weeks

- 2.856 GHz Buncher and Linac (6-8 tanks)
- 2 x 591 MHz Buncher
- 118 MHz Buncher
- Need R56 to rotates the bunch in longitudinal phase space to reduce energy spread
- 1300 MHz dechirp cavities

RF Frequency choice

1s

0.01 s

Constrains: 2856 Linac rep. freq<120 Hz 1st buncher freq<150 MHz 3 us >Laser pulse space: >50 ns Dechirp <1.5 GHz

Preinjector Frequency set up:

- C_RCS=3846.17 m
- tau_RCS=12.83 us
- 24/tau_RCS=1870.696 kHz (laser rep. frequency *M)
- When M=3; laser bunch spaceing= **1623.95 ns** All preinjector frequency is N/M*1870.696kHz : M, N are integer.
- The lowest RF freq of preinjector =63*1870.696kHz =117.85 MHz
- Sub-harmonic freq buncher=316*1870.696=591.14 MHz
- Linac freq=1527*1870.696 kHz=2856.5538 MHz
- Dechirp freq.=695*1870.696kHz=1300.13 MHz

Frequency	Harmonic	Factorization			
591.15 MHz	7584	2 ⁵ ×3×79			
295.57 MHz	3792	2 ⁴ ×3×79			
147.79 MHz	1896	2 ³ ×3×79			
Setting $t_{laser} = N \frac{t_{RCS}}{24}$ makes all the phase ≈ 24					

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relationships repeat every few RCS turns.

Pre-injector simulation



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Simulation preformed using parmela 3.4 Beamline was designed for up to 10 nC

Gun voltage and laser jitter requirements



LINAC RF wake consideration



Required longitudinal emittance: 40ps x 0.025=0.00003 [m]

We need to increase Linac gradient for compensation:

field enhance factor =1.17411x 10 MV/m

With Wake

RMS bunch length = 0.0014168 [m] = 0.0472595 [ps]
RMS dp/p = 0.0215621
Energy = 388.931 [MeV]
RMS longitudinal emittance = 0.0000305493[m]

Wake induced energy change:

Off crest $U(z) = U_0 + U_0'z^2 + \frac{U_0''z^2}{2} + \cdots$ Increase voltage Higher freq correction

With Wake+Skew Linac phase RMS bunch length = 0.0014168 [m] = 0.0472595 [ps] RMS dp/p = 0.0123214 Energy = 400.205 [MeV] RMS longitudinal emittance = 0.000017457[m]

Longitudinal Phase space manipulation



Spin rotator

Spin considerations in pre-injector



	Energy Range	Continuous solenoidal field in pre-injector	Energy acceptance
Dipole+solenoid	>100 MeV	Yes	Small
Wien filter	< 400 KeV	No	Large
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Backup: Wien filter



- Three-segment Wien filter has been studied to rotate electron spin by 90°
- 7 nC@350keV charge space charge is too high. WF needs R&D

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Parameter	Value		
Bunch charge	10 nC		
Energy	350 KeV		
L	0.5 m		
E _x	0.98 MV/m		
B _y	0.00407 T		
Electrode gap	5 cm		
0.005 0.004 0.003 0.002 0.001 0.001 0.05 1.5	X Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
2 p	asition[m]		
Z p	osition[m]		





Spin diagnostic beamline

- High voltage Mott polarimeter placed at gun diagnostic beamline where beam energy is 280-350 keV.
- Using bending and small Wien filter to tune the spin direction



Parameter name	value
Beam energy	280-350 keV
Beam bend degree by spin rotator	143°
Voltage of spin rotator	244.5 d/R kV
Scattering degree	136°

 $\frac{ZeG}{mceta}\int B_{\perp}dl$ Spin rotation angle

 ${Ze\over m\gamma c\beta}\int B_{\perp}dl$ Velocity rotation angle

G**γ**=0.0018

The 1st dipole caused spin direction change is negligible.

Summary

- Polarized gun R&D
 - We have designed and commissioned a HVDC polarized electron gun to meet the EIC polarized electron beam requirement.
 - This gun employs various novel concepts, including a cathode cooling system which could be implemented in future high current electron sources.
 - The gun was conditioned up to 350 KV without any field emission and was consistently operated at 300 KV.
 - High bunch charge, up to 16 nC, beam was generated from bulk GaAs photocathodes using a 785 nm laser.
 - Gun performance, including operational lifetime, exceeds all EIC requirements. Lifetime experiments with up to 37.5 uA level average currents, with biased anode, show no observable QE decay over 15 hours.
 - The polarization measurement Mott polarimeter has been established.
 - SL-GaAs supply train is in much better shape compared to last year.
- Pre-injector design
 - We designed a preinjector for 7 nC bunch charge using 2856 MHz Linac
 - The Parmela simulation shows the beam can meet the EIC requirement.
 - Jitter, RF wake, CSR wake have been considered in the design
 - Diagnostics plan has been generated.
 - Ready to discuss with vendor and make it more mature.

Thanks !

Questions?

Back up

Overview polarized guns in the world

Laboratory	Voltage	Bunch charge	I_pk	l_avg
ILab[1]	100, 200kV	2 or 2.7pC	67~53mA	Up to 4mA
SLC[2]	120kV	8-16 nC	3 A	2uA
MAMI[3]	100kV	0.02 pC		50uA
3onn-ELSA[4]	50kV	100 nC	100mA	5uA
MIT-BATES[5]	60kV	250 nC	10mA	20 or 120uA
Nagoya[6]	200kV	1.25 nC??	2A??	NA
NIKHEF[7]	100kV	2us	NA	0.04uA
EIC	300kV	7-16 nC	4.8 A*	3 uA, up to 76 uA
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* 1.6 ns laser; 3.5-8 A; No charge limit up to 4.8 A

In operation Shut down

EIC gun achieved

Gun Isolation Valve Gun Isolation Valve Gun Isolation Valve Cathode Emitter Tube Photocathode Photocathode Photocathode Photocathode Photocathode Photocathode Casium Channels Austrice Corona Shields Gun Support Flange Valve Cathode Elicetrode Support Valve Casium Channels Anode Support Valve Cathode Elicetrode Support Valve Cathode Support Valve Cathode Support Valve Cathode Valve Cathode Support Valve Valve Cathode Support Valve Valve Cathode Support Valve Valve Cathode Support Valve Valve

SLC PES 120 kV gun

- First load-locked gun used at an accelerator
- High bunch charge, low avg. current
- Four days to activate photocathode,



- Inverted gun, first cooled cathode set up
- High voltage
- Lifetime > month

EIC polarized electron source development scope change

	ERL eRHIC (abandoned)	EIC
Bunch charge [nC]	5.3	5.5-7
Bunch length [ps]	1760	20-40
Energy spread dp/p	1e-3	2.5e-3
Frequency [Hz]	1.2 M	1 (8 bunches)
Energy [MeV]	20	400
Average Current	6.3 mA	28-56 nA
Polarization [%]	> 85%	

- By change the scope, our planned beam dump , differential pumping, laser and MPS are not available.
- Limited up to 76 uA average current>> EIC requirements.

BNL Large Cathode Prototype Gun Parameters





	Inverted gun
Ball diameter	20 cm
Chamber diameter	80 cm
Gap distance (lg)	5.7 cm
Voltage	350 kV
Cathode radius (lc)	1.3 cm
Electrodes angle (a)	22 degs
Cathode gradients	3.8 MV/m
Maximum gradient	<10 MV/m
Anode radius(la)	1.7 cm
Pumping speed	20000 L/s
Anode bias	3000 V
Peak Current	4 A
Charge	7 nC
Target emittance	3.4 mm-mrad

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Beam energy calibration using Dipole



- Dipole field profile along beam path and peak field Vs applied current was measured

Using the known bending angle (16 degrees) and measured field profile (using the current applied to the magnet during operation), the energy of the electron beam was calibrated.

2856MHz RF wake consideration

- The beam distribution from Parmela
- Fitted with Gaussian distribution. σ =0.9 mm



Power supply and HV cable

- 400 kV Power supply is SF6 free set up.
- PS is 5 meter away from the gun within a grounded cage.
- Resistors for gun conditioning and 460 ohm resistor for beam operation.
- Custom designed Semiconductor jacket to reduce the storage energy(50pF/ft, 46 Joules) into the DC gap if discharge happen





Electron-Ion Collider

Space charge limit





785 ± 1.3 nm FWHM 1.64 ns Longitudinal flattop Transverse Gaussian

tail

A Gaussian radial distribution on the cathode, Surface charge density: $\sum(r) = \frac{Q_{bunch}}{2\pi\sigma_r} e^{-\frac{r^2}{2\sigma_r^2}}$ $Q_{emitted} = Q_{scl} + Q_{tail} = \pi r_m^2 J_{2d} + QE \frac{e E_{laser}}{\hbar\omega} e^{-\frac{rm^2}{2\sigma_r^2}}$

SCL range

Pencil shape 2D space charge limit: $J_{2d} = 2.33 \times 10^{-6} V^{3/2} / d(1 + \frac{d}{4r})$

If rm>0, then space charge limit happen

Cathode activation size is 6 mm in diameter, while our cathode size is 2.6 cm. We can get higher charge if have large activation area.

Cathode lifetime with and without anode bias



- Using 7.5 nC bunch charge polarized beam, 5000 pulses/s ~37.5 uA;
- With anode bias, we didn't observe QE drop.
- Without anode bias 1/e lifetime is 63 hrs. Dominated by the outgassing from FC.

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Charge from 7 hours test= 33 weeks of EIC operation

Established polarization measurement

It is for GaAs polarization measurement, not suitable for gun beam.



The system at 966 has 3 parts:

- Load-lock manipulator (BNL)
- Preparation chamber (BNL)
- Polarimeter (Specs)

•Feature of the system:

- The load-lock system is matched to the polarized gun load-lock.
- Use the same cathode puck as the gun puck.
- The Mott system is light source II beamline compatible.

Specs Mott polarimeter system Overview

Superk UARIA

- Laser: 450 -850 nm, 1-5 mW/nm
- Voltage: up to 25 kV
- Vacuum: low 10⁻¹¹ torr

SuperK Laser

Photocurrent: <1 mA

NKTPhotonics

SuperK EHTREME



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Polarimeter performance

- The initial energy of electron from photocathode is 200 eV
- The Sherman function is almost linear for ΔE <200 eV
- The theoretical effective Sherman function is 0.27



Measured ESP

- Several GaAs samples have been measured.
- Reasonable ESP for bulk GaAs and SSL GaAs/GaAsP photocathodes are obtained with error < 2% of the value







Electron-Ion Collider

Quantum efficiency, QE (%)

Linac error study



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Longitudinal Phase space manipulation

