#### Flavor Physics

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Belle II Physics Week@KEK Tsukuba



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#### Outline

- Lecture I
  - Flavor of the Standard Model
  - Weak decays: Effective Theory: Operator Product Expansion
- Lecture II
  - Form factor, Penguin decays
  - Current tensions
- Lecture III
  - SMEFT, Minimal Flavor Violation
  - Flavor Model with BSM physics

hep-ph/980647
 review by A. J. Buras

 Gauge theories of weak decays
 book by A. J. Buras

#### Aim of the lectures: to get familiar with the methods

and terms used in theory

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#### The Standard Model

Gauge structure of the SM of Particle Physics

strong: color 
$$\checkmark$$
  $SU(3)_c \times SU(2)_L \times U(1)_Y$   
weak: isospin hypercharge

Fermions: three generations			$SU(3)_C$	$SU(2)_L$	$U(1)_Y$
$e_R$	$\mu_R$	$ au_R$	1	1	-1
$L_1 = (v_e, e_L)^\top$	$L_2 = \left(\nu_{\mu}, \mu_L\right)^{T}$	$L_3 = (\nu_\tau, \tau_L)^\top$	1	2	$-\frac{1}{2}$
<i>u</i> <sub>R</sub>	C <sub>R</sub>	$t_R$	3	1	$\frac{2}{3}$
$d_R$	S <sub>R</sub>	$b_R$	3	1	$-\frac{1}{3}$
$Q_1 = (u_L, d_L)^\top$	$Q_2 = (c_L, s_L)^\top$	$Q_3 = (t_L, b_L)^{\top}$	3	2	$\frac{1}{6}$
Gauge bosons: mediators					
	$G^a_\mu$	a = 1 - 8	8	1	0
	$W^{a}_{\mu}$	a = 1, 2, 3	1	3	0
	$B_{\mu}$		1	1	0
	Higgs				
	$\Phi = \left(\phi^+, \phi^0\right)^\top$		1	2	$\frac{1}{2}$

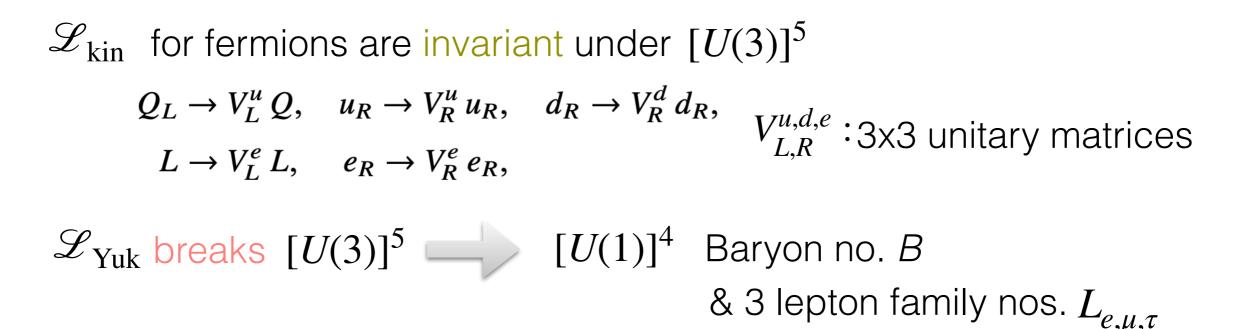
#### Lagrangian

3 x 3 Yukawa matrices: flavour dynamics

## Lagrangian

$$\begin{aligned} \mathscr{L}_{\text{kin}} &= \bar{\psi} i \not{D} \psi - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} + (D^{\mu} \Phi)^{\dagger} (D_{\mu} \Phi) & \psi = \{e_{R}, L, u_{R}, d_{R}, Q\} \\ \text{Fermion-gauge} & \text{Higgs-gauge} \\ \text{boson interaction} & \text{Higgs-gauge} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathscr{L}_{\text{Yuk}} &= - \bar{Q} \Phi Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{L} \Phi Y_{E} e_{R} & \text{Higgs-fermion interaction} \\ \mathcal{L}_{\text{Yuk}} &= - \bar{Q} \Phi^{c} Y_{D} d_{R} - \bar{Q} \Phi^{c} Y_{U} u_{R} - \bar{Q} \Phi^{c} Y_{U} u_$$

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[Courtesy: CERN document server]
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$$\begin{aligned} \mathscr{L}_{\text{kin}} & \text{ for fermions are invariant under } [U(3)]^5 \\ Q_L \to V_L^u Q, \quad u_R \to V_R^u u_R, \quad d_R \to V_R^d d_R, \quad V_{L,R}^{u,d,e} : 3 \times 3 \text{ unitary matrices} \\ L \to V_L^e L, \quad e_R \to V_R^e e_R, \end{aligned}$$

Can we use flavour symmetry to diagonalise all Yukawa matrices? bi-unitary transformation  $(V_L^d)^{\dagger} Y^D V_R^d = \hat{Y}^D$ ,  $(V_L^u)^{\dagger} Y^U V_R^u = \hat{Y}^U$ ,  $(V_L^e)^{\dagger} Y^E V_R^e = \hat{Y}^E$ .

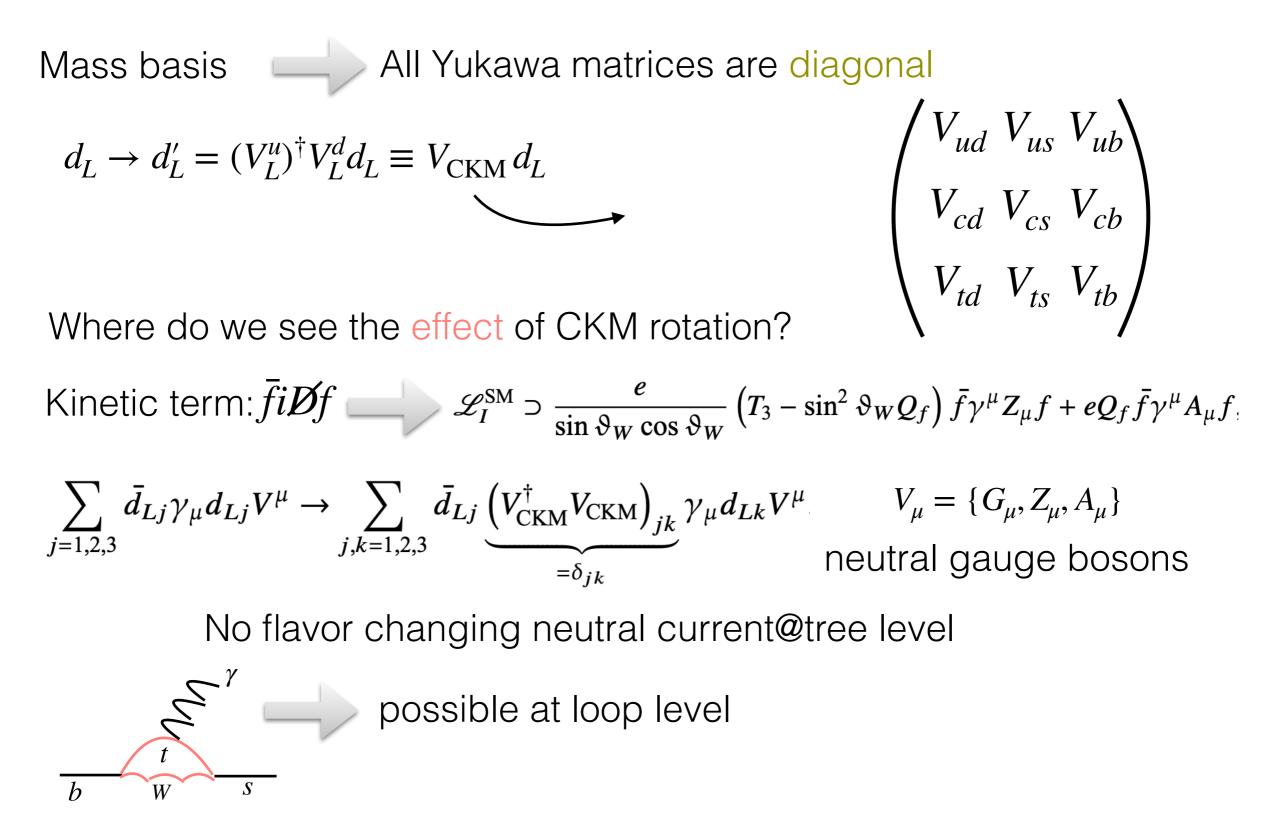
But only 3 matrices are available in quark sector:  $V_L^d$  missing

$$\begin{aligned} \mathscr{L}_{Yuk} = -\bar{Q}\Phi(V_L^u)^{\dagger}V_L^d\hat{\gamma}^D d_R - \bar{Q}\Phi^c\hat{\gamma}^U u_R - \bar{L}\Phi\hat{\gamma}^E e_R \\ \swarrow \end{aligned}$$
non-diagonal Extra rotation for *d*-type quarks

Mass basis All Yukawa matrices are diagonal

$$d_L \to d'_L = (V_L^u)^{\dagger} V_L^d d_L \equiv V_{\text{CKM}} d_L$$

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



Charged current:  $\sum_{j=1,2,3} \bar{u}_j \gamma^{\mu} P_L d_j W^+_{\mu} \longrightarrow \sum_{j,k=1,2,3} \bar{u}_j \gamma^{\mu} P_L V_{jk} d_k W^+_{\mu} = \bar{u} \gamma^{\mu} V_{CKM} P_L dW^+_{\mu}$ 

flavor violation generated in gauge interaction via Yukawa interactions in mass basis

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$$\sum_{j=1,2,3} \bar{u}_j \gamma^{\mu} P_L d_j W^+_{\mu} \longrightarrow \sum_{j,k=1,2,3} \bar{u}_j \gamma^{\mu} P_L V_{jk} d_k W^+_{\mu} = \bar{u} \gamma^{\mu} V_{CKM} P_L dW^+_{\mu}$$

flavor violation generated in gauge interaction via Yukawa interactions in mass basis

General parametrization of 3x3 unitary matrix 3 angles + 6 phases Not all phases physical—5 are rotated away  $u_j^{L,R} \rightarrow e^{i\varphi_j^u}u_j^{L,R}$ ,  $d_j^{L,R} \rightarrow e^{i\varphi_j^d}d_j^{L,R}$   $V_{ij}^{CKM} \rightarrow e^{i(\varphi_j^d - \varphi_i^u)}V_{ij}^{CKM}$  3 angles + 1 phases  $V_{CKM} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} s_{ij} = \sin \theta_{ij}$  $c_{ij} = \cos \theta_{ij}$ 

### Weak decays of muons

$$\frac{W}{\mu} = -\frac{1}{8} \frac{g_2^2}{k^2 - M_W^2} [\bar{\nu}_{\mu} \gamma_{\mu} (1 - \gamma_5) \mu] [\bar{e} \gamma^{\mu} (1 - \gamma_5) \nu_e],$$

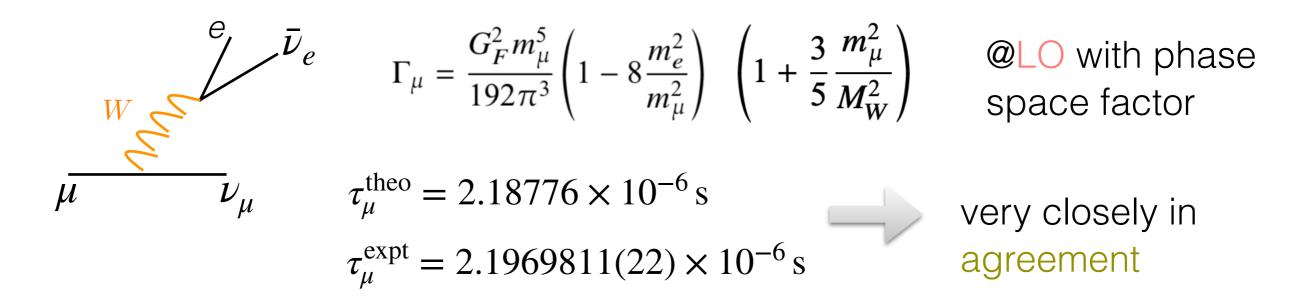
$$k^2 \ll M_W^2 \text{ is good approximation as } m_{\mu} \ll M_W \stackrel{e}{\longrightarrow} \frac{\bar{\nu}_e}{\sqrt{2}} [\bar{\nu}_{\mu} \gamma_{\mu} (1 - \gamma_5) \mu] [\bar{e} \gamma^{\mu} (1 - \gamma_5) \nu_e],$$

$$\frac{G_F}{\sqrt{2}} [\bar{\nu}_{\mu} \gamma_{\mu} (1 - \gamma_5) \mu] [\bar{e} \gamma^{\mu} (1 - \gamma_5) \nu_e],$$

matching with Fermi theory with 4-point effective interaction  $\frac{G_F}{\sqrt{2}} = \frac{g_2^2}{8M_W^2}$ 

$$\label{eq:BR} \begin{split} \mathrm{BR}(\mu \to e \nu_\mu \bar{\nu}_e) \sim 100 \,\% & \longrightarrow & \mathrm{decay} \ \mathrm{width} \ \mathrm{of} \ \mathrm{muon} \\ & \mathrm{used} \ \mathrm{to} \ \mathrm{evaluate} \ G_F \end{split}$$

## Weak decays of muons



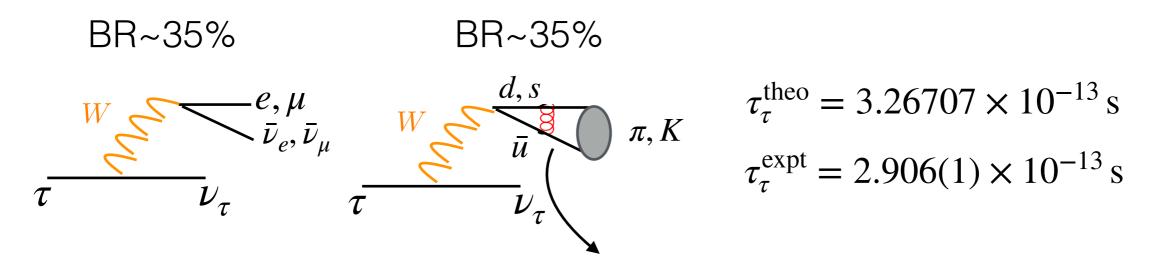
#### Weak decays of muons

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Including electro-weak corrections

# Weak decays of tau

Total decay width of fermion  $\Gamma_f^{\text{tot}} = \frac{G_F^2 m_f^5}{192\pi^3} \left[ f(m_{f'}/m_f) + \cdots \right]$ phase space + higher order in  $\alpha_{EM}$ 

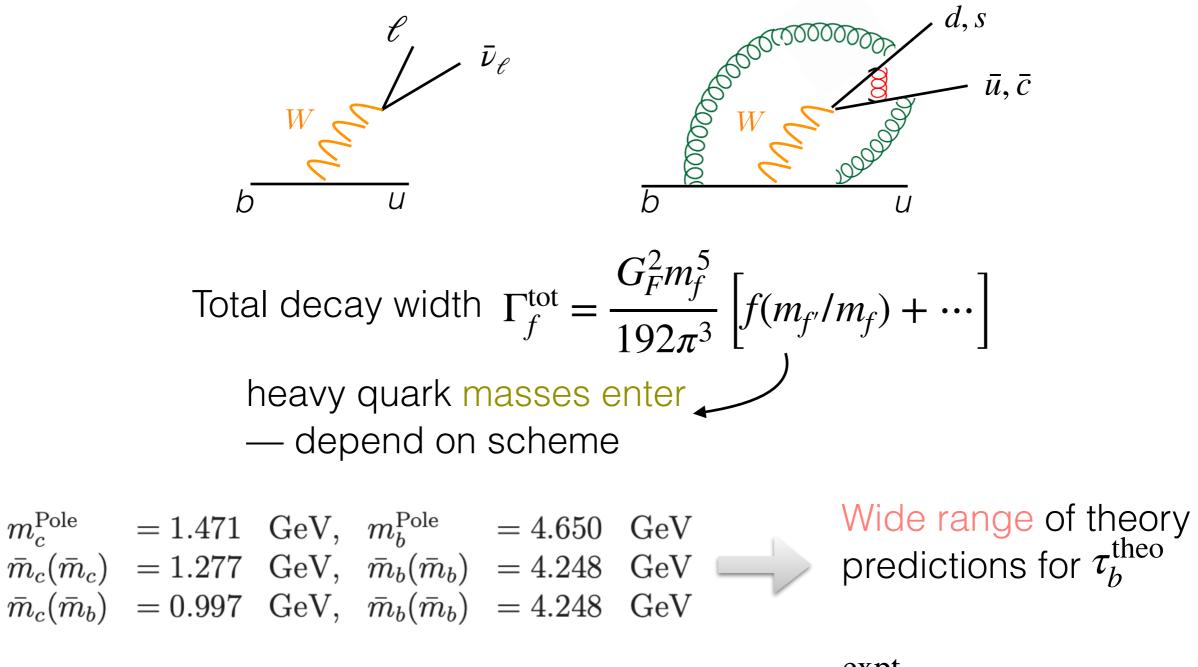


Gluon exchange within quarks

QED effects under control but not QCD

Tau decay is used to evaluate  $\alpha_s$ — strong coupling constant

#### Weak decays of quarks



 $\tau_b^{\text{theo}} = 2.60 \times 10^{-15} \,\text{ps} \ @\bar{m}_{c,b}(\bar{m}_b) - \text{differs from} \ \tau_b^{\text{expt}}$ 



Actual physics lies in loops!

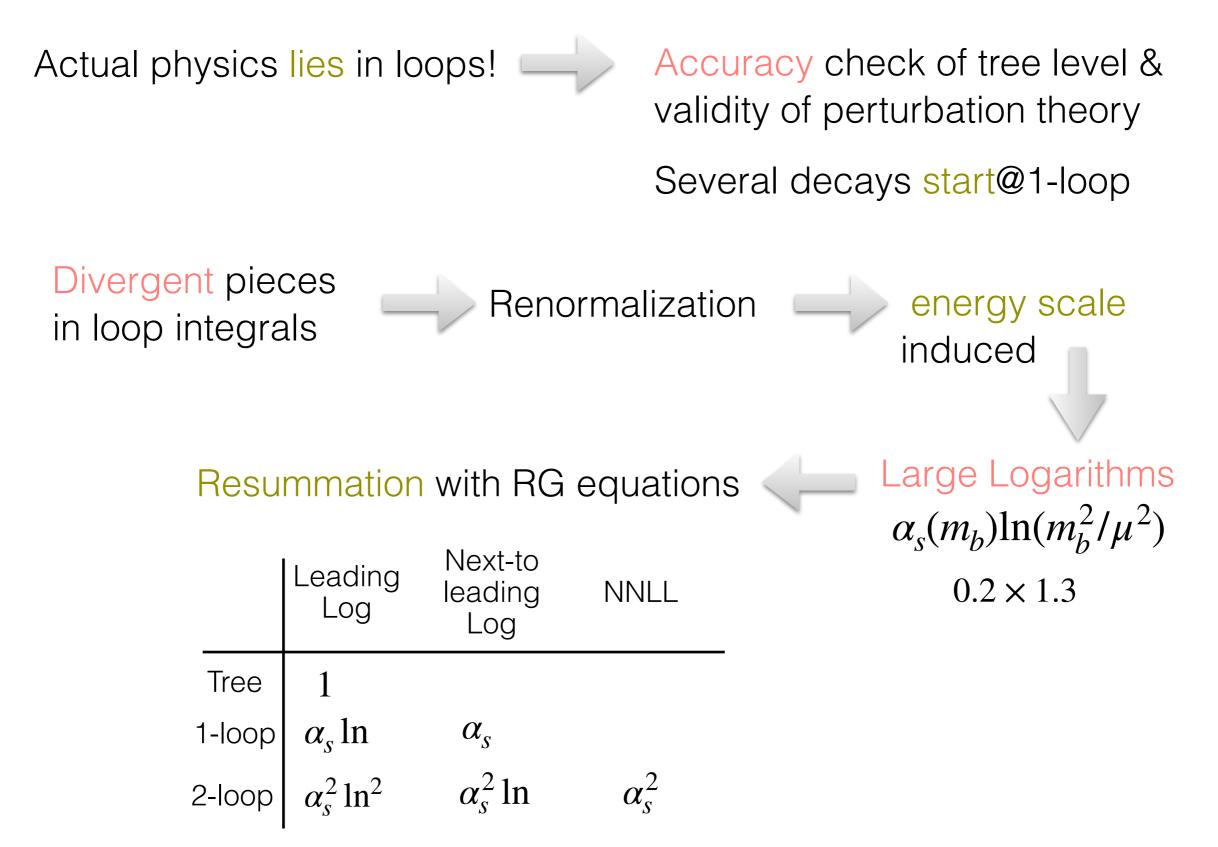
Accuracy check of tree level & validity of perturbation theory

Several decays <a href="mailto:start@1-loop">start@1-loop</a>

Divergent pieces in loop integrals

Renormalization

## Loops



Weak decays of quarks involve different scales

 $\mu = \mathcal{O}(M_W)$  fundamental scale of weak interaction—small  $\alpha_s$ 

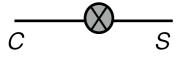
 $\mathcal{O}(1 \, \text{GeV}) \le \mu \le M_W$  ariation is significant

resummation of large Logs necessary

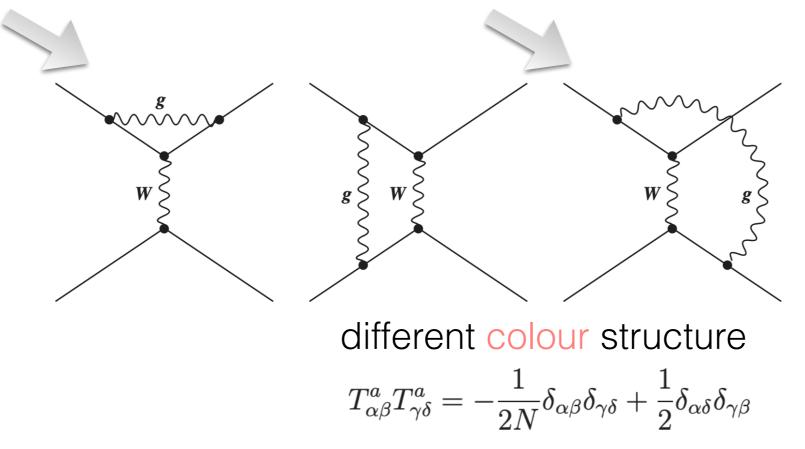
 $\mu \leq \mathcal{O}(1 \, \text{GeV})$  — confinement effects has to be included

Weak decays of quarks involve different scales  $\mu = \mathcal{O}(M_W)$  fundamental scale of weak interaction—small  $\alpha_s$  $\mathcal{O}(1\,{\rm GeV}) \le \mu \le M_W$  ariation is significant resummation of large Logs necessary  $\mu \leq \mathcal{O}(1 \, \text{GeV})$ confinement effects has to be included An example: S  $\overline{C}$ С  $A = -\frac{G_F}{\sqrt{2}} V_{cs}^* V_{ud} \frac{M_W^2}{k^2 - M_{ud}^2} (\bar{s}c)_{V-A} (\bar{u}d)_{V-A} \qquad (\bar{f}f)_{V-A} \equiv \bar{f}\gamma_\mu (1 - \gamma_5) f$  $= \frac{G_F}{\sqrt{2}} V_{cs}^* V_{ud} \, (\bar{s}c)_{V-A} (\bar{u}d)_{V-A} + O\left(\frac{k^2}{M_{uu}^2}\right)$ 

$$\mathcal{H}_{eff} = \frac{G_F}{\sqrt{2}} V_{cs}^* V_{ud} C \mathcal{Q} + \text{higher D}; \quad \mathcal{Q} \equiv (\bar{s}c)_{V-A} (\bar{u}d)_{V-A}$$



- product of two currents expanded in series of local operators weighted by effective coupling constants— Wilson coefficients *C*
- C=1 altered by QCD corrections + new operators induced



$$\mathscr{H}_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{cs}^* V_{ud} (C_1(\mu) Q_1 + C_2(\mu) Q_2), \qquad Q_1 = (\bar{s}_{\alpha} c_{\beta})_{V-A} (\bar{u}_{\beta} d_{\alpha})_{V-A} Q_2 = (\bar{s}_{\alpha} c_{\alpha})_{V-A} (\bar{u}_{\beta} d_{\beta})_{V-A}$$

Amplitude of full theory should match with the amplitude produced from effective theory Hamiltonian \_\_\_\_\_ matching condition

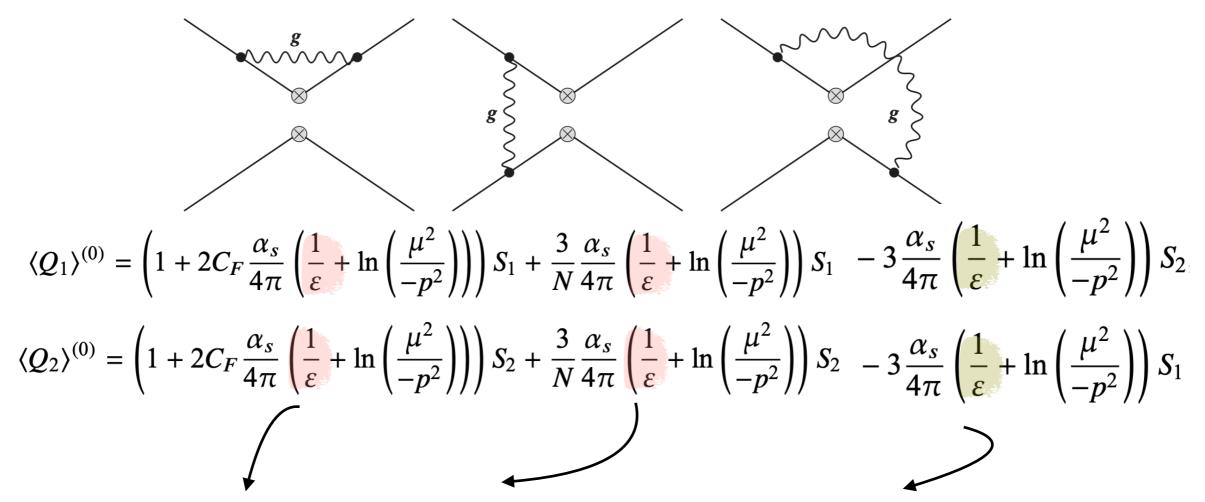
$$A_{\text{full}} = A_{\text{eff}} = \frac{G_F}{\sqrt{2}} V_{cs}^* V_{ud} (C_1 \langle Q_1 \rangle + C_2 \langle Q_2 \rangle)$$

$$\begin{split} A_{\text{full}} &= \frac{G_F}{\sqrt{2}} V_{cs}^* V_{ud} \Big[ \left( 1 + 2C_F \frac{\alpha_s}{4\pi} \left( \frac{1}{\varepsilon} + \ln\left(\frac{\mu^2}{-p^2}\right) \right) \right) S_2 + \frac{3}{N} \frac{\alpha_s}{4\pi} \ln\left(\frac{M_W^2}{-p^2}\right) S_2 \\ &- 3 \frac{\alpha_s}{4\pi} \ln\left(\frac{M_W^2}{-p^2}\right) S_1 \Big]. \end{split} \qquad S_1 \equiv \langle Q_1 \rangle_{tree} = (\bar{s}_{\alpha} c_{\beta})_{V-A} (\bar{u}_{\beta} d_{\alpha})_{V-A}, \\ &S_2 \equiv \langle Q_2 \rangle_{tree} = (\bar{s}_{\alpha} c_{\alpha})_{V-A} (\bar{u}_{\beta} d_{\beta})_{V-A}, \end{split}$$

Divergent pole can be absorbed in field redefinition

tree level matrix element

#### Matrix element



divergences in 1st two terms absorbed in field renormalization.

More divergent than full theory effective theory is nonrenormalizable

need additional constants —operator renormalization

#### Matrix element

 $(Q_i)^{(0)} = Z_q^{-2} \hat{Z}_{ij} \langle Q_j \rangle$ Quark field renormalization  $\hat{Z} = 1 + \frac{\alpha_s}{4\pi} \frac{1}{\varepsilon} \begin{pmatrix} 3/N & -3 \\ -3 & 3/N \end{pmatrix}$ 

Renormalized operators:

$$\langle Q_1 \rangle = \left( 1 + 2C_F \frac{\alpha_s}{4\pi} \ln\left(\frac{\mu^2}{-p^2}\right) \right) S_1 + \frac{3}{N} \frac{\alpha_s}{4\pi} \ln\left(\frac{\mu^2}{-p^2}\right) S_1 - 3\frac{\alpha_s}{4\pi} \ln\left(\frac{\mu^2}{-p^2}\right) S_2$$
$$\langle Q_2 \rangle = \left( 1 + 2C_F \frac{\alpha_s}{4\pi} \ln\left(\frac{\mu^2}{-p^2}\right) \right) S_2 + \frac{3}{N} \frac{\alpha_s}{4\pi} \ln\left(\frac{\mu^2}{-p^2}\right) S_2 - 3\frac{\alpha_s}{4\pi} \ln\left(\frac{\mu^2}{-p^2}\right) S_1$$

Matching between full and EFT amplitudes gives

$$C_1(\mu) = -3 \frac{\alpha_s}{4\pi} \ln\left(\frac{M_W^2}{\mu^2}\right), \qquad C_2(\mu) = 1 + \frac{3}{N} \frac{\alpha_s}{4\pi} \ln\left(\frac{M_W^2}{\mu^2}\right)$$

remember when NO QCD:  $C_1(M_W) = 0$ ,  $C_2(M_W) = 1$ 

Operator renormalization similar to coupling constant renormalization if Wilson coefficients are thought as bare coupling constants in  $\mathscr{H}_{eff}$ 

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Factorisation of 
$$\left(1 + \alpha_s r \ln\left(\frac{M_W^2}{-p^2}\right)\right) \doteq \left(1 + \alpha_s r \ln\left(\frac{M_W^2}{\mu^2}\right)\right) \cdot \left(1 + \alpha_s r \ln\left(\frac{\mu^2}{-p^2}\right)\right)$$
energy scales@  $\mathcal{O}(\alpha_s)$ 

full theory= WC matrix element (short distance) (long distance)

$$\int_{-p^2}^{M_W^2} \frac{dk^2}{k^2} = \int_{\mu^2}^{M_W^2} \frac{dk^2}{k^2} + \int_{-p^2}^{\mu^2} \frac{dk^2}{k^2}$$

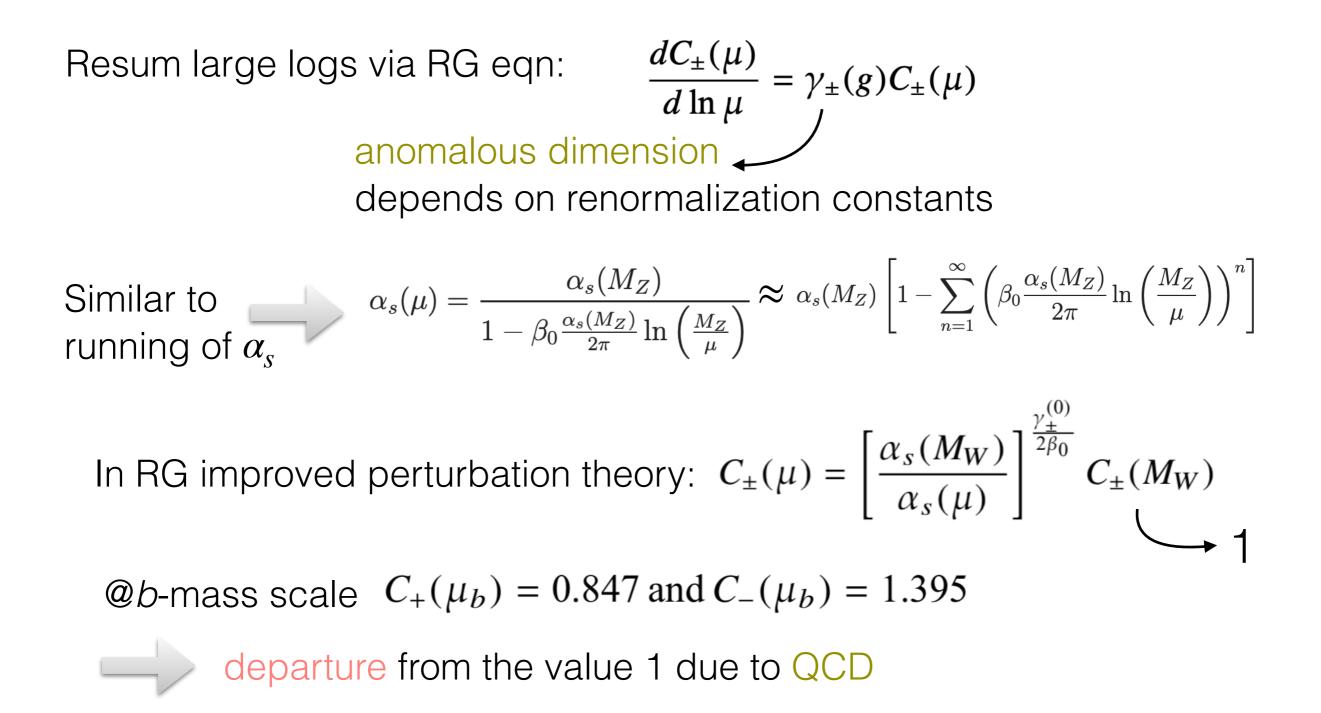
# WCs are independent of external states p<sup>2</sup> dropped from the expressions need to be careful while regularising infrared divergences Operators mix under renormalization: Î is non-diagonal

Counter term for  $Q_2$  depends on the constants for both  $Q_2 \& Q_1$ 

diagonal basis: 
$$Q_{\pm} = \frac{Q_2 \pm Q_1}{2}, \qquad C_{\pm} = C_2 \pm C_1$$
  
 $C_{\pm}(\mu) = 1 + \left(\frac{3}{N} \mp 3\right) \frac{\alpha_s}{4\pi} \ln\left(\frac{M_W^2}{\mu^2}\right), \qquad \text{Large log } \mu = 1 \text{ GeV}$   
 $4\%$ 

Total 1st order correction amounts 60-130%

Naive breakdown of perturbative series



# Prescription

Step-1: Matching in perturbation theory amplitude in full theory matched to operator matrix element in effective theory extraction of WCs  $C_i(\Lambda)$ mass of heavy

particles integrated out

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Step-2: RG improved perturbation theory

using anomalous dimension of operators compute WCs at any lower scale via RG evolution  $C_i(\mu) = U(\mu, \Lambda)C_i(\Lambda)$ 

# Prescription

Step-1: Matching in perturbation theory amplitude in full theory matched to operator matrix element in effective theory extraction of WCs  $C_i(\Lambda)$ mass of heavy

particles integrated out

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using anomalous dimension of operators compute WCs at any lower scale via RG evolution  $C_i(\mu) = U(\mu, \Lambda)C_i(\Lambda)$ 

#### Step-3: Non-perturbative calculation

hadronic matrix elements at the lower scale via methods: Lattice gauge theory, QCD sum rules

factorization between short & long distance physics

 $\checkmark \rightarrow < \mu : \langle Q(\mu) \rangle$  $C_i(\mu): \mu > \checkmark$ 

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