

The Anatomy of semileptonic Decays

Belle II Physics Week 2023

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Topic is much more extensive of what I will cover in **O(1h + Questions)**

Reviews (RMPs) on the subject :

Mannel, Dingfelder

Richman, Burchat

Bernlochner, Robinson, Franco Sevilla, Wormser

Attached to the agenda :-)

In addition: some notes on $B \rightarrow D \ell \bar{\nu}_\ell$ are also attached

Semileptonic decay rate for $B \rightarrow D \ell \bar{\nu}_\ell$

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1 Overview

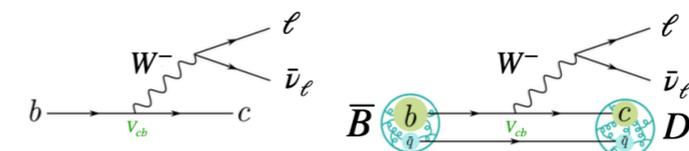
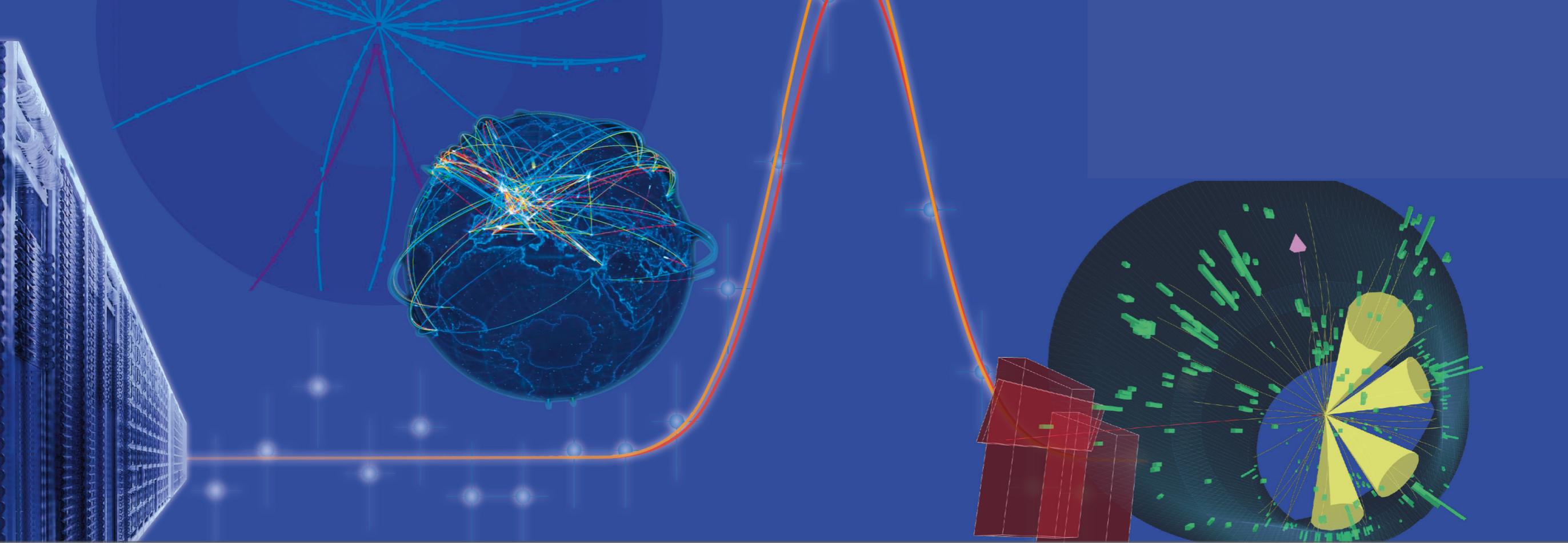
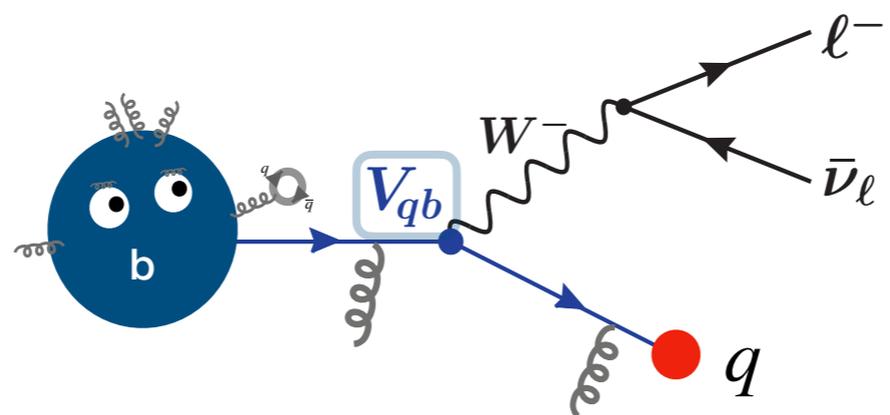


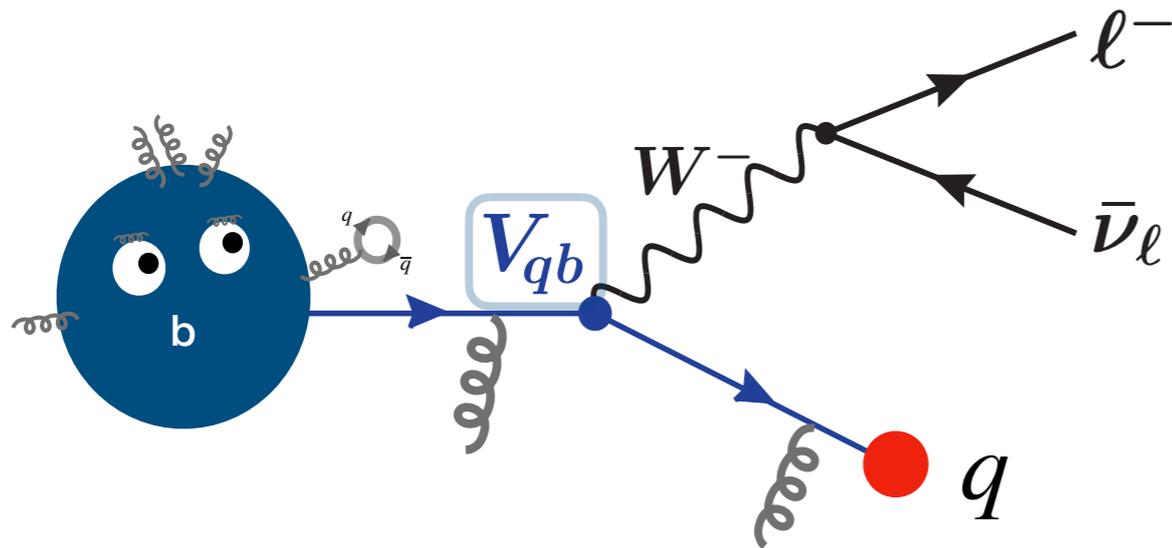
Fig. 1: Quark and parton-level decay of $B \rightarrow D \ell \bar{\nu}_\ell$ are shown.



1) Overview

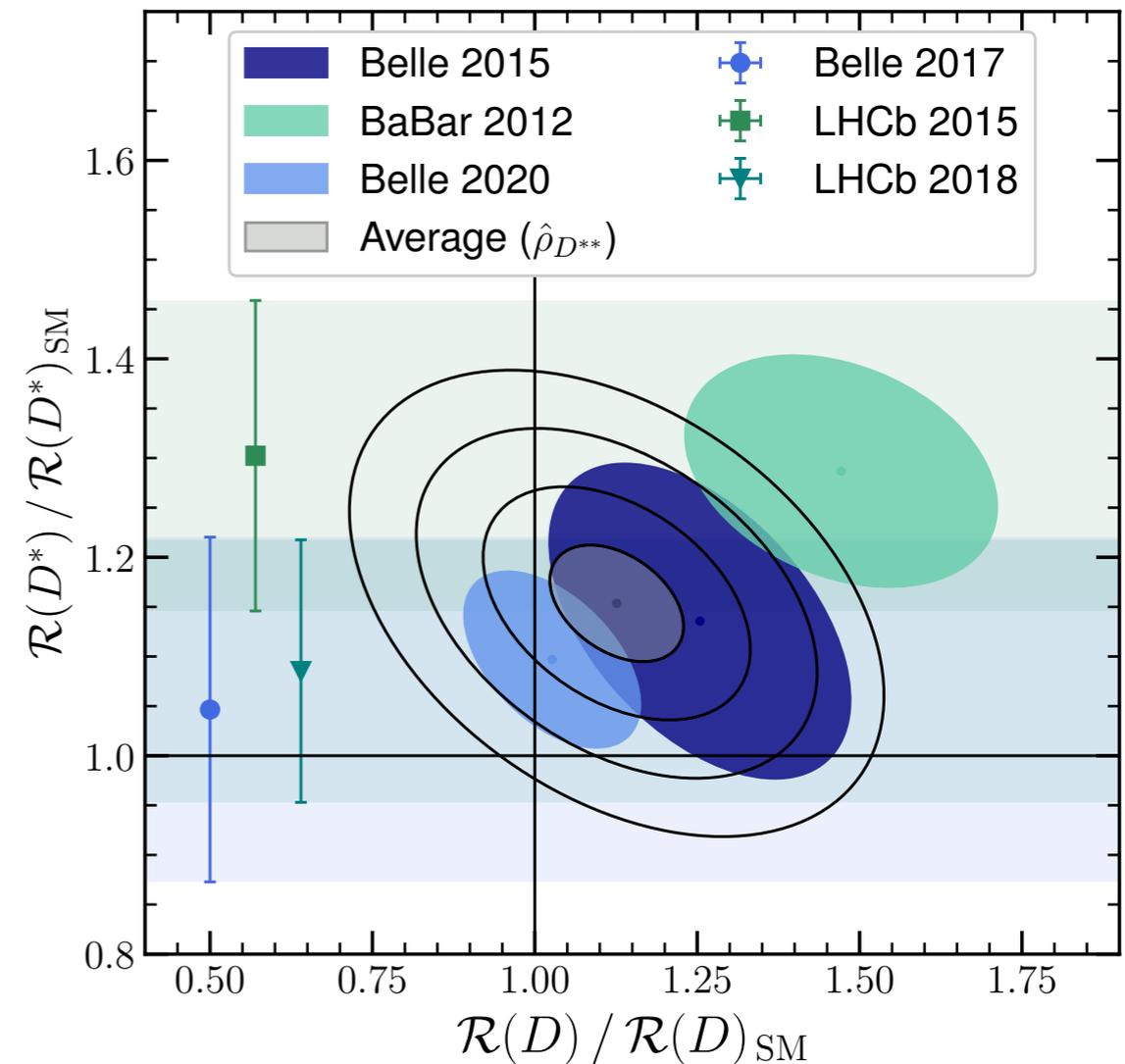
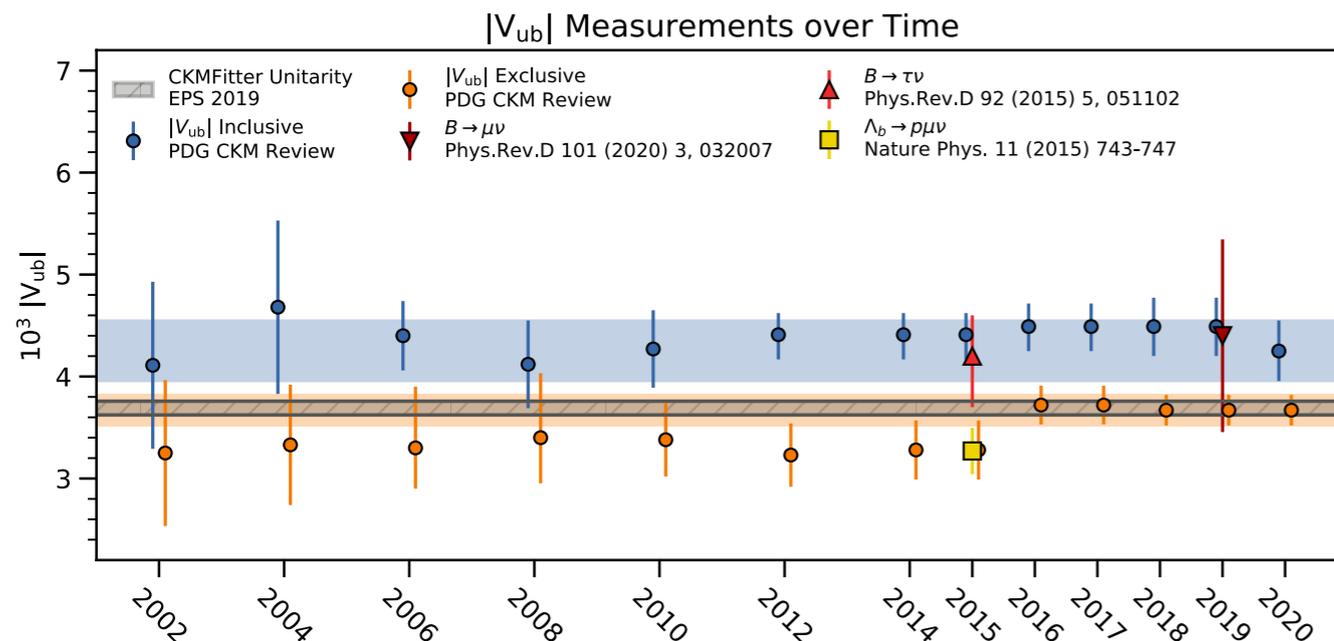


Let's take a deep dive



They look **cute**, but that could be **deceiving** ...

... they are responsible for some of the long-standing **discrepancies** since about a decade



Why is it important to measure $|V_{ub}|$ & $|V_{cb}|$?

$$\begin{array}{c}
 \\
 \\
 \\
 \end{array}
 \begin{pmatrix}
 d & s & b \\
 V_{ud} & V_{us} & V_{ub} \\
 V_{cd} & V_{cs} & V_{cb} \\
 V_{td} & V_{ts} & V_{tb}
 \end{pmatrix}$$

Over constrain Unitarity condition
 → Potent test of Standard Model

Unitarity
 $CC^\dagger = 1$

$$\underbrace{V_{ud}V_{ub}^*}_{\mathcal{O}(\lambda^3)} + \underbrace{V_{cd}V_{cb}^*}_{\mathcal{O}(\lambda^3)} + \underbrace{V_{td}V_{tb}^*}_{\mathcal{O}(\lambda^3)} = 0$$

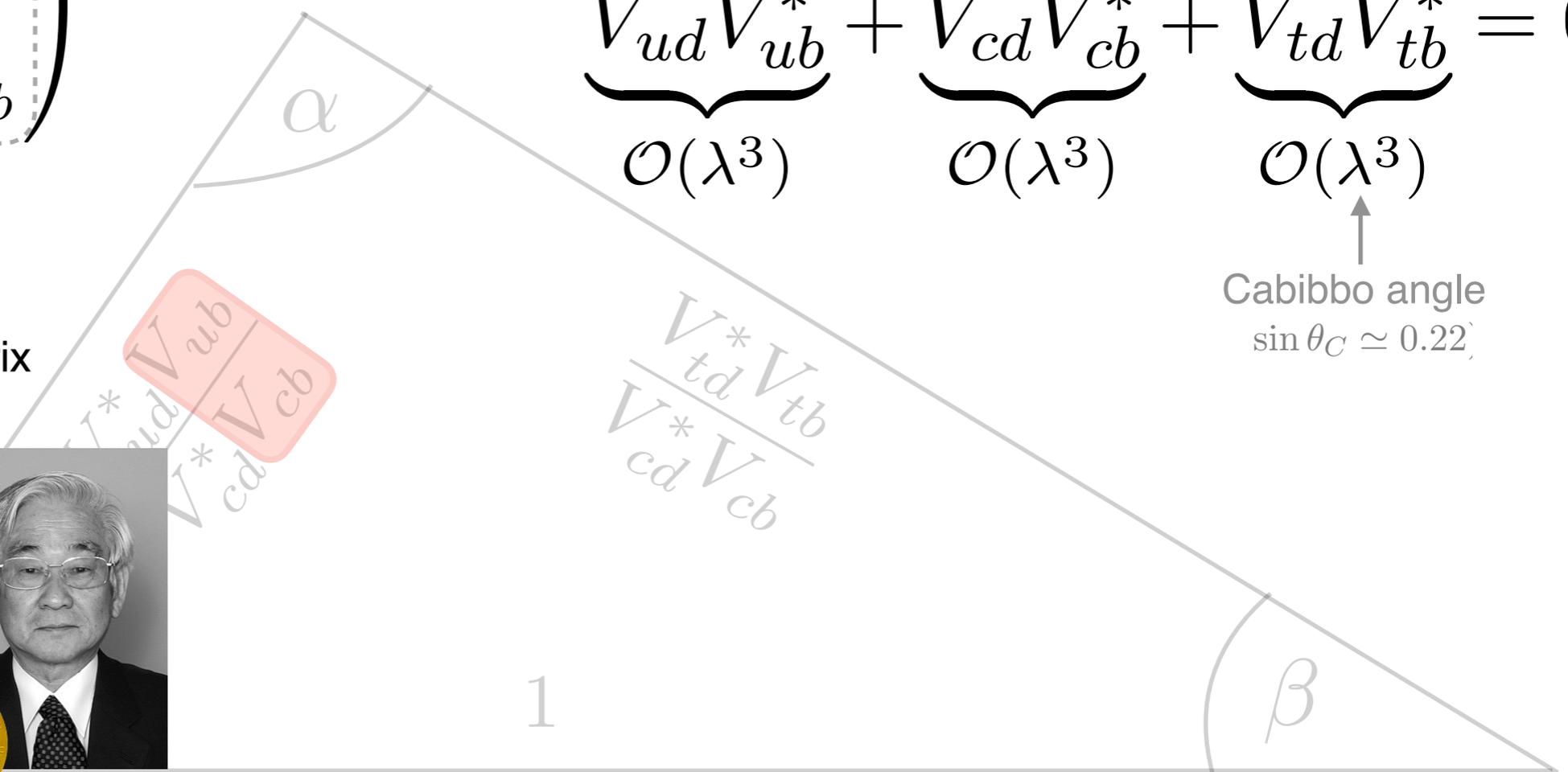
Cabibbo angle
 $\sin \theta_C \simeq 0.22$

CKM Matrix

SM: Unitary 3x3 Matrix

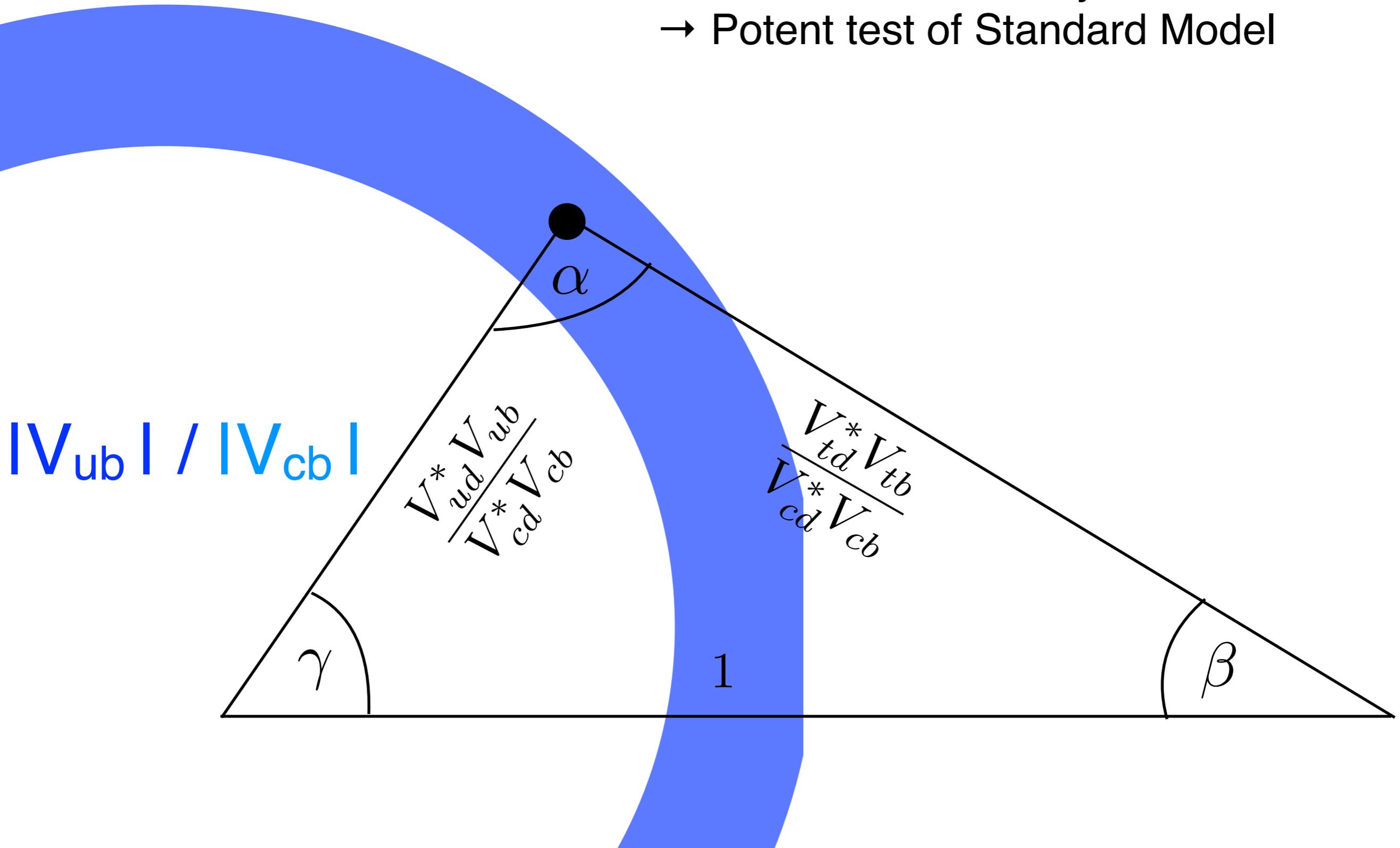


Nobel prize 2008



Why is it important to measure $|V_{ub}|$ & $|V_{cb}|$?

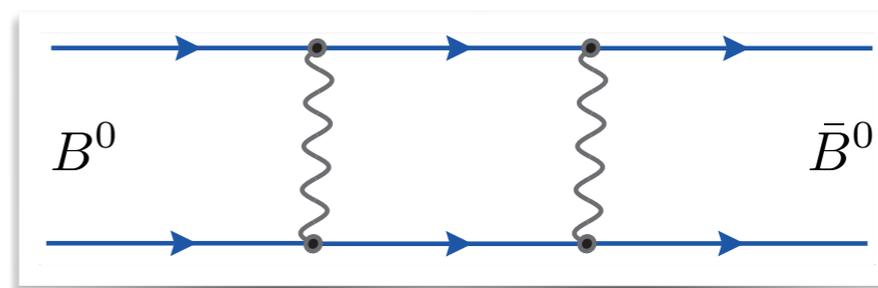
Over constrain Unitarity condition
 → Potent test of Standard Model



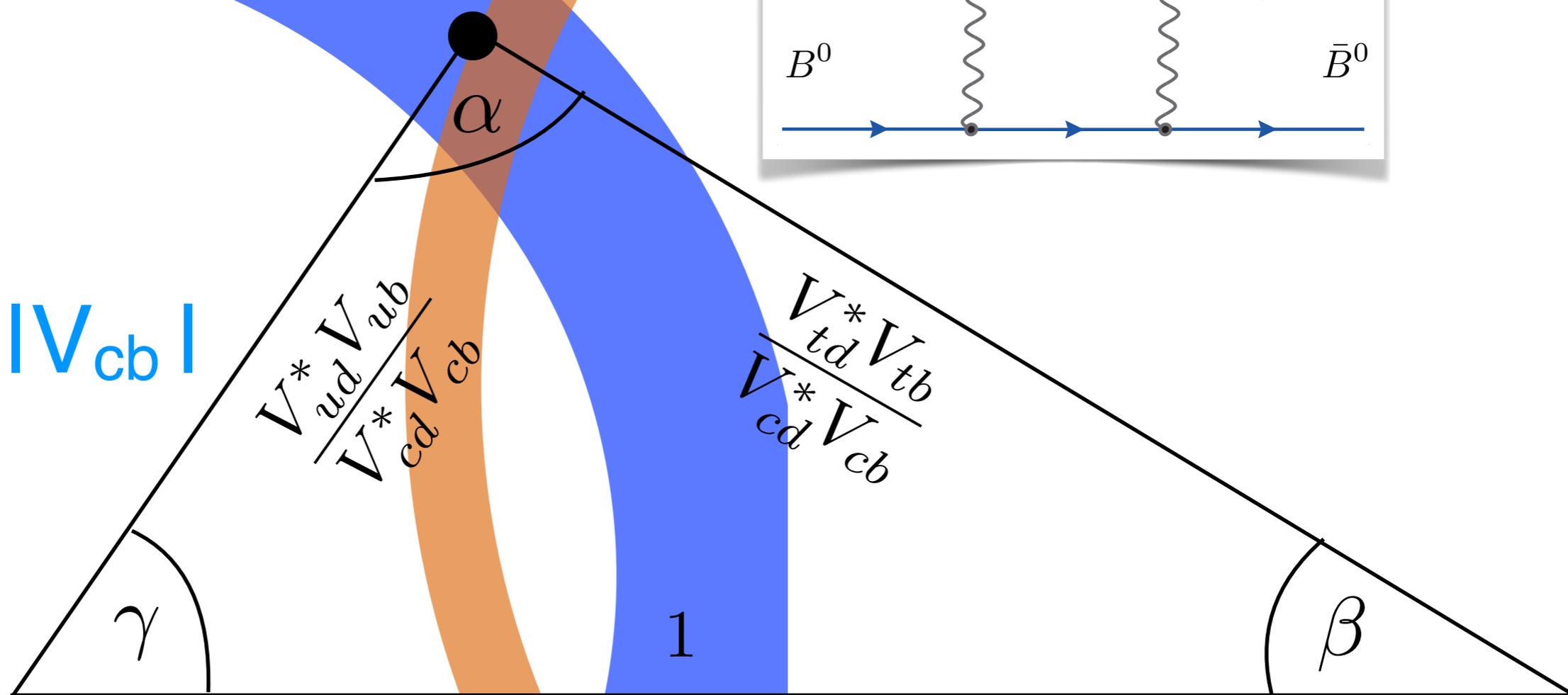
Why is it important to measure $|V_{ub}|$ & $|V_{cb}|$?

Over constrain Unitarity condition
→ Potent test of Standard Model

B-Meson Mixing



$|V_{ub}| / |V_{cb}|$



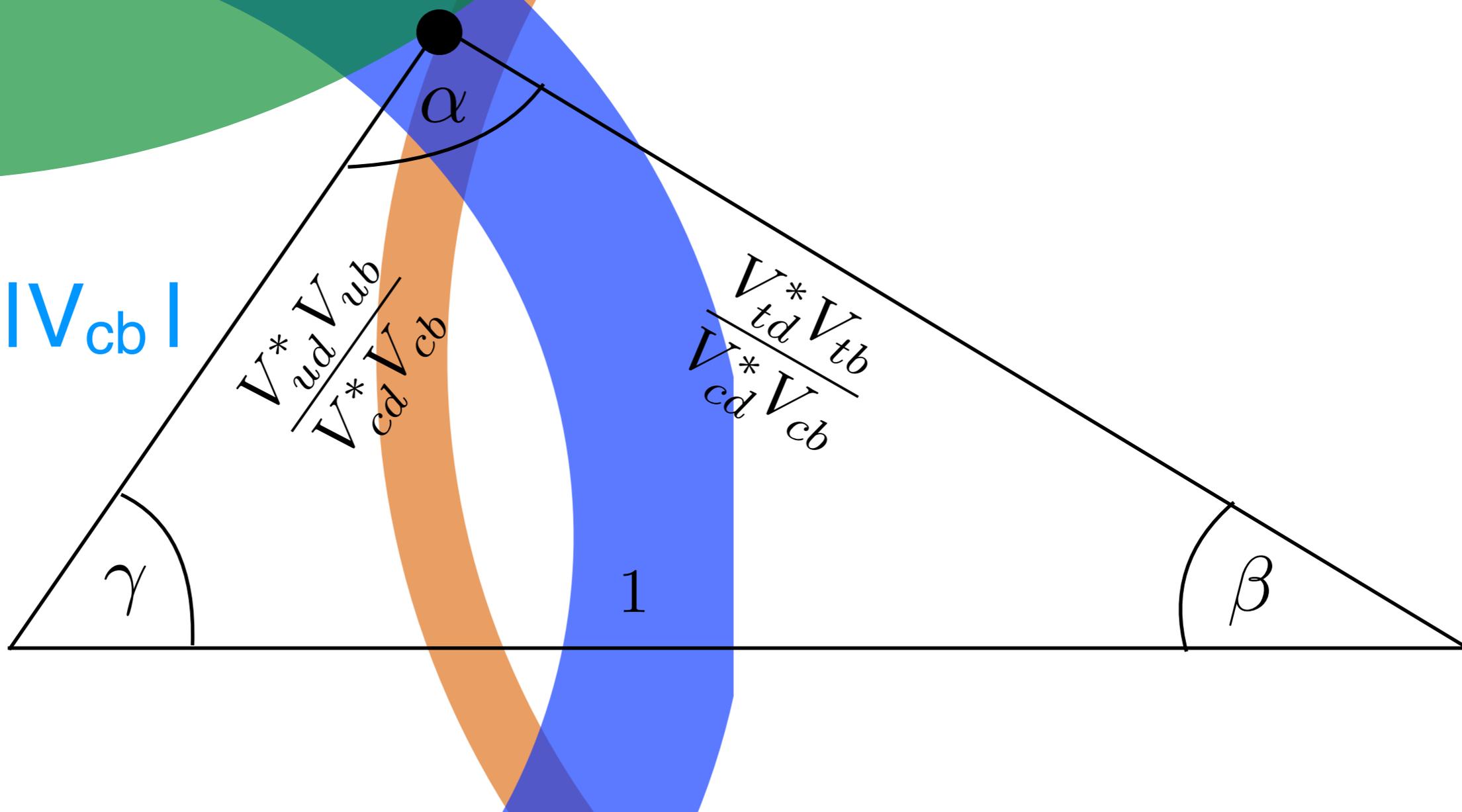
Why is it important to measure $|V_{ub}|$ & $|V_{cb}|$?

CPV Kaon Mixing

Over constrain Unitarity condition
→ Potent test of Standard Model

B-Meson Mixing

$|V_{ub}| / |V_{cb}|$



Why is it important to measure $|V_{ub}|$ & $|V_{cb}|$?

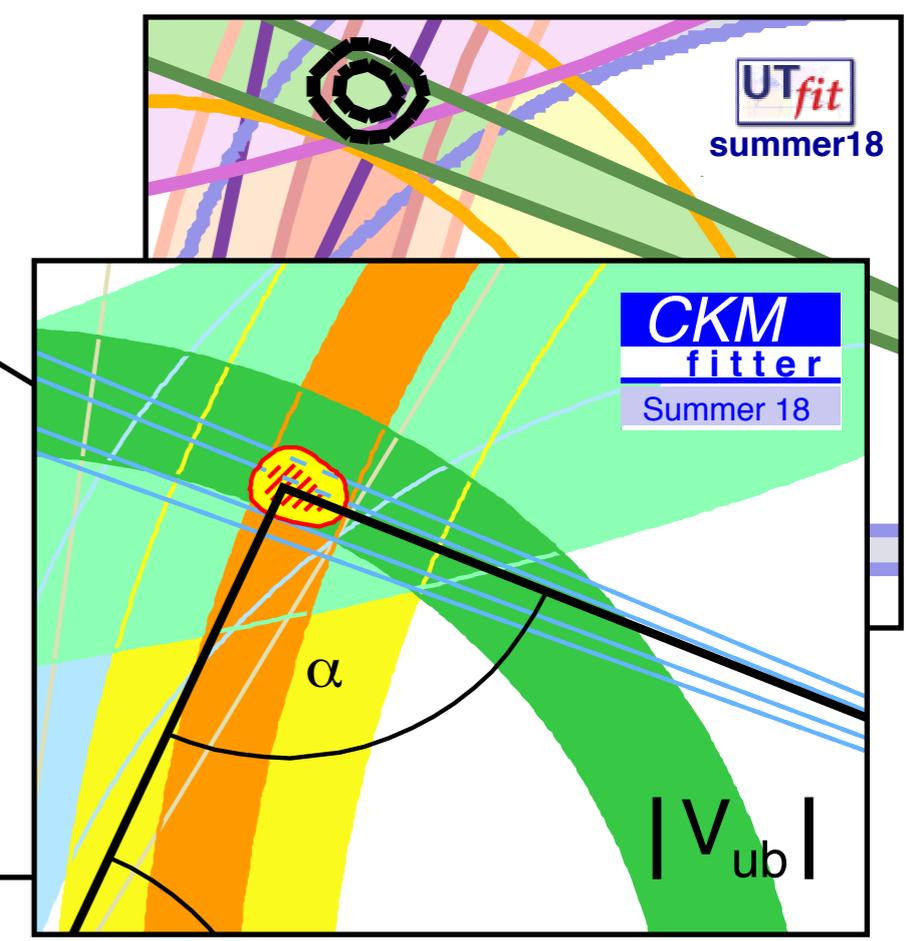
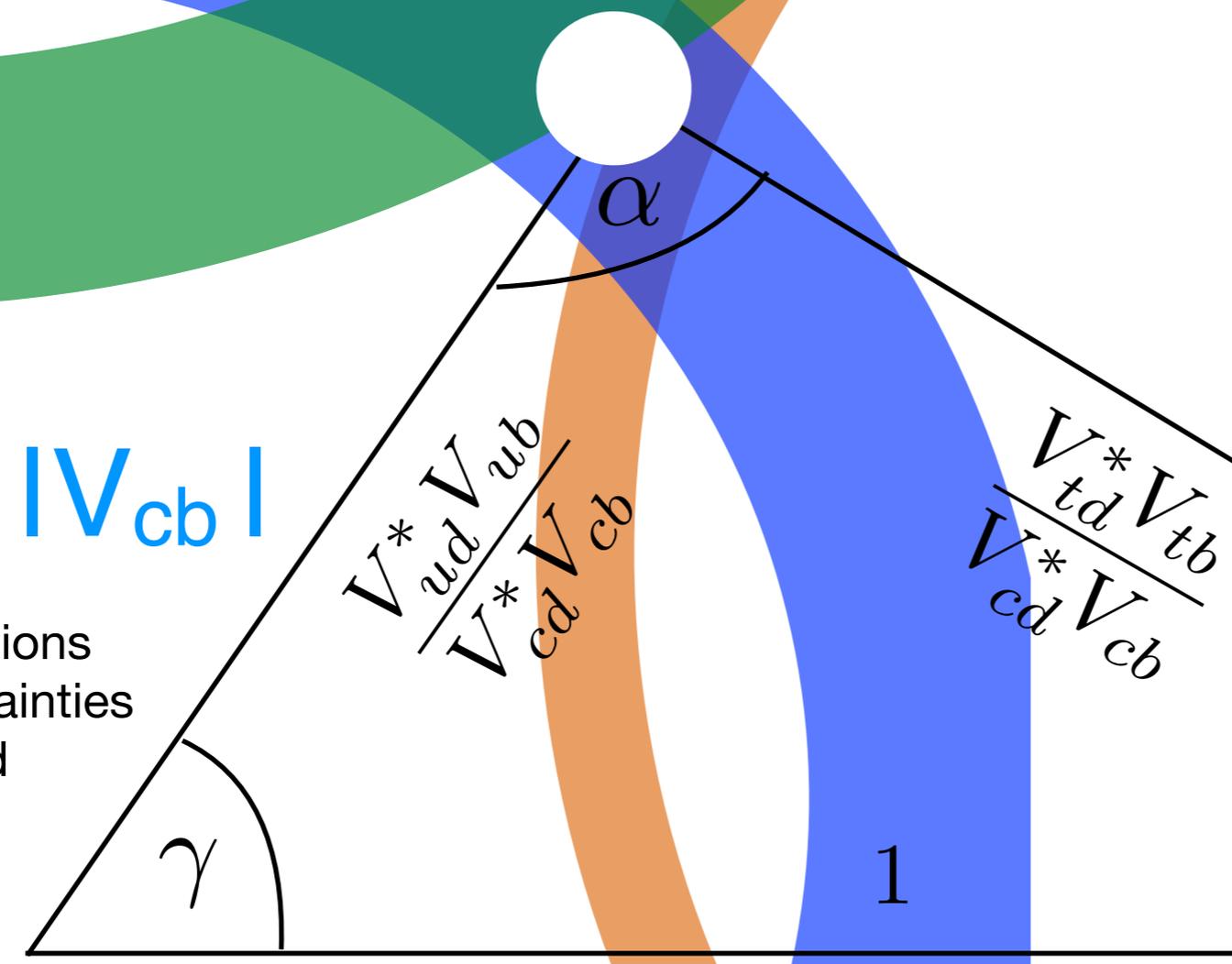
CPV Kaon Mixing

Present day

B-Meson Mixing

$|V_{ub}| / |V_{cb}|$

Some tensions exist, uncertainties inflated



Why is it important to measure $|V_{ub}|$ & $|V_{cb}|$?

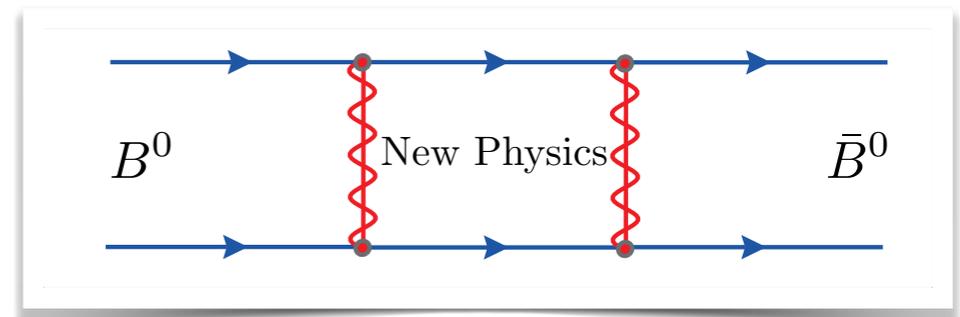
CPV Kaon Mixing



The future?

with Belle II & LHCb

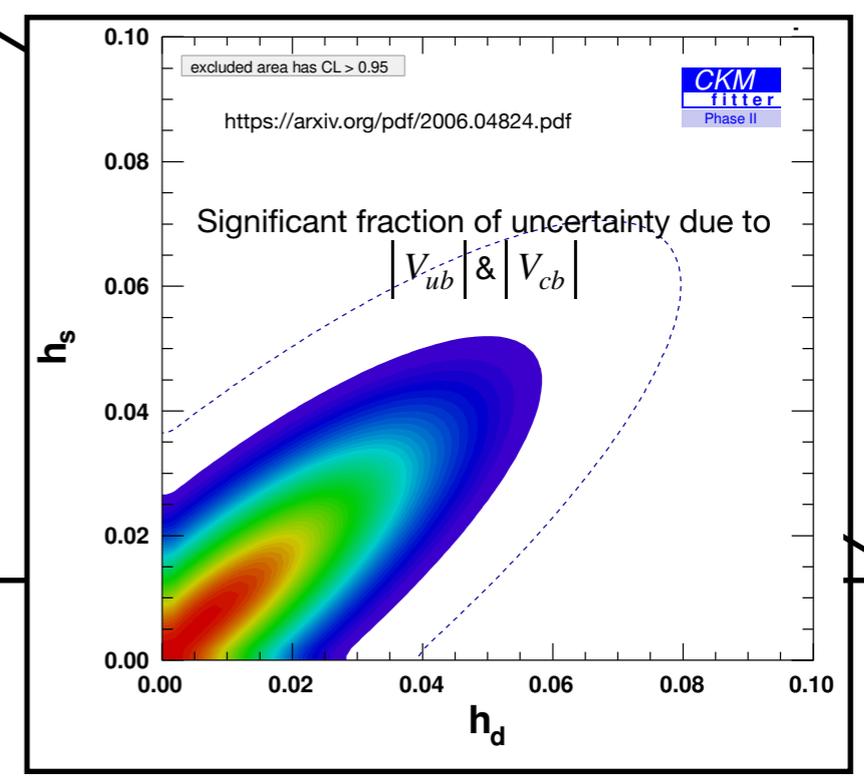
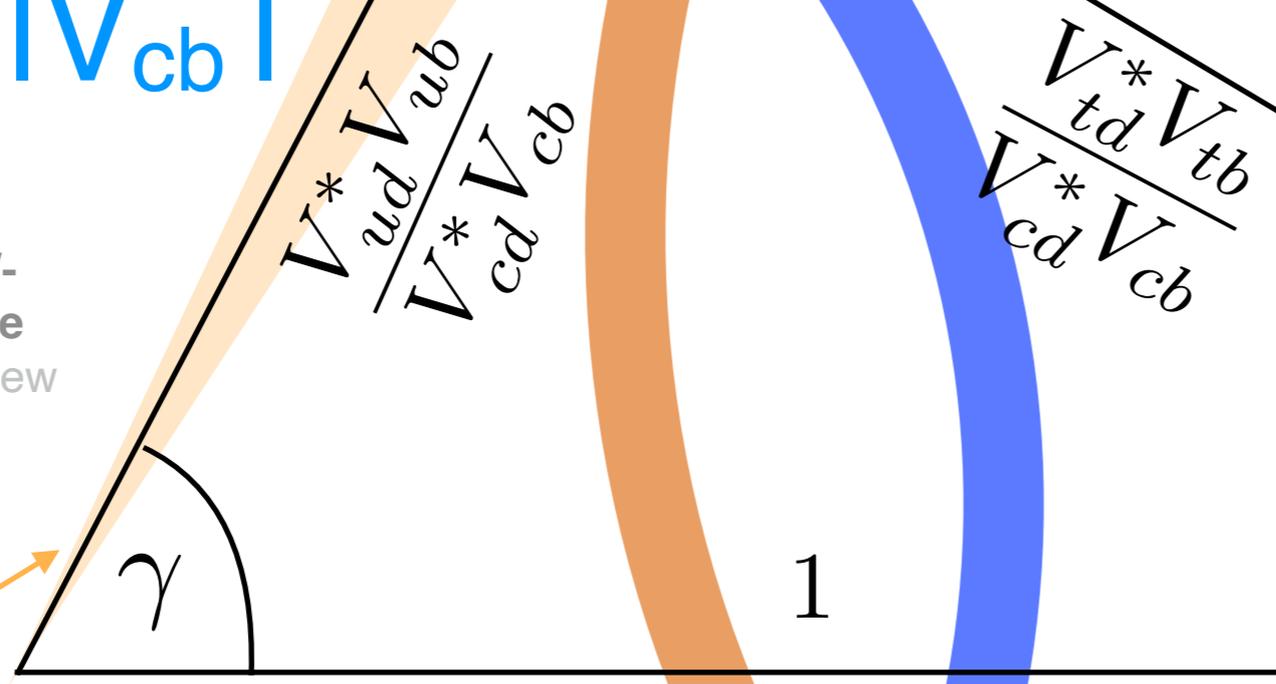
B-Meson Mixing



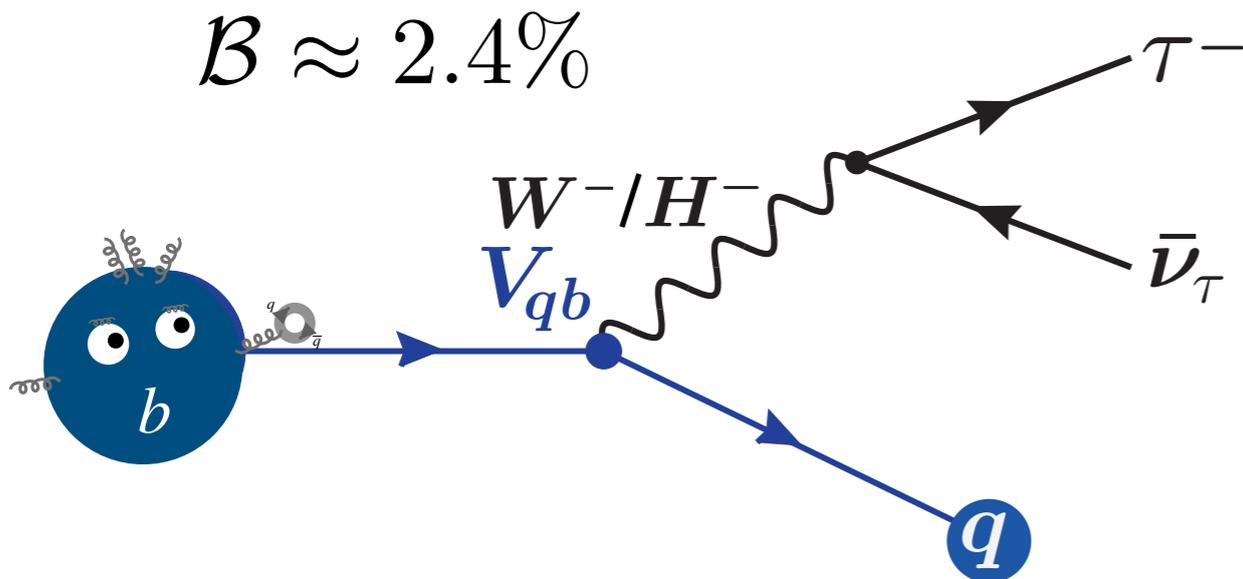
$|V_{ub}| / |V_{cb}|$

Dominated by W-Boson exchange
a-priori free from new physics

CKM γ can also be measured using tree-level decays



Semileptonic decays with τ



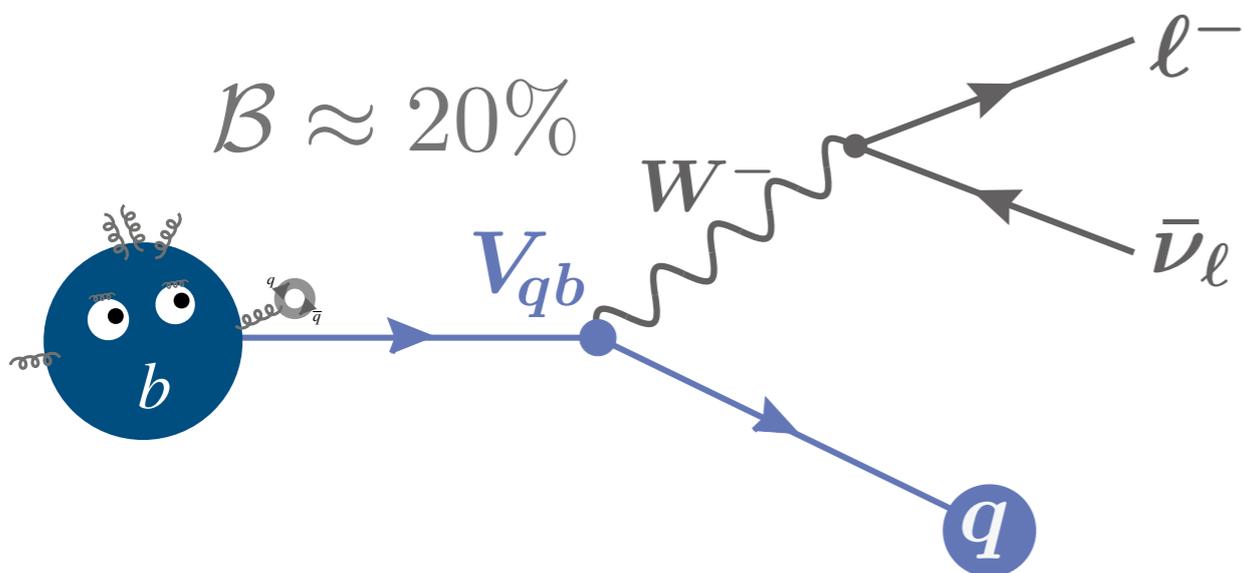
Observable of choice:

$$R = \frac{b \rightarrow q \tau \bar{\nu}_\tau}{b \rightarrow q \ell \bar{\nu}_\ell}$$

$\ell = e, \mu$

↓

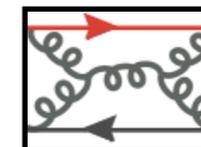
$$R(D^{(*)}, \pi, J/\psi)$$



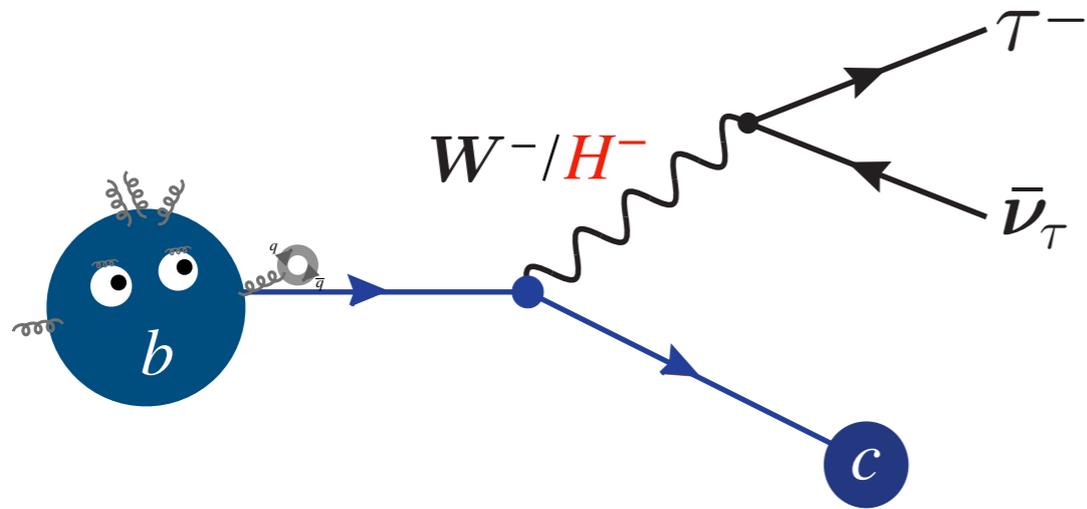
Benefits:

- Experimental systematics **cancel in ratio**
- Theory uncertainties **cancel in ratio**

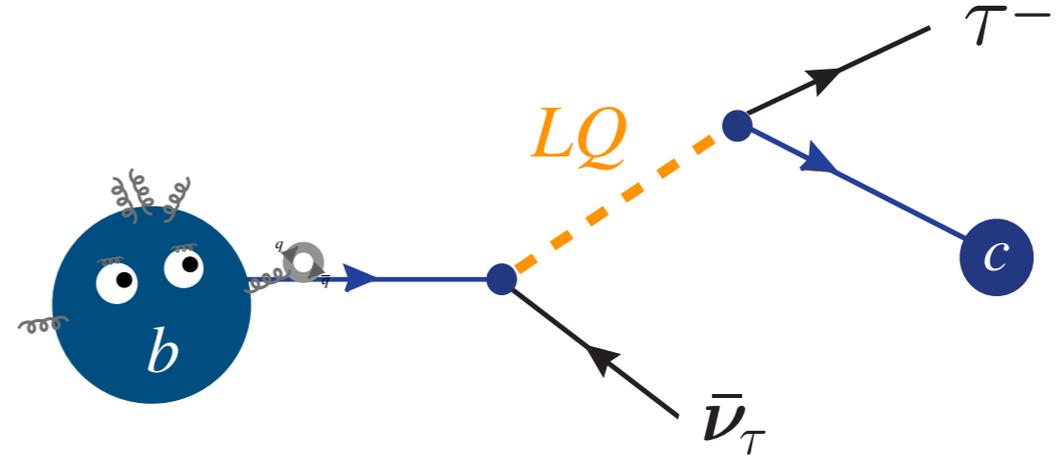
QCD:



charged Higgs bosons



Leptoquarks

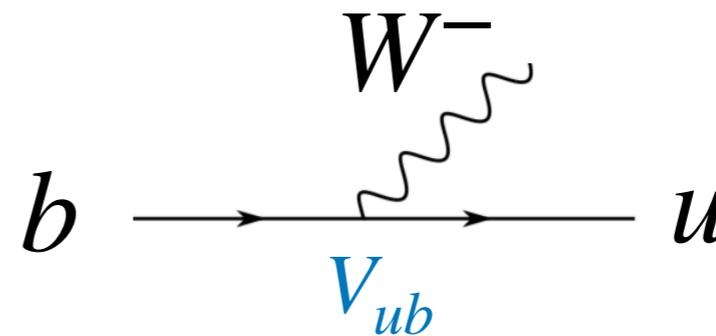
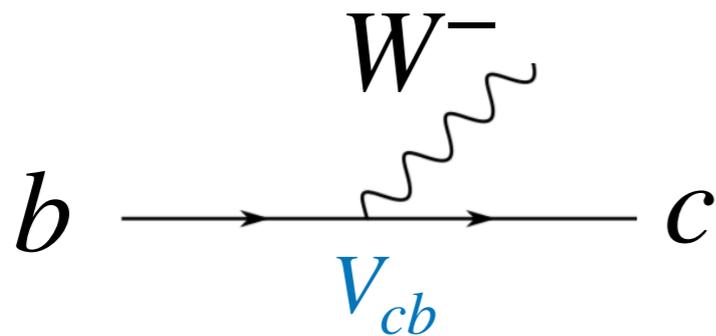


Not the focus of this talk; but I added you some introduction material nonetheless in case you are interested in these!

How do we determine $|V_{ub}|$ & $|V_{cb}|$?

At first glance fairly straightforward:

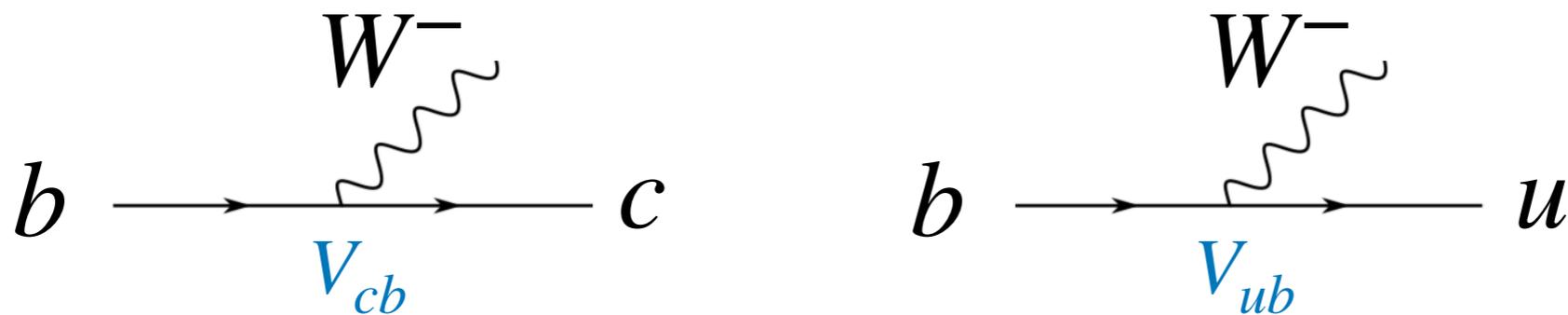
Step 1: Identify a process, in which you have a $b \rightarrow cW^-$ or $b \rightarrow uW^-$ vertex



How do we determine $|V_{ub}|$ & $|V_{cb}|$?

At first glance fairly straightforward:

Step 1: Identify a process, in which you have a $b \rightarrow cW^-$ or $b \rightarrow uW^-$ vertex



Step 2: Measure how often such a process occurs

Branching fraction \rightarrow

$$\mathcal{B}(b \rightarrow qW)$$

$q = c \text{ or } u$

and compare this with the expectation from theory w/o CKM factors (or $V_{qb} = 1$)

Mathematically: $\mathcal{B}(b \rightarrow qW) \propto |V_{qb}|^2$

Predicted partial rate sans CKM factors (or with $V_{qb} = 1$)

$$\Gamma(b \rightarrow qW)$$

Both quantities are connected as

$$|V_{qb}|^2 \frac{\Gamma(b \rightarrow qW)}{\Gamma(b \rightarrow \text{Everything})} = \mathcal{B}(b \rightarrow qW)$$

so we can solve this using $\tau_b = \hbar/\Gamma(b \rightarrow \text{Everything})$

$$|V_{qb}| = \sqrt{\frac{\mathcal{B}(b \rightarrow qW)}{\tau_b \Gamma(b \rightarrow qW)}}$$

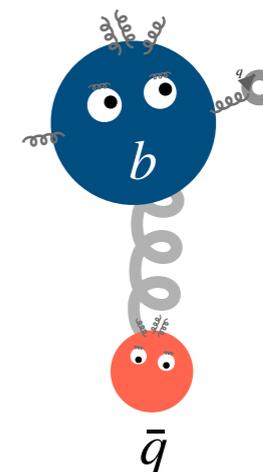
Measured by experiment

Predicted from theory

Great, now we only have to identify suitable processes for this:

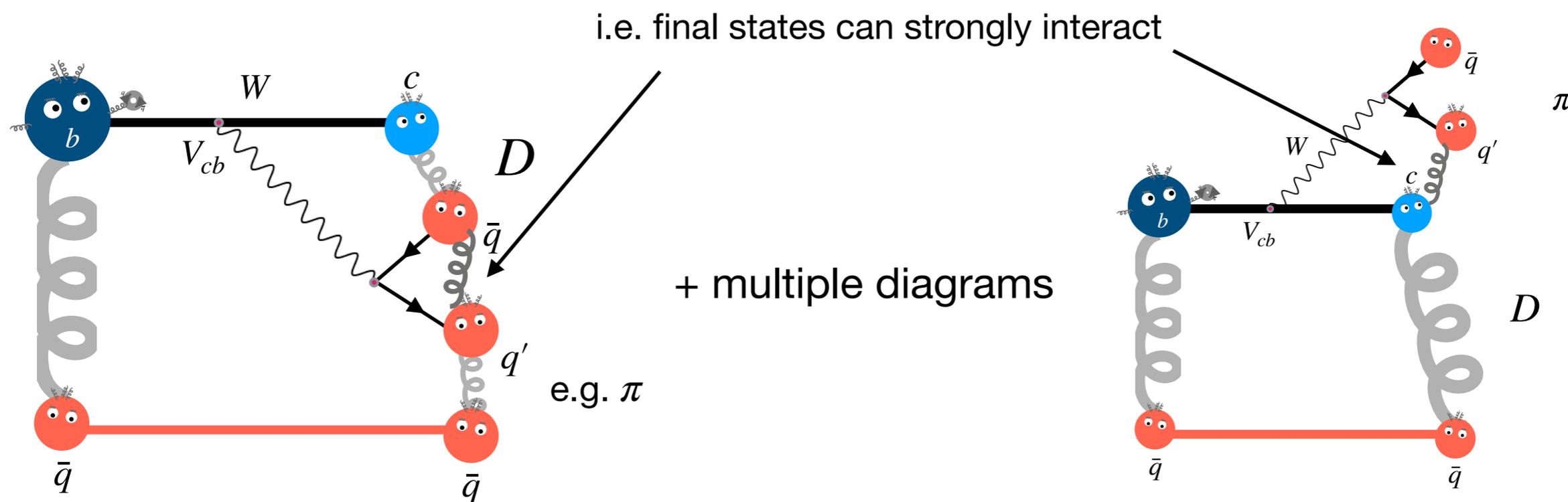
1. Complication: Quarks are not free particles

i.e. initial and final state quarks will be bound in hadrons (mesons or baryons)



2. Complication: We need a process, we can describe well from a theory point of view

final states involving $W^- \rightarrow q\bar{q}'$ introduce additional CKM factors (a priori fine), but also have **color charged constituents**



So what are the choices?

1) Hadronic decays

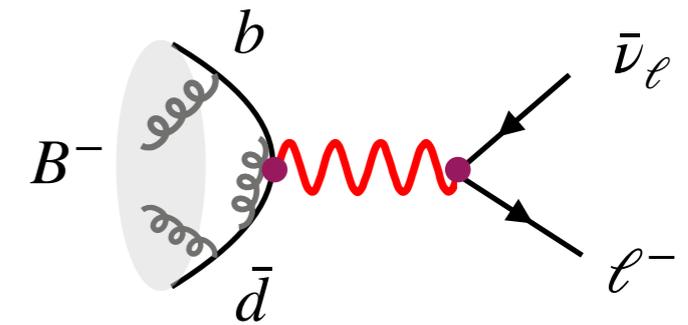
→ theory very hard,
experimentally “easy”

2) Leptonic decays

→ theory “easy”
experimentally very hard

$$\mathcal{B}(B \rightarrow \mu \bar{\nu}_\mu) \sim 10^{-7}$$

$$\mathcal{B}(B \rightarrow \tau \bar{\nu}_\tau) \sim 10^{-4}$$



3) Semileptonic decays

→ theory doable,
experimentally doable

So what are the choices?

1) Hadronic decays

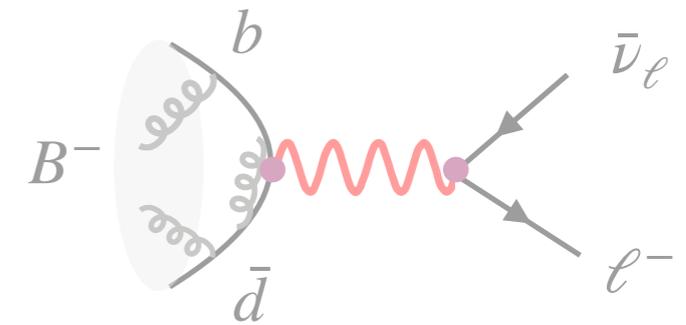
→ theory very hard,
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2) Leptonic decays

→ theory “easy”
experimentally very hard

$$\mathcal{B}(B \rightarrow \mu \bar{\nu}_\mu) \sim 10^{-7}$$

$$\mathcal{B}(B \rightarrow \tau \bar{\nu}_\tau) \sim 10^{-4}$$



3) Semileptonic decays

→ theory doable,
experimentally doable

Experimentally Easy

1) Hadronic decays

3) Semi-leptonic decays

→ theory doable,
experimentally doable

Theory Hard

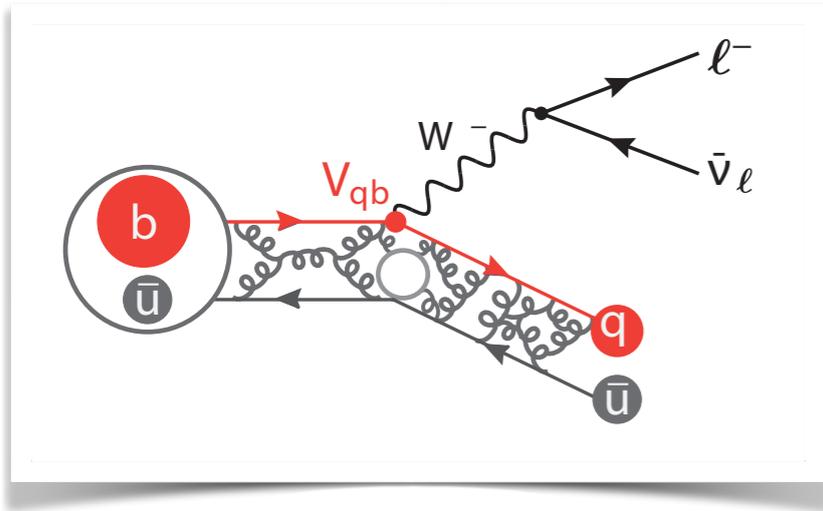
Theory Easy

No one cares what
is in this corner :-)

2) Leptonic decays

Experimentally Hard

A quick boot-camp: how do we determine $|V_{ub}|$ & $|V_{cb}|$?



Inclusive $|V_{ub}|$

$$\bar{B} \rightarrow X_u \ell \bar{\nu}_\ell$$

+ Fermi Motion / Shape Function

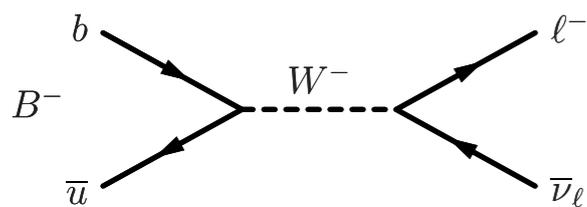
Inclusive $|V_{cb}|$

$$\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$$

Operator Product Expansion

$$\mathcal{B} = |V_{qb}|^2 \left[\Gamma(b \rightarrow q \ell \bar{\nu}_\ell) + 1/m_{c,b} + \alpha_s + \dots \right]$$

'Leptonic' $|V_{ub}|$



$$\mathcal{B} \propto |V_{ub}|^2 f_B^2 m_\ell^2$$

B-Meson decay constant

Exclusive $|V_{ub}|$

$$\bar{B} \rightarrow \pi \ell \bar{\nu}_\ell, \Lambda_b \rightarrow p \mu \bar{\nu}_\mu$$

Exclusive $|V_{cb}|$

$$\bar{B} \rightarrow D \ell \bar{\nu}_\ell, \bar{B} \rightarrow D^* \ell \bar{\nu}_\ell$$

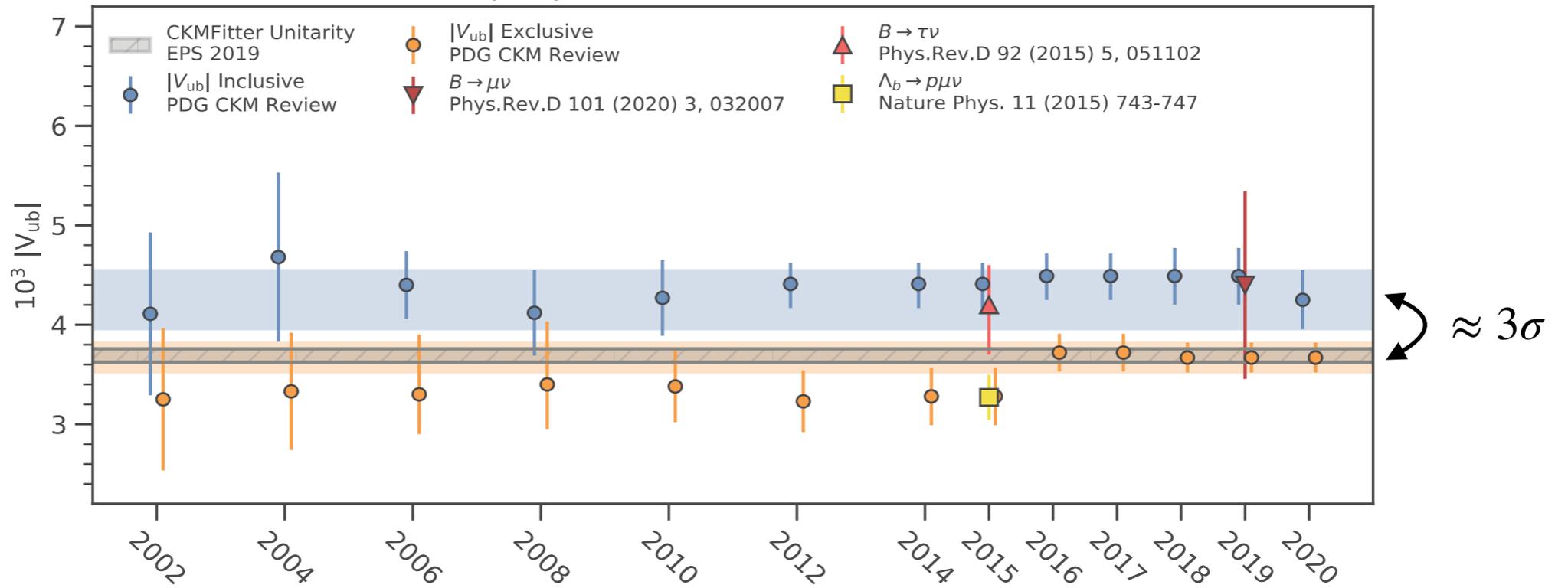
$$\mathcal{B} \propto |V_{cb}|^2 f^2$$

Form Factors

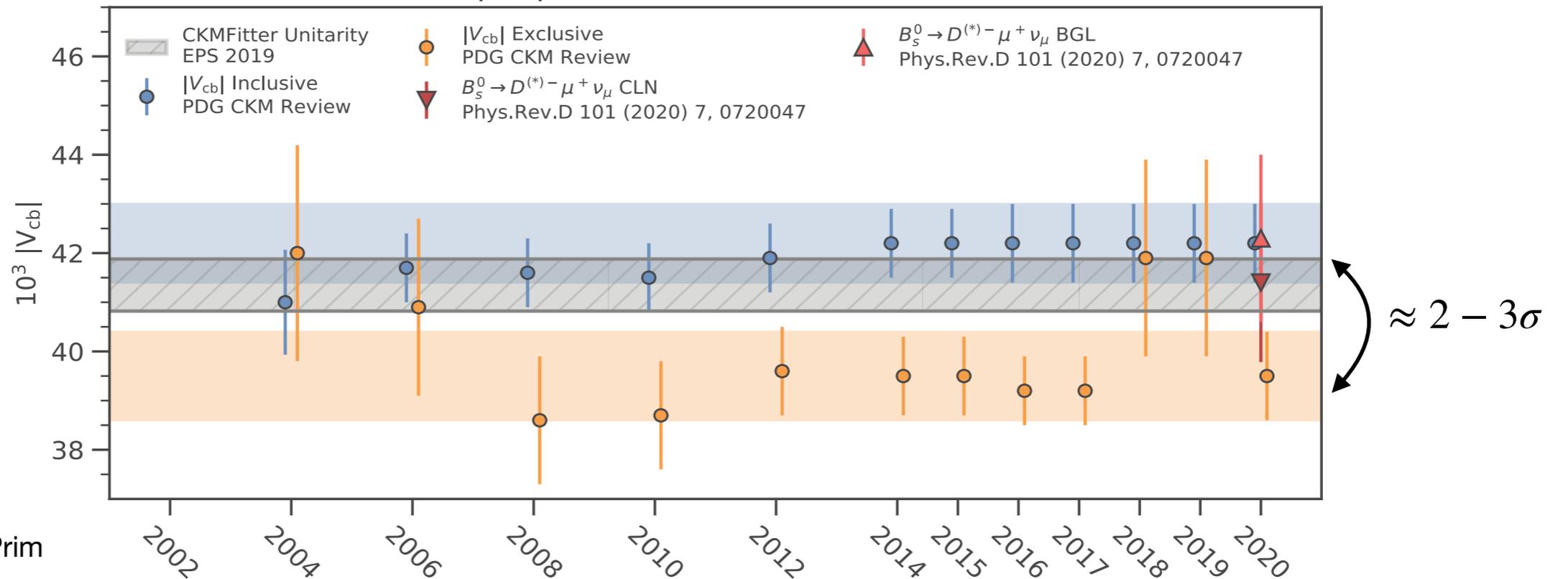
$$\langle B | H_\mu | P \rangle = (p + p')_\mu f_+$$

How are we doing?

$|V_{ub}|$ Measurements over Time



$|V_{cb}|$ Measurements over Time



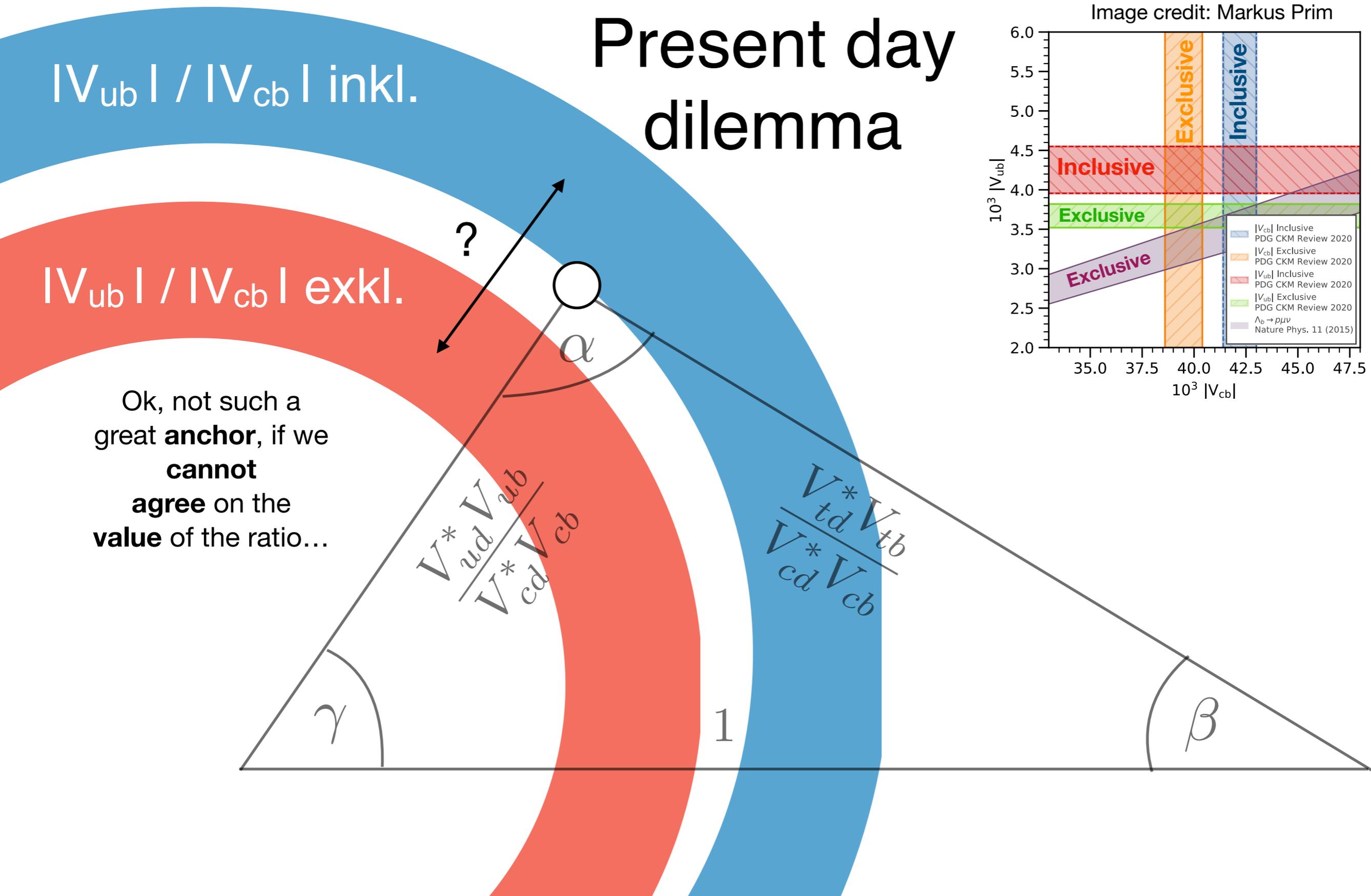
$|V_{ub}|$

Inclusive
Exclusive

$|V_{cb}|$

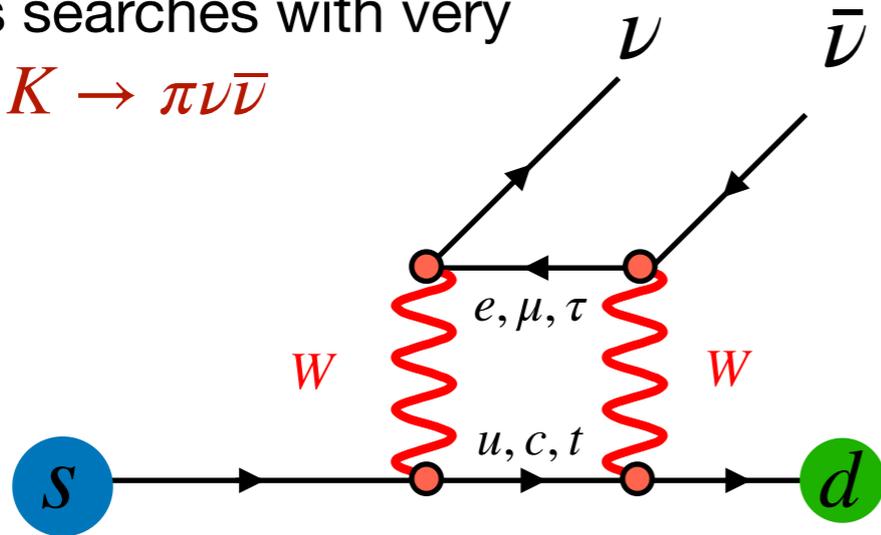
Inclusive
Exclusive

Why is it important to measure $|V_{ub}|$ and $|V_{cb}|$?



Yet another angle on $|V_{ub}|$ & $|V_{cb}|$:

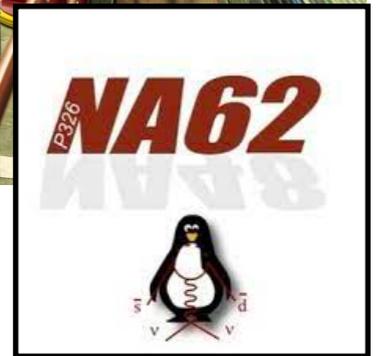
New Physics searches with very rare decays: $K \rightarrow \pi \nu \bar{\nu}$



SM:

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.4 \pm 1.0) \times 10^{-11},$$

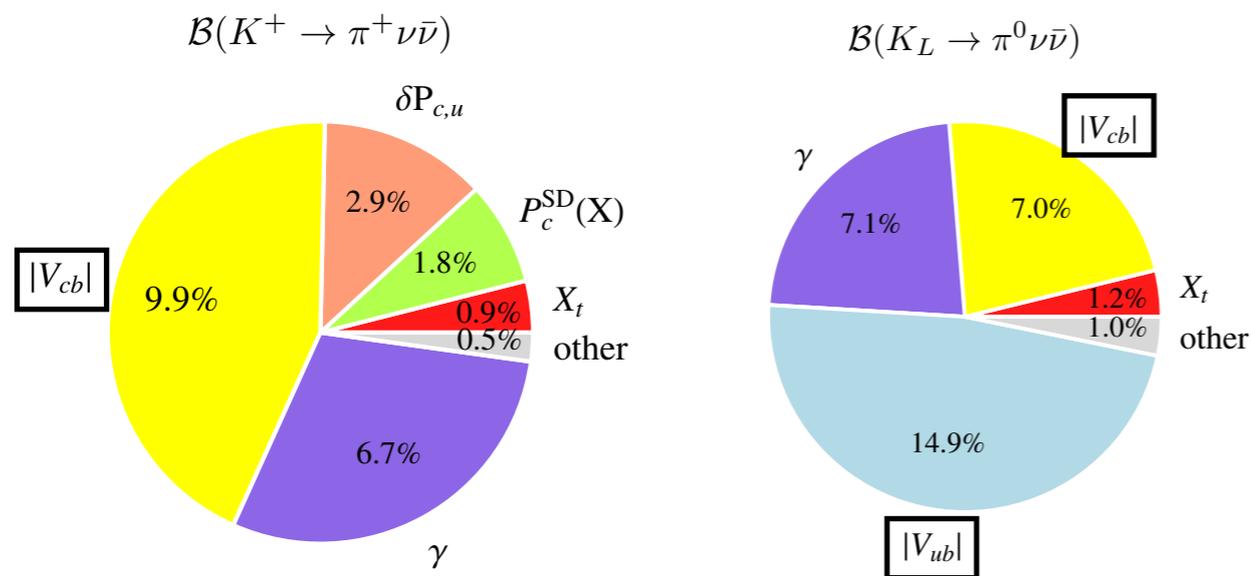
$$\mathcal{B}(K_L \rightarrow \pi^0 \nu \bar{\nu}) = (3.4 \pm 0.6) \times 10^{-11}.$$



Experimental status:

$$\mathcal{B}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (10.6_{-3.5}^{+4.0} \pm 0.3) \times 10^{-11}$$

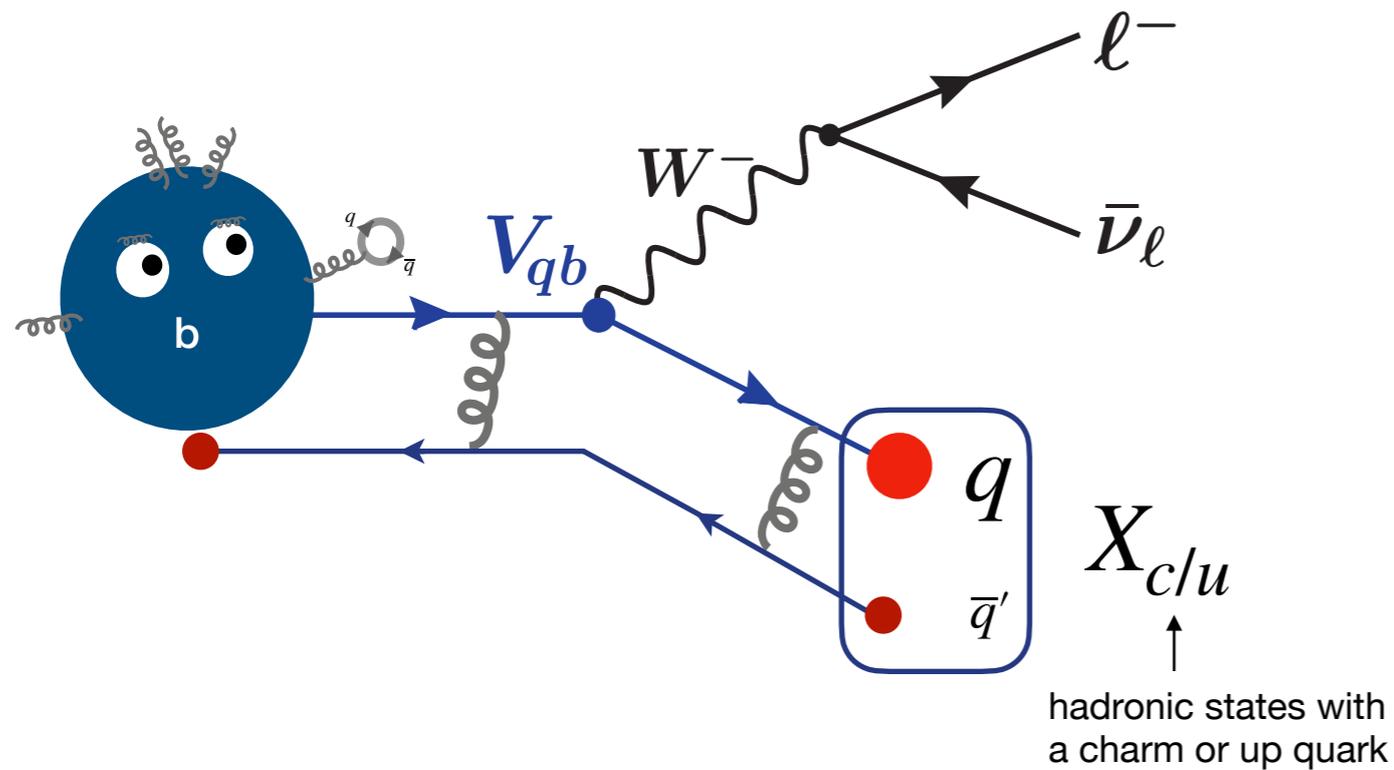
Uncertainty Sources



or in the future
KLEVER



Let's first have a look at some of the kinematics



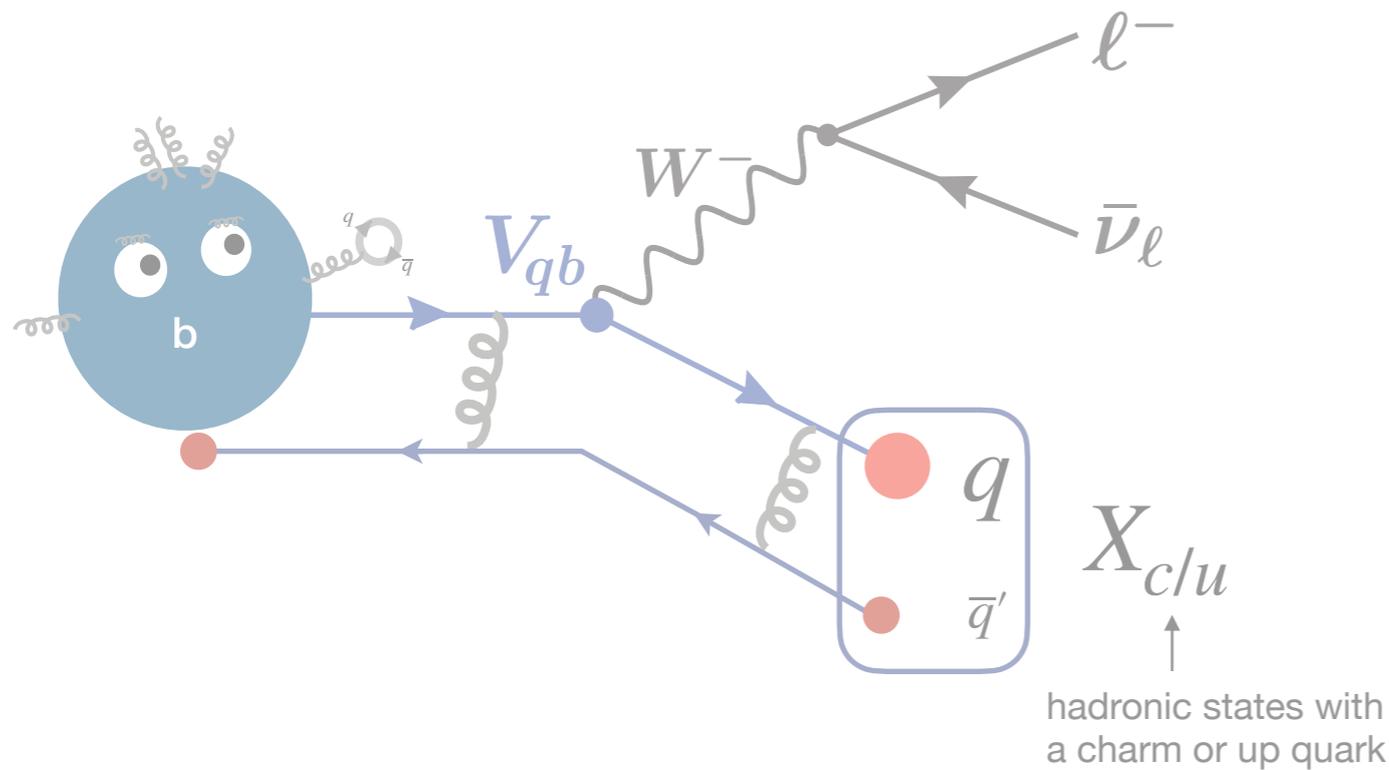
$$p_B = p_X + p_\ell + p_\nu$$

or

$$\begin{pmatrix} E_B \\ \mathbf{p}_B \end{pmatrix} = \begin{pmatrix} E_X \\ \mathbf{p}_X \end{pmatrix} + \begin{pmatrix} E_\ell \\ \mathbf{p}_\ell \end{pmatrix} + \begin{pmatrix} E_\nu \\ \mathbf{p}_\nu \end{pmatrix}$$

$$p_B^2 = m_B^2, \quad p_X^2 = m_X^2, \quad p_\ell^2 = m_\ell^2, \quad p_\nu^2 = 0$$

Let's first have a look at some of the kinematics



$$p_B = p_X + p_\ell + p_\nu$$

or

$$\begin{pmatrix} E_B \\ \mathbf{p}_B \end{pmatrix} = \begin{pmatrix} E_X \\ \mathbf{p}_X \end{pmatrix} + \begin{pmatrix} E_\ell \\ \mathbf{p}_\ell \end{pmatrix} + \begin{pmatrix} E_\nu \\ \mathbf{p}_\nu \end{pmatrix}$$

$$p_B^2 = m_B^2, \quad p_X^2 = m_X^2, \quad p_\ell^2 = m_\ell^2, \quad p_\nu^2 = 0$$

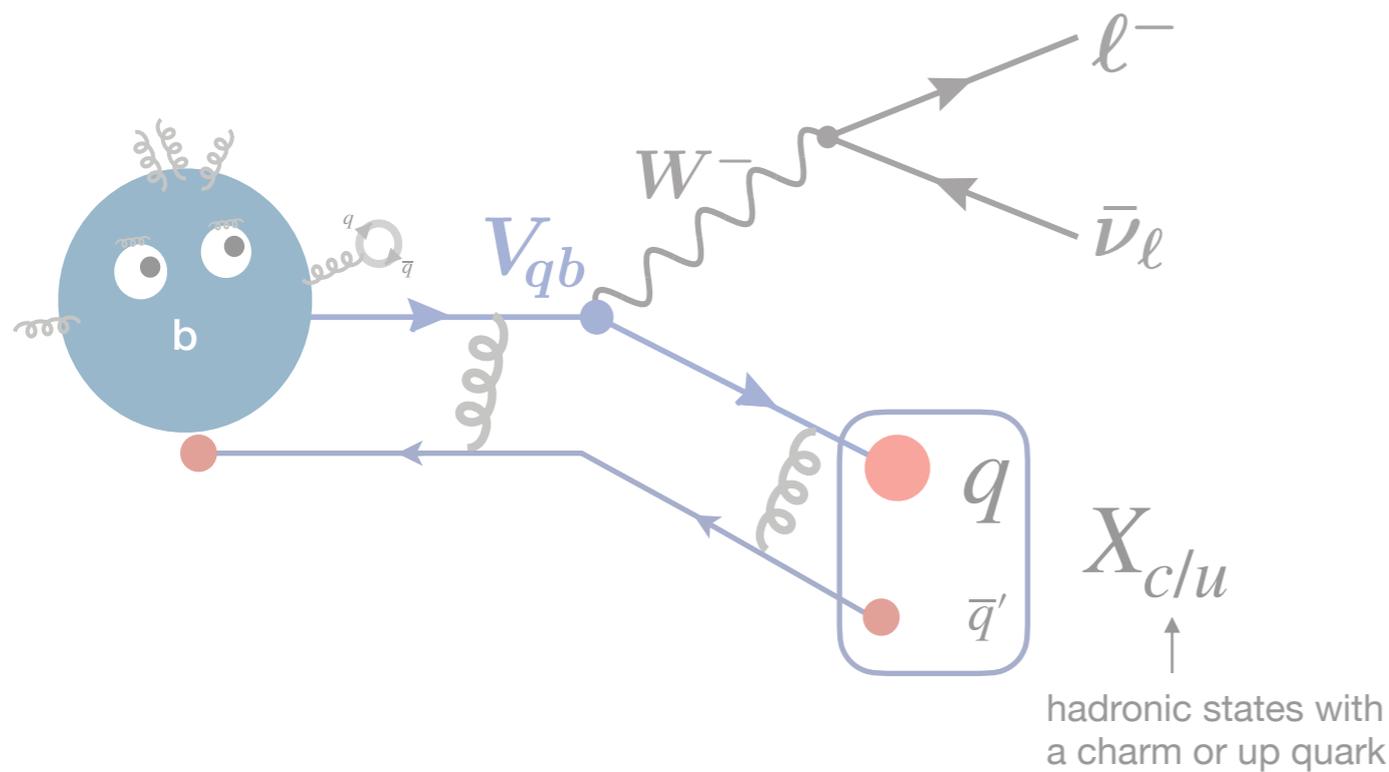
Let's assume we are in the rest frame of the B: $\begin{pmatrix} E_B \\ \mathbf{p}_B \end{pmatrix} = \begin{pmatrix} m_B \\ 0 \end{pmatrix}$

Which variables describe the final state?
Let's for now assume we look at a final state that is a resonance

$$X_c \in \{D, D^*, D^{**}, \dots\}$$

$$X_u \in \{\pi, \rho, f_0, \dots\}$$

Let's first have a look at some of the kinematics



$$p_B = p_X + p_\ell + p_\nu$$

or

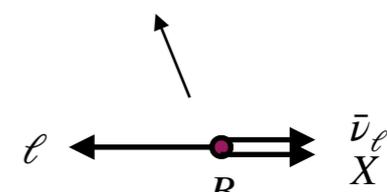
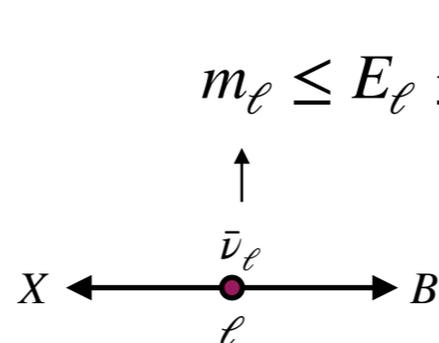
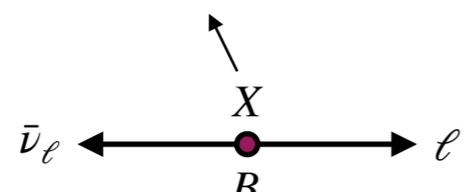
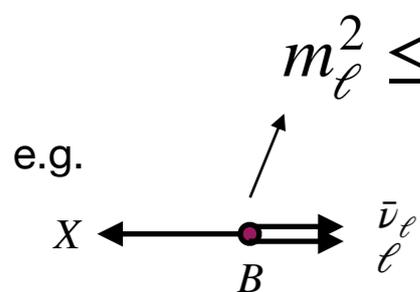
$$\begin{pmatrix} E_B \\ \mathbf{p}_B \end{pmatrix} = \begin{pmatrix} E_X \\ \mathbf{p}_X \end{pmatrix} + \begin{pmatrix} E_\ell \\ \mathbf{p}_\ell \end{pmatrix} + \begin{pmatrix} E_\nu \\ \mathbf{p}_\nu \end{pmatrix}$$

$$p_B^2 = m_B^2, \quad p_X^2 = m_X^2, \quad p_\ell^2 = m_\ell^2, \quad p_\nu^2 = 0$$

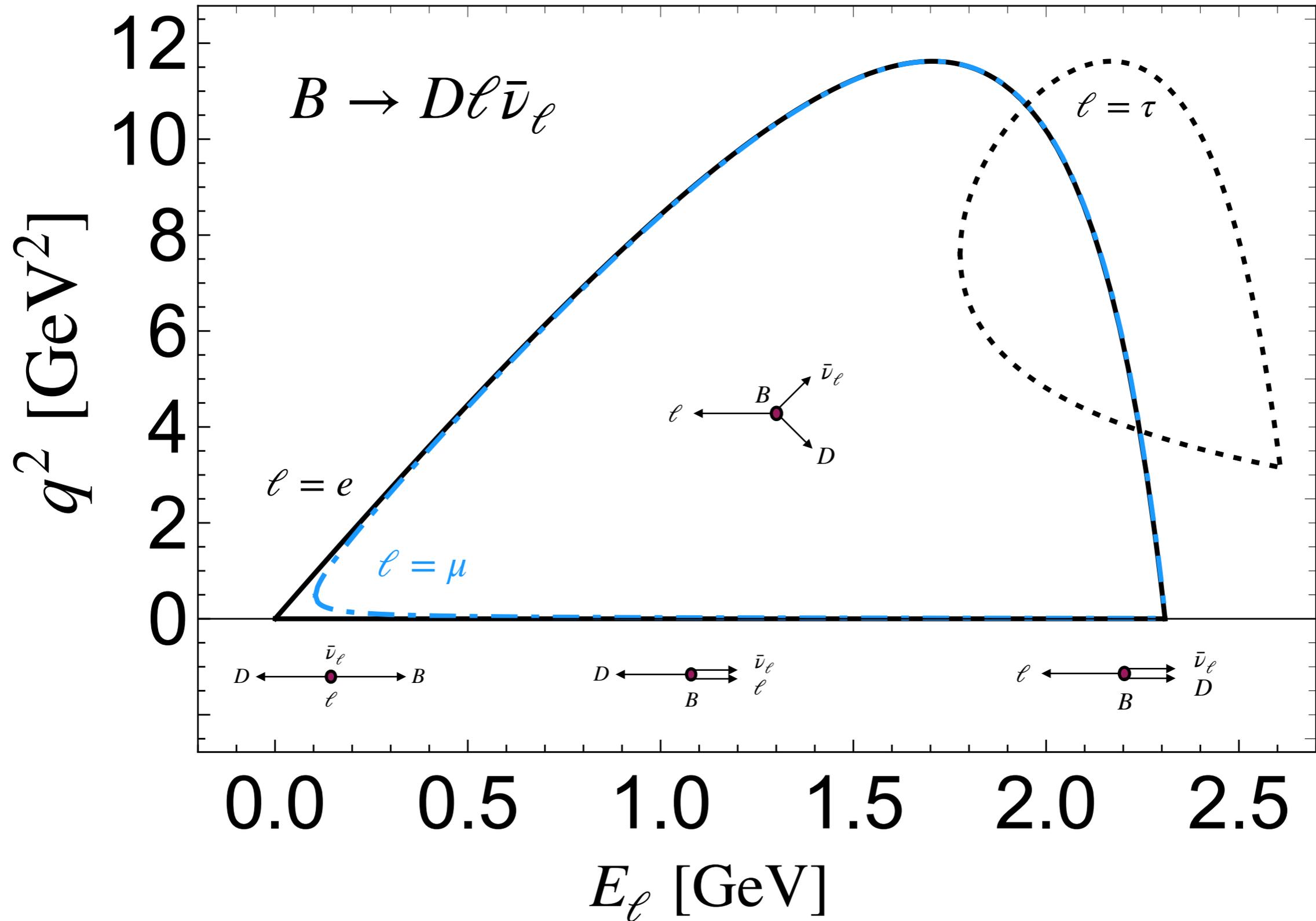
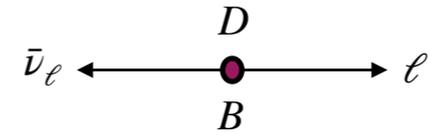
If we look at final states with a **fixed mass** m_X , we can describe them with **two** kinematic quantities :

$$q^2 = (p_\ell + p_\nu)^2 = (p_B - p_X)^2$$

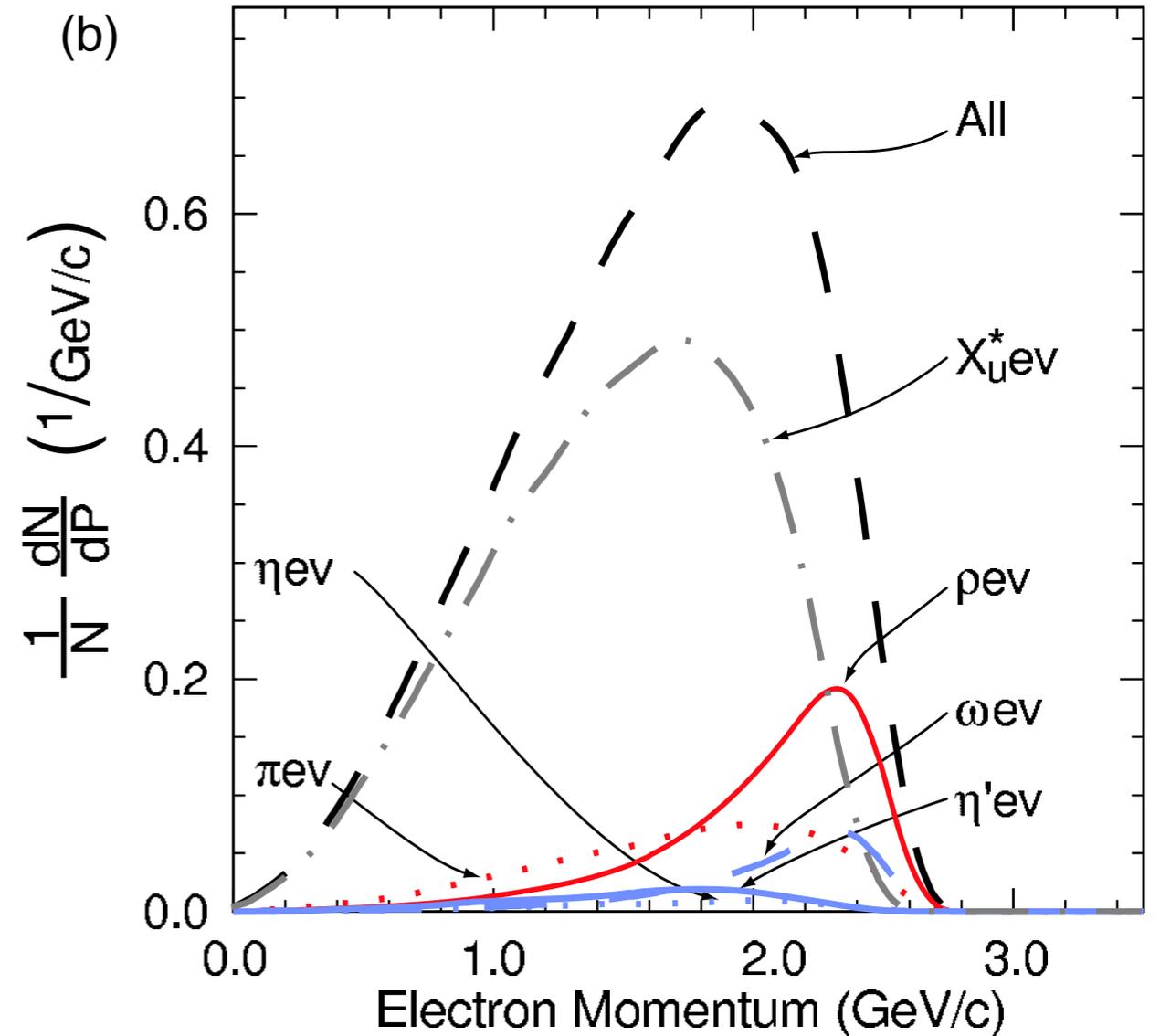
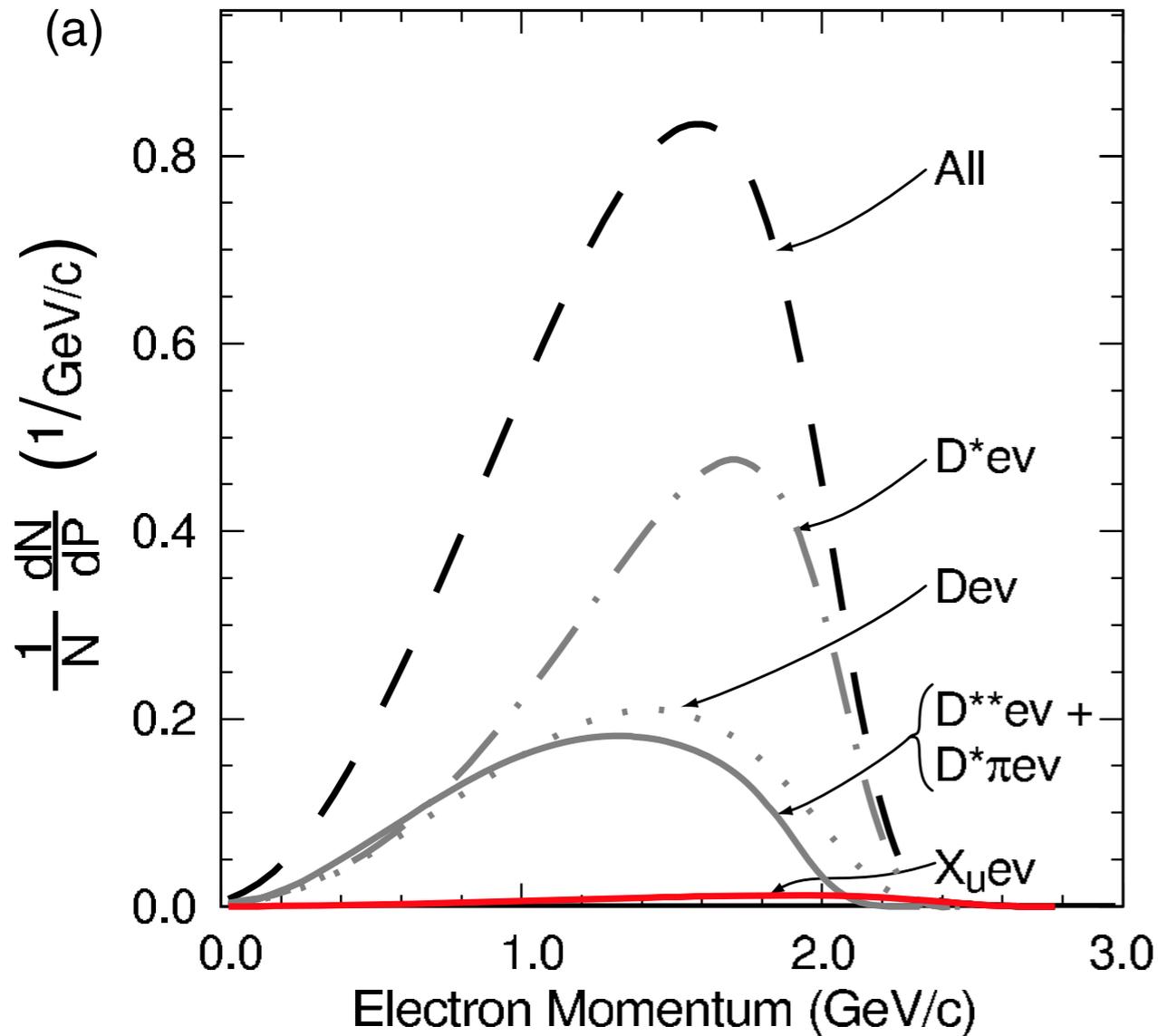
$$E_\ell = \frac{p_B p_\ell}{m_B}$$



But $q^2 : E_\ell$ **not** independent :



The various semileptonic modes have spectra with **different endpoints**,
 e.g. for $B \rightarrow X_c \ell \bar{\nu}_\ell$ and $B \rightarrow X_u \ell \bar{\nu}_\ell$:



These already can give you some **experimental intuition**: e.g. if you want to measure $B \rightarrow X_u \ell \bar{\nu}_\ell$ its much easier beyond the endpoint of $B \rightarrow X_c \ell \bar{\nu}_\ell$

In the context of the **heavy-quark expansion**, it is convenient to introduce **velocities** instead of momenta.

E.g. for the case of heavy mesons like B and D^* one defines

$$v_B = \frac{p_B}{m_B}, \quad v_{D^{(*)}} = \frac{p_{D^{(*)}}}{m_{D^{(*)}}},$$

$$w = v_B v_{D^{(*)}}$$

Here w is the scalar product of the two velocities and used instead of q^2

They are related via $q^2 = m_B^2 + m_{D^{(*)}}^2 - 2m_B m_{D^{(*)}} w$

Note that :

$$w = 1 \quad \longleftrightarrow \quad q_{\max}^2 = (m_B - m_{D^{(*)}})^2$$

While $q^2 = m_\ell^2 \approx 0$ for light leptons results in the maximal value of w

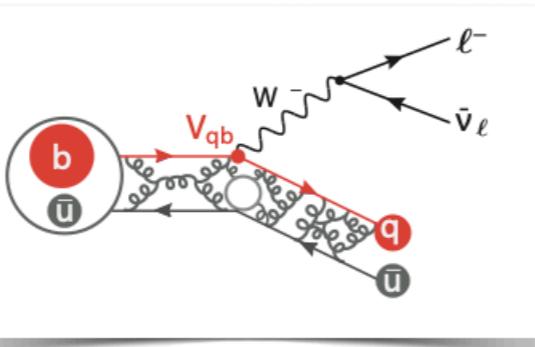
$$\rightarrow 1 \leq w \leq \frac{m_B^2 + m_{D^{(*)}}^2 - m_\ell^2}{2m_B m_{D^{(*)}}}$$

In the context of the **heavy-quark expansion**, it is convenient to introduce

All these quantities are useful, since they **encode the non-perturbative decay dynamics**, i.e. you can combine **differential shapes** (or **moments of differential spectra**) with predictions from theory to determine or constrain non-perturbative QCD

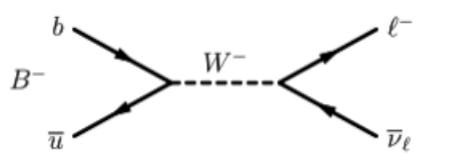
#17

A quick boot-camp: how do we determine $|V_{ub}|$ & $|V_{cb}|$?



Inclusive $|V_{ub}|$

‘Leptonic’ $|V_{ub}|$



$$\mathcal{B} \propto |V_{ub}|^2 f_B^2 m_\ell^2$$

B-Meson decay constant

Measured
Branching Fraction

$$|V_{qb}| = \sqrt{\frac{\mathcal{B}(\bar{B} \rightarrow X_q \ell \bar{\nu}_\ell)}{\tau \Gamma(\bar{B} \rightarrow X_q \ell \bar{\nu}_\ell)}}$$

Prediction from
Theory but often also constrained
from **measured differential distributions**

Theory from non-perturbative Methods:

- * Lattice QCD (high q^2)
- * QCD Sum rules (low q^2)

$$q^2 = (p - p')^2$$

Inclusive $|V_{cb}|$

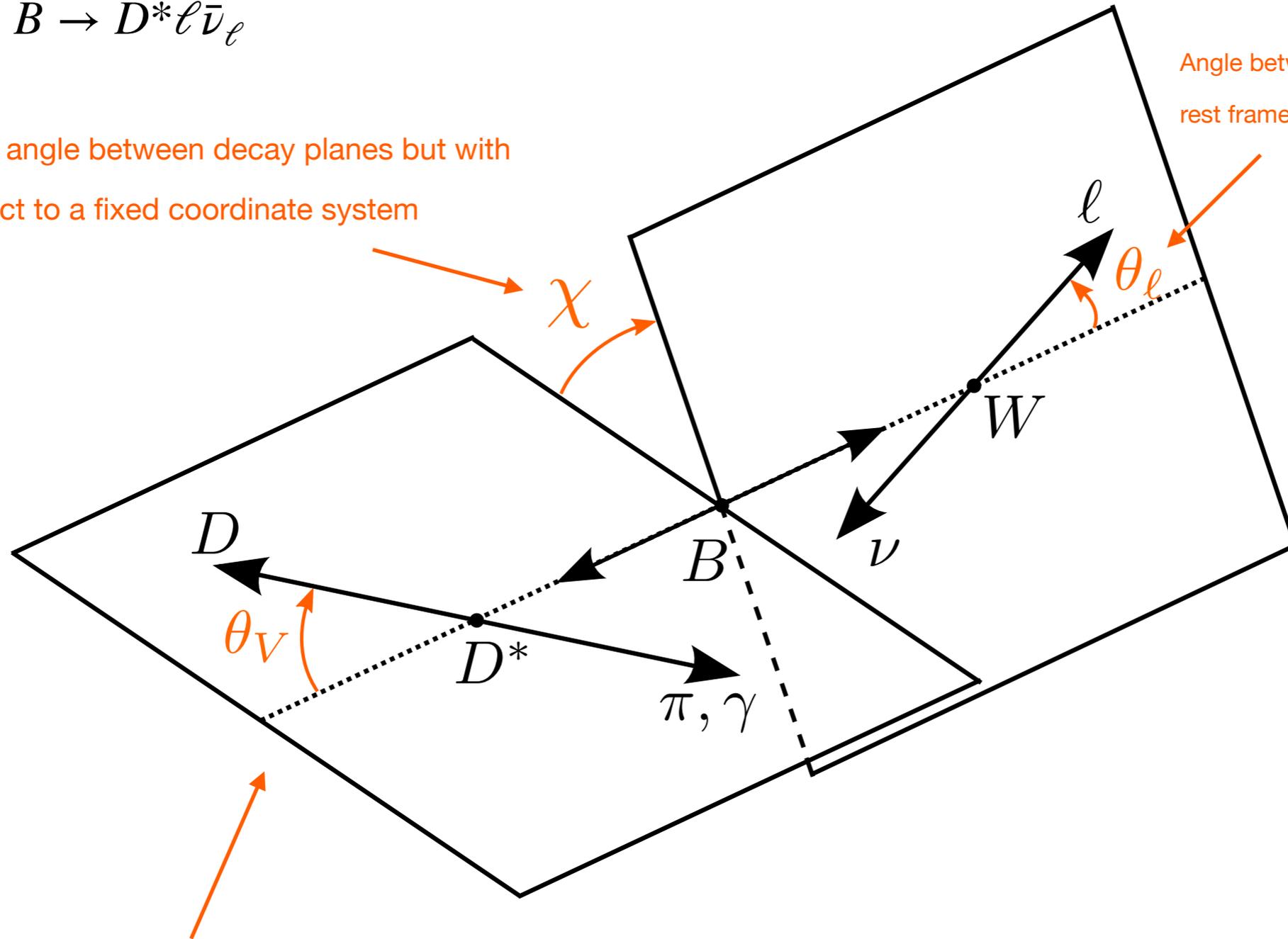
$$\frac{m_{D^{(*)}}^2 - m_\ell^2}{m_B m_{D^{(*)}}}$$

If the final state meson carries spin, **information** is also encoded into the **decay angles**

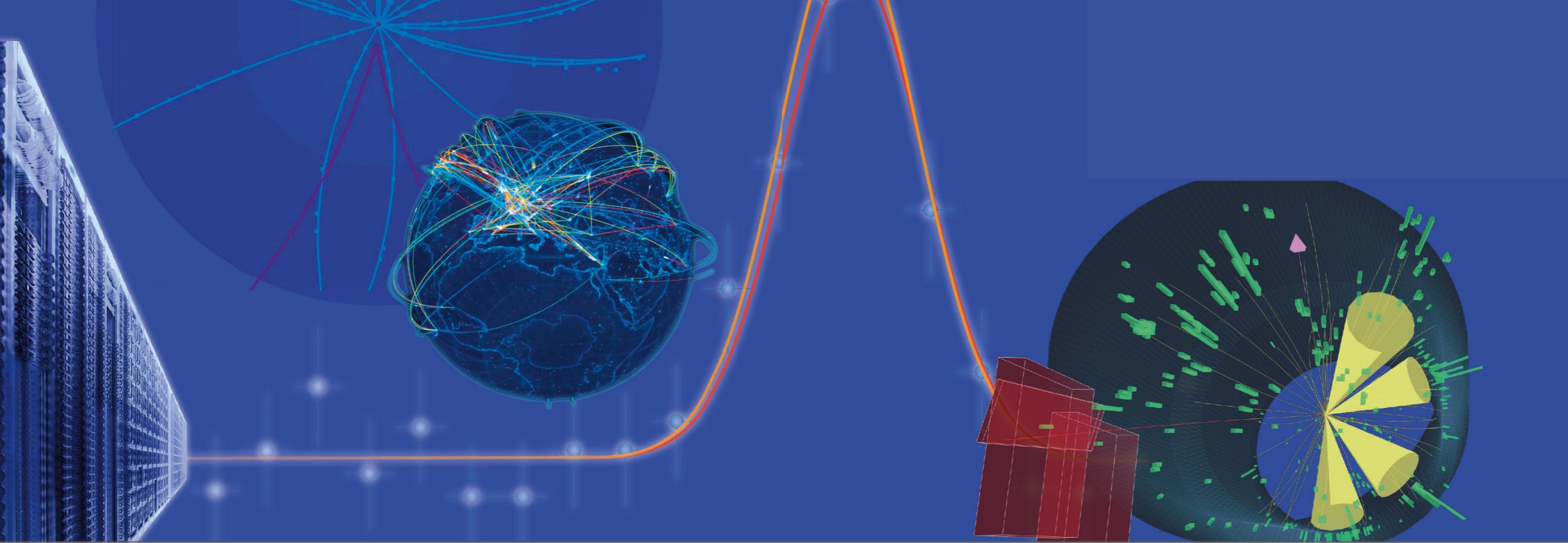
E.g. $B \rightarrow D^* \ell \bar{\nu}_\ell$

tilting angle between decay planes but with respect to a fixed coordinate system

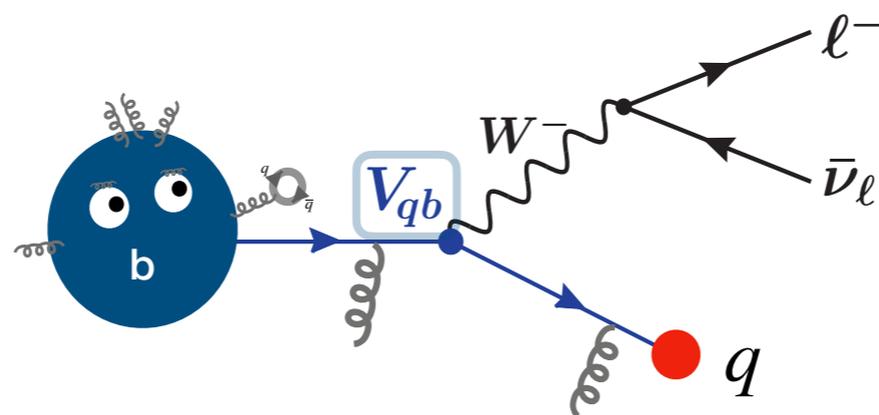
Angle between lepton flight direction in W^* rest frame with respect to W^* direction in B frame

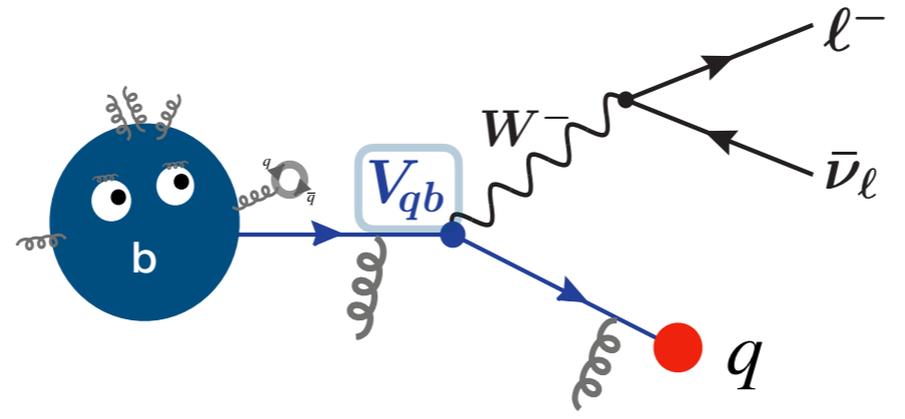
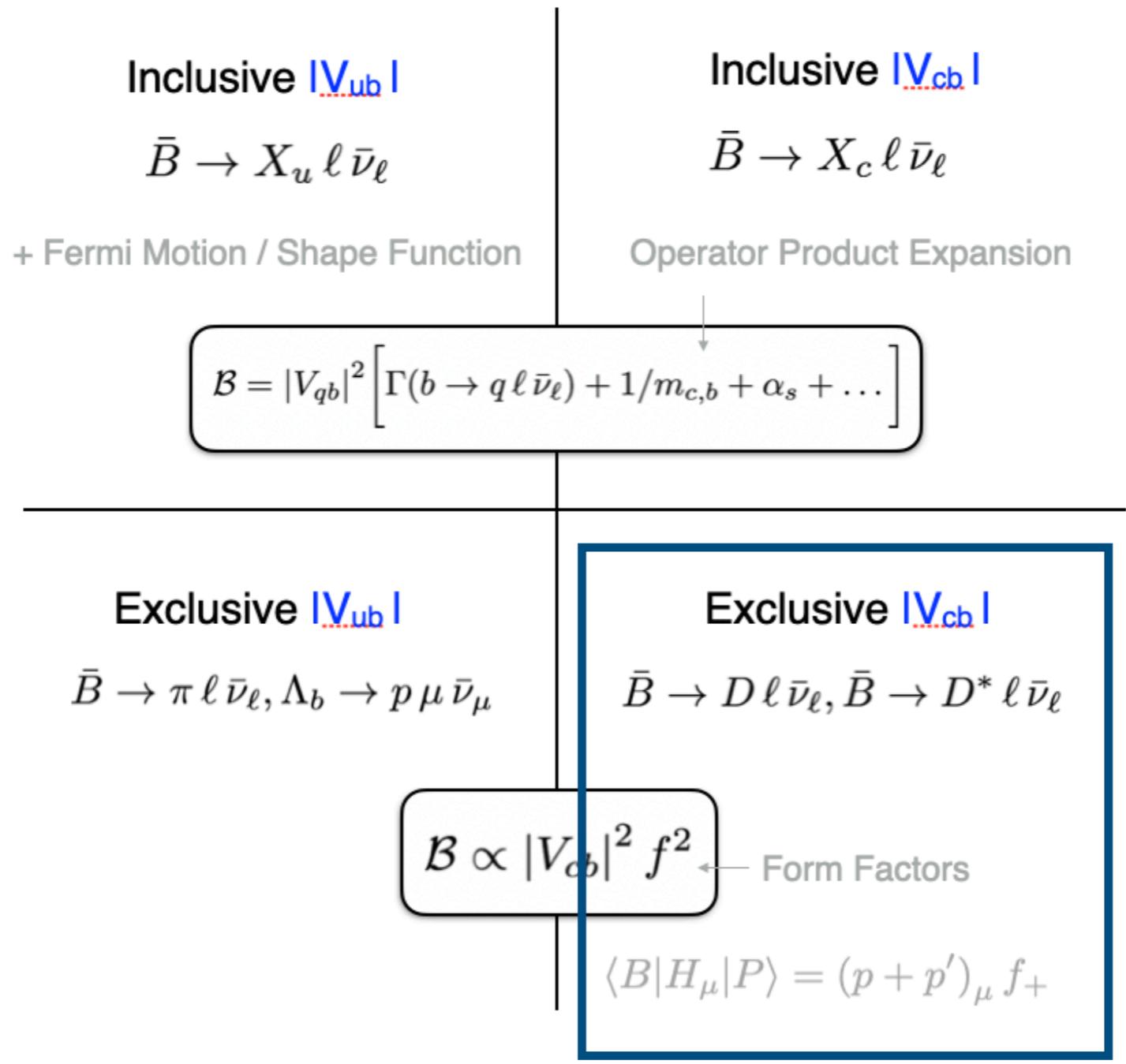


Angle between D flight direction in D^* rest frame with respect to D^* direction in B rest frame



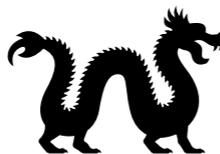
2) Touch and go





$$\mathcal{B}(B^+ \rightarrow X_c^0 \ell^+ \nu_\ell) \approx 10.79\%$$

$D^0 \ell^+ \nu_\ell$ 2.31%	$D^{*0} \ell^+ \nu_\ell$ 5.05%	$D^{**0} \ell^+ \nu_\ell + \text{Other}$ 2.38%	Gap $\sim 1.05\%$
--------------------------------	-----------------------------------	---	----------------------

Decay	$\mathcal{B}(B^+)$	$\mathcal{B}(B^0)$
$B \rightarrow D \ell^+ \nu_\ell$	$(2.4 \pm 0.1) \times 10^{-2}$	$(2.2 \pm 0.1) \times 10^{-2}$
$B \rightarrow D^* \ell^+ \nu_\ell$	$(5.5 \pm 0.1) \times 10^{-2}$	$(5.1 \pm 0.1) \times 10^{-2}$
$B \rightarrow D_1 \ell^+ \nu_\ell$	$(6.6 \pm 0.1) \times 10^{-3}$	$(6.2 \pm 0.1) \times 10^{-3}$
$B \rightarrow D_2^* \ell^+ \nu_\ell$	$(2.9 \pm 0.3) \times 10^{-3}$	$(2.7 \pm 0.3) \times 10^{-3}$
$B \rightarrow D_0^* \ell^+ \nu_\ell$	$(4.2 \pm 0.8) \times 10^{-3}$	$(3.9 \pm 0.7) \times 10^{-3}$
$B \rightarrow D_1' \ell^+ \nu_\ell$	$(4.2 \pm 0.9) \times 10^{-3}$	$(3.9 \pm 0.8) \times 10^{-3}$
$B \rightarrow D \pi \pi \ell^+ \nu_\ell$	$(0.6 \pm 0.9) \times 10^{-3}$	$(0.6 \pm 0.9) \times 10^{-3}$
$B \rightarrow D^* \pi \pi \ell^+ \nu_\ell$	$(2.2 \pm 1.0) \times 10^{-3}$	$(2.0 \pm 1.0) \times 10^{-3}$
 ?		
$B \rightarrow X_c \ell \nu_\ell$	$(10.8 \pm 0.4) \times 10^{-2}$	$(10.1 \pm 0.4) \times 10^{-2}$

Fairly well known.
Some iso-spin tension.

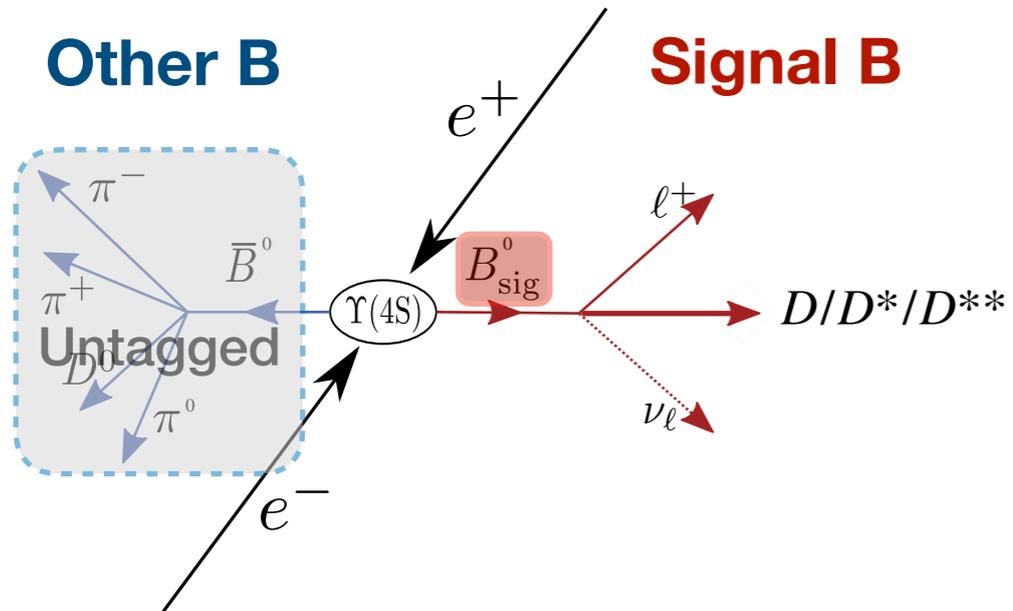
Broad states based on
3 measurements.
(BaBar, Belle, DELPHI)

Some hints from
the BaBar result.

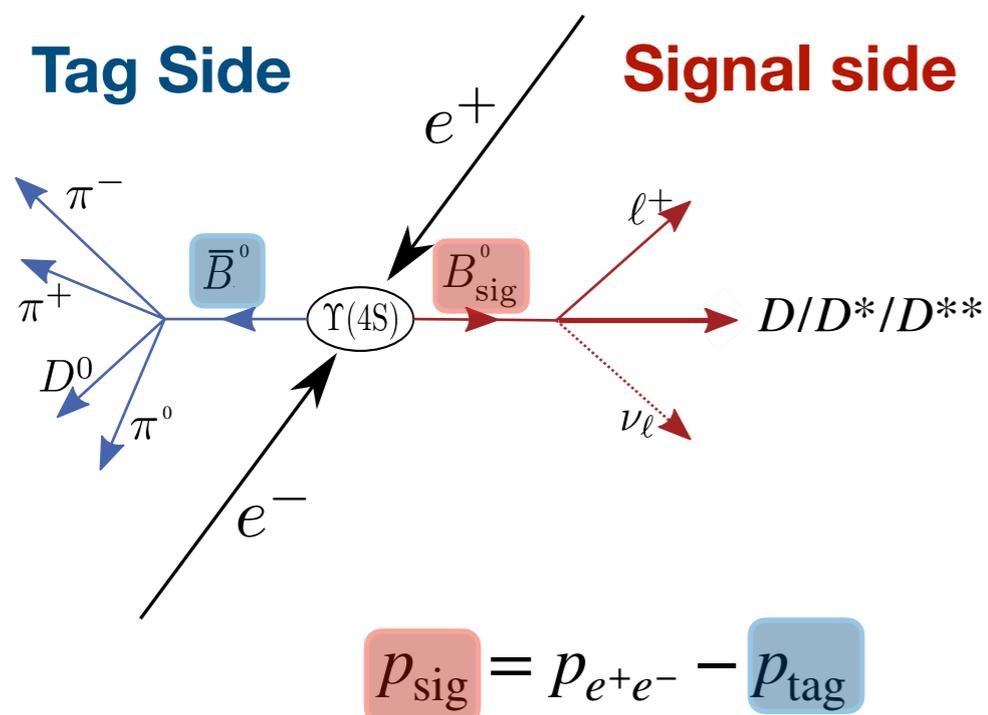
+ Belle

Image credit: F. Metzner

Check my slides



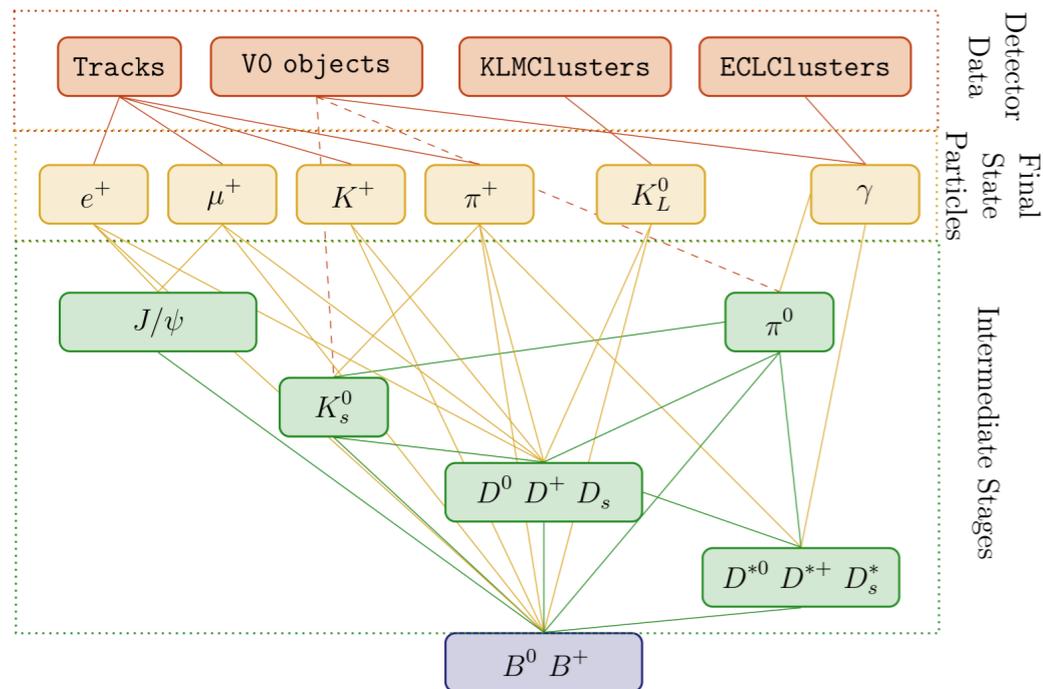
- + Very high efficiency
- + Measurement of absolute branching fractions straightforward (depends on total # of $N_{B\bar{B}}$, understanding efficiencies)
- Less experimental control, e.g. more background from $e^+e^+ \rightarrow q\bar{q}$
- Cannot directly access signal B rest frame, need tricks



- + High degree of experimental control, e.g. can identify all final state particles with either the signal or the tag side
- + If hadronic modes for tagging are used, can reconstruct B rest frame
- Understanding efficiencies is difficult
- Low efficiency reduces the effective statistical power

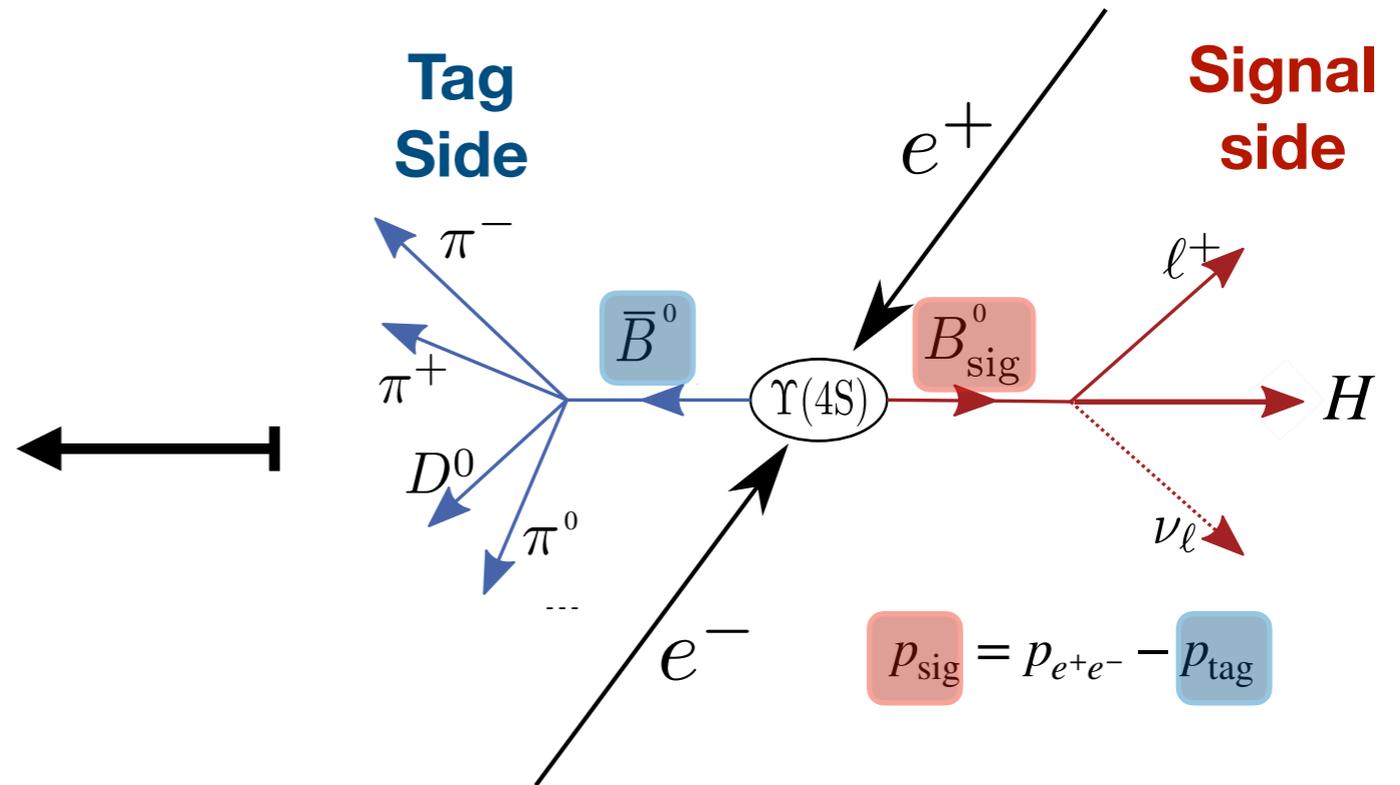
Tagging in a nutshell

<https://arxiv.org/abs/1807.08680>



Candidates reconstructed with **hierarchical** approach via e.g. **neural networks (FR)** or **boosted decision trees (FEI)**

Over 10'000 decay cascades with an **efficiency of 0.28% / 0.18%** for B^\pm and B^0/\bar{B}^0



E.g. train a classifier to identify correctly reconstructed electron candidates:

Input variables: all four momenta & particle identification scores

Output: Score \mathcal{O}_e

Apply mild selection on \mathcal{O}_e to reduce # of candidate particles

Then train a classifier to identify correctly reconstructed J/ψ candidates

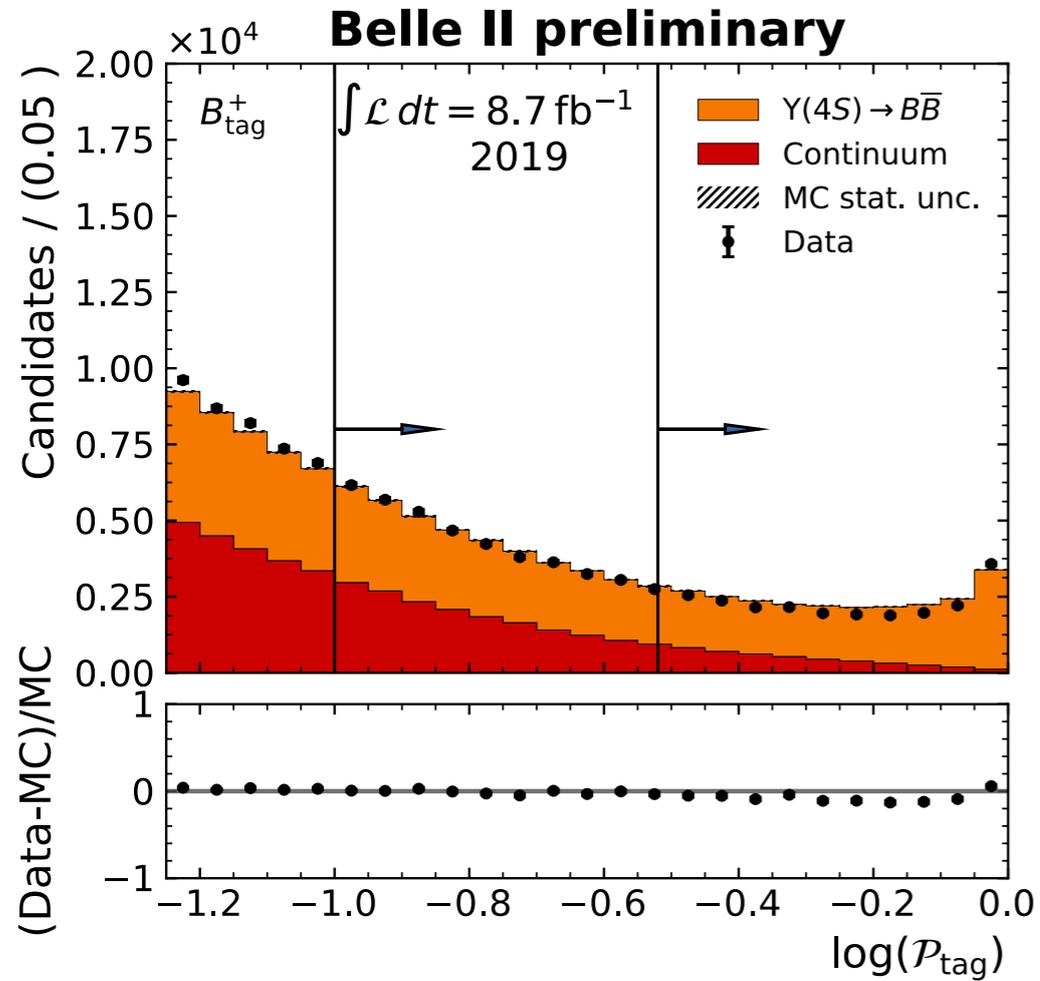
Input variables: all four momenta and output scores of previous layer

Output variable: $\mathcal{O}_{J/\psi}$ [...]

$B^0 B^+$

\mathcal{P}_{tag} =

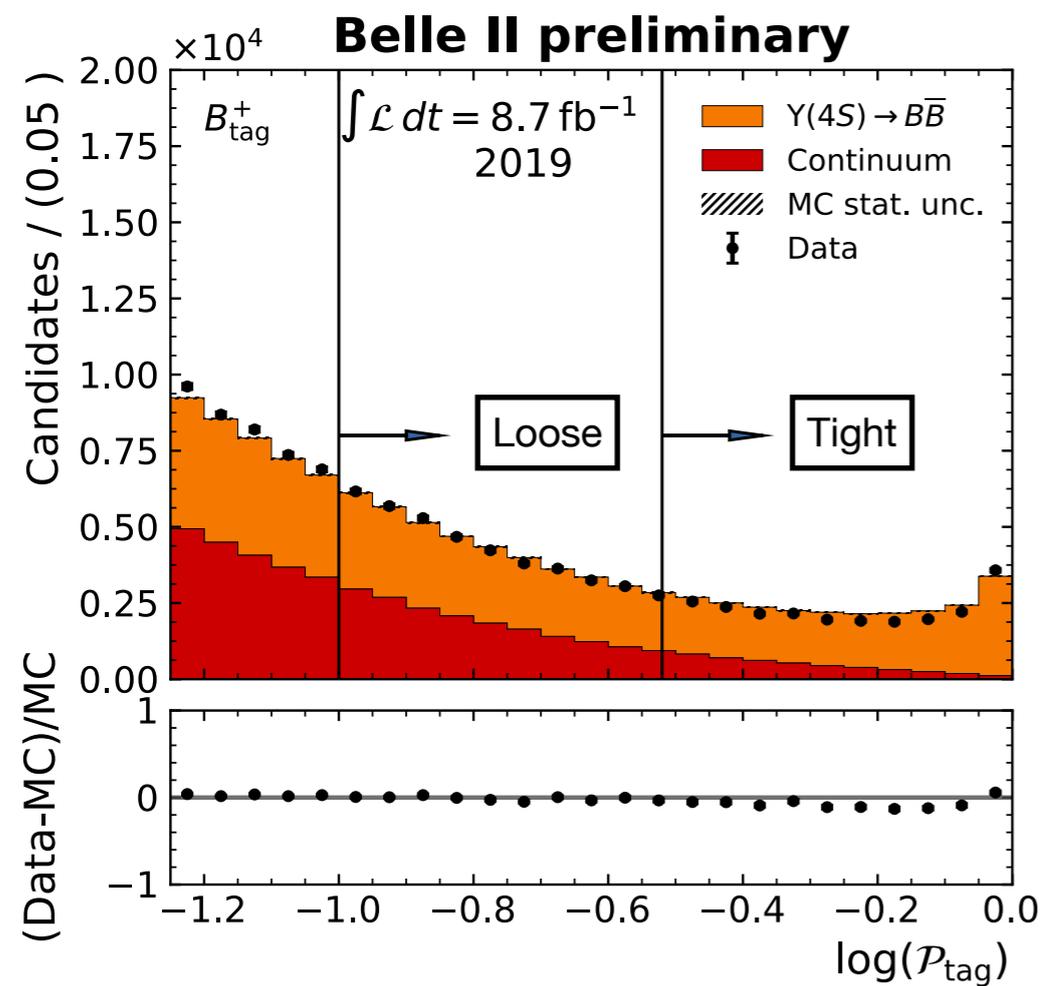
Output classifier = Measure of how well we reconstructed the B-Meson decay



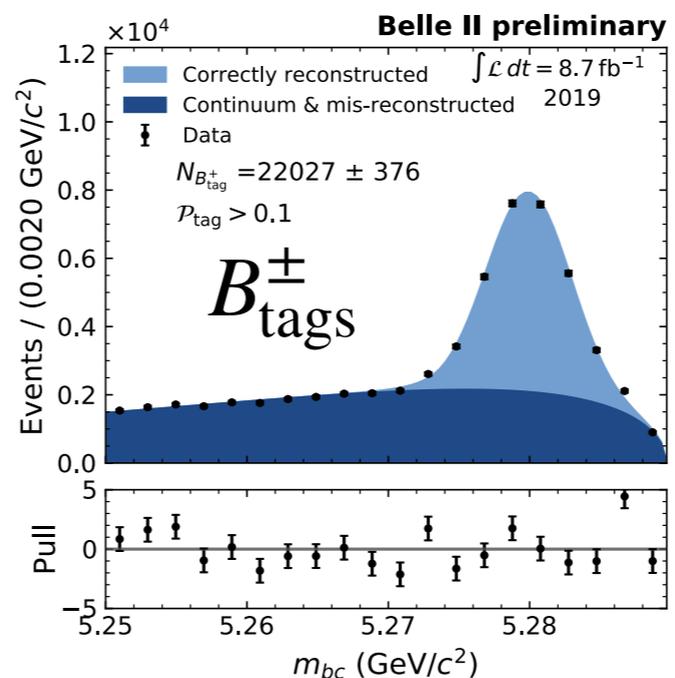
beam constrained mass

ca. 5.279 GeV

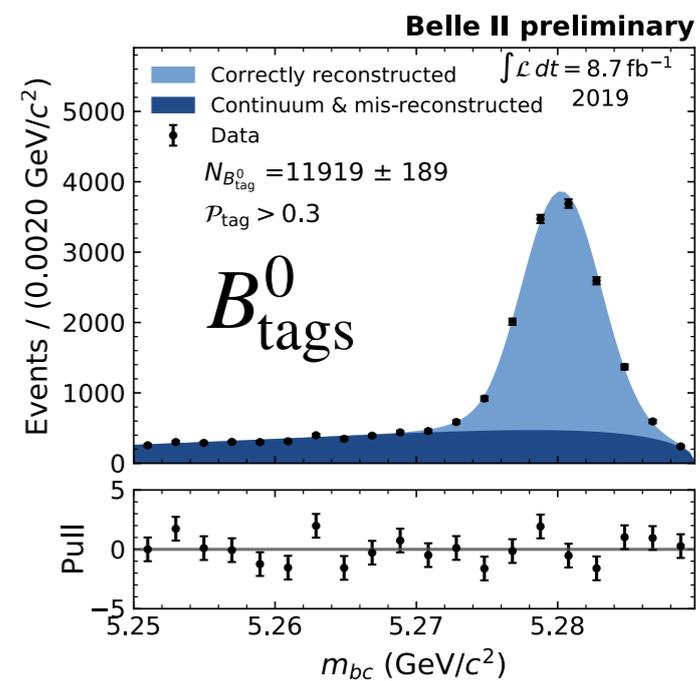
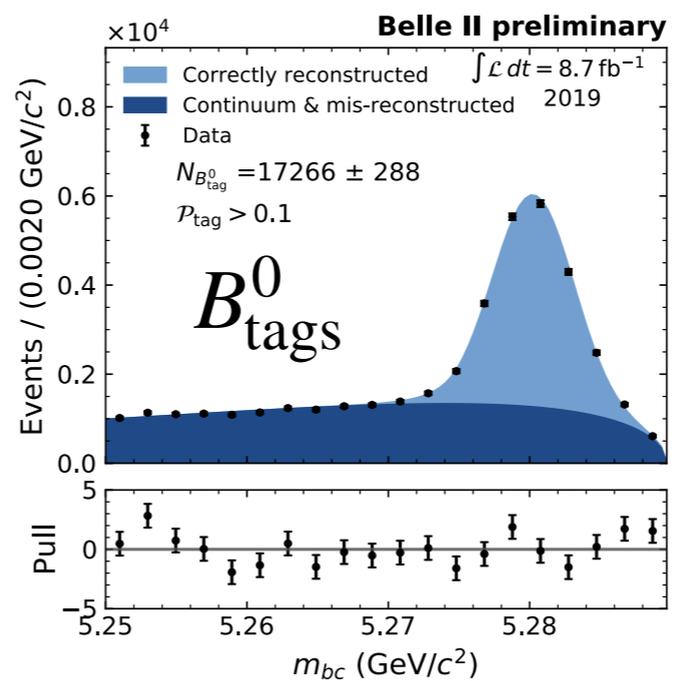
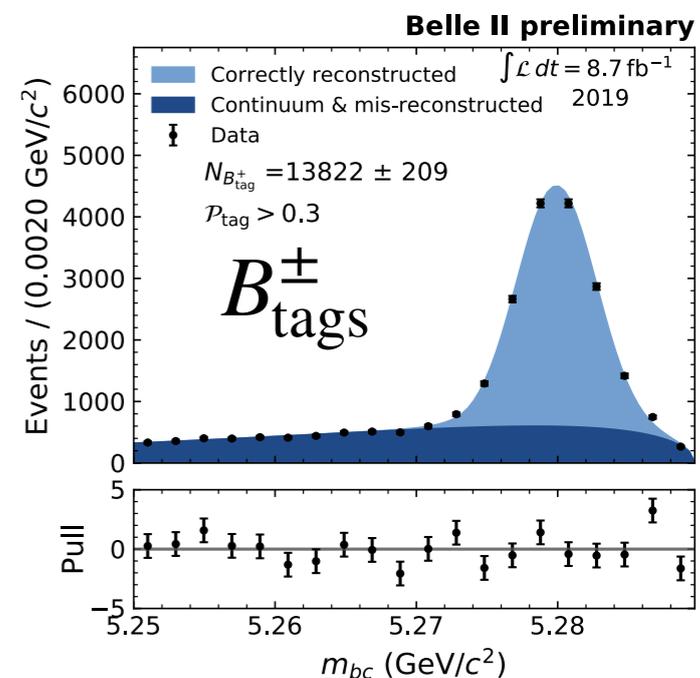
$$m_{bc} = \sqrt{E_{\text{beam}}^2/4 - |\vec{p}_{B_{\text{tag}}}|^2} \simeq m_B$$



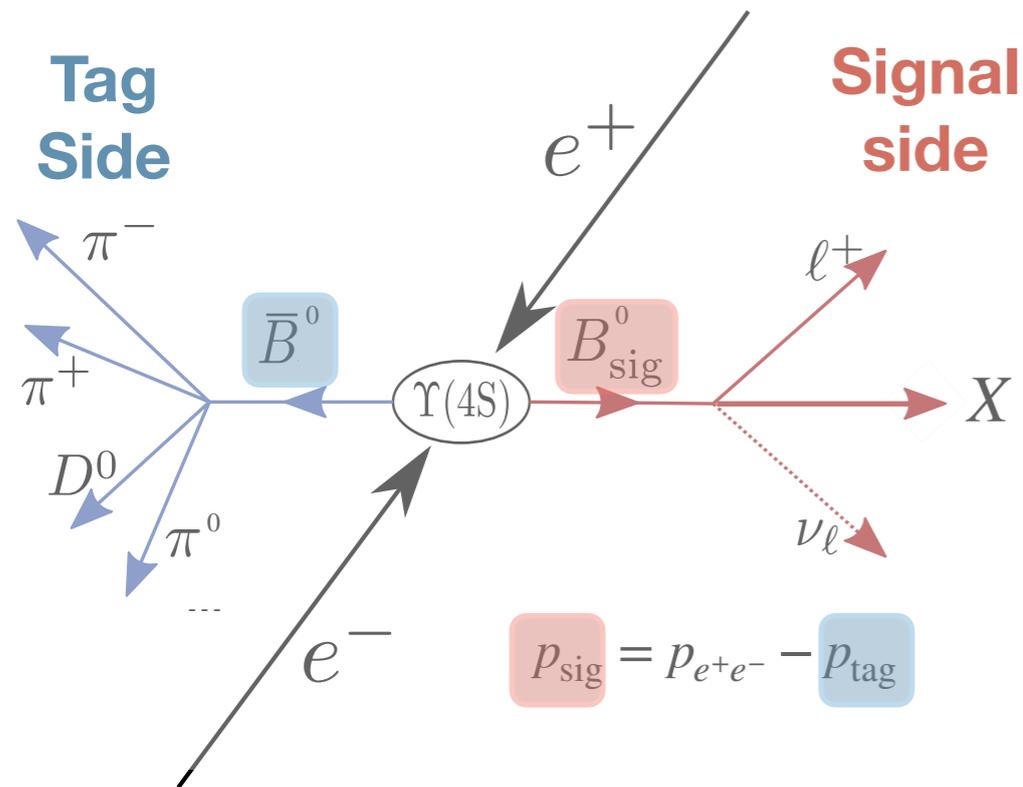
Loose Selection



Tight Selection



Efficiency can be calibrated,
but this has caveats



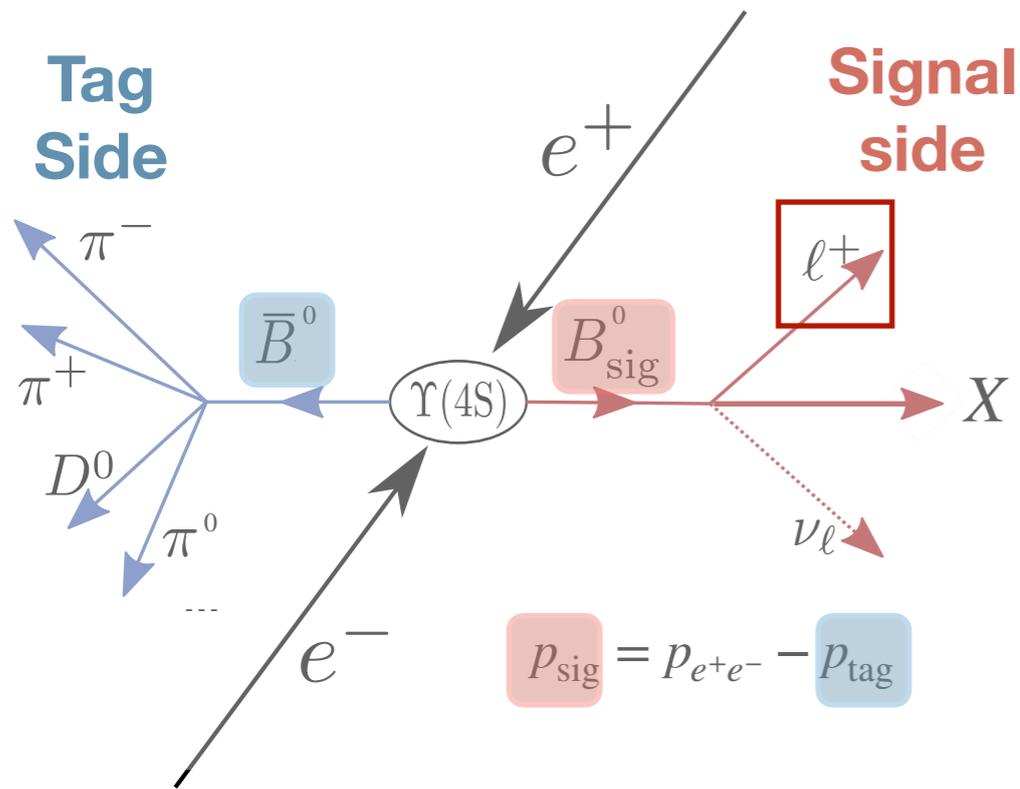
Why is the efficiency different? Use
10'000 different decays, use
uncalibrated detector information,
line-shapes differ in simulation
→ all aggregated in \mathcal{P}_{tag}

Strategy: use a well measured
process, add it to your MC with its
measured BF and compare

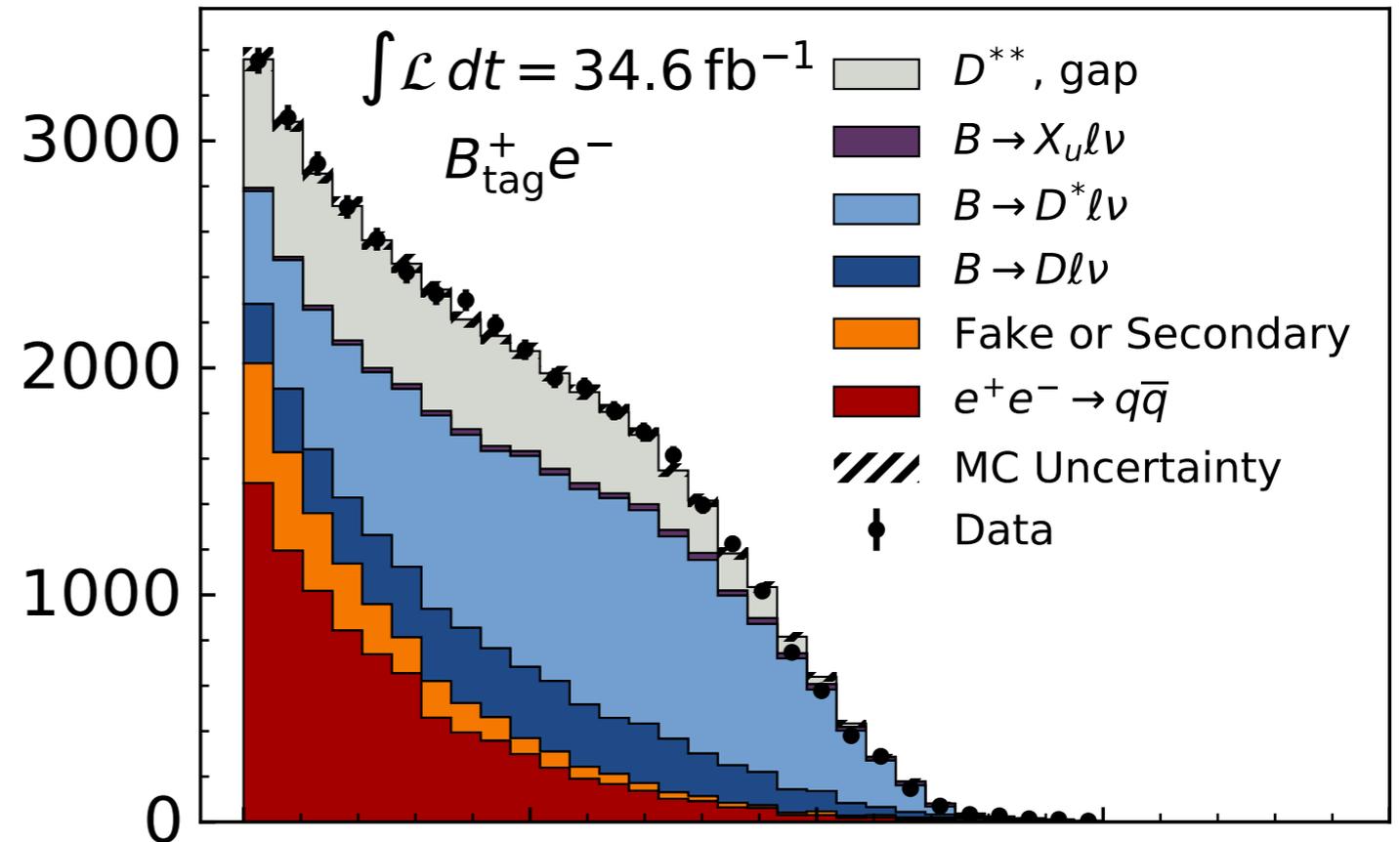
$$\frac{N_{X\ell\bar{\nu}_\ell}^{\text{Data}}}{N_{X\ell\bar{\nu}_\ell}^{\text{MC}}}$$

Efficiency can be calibrated,
but this has caveats

e.g.



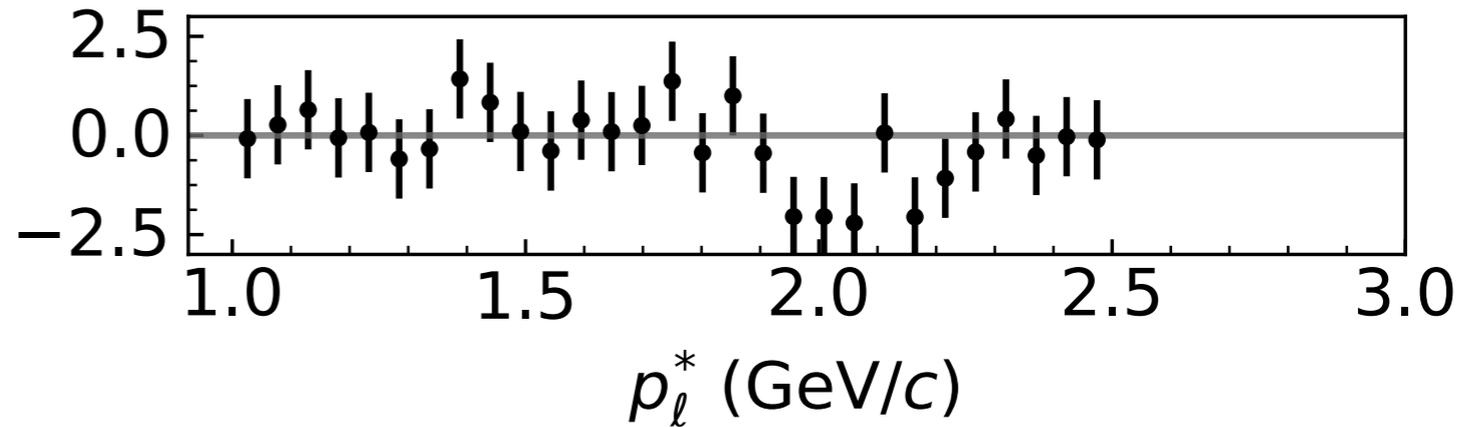
Belle II preliminary



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10'000 different decays, use
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Strategy: use a well measured
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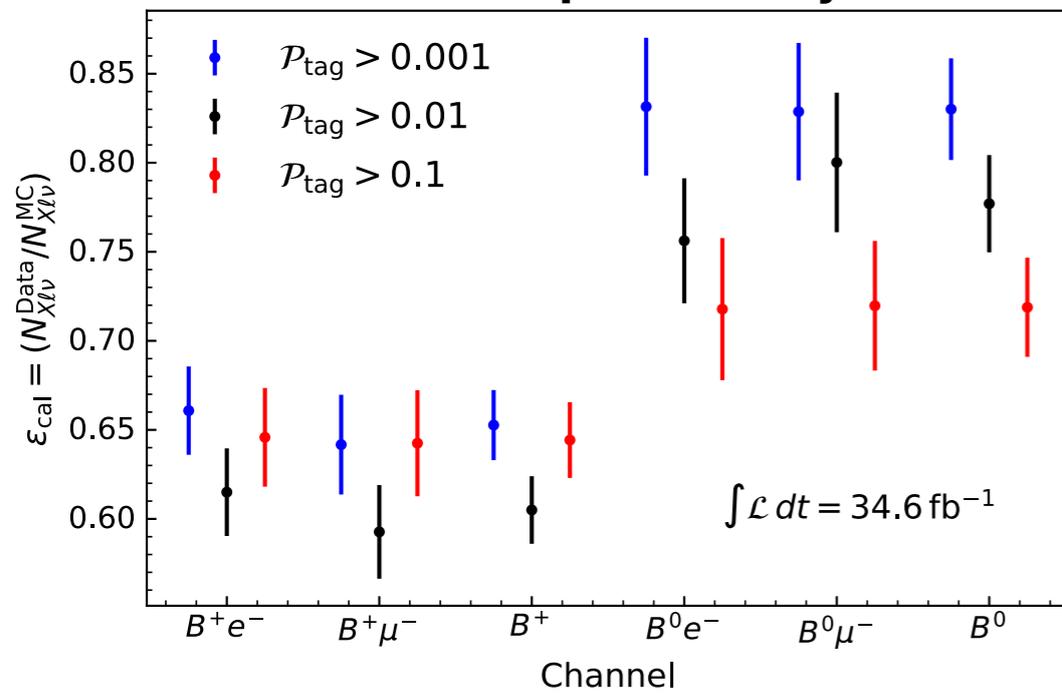
$$\frac{N_{X\ell\bar{\nu}_\ell}^{\text{Data}}}{N_{X\ell\bar{\nu}_\ell}^{\text{MC}}}$$



Efficiency Calibration

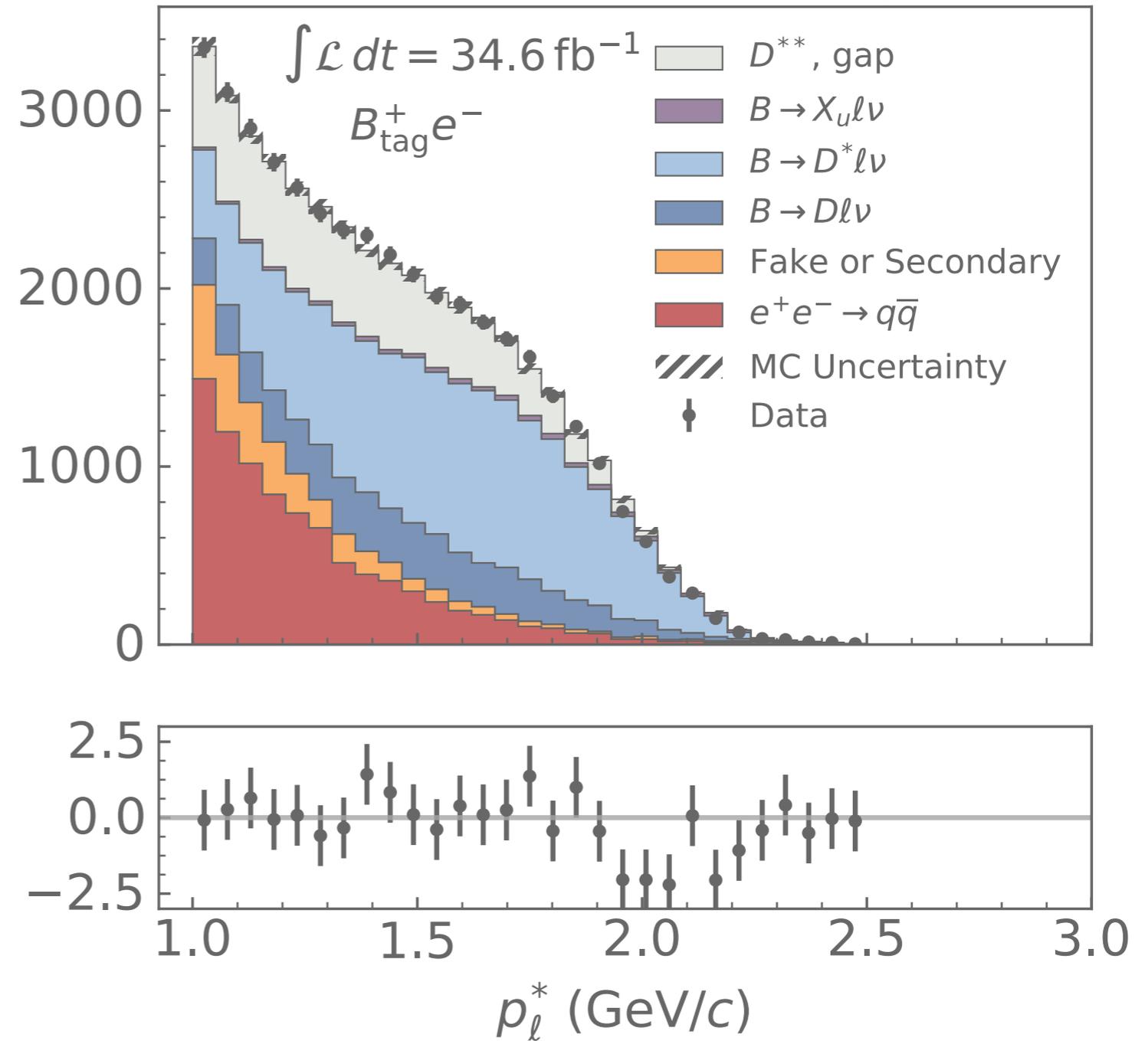
$$\epsilon_{\text{cal}} = \frac{N_{X\ell\bar{\nu}_\ell}^{\text{Data}}}{N_{X\ell\bar{\nu}_\ell}^{\text{MC}}}$$

Belle II preliminary



B^+		
$\mathcal{P}_{\text{tag}} >$	ϵ	uncertainty [%]
0.001	0.65 ± 0.02	3.0
0.01	0.61 ± 0.02	3.1
0.1	0.64 ± 0.02	3.3
B^0		
$\mathcal{P}_{\text{tag}} >$	ϵ	uncertainty [%]
0.001	0.83 ± 0.03	3.4
0.01	0.78 ± 0.03	3.5
0.1	0.72 ± 0.03	3.9

Belle II preliminary

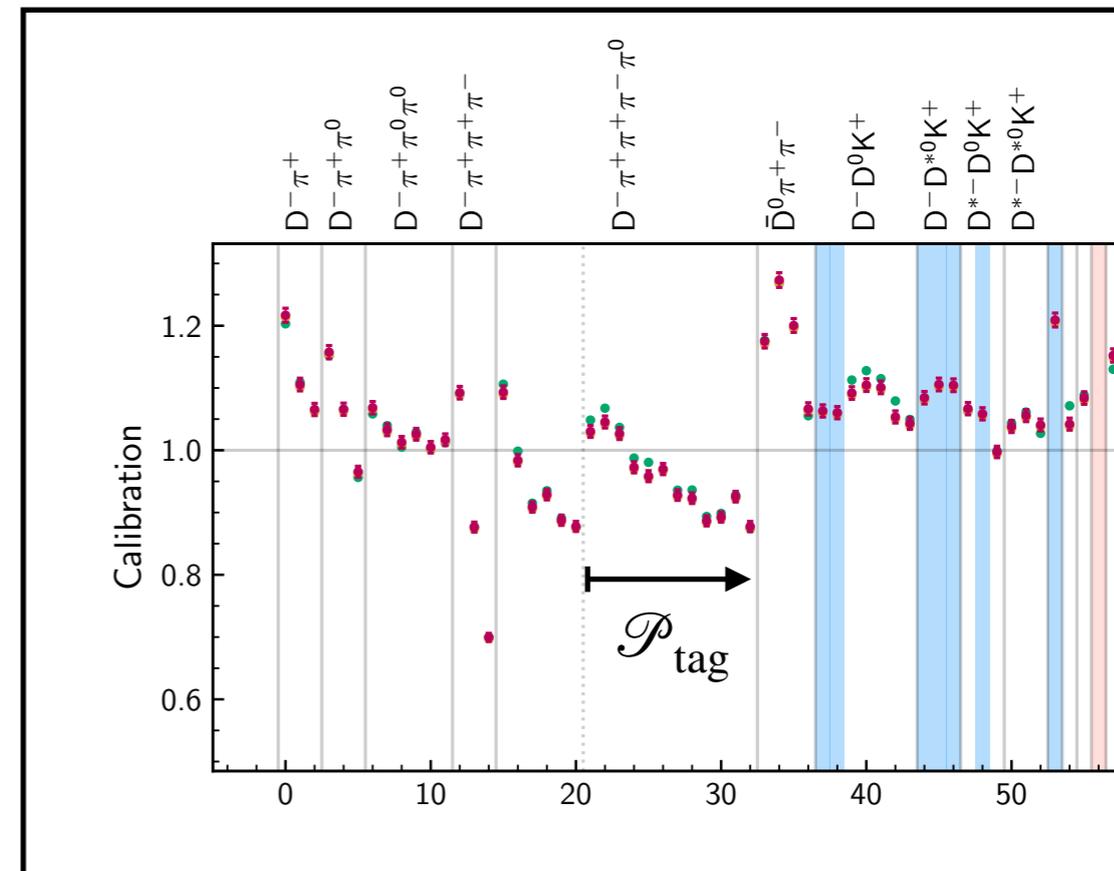
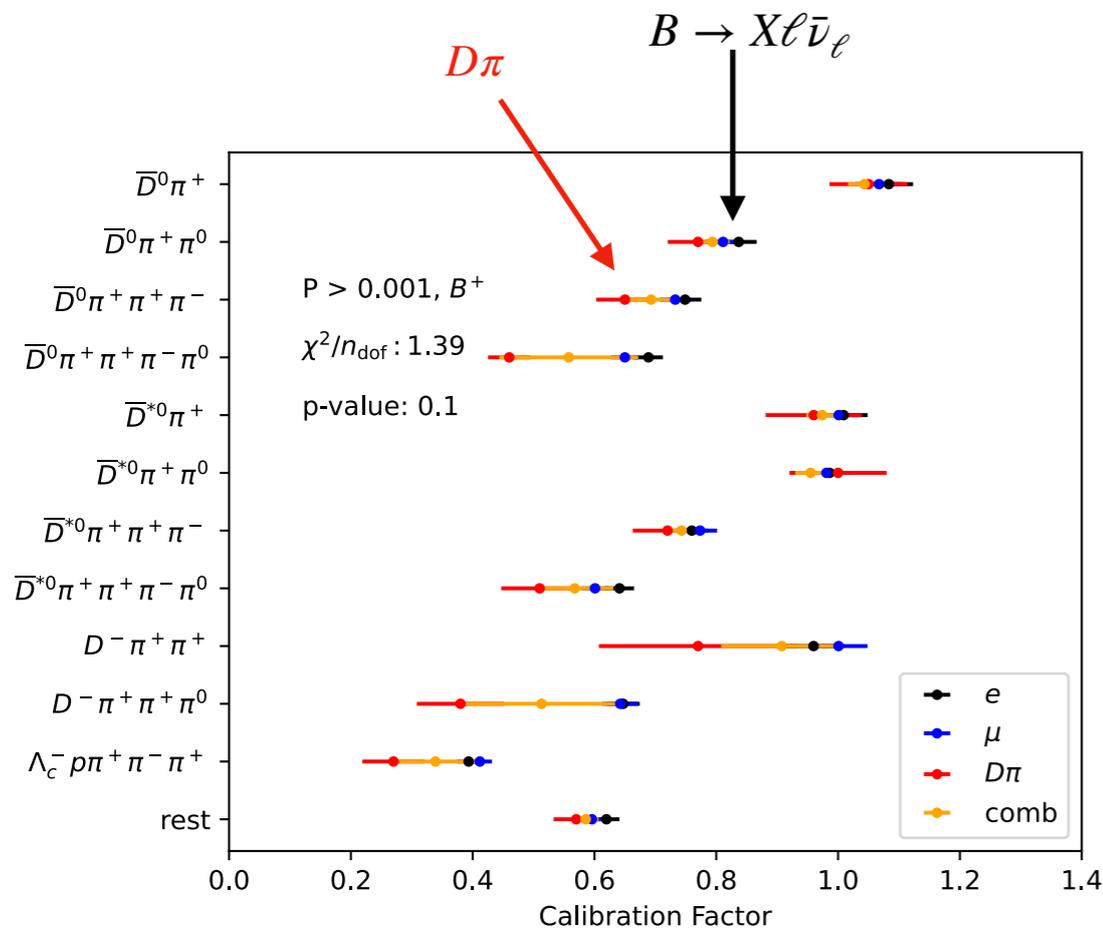


→ Also look at BELLE2-NOTE-PH 2023-004) and BELLE2-NOTE-PH-2023-008

Unbiased calibration very challenging :

Calibration shows **signal side dependence**

Calibration also dependent on **composition of tag-side candidates**
and fraction of **good versus bad tags**



One needs to carefully check these issues; best to carry out **self calibration** whenever possible

Tagged measurements of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

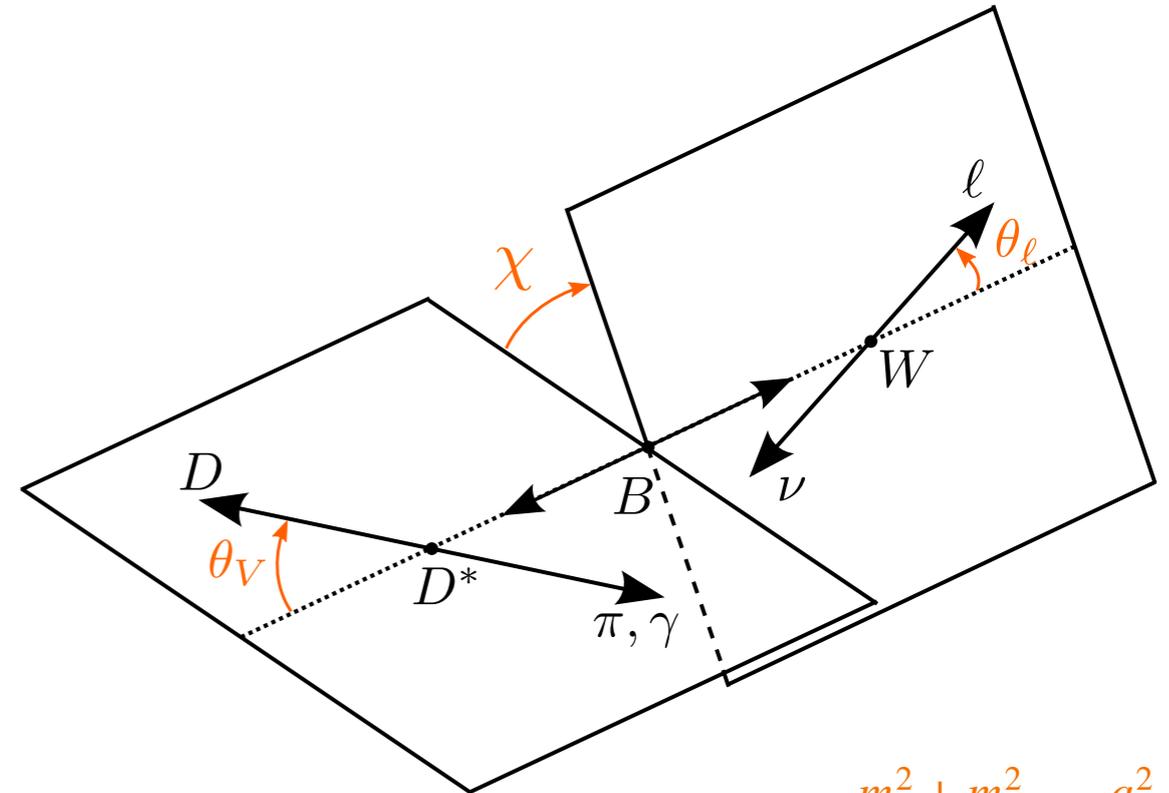
Target B^0 and B^+ and reconstruct D in many modes :

$$\begin{aligned}
 & D^+ \rightarrow K^- \pi^+ \pi^+, \quad D^+ \rightarrow K^- \pi^+ \pi^+ \pi^0, \\
 & D^+ \rightarrow K^- \pi^+ \pi^+ \pi^+ \pi^-, \quad D^+ \rightarrow K_S^0 \pi^+, \quad D^+ \rightarrow K_S^0 \pi^+ \pi^0, \\
 & D^+ \rightarrow K_S^0 \pi^+ \pi^+ \pi^-, \quad D^+ \rightarrow K_S^0 K^+, \quad D^+ \rightarrow K^+ K^- \pi^+, \\
 & D^0 \rightarrow K^- \pi^+, \quad D^0 \rightarrow K^- \pi^+ \pi^0, \quad D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-, \\
 & D^0 \rightarrow K^- \pi^+ \pi^+ \pi^- \pi^0, \quad D^0 \rightarrow K_S^0 \pi^0, \quad D^0 \rightarrow K_S^0 \pi^+ \pi^-, \\
 & D^0 \rightarrow K_S^0 \pi^+ \pi^- \pi^0, \quad \text{and } D^0 \rightarrow K^- K^+.
 \end{aligned}$$

Reconstruct $D^{*+} \rightarrow D^0 \pi^+, D^{*+} \rightarrow D^+ \pi^0, D^{*0} \rightarrow D^0 \pi^0$

In principle also can do $D^{*0} \rightarrow D^0 \gamma$ but has different Lorentz structure & angular distributions

Tagged measurement can directly reconstruct **B rest frame** & access $\{w, \cos \theta_\ell, \cos \theta_V, \chi\}$



$$w = v_B \cdot v_{D^{(*)}} = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$$

Tagged measurements of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

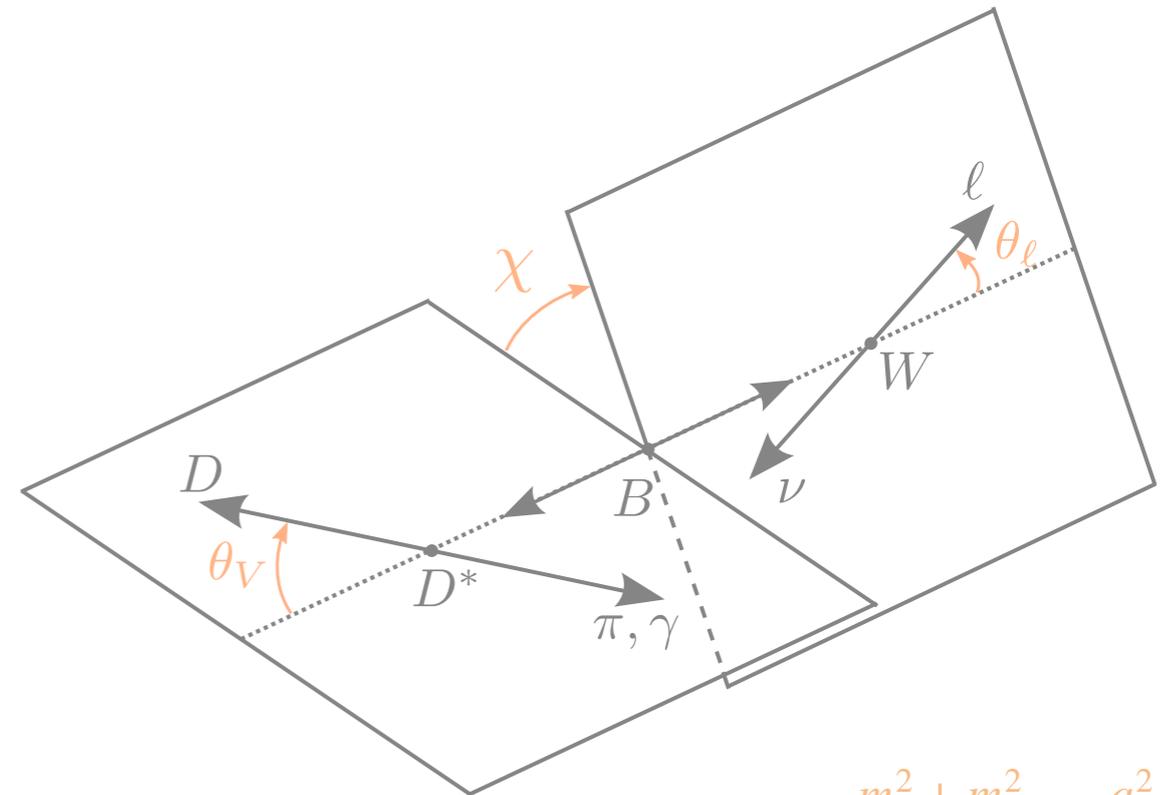
Target B^0 and B^+ and reconstruct D in many modes :

$$\begin{aligned}
 & D^+ \rightarrow K^- \pi^+ \pi^+, D^+ \rightarrow K^- \pi^+ \pi^+ \pi^0, \\
 & D^+ \rightarrow K^- \pi^+ \pi^+ \pi^+ \pi^-, D^+ \rightarrow K_S^0 \pi^+, D^+ \rightarrow K_S^0 \pi^+ \pi^0, \\
 & D^+ \rightarrow K_S^0 \pi^+ \pi^+ \pi^-, D^+ \rightarrow K_S^0 K^+, D^+ \rightarrow K^+ K^- \pi^+, \\
 & D^0 \rightarrow K^- \pi^+, D^0 \rightarrow K^- \pi^+ \pi^0, D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-, \\
 & D^0 \rightarrow K^- \pi^+ \pi^+ \pi^- \pi^0, D^0 \rightarrow K_S^0 \pi^0, D^0 \rightarrow K_S^0 \pi^+ \pi^-, \\
 & D^0 \rightarrow K_S^0 \pi^+ \pi^- \pi^0, \text{ and } D^0 \rightarrow K^- K^+.
 \end{aligned}$$

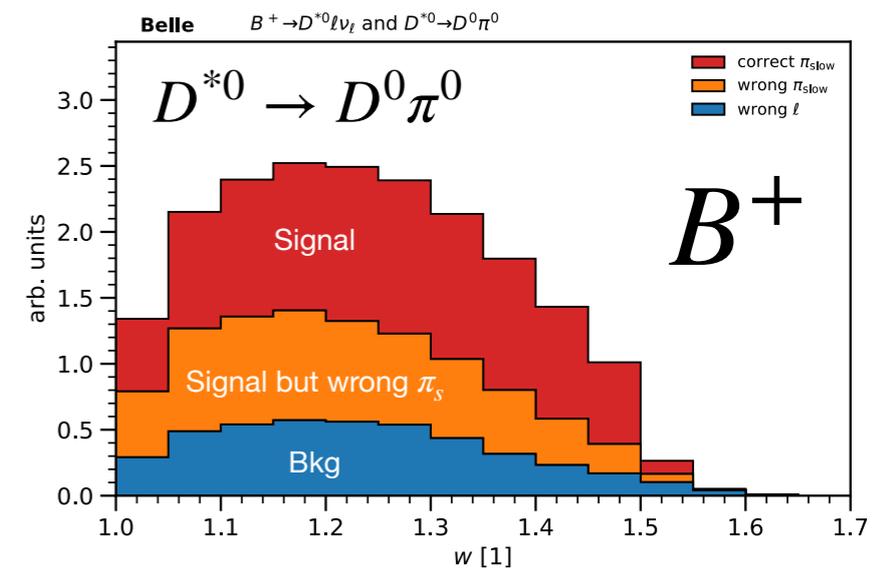
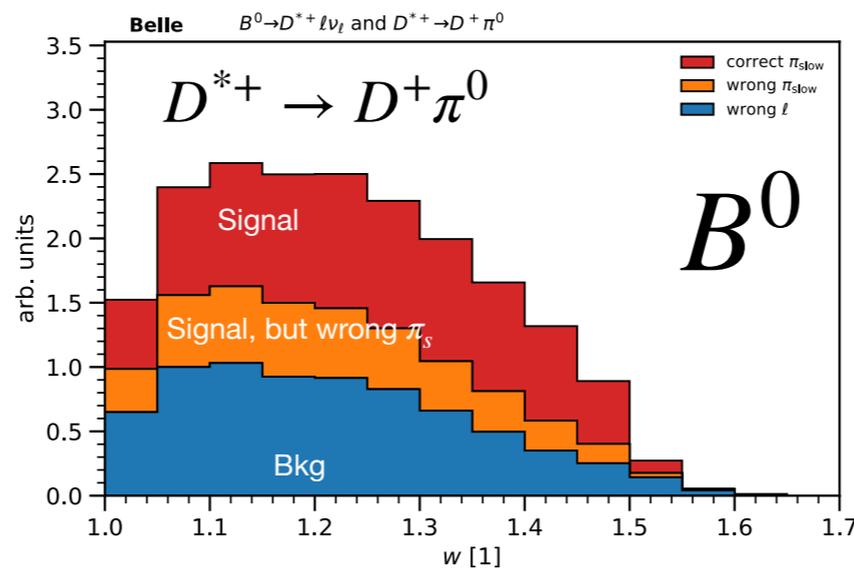
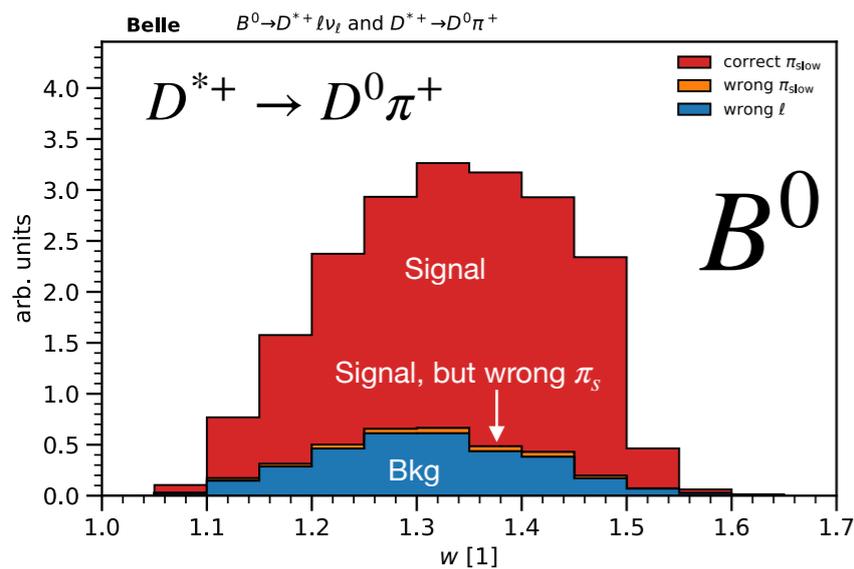
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Background subtraction:

Need to subtract residual **background** contributions:

- From other SL decays ($B \rightarrow D^{**}\ell\bar{\nu}_\ell$ or $B \rightarrow D\ell\bar{\nu}_\ell$)
- From other **B decays** (with fake or real leptons)
- From Continuum ($e^+e^- \rightarrow q\bar{q}$)

Key idea :

$$P_{B_{\text{sig}}} = P_{e^+e^-} - P_{B_{\text{tag}}}$$

Background subtraction:

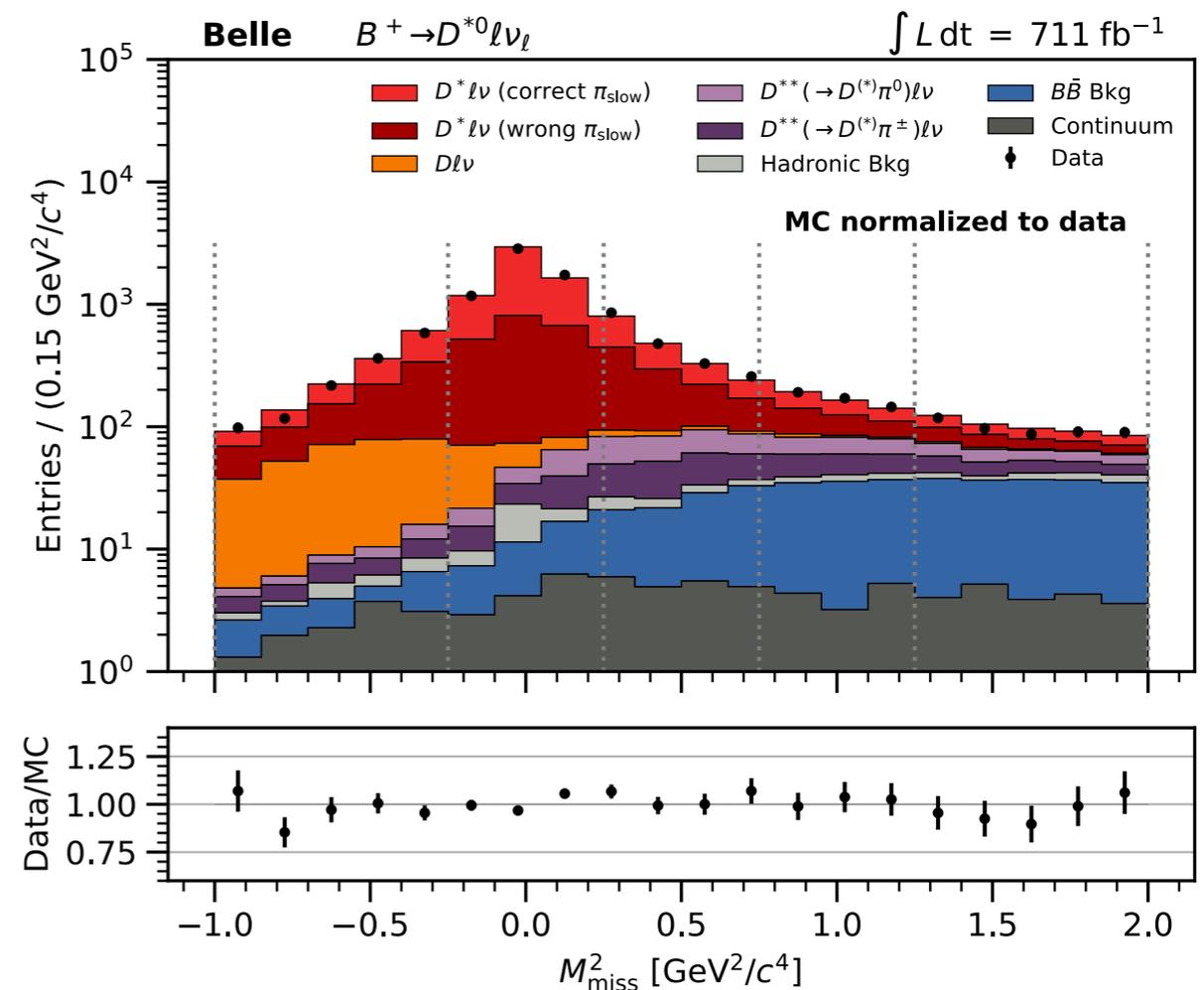
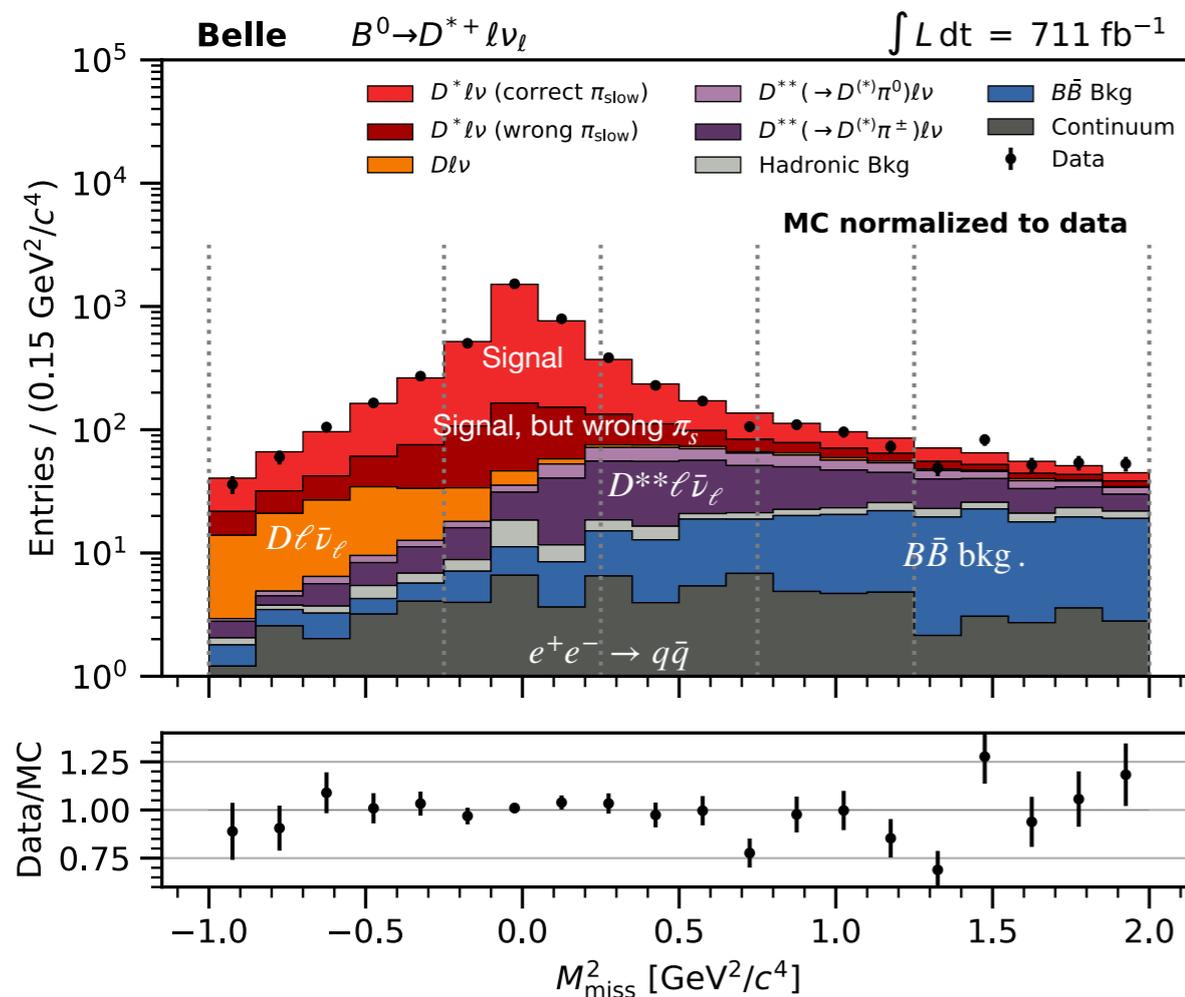
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Key idea :

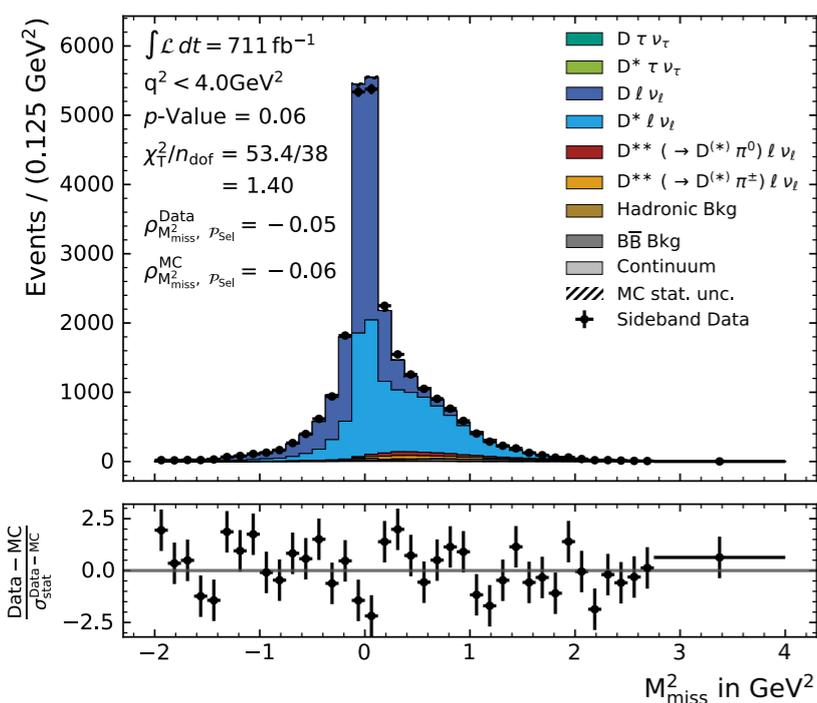
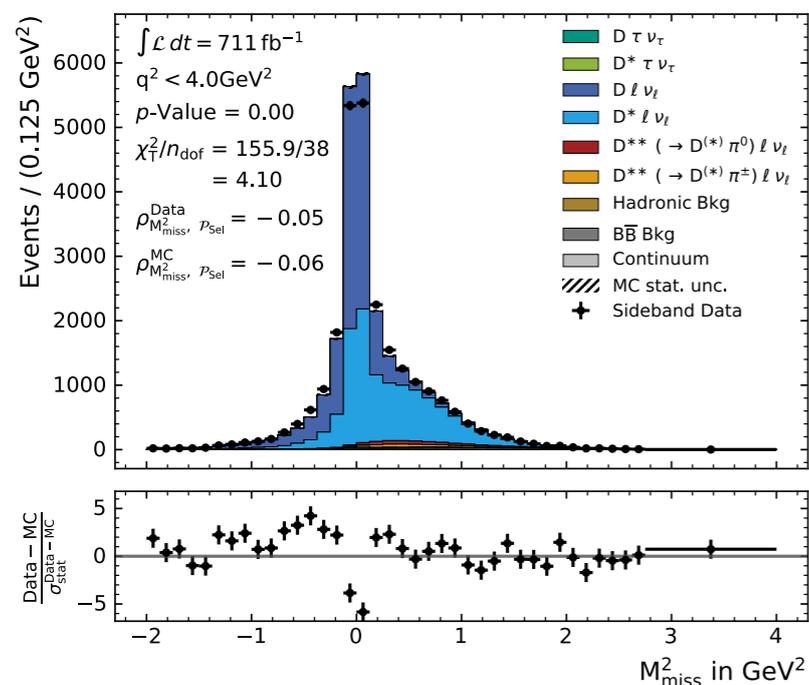
$$p_{B_{\text{sig}}} = p_{e^+e^-} - p_{B_{\text{tag}}}$$

Use: $0 = m_\nu^2 \simeq M_{\text{miss}}^2 = (E_{\text{miss}}, \mathbf{p}_{\text{miss}})^2 = (p_B - p_{D^*} - p_\ell)^2$ or $U = E_{\text{miss}} - |\mathbf{p}_{\text{miss}}|$



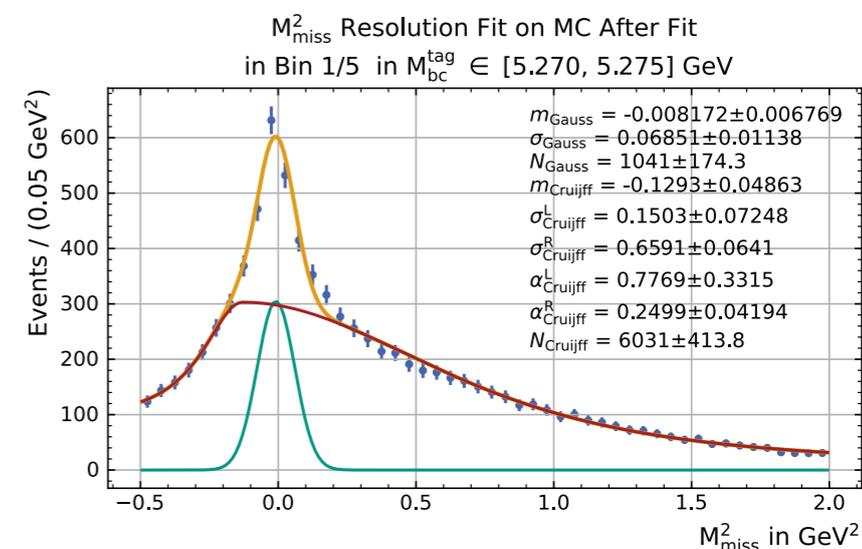
MC modelling of M_{miss}^2 challenging

Need to apply additional corrections to match actual resolution



E.g. use an appropriate smearing function
(e.g. asymmetric Laplace distribution and as a function of m_{bc})

$$f_{\text{AL}}(x; m, \lambda, \kappa) = \frac{\lambda}{\kappa + 1/\kappa} \begin{cases} \exp((\lambda/\kappa)(x - m)) & \text{if } x < m, \\ \exp(-\lambda\kappa(x - m)) & \text{if } x \geq m, \end{cases}$$



Also other issues which cannot be necessarily solved by smearing alone, e.g. in inclusive analyses the modeling of e.g. D mesons is extremely important

see e.g. Belle II R(X) measurement in preparation

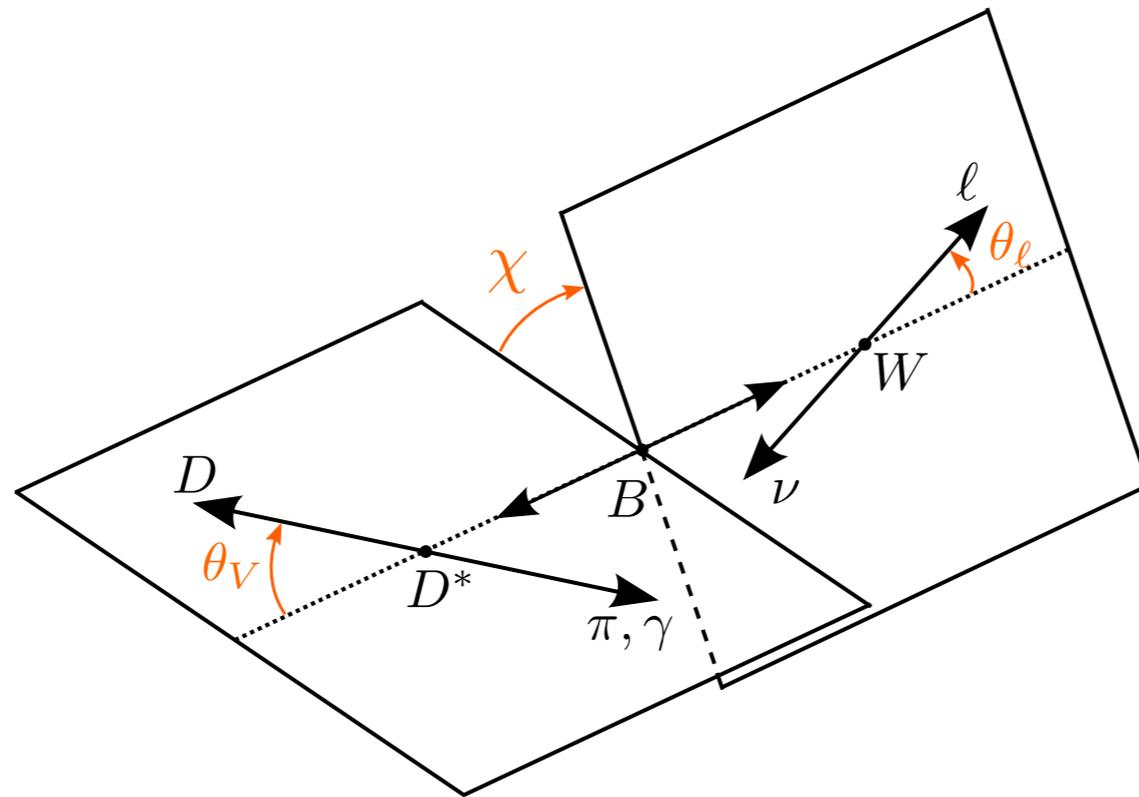
Fit in Bins of $\{w, \cos \theta_\ell, \cos \theta_\nu, \chi\}$

E.g. Can use **binned likelihood** fit to **1D distributions**

(good to use coarse binning to reduce modelling dependence (Bkg shape, resolution))

4D fit also possible; but binned approach suffers from curse of dimensionality

→ **better unbinned (but then need to worry about efficiency & migrations)**



Fit in Bins of $\{w, \cos \theta_\ell, \cos \theta_V, \chi\}$

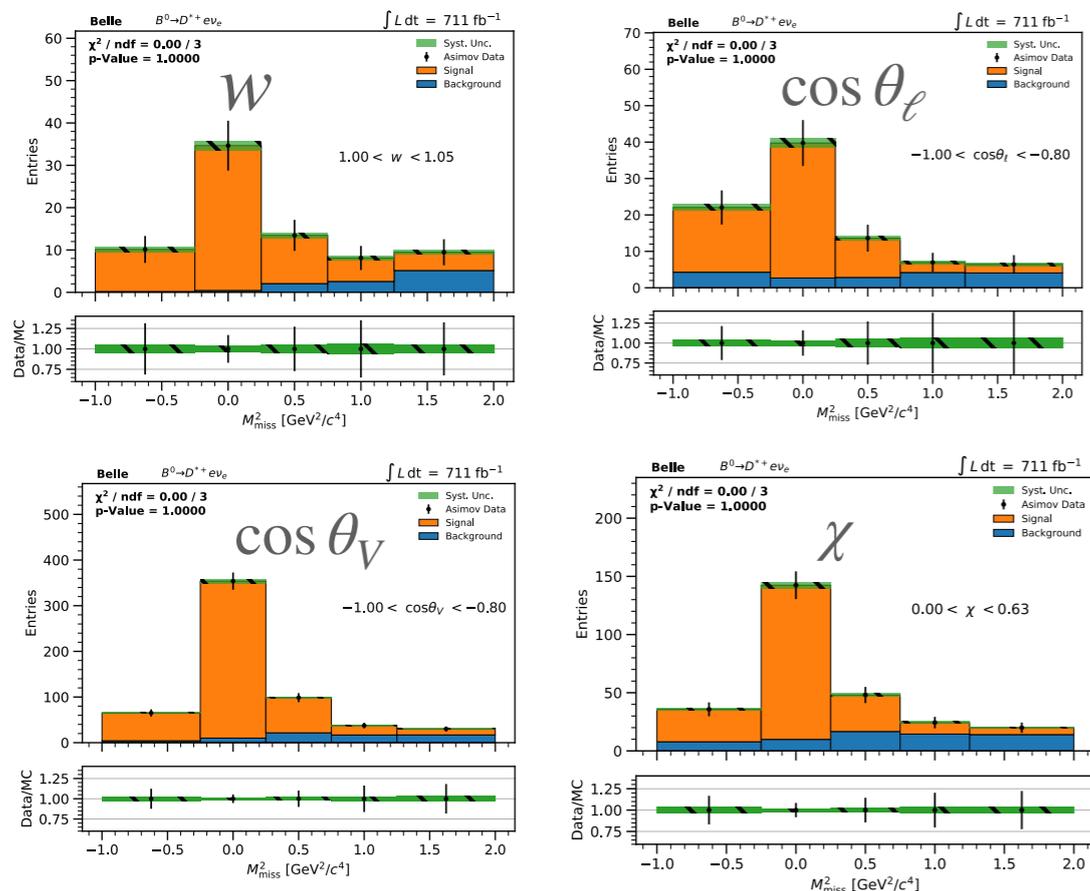
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→ **better unbinned (but then need to worry about efficiency & migrations)**

Example **1D** fits to MC (Asimov fits)



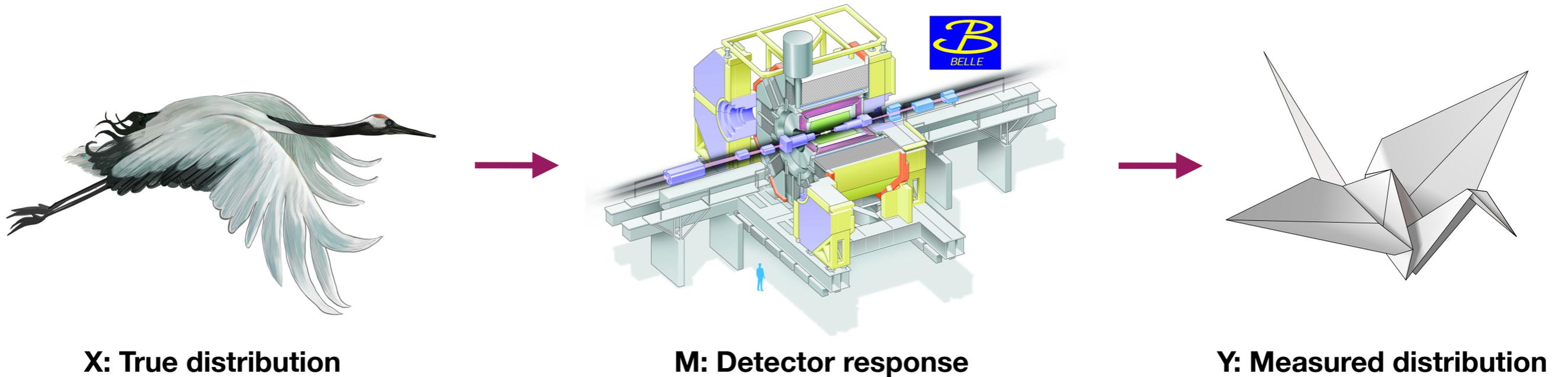
Best approach: use folding to extract relevant information

$$\frac{d^4\Gamma}{dq^2 d\cos\theta^* d\cos\theta_\ell d\chi} = \frac{9}{32\pi} [(I_1^s \sin^2 \theta^* + I_1^c \cos^2 \theta^*) + (I_2^s \sin^2 \theta^* + I_2^c \cos^2 \theta^*) \cos 2\theta_\ell + I_3 \sin^2 \theta^* \sin^2 \theta_\ell \cos 2\chi + I_4 \sin 2\theta^* \sin 2\theta_\ell \cos \chi + I_5 \sin 2\theta^* \sin \theta_\ell \cos \chi + (I_6^c \cos^2 \theta^* + I_6^s \sin^2 \theta^*) \cos \theta_\ell + I_7 \sin 2\theta^* \sin \theta_\ell \sin \chi + I_8 \sin 2\theta^* \sin 2\theta_\ell \sin \chi + I_9 \sin^2 \theta^* \sin^2 \theta_\ell \sin 2\chi],$$

I.e. by building smart asymmetries, can project out the relevant 12 terms (integrated over a certain q^2 range)

→ See e.g. Markus Prim's Belle Analysis (in preparation)

Detector migrations



An event reconstructed in a given *bin* i , might not have had a “true” value corresponding to a *bin* j

Can be parametrized as a **migration matrix**:

$$\mathcal{M}_{ij} = \mathcal{P}(\text{reco. in bin } i \mid \text{true value in bin } j)$$

↑
parametrize detector migrations
as **conditional probability**

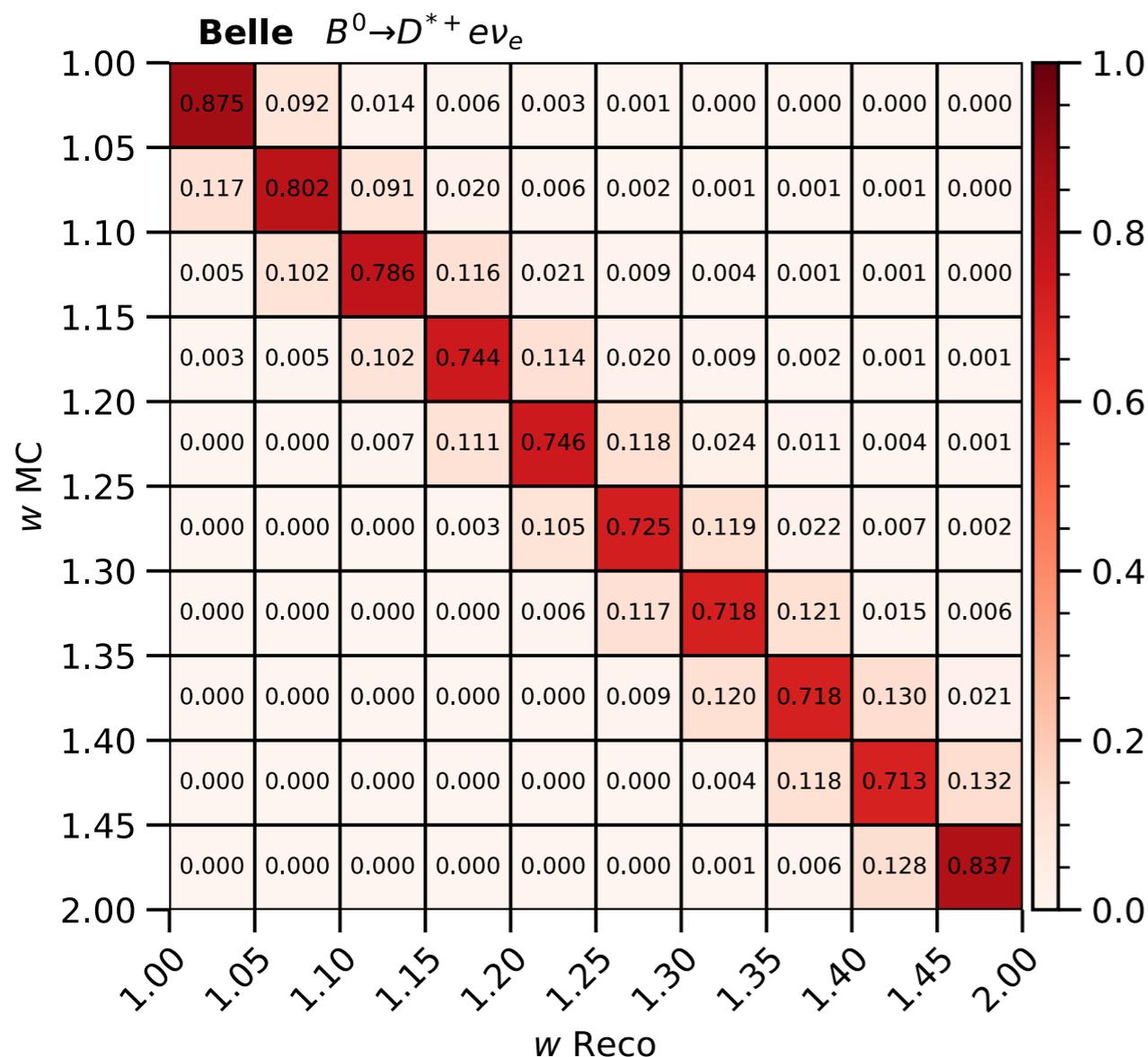
↓
Check Markus Prim's slides

Detector migrations

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Can recover estimates for true values via “unfolding” determined yields, mapping reco \rightarrow true

Simplest version: migration matrix inversion

$$\mathbf{x}_{\text{true}} = \mathcal{M}_{ij}^{-1} \mathbf{x}_{\text{reco}}$$

Many approaches to dampen impact of increase in variance

(mostly a problem with large migrations \rightarrow true bin is then the sum of many reco bins with high weights)

or to reduce impact of MC prior

(here less an issue; but Bayesian unfolding can propagate the observed shape to MC to minimize model dependencies)

Acceptance × Efficiency

After migration effects are corrected, need to correct also for selection effects
(Acceptance × Efficiency)

$$\Delta \mathcal{B} / \Delta \mathbf{x} = \left(\epsilon_{\text{reco}} \times \epsilon_{\text{tag}} \right)^{-1} \times \mathcal{M}^{-1} \mathbf{x}_{\text{reco}} \times \frac{1}{4 N_{B\bar{B}}}$$

2 if $e + \mu$

of charged or neutral B meson pairs (other factor of 2)

Actually a matrix

$$\left(\epsilon_{\text{reco}} \times \epsilon_{\text{tag}} \right) = \text{diag} \left(\mathcal{A}(\text{true bin } i) \right)$$

$$2 N_{B\bar{B}} = (1 + f_{+0}) N_{B^0} = (1 + f_{+0}^{-1}) N_{B^+}$$

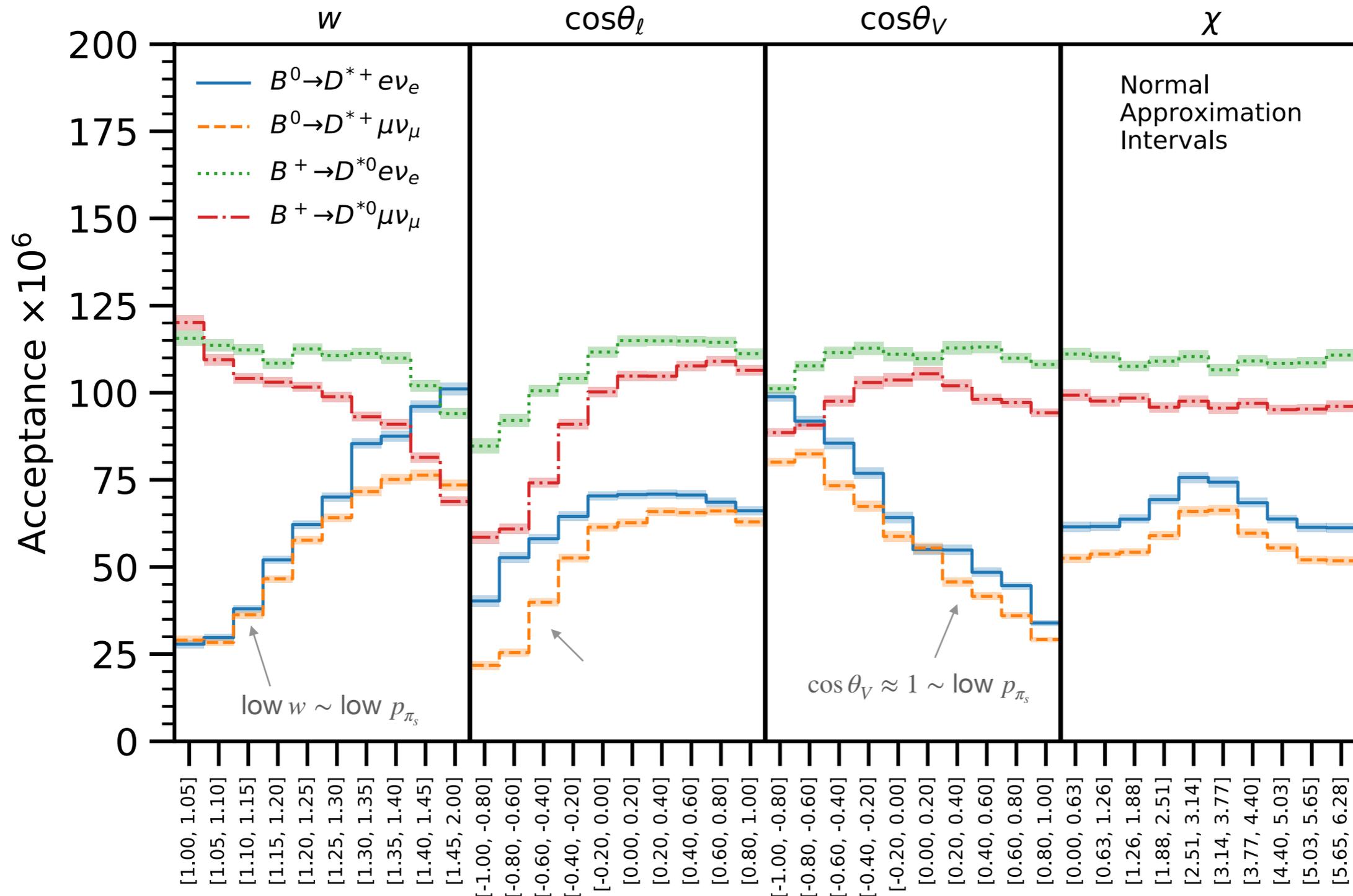
$$f_{+0} = \frac{\mathcal{B}(\Upsilon(4S) \rightarrow B^+ B^-)}{\mathcal{B}(\Upsilon(4S) \rightarrow B^0 \bar{B}^0)}$$

Although it's **acceptance × efficiency**,
we just call this acceptance
in the figure on the next slide

Check Jim's slides

Acceptance \times Efficiency

After migration effects are corrected, need to correct also for selection effects
(Acceptance \times Efficiency)



A word on Efficiencies

Efficiencies can be are a large source of uncertainties

Two examples very relevant for semileptonic decays:

- Lepton Identification Uncertainty

Often based on a global likelihood (or a multivariate classifier) using individual likelihoods (or input features) to calculate a score how likely the identified particle is an electron or a muon

Symbolically:

$$\mathcal{L} = \mathcal{L}_{\text{CDC}} \times \mathcal{L}_{\text{ECL}} \times \mathcal{L}_{\text{TOP}} \times \mathcal{L}_{\text{KLM}}$$

The diagram shows the symbolic likelihood equation $\mathcal{L} = \mathcal{L}_{\text{CDC}} \times \mathcal{L}_{\text{ECL}} \times \mathcal{L}_{\text{TOP}} \times \mathcal{L}_{\text{KLM}}$. Below the equation, four arrows point upwards to the terms: \mathcal{L}_{CDC} is associated with "Ionization energy loss"; \mathcal{L}_{ECL} is associated with the expression $E/|\vec{p}|$; \mathcal{L}_{TOP} is associated with "Information from Cherenkov light angles"; and \mathcal{L}_{KLM} is associated with "Matched KLM cluster hit?".

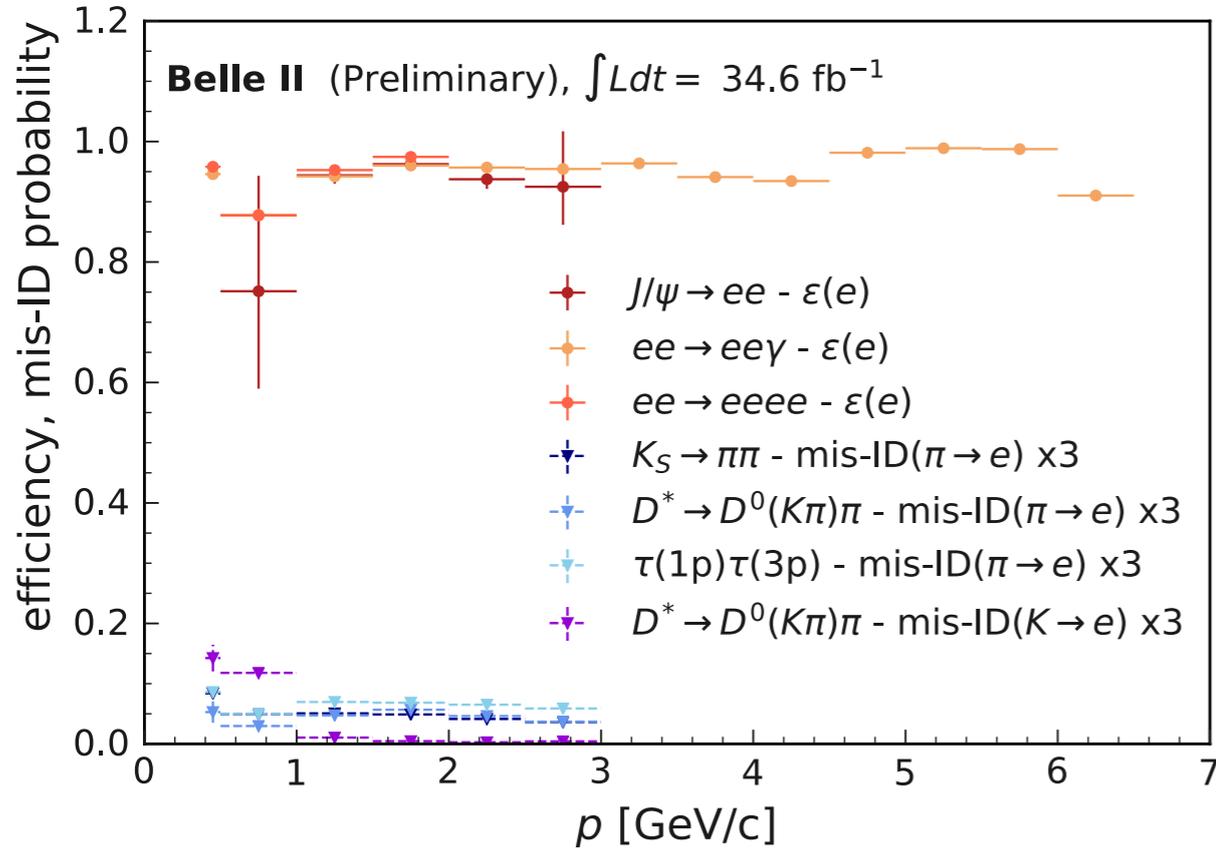
Use clean physics sample to correct MC efficiencies and fake rates

E.g. $e^+e^- \rightarrow \mu\mu\gamma, e^+e^- \rightarrow e^+e^-\gamma, J/\psi \rightarrow \ell\ell, \dots$

Construct likelihood ratio for Lepton ID: $\ell \text{ ID} = \mathcal{L}_\ell / [\mathcal{L}_e + \mathcal{L}_\mu + \mathcal{L}_\pi + \mathcal{L}_K + \mathcal{L}_p]$

Electrons

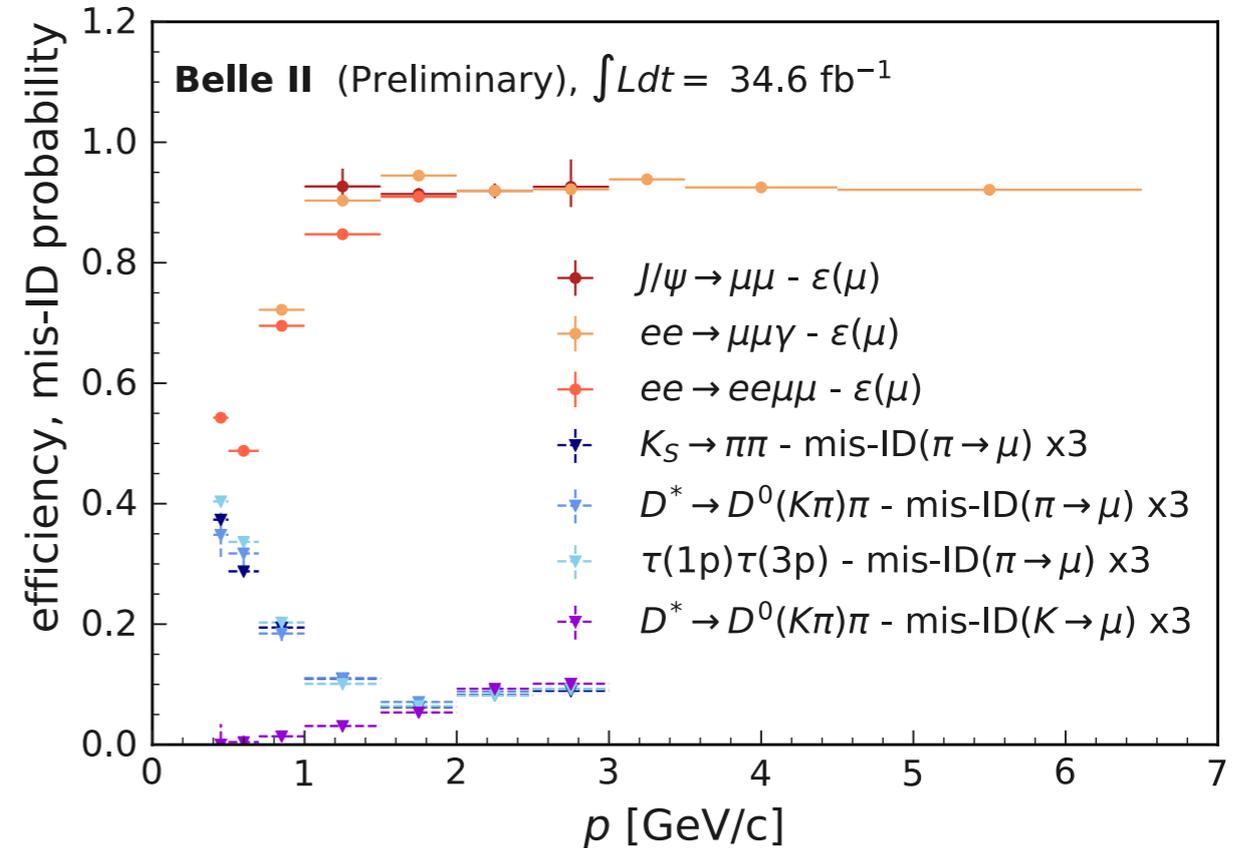
$1.13 \leq \theta < 1.57 \text{ rad}, \text{electronID} > 0.9$



Momentum in lab frame

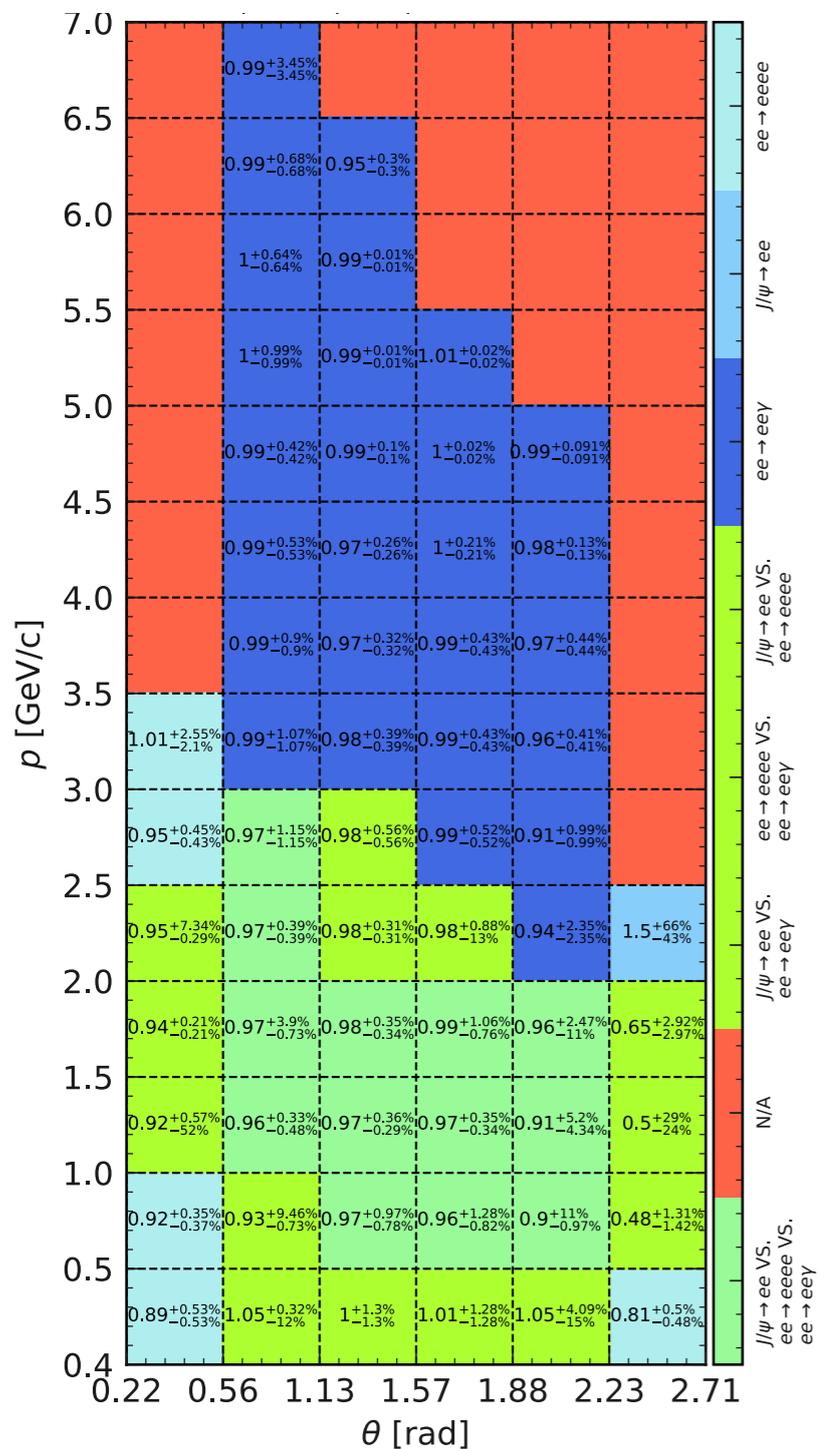
Muons

$0.82 \leq \theta < 1.16 \text{ rad}, \text{muonID} > 0.9$



Construct correction tables of efficiency ratios $\frac{\epsilon_{\text{Data}}}{\epsilon_{\text{MC}}}$

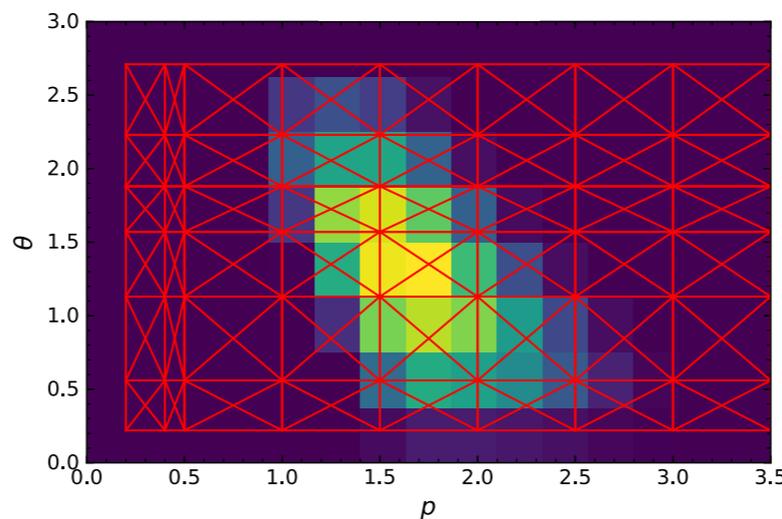
as a function of **lab momentum** and **detector position** (polar angle) to correct MC efficiencies



Precision limited by available control channel statistics (i.e. goes down by Lumi)

Non-closure between channels is added as extra uncertainty (limiting factor at very high luminosity)

Coverage of control channels and signal are different, i.e. not all control channels have same relevance)



→ Correlation model matters!

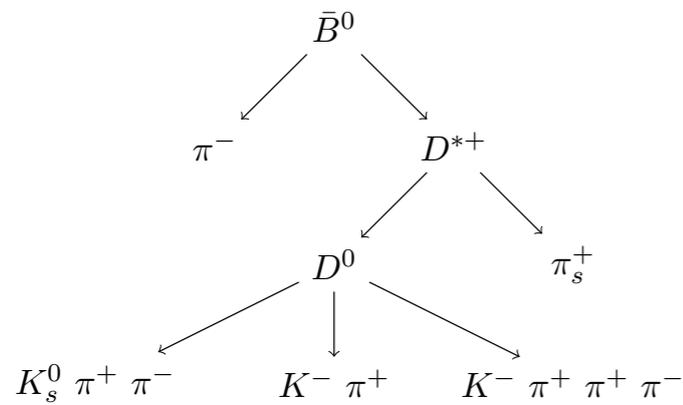
100% correlated errors
= maximal total eff. error, but no error on shapes

0% correlated errors
= minimal total eff. error, maximal error on shapes

Second example:

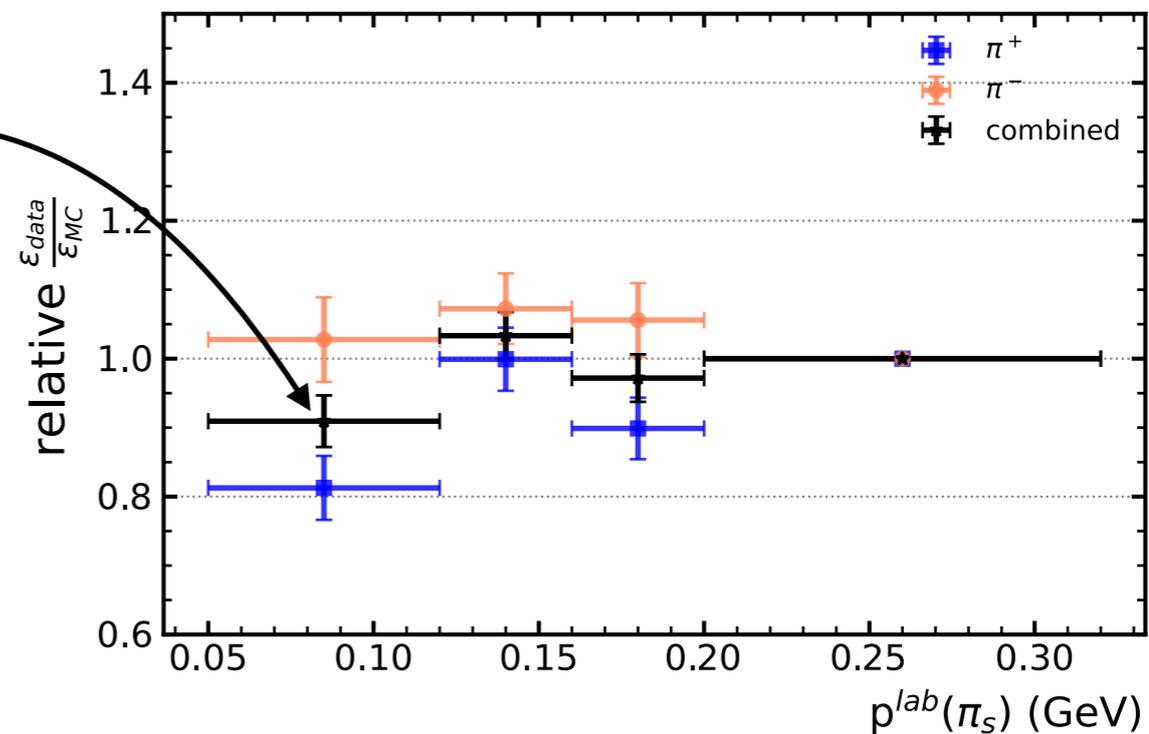
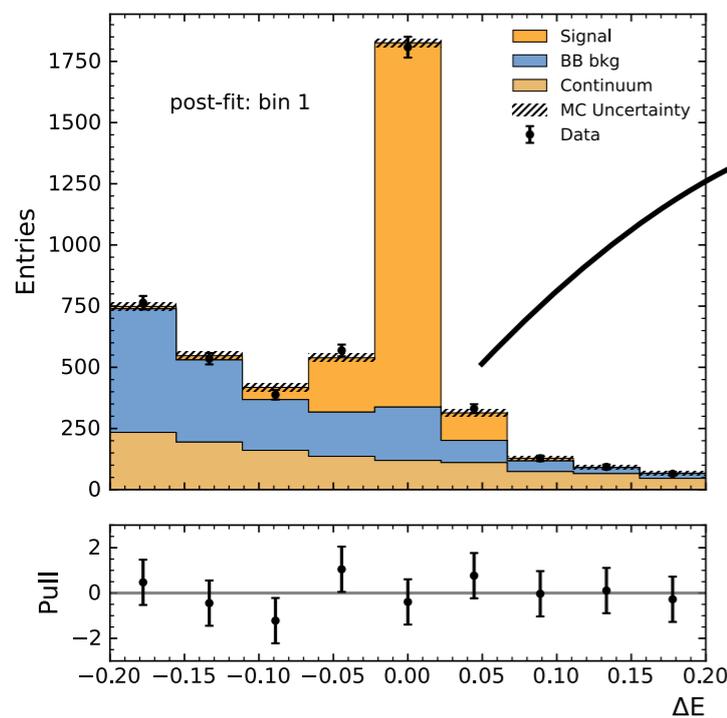
- Slow pion reconstruction efficiency

Also needs to be measured in data, e.g. via $B^0 \rightarrow D^{*+} \pi^-$ decays

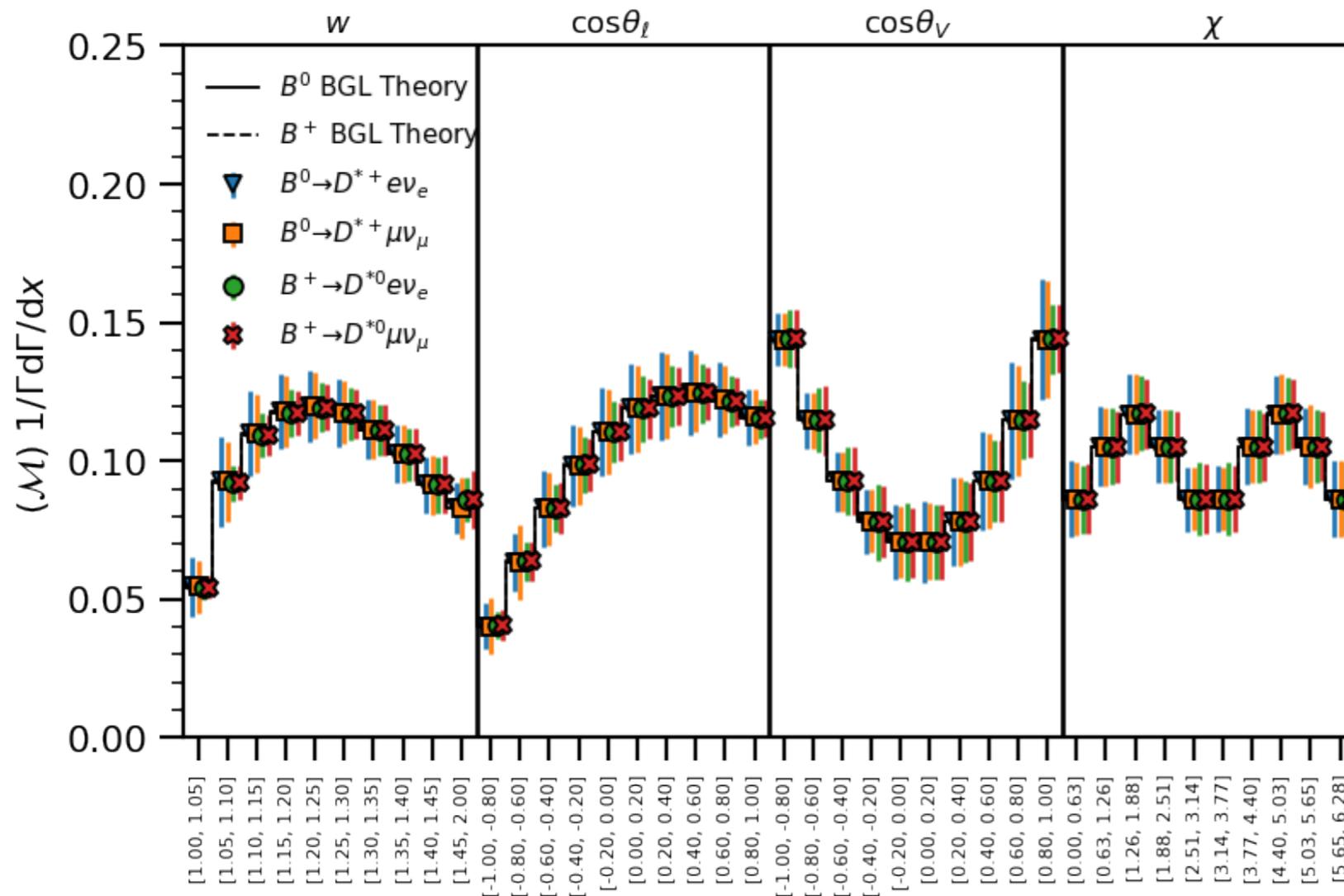


Extract signal in a fit to $\Delta E = \sqrt{s}/2 - E_B$
in bins of $p_{\pi_s}^{\text{lab}}$

Measure ratio efficiency ratio **relative** to
high-momentum region of $p_{\pi_s}^{\text{lab}} > 200 \text{ MeV}$



The final result (MC)



Note how the different channels are complementary in different regions of phase-space

(e.g. B^+ has much better precision at low w than B^0 , but both have equal precision at high w)

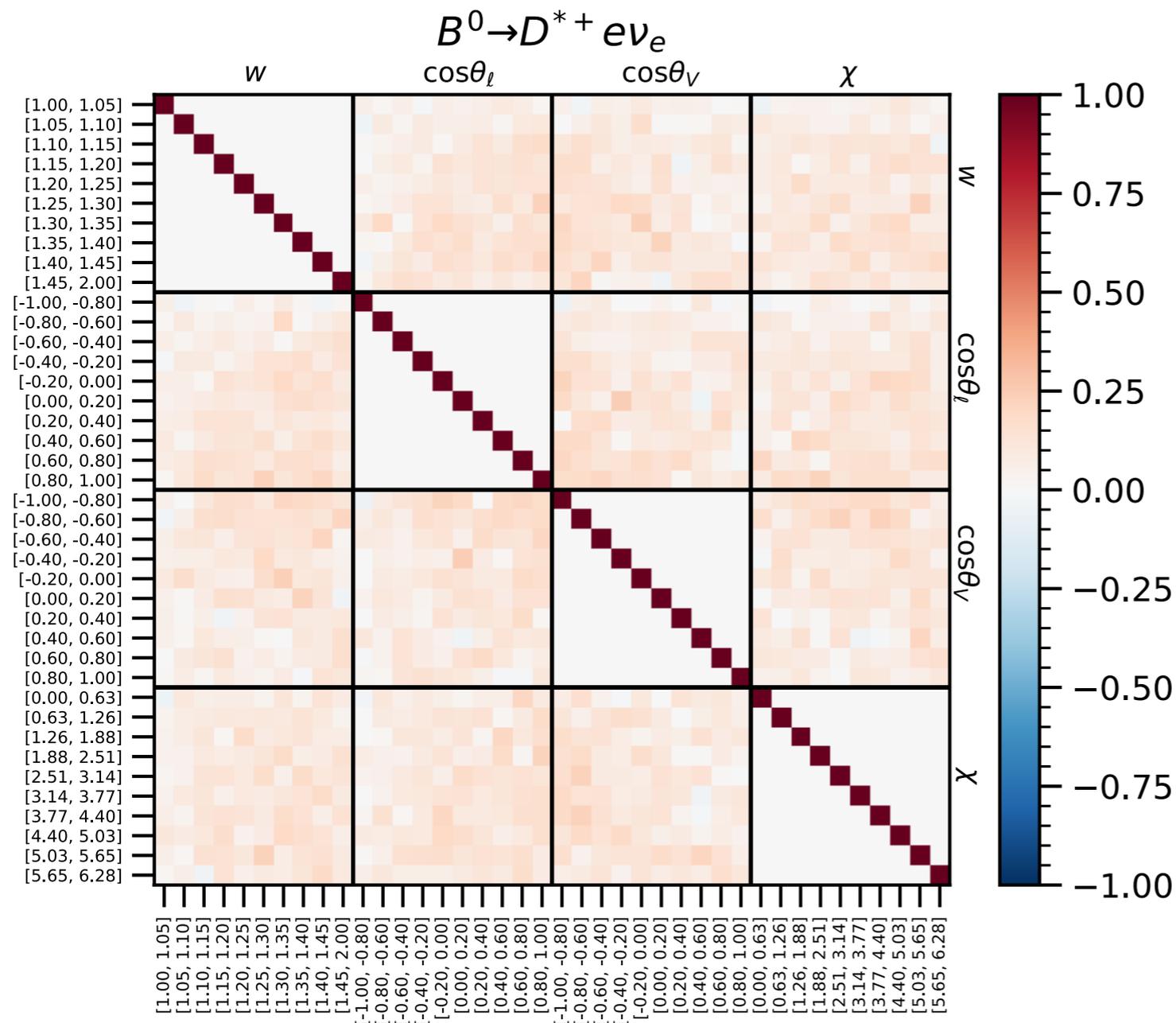
For a simultaneous analysis, need to determine correlations between different 1D projections → can be done using **bootstrapping**

Very simple: create a replica of your data set by **sampling with replacement**

Repeat full analysis chain of 4 x1D measurement for **each replica**

Pearson correlator of replica sample provides estimator for statistical correlation between bins:

$$r_{xy} = \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2} \sqrt{\sum_{i=1}^n (y_i - \bar{y})^2}}$$



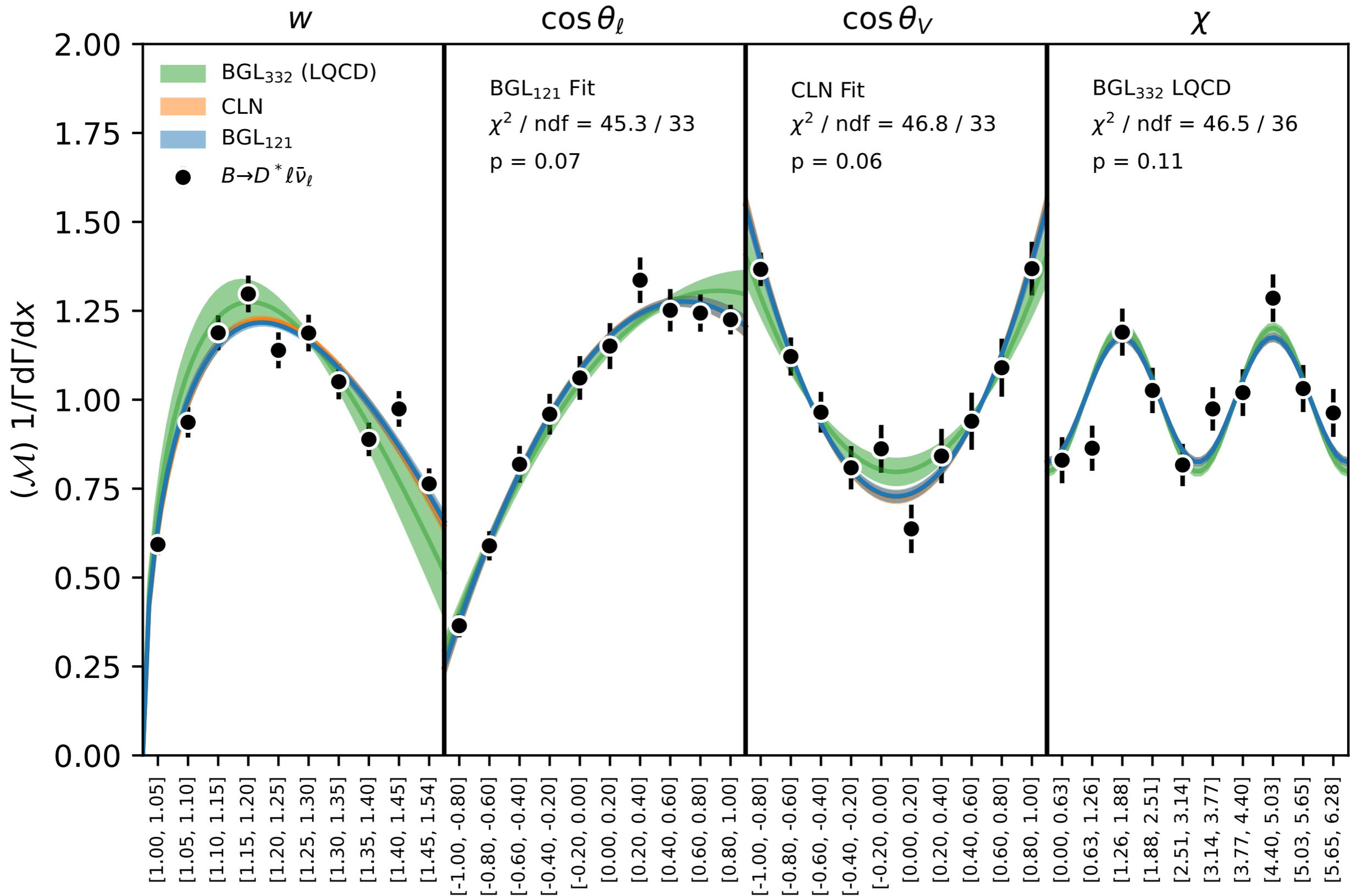
But since we measured projections of the same data, the effective **degrees of freedom** are not 40, but 37 (Jung, Van Dyk)

Best use of tagged data:

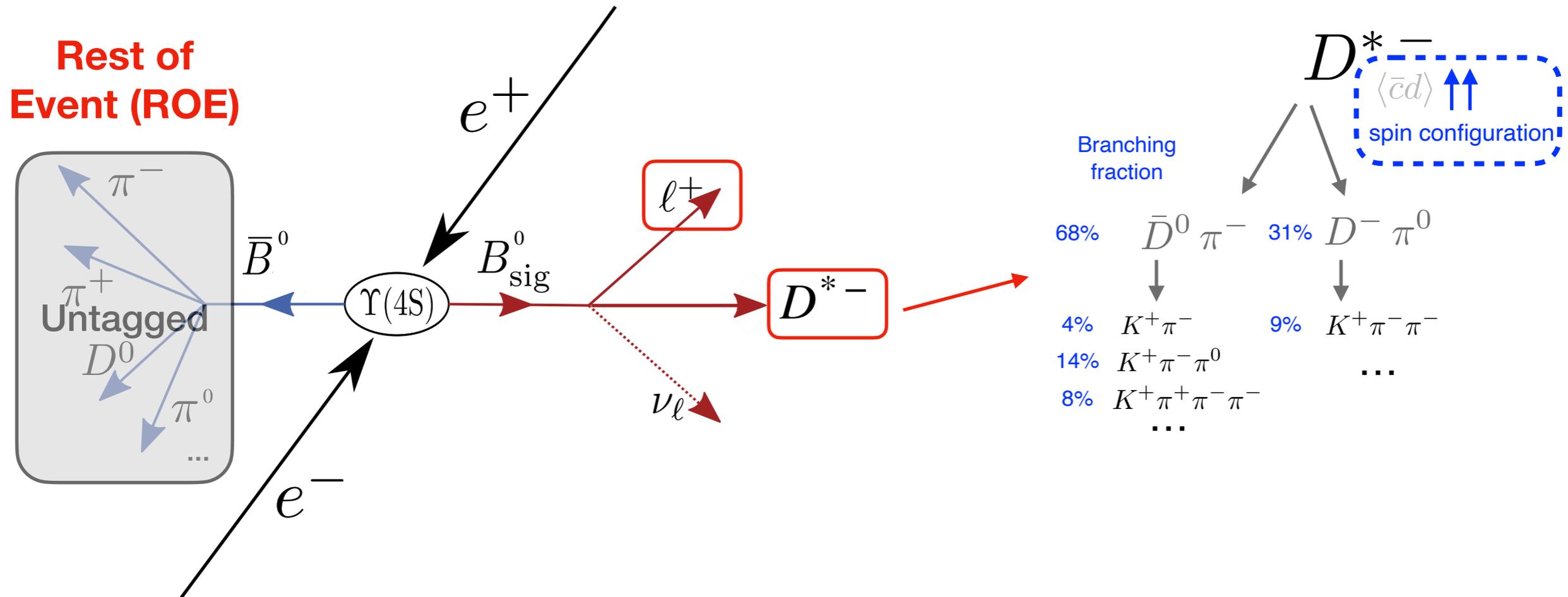
Fit normalized shapes (and if available total rate)

36 dof from shapes (4*9) and 1 from normalization

Final result :



Untagged measurements of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$



Recent Belle II result:

<https://arxiv.org/abs/2310.01170>

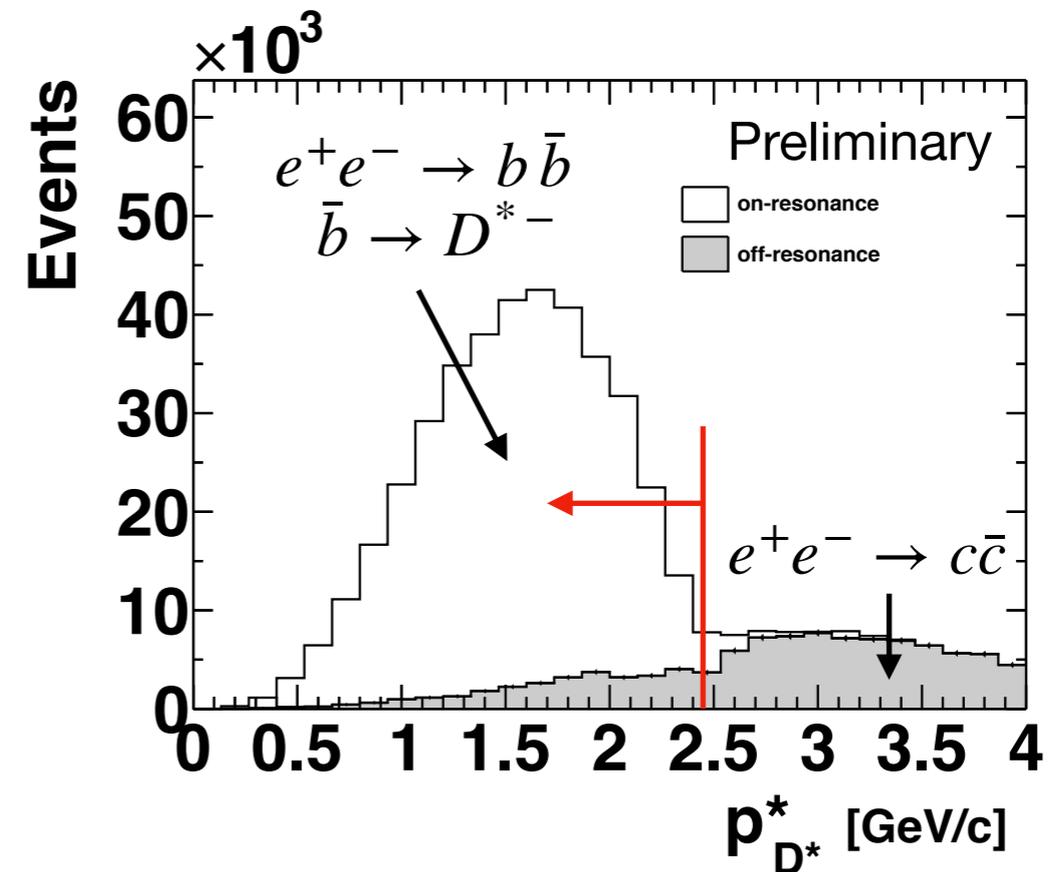
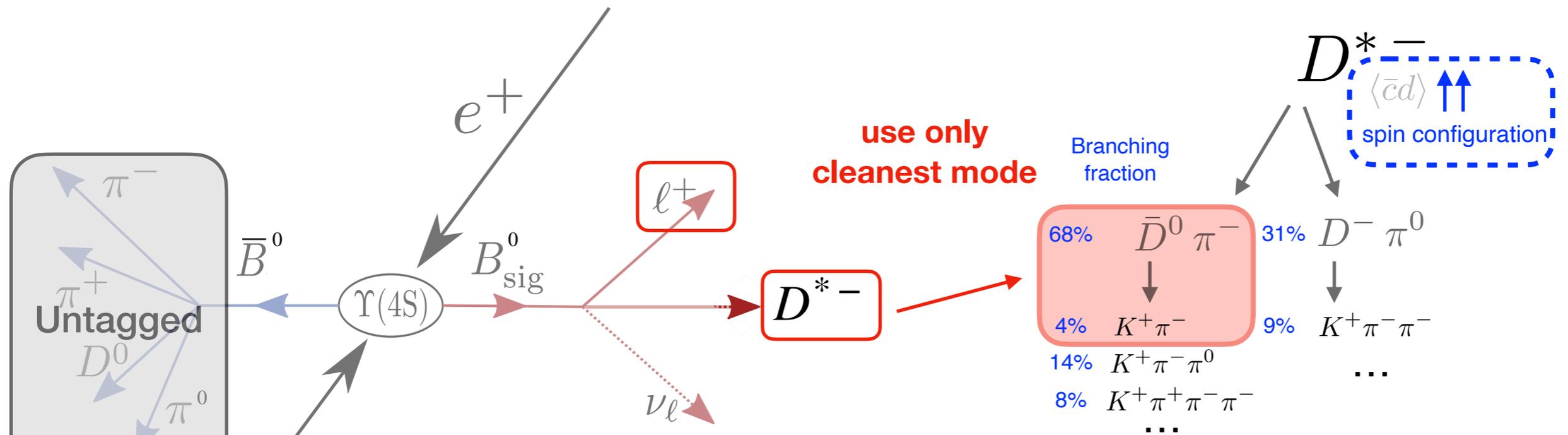
(accepted by PRD)

Belle II Preprint 2023-014
KEK Preprint 2023-28

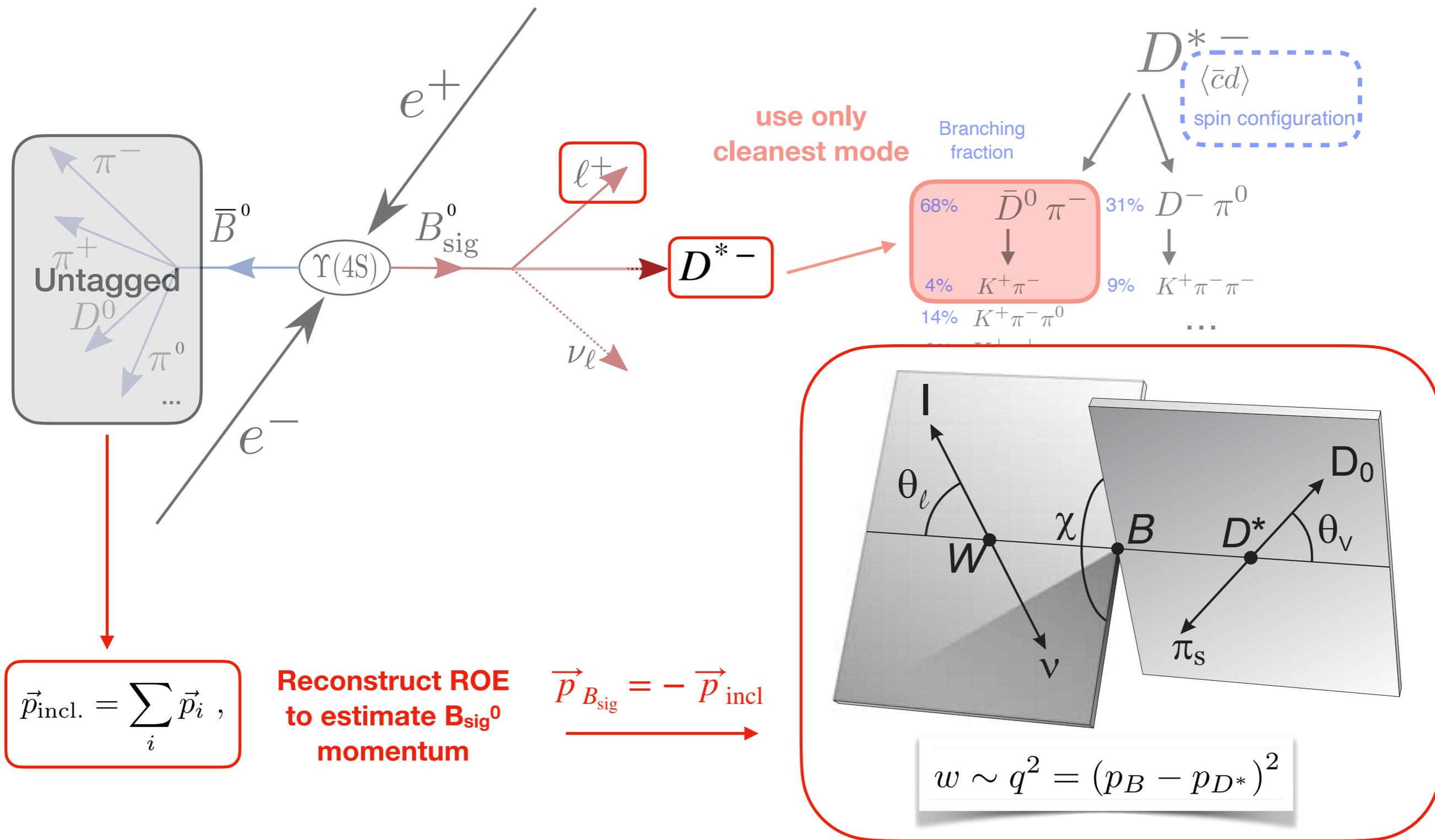
Determination of $|V_{cb}|$ using $\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell$ decays with Belle II

I. Adachi , L. Aggarwal , H. Ahmed , H. Aihara , N. Akopov , A. Aloisio , N. Anh Ky , D. M. Asner , H. Atmacan , T. Aushev , V. Aushev , M. Aversano , V. Babu , H. Bae , S. Bahinipati , P. Bambade , Sw. Banerjee , S. Bansal , M. Barrett , J. Baudot , M. Bauer , A. Baur , A. Beaubien , F. Becherer , J. Becker , P. K. Behera , J. V. Bennett , F. U. Bernlochner , V. Bertacchi , M. Bertemes , E. Bertholet , M. Bessner , S. Bettarini , B. Bhuyan , F. Bianchi , T. Bilka , D. Biswas , A. Bobrov , D. Bodrov , A. Bolz , A. Bondar , J. Borah , A. Bozek , M. Bračko , P. Branchini , R. A. Briere , T. E. Browder , A. Budano , S. Bussino , M. Campajola , L. Cao , G. Casarosa , C. Cecchi , J. Cerasoli , M.-C. Chang , P. Chang , R. Cheaib , P. Cheema , V. Chekelian , C. Chen , B. G. Cheon , K. Chilikin

Untagged measurements of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$



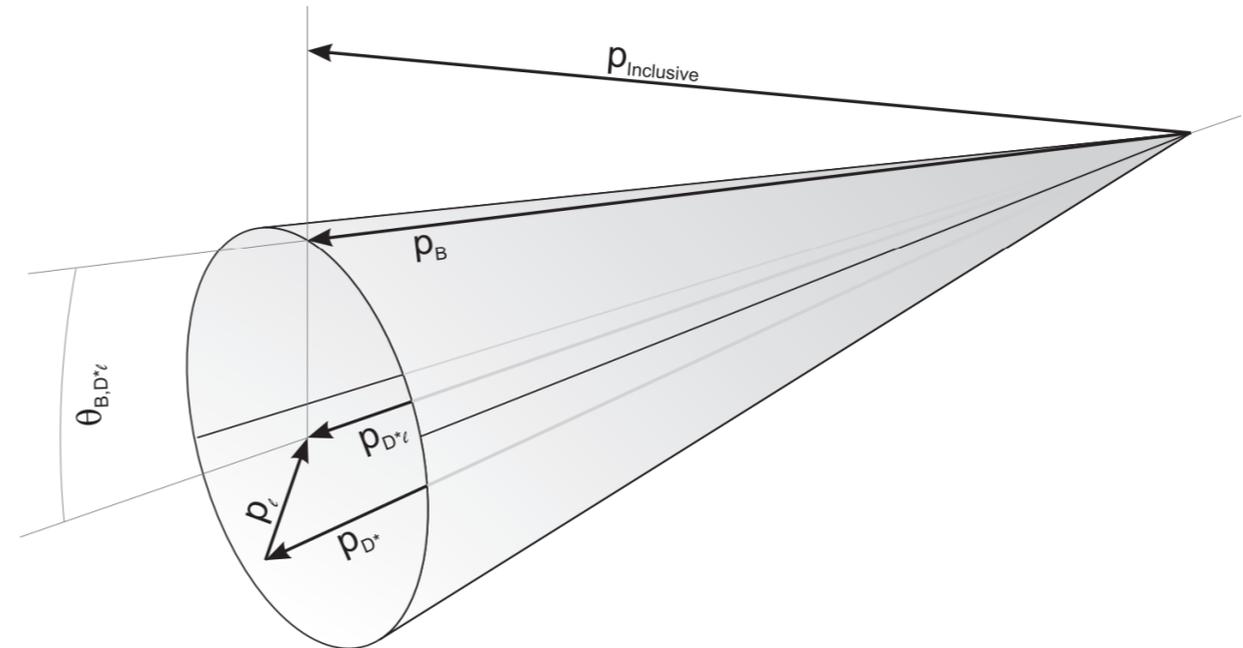
Untagged measurements of $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$



Improved Reconstruction Methods

Can exploit that the B meson lies on a **cone**, whose opening angle is fully determined by properties of visible particles:

$$\cos \theta_{B,D^*\ell} = \frac{2E_B E_{D^*\ell} - m_B^2 - m_{D^*\ell}^2}{2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}|}$$



Derivation :

$$0 = p_\nu^2 = (p_B - \underbrace{p_{D^*} + p_\ell}_{p_{D^*\ell}})^2 = p_B^2 + p_{D^*\ell}^2 - 2p_B p_{D^*\ell} = m_B^2 + m_{D^*\ell}^2 - 2E_B E_{D^*\ell} + 2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}| \cos \theta_{B-D^*\ell}$$

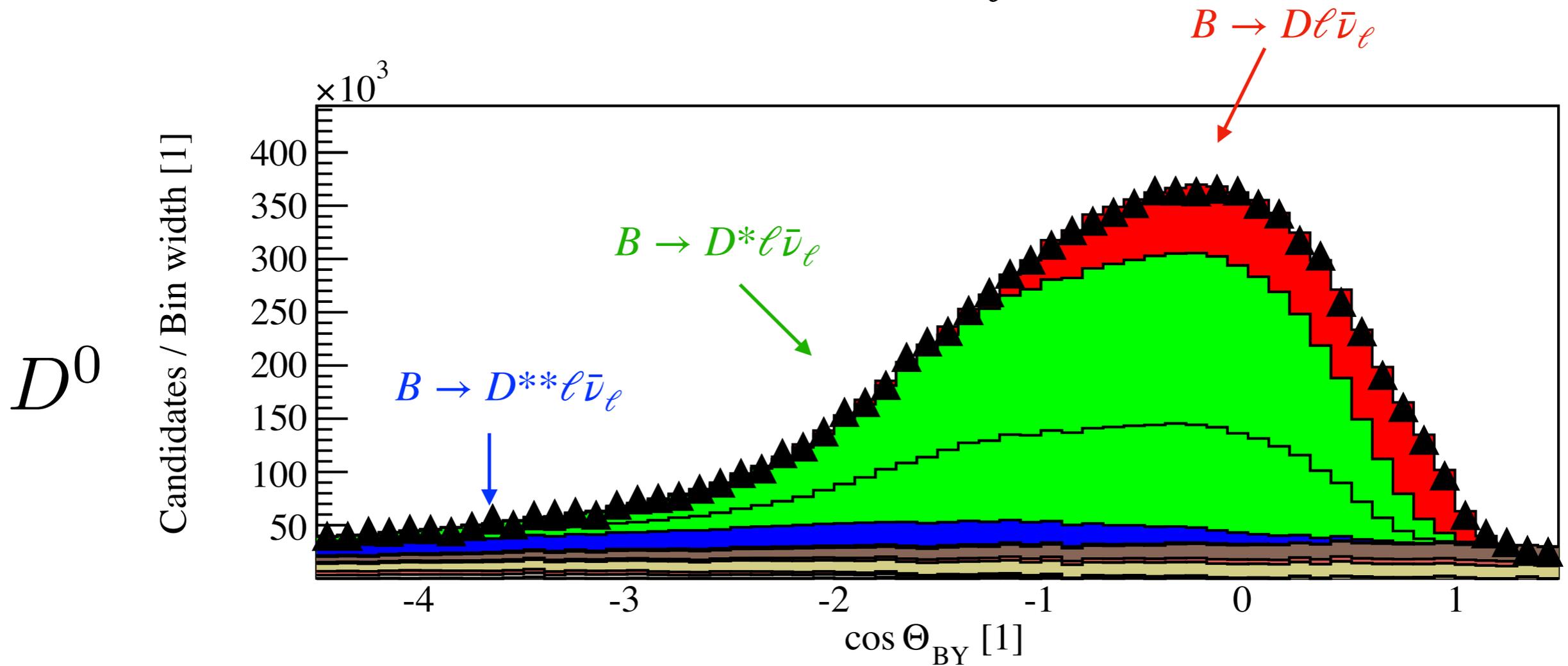
$$\rightarrow \cos \theta_{B,D^*\ell} = \frac{2E_B E_{D^*\ell} - m_B^2 - m_{D^*\ell}^2}{2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}|}$$

Missing particles :

$$(p_\nu + p_{\text{miss}})^2 = m_B^2 + m_{D^*\ell}^2 - 2E_B E_{D^*\ell} + 2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}| \cos \theta_{B-D^*\ell} \rightarrow \cos \theta_{B,D^*\ell} = \frac{2E_B E_{D^*\ell} - m_B^2 - m_{D^*\ell}^2}{2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}|} + \frac{(p_\nu + p_{\text{miss}})^2}{2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}|}$$

\rightarrow shifts $\cos \theta_{B,D^*\ell}$ to **negative** values if not included

Example: reconstruct $B \rightarrow D\ell\bar{\nu}_\ell$ (and allow for missing particles, i.e. untagged)

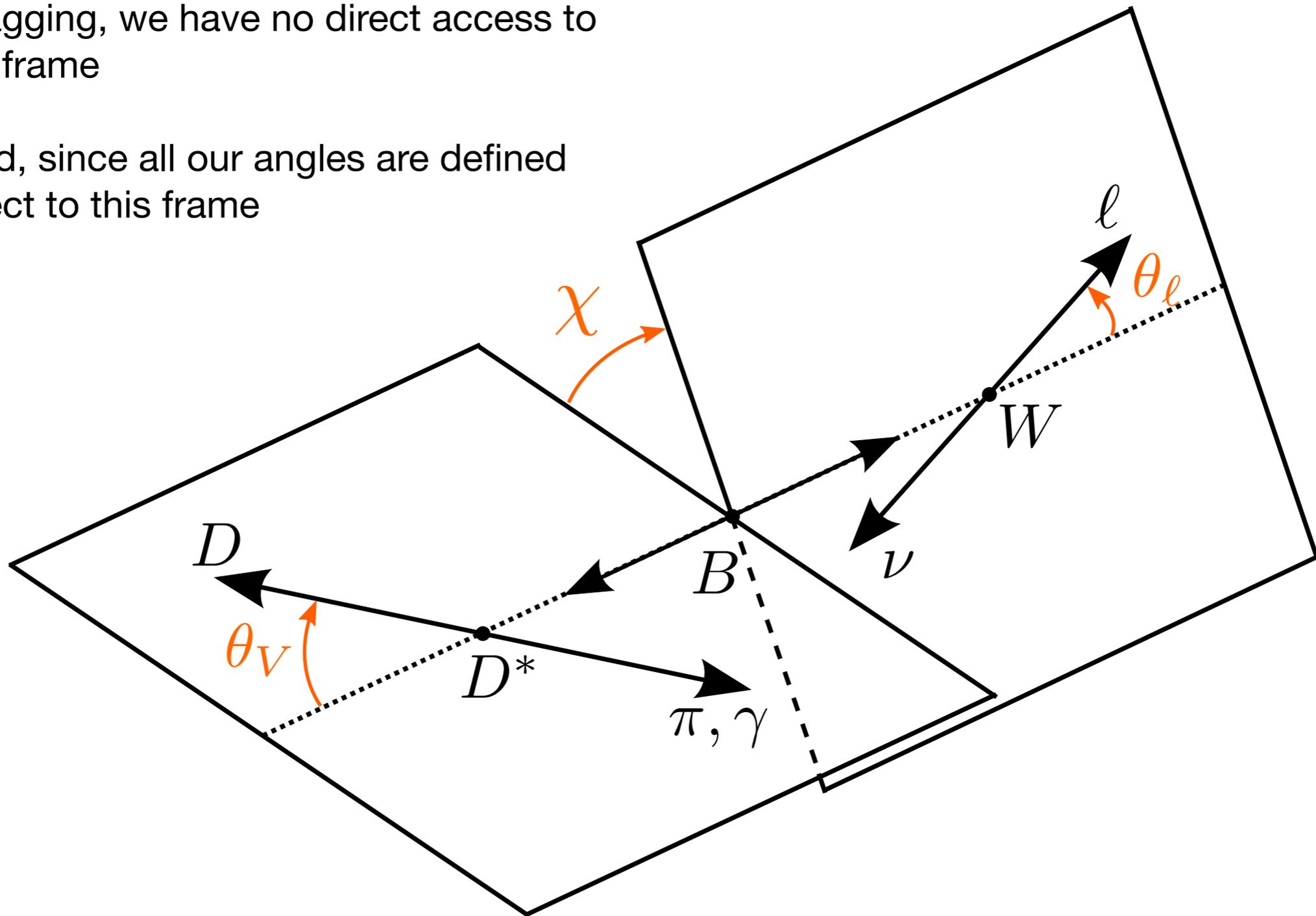


Good discriminating variable, so we will get back to using it.

Estimating the B Frame

Without tagging, we have no direct access to the B rest frame

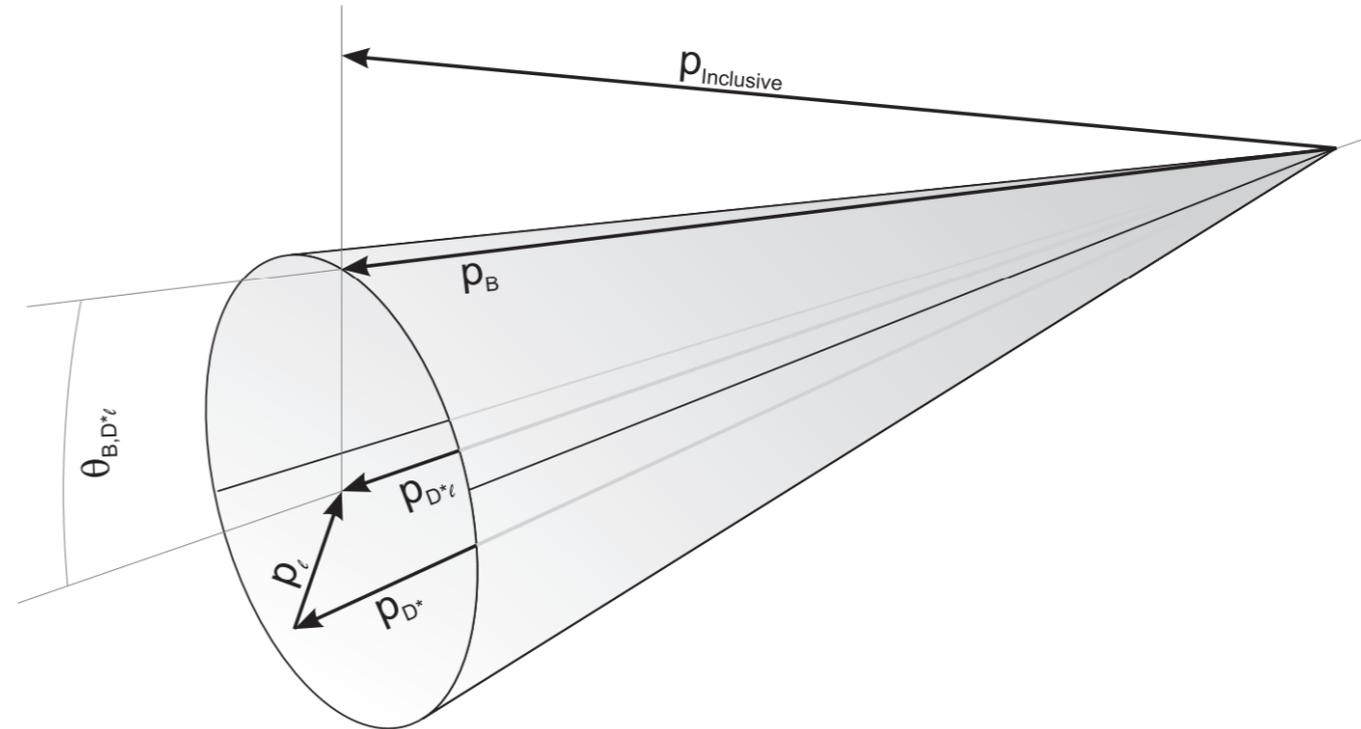
That is bad, since all our angles are defined with respect to this frame



Estimating the B Frame

Can exploit that the B meson lies on a **cone**, whose opening angle is fully determined by properties of visible particles:

$$\cos \theta_{B,D^*\ell} = \frac{2E_B E_{D^*\ell} - m_B^2 - m_{D^*\ell}^2}{2|\mathbf{p}_B||\mathbf{p}_{D^*\ell}|}$$



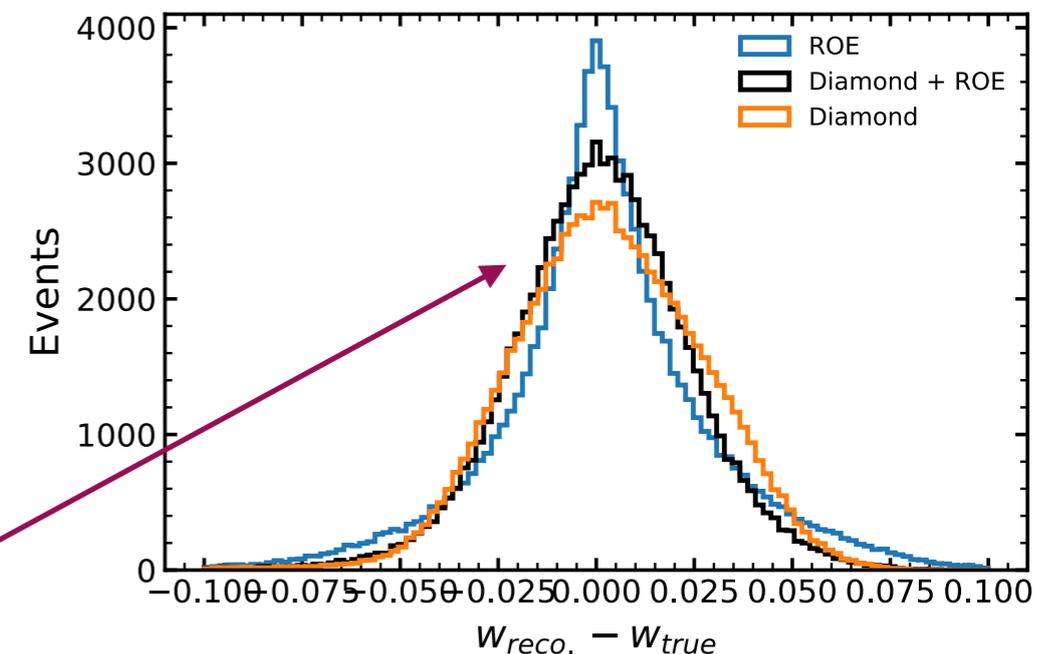
Can use this to estimate B meson direction building a weighted average on the cone

$$(E^B, p_B^x, p_B^y, p_B^z) = (\sqrt{s}/2, |\mathbf{p}_B| \sin \theta_{BY} \cos \phi, |\mathbf{p}_B| \sin \theta_{BY} \sin \phi, |\mathbf{p}_B| \cos \theta_{BY})$$

with weights according to $w_i = \sin^2 \theta_i$ with θ denoting the polar angle

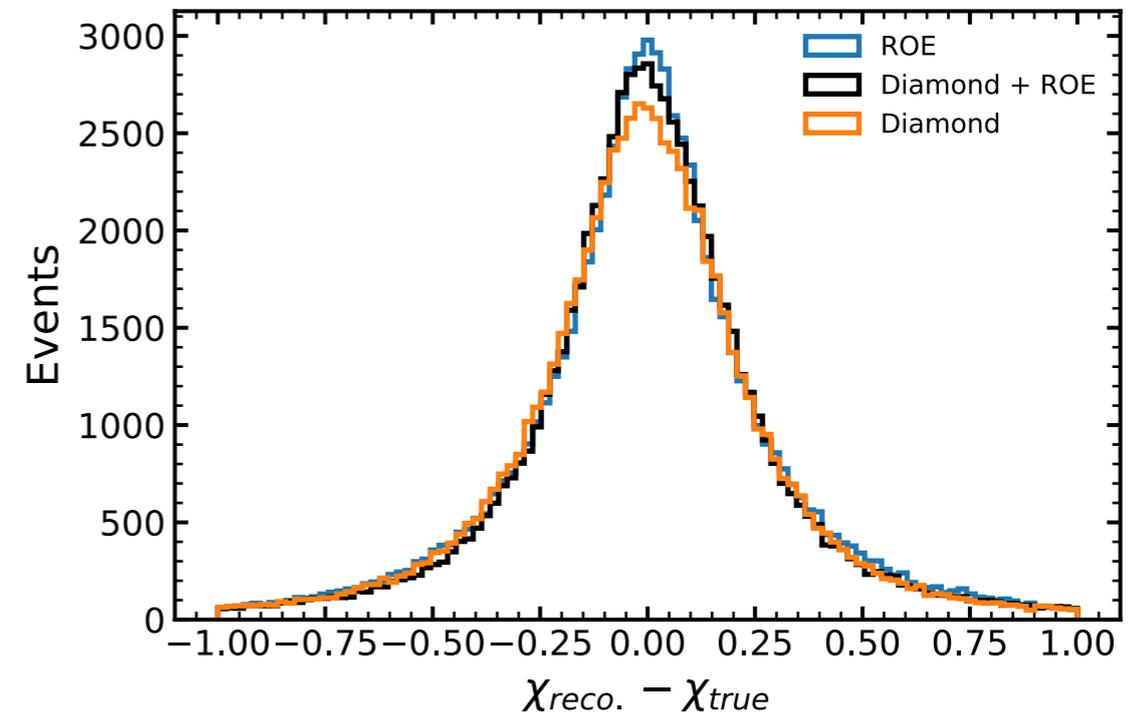
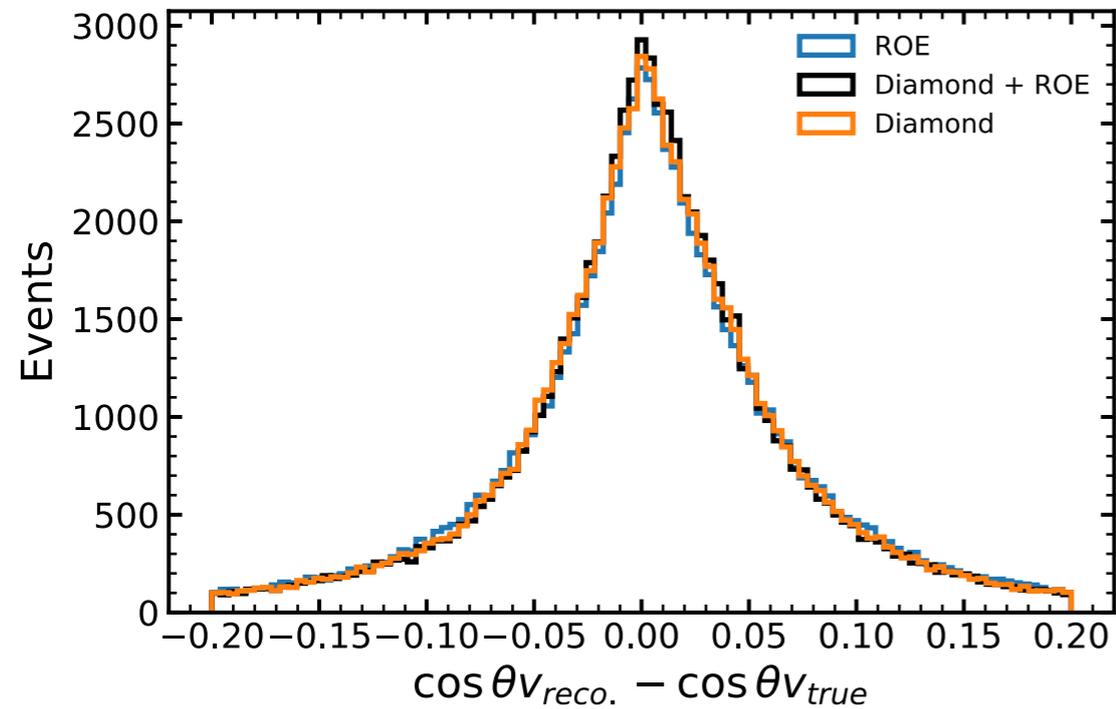
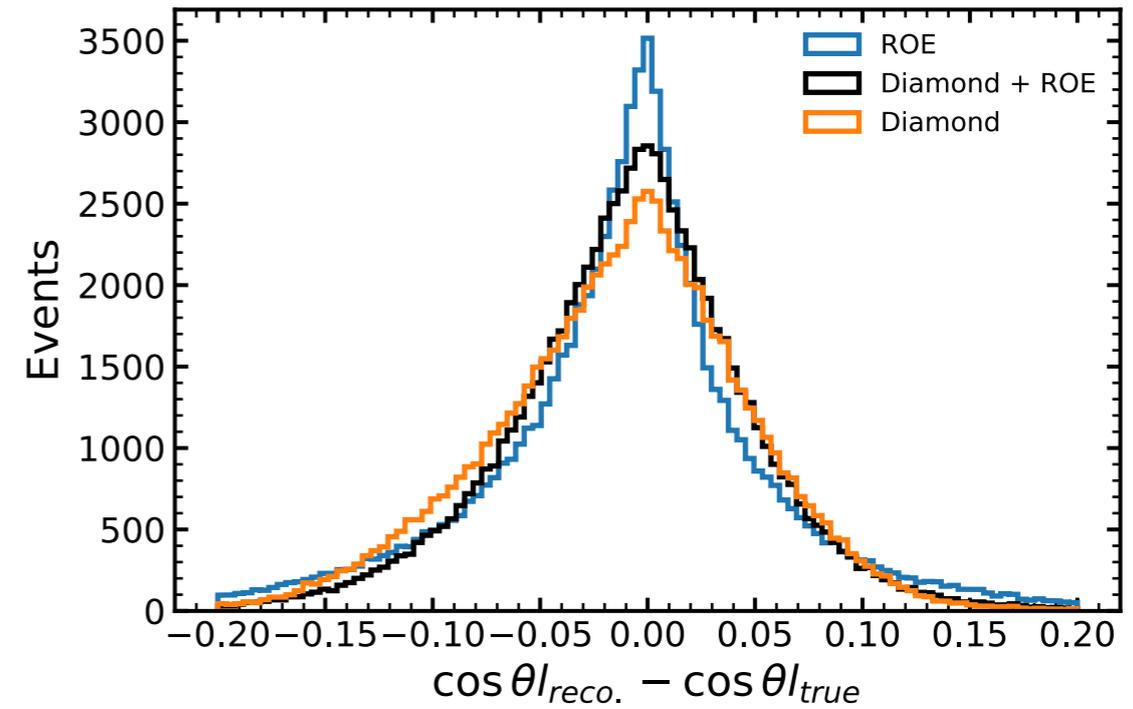
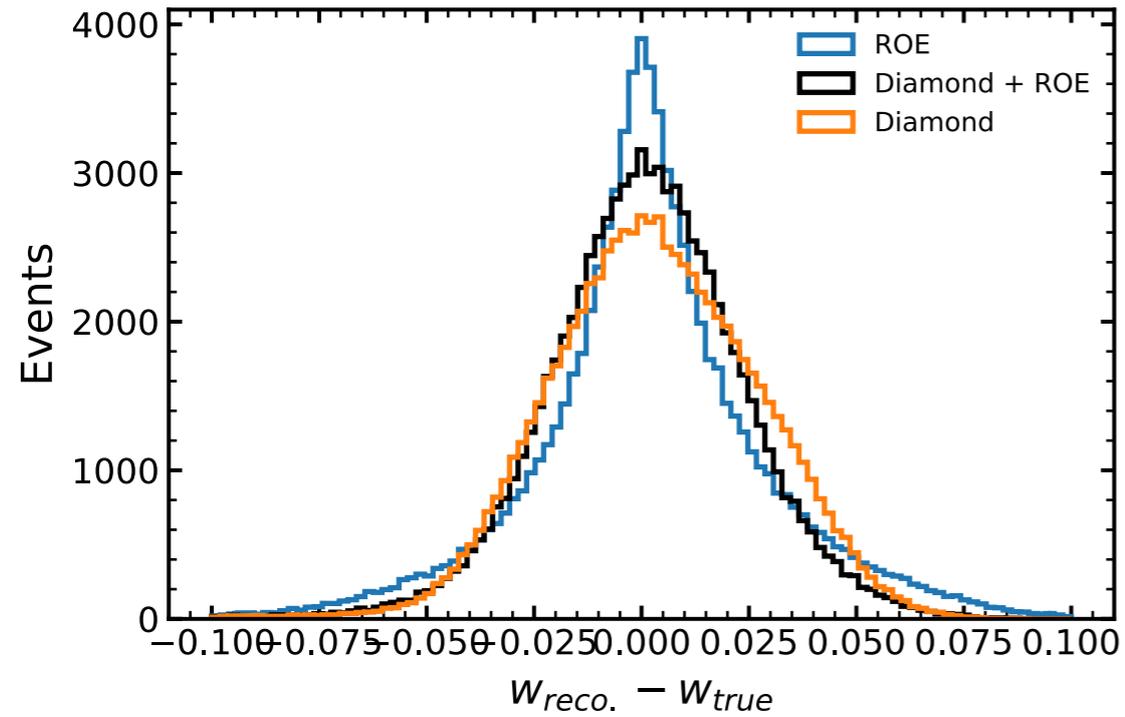
(following the angular distribution of $\Upsilon(4S) \rightarrow B\bar{B}$)

One can also **combine** both estimates



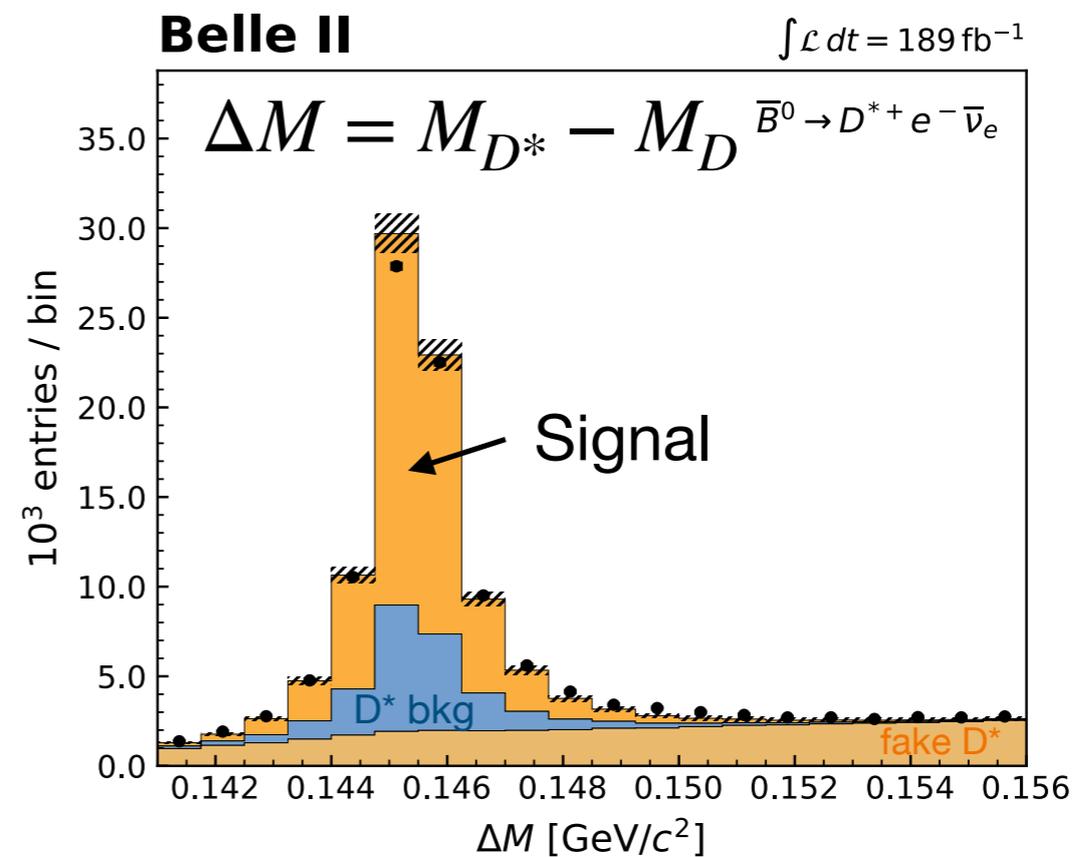
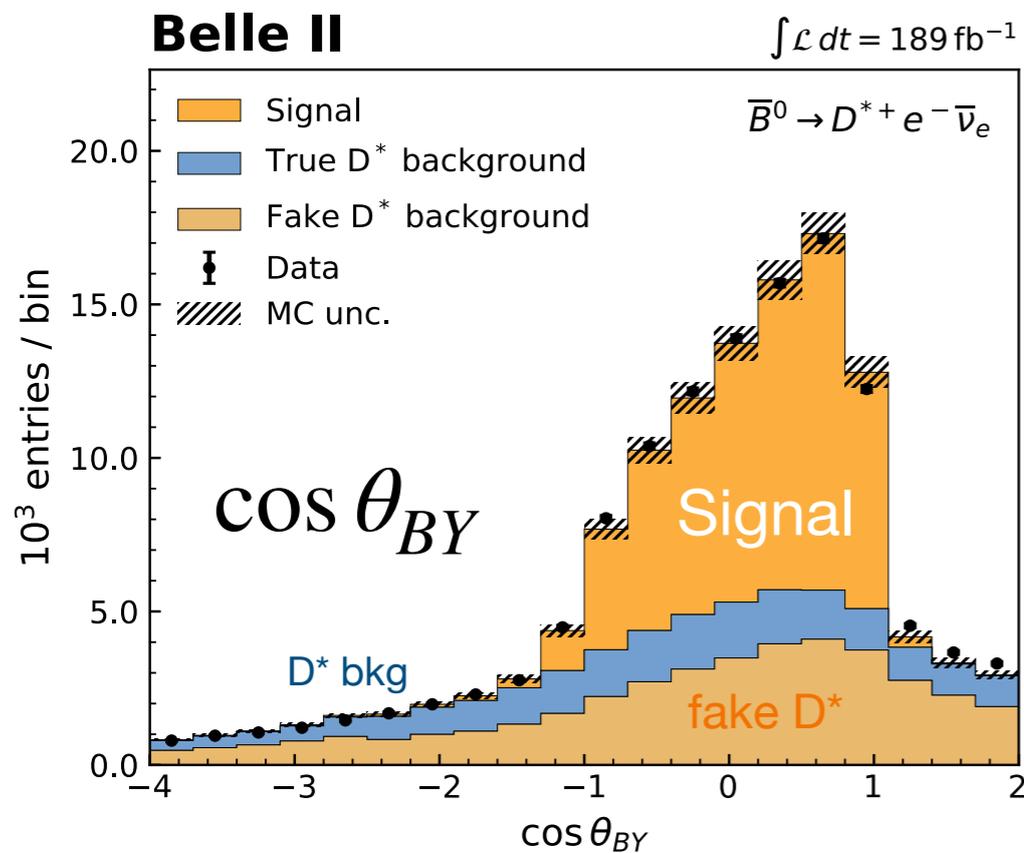
$$\tilde{w}_i = (1 - \hat{p}_{\text{ROE}} \cdot \hat{p}_{B_i}) \sin^2 \theta_{B_i}$$

Estimating the B Frame



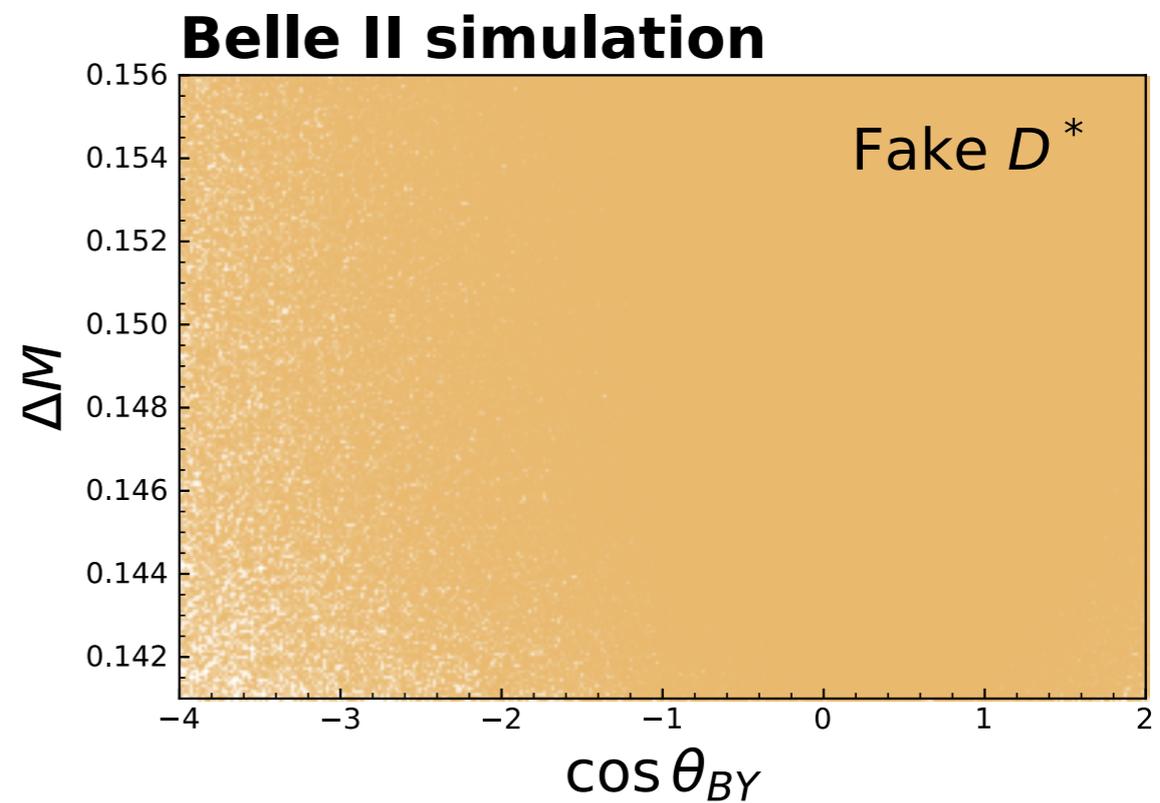
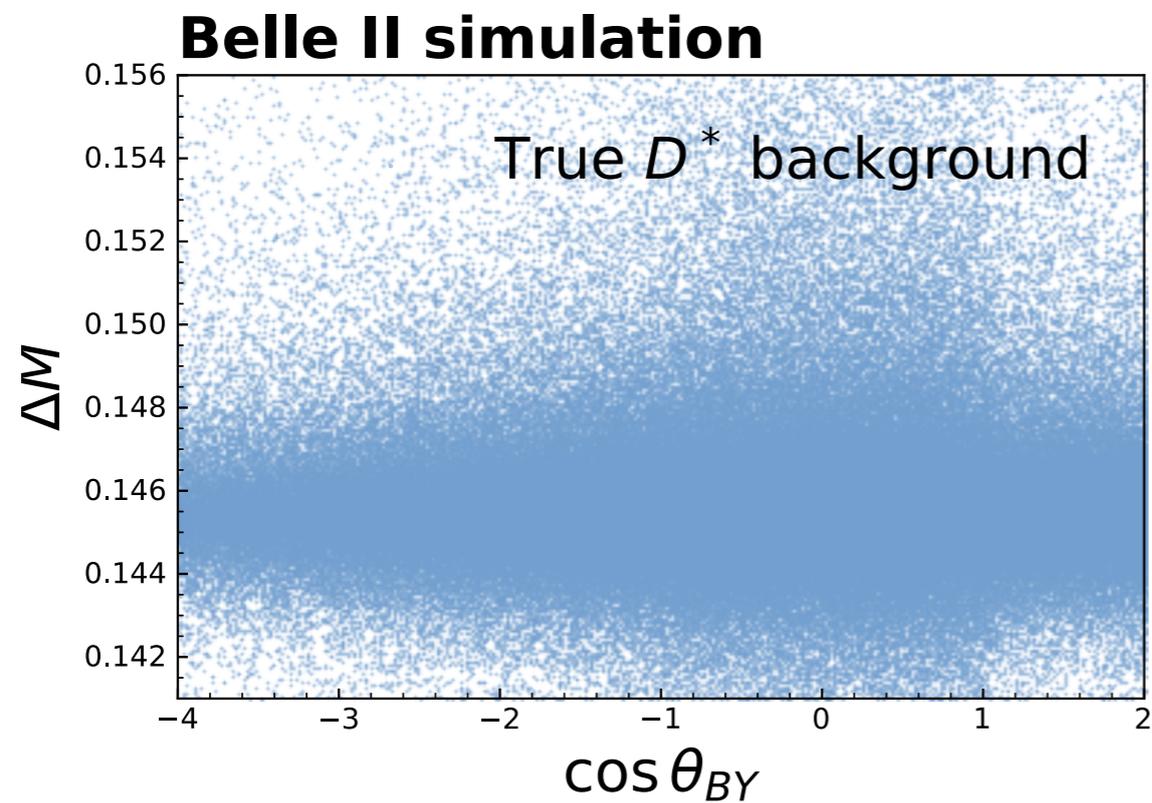
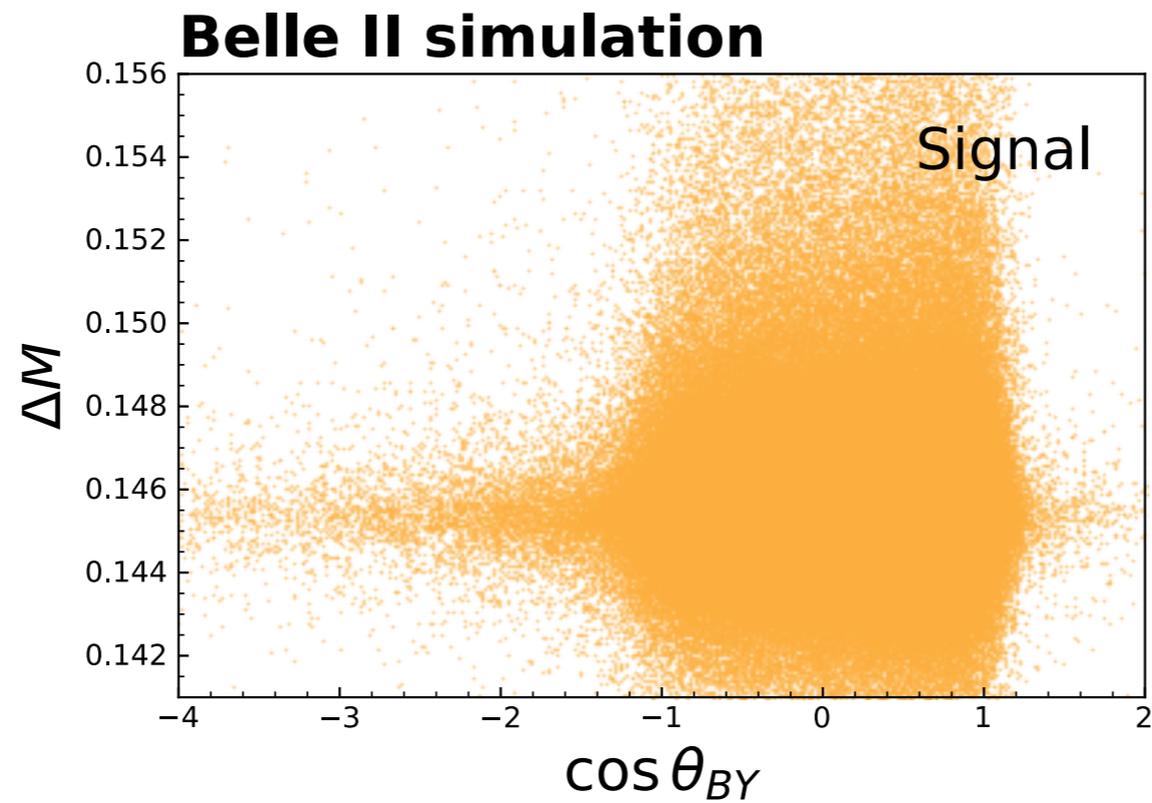
Background Subtraction

2D Fit of $\cos \theta_{B,D^*\ell} = \frac{2E_B E_{D^*\ell} - m_B^2 - m_{D^*\ell}^2}{2|\vec{p}_B||\vec{p}_{D^*\ell}|}$ $\Delta M = m_{D^*} - m_D$

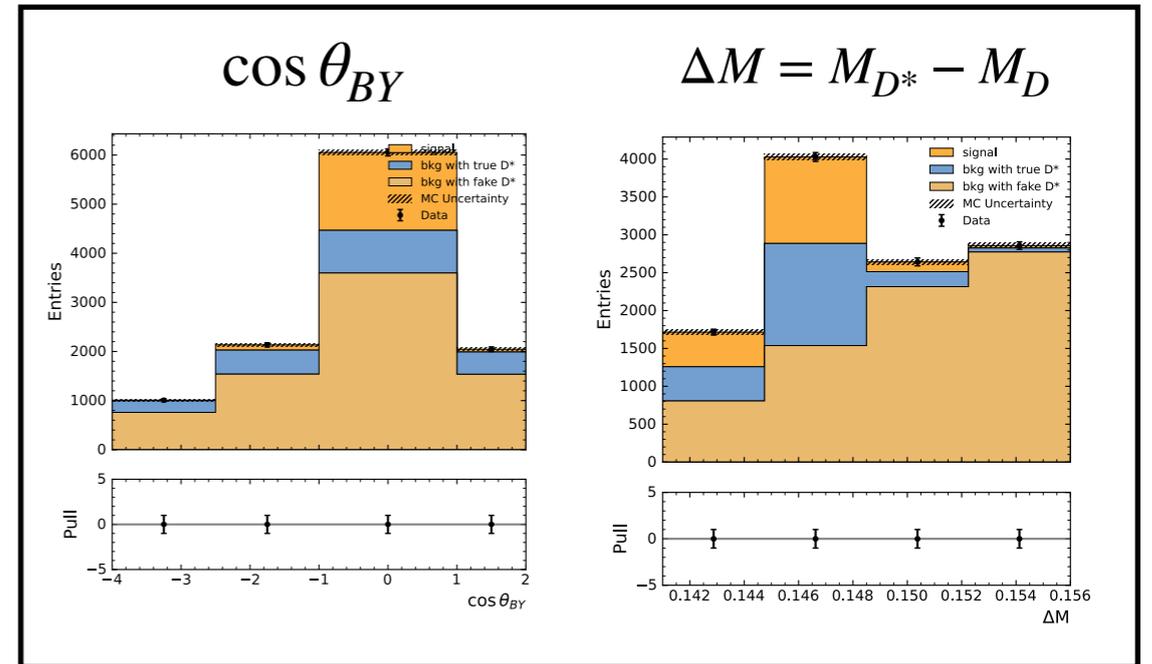
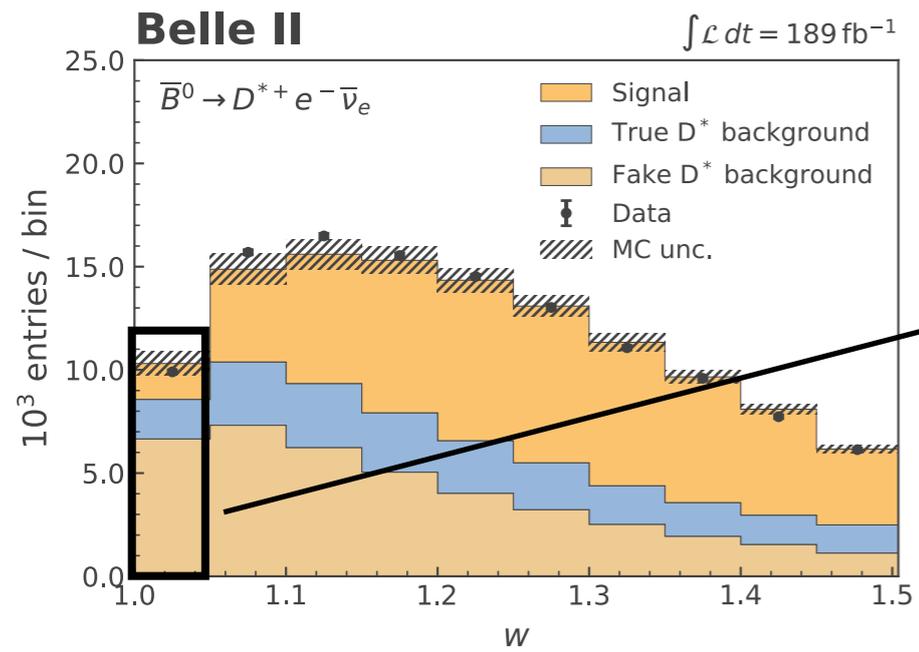


Fit **each bin** of the **kinematic variable**, unfold and correct for selection eff.

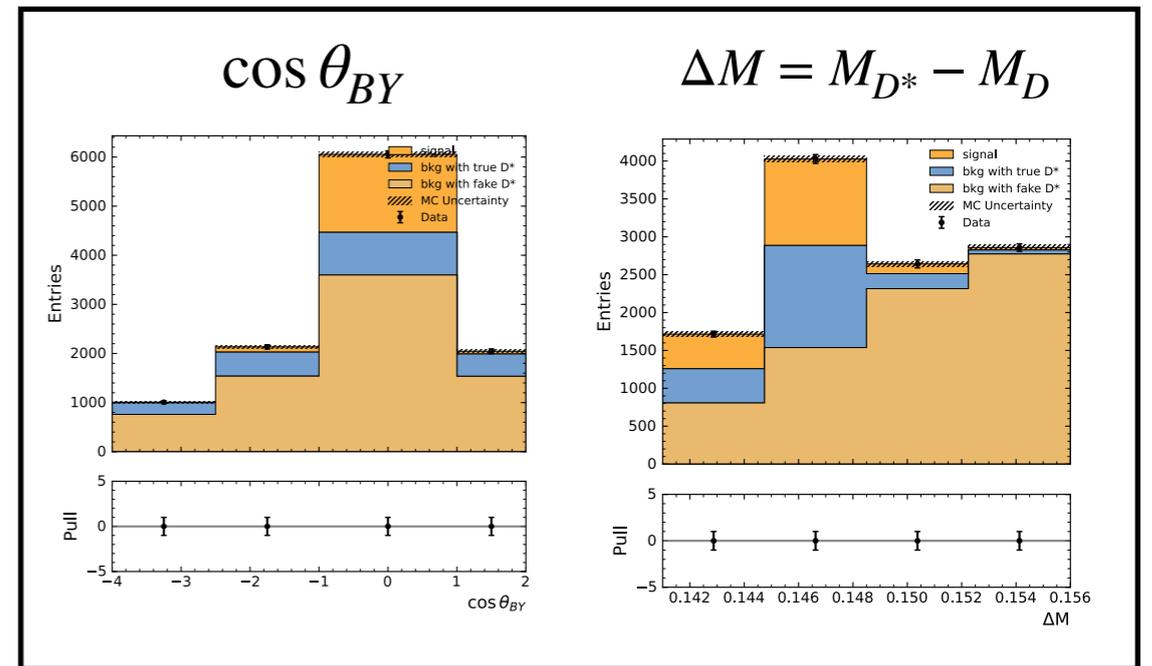
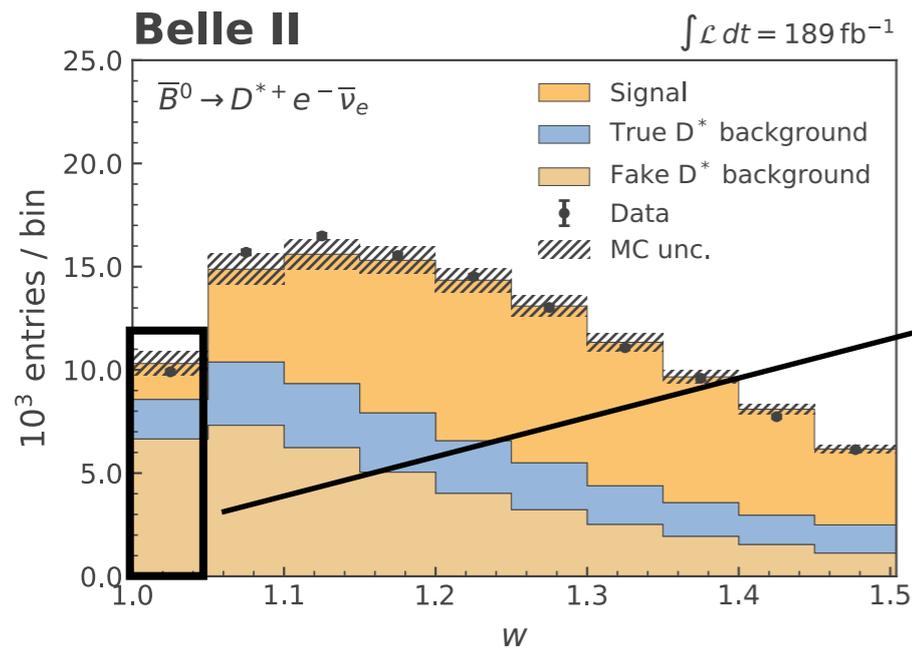
**2D separation
power :**



Also focus initially on **1D** projections:



Also focus initially on **1D** projections:

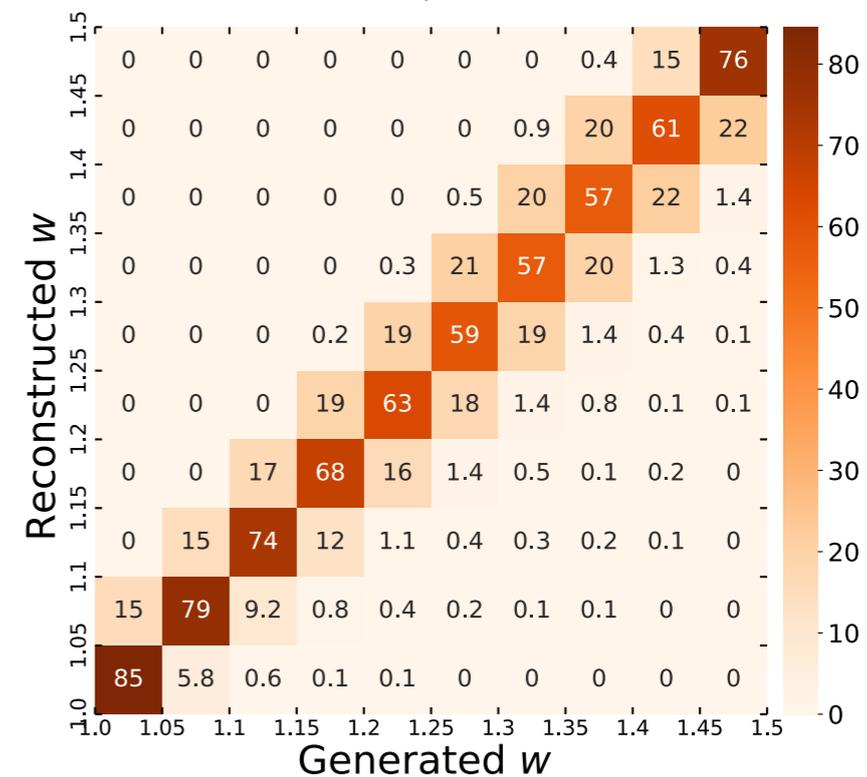


Correct for migration effects:

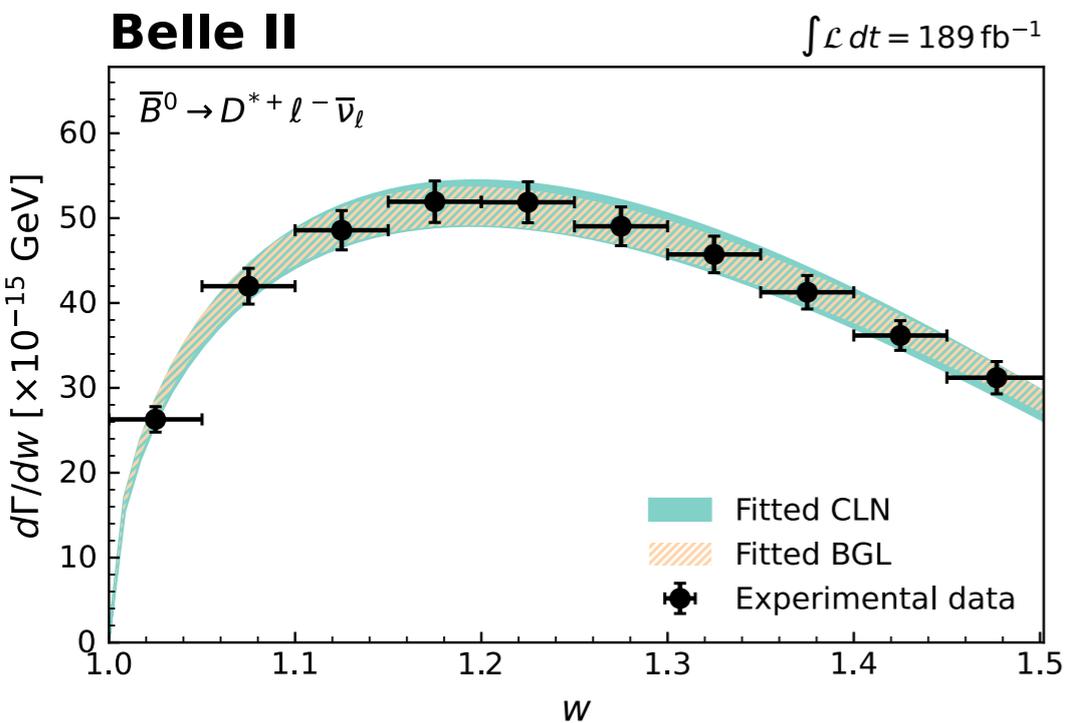


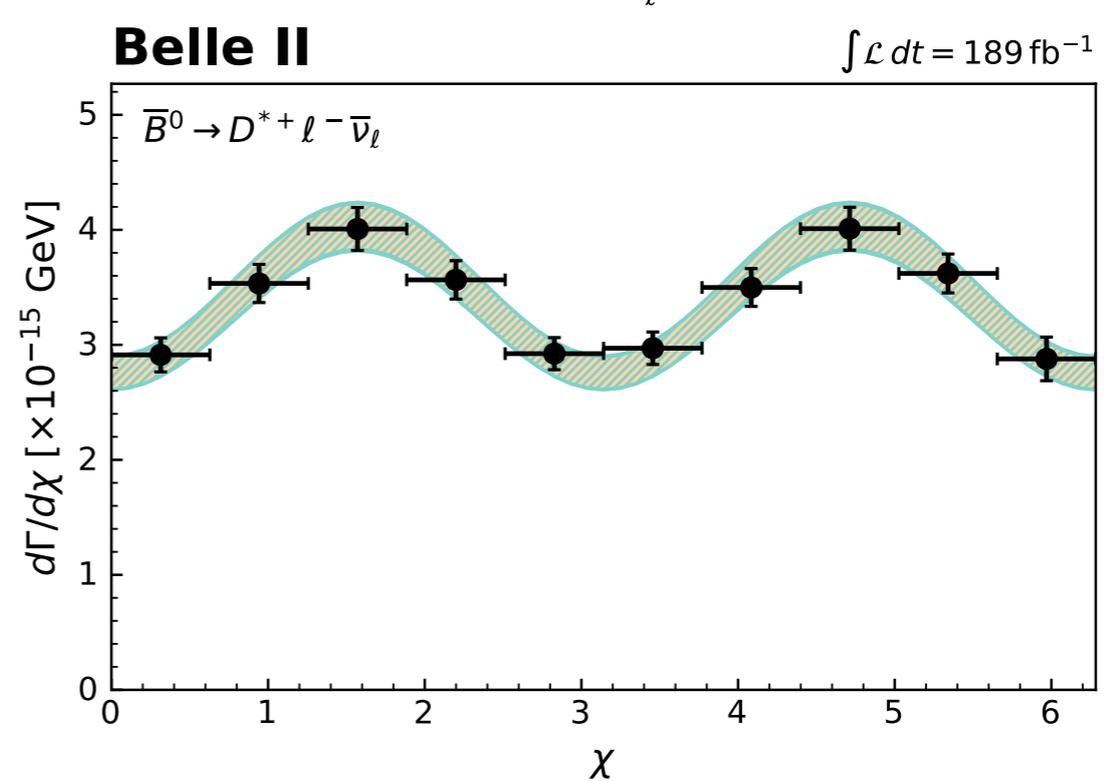
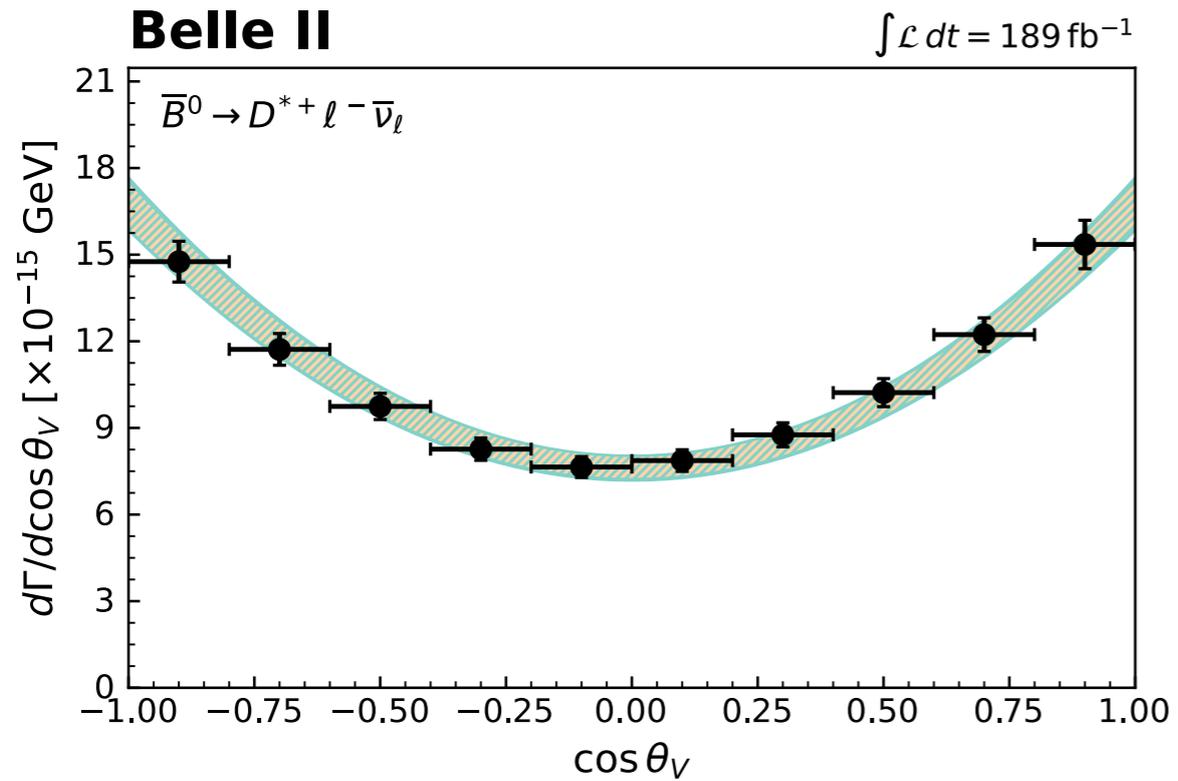
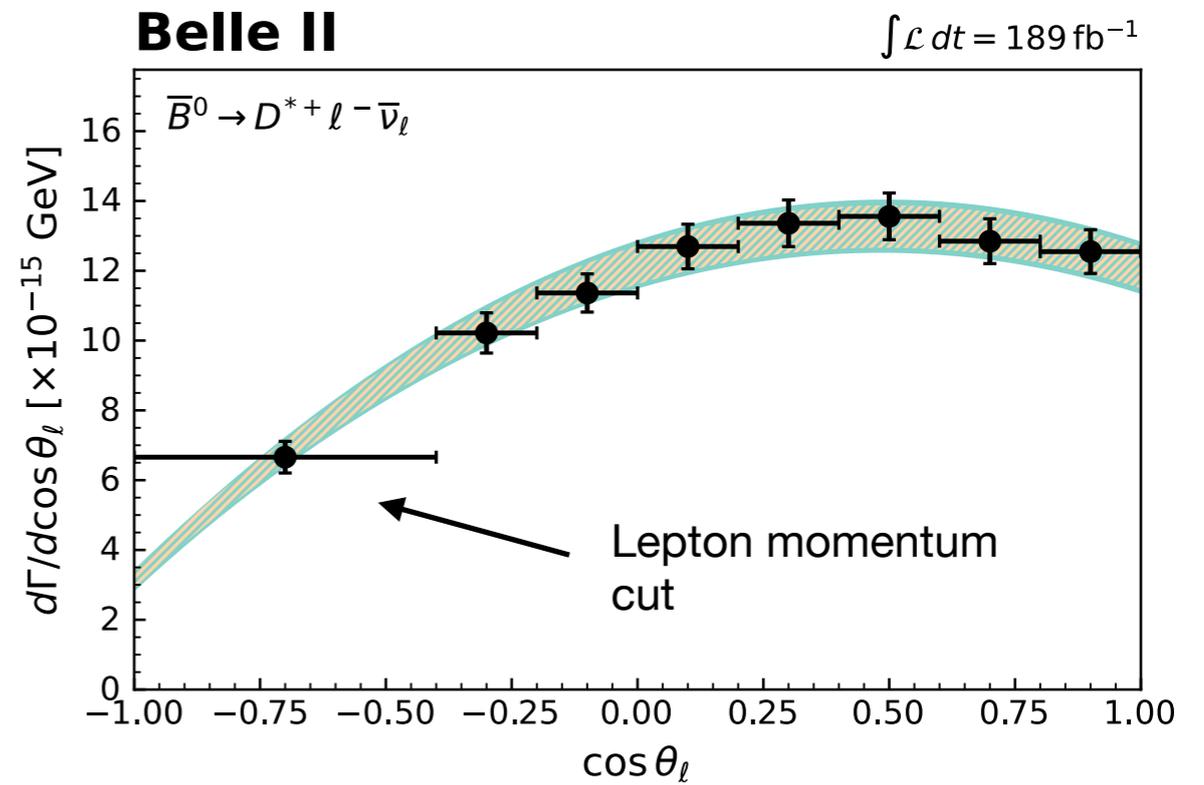
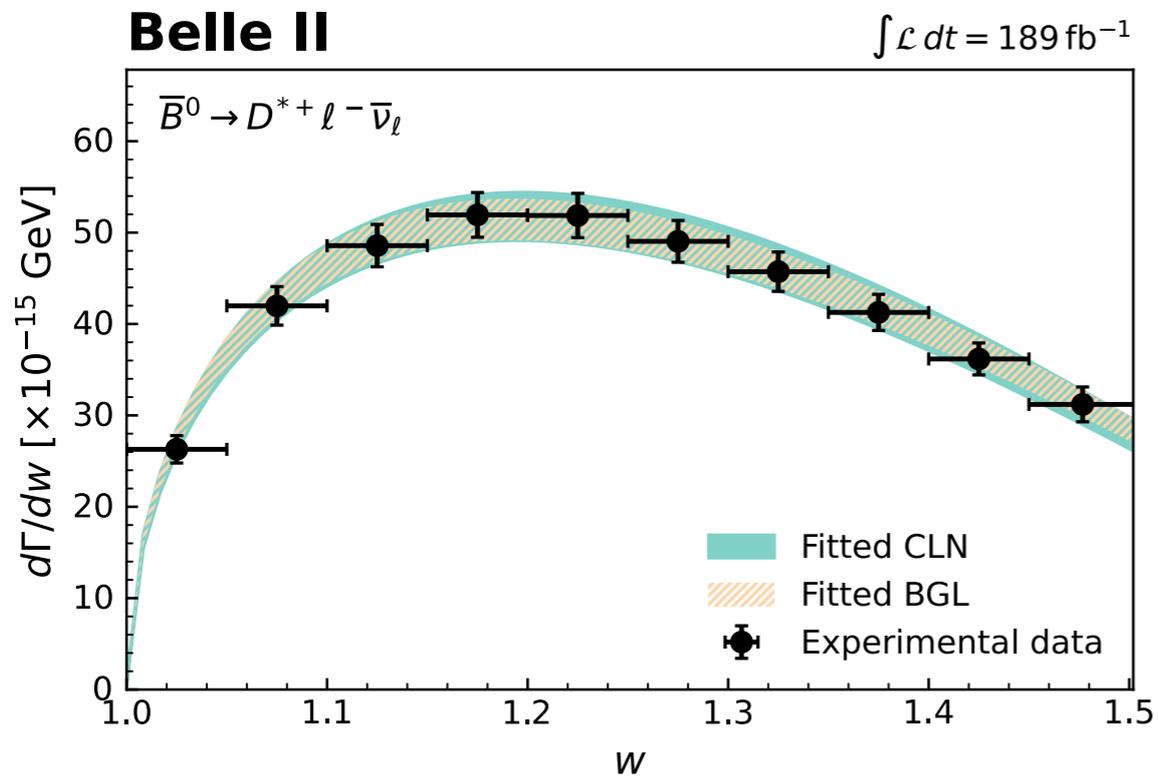
Correct for acceptance & efficiency

“Reco”



“True”





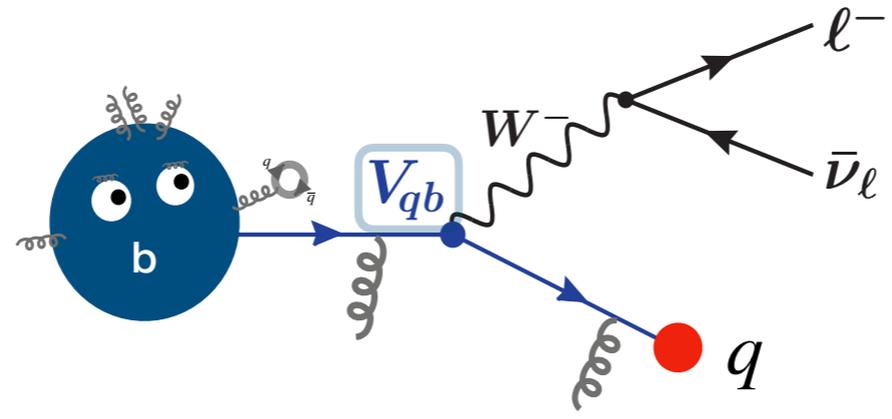
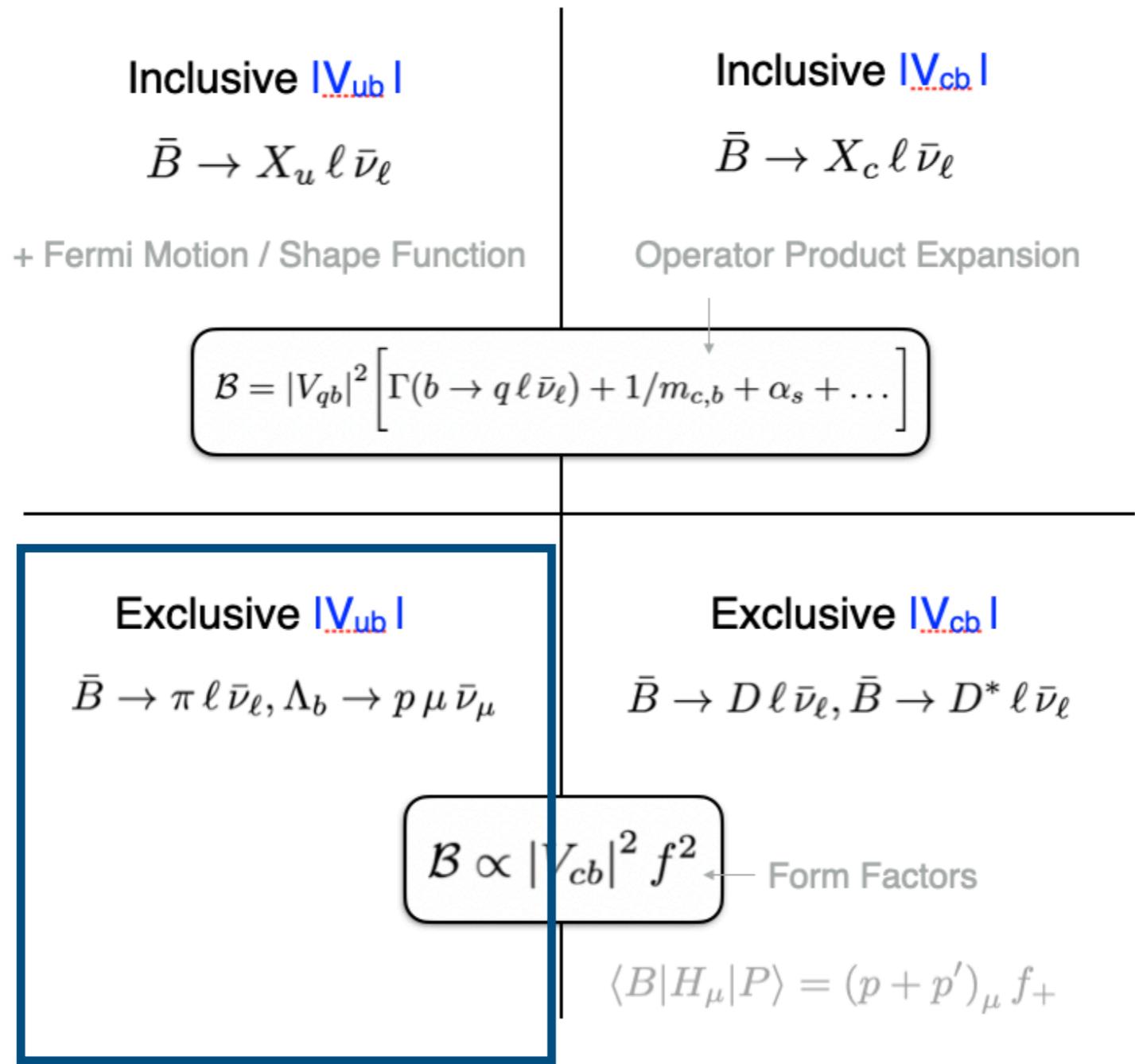
$$|V_{cb}|_{\text{CLN}} = (40.2 \pm 0.3 \pm 0.9 \pm 0.6) \times 10^{-3},$$

$$|V_{cb}|_{\text{BGL}} = (40.6 \pm 0.3 \pm 1.0 \pm 0.6) \times 10^{-3}.$$



**BGL truncation order
determined using Nested
Hypothesis Test**

(n_a, n_b, n_c)	$ V_{cb} \times 10^3$	ρ_{\max}	χ^2	Ndf	p-value
(1, 1, 2)	40.2 ± 1.1	0.28	40.5	32	14%
(2, 1, 2)	40.1 ± 1.1	0.97	38.6	31	16%
(1, 2, 2)	40.6 ± 1.2	0.57	39.1	31	15%
(1, 1, 3)	40.1 ± 1.1	0.97	40	31	13%
(2, 2, 2)	40.2 ± 1.3	0.99	38.6	30	13%
(1, 3, 2)	39.8 ± 1.3	0.98	37.6	30	16%
(1, 2, 3)	40.5 ± 1.2	0.97	39	30	13%

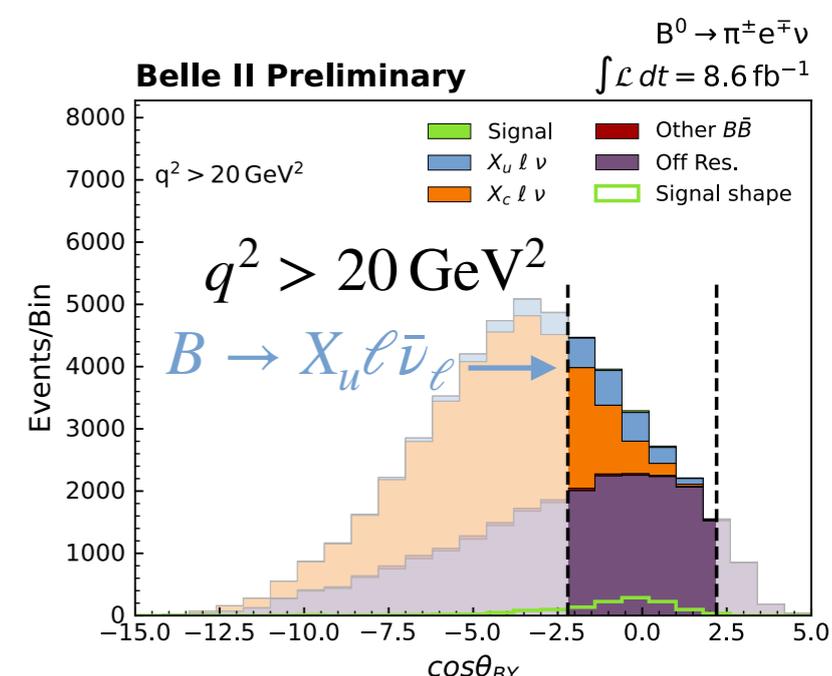
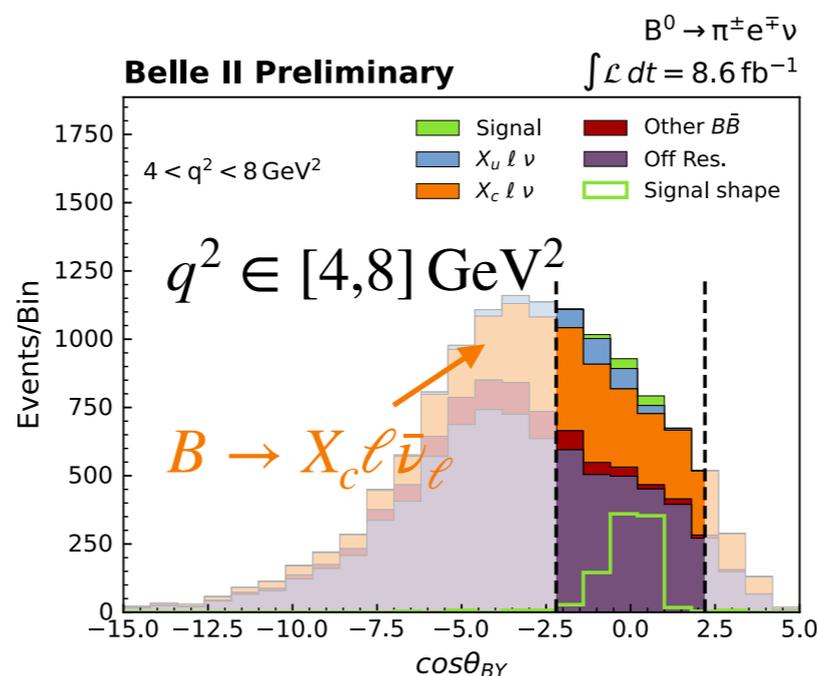
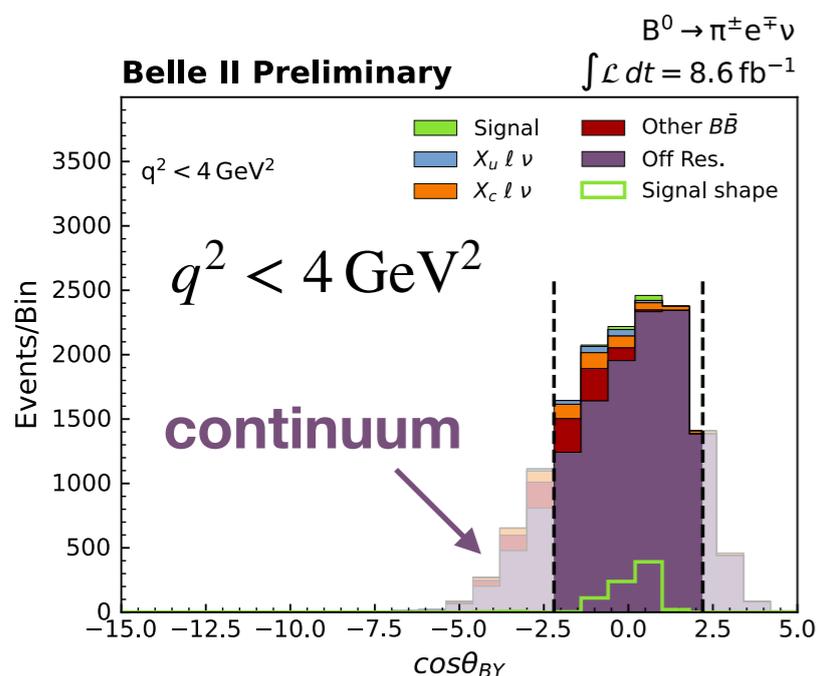
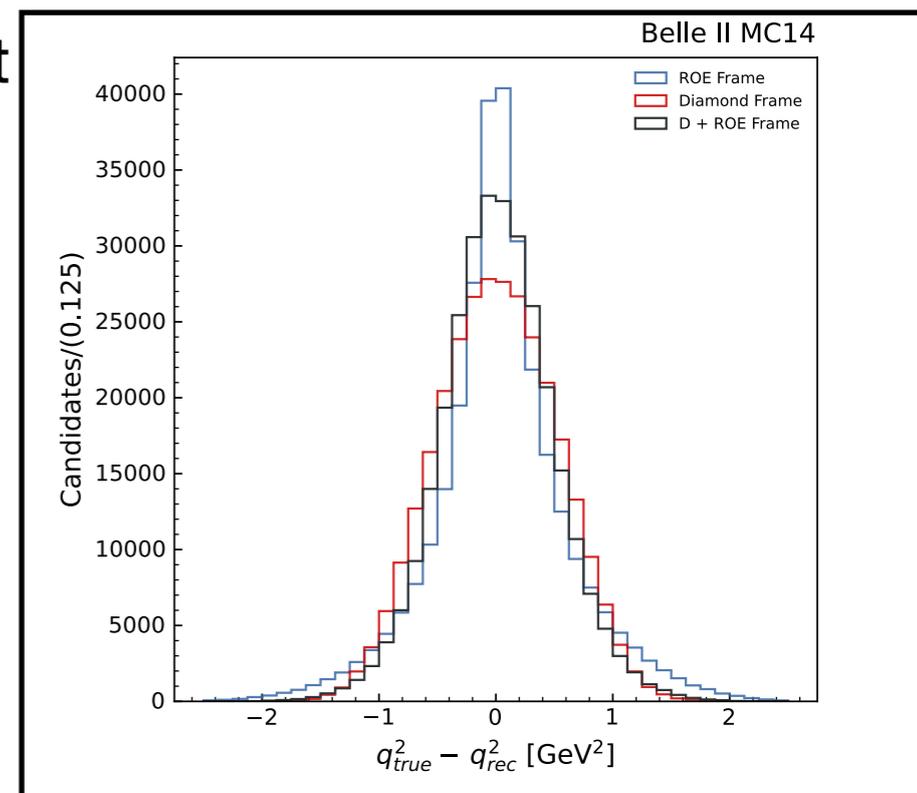


Exclusive measurements of $b \rightarrow u\ell\bar{\nu}_\ell$

Tagged strategy very similar, but **cross feed** from different modes (e.g. $B \rightarrow \rho\ell\bar{\nu}_\ell$) and **large** backgrounds from $B \rightarrow D^{(*)}\ell\bar{\nu}_\ell$ (+ other B decays) and **continuum**

Can reconstruct q^2 with the **same method** as for $B \rightarrow D^*\ell\bar{\nu}_\ell$ \longrightarrow

Amount of **background strongly changes** as a function of q^2

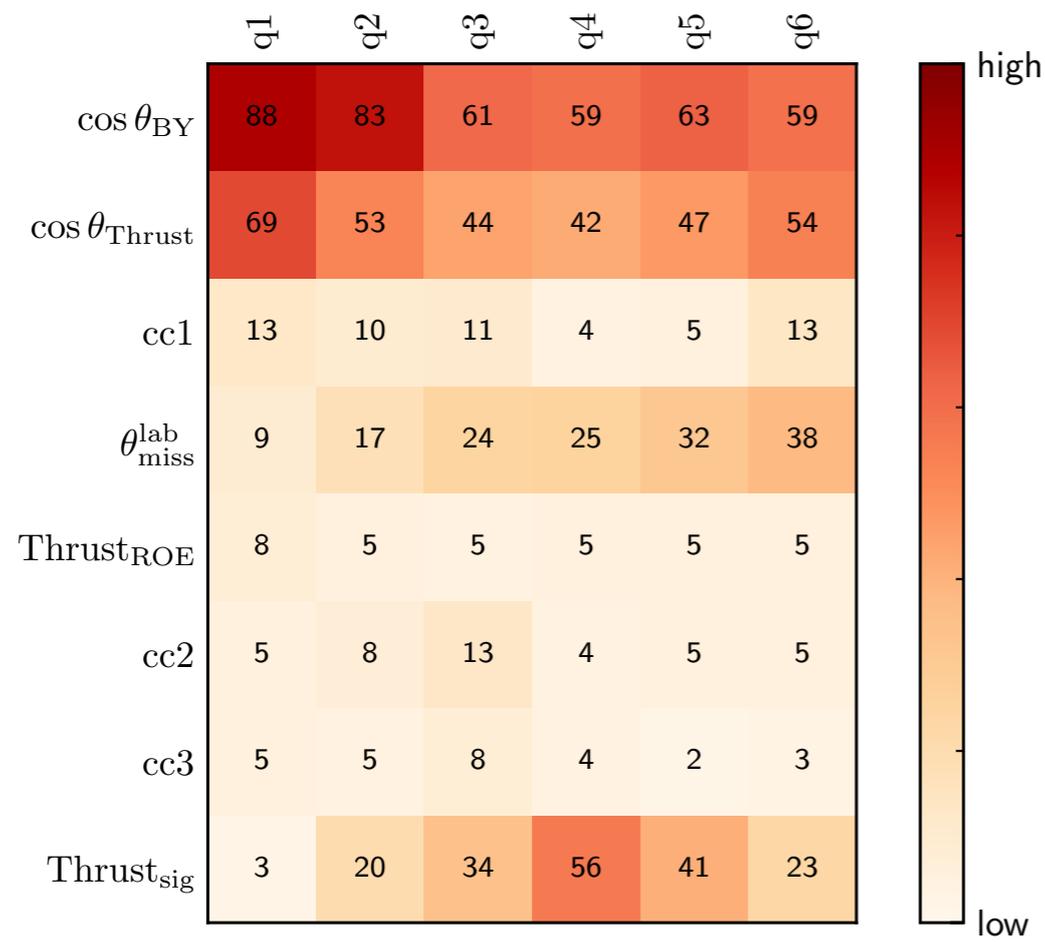


Exclusive measurements of $b \rightarrow u\ell\bar{\nu}_\ell$

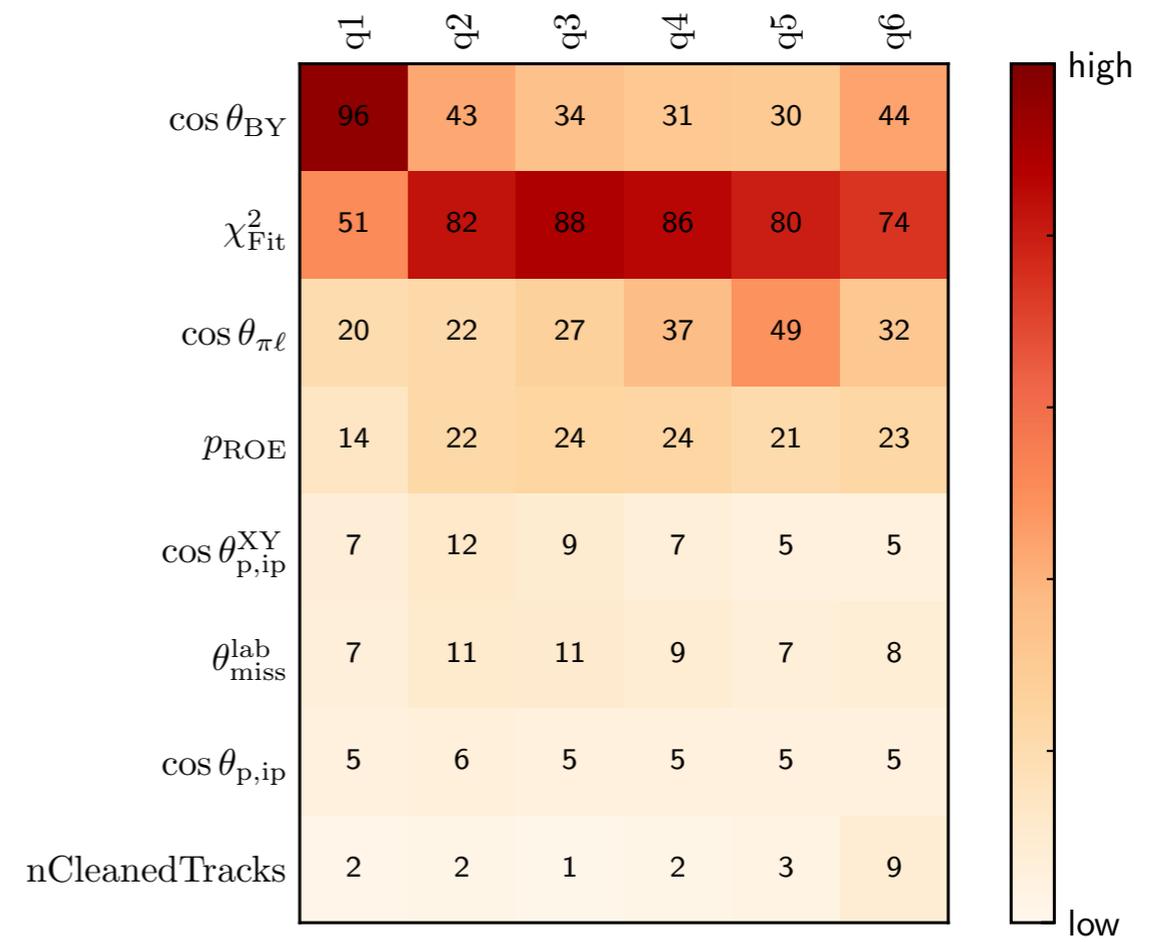
Need **strong multivariate** suppression to carry out analysis :

Due to different S/B and shapes, train separate one for each BDT bin

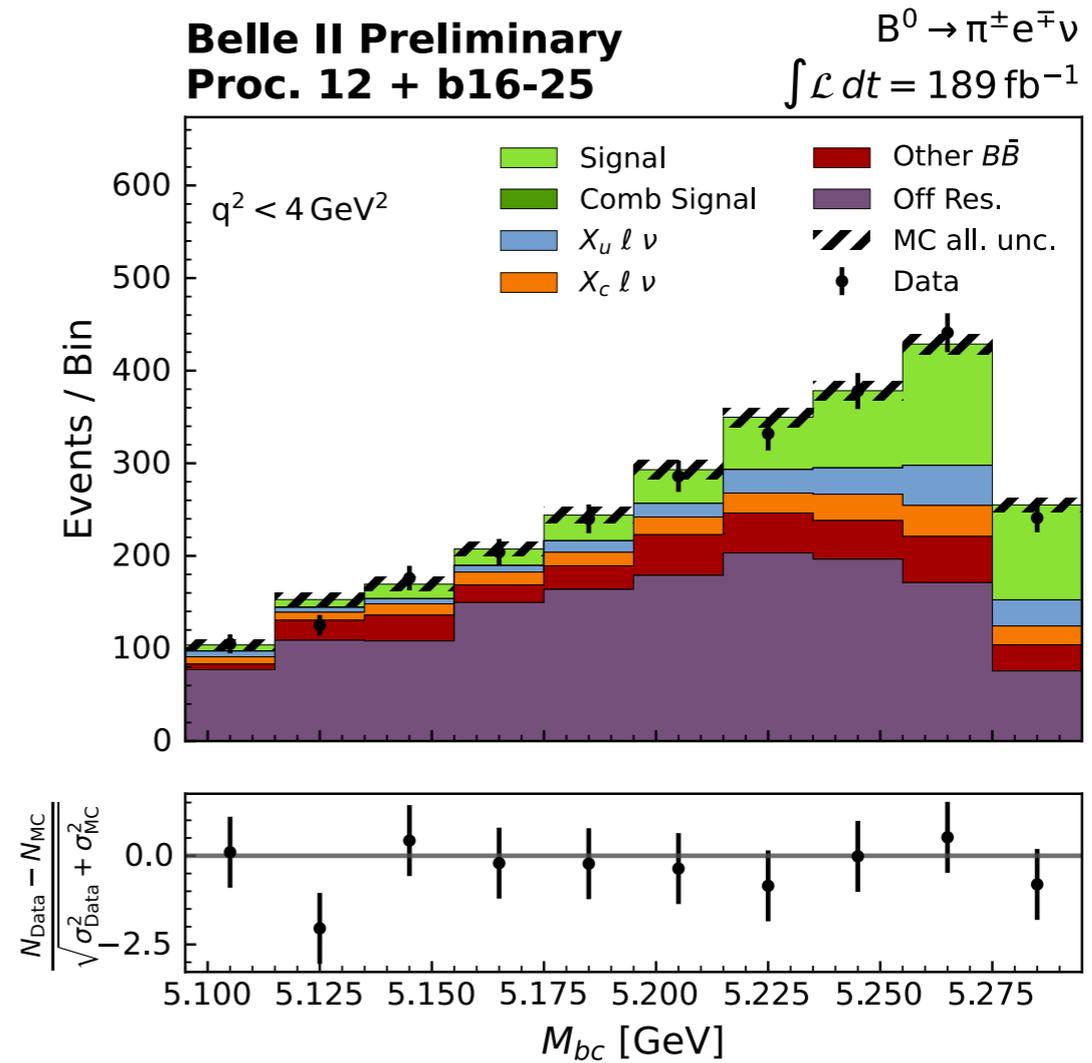
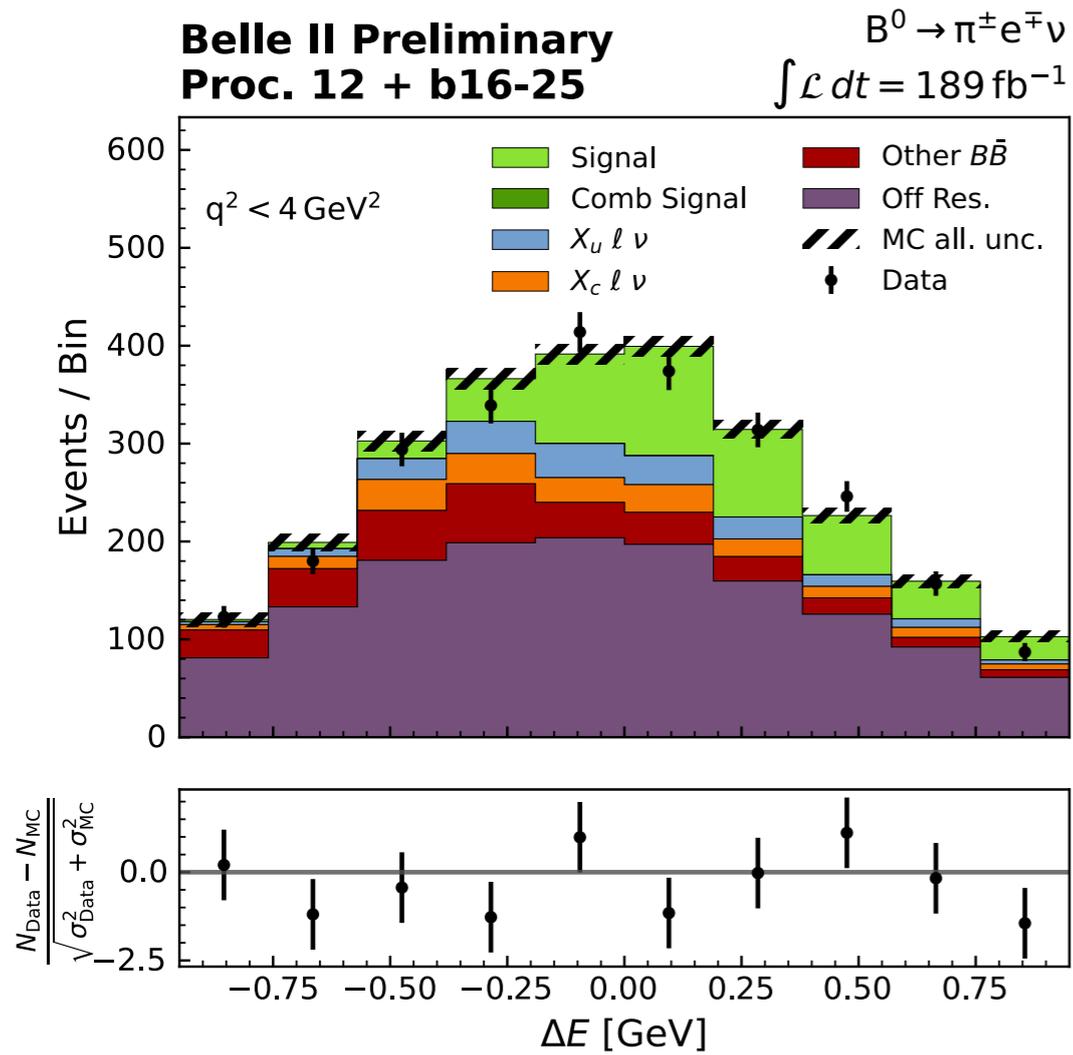
Continuum



BB



After BDT selection :



$$\Delta E = E_B^* - E_{\text{beam}}^* = E_B^* - \sqrt{s}/2$$

$$M_{bc} = \sqrt{E_{\text{beam}}^{*2} - \mathbf{p}_B^*} = \sqrt{s/4 - \mathbf{p}_B^*}$$

$$E_B^* = E_\pi + E_\ell + E_{\text{miss}}$$

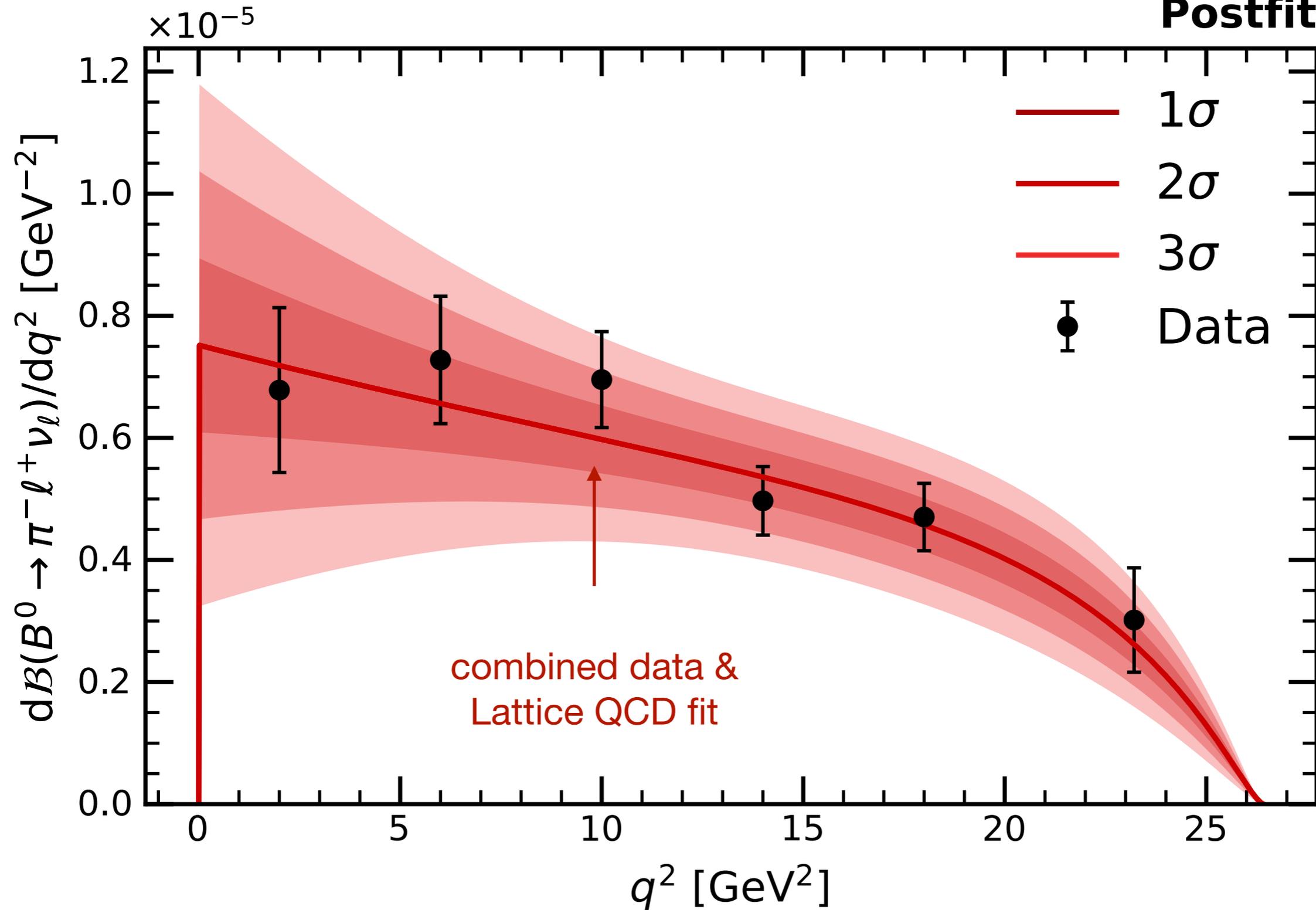
$$\mathbf{p}_B^* = \mathbf{p}_\pi + \mathbf{p}_\ell + \mathbf{p}_{\text{miss}}$$

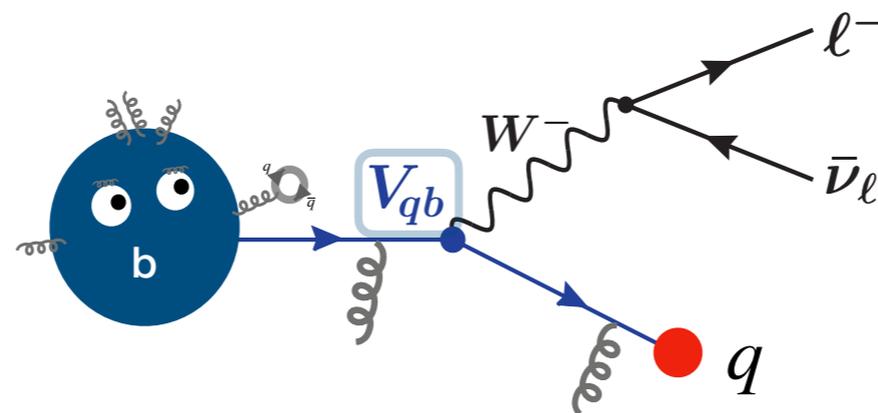
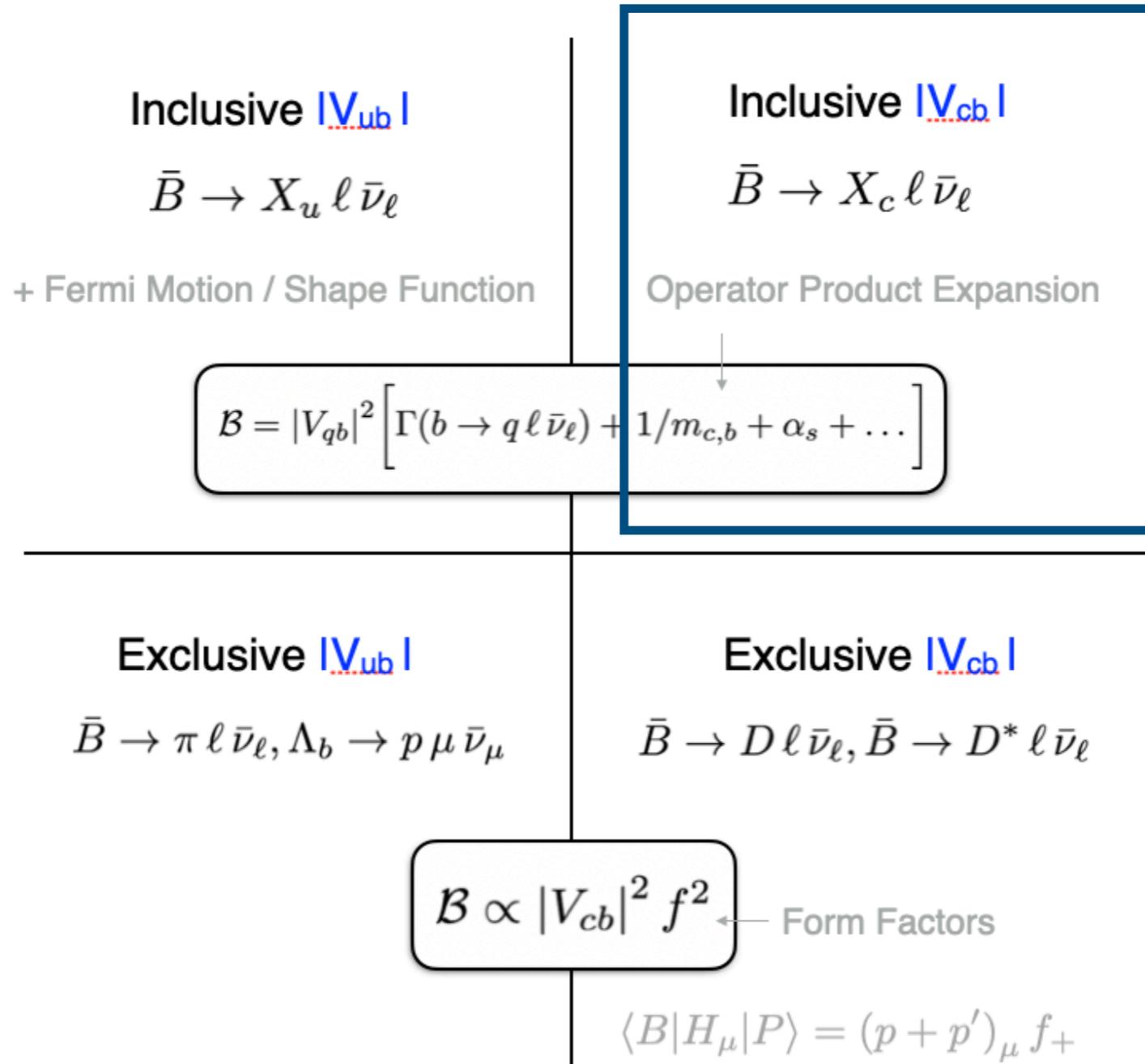
Built from clusters
& tracks of ROE

$$p_{\text{miss}} = (E_{\text{miss}}, \mathbf{p}_{\text{miss}}) = -p_{\text{ROE}}$$

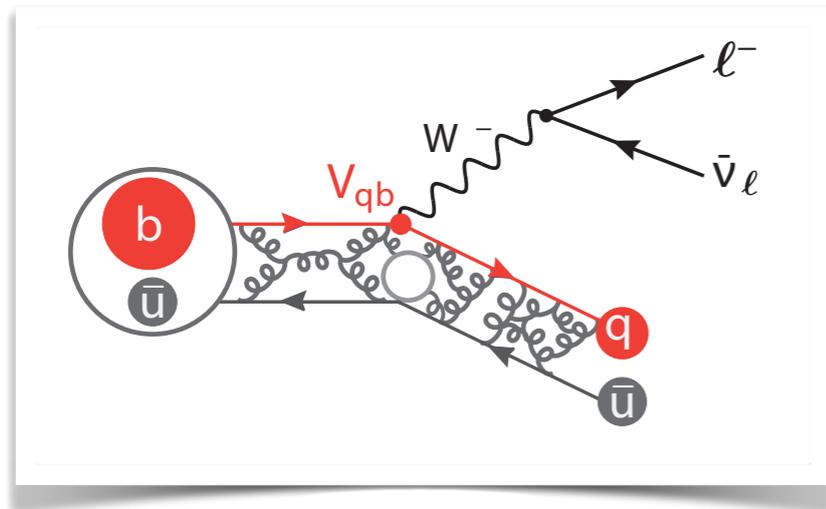
Final Spectrum :

**Belle II Preliminary
Postfit**





Overview $B \rightarrow X_c \ell \bar{\nu}_\ell$



Inclusive $|V_{cb}|$

$$\bar{B} \rightarrow X_c \ell \bar{\nu}_\ell$$

Operator Product Expansion (OPE)

$$\mathcal{B} = |V_{qb}|^2 \left[\Gamma(b \rightarrow q \ell \bar{\nu}_\ell) + 1/m_{c,b} + \alpha_s + \dots \right]$$

Established approach: Use **spectral moments** (hadronic mass moments, lepton energy moments etc.) to determine non-perturbative matrix elements (ME) of OPE and extract $|V_{cb}|$

$$d\Gamma = d\Gamma_0 + d\Gamma_{\mu_\pi} \frac{\mu_\pi^2}{m_b^2} + d\Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + d\Gamma_{\rho_D} \frac{\rho_D^3}{m_b^3} + d\Gamma_{\rho_{LS}} \frac{\rho_{LS}^3}{m_b^3} + \mathcal{O}(1/m_b^4)$$

$d\Gamma$ are calculated perturbatively



Available at $\mathcal{O}(\alpha_s^3)$
Fael, Schönwald, Steinhauser
Phys. Rev. D 104, 016003 (2021)

$\mu_\pi, \mu_G, \rho_D, \rho_{LS}$ encapsulate non-perturbative dynamics



HQE parameters must be extracted from data

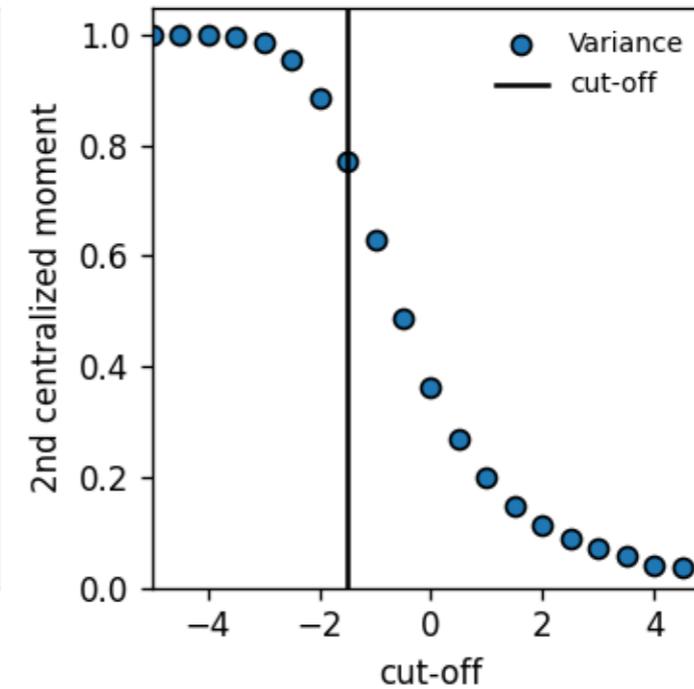
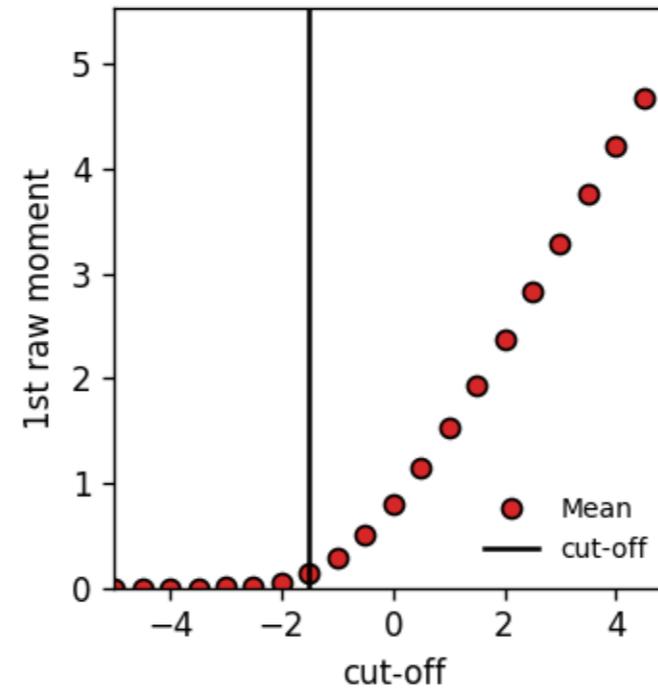
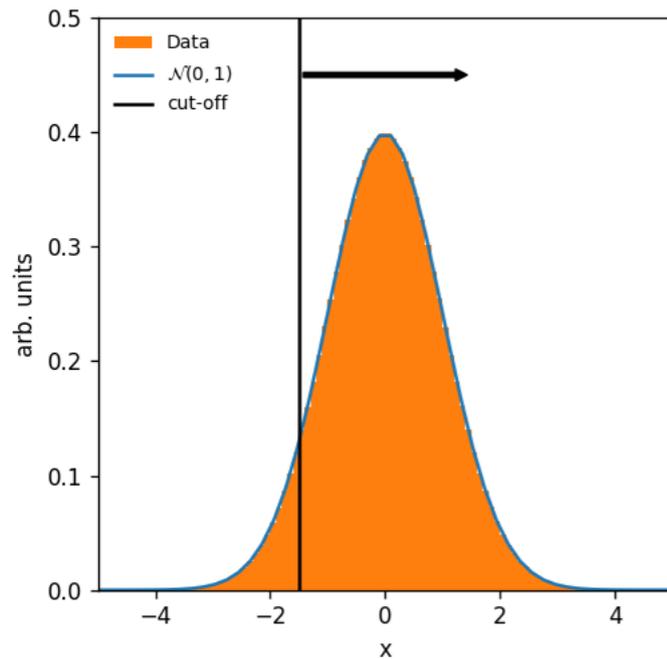


requires the spectral moments of $B \rightarrow X_c \ell \nu$

Challenge: Proliferation of HQE parameters at higher order

Bad news: number of these matrix elements increases if one increases expansion in $1/m_{b,c}$

Let's take a moment or two



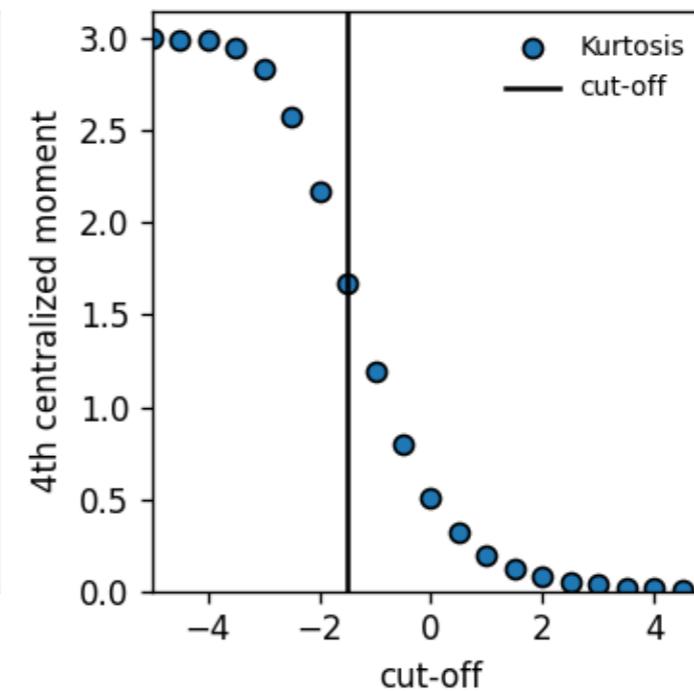
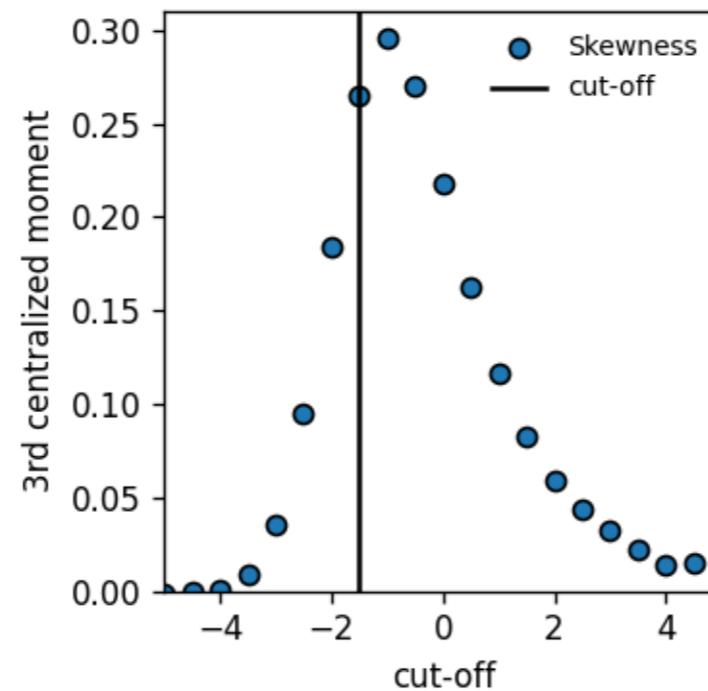
$$\mu_n = \int_{-\infty}^{\infty} (x - c)^n f(x) dx$$
 Raw moment: $c = 0$
 Central moment: $c = \text{Mean}$

First raw moment: Mean
Measures the location

Second central moment: Variance
Measures the spread

Third central moment: Skewness
Measures asymmetry

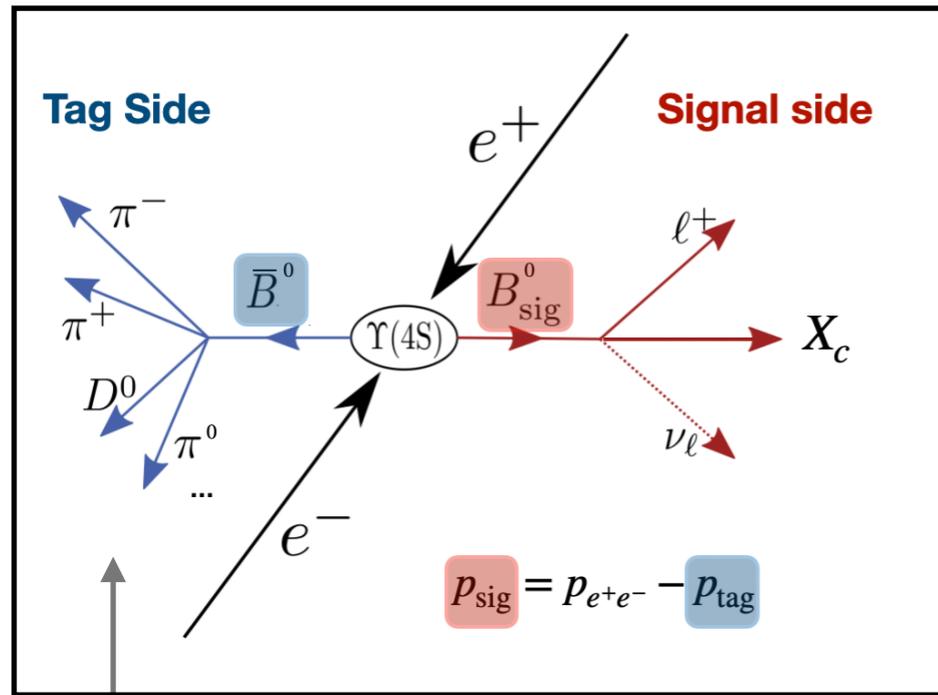
Fourth central moment: Kurtosis
Measures "tailedness"



Moments are measured with progressive cuts in the distribution
 → **highly correlated measurements**

How to measure spectral moments

Key-technique: hadronic tagging



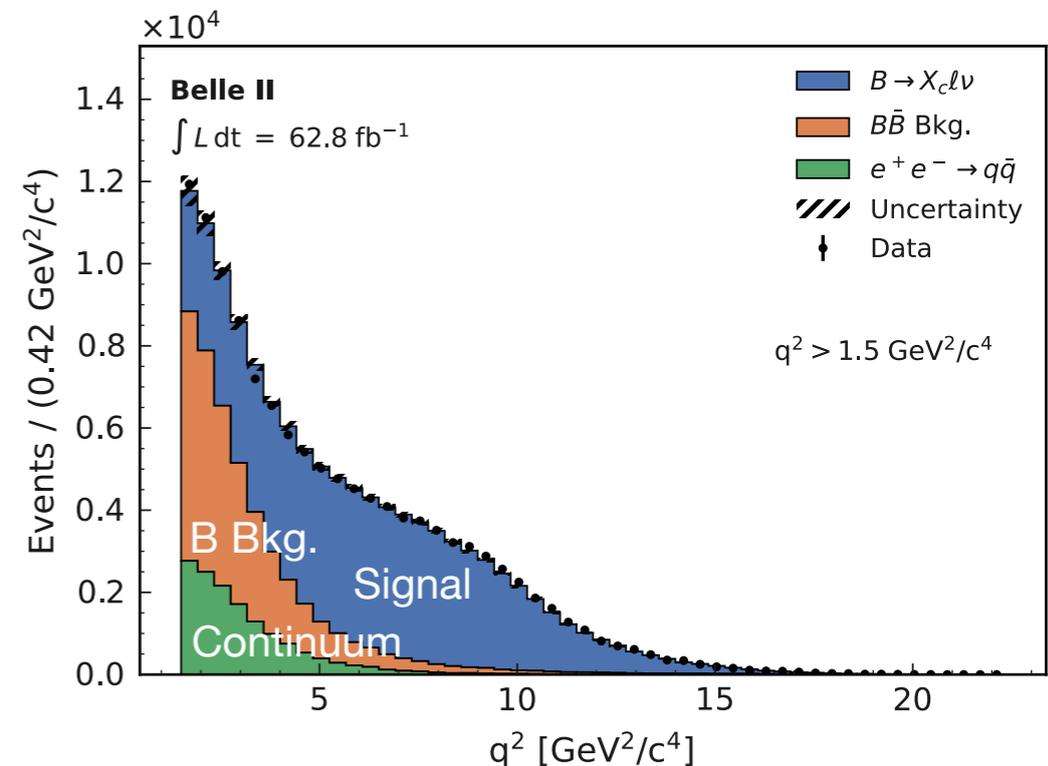
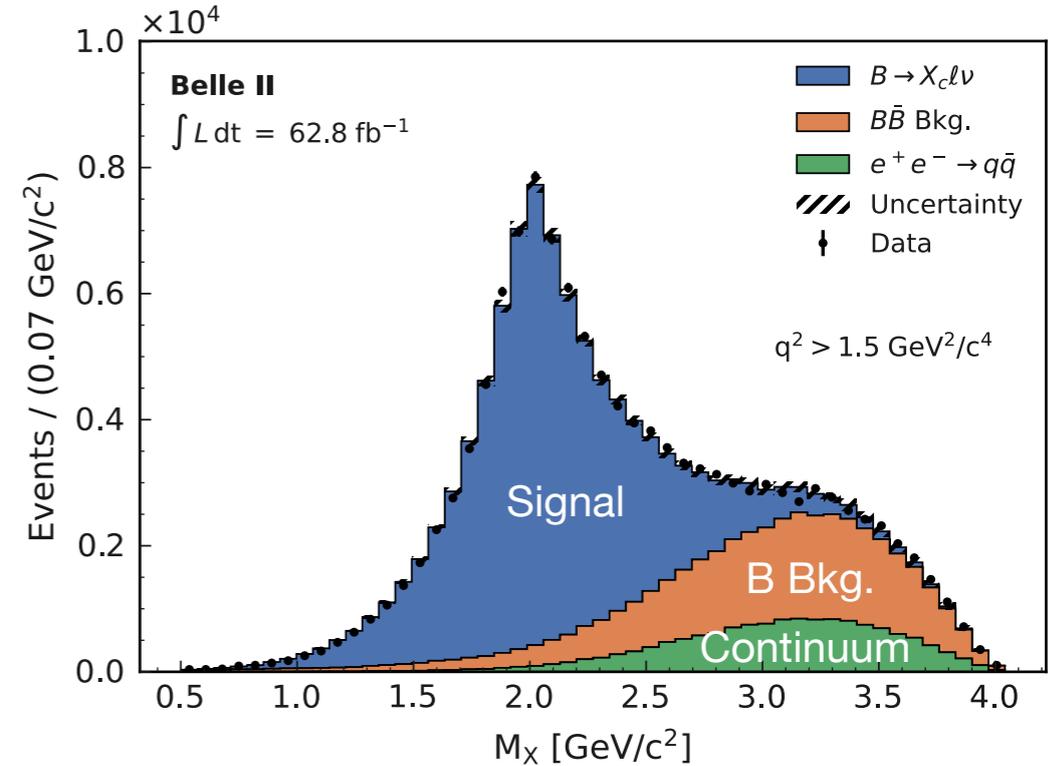
Can identify X_c constituents

$$M_X = \sqrt{(p_{X_c})_\mu (p_{X_c})^\mu}$$

Hadronic Tagging
with **Belle II** algorithm (FEI)

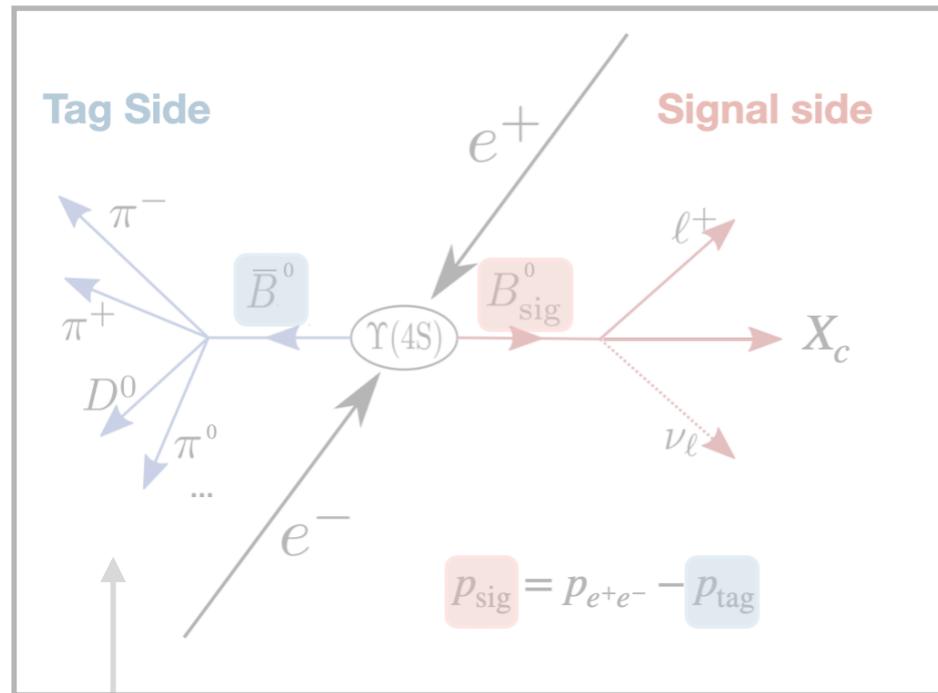
[Full Event Interpretation, T. Keck et al, Comp. Soft. Big. Sci 3 (2019), arXiv:1807.08680]

$$q^2 = (p_{\text{sig}} - p_{X_c})^2$$



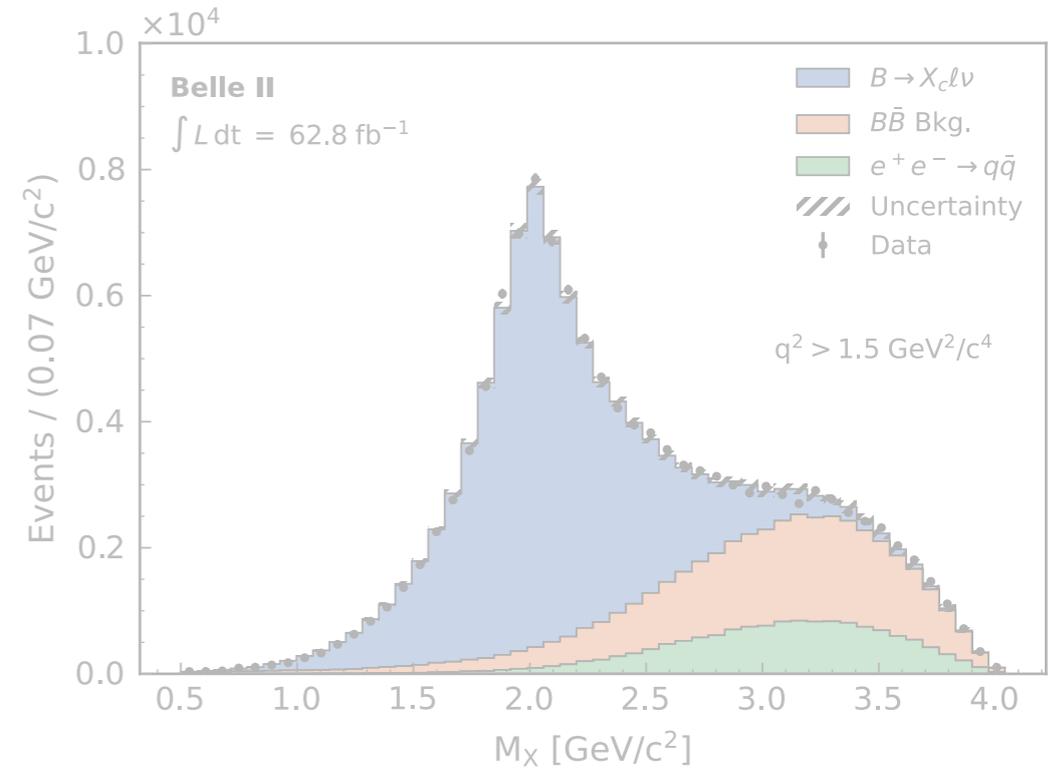
How to measure spectral moments

Key-technique: hadronic tagging



Can identify X_c constituents

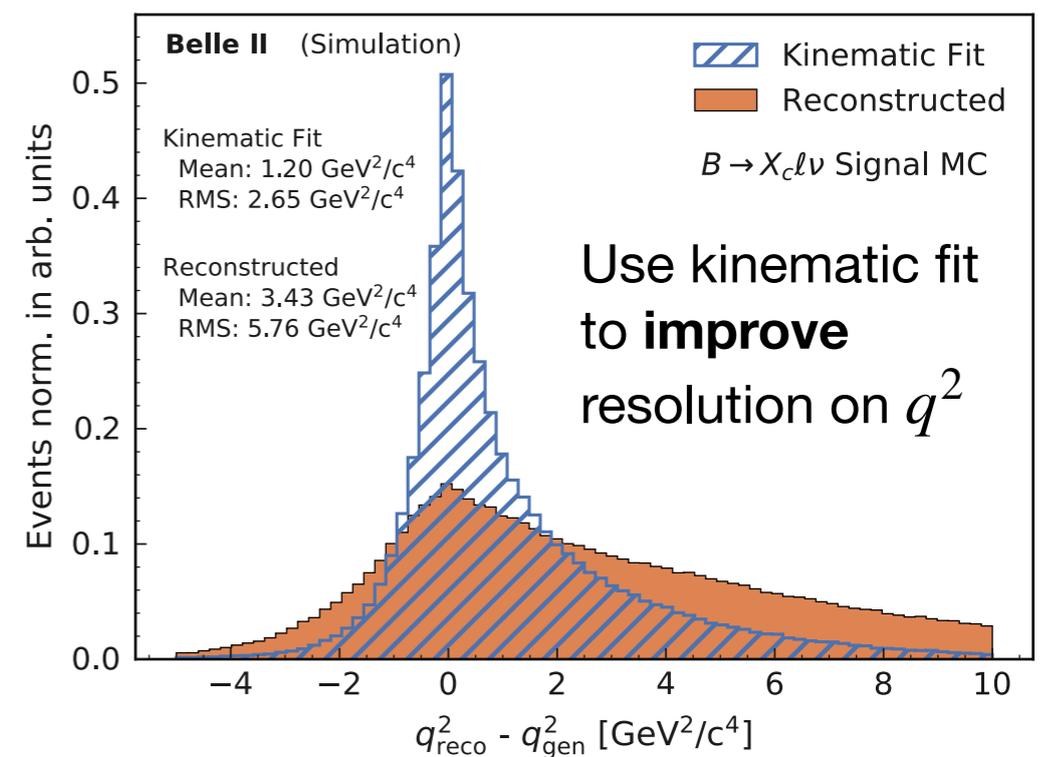
$$M_X = \sqrt{(p_{X_c})_\mu (p_{X_c})^\mu}$$



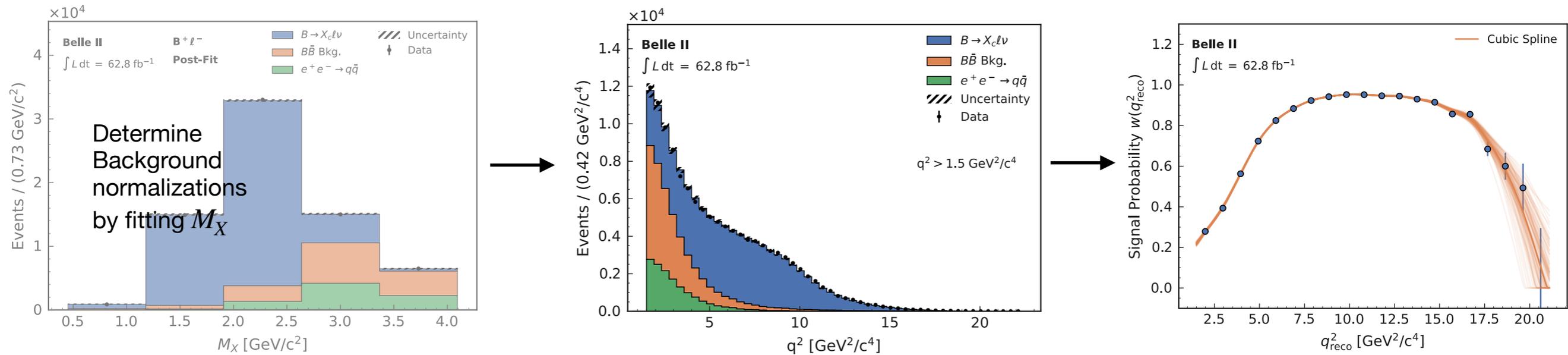
Improved Hadronic Tagging
using Belle II algorithm
(ca. 2 times more efficient)

[Full Event Interpretation, T. Keck et al,
Comp. Soft. Big. Sci 3 (2019),
arXiv:1807.08680]

$$q^2 = (p_{\text{sig}} - p_{X_c})^2$$



Measurement in a nutshell



Step #1: Subtract Background

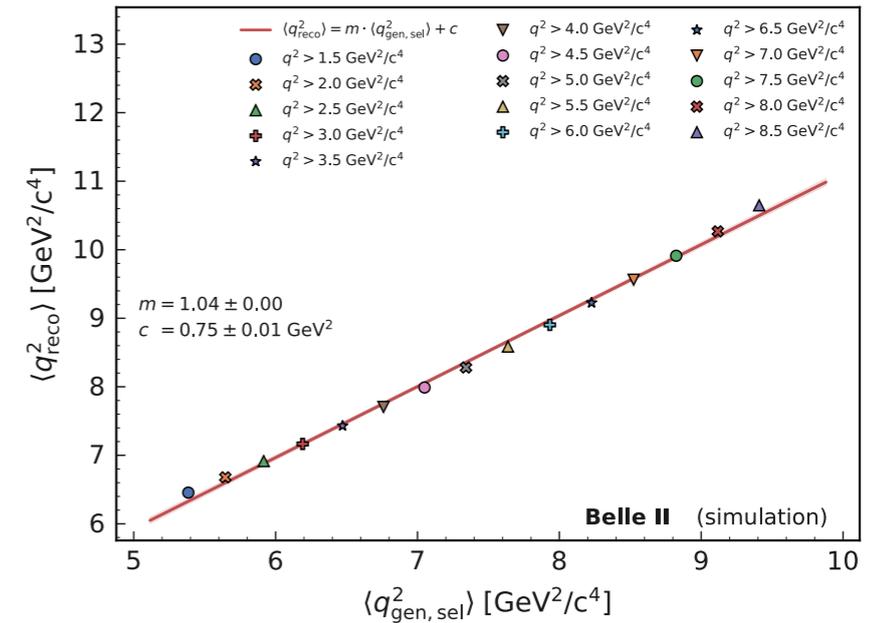
Event-wise Master-formula

$$\langle q^{2n} \rangle = \frac{\sum_i^{N_{\text{data}}} w(q_{\text{reco},i}^2) \times q_{\text{calib},i}^{2n}}{\sum_j^{N_{\text{data}}} w(q_{\text{reco},j}^2)} \times \mathcal{C}_{\text{calib}} \times \mathcal{C}_{\text{gen}},$$

Measurement in a nutshell

Exploit **linear** dependence
between rec. & true moments

$$q_{\text{cal } i}^{2m} = (q_{\text{reco } i}^{2m} - c) / m$$



Step #1: Subtract Background

Step #2: Calibrate moment

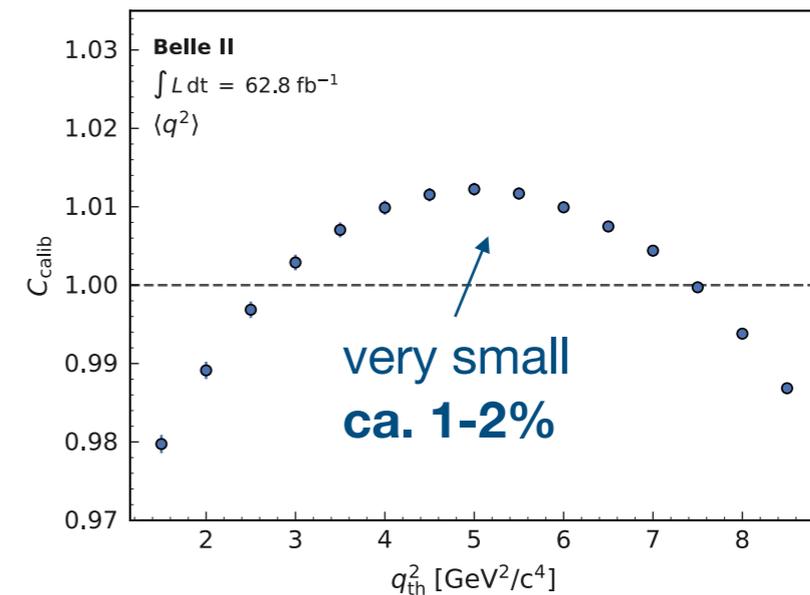
Event-wise **Master-formula**

$$\langle q^{2n} \rangle = \frac{\sum_i^{N_{\text{data}}} w(q_{\text{reco},i}^2) \times q_{\text{calib},i}^{2n}}{\sum_j^{N_{\text{data}}} w(q_{\text{reco},j}^2)} \times \mathcal{C}_{\text{calib}} \times \mathcal{C}_{\text{gen}},$$

Measurement in a nutshell



Very small deviation from linear behavior between reconstruct and true q^2



Step #1: Subtract Background

Step #2: Calibrate moment

Event-wise **Master-formula**

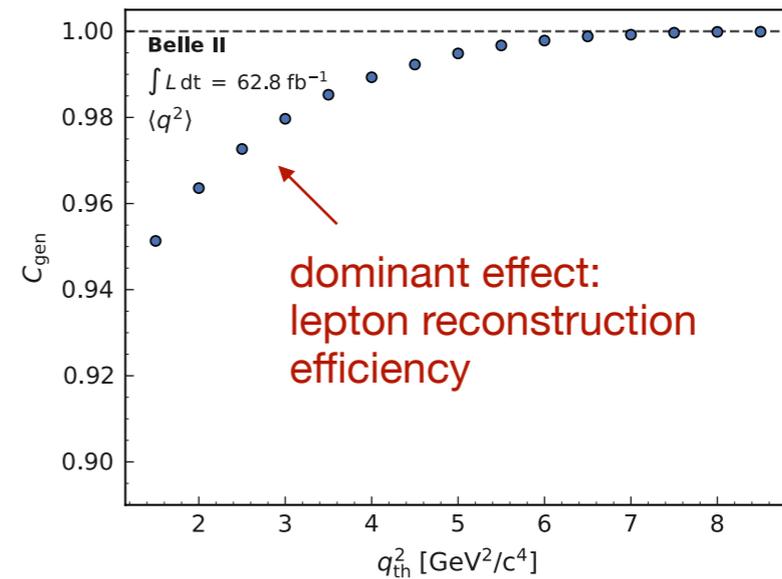
$$\langle q^{2n} \rangle = \frac{\sum_i^{N_{\text{data}}} w(q_{\text{reco},i}^2) \times q_{\text{calib},i}^{2n}}{\sum_j^{N_{\text{data}}} w(q_{\text{reco},j}^2)} \times C_{\text{calib}} \times C_{\text{gen}},$$

Step #3: If you fail, try again

Measurement in a nutshell



Account for **efficiency & acceptance effects**



Step #1: Subtract Background

Step #2: Calibrate moment

Event-wise **Master-formula**

$$\langle q^{2n} \rangle = \frac{\sum_i^{N_{\text{data}}} w(q_{\text{reco},i}^2) \times q_{\text{calib},i}^{2n}}{\sum_j^{N_{\text{data}}} w(q_{\text{reco},j}^2)} \times \mathcal{C}_{\text{calib}} \times \mathcal{C}_{\text{gen}},$$

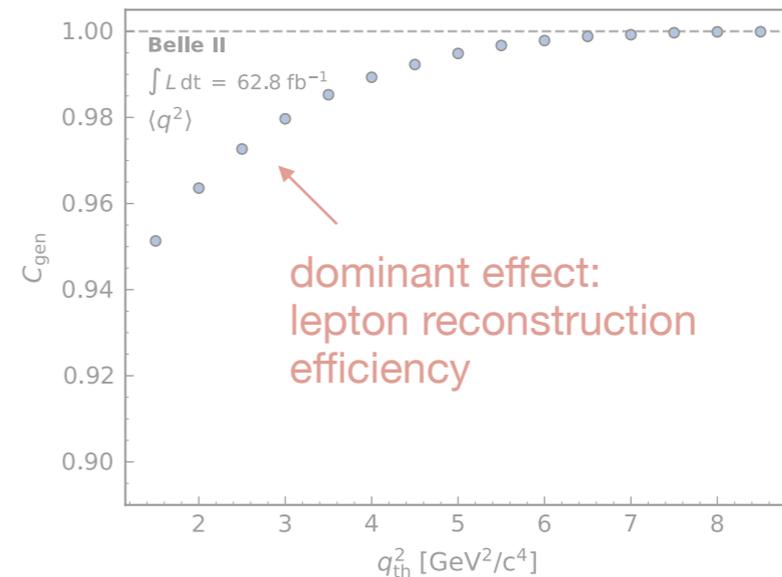
Step #3: If you fail, try again

Step #4: Correct for selection effects

Measurement in a nutshell



Account for **efficiency & acceptance effects**



Step #1: Subtract Background

Step #2: Calibrate moment

Event-wise **Master-formula**

$$\langle q^{2n} \rangle = \frac{\sum_i^{N_{\text{data}}} w(q_{\text{reco},i}^2) \times q_{\text{calib},i}^{2n}}{\sum_j^{N_{\text{data}}} w(q_{\text{reco},j}^2)} \times \mathcal{C}_{\text{calib}} \times \mathcal{C}_{\text{gen}},$$

Step #3: If you fail, try again

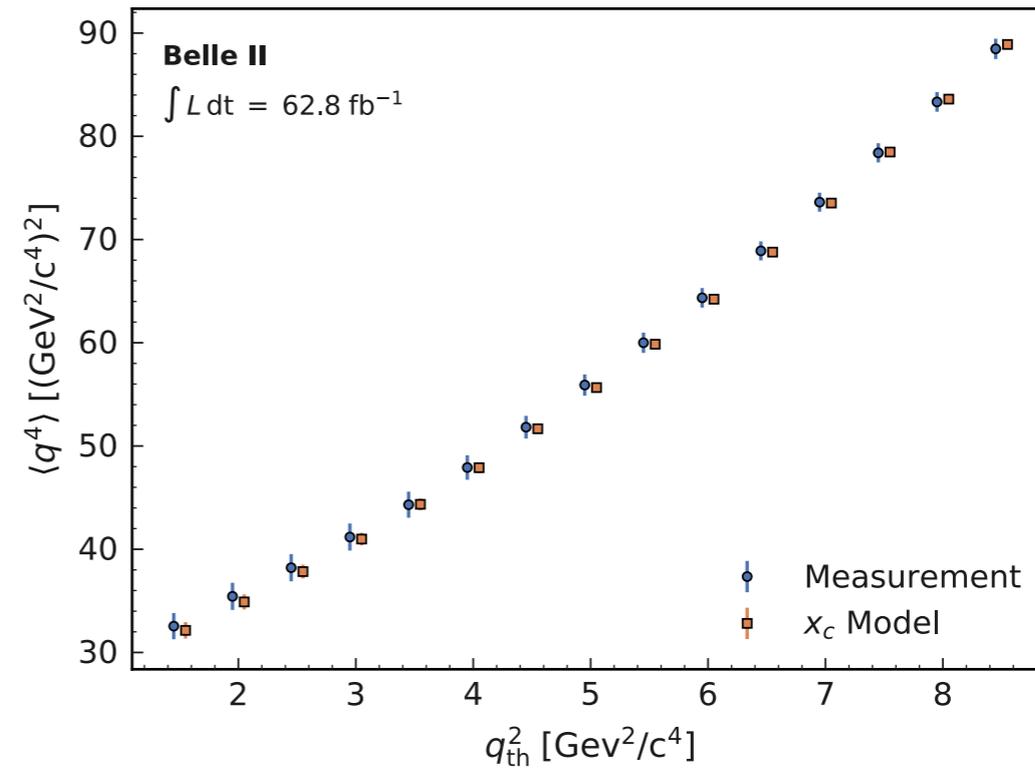
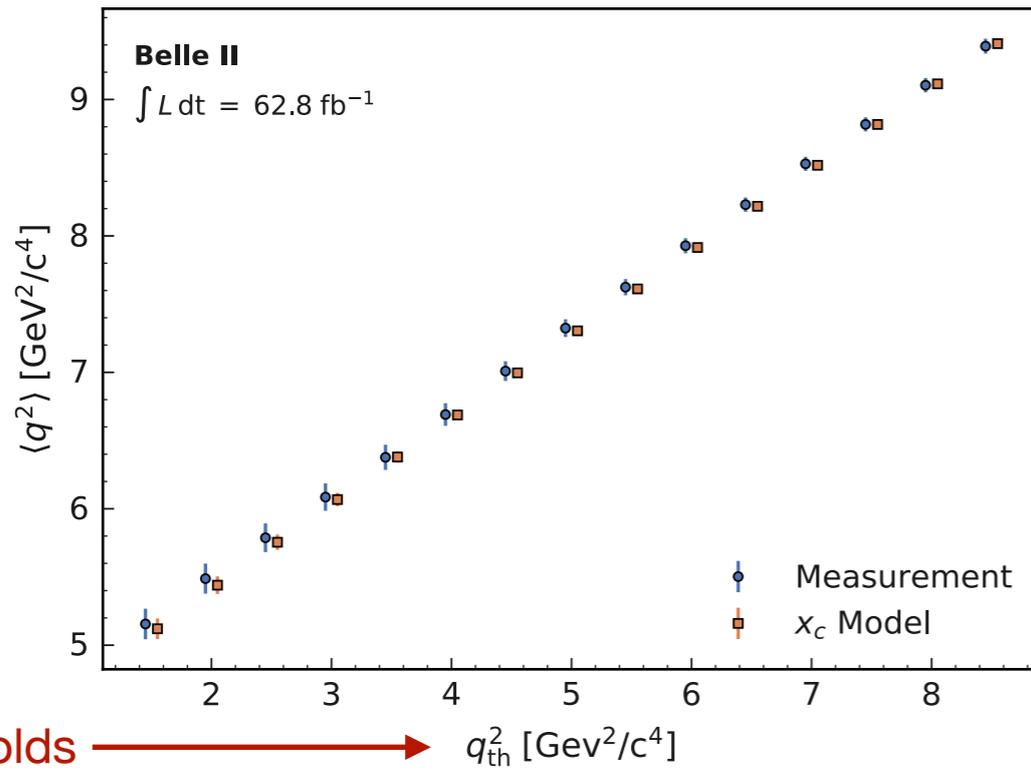
Step #4: Correct for selection effects



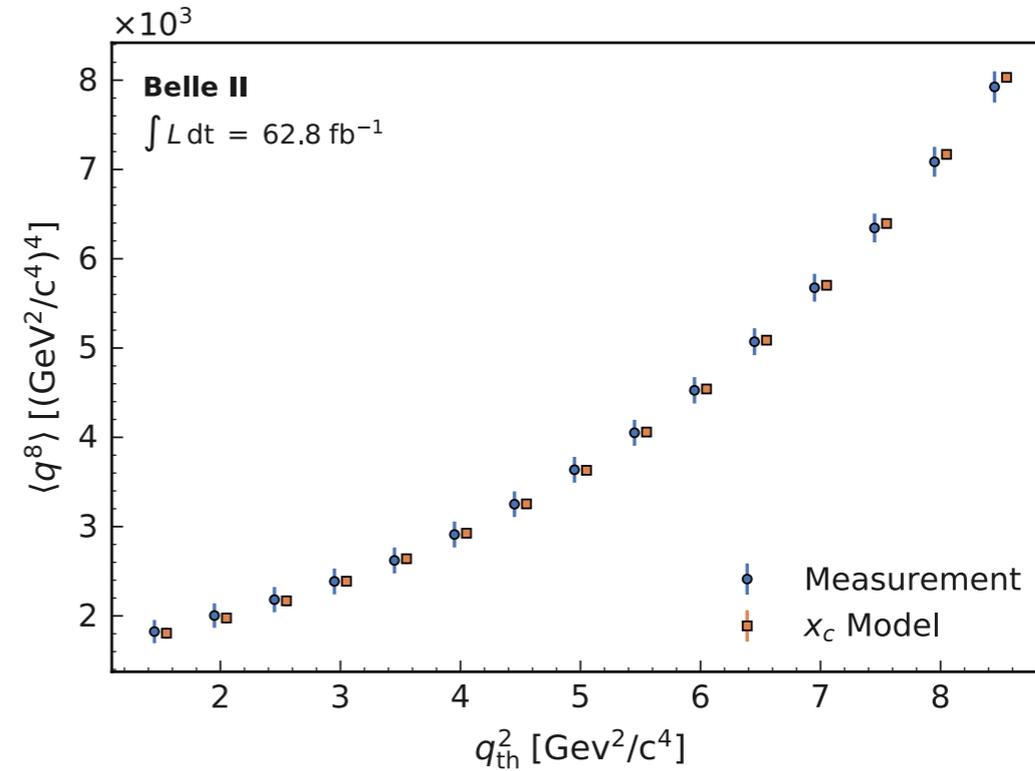
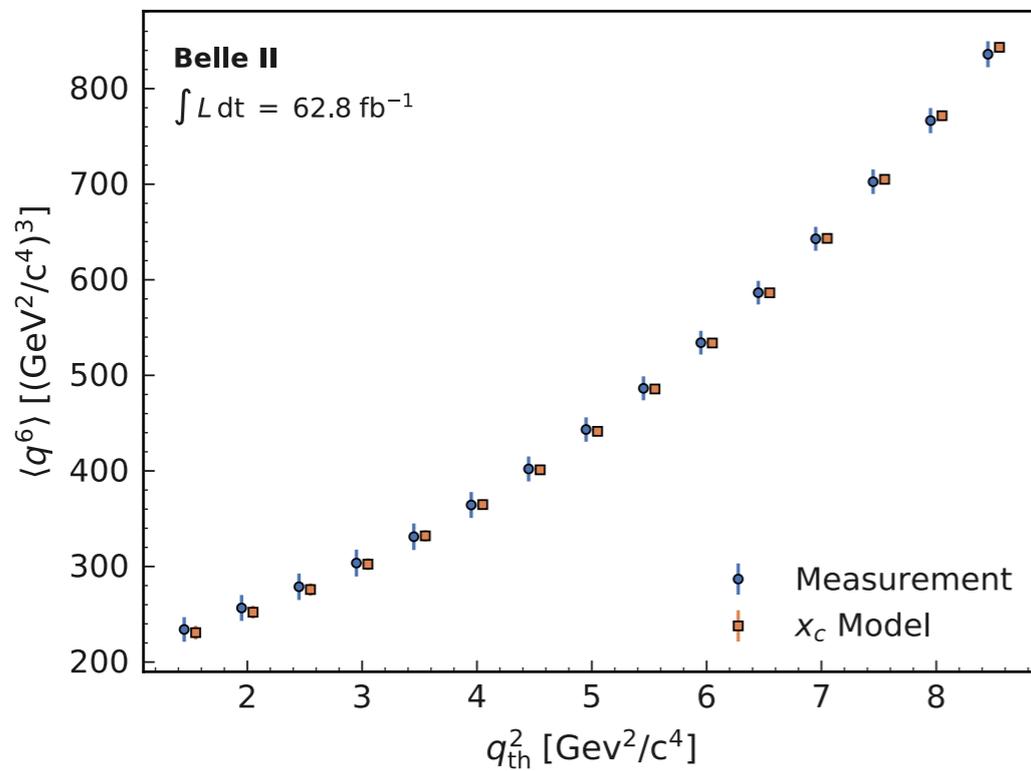
Repeat this for many

different thresholds cuts q_{th}^2

Belle II q^2 spectral moments

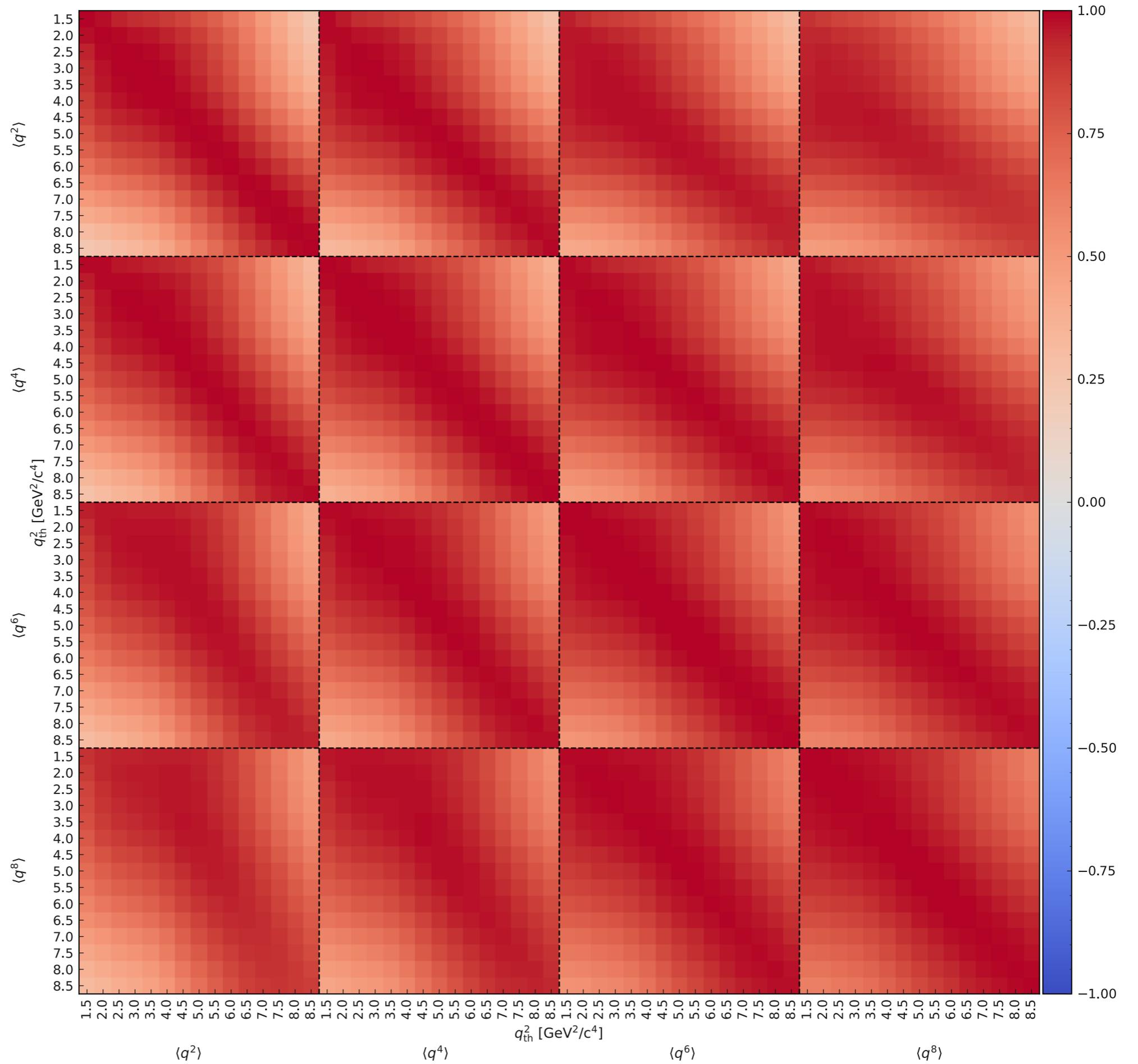


q^2 thresholds \longrightarrow $q_{th}^2 [\text{GeV}^2/c^4]$



**Statistical plus
systematic
correlations**

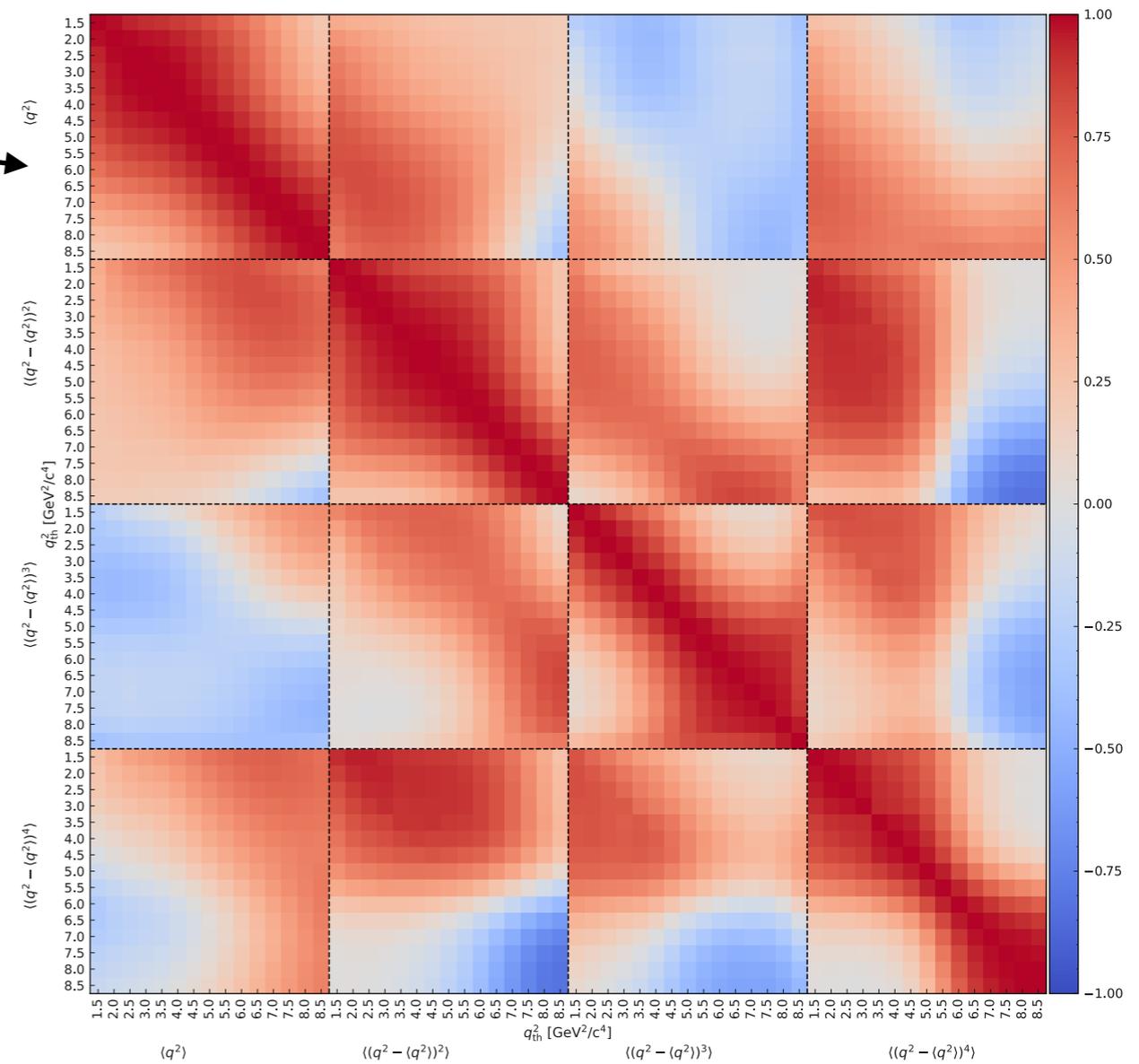
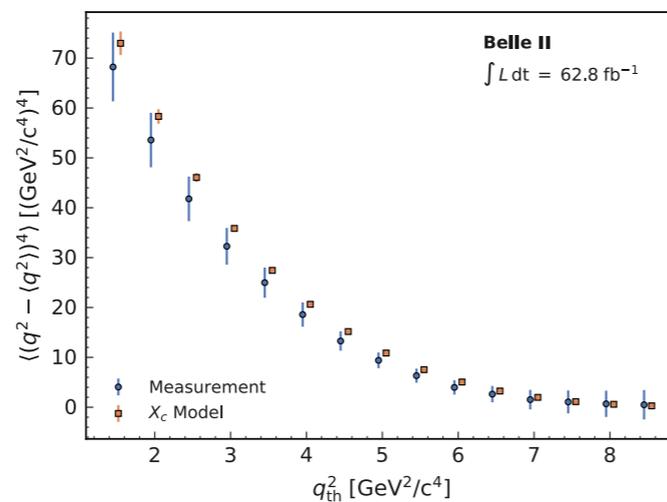
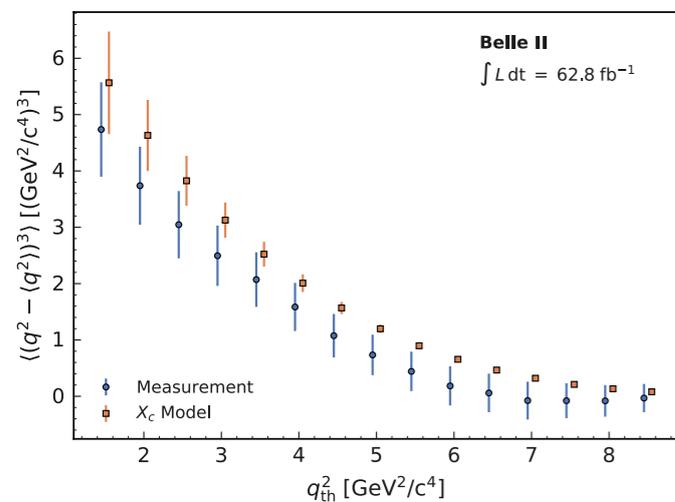
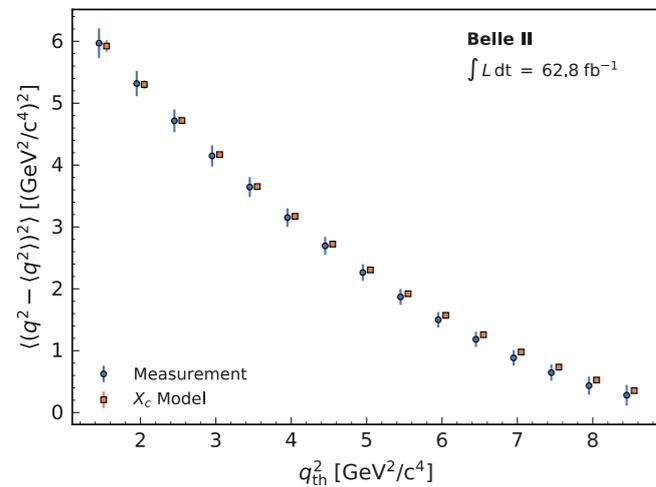
strong correlations!

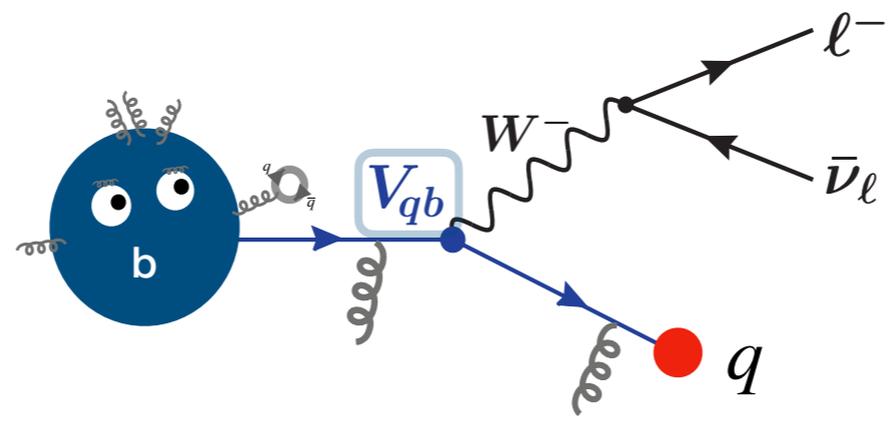
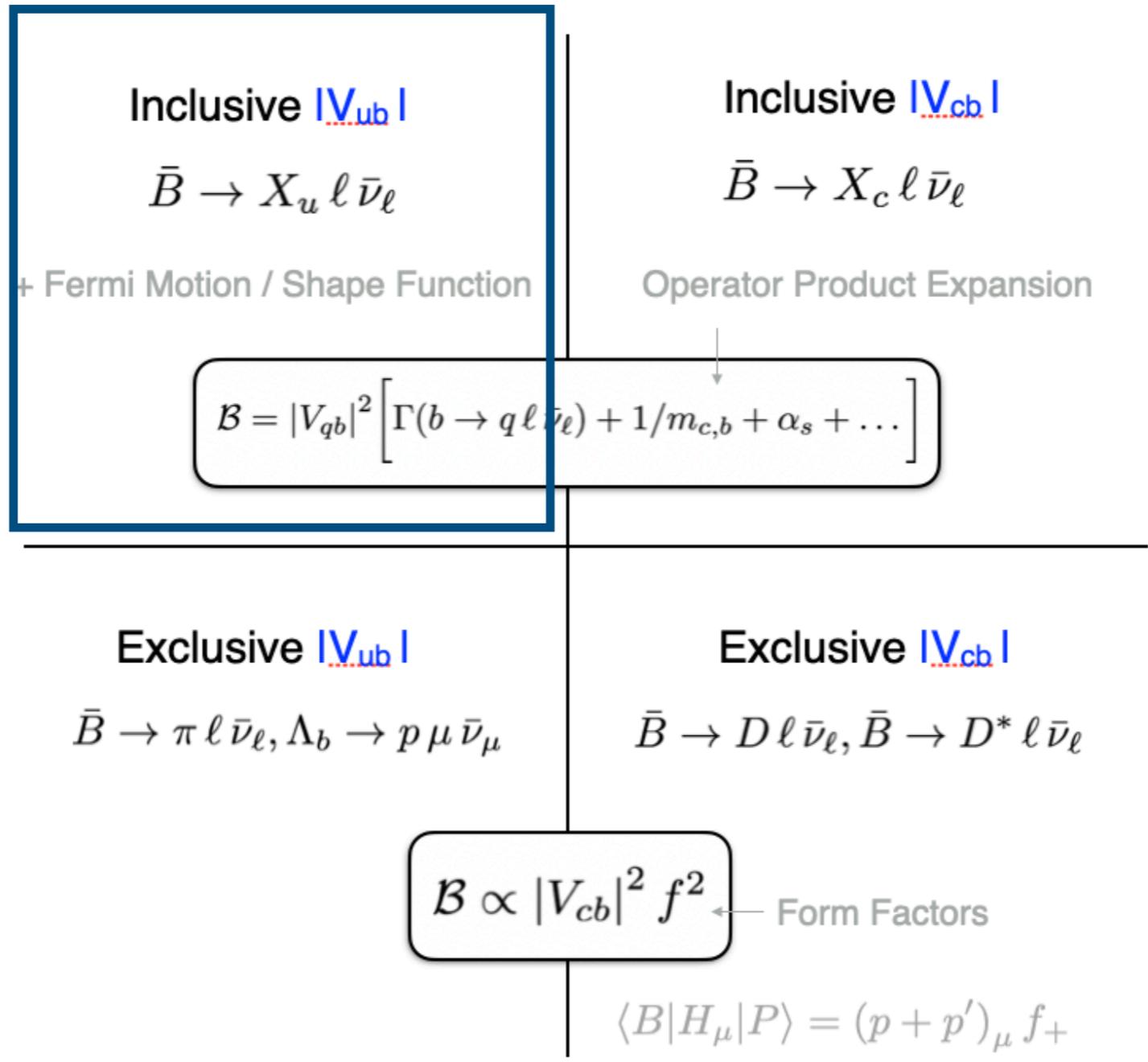


From moments to *central moments*

Central moments are **less** strongly correlated

$$\begin{pmatrix} \langle q^2 \rangle \\ \langle q^4 \rangle \\ \langle q^6 \rangle \\ \langle q^8 \rangle \end{pmatrix} \rightarrow \begin{pmatrix} \langle q^2 \rangle \\ \langle (q^2 - \langle q^2 \rangle)^2 \rangle \\ \langle (q^2 - \langle q^2 \rangle)^3 \rangle \\ \langle (q^2 - \langle q^2 \rangle)^4 \rangle \end{pmatrix}$$

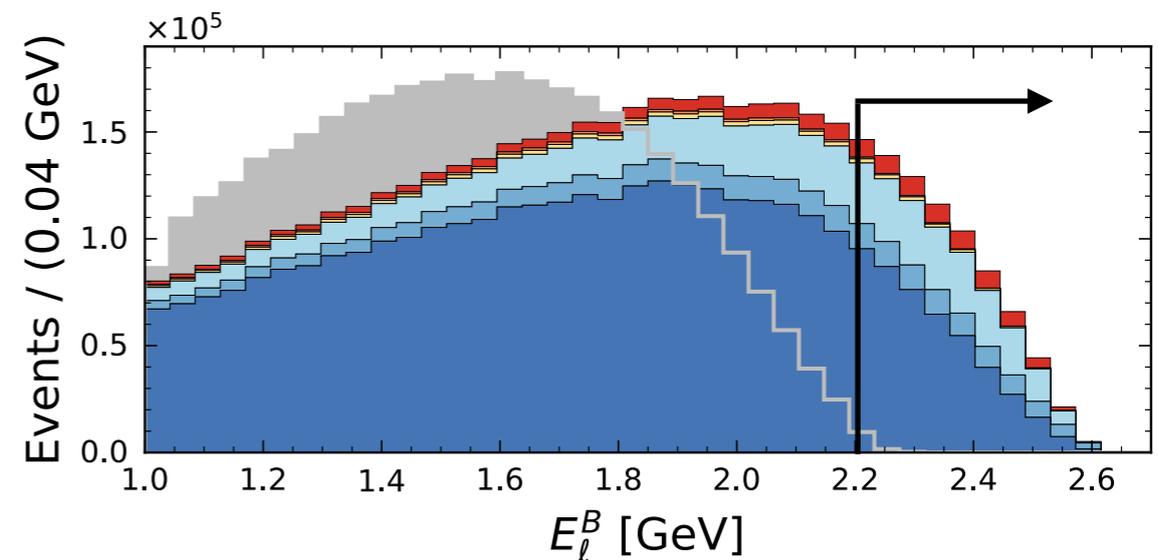
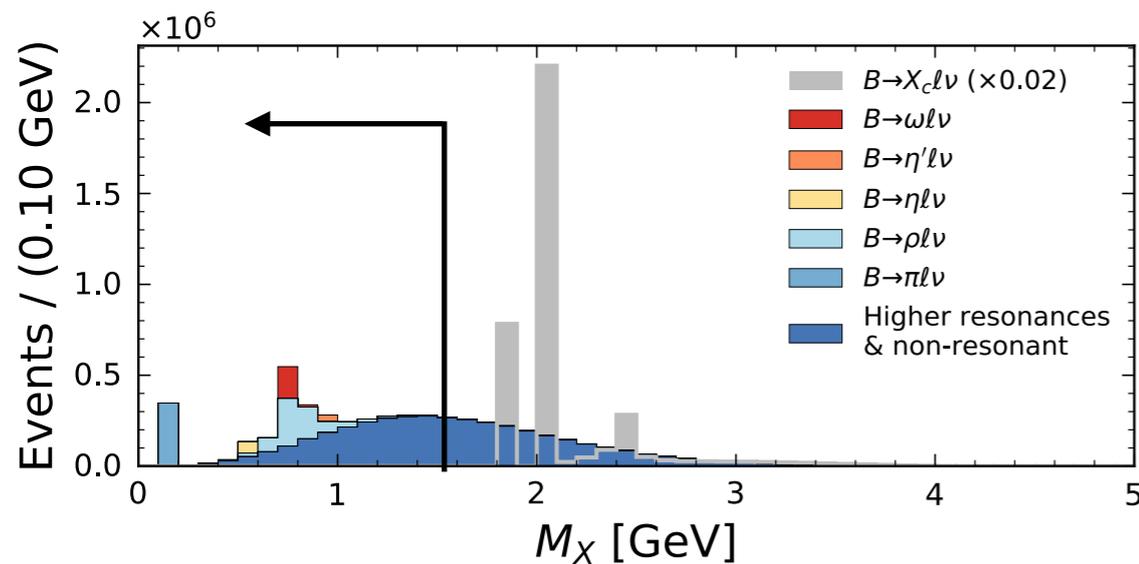
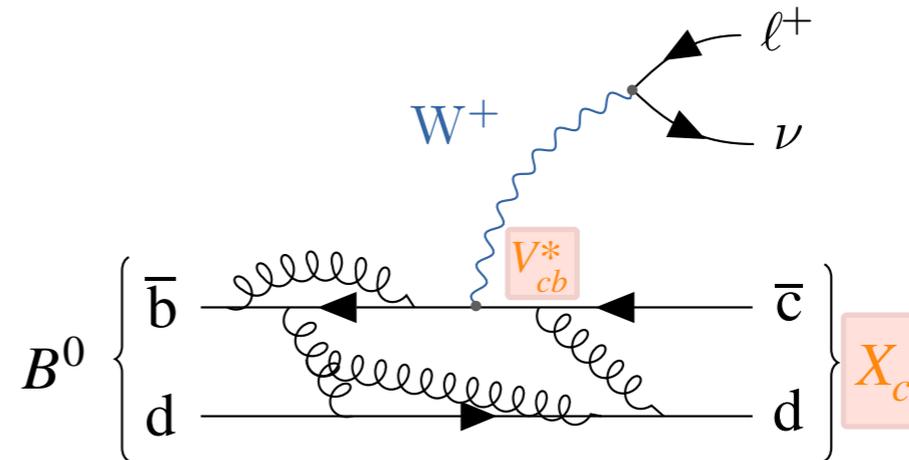
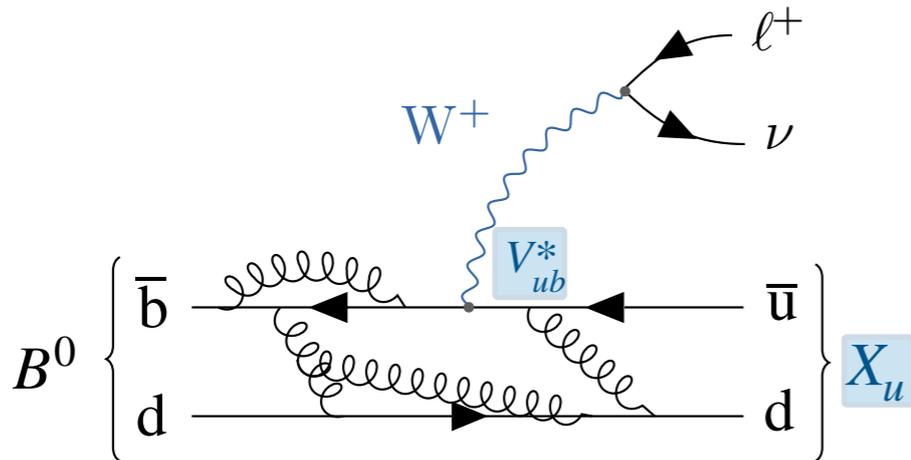




Overview $B \rightarrow X_u \ell \bar{\nu}_\ell$

Measuring $|V_{ub}|$ is **hard** due to $B \rightarrow X_c \ell \bar{\nu}_\ell$

- x $\mathcal{O}(100)$ more abundant
- Very similar **signature**:
 - high momentum lepton, hadronic system
- Clear separation only in corners of phase space
 - high E_ℓ , low M_X



Going Hybrid : MC for $B \rightarrow X_u \ell \bar{\nu}_\ell$

Exclusive make-up of $B \rightarrow X_u \ell \bar{\nu}_\ell$:

\mathcal{B}	Value B^+	Value B^0
$B \rightarrow \pi \ell^+ \nu_\ell$ ^{a,e}	$(7.8 \pm 0.3) \times 10^{-5}$	$(1.5 \pm 0.06) \times 10^{-4}$
$B \rightarrow \eta \ell^+ \nu_\ell$ ^{b,e}	$(3.9 \pm 0.5) \times 10^{-5}$	-
$B \rightarrow \eta' \ell^+ \nu_\ell$ ^{b,e}	$(2.3 \pm 0.8) \times 10^{-5}$	-
$B \rightarrow \omega \ell^+ \nu_\ell$ ^{c,e}	$(1.2 \pm 0.1) \times 10^{-4}$	-
$B \rightarrow \rho \ell^+ \nu_\ell$ ^{c,e}	$(1.6 \pm 0.1) \times 10^{-4}$	$(2.9 \pm 0.2) \times 10^{-4}$
$B \rightarrow X_u \ell^+ \nu_\ell$ ^{d,e}	$(2.2 \pm 0.3) \times 10^{-3}$	$(2.0 \pm 0.3) \times 10^{-3}$

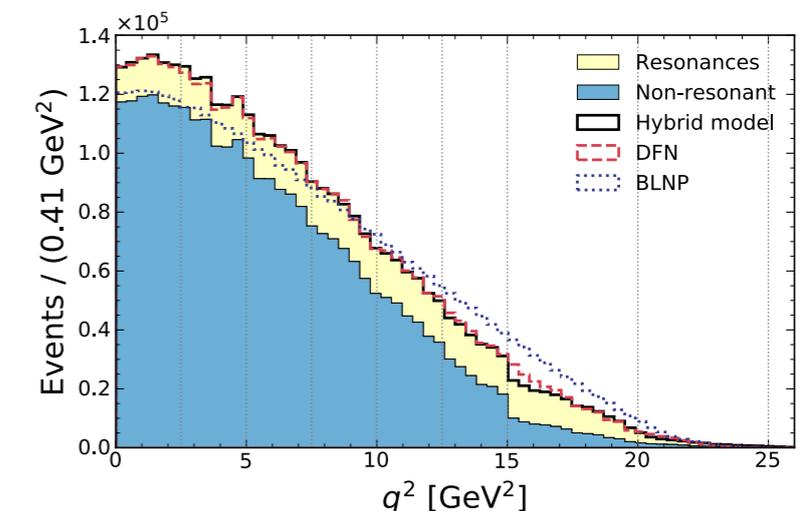
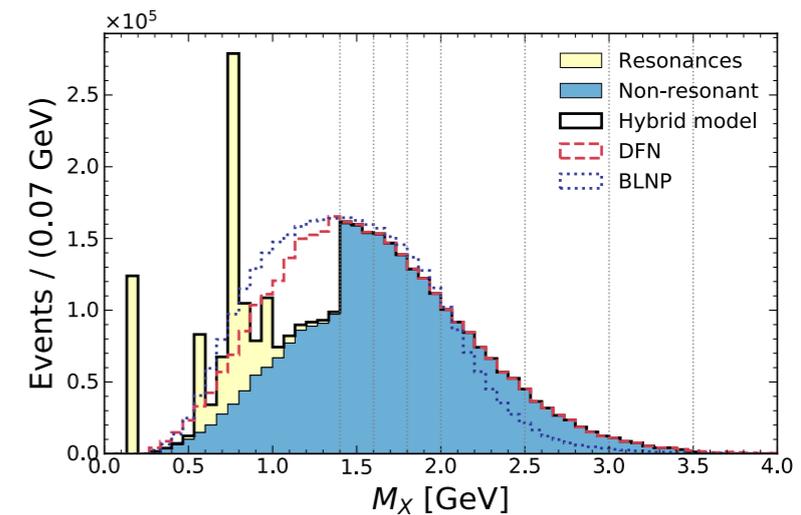
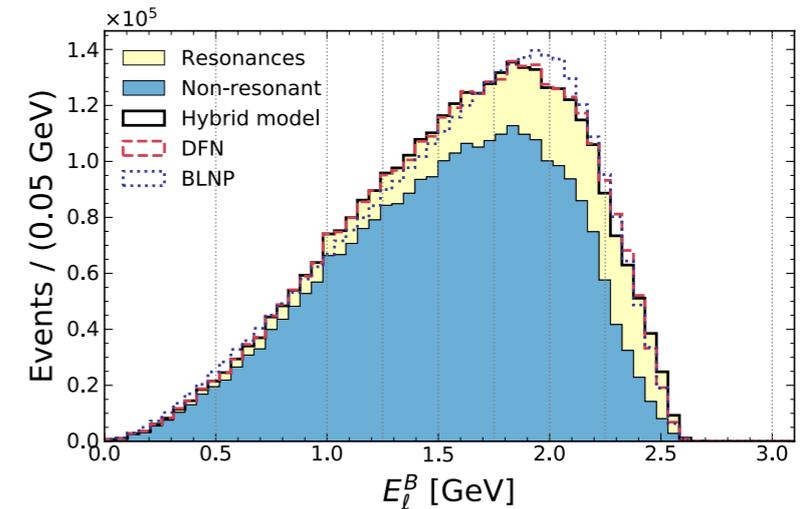
Hybrid = Combining exclusive & inclusive predictions

$$\Delta \mathcal{B}_{ijk}^{\text{incl}} = \Delta \mathcal{B}_{ijk}^{\text{excl}} + w_{ijk} \times \Delta \mathcal{B}_{ijk}^{\text{incl}},$$

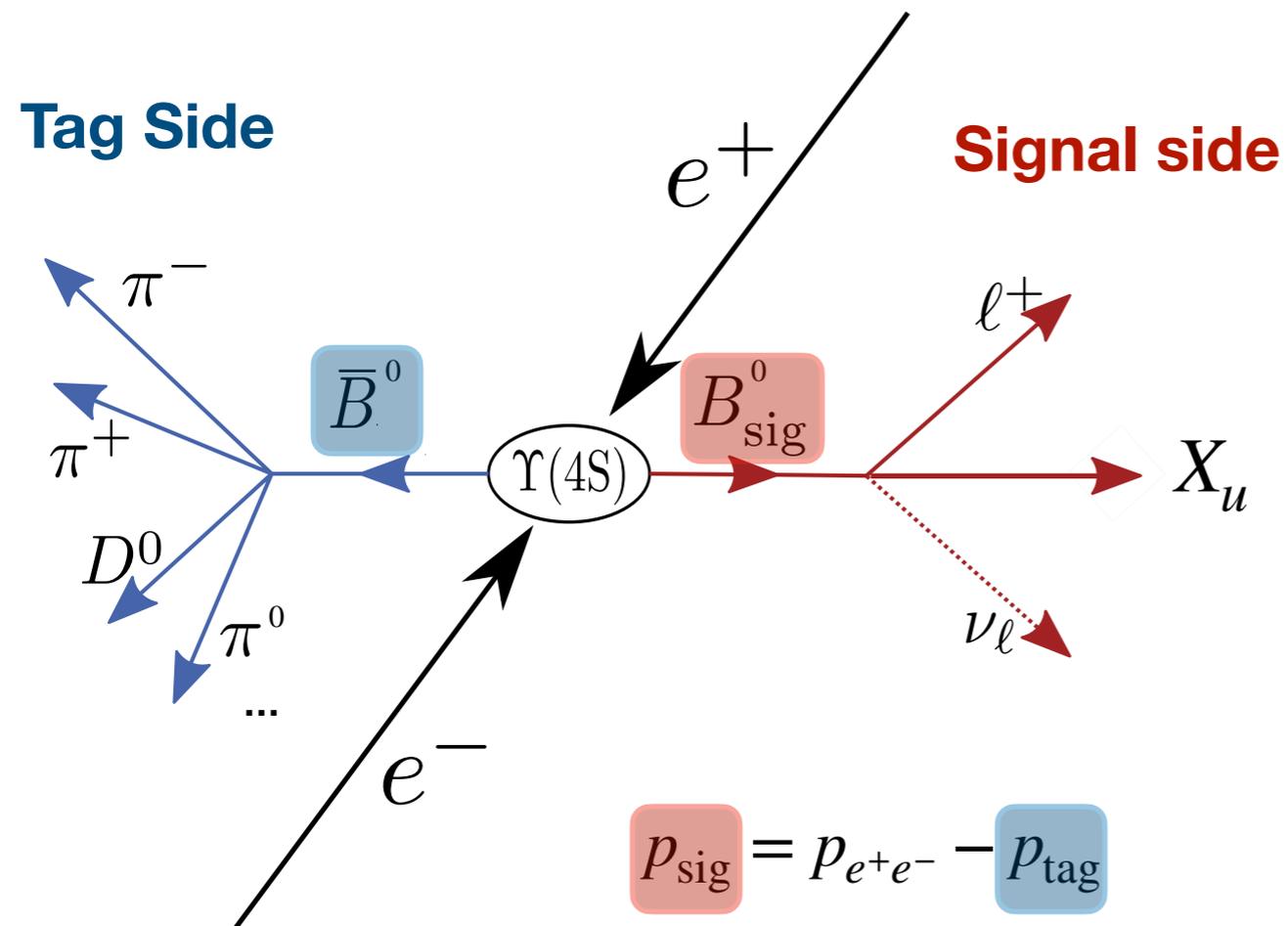
$$q^2 = [0, 2.5, 5, 7.5, 10, 12.5, 15, 20, 25] \text{ GeV}^2,$$

$$E_\ell^B = [0, 0.5, 1, 1.25, 1.5, 1.75, 2, 2.25, 3] \text{ GeV},$$

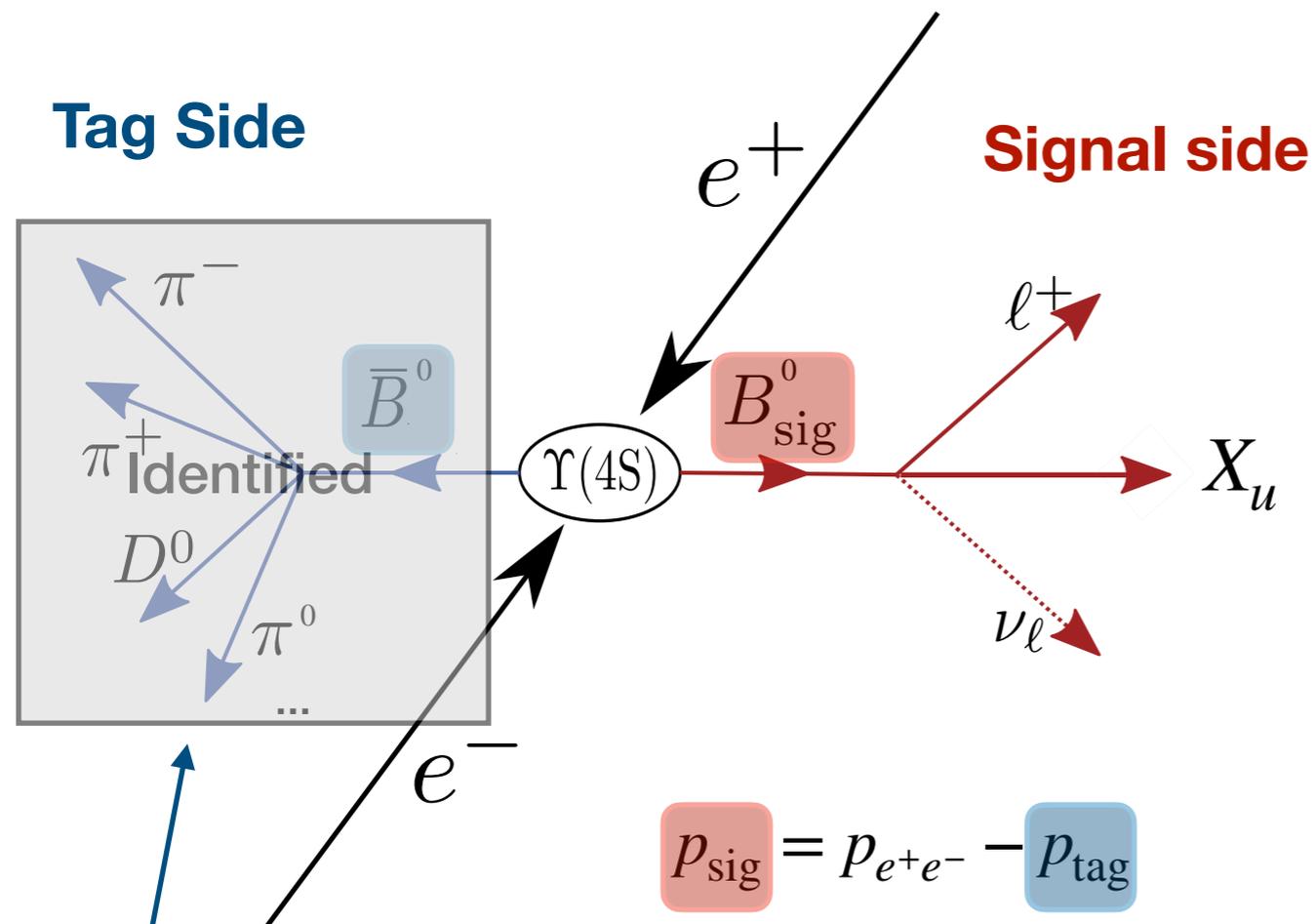
$$M_X = [0, 1.4, 1.6, 1.8, 2, 2.5, 3, 3.5] \text{ GeV}.$$



Analysis Strategy with hadronic Tagging

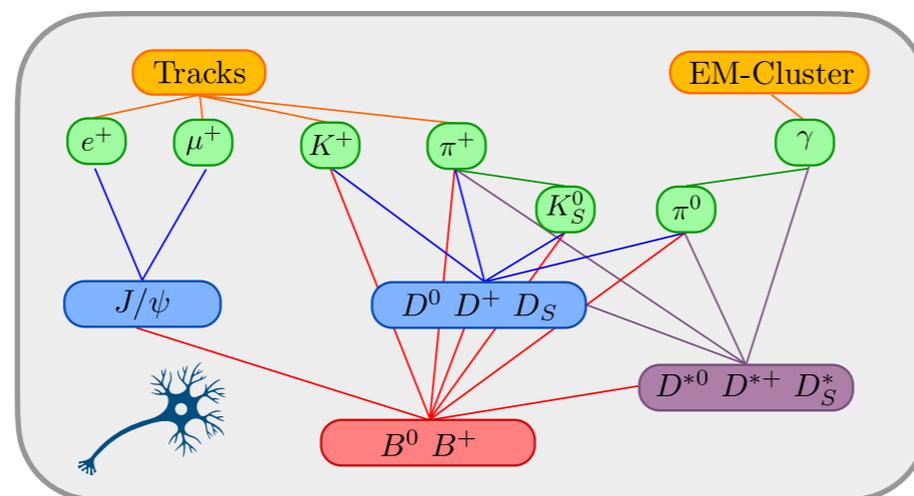


Analysis Strategy with hadronic Tagging

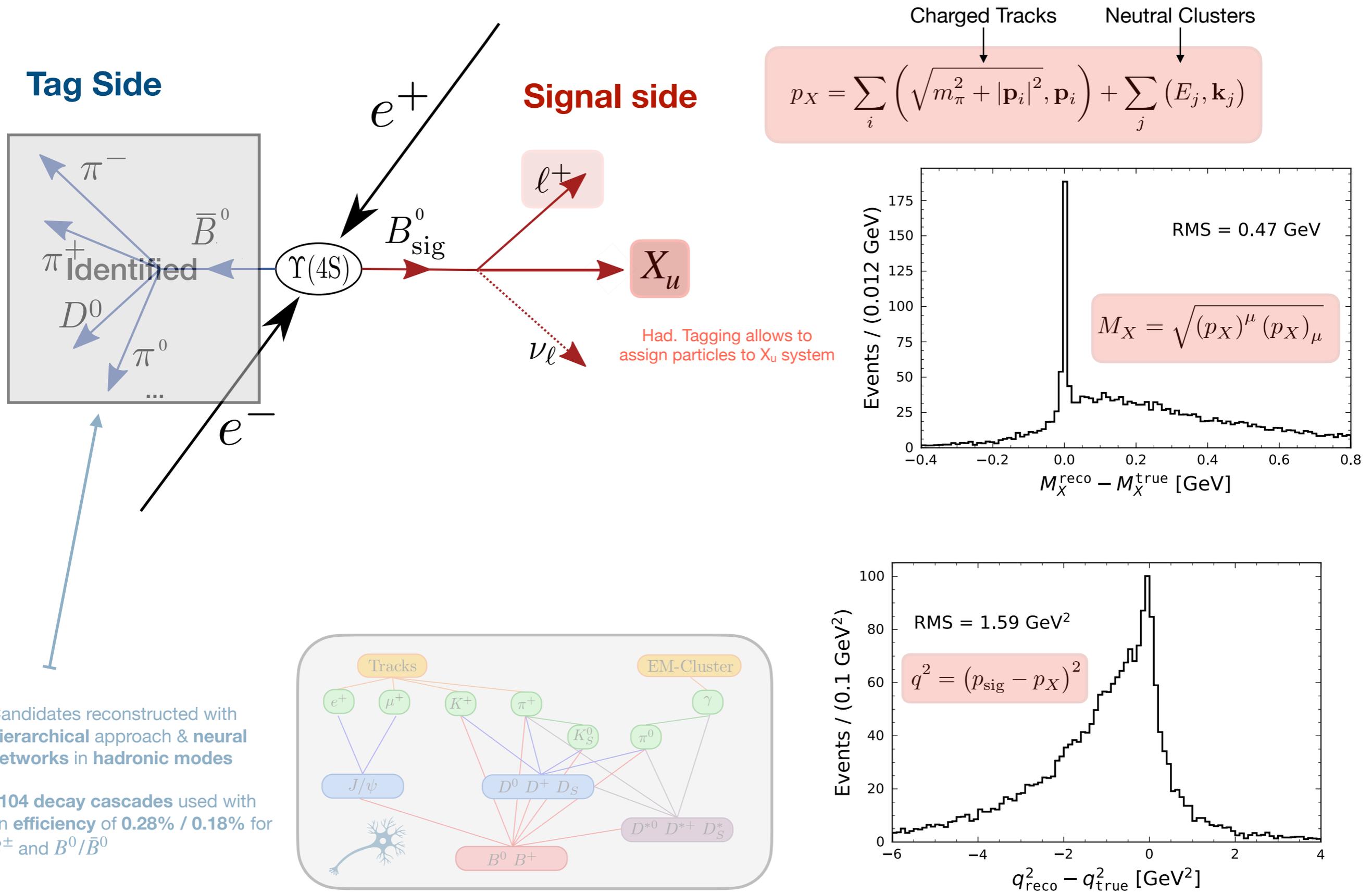


Candidates reconstructed with **hierarchical** approach & **neural networks** in **hadronic modes**

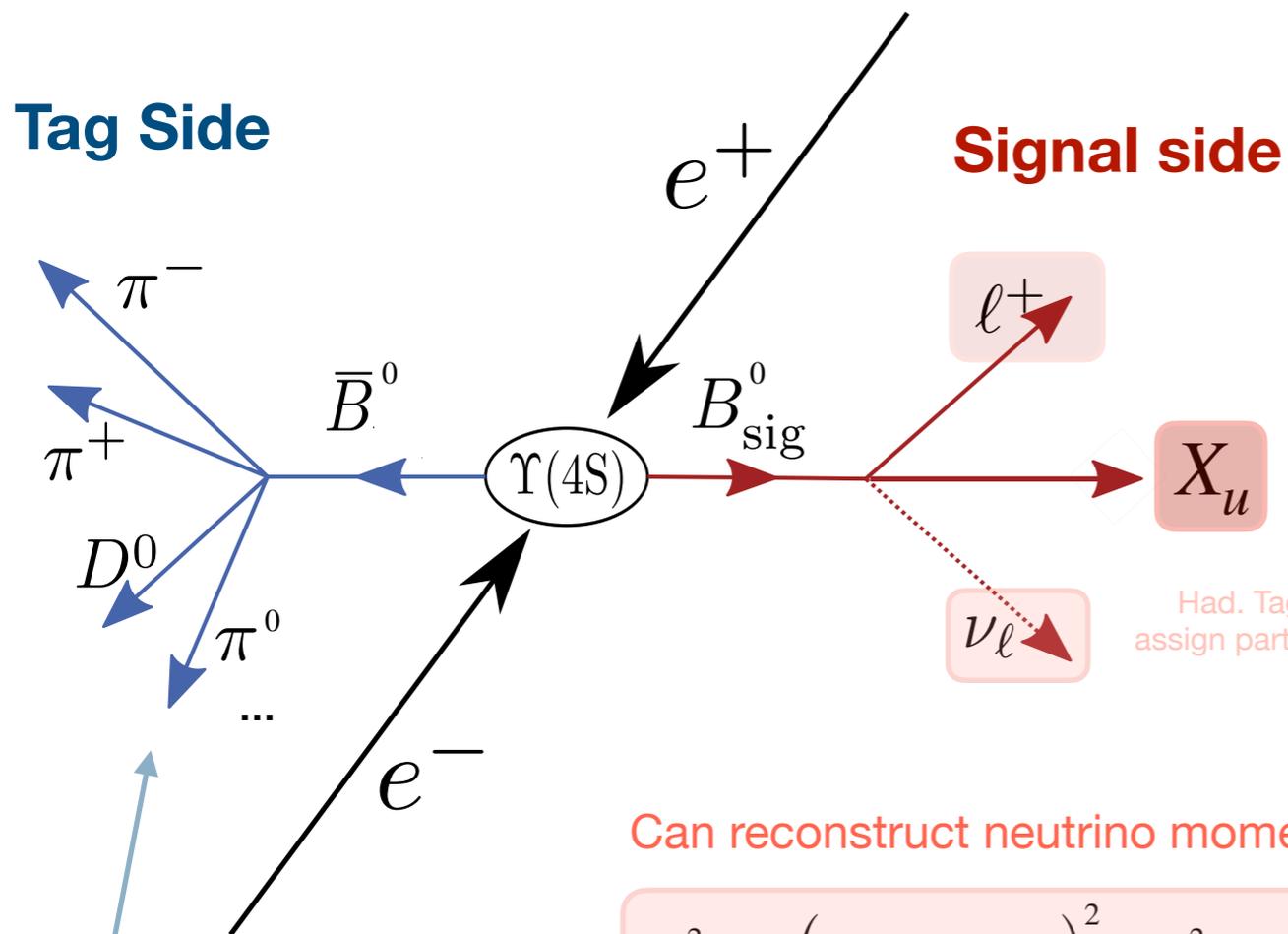
1104 decay cascades used with an **efficiency** of **0.28% / 0.18%** for B^\pm and B^0/\bar{B}^0



Analysis Strategy with hadronic Tagging



Analysis Strategy with hadronic Tagging

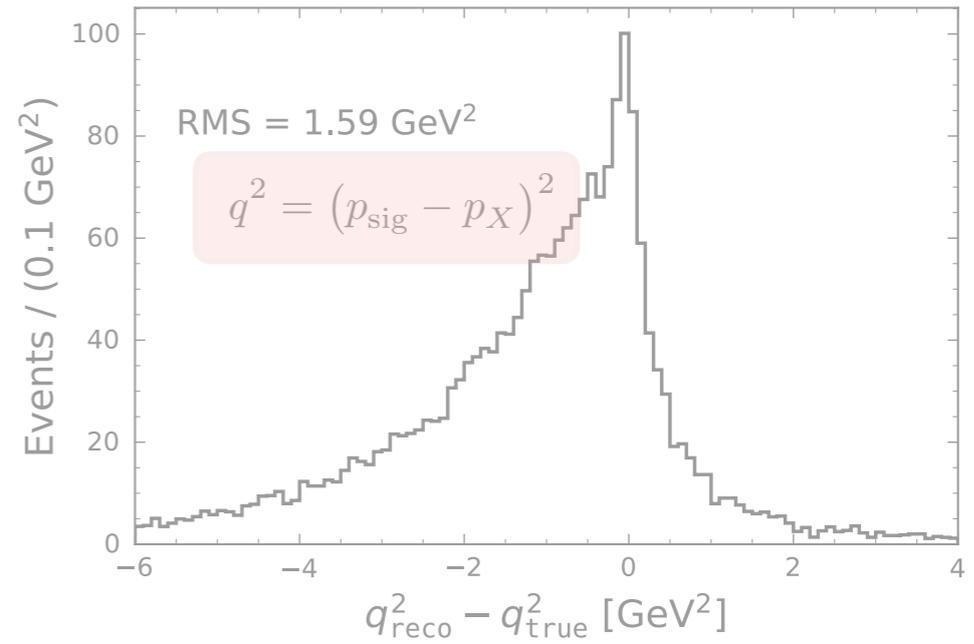
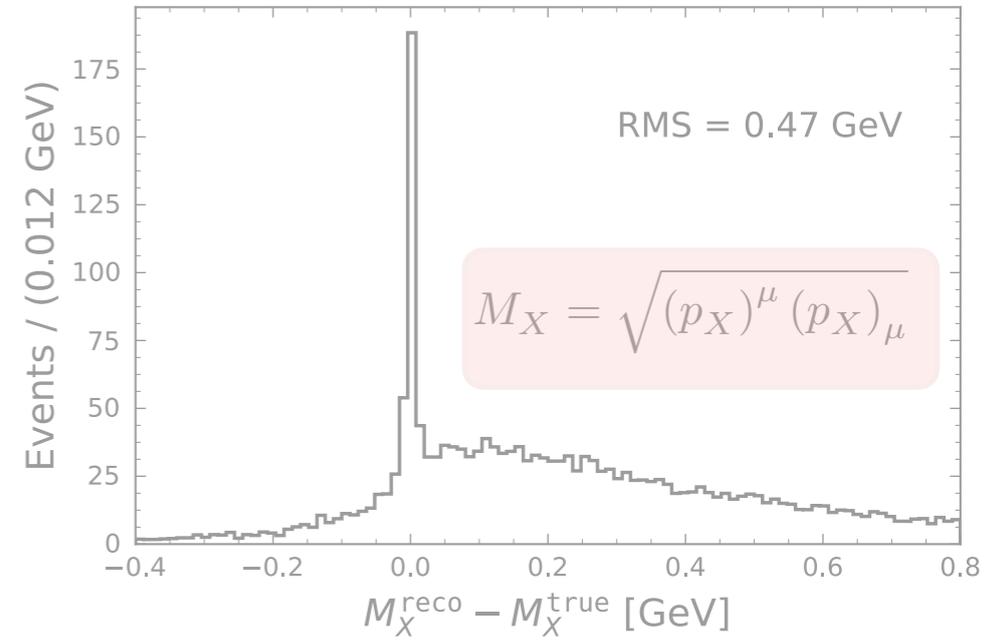


$$p_X = \sum_i \left(\sqrt{m_\pi^2 + |\mathbf{p}_i|^2}, \mathbf{p}_i \right) + \sum_j (E_j, \mathbf{k}_j)$$

Had. Tagging allows to assign particles to X_u system

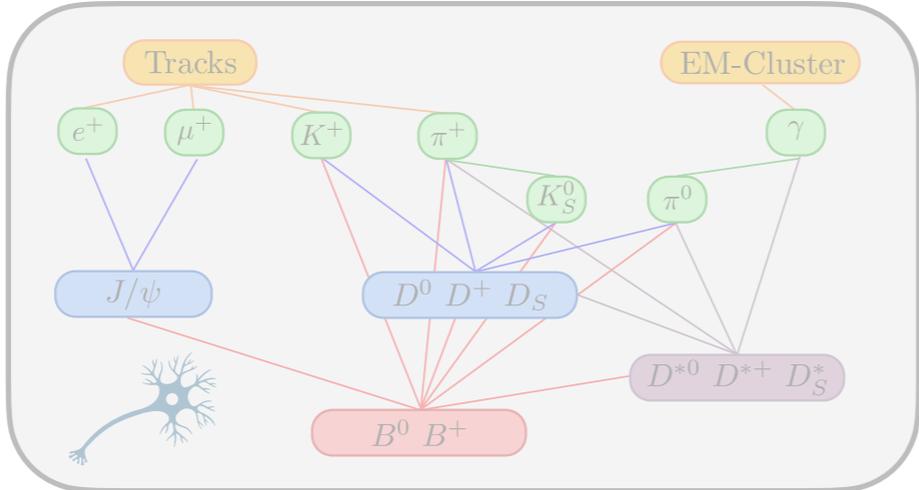
Can reconstruct neutrino momentum:

$$m_{\text{miss}}^2 = (p_{\text{sig}} - p_X - p_\ell)^2 \approx m_\nu^2 = 0 \text{ GeV}^2$$

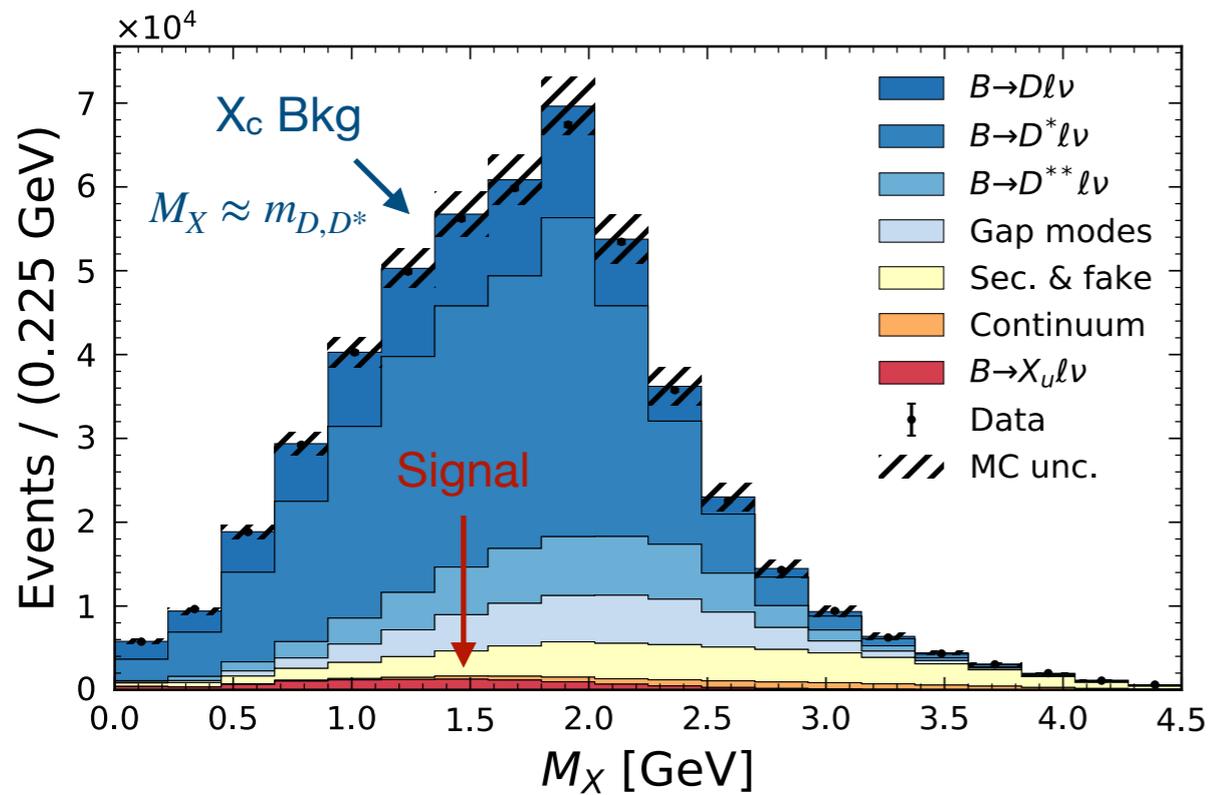


Candidates reconstructed with **hierarchical** approach & **neural networks** in **hadronic modes**

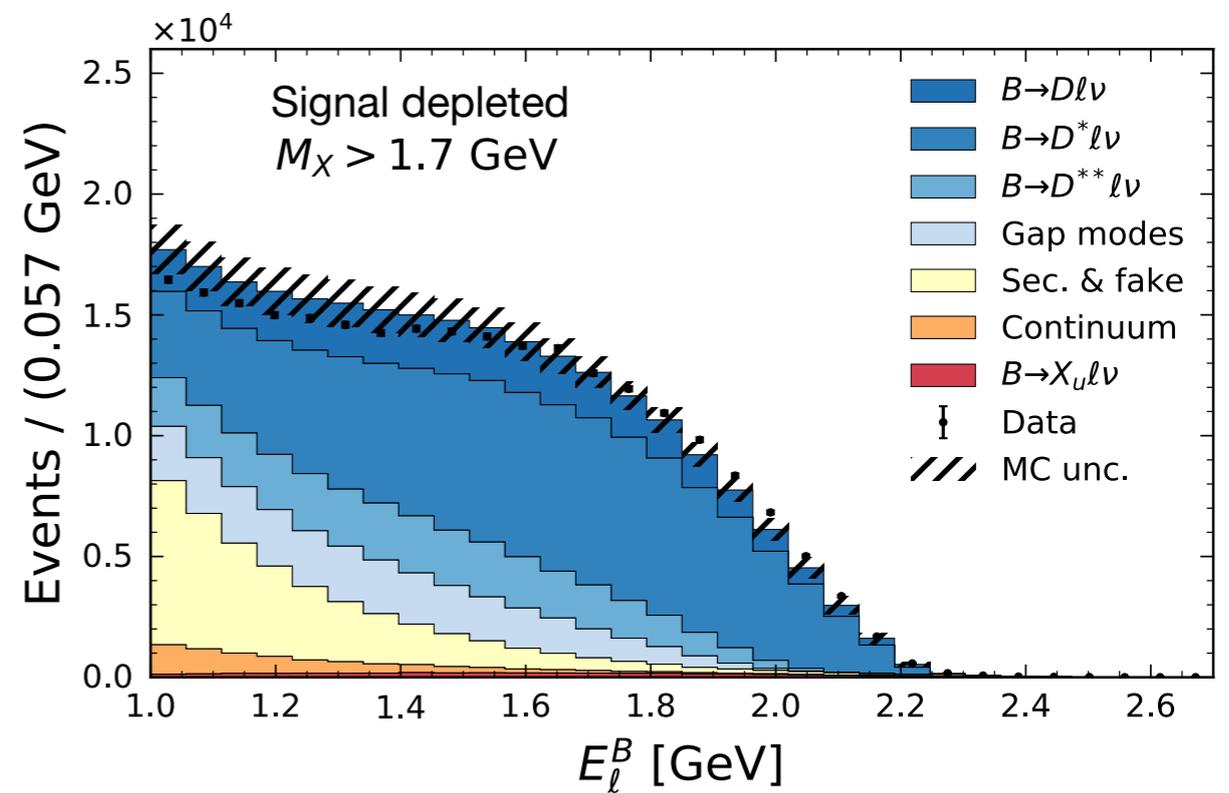
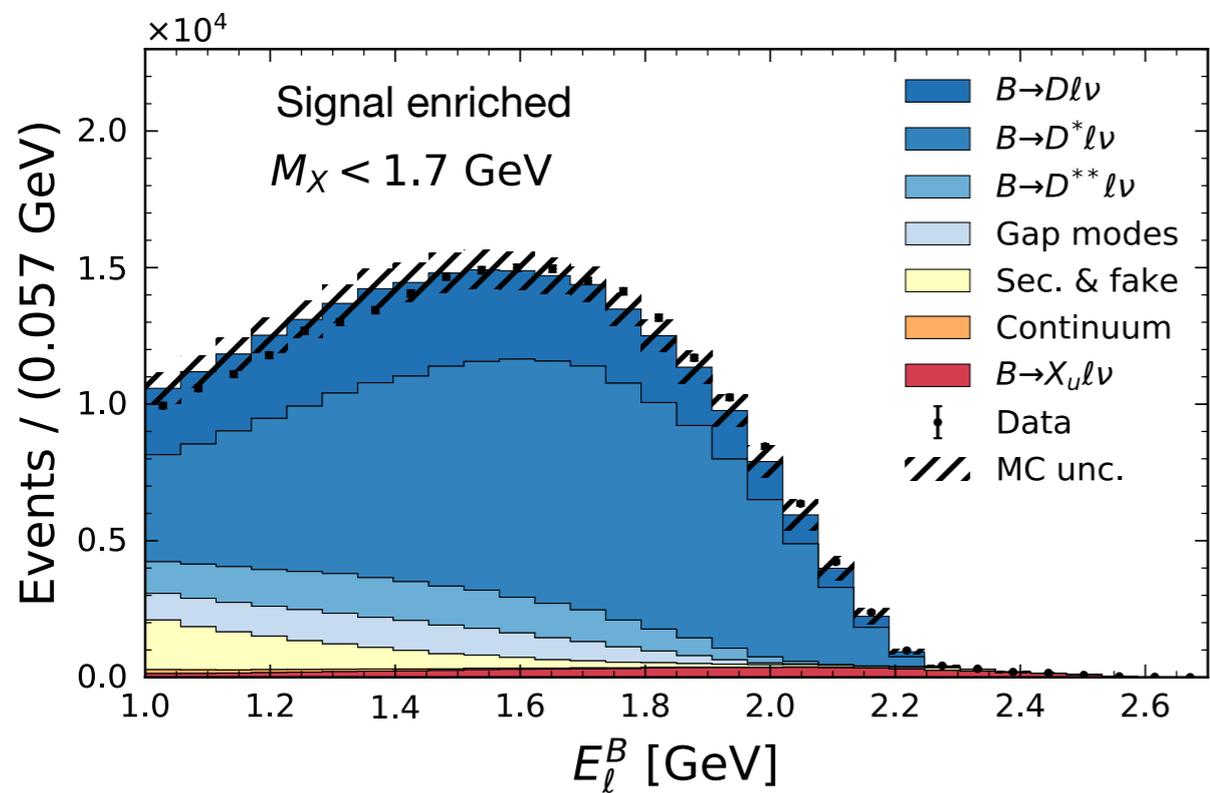
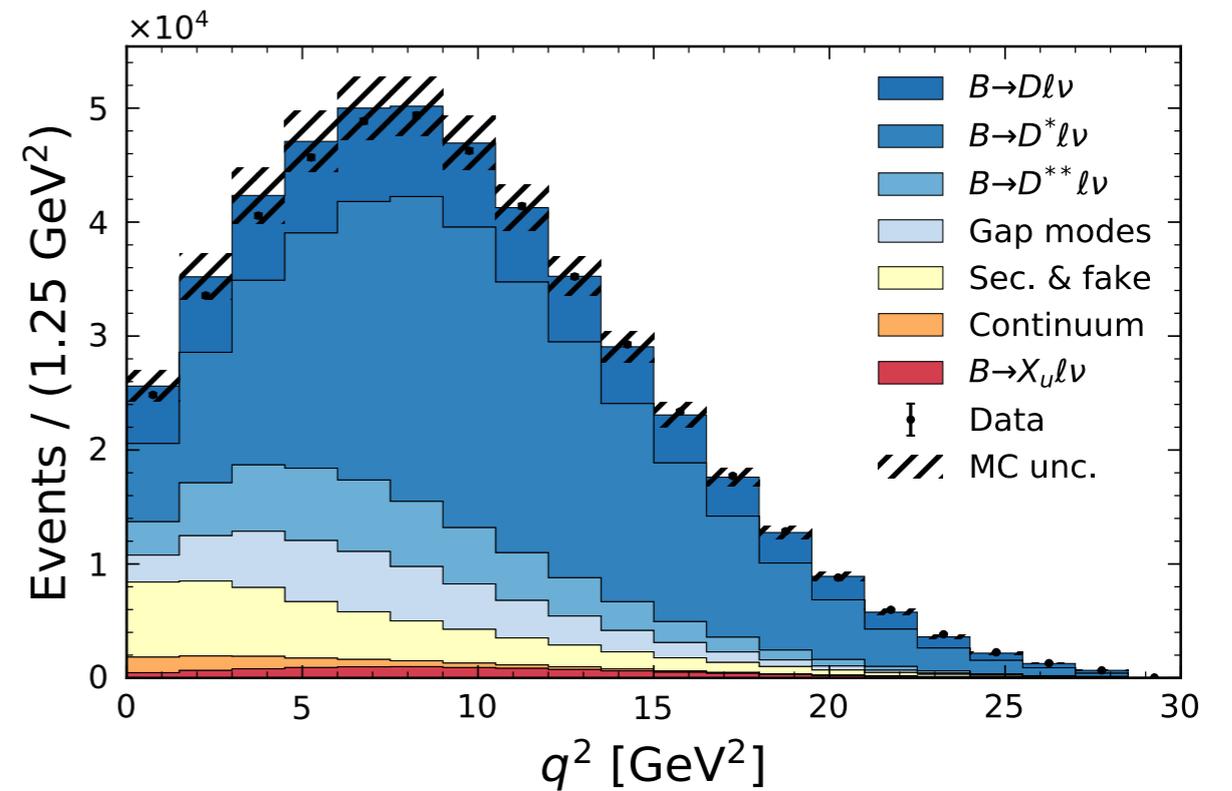
1104 decay cascades used with an **efficiency** of **0.28%** / **0.18%** for B^\pm and B^0/\bar{B}^0



Hadronic Mass $M_X = \sqrt{p_X^2}$



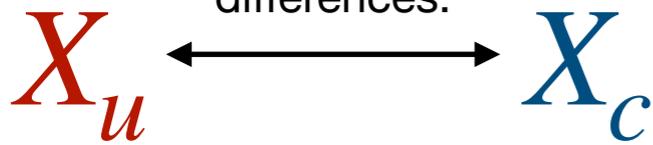
Four-momentum transfer squared $q^2 = (p_B - p_X)^2$



Lepton Energy in
signal B rest frame E_ℓ^B

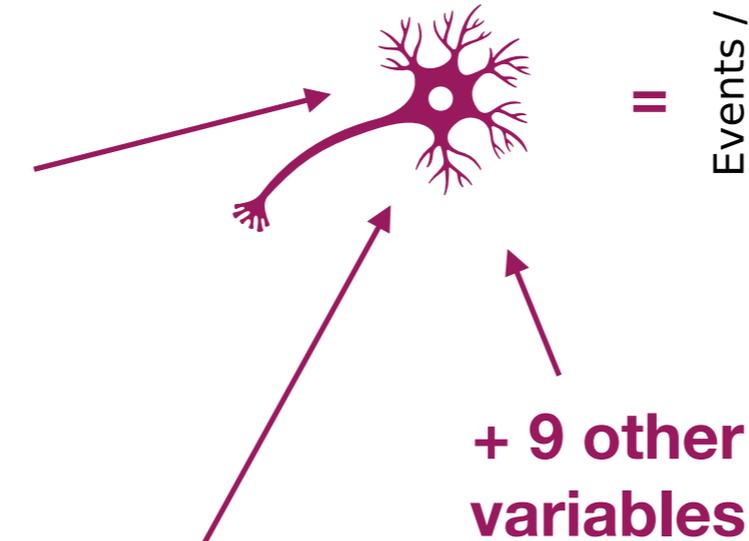
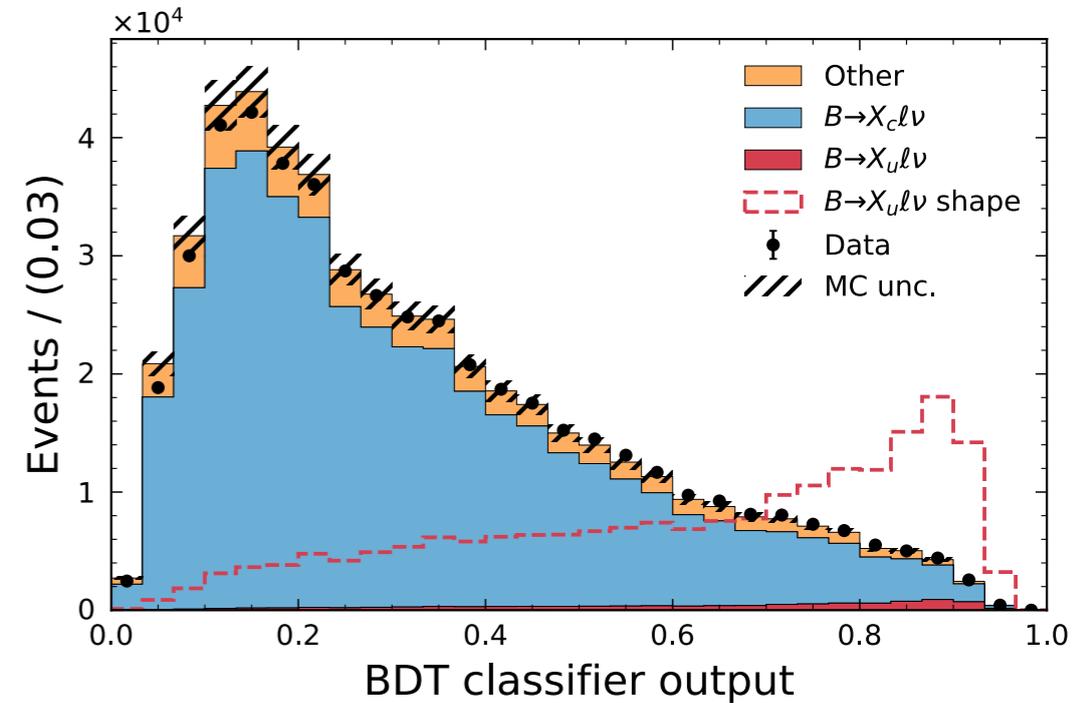
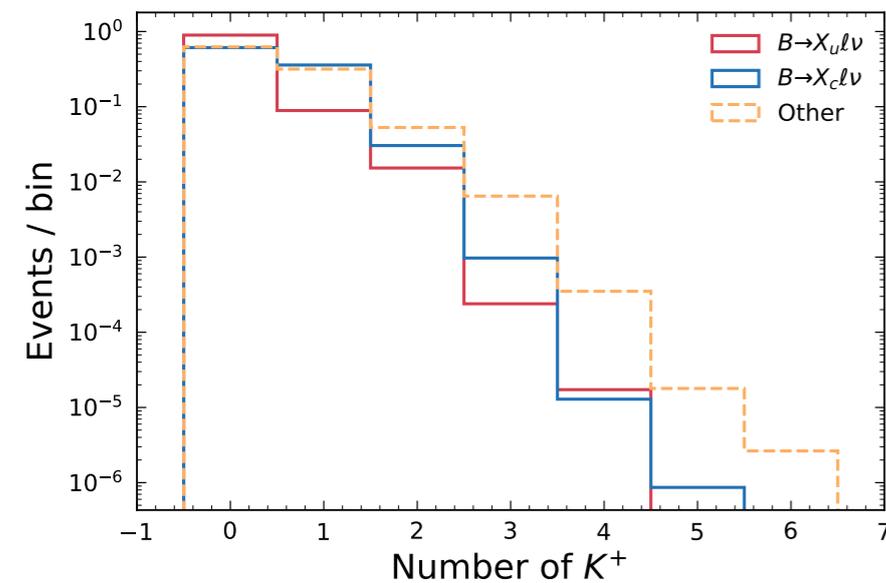
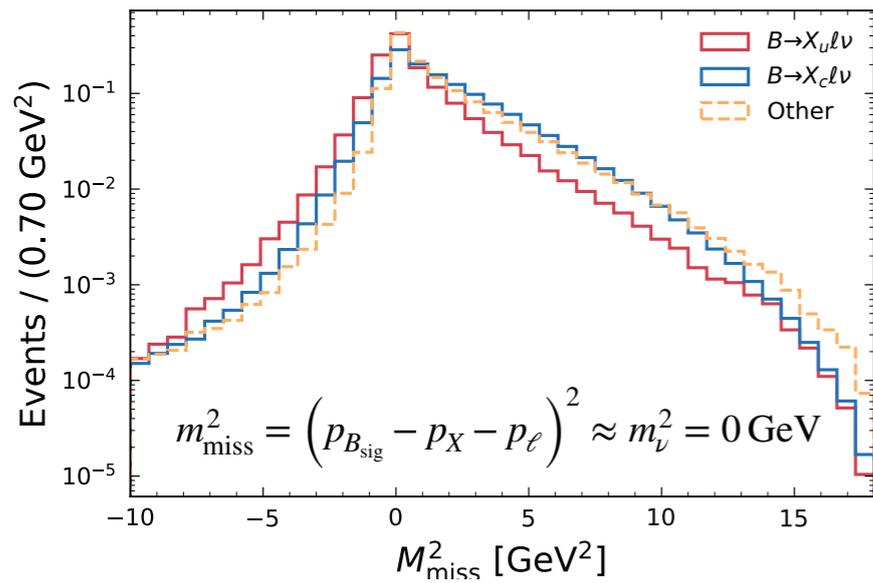
Multivariate Sledgehammer

Can exploit that there are differences:



Higher multiplicity
Often come with charged and neutral **Kaons**
D* decays (slow pions)
(Slightly lower E_e)

Direct cuts on m_X, E_ℓ problematic
(i.e. direct theory / shape-function dependence)



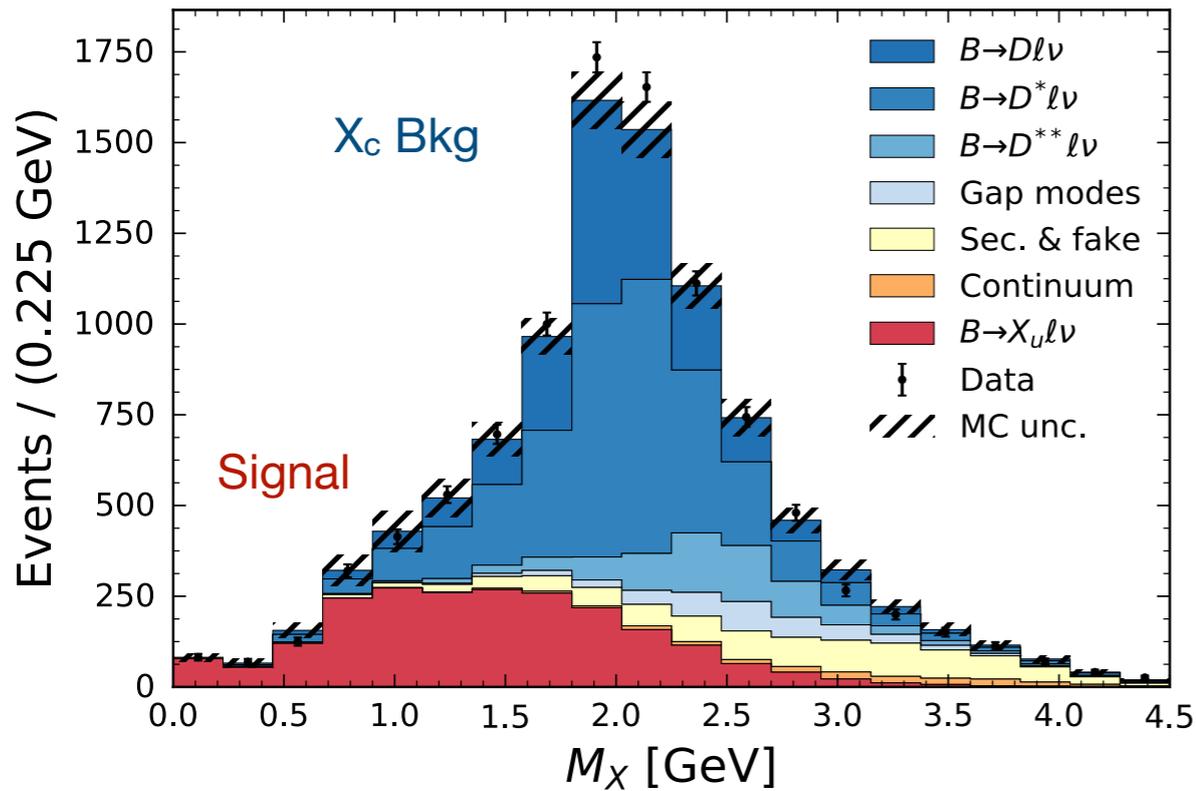
Can reject **98.7%** of X_c

Selection	$B \rightarrow X_u \ell^+ \nu_\ell$	$B \rightarrow X_c \ell^+ \nu_\ell$	Data
$M_{bc} > 5.27 \text{ GeV}$	84.8%	83.8%	80.2%
$\mathcal{O}_{\text{BDT}} > 0.85$	18.5%	1.3%	1.6%
$\mathcal{O}_{\text{BDT}} > 0.83$	21.9%	1.7%	2.1%
$\mathcal{O}_{\text{BDT}} > 0.87$	14.5%	0.9%	1.1%

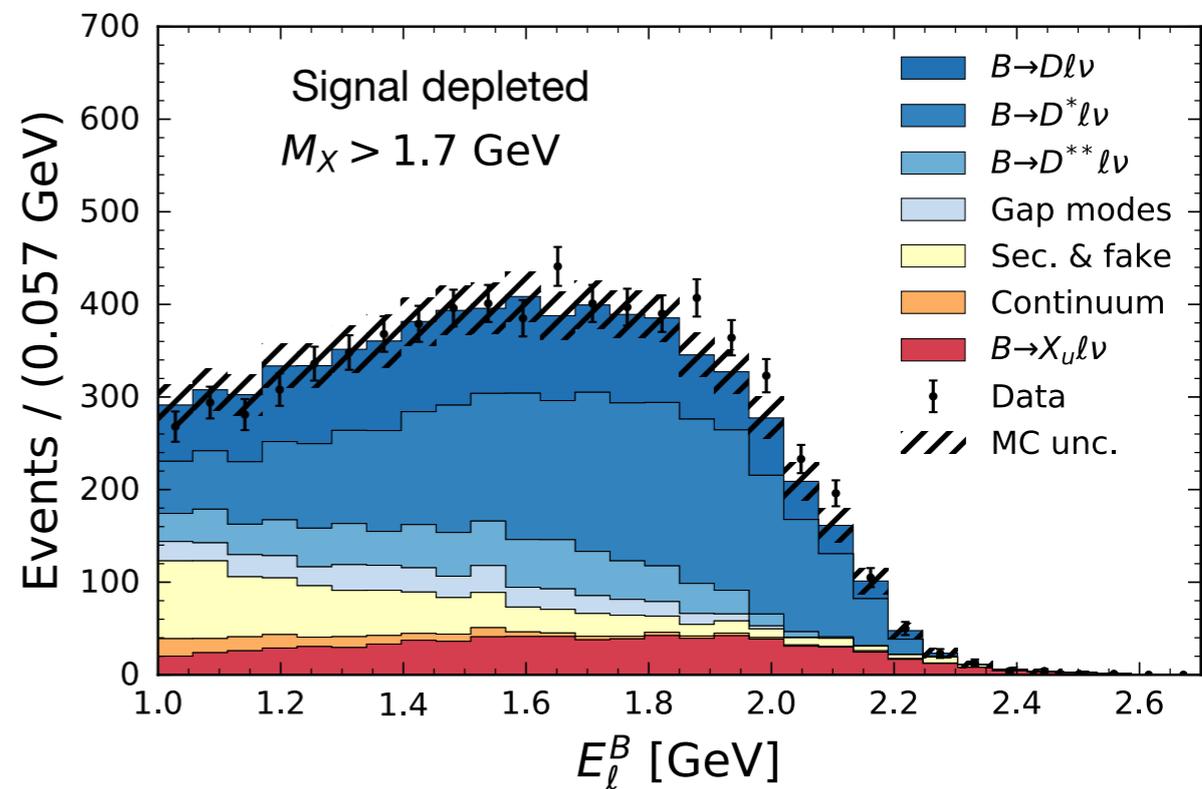
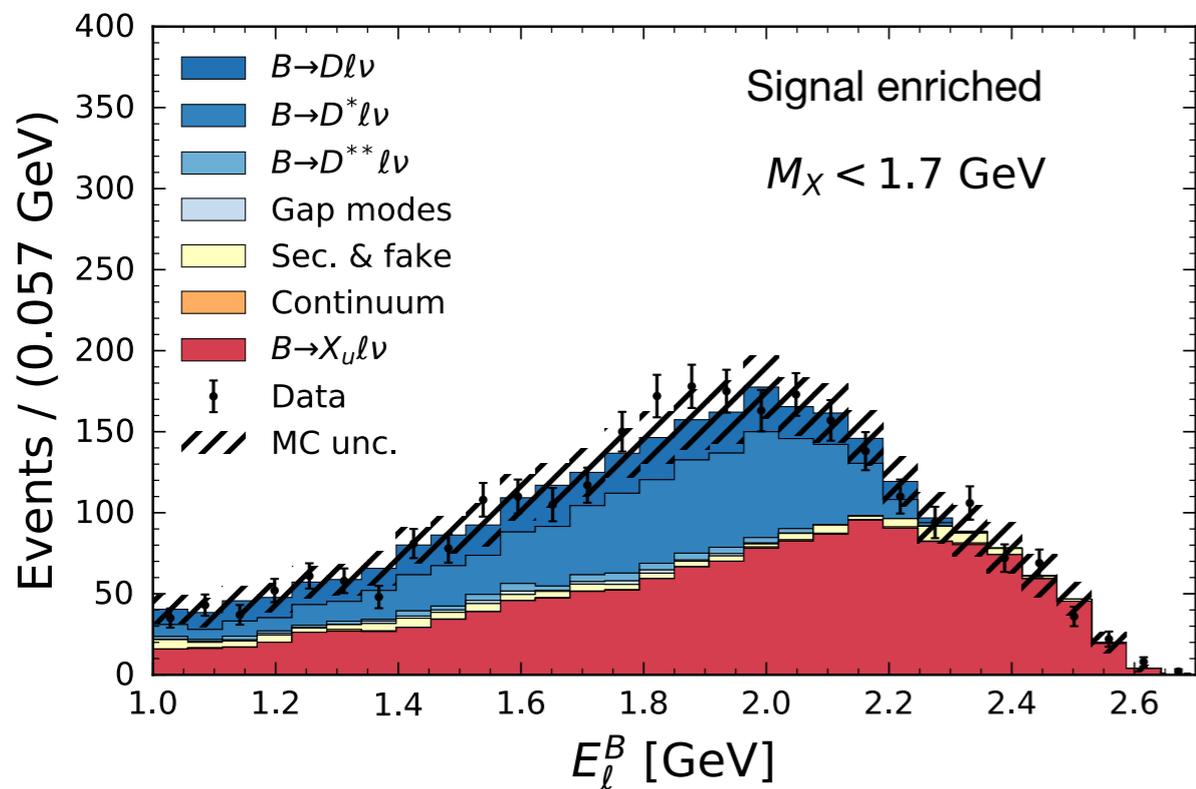
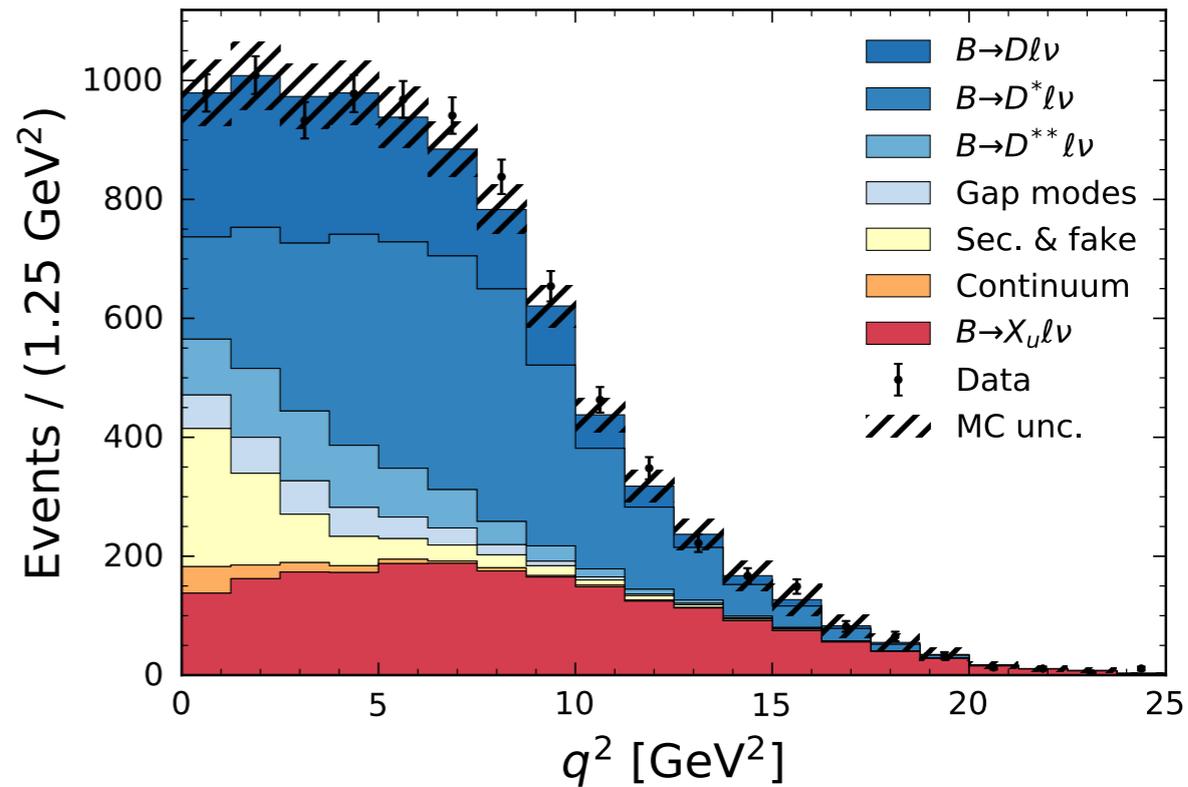
... and retain **18.5%** of X_u

After BDT selection

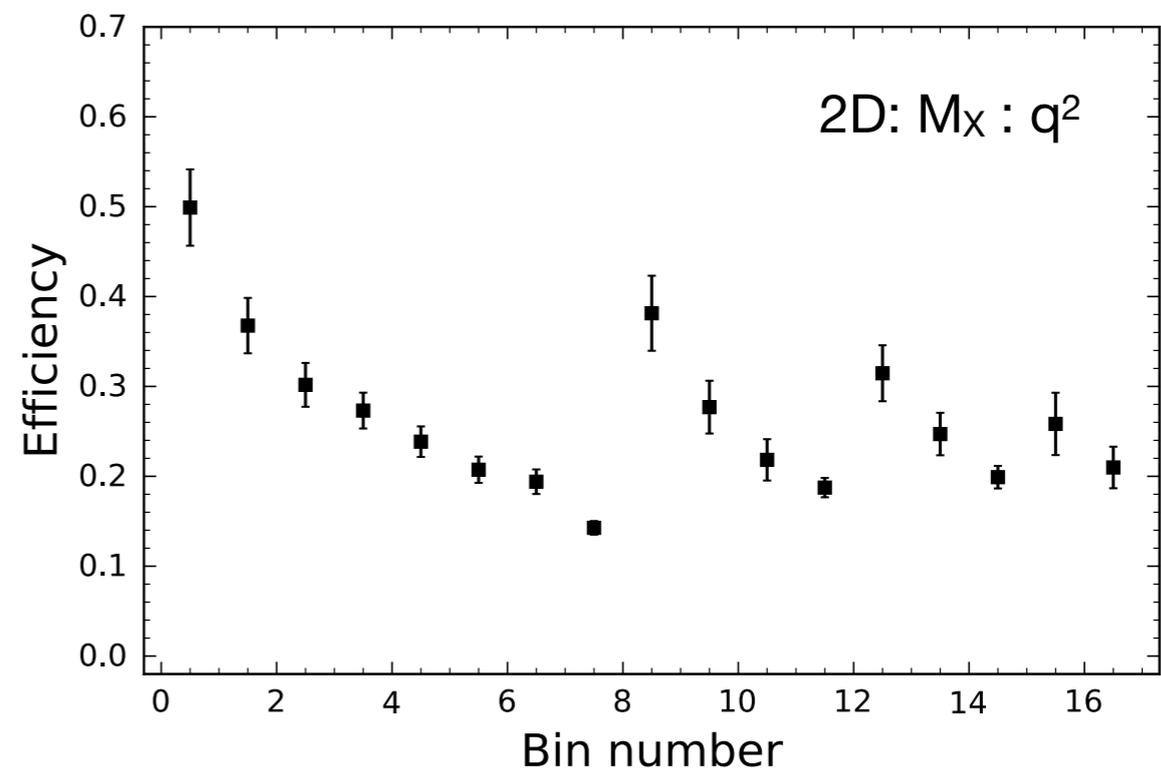
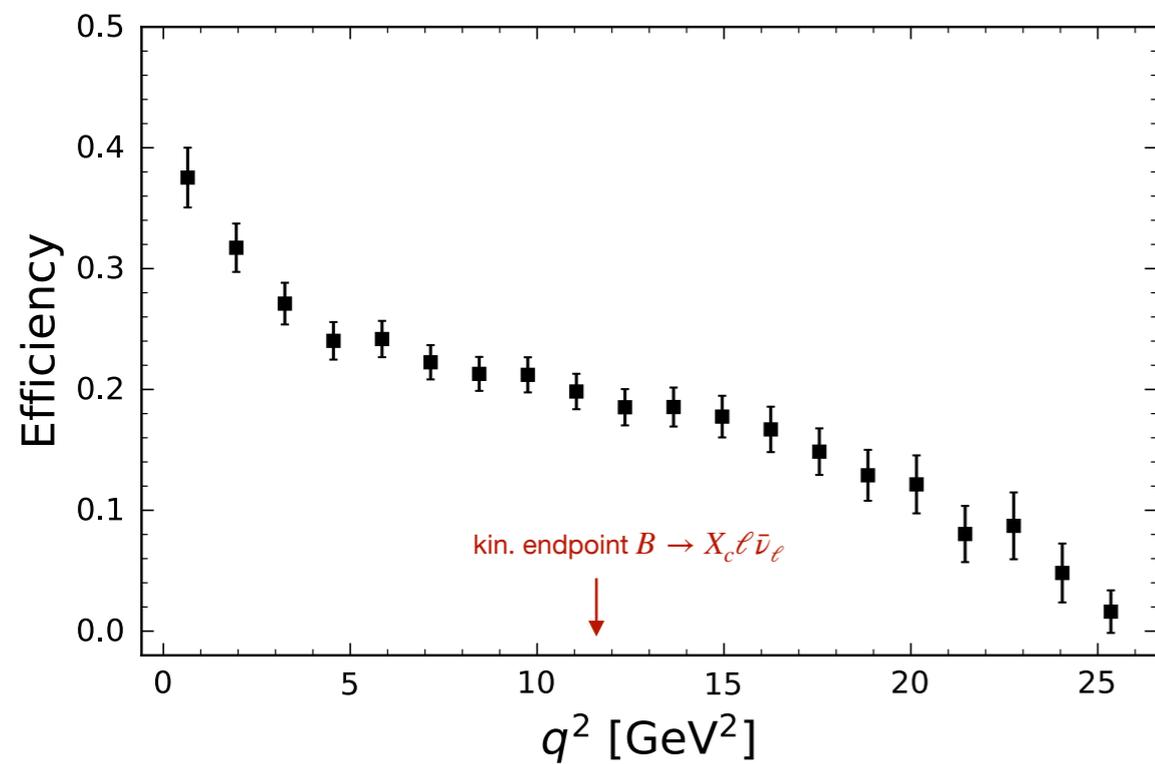
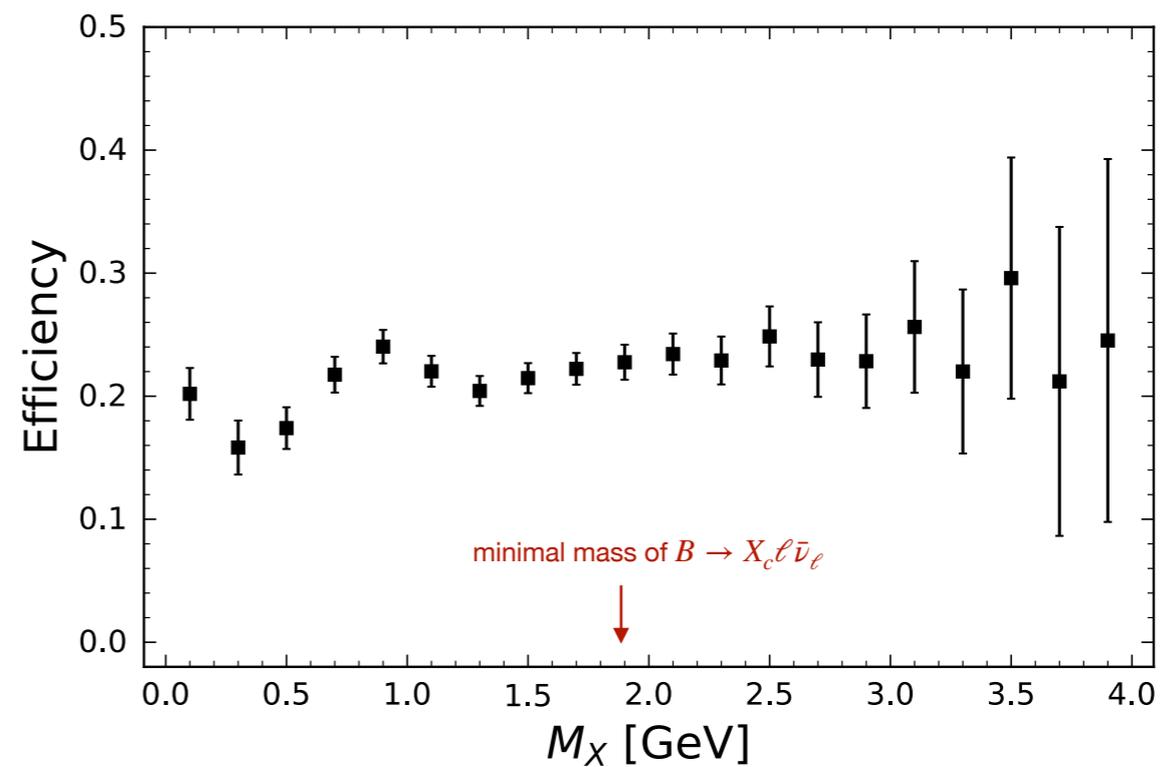
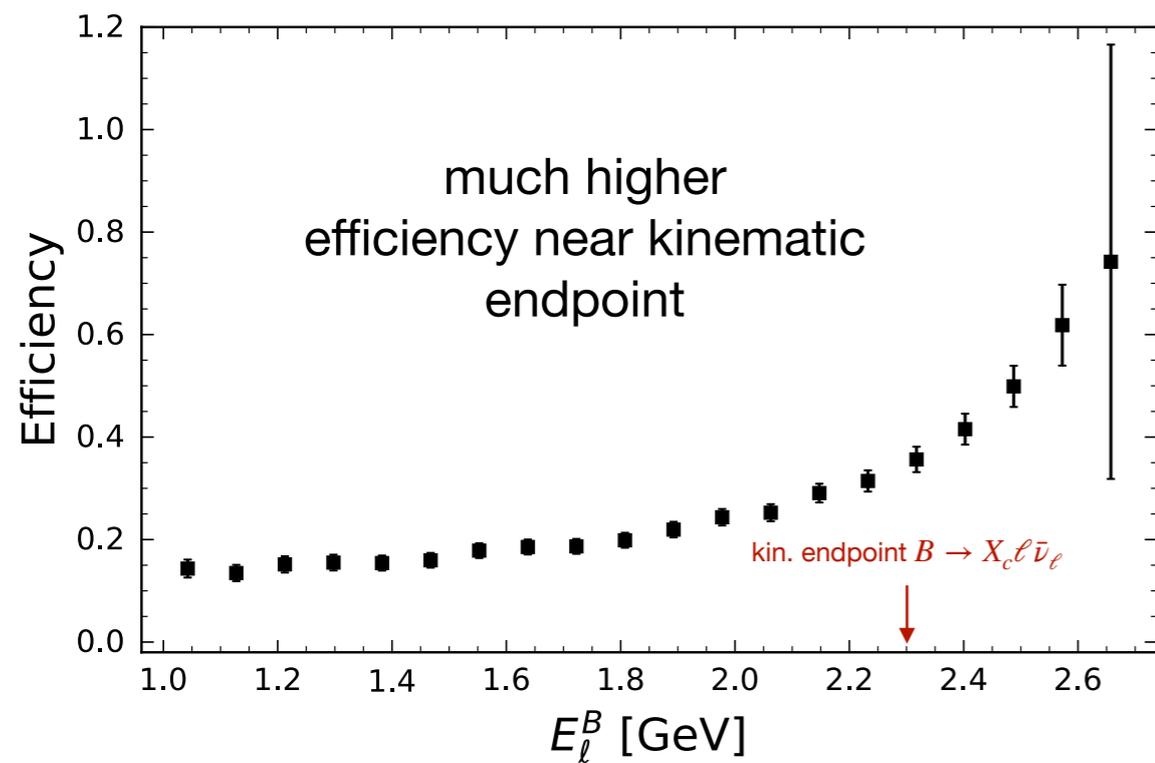
Hadronic Mass $M_X = \sqrt{p_X^2}$



Four-momentum transfer squared $q^2 = (p_B - p_X)^2$



Lepton Energy in
signal B restframe E_ℓ^B

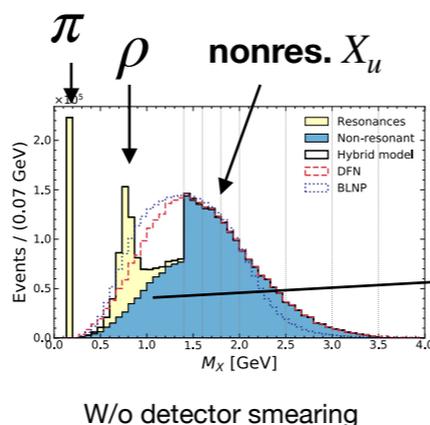


Fit for partial BFs

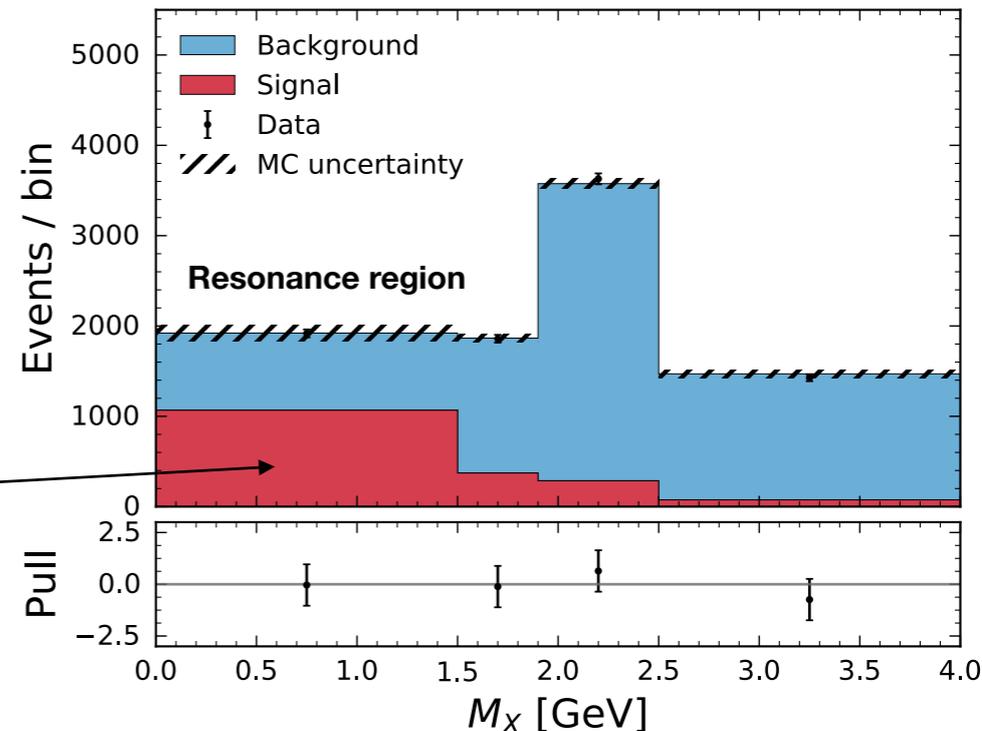
Subtraction of bkg in fit with coarse binning to minimize X_u modelling dependence
(low m_X , high q^2)

$$\mathcal{L} = \prod_i^{\text{bins}} \mathcal{P}(n_i; \nu_i) \times \prod_k \mathcal{G}_k,$$

Signal and Bkg shape errors included in Fit via NPs



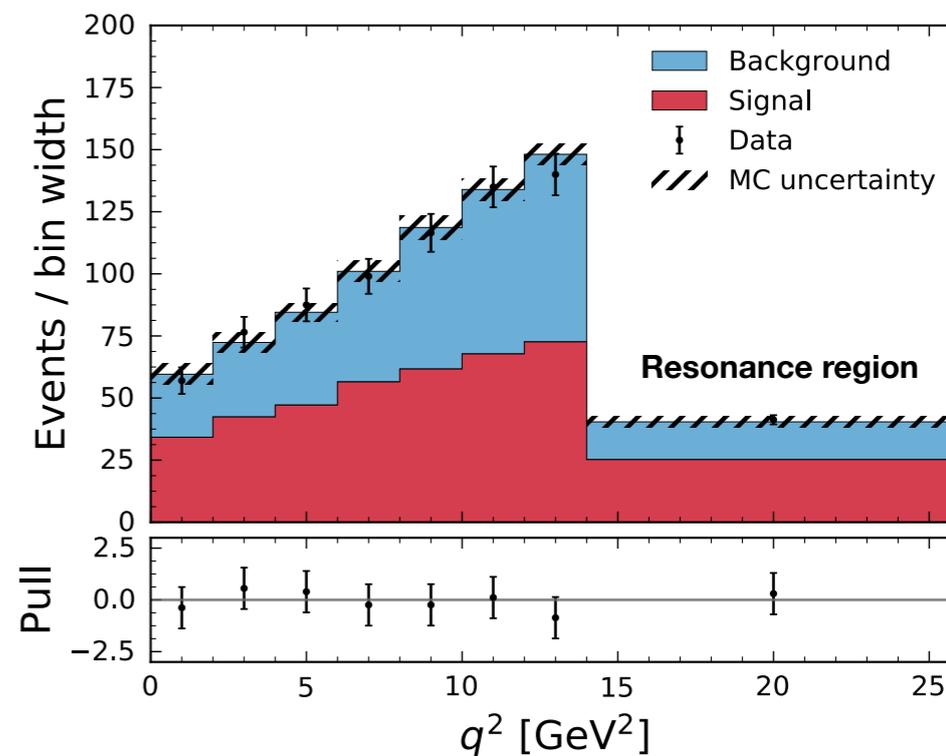
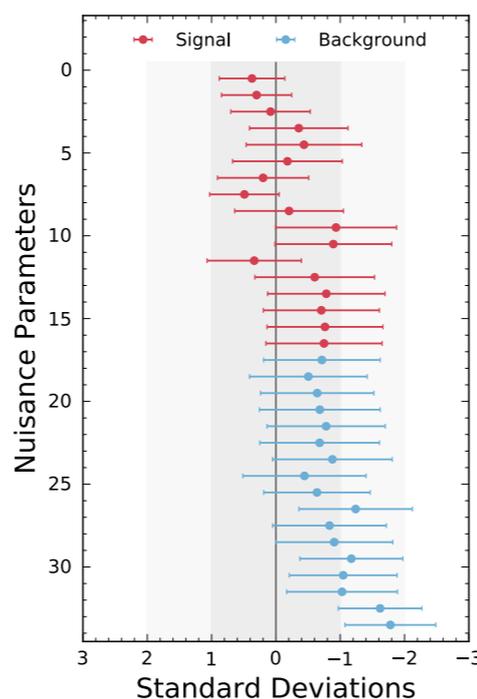
Projections of 2D fit in $m_X : q^2$



Unfold measured yields to **3 phase-space** regions:

Phase-space region
$M_X < 1.7 \text{ GeV}$
$M_X < 1.7 \text{ GeV}, q^2 > 8 \text{ GeV}^2$
$E_\ell^B > 1 \text{ GeV}$

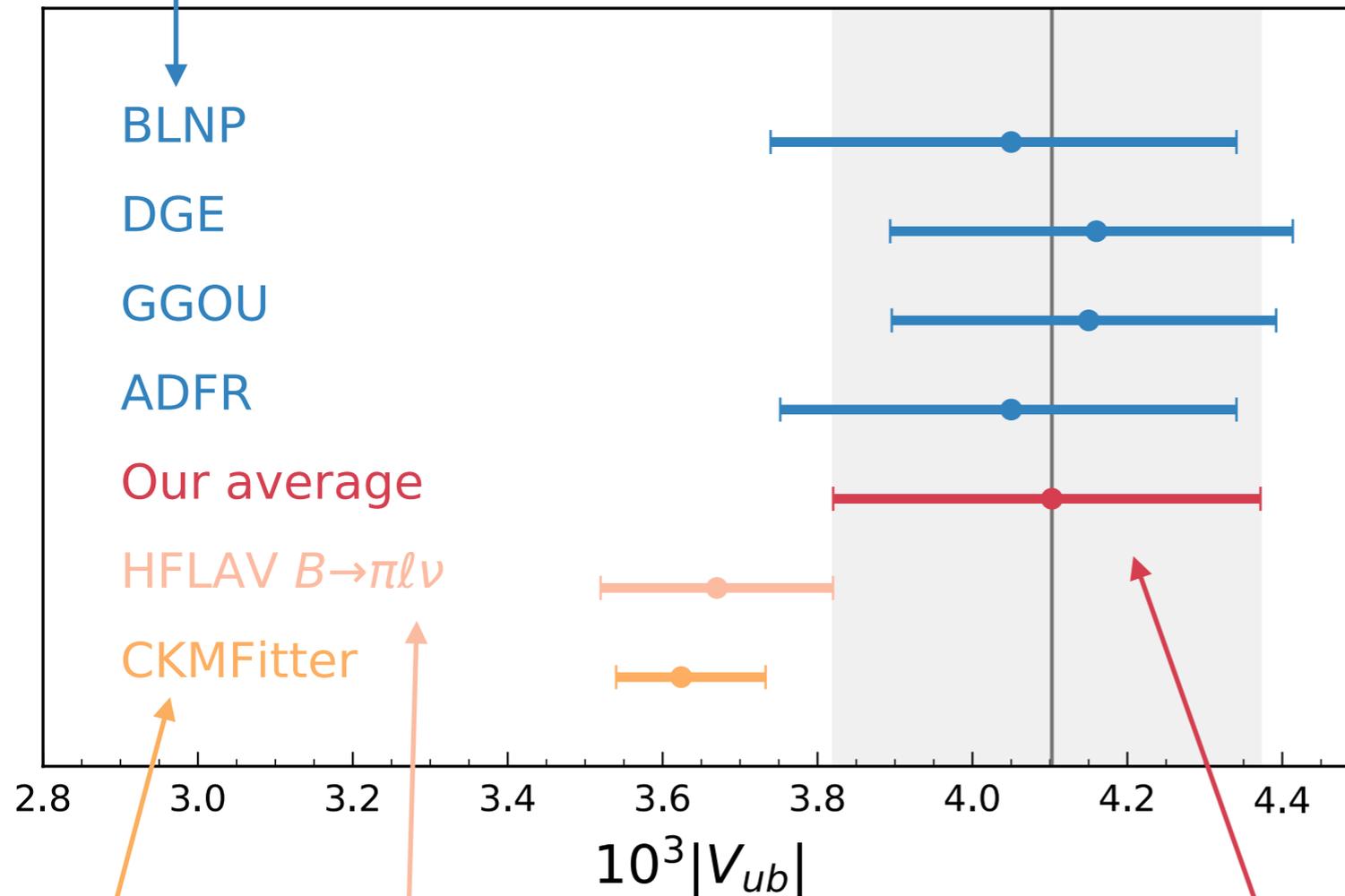
$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell)}{\tau_B \cdot \Delta\Gamma(B \rightarrow X_u \ell^+ \nu_\ell)}}$$



Fit kinematic distributions and measure **partial BF**

$$|V_{ub}| = \sqrt{\frac{\Delta\mathcal{B}(B \rightarrow X_u \ell^+ \nu_\ell)}{\tau_B \cdot \Delta\Gamma(B \rightarrow X_u \ell^+ \nu_\ell)}}$$

4 predictions of the partial rate



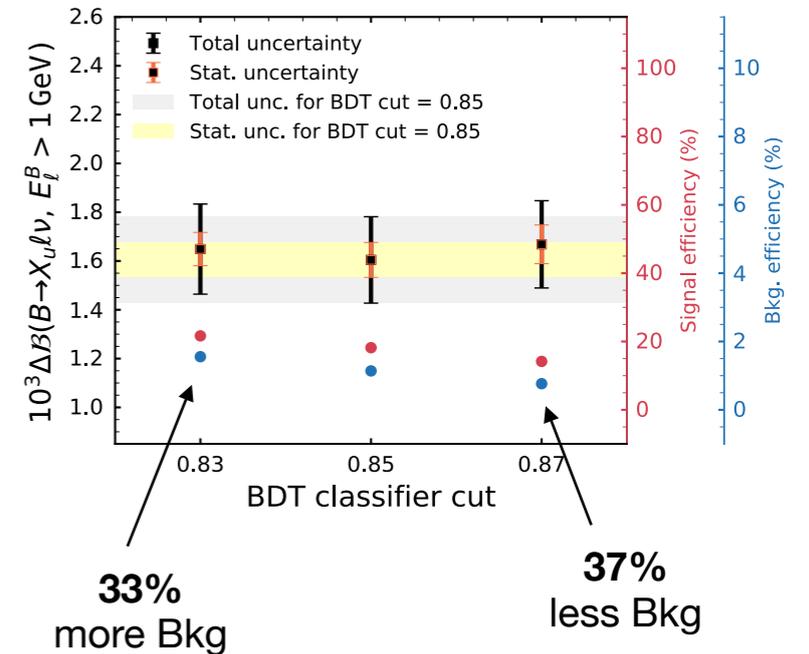
Exclusive Average for $B \rightarrow \pi \ell \bar{\nu}_\ell$:
 $|V_{ub}| = (3.67 \pm 0.09 \pm 0.12) \times 10^{-3}$

Arithmetic average:
 $|V_{ub}| = (4.10 \pm 0.09 \pm 0.22 \pm 0.15) \times 10^{-3}$

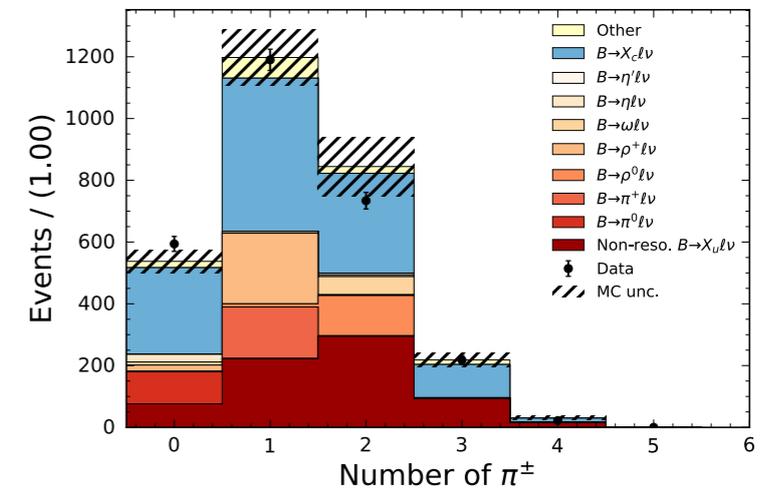
CKM Unitarity:

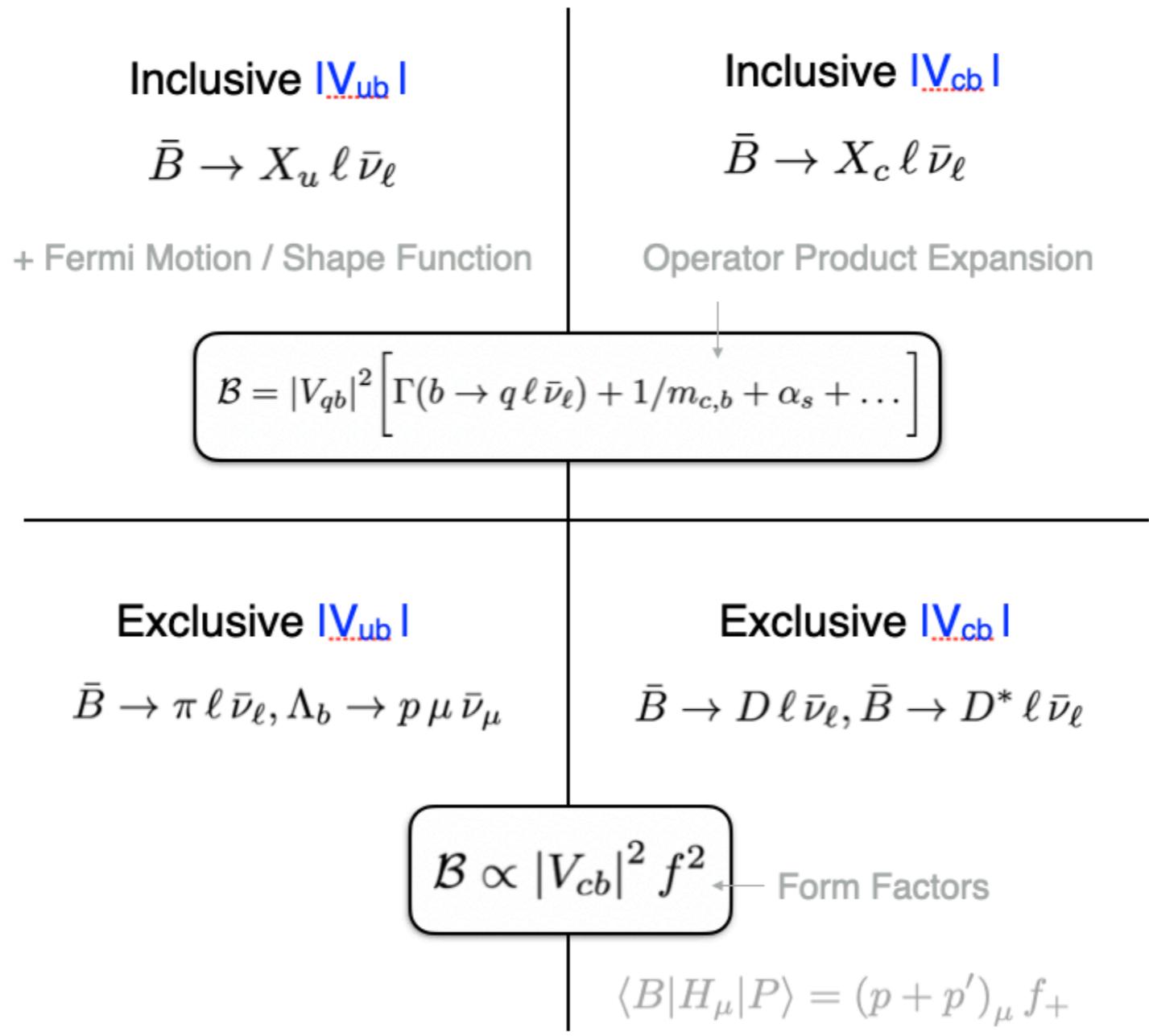
$$|V_{ub}| = (3.62^{+0.11}_{-0.08}) \times 10^{-3}$$

Stability as a function of BDT cut:



Post-fit N_{π^+} distribution:

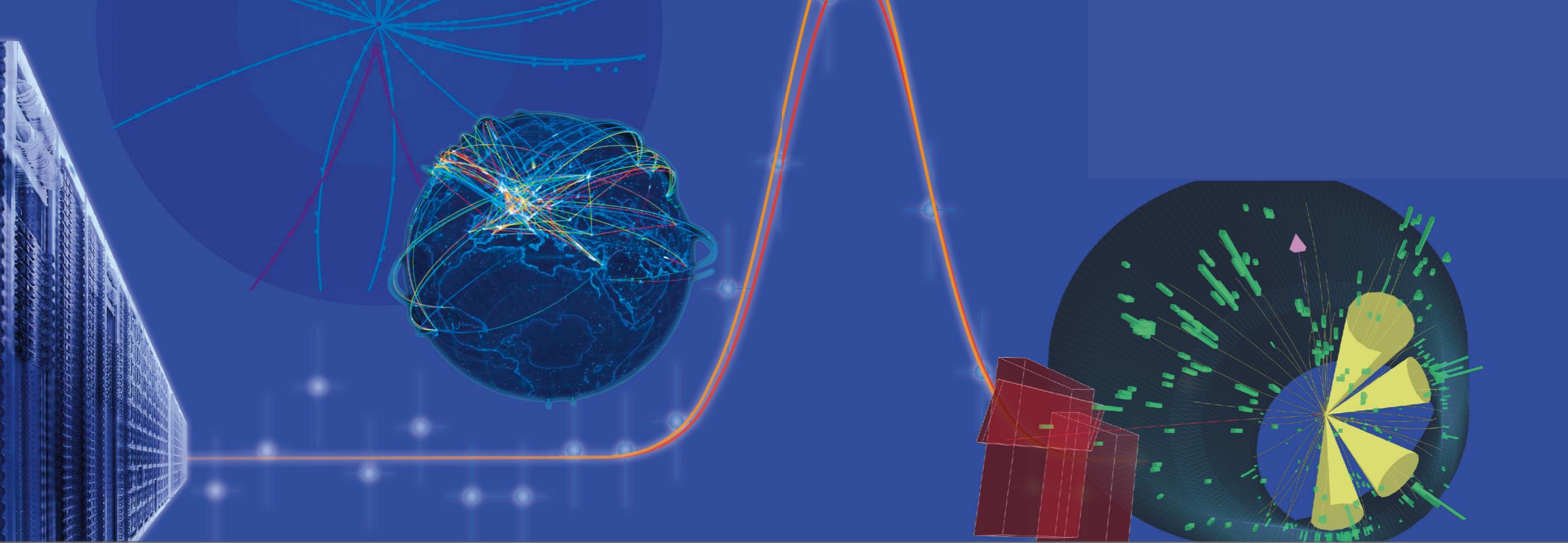




Time to stop — thank you for your attention!

Things we did not talk about (non-exhaustive list)

- Form Factor Expansions (i.e. what do you actually fit to your measurements)
- Experimental Questions that arise from this : Truncation uncertainties, etc.
- Measurements with τ (Additional introductory material is attached)
- Differential Measurements of inclusive $B \rightarrow X_u \ell \bar{\nu}_\ell$ and why they offer unique input to non-perturbative physics
- $B \rightarrow D^{**} \ell \bar{\nu}_\ell$ and the like
- NP Fits and Full angular measurements



A) Measurements with τ

or

let's make this even harder :-)

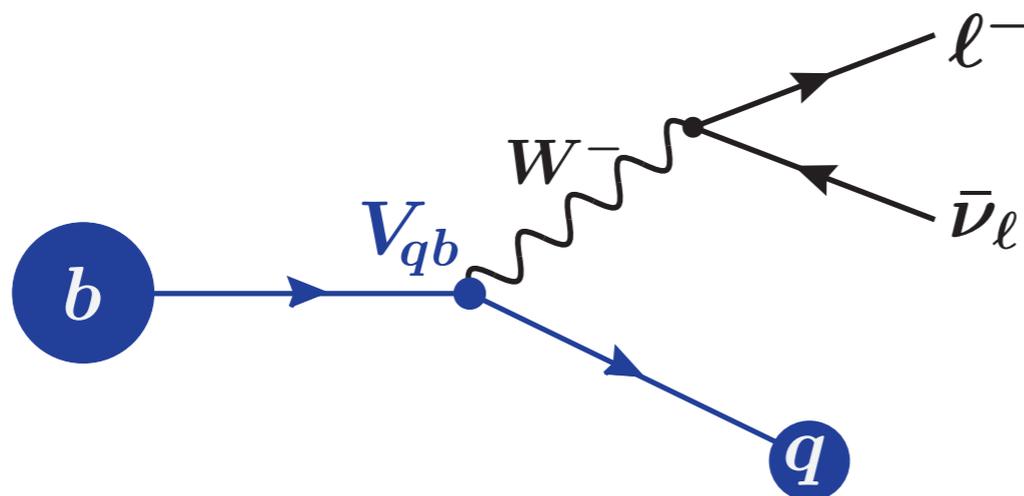
Measurement Strategies

$$R = \frac{\text{Signal } b \rightarrow q \tau \bar{\nu}_\tau}{\text{Normalization } b \rightarrow q \ell \bar{\nu}_\ell}$$

$\ell = e, \mu$

1. Leptonic or Hadronic τ decays?

Some properties (e.g. τ polarization) readily accessible in hadronic decays.



2. Albeit not necessarily a rare decay of O(%) in BF, TRICKY to separate from normalisation and backgrounds

LHCb: Isolation criteria, displacement of τ , kinematics

B-Factories: Full reconstruction of event (Tagging), matching topology, kinematics

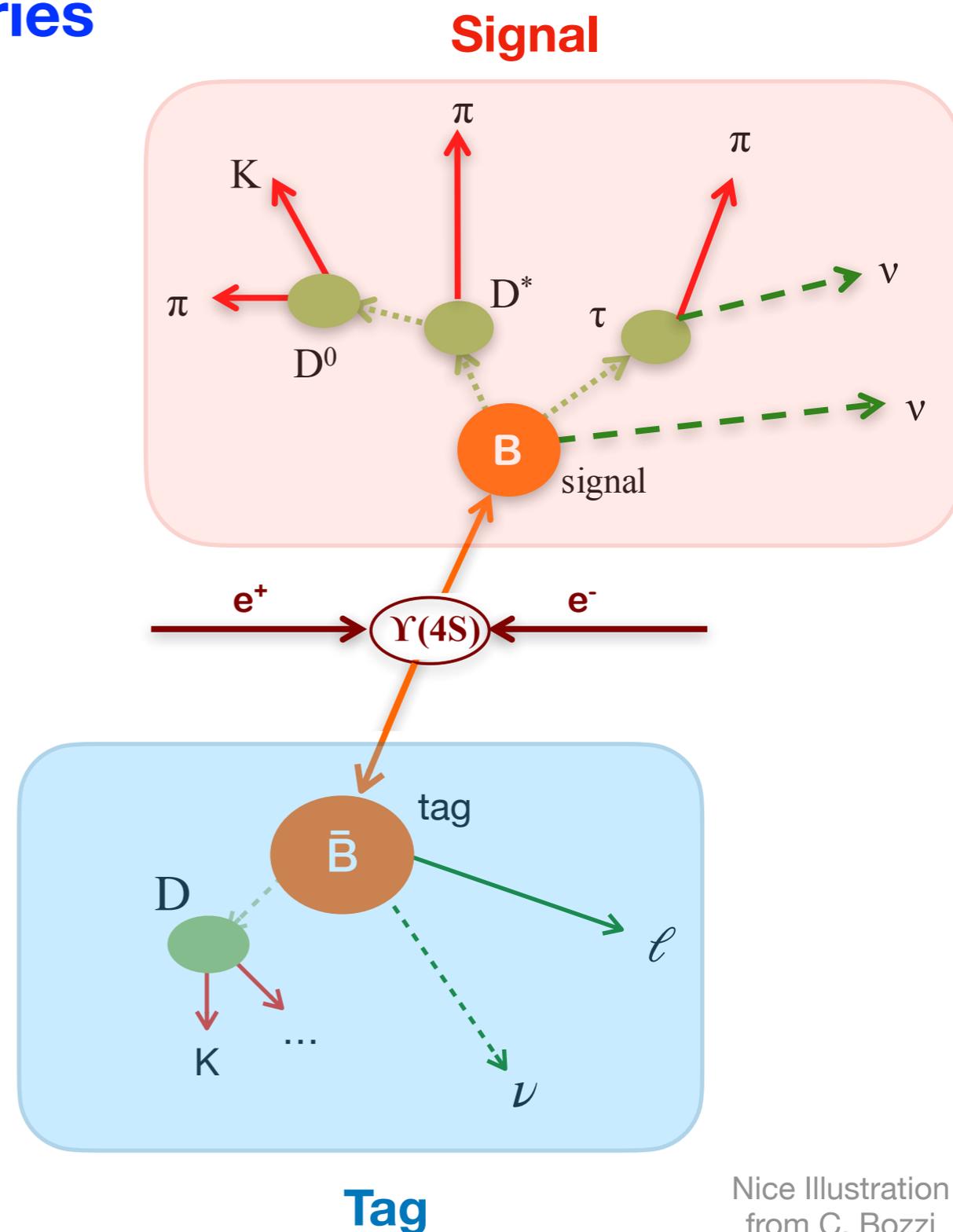
Measurement Strategies

3. Semileptonic decays at B-Factories

- ▶ e^+/e^- collision produces $Y(4S) \rightarrow B\bar{B}$
- ▶ Fully reconstruct one of the two B-mesons ('tag') → **possible to assign all particles** to either signal or tag B
- ▶ **Missing four-momentum (neutrinos)** can be reconstructed with high precision

$$p_{\text{miss}} = (p_{\text{beam}} - p_{B\text{tag}} - p_{D^{(*)}} - p_{\ell})$$

✓ **Small efficiency (~0.2-0.4%) compensated by large integrated luminosity**



Measurement Strategies

4. Semileptonic decays at LHCb

- ▶ No constraint from beam energy at a hadron machine, **but..**

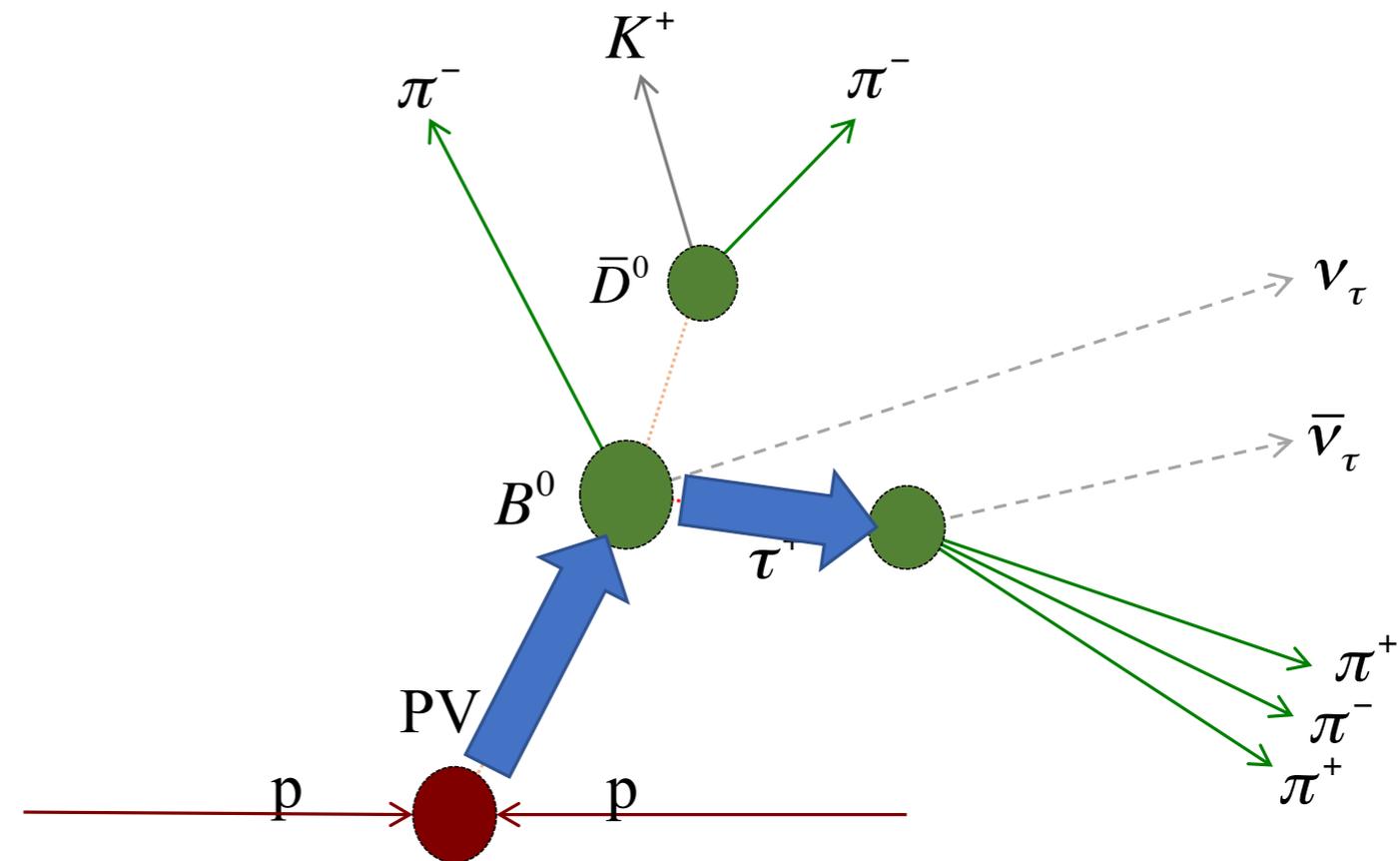
- ▶ **Large Lorentz boost** with decay lengths in the range of **mm**

✓ **Well-separated decay vertices**

✓ **Momentum direction of decaying particle is well known**

- ▶ With known masses and other decay products can even **reconstruct four-momentum transfer squared q^2** up to a two-fold ambiguity

$$q^2 = (p_{X_b} - p_{X_q})^2$$



Nice Illustration
from C. Bozzi

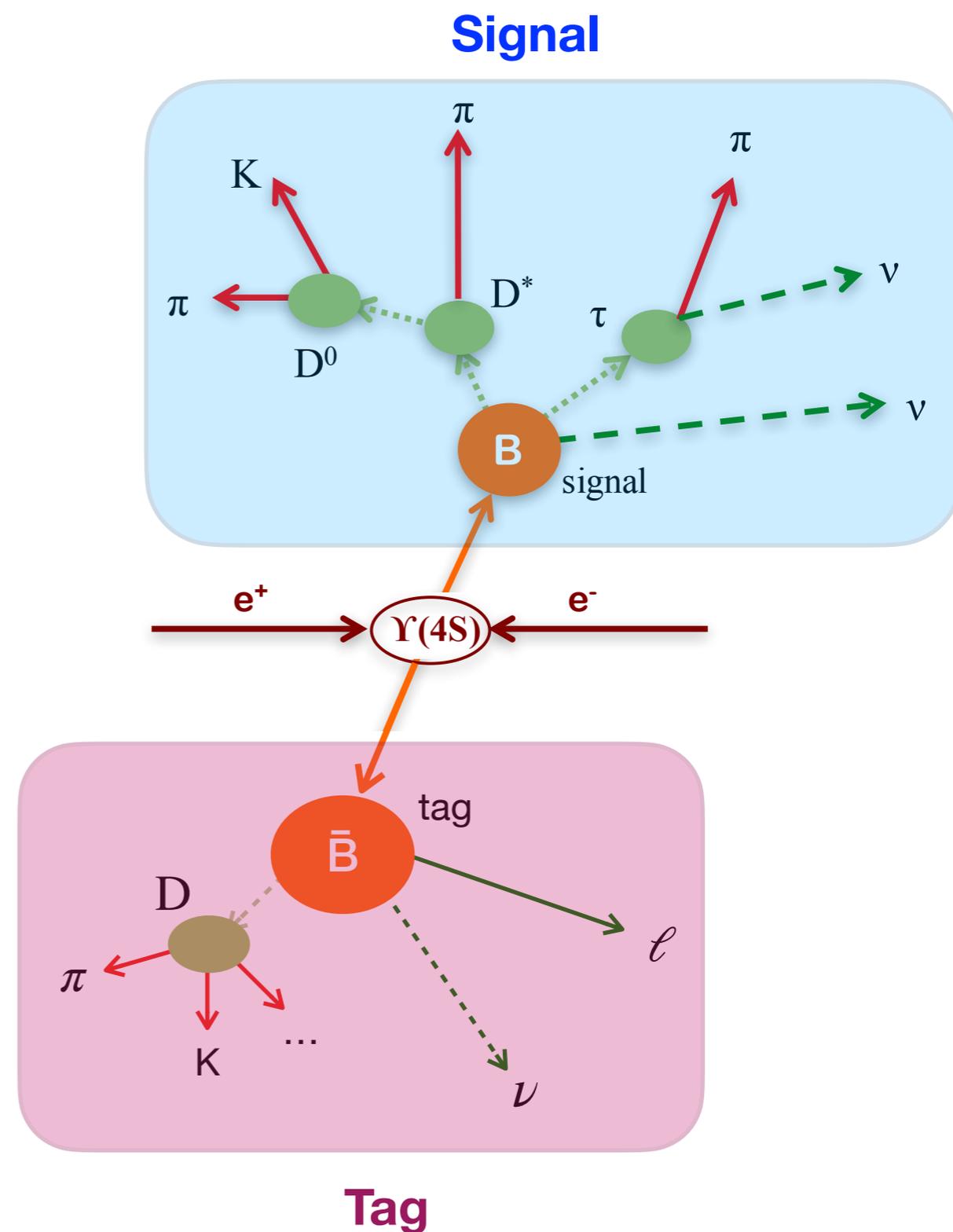
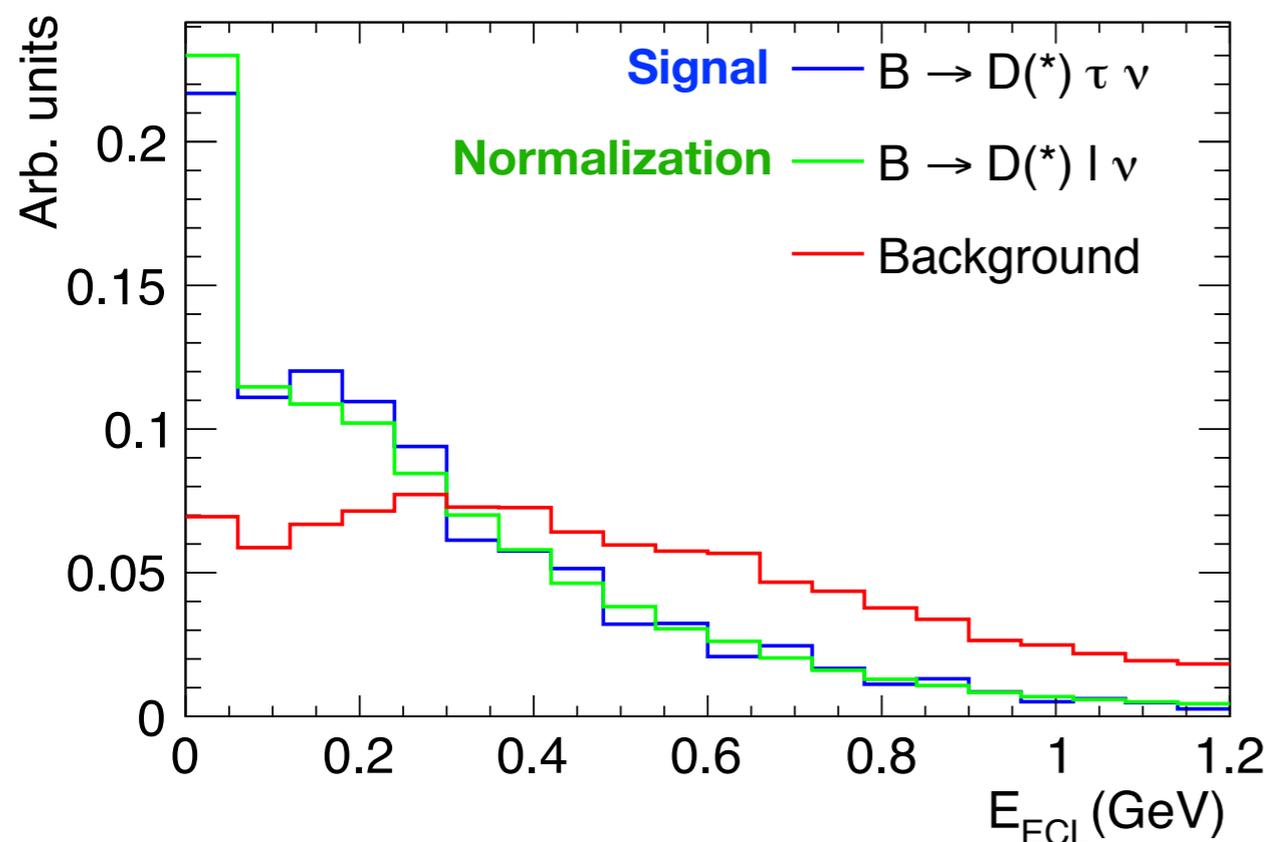
Even bit more complicated
for leptonic tau decays

$R(D^{(*)})$ from Belle

G. Caria et al (Belle),
Phys. Rev. Lett. 124, 161803, April 2020
[arXiv:1904.08794]

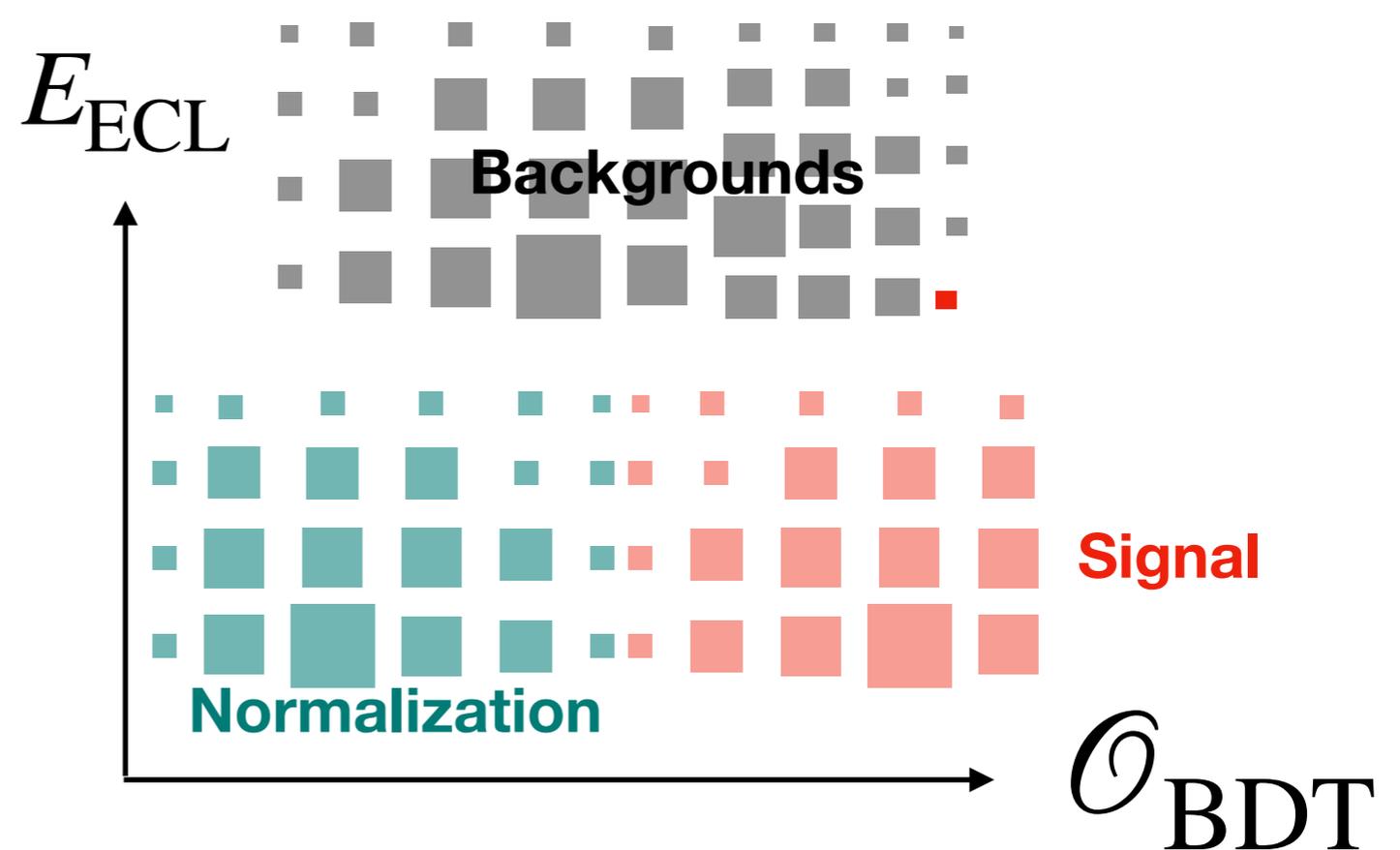
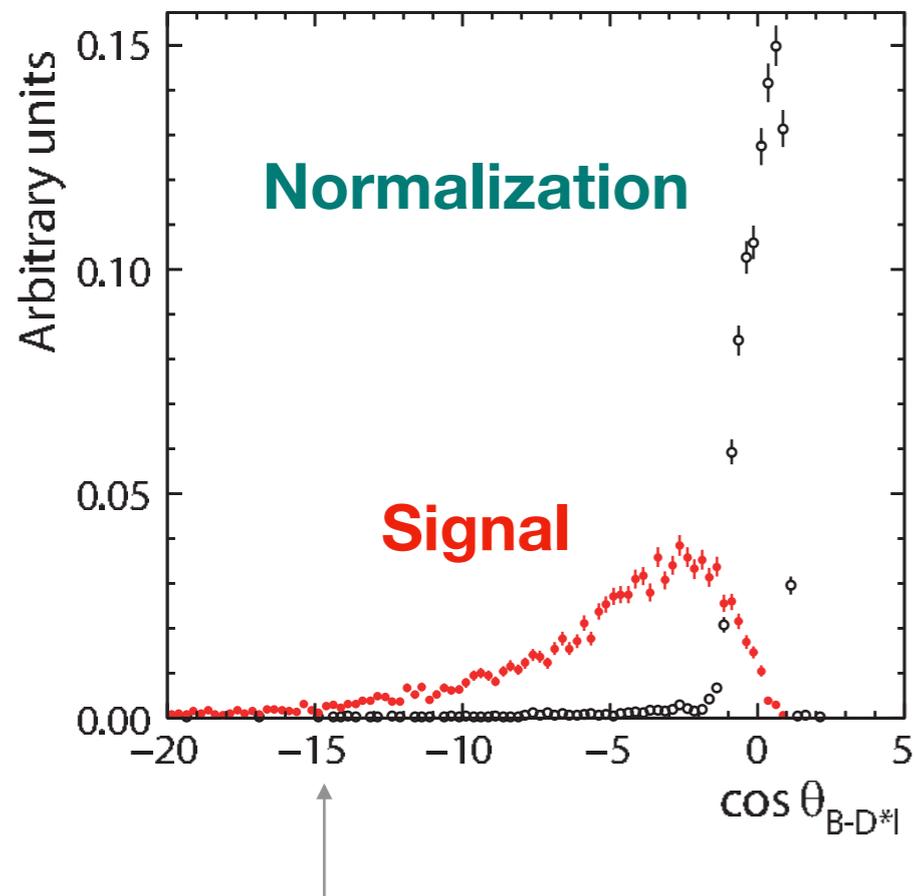
- ▶ Reconstruct one of the two B-mesons ('tag') in **semileptonic modes** → **possible to assign all particles in detector** to tag- & signal-side
- ▶ **Demand Matching topology** + **unassigned energy in the calorimeter** E_{ECL} to discriminate background from signal

$$E_{\text{extra}} = E_{\text{ECL}} = \sum_i E_i^\gamma$$



Separation of signal & normalization

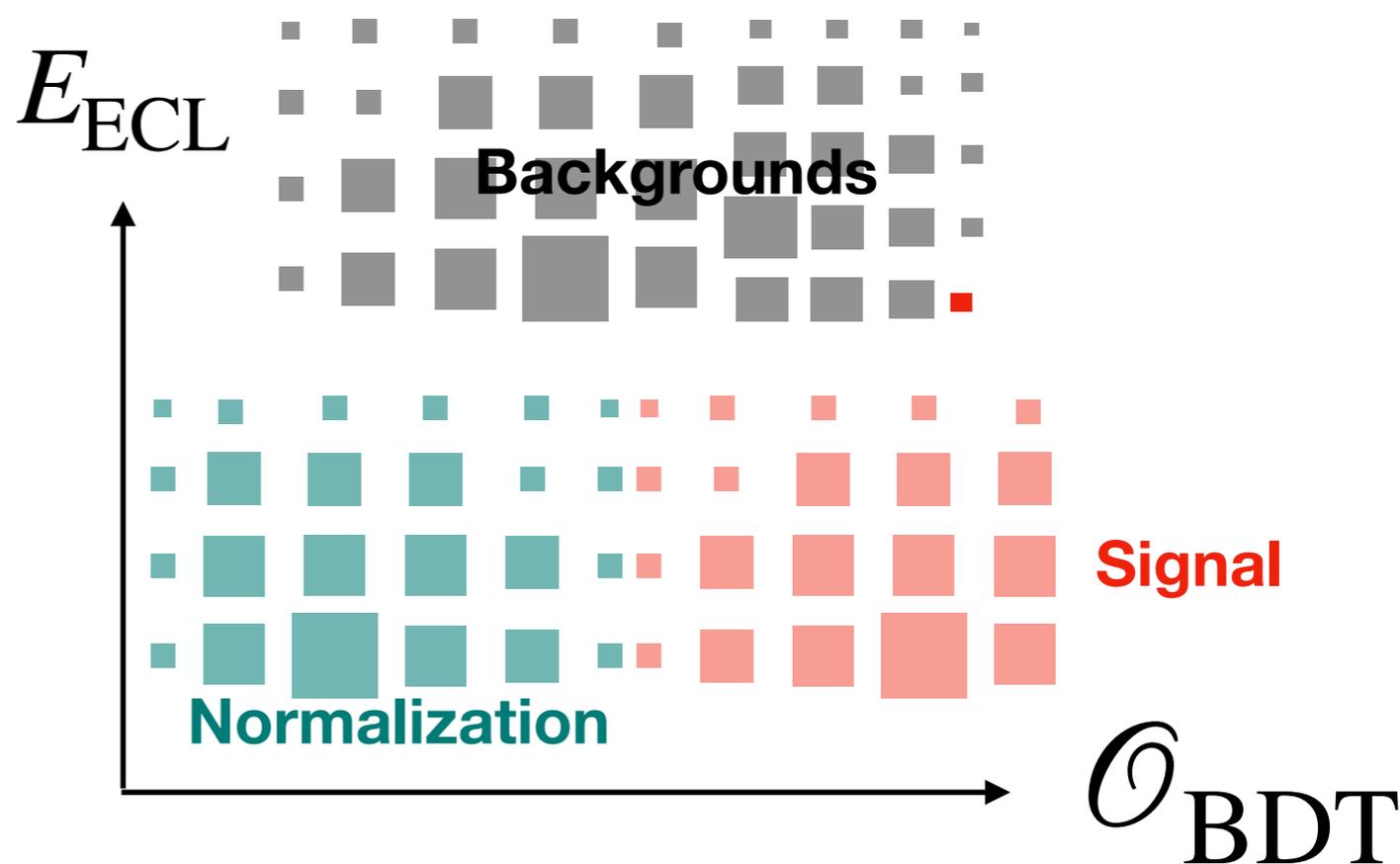
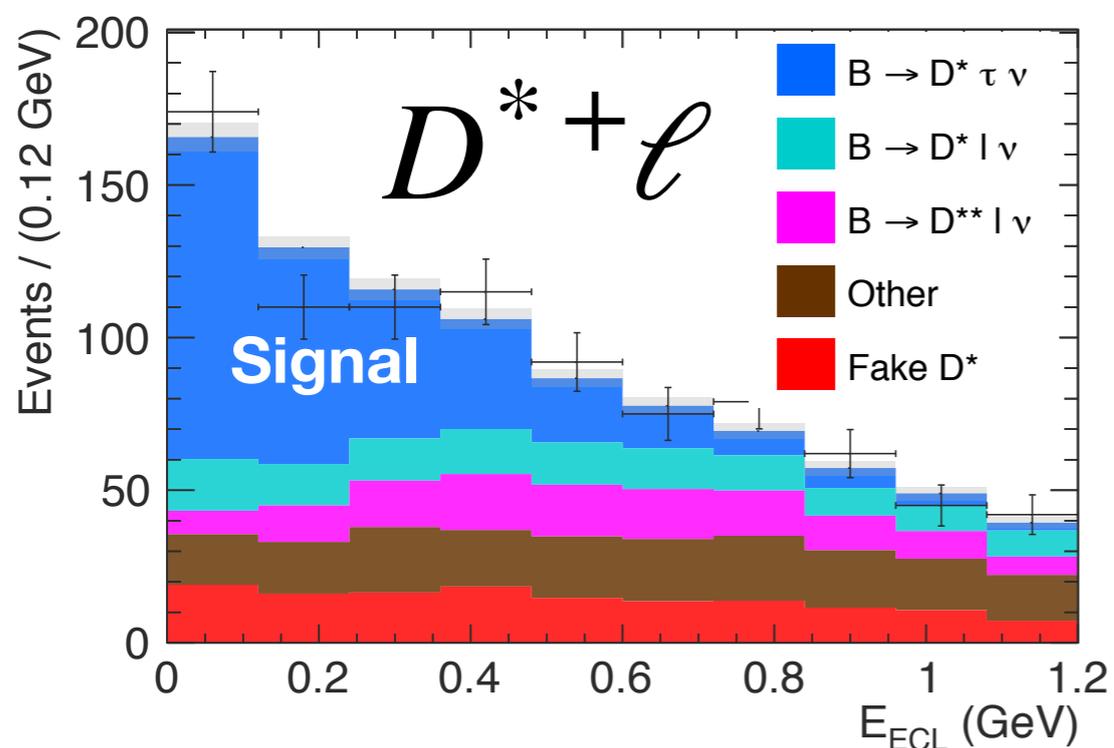
- ▶ Use kinematic properties to separate $B \rightarrow D^{(*)}\tau\nu$ signal from $B \rightarrow D^{(*)}\ell\nu$ normalization
- ▶ Construct BDT with 3 variables: $\cos \theta_{B-D^{(*)}\ell}$, E_{vis} , $m_{\text{miss}}^2 = p_{\text{miss}}^2$



In case you are wondering how a cosine can be outside $[-1,1]$: it's because the reconstruction uses measured energies and the definition assumes only a single missing neutrino

Separation of signal & normalization

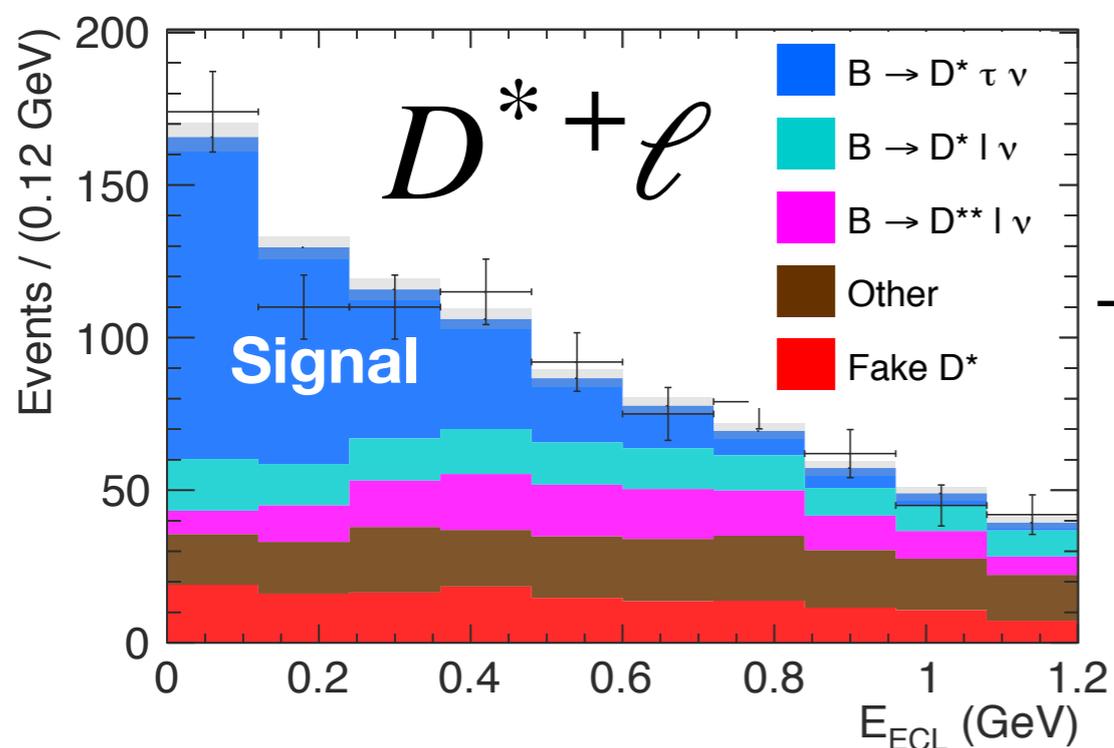
- ▶ Use kinematic properties to separate $B \rightarrow D^{(*)}\tau\nu$ signal from $B \rightarrow D^{(*)}\ell\nu$ normalization
- ▶ Construct BDT with 3 variables: $\cos\theta_{B-D^{(*)}\ell}$, E_{vis} , $m_{\text{miss}}^2 = p_{\text{miss}}^2$



Signal-enriched selection with cut on \mathcal{O}_{BDT}

Separation of signal & normalization

- ▶ Use kinematic properties to separate $B \rightarrow D^{(*)}\tau\nu$ signal from $B \rightarrow D^{(*)}\ell\nu$ normalization
- ▶ Construct BDT with 3 variables: $\cos\theta_{B-D^{(*)}\ell}$, E_{vis} , $m_{\text{miss}}^2 = p_{\text{miss}}^2$



$$\mathcal{R}(D) = 0.307 \pm 0.037 \pm 0.016$$

$$\mathcal{R}(D^*) = 0.283 \pm 0.018 \pm 0.014$$

Most precise measurement to date

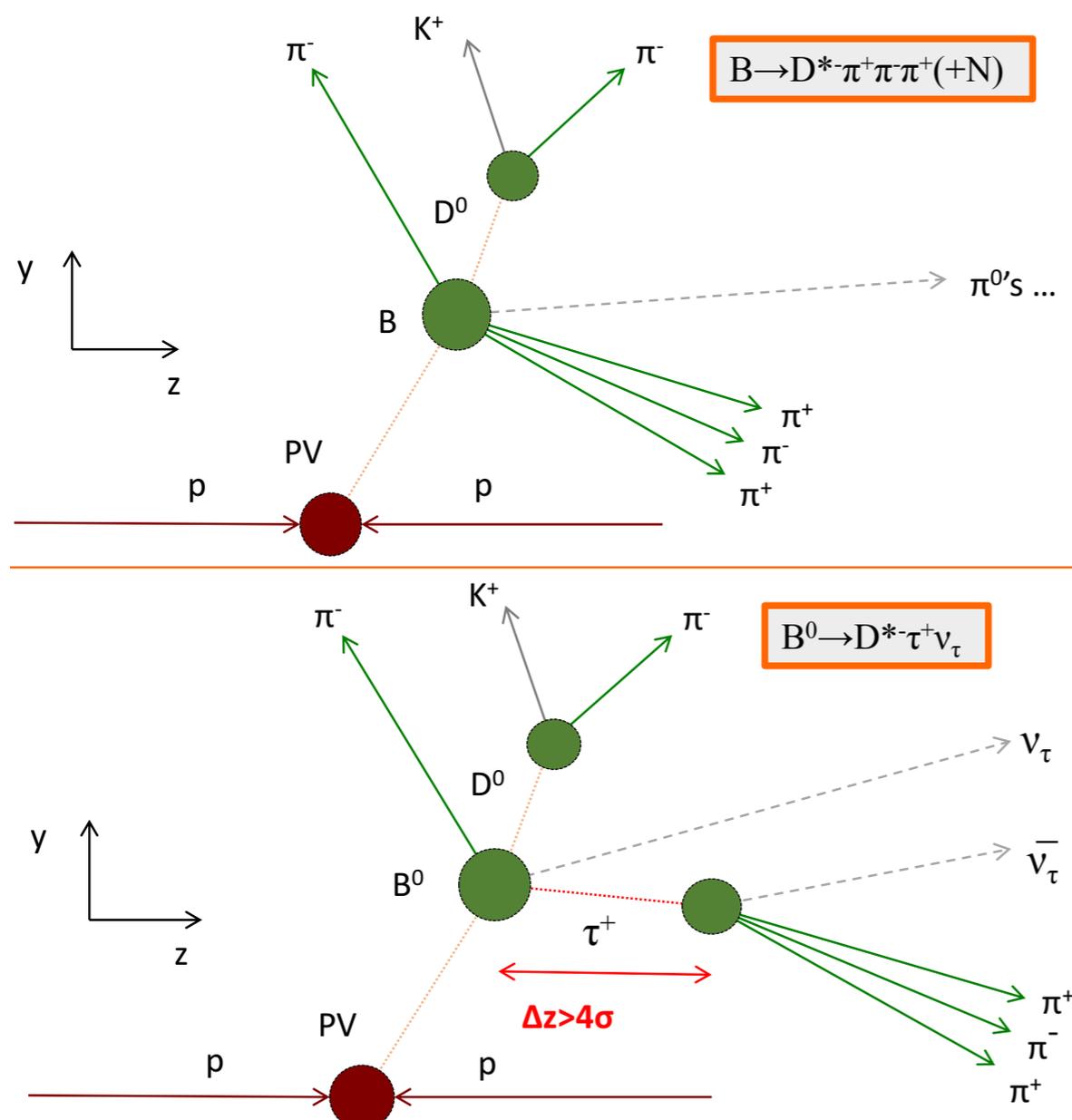
Signal enriched selection with cut on \mathcal{O}_{BDT}

LHCb Measurement of $R(D^*)$

R. Aaij et al (LHCb),
 Phys.Rev.Lett.120,171802 (2018) [arXiv:1708.08856]
 Phys.Rev.D 97, 072013 (2018) [arXiv:1709.02505]

- Tau reconstructed via $\tau \rightarrow \pi^+ \pi^+ \pi^- (\pi^0) \nu$, only two neutrinos missing

Although a semileptonic decay is studied, nearly no background from $B \rightarrow D^* X \mu \nu$



- Main background: prompt

$X_b \rightarrow D^* \pi \pi \pi + \text{neutrals}$

BF ~ 100 times larger than signal,
 all pions are promptly produced

- Suppressed by requiring minimum distance between X_b & τ vertices ($> 4 \sigma_{\Delta z}$)

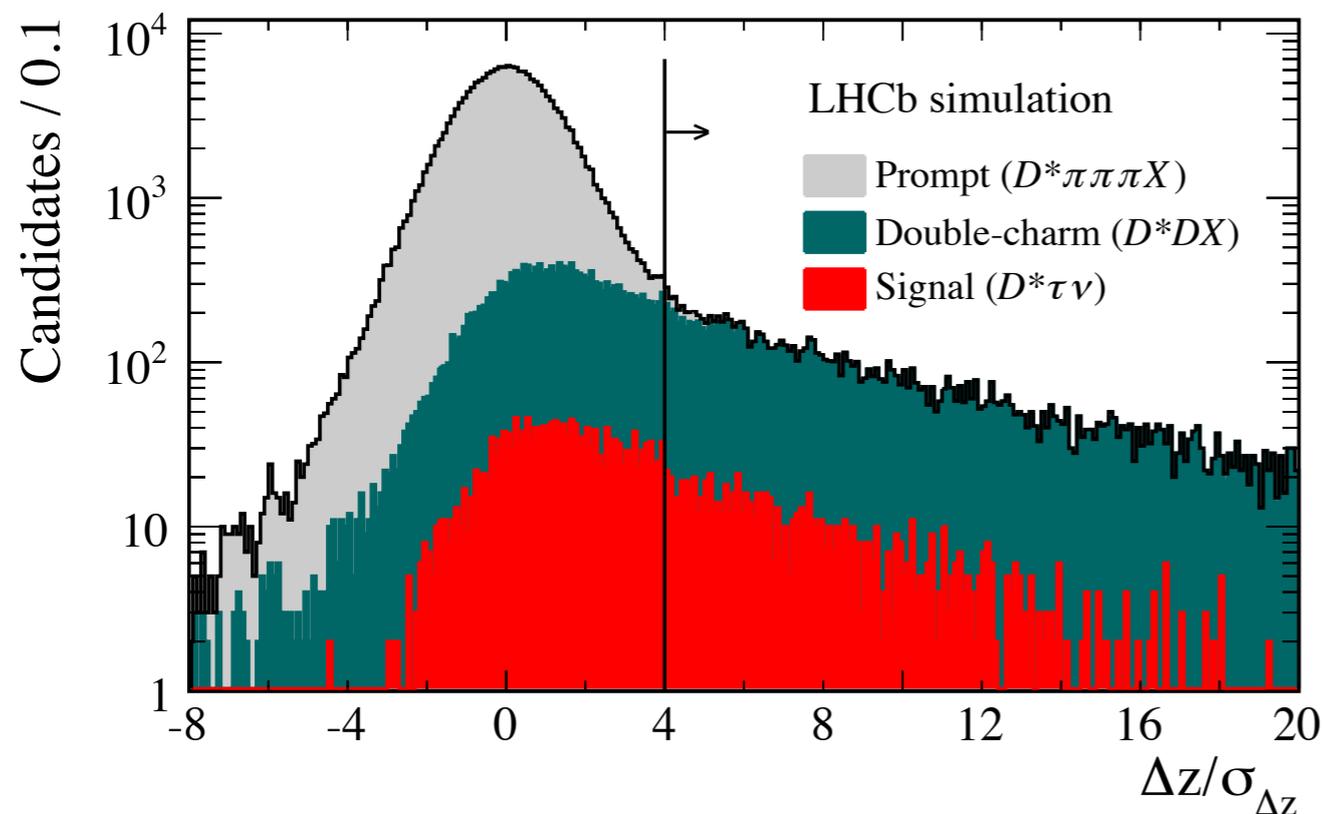
$\sigma_{\Delta z}$: resolution of vertices separation

- Reduces this background by three orders of magnitude

LHCb Measurement of $R(D^*)$

- ▶ Tau reconstructed via $\tau \rightarrow \pi^+ \pi^+ \pi^- (\pi^0) \nu$, only two neutrinos missing

Although a semileptonic decay is studied, nearly no background from $B \rightarrow D^* X \mu \nu$



- ▶ Main background: prompt



BF ~ 100 times larger than signal,
all pions are promptly produced

- ▶ Suppressed by requiring minimum distance between X_b & τ vertices ($> 4 \sigma_{\Delta z}$)

$\sigma_{\Delta z}$: resolution of vertices separation

- ▶ Remaining double charm bkg:



- ▶ Reduces this background by three orders of magnitude

LHCb Measurement of $R(D^*)$

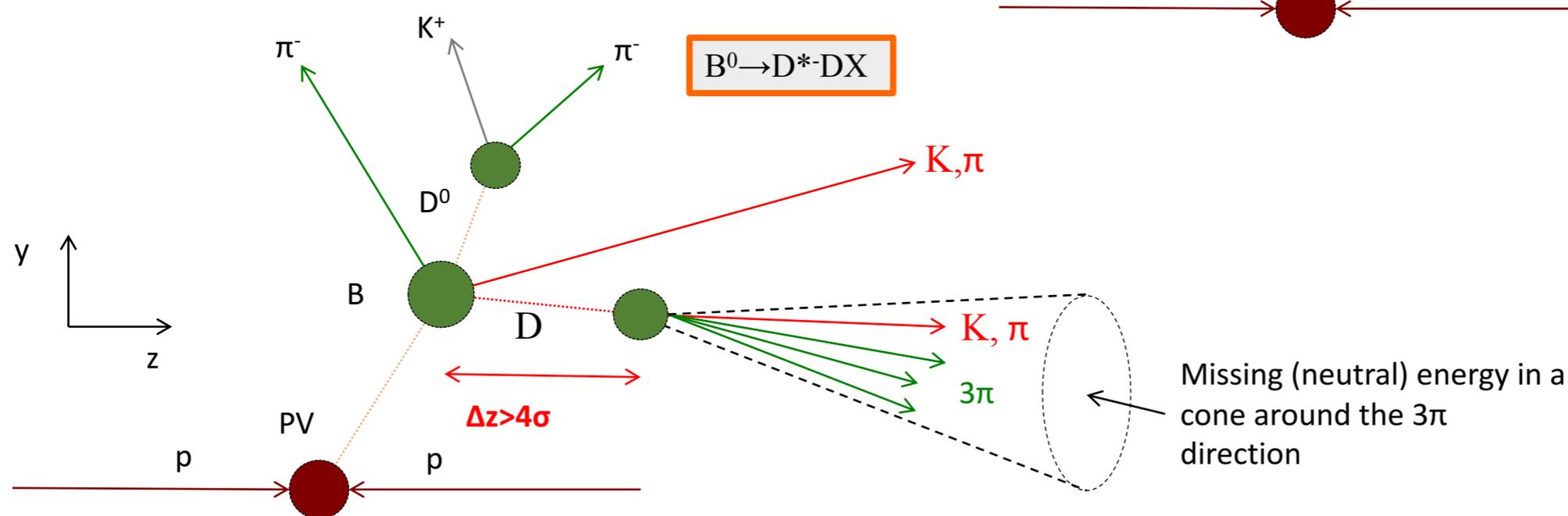
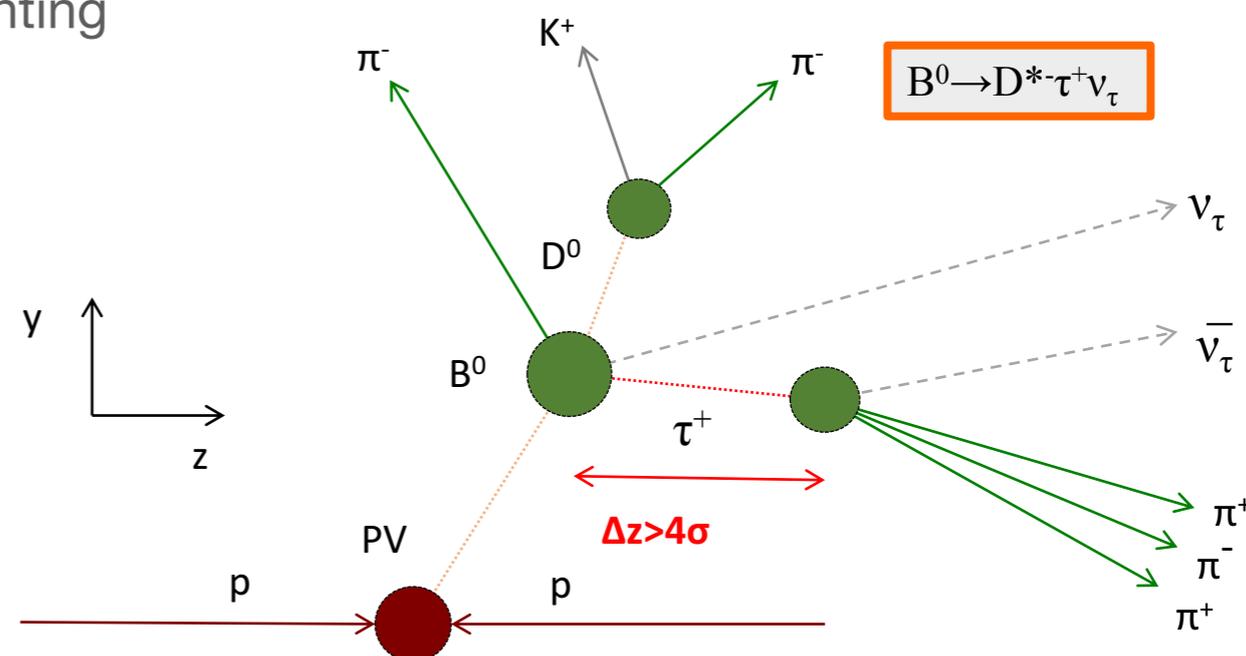
- ▶ Remaining backgrounds reduced via isolation & MVA

Require signal candidates to be **well isolated**

i.e. reject events with extra charged particles pointing to the B and/or τ

Events with additional neutral energy are suppressed with a MVA

More information about that in backup



LHCb Measurement of $R(D^*)$

► Extraction in **3D fit** to

MVA : q^2 : τ decay time

↑
Invariant masses of 3π system
Invariant mass of $D^*3\pi$ system
Neutral isolation variables

← q^2 reconstructed with some tricks (more in backup)

4 Bins 8 Bins 8 Bins

► Components:

1 Signal component for $\tau \rightarrow \pi^+\pi^+\pi^-(\pi^0)\nu$

11 Background components

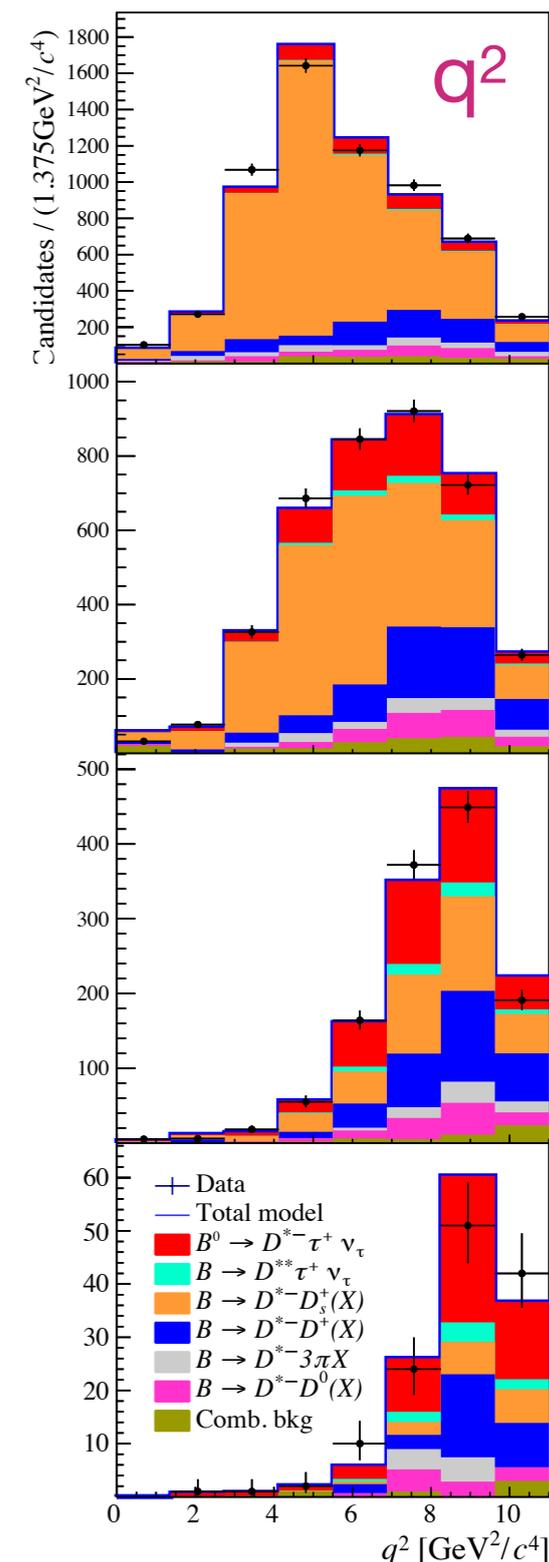
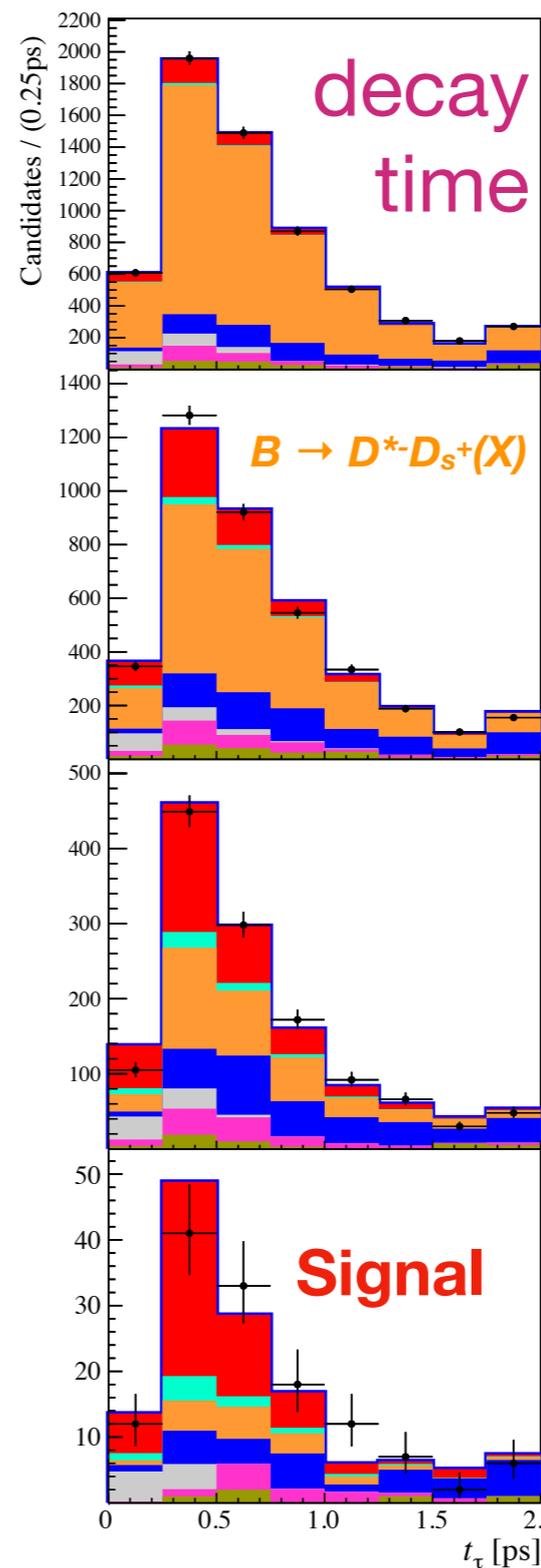
► $\sim 1296 \pm 86$ Signal events

► Using normalization mode and light lepton BFs:

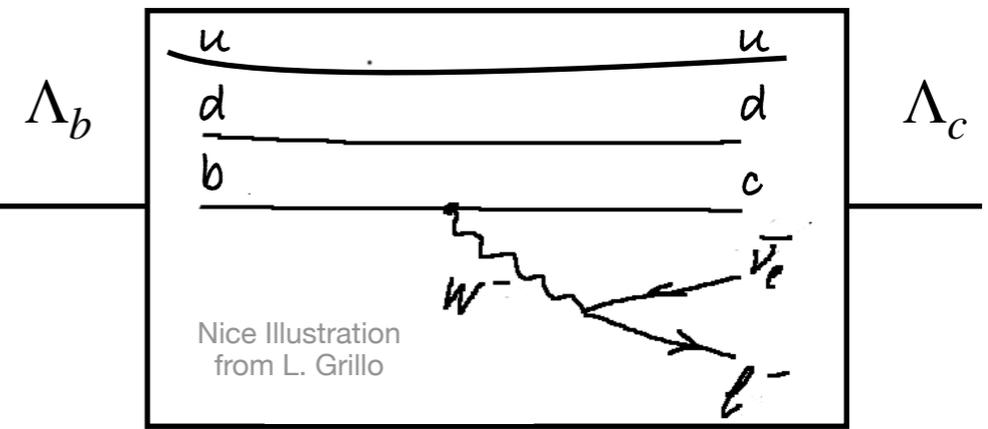
More information about normalization in backup

$$R(D^*) = 0.286 \pm 0.019 \text{ (stat)} \pm 0.025 \text{ (syst)} \pm 0.021 \text{ (norm)}$$

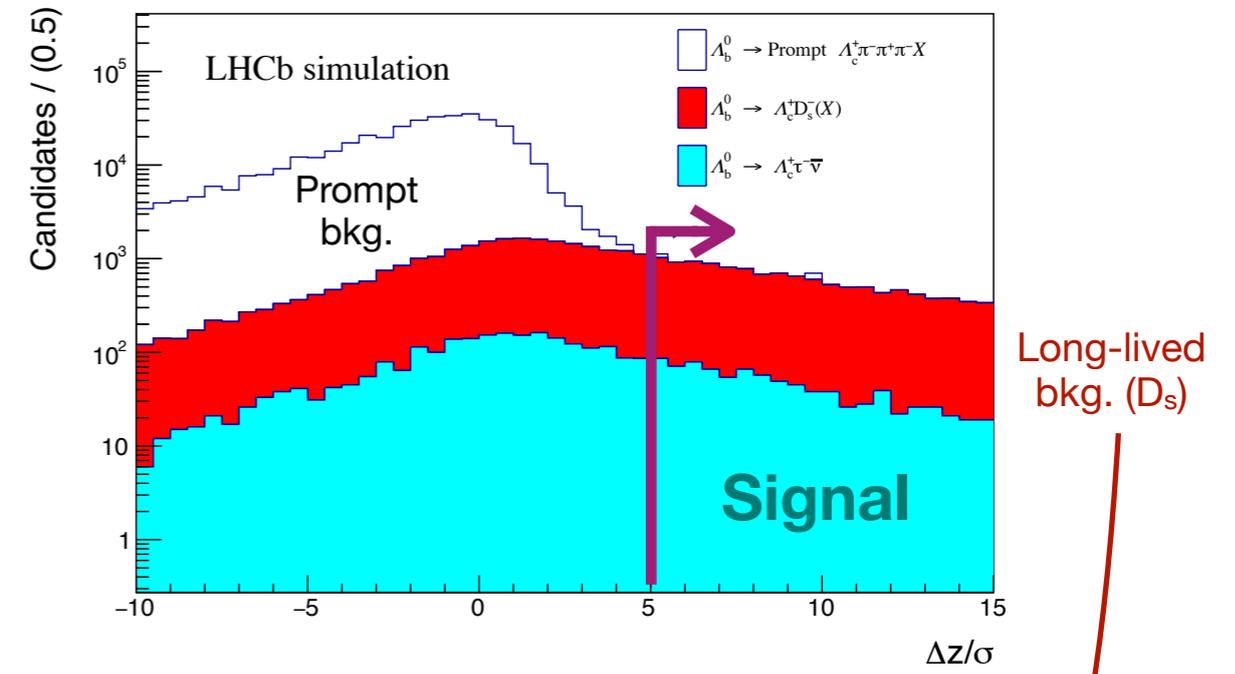
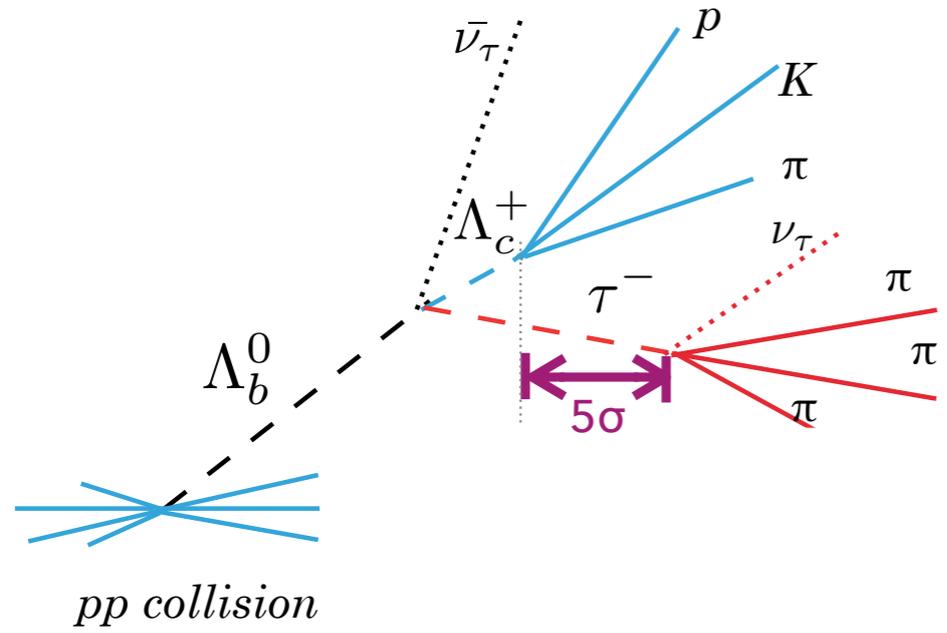
Purer MVA Selection



LHCb $R(\Lambda_c)$ Measurement



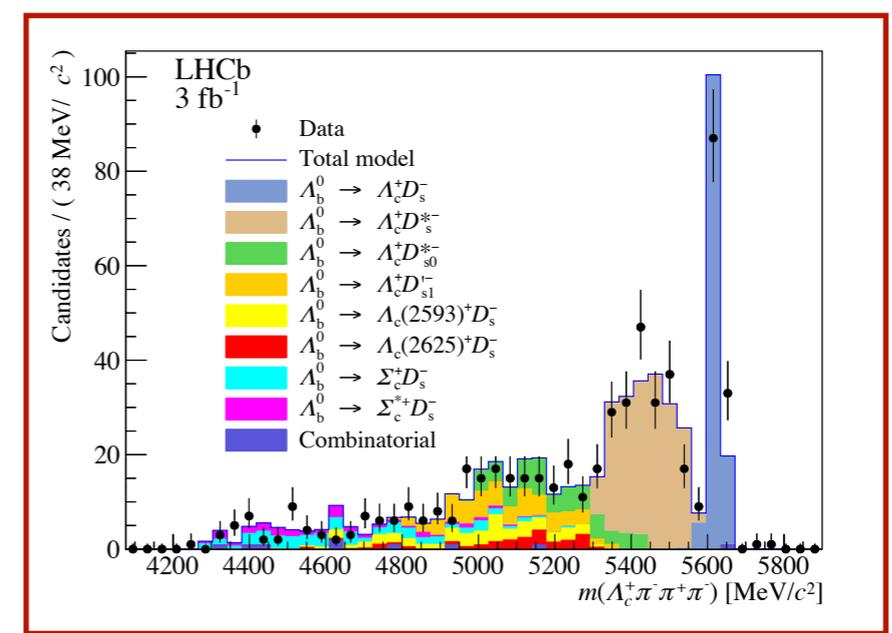
Same experimental Method: exploit vertex separation



$$m_{3\pi} \in [m_{D_s} - 45 \text{ MeV}, m_{D_s} + 45 \text{ MeV}]$$

Target ratio:

$$\begin{aligned} \mathcal{K}(\Lambda_c^+) &= \frac{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ 3\pi)} \\ &= \frac{N_{sig}}{N_{norm}} \times \frac{\epsilon_{norm}}{\epsilon_{sig}} \times \frac{1}{\mathcal{B}(\tau^- \rightarrow 3\pi(\pi^0)\nu_\tau)} \end{aligned}$$



Bkg. composition constrained by fit to $m_{3\pi}$

► Extraction in **3D fit** to
MVA : q^2 : τ decay time

Kinematic and angular information of 3π system, neutral energy in cone around 3π direction

$$N(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau) = 349 \pm 40$$

$$N(\Lambda_b^0 \rightarrow \Lambda_c^+ D_s^- (X)) = 2757 \pm 80$$

External input:

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ 3\pi) = (6.14 \pm 0.94) \times 10^{-3}$$

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau \bar{\nu}_\tau) = (1.50 \pm 0.16 \pm 0.25 \pm 0.23) \%$$

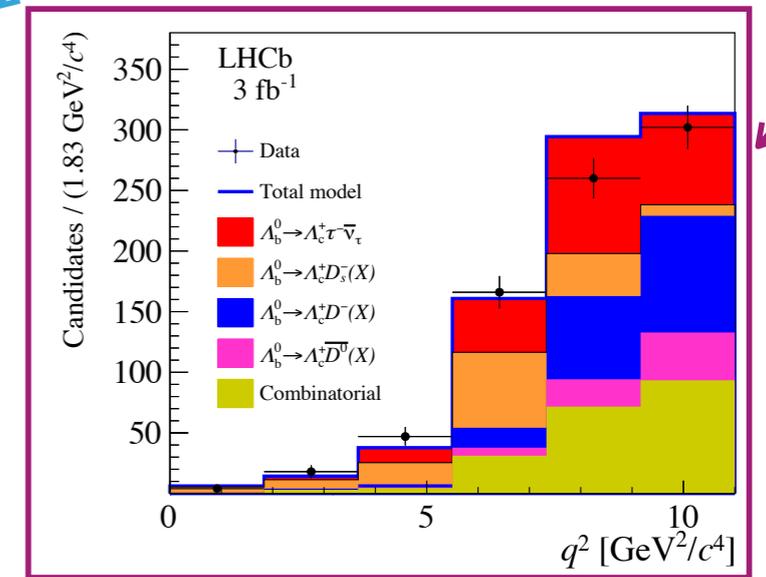
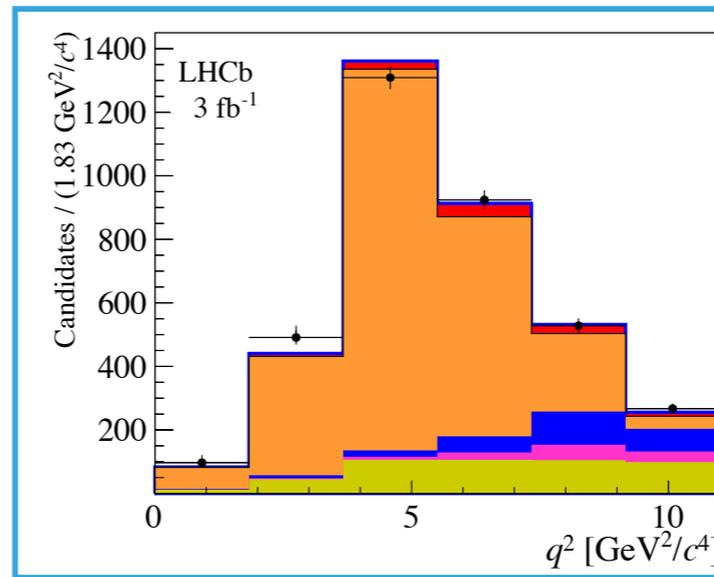
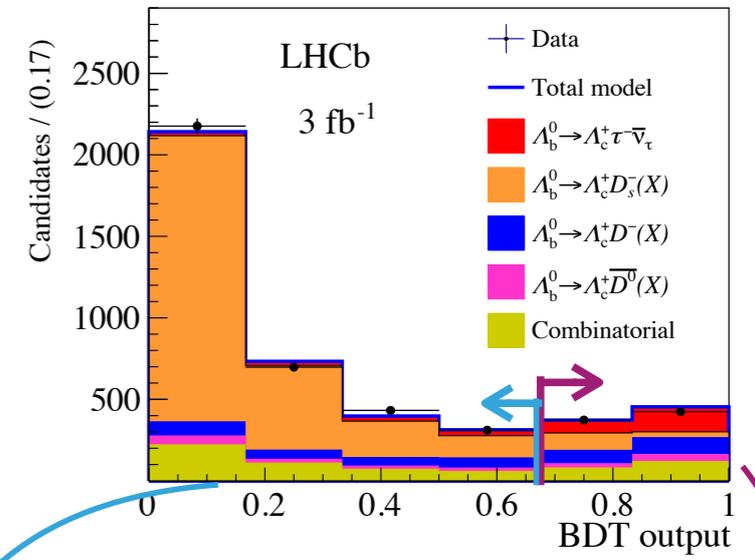
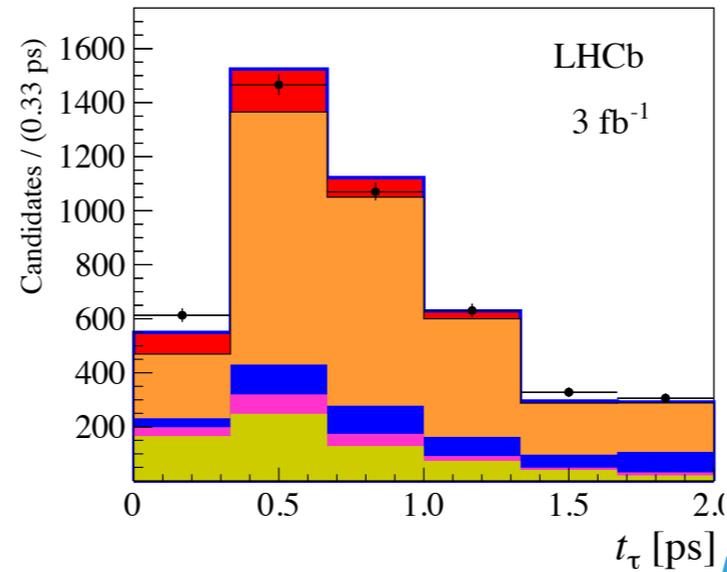
First observation with 6.1σ !

More external input:

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu \bar{\nu}_\mu) = (6.2 \pm 1.4) \%$$

$$R(\Lambda_c^+) = 0.242 \pm 0.026_{\text{stat}} \pm 0.040_{\text{syst}} \pm 0.059_{\text{ext}}$$

$$R(\Lambda_c^+) = 0.242 \pm 0.026_{\text{stat}} \pm 0.040_{\text{syst}} \pm 0.059_{\text{ext}}$$



Compatible with SM

$$R(\Lambda_c^+)_{\text{SM}} = 0.340 \pm 0.004$$

F. Bernlochner, Zoltan Ligeti, Dean J. Robinson, William L. Sutcliffe,
[arXiv:1808.09464], [arXiv:1812.07593]

► Extraction in **3D fit** to
MVA : q^2 : τ decay time

Kinematic and angular information of 3π system, neutral energy in cone around 3π direction

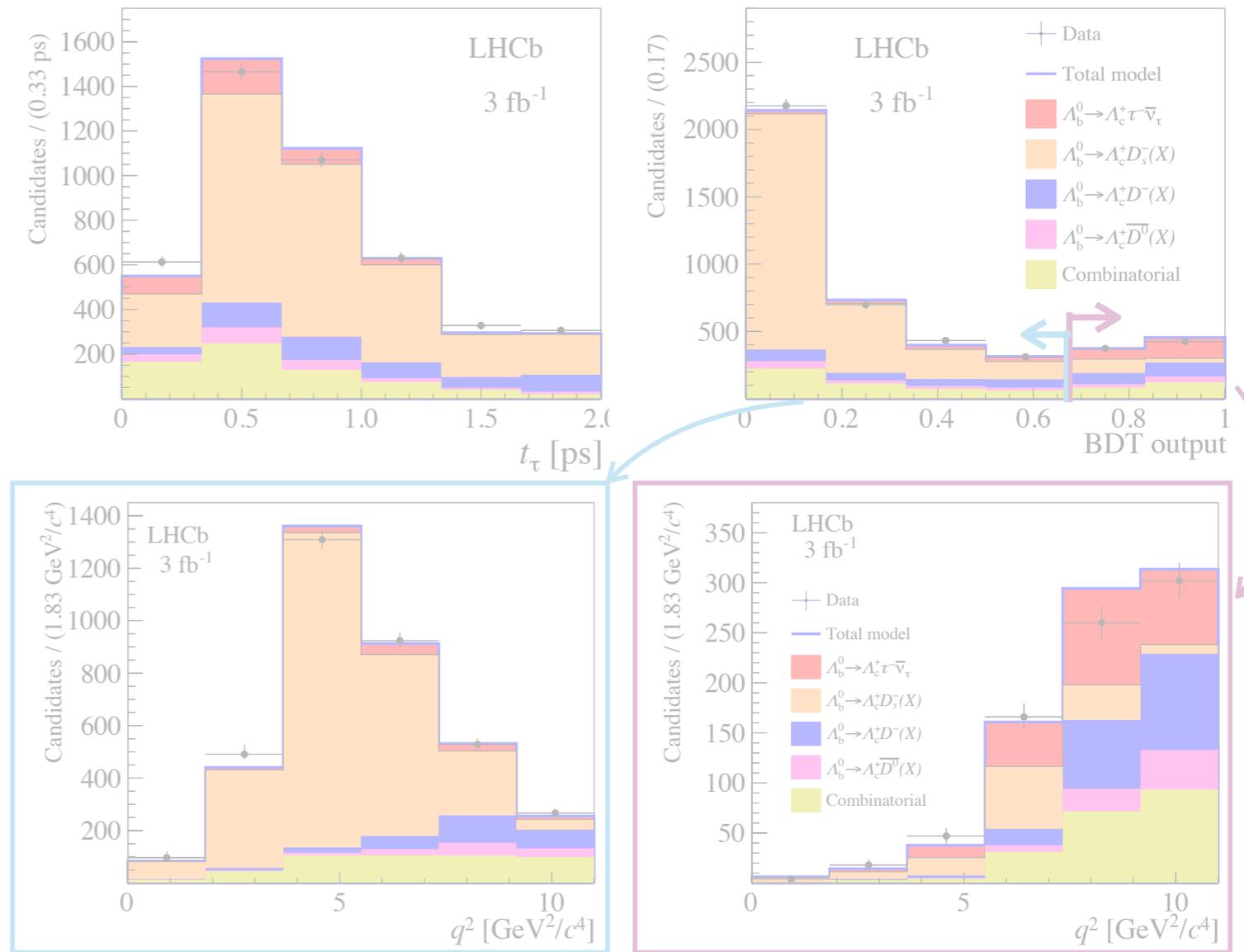
$$N(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau^- \bar{\nu}_\tau) = 349 \pm 40$$

$$N(\Lambda_b^0 \rightarrow \Lambda_c^+ D_s^- (X)) = 2757 \pm 80$$

External input:

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ 3\pi) = (6.14 \pm 0.94) \times 10^{-3}$$

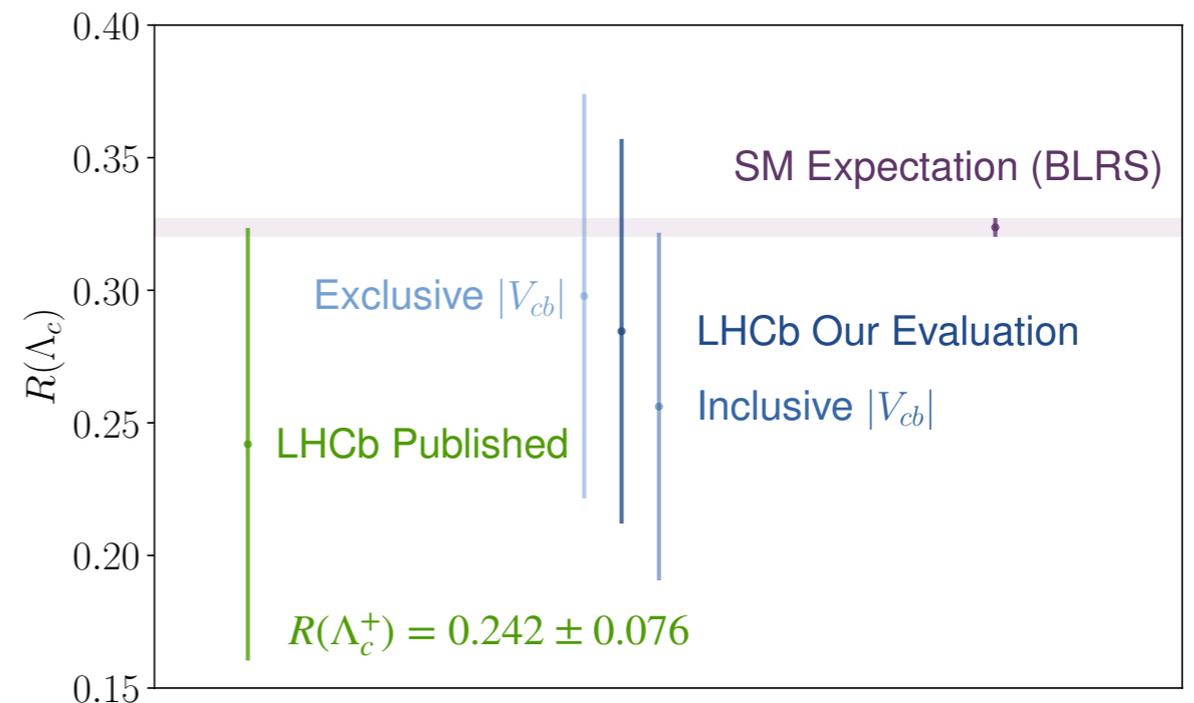
$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \tau \bar{\nu}_\tau) = (1.50 \pm 0.16 \pm 0.25 \pm 0.23) \%$$



Can also use SM prediction for $\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu \bar{\nu}_\mu)$ instead of LEP measurement

FB, Zoltan Ligeti, Michele Papucci, Dean Robinson, [arXiv:2206.11282 [hep-ph]]

$$R(\Lambda_c^+) = 0.285 \pm 0.073$$

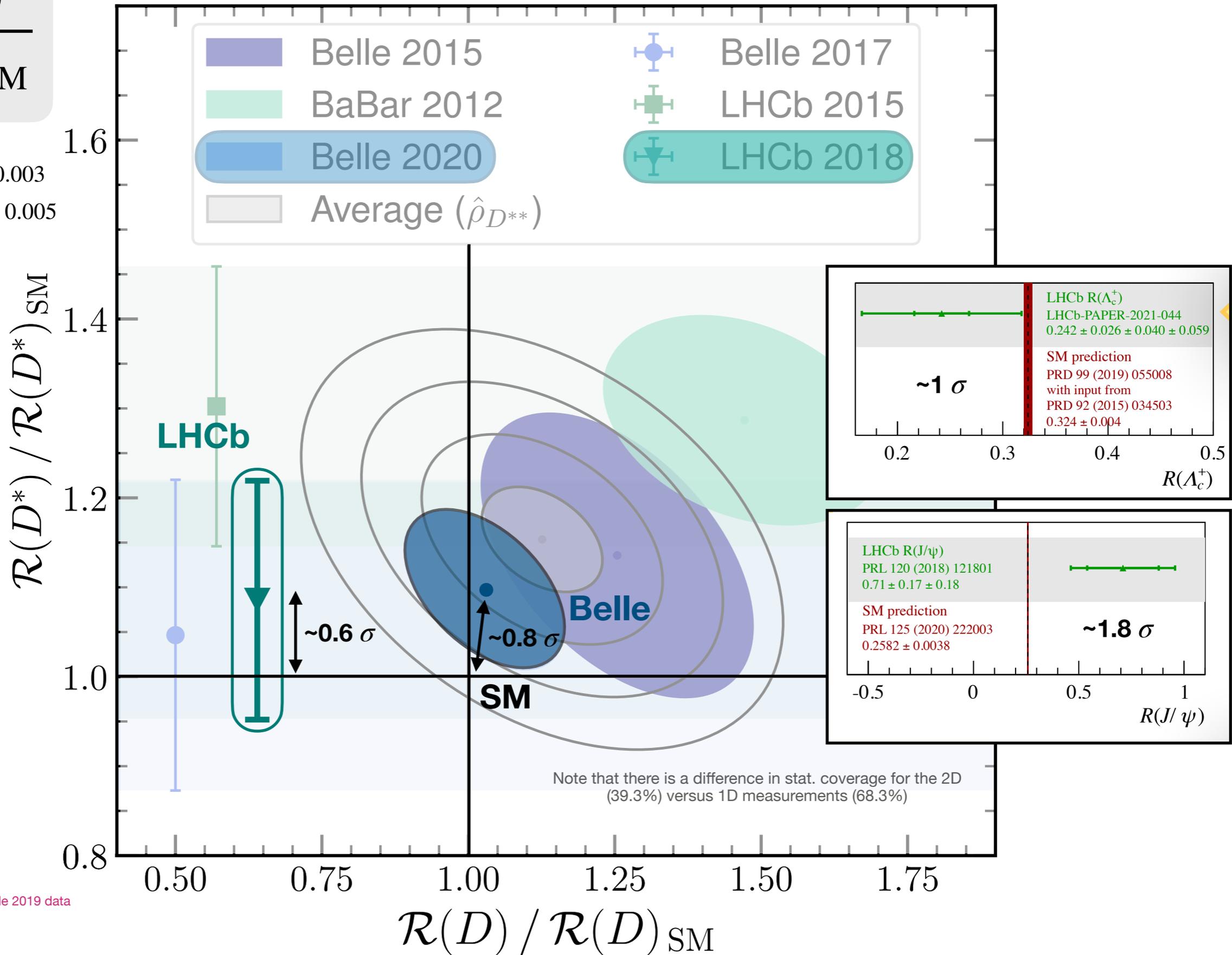


$$\frac{\mathcal{R}(D^{(*)})}{\mathcal{R}(D^{(*)})_{\text{SM}}}$$

$$\mathcal{R}(D)_{\text{SM}} = 0.299 \pm 0.003$$

$$\mathcal{R}(D^*)_{\text{SM}} = 0.258 \pm 0.005$$

HFLAV arithmetic average
 of SM Calculations



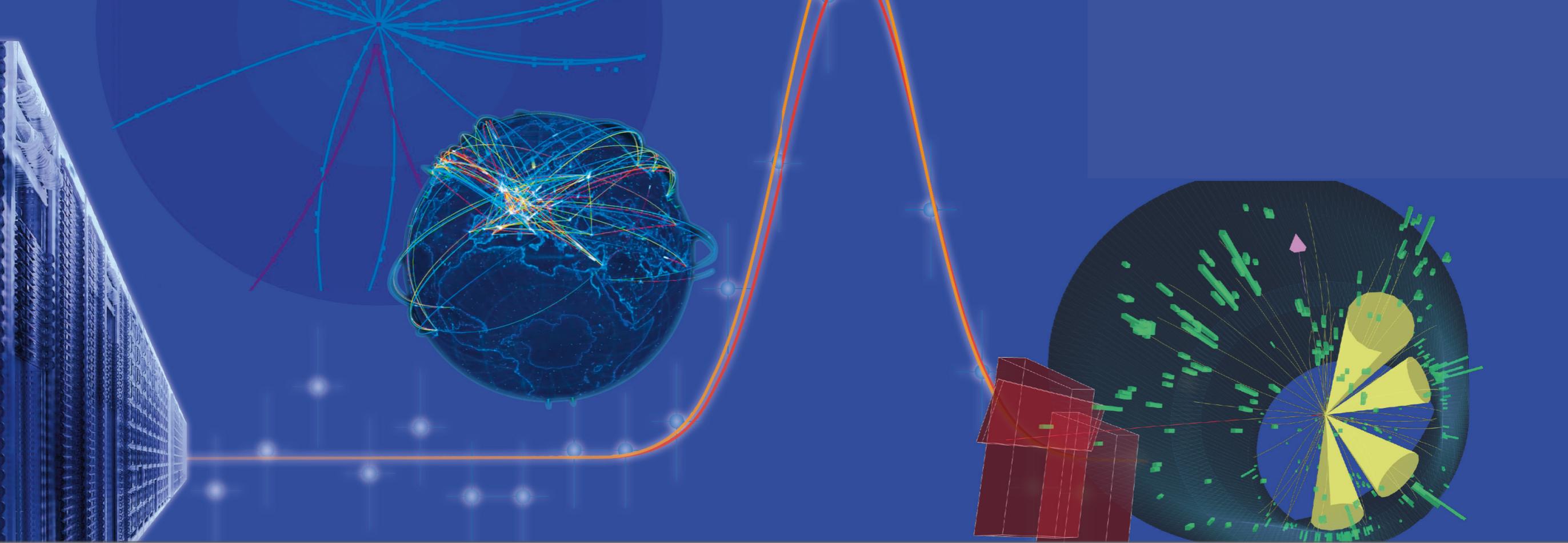
More Recent SM Calculations:

BaBar B- \rightarrow D*
<https://arxiv.org/abs/1903.10002>
 - $R(D^*)=0.253+0.005$

Gambino, Jung, Schacht using Belle 2019 data
<https://arxiv.org/abs/1905.08209>
 - $R(D^*)=0.254 +0.007 -0.006$

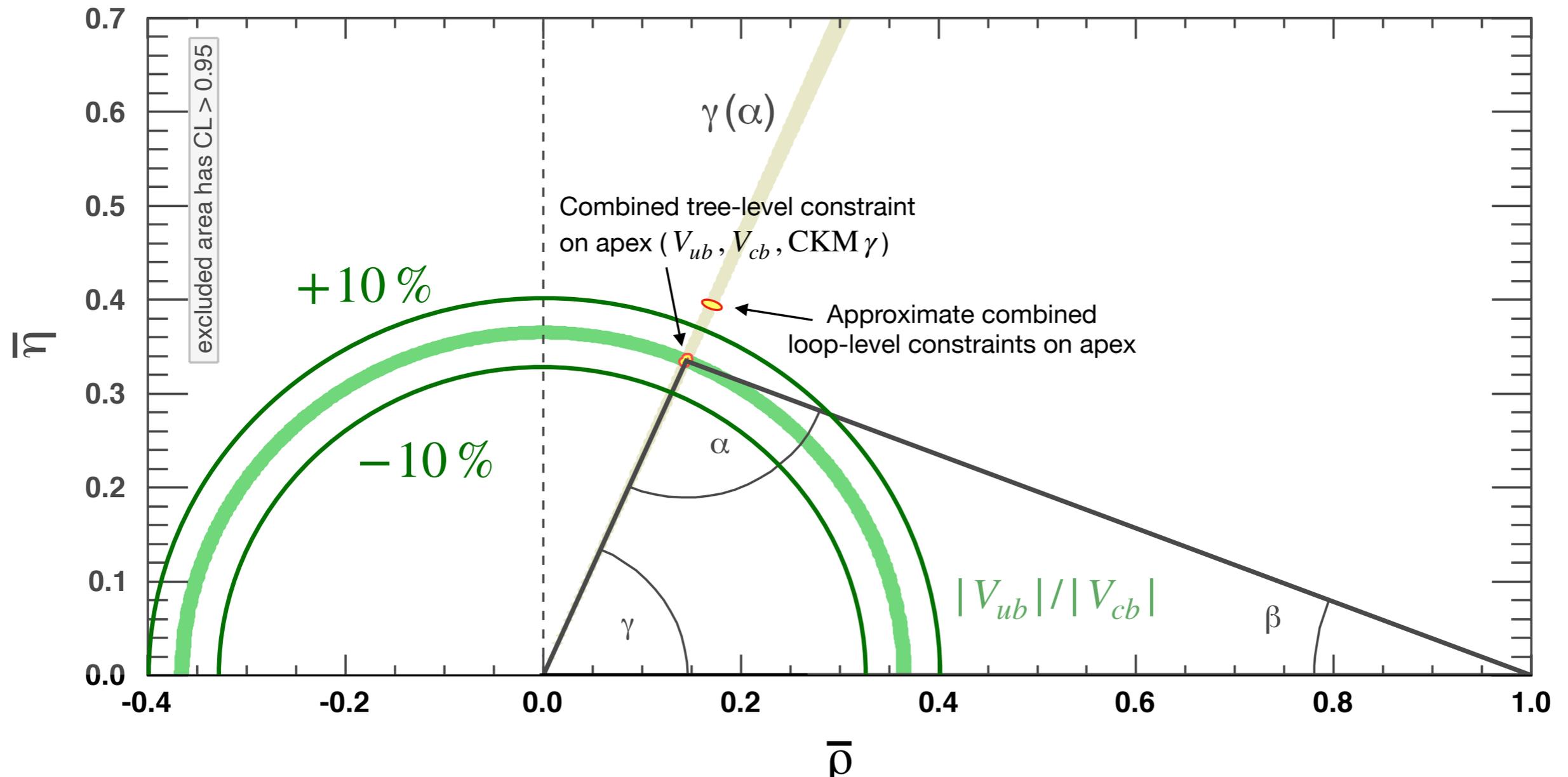
Bordone, Jung, van Dyk using Belle 2019 data
<https://arxiv.org/abs/1908.09398>
 - $RD=297+0.003, RD^*=0.250+0.003$

See also: <https://hflav-eos.web.cern.ch/hflav-eos/semi/spring19/html/RDsDsstar/RDRDs.html>



B) More on some selected Topics

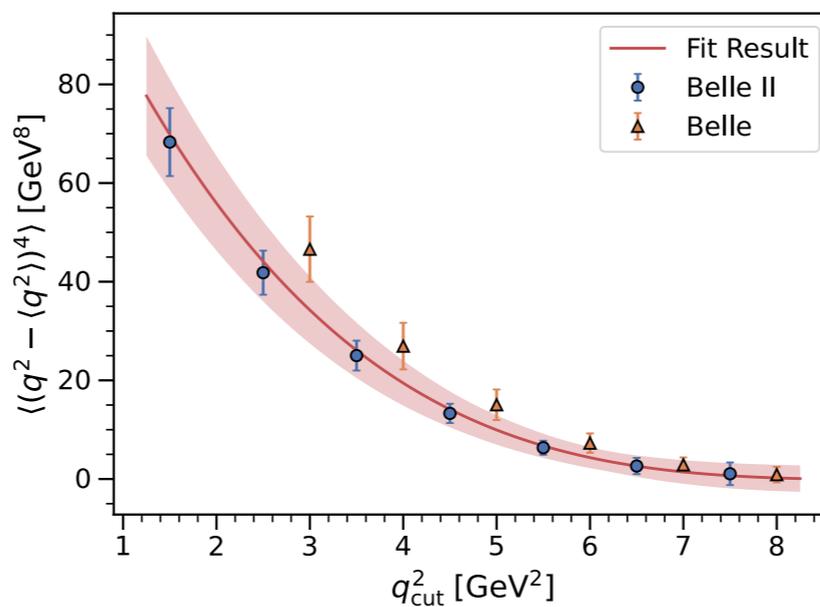
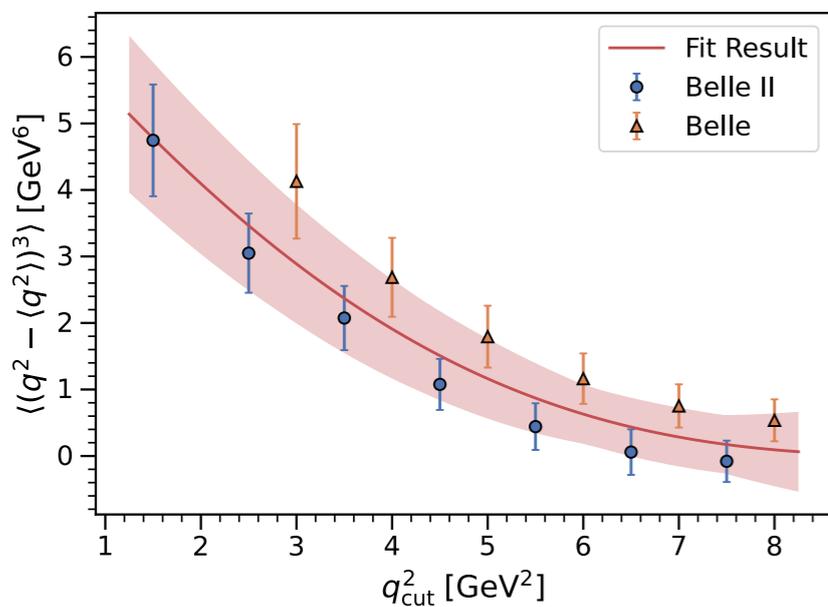
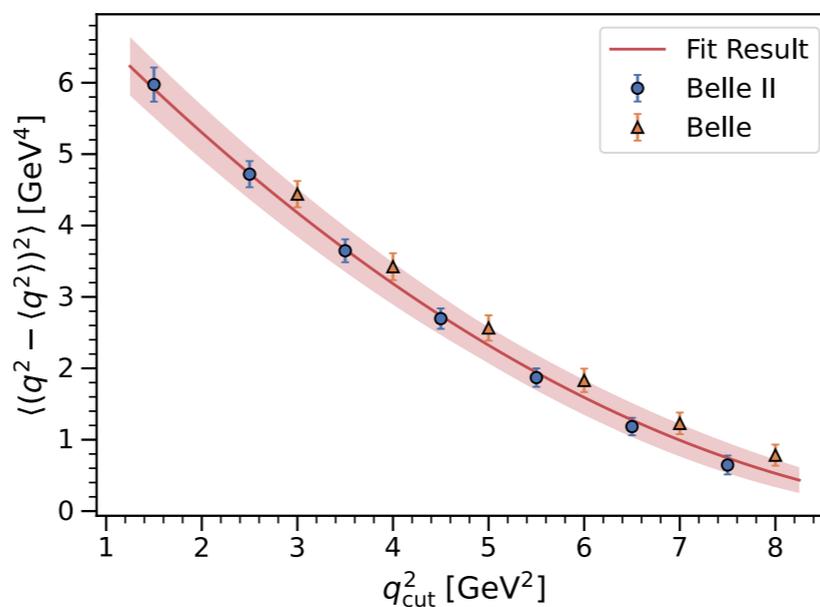
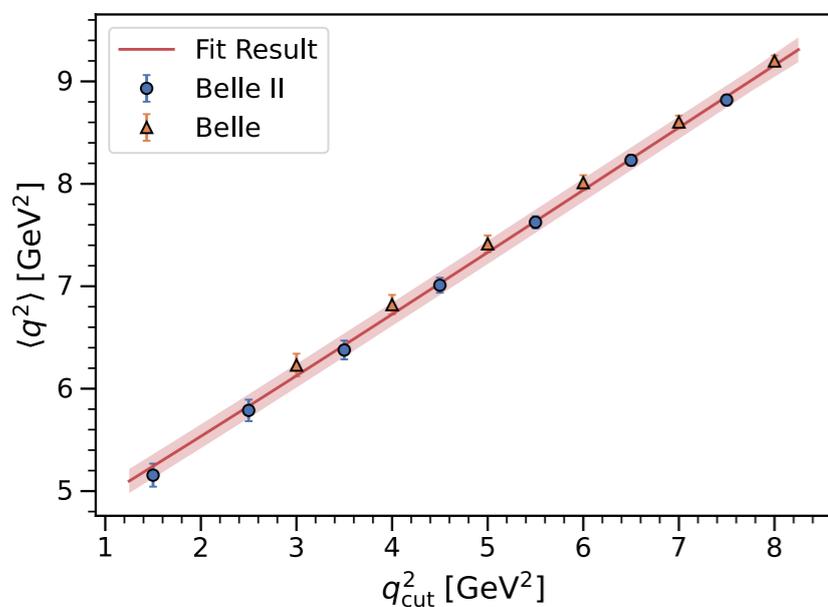
Future sensitivity with **full Belle II** and **LHCb** data sets + some improvements on LQCD / incl. calculations



$|V_{cb}|$ from q^2 mom.

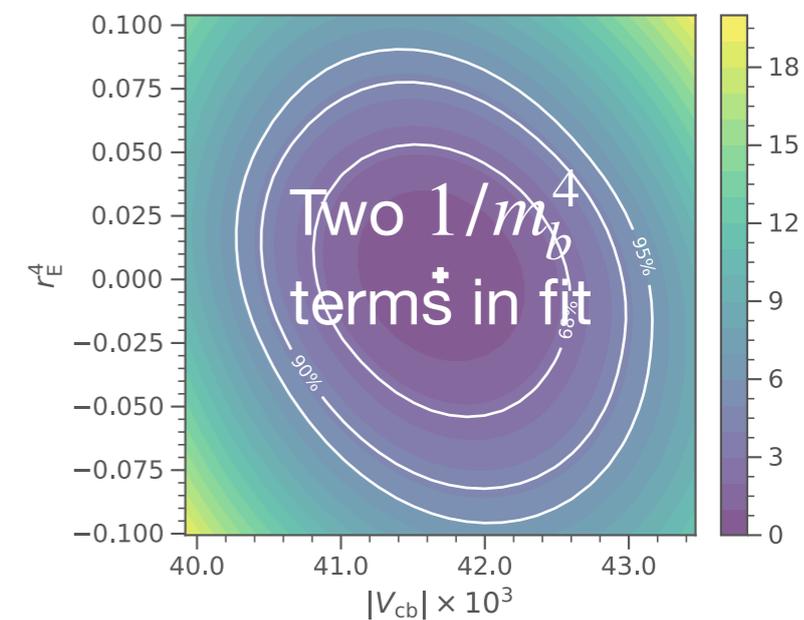
F. Bernlochner, M. Fael, K. Olschwesky, E. Persson, R. Van Tonder, K. Vos, M. Welsch [arXiv:2205.10274]

Extraction of $|V_{cb}|$ from q^2 moments:



Included corrections on the mom. predictions

$\langle (q^2)^n \rangle$	tree	α_s	α_s^2	α_s^3
Partonic	✓	✓		
μ_G^2	✓	✓		
ρ_D^3	✓	✓		
$1/m_b^4$	✓			



→ $|V_{cb}| = (41.69 \pm 0.59|_{\text{fit}} \pm 0.23|_{\text{h.o.}}) \cdot 10^{-3} = (41.69 \pm 0.63) \cdot 10^{-3}$

Chiral Fermion states

Reminder: **Helicity** = Projection of spin on momentum of particle

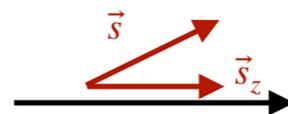
$$\hat{h} = \vec{s} \cdot \frac{\vec{p}}{|\vec{p}|}$$

spin
↓
↑
momentum

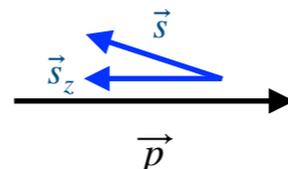
Eigenvalues of \hat{h} :

$$\lambda = \pm \frac{1}{2}$$

$$\lambda = +\frac{1}{2}$$



$$\lambda = -\frac{1}{2}$$



“right-handed” particle

$$\vec{s}_z \uparrow \uparrow \vec{p}$$

“left-handed” particle

$$\vec{s}_z \uparrow \downarrow \vec{p}$$

Chirality = “Handedness”, no observable

BUT property of particle state

$$u_L, u_R \quad [u : \text{Dirac spinor}]$$

Define:

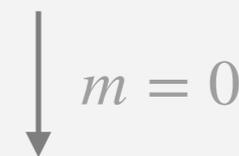
$$u_L = \frac{1}{2} (1 - \gamma^5) u \quad u_R = \frac{1}{2} (1 + \gamma^5) u$$

“left-chiral”

“right-chiral”

Weak charged Currents

Couple to LH Chiral particles & RH Chiral anti-particles



Couple to LH Helicity particles & RH Helicity anti-particles

Introduce **chiral projection operators**

$$P_L = \frac{1}{2} (1 - \gamma^5) \quad P_R = \frac{1}{2} (1 + \gamma^5) \quad \longrightarrow \quad \text{“select” L,R component of Dirac spinor}$$

with properties: $P_{L,R}^2 = P_{L,R}$ $P_L + P_R = 1$ $P_L P_R = P_R P_L = 0$

$$u_{\text{RH}} = P_R u_{\text{RH}} + P_L u_{\text{RH}} = \frac{1}{2} \left(1 + \frac{p}{E+m} \right) u_R + \frac{1}{2} \left(1 - \frac{p}{E+m} \right) u_L$$

right-handed ($\lambda = +\frac{1}{2}$)

right-chiral

left-chiral

$$u_{\text{LH}} = P_R u_{\text{LH}} + P_L u_{\text{LH}} = \frac{1}{2} \left(1 - \frac{p}{E+m} \right) u_R + \frac{1}{2} \left(1 + \frac{p}{E+m} \right) u_L$$

left-handed ($\lambda = -\frac{1}{2}$)

right-chiral

left-chiral

Although only **LH chiral particles** participate in the Weak interaction, the contribution from **RH Helicity** states is not necessarily zero!

Look at Dirac spinors for fermions with $\vec{p} = \begin{pmatrix} 0 \\ 0 \\ p \end{pmatrix}$

Case 1: $m = 0$ or $E \gg m$ (e.g. neutrinos or highly relativistic particles)

$$u_{\text{RH}} = \sqrt{E+m} \begin{pmatrix} 1 \\ 0 \\ \frac{p}{E+m} \\ 0 \end{pmatrix} \xrightarrow{E \gg m} \sqrt{E} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} \quad \text{has } \lambda = +\frac{1}{2} \quad \text{(RH)}$$

$$u_{\text{LH}} = \sqrt{E+m} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -\frac{p}{E+m} \end{pmatrix} \xrightarrow{E \gg m} \sqrt{E} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \quad \text{has } \lambda = -\frac{1}{2} \quad \text{(LH)}$$

$$\longrightarrow P_L u_{\text{RH}} = \frac{1}{2} \sqrt{E} \begin{pmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 \\ -1 & 0 & 1 & 0 \\ 0 & -1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$\longrightarrow P_L u_{LH} = \frac{1}{2} \sqrt{E} \begin{pmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 \\ -1 & 0 & 1 & 0 \\ 0 & -1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} = \frac{1}{2} \sqrt{E} \begin{pmatrix} 0 \\ 2 \\ 0 \\ -2 \end{pmatrix} = \sqrt{E} \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} = u_L$$

$$P_R u_{RH} = u_{RH} = u_R$$

$$P_R u_{LH} = 0$$



For $m = 0$: helicity = chirality

For arbitrary spinors u , we can define chirality components via

$$u = (P_R + P_L)u = P_R u + P_L u = u_R + u_L$$

Note:

Particles

Anti-Particles

$$\begin{aligned} \bar{u}_L &= u_L^\dagger \gamma^0 \\ &= u^\dagger \frac{1}{2} (1 - \gamma^5) \gamma^0 \quad (\gamma^5)^\dagger = \gamma^5 \\ &= u^\dagger \frac{1}{2} (\gamma^0 - \gamma^5 \gamma^0) \\ &= u^\dagger \frac{1}{2} (\gamma^0 + \gamma^0 \gamma^5) \quad \leftarrow \gamma^5 \gamma^0 = -\gamma^0 \gamma^5 \\ &= u^\dagger \gamma^0 \frac{1}{2} (1 + \gamma^5) = \bar{u} \frac{1}{2} (1 + \gamma^5) \end{aligned}$$

$$u_L = \frac{1}{2} (1 - \gamma^5) u$$

$$u_R = \frac{1}{2} (1 + \gamma^5) u$$

$$\bar{u}_L = \bar{u} \frac{1}{2} (1 + \gamma^5)$$

$$\bar{u}_R = \bar{u} \frac{1}{2} (1 - \gamma^5)$$

$$v_L = \frac{1}{2} (1 + \gamma^5) v$$

$$v_R = \frac{1}{2} (1 - \gamma^5) v$$

$$\bar{v}_L = \bar{v} \frac{1}{2} (1 - \gamma^5)$$

$$\bar{v}_R = \bar{v} \frac{1}{2} (1 + \gamma^5)$$

Case 2: $m \neq 0$

$$\lambda = +\frac{1}{2}:$$

$$P_L u_{RH} = \frac{1}{2} \sqrt{E+m} \begin{pmatrix} 1 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 \\ -1 & 0 & 1 & 0 \\ 0 & -1 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ \frac{p}{E+m} \\ 0 \end{pmatrix} = \frac{1}{2} \sqrt{E+m} \begin{pmatrix} 1 - \frac{p}{E+m} \\ 0 \\ -1 + \frac{p}{E+m} \\ 0 \end{pmatrix} = \frac{1}{2} \sqrt{E+m} \left(1 - \frac{p}{E+m}\right) \begin{pmatrix} 1 \\ 0 \\ -1 \\ 0 \end{pmatrix} \xrightarrow{E \gg m} 0$$

$$\frac{p}{E} = \beta \approx 1$$

$E \gg m$ ↑

$$\lambda = -\frac{1}{2}:$$

$$P_L u_{LH} = \frac{1}{2} \sqrt{E+m} \left(1 + \frac{p}{E+m}\right) \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \xrightarrow{E \gg m} u_L$$

$$P_R u_{RH} = \frac{1}{2} \sqrt{E+m} \left(1 + \frac{p}{E+m}\right) \begin{pmatrix} 1 \\ 0 \\ -1 \\ 0 \end{pmatrix} \xrightarrow{E \gg m} u_R$$

$$P_R u_{LH} = \frac{1}{2} \sqrt{E+m} \left(1 - \frac{p}{E+m}\right) \begin{pmatrix} 0 \\ 1 \\ 0 \\ -1 \end{pmatrix} \xrightarrow{E \gg m} 0$$

Probability



Degree of “polarization”:

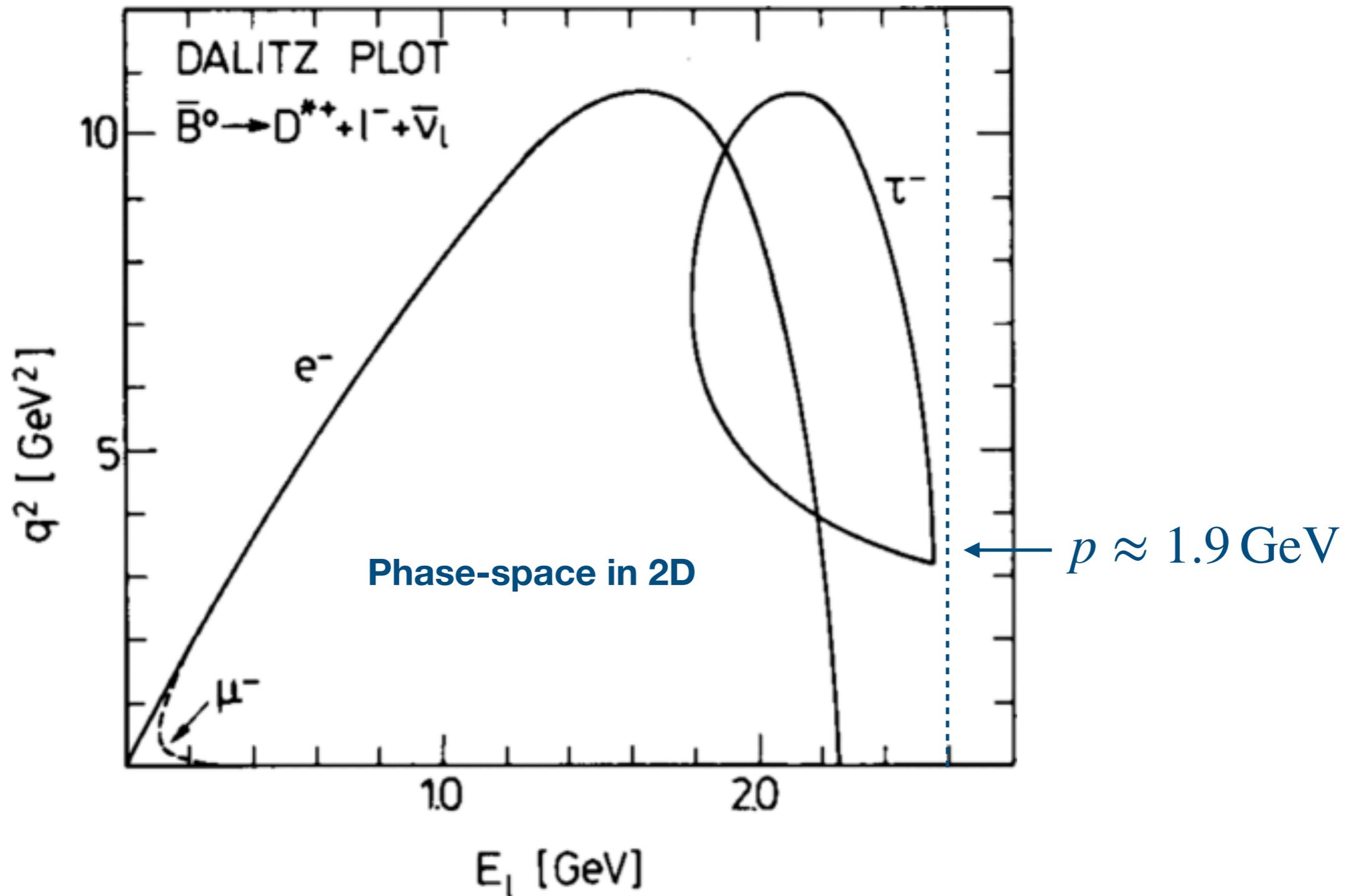
$$\frac{P(\lambda = +\frac{1}{2}) - P(\lambda = -\frac{1}{2})}{P(\lambda = +\frac{1}{2}) + P(\lambda = -\frac{1}{2})} = \frac{(1 - \frac{p}{E+m})^2 - (1 + \frac{p}{E+m})^2}{(1 - \frac{p}{E+m})^2 + (1 + \frac{p}{E+m})^2} \xrightarrow{E \gg m} -\frac{p}{E} = -\beta = -\frac{v}{c}$$

⇒ Leptons that couple to W bosons have **negative helicity** with a probability of β (close to a 100% for relativistic particles). The probability for **positive helicity** is $1 - \beta$

For anti-leptons it's the other way around!

We note: Electron and muon are both relativistic ($\beta \approx 0.99$), tau carries a lot less momentum with respect to its mass

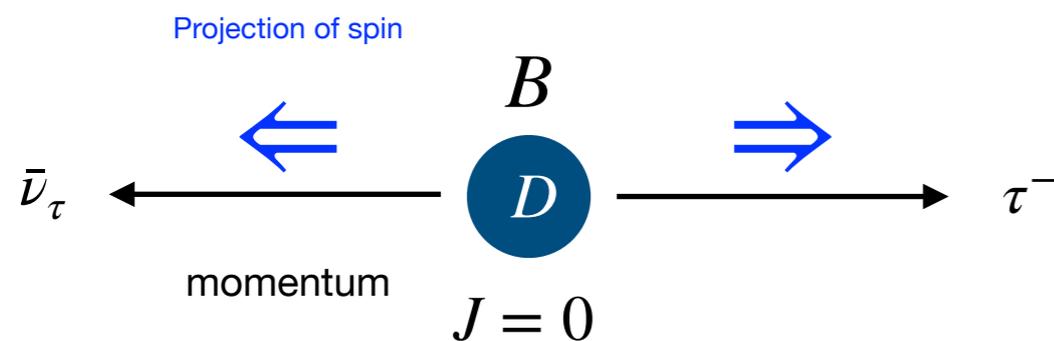
$$\langle \beta \rangle_{\max} \approx \frac{\langle p \rangle_{\max}}{\langle E \rangle_{\max}} = \frac{1.9 \text{ GeV}}{\sqrt{(1.9)^2 + 1.77^2} \text{ GeV}} \approx 0.73$$



Spin situation: D-meson is a pseudo-scalar and has spin 0:

Let us consider the situation for a B decaying into D , tau, anti-tau neutrino (**i.e. particles**) at zero recoil

$$w = 1, q^2 = q_{\max}^2$$



Weak force couples only to **RH chiral antiparticles** (and **LH chiral particles**)

↓
Anti-Neutrinos have almost no mass, i.e. have **RH helicity**

↓
To conserve angular momentum tau needs to possess **RH helicity**

RH Helicity = Sum of **RH chiral** and **LH chiral** contributions

$$u_{\text{RH}} = P_R u_{\text{RH}} + P_L u_{\text{RH}} = \frac{1}{2} \left(1 + \frac{p}{E+m} \right) u_R + \frac{1}{2} \left(1 - \frac{p}{E+m} \right) u_L$$

right-handed ($\lambda = +\frac{1}{2}$)
right-chiral
left-chiral

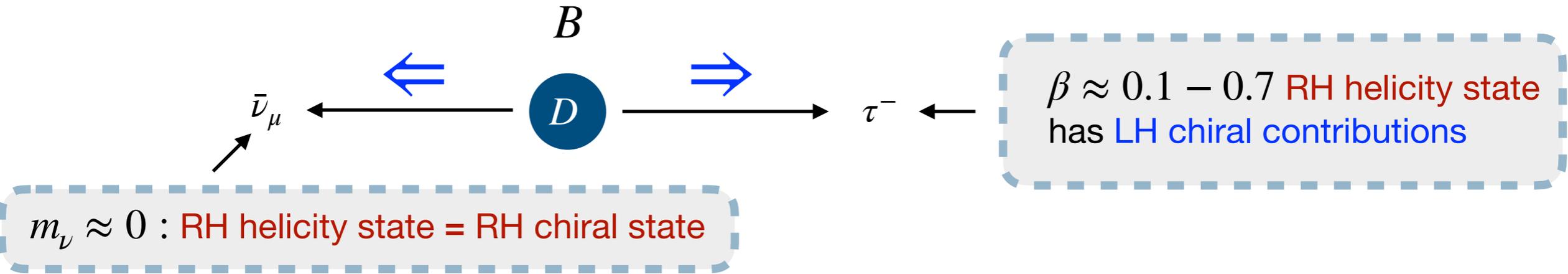
$m \rightarrow 0$: RH helicity = RH chirality

→ Particles: no coupling to Weak force

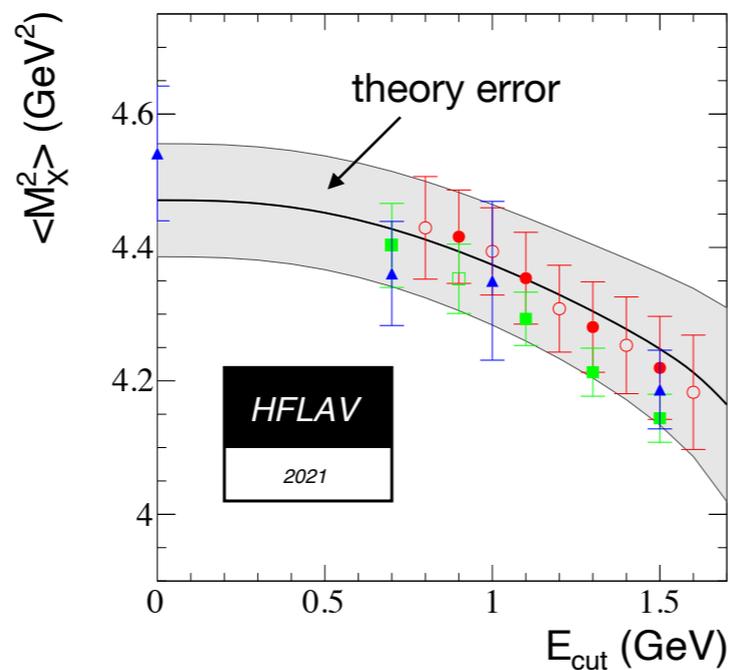
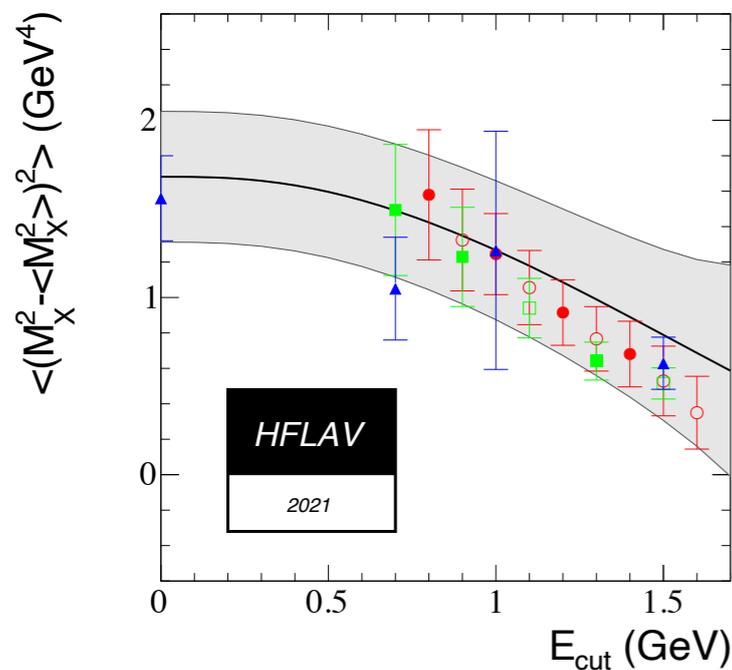
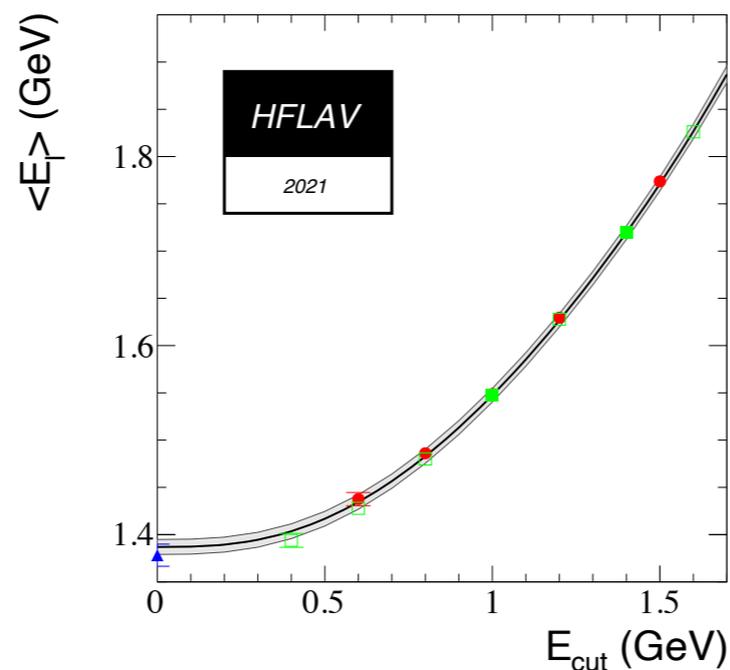
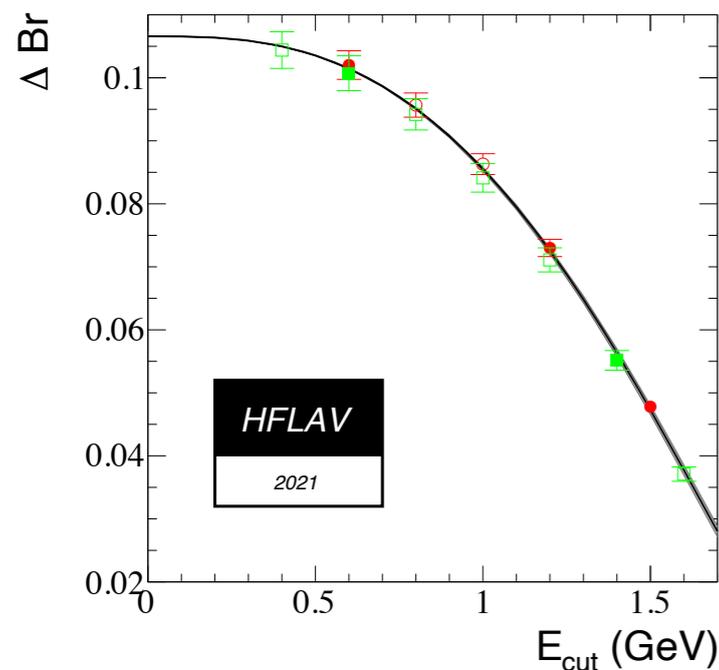
Anti-particles: Coupling to Weak force

Zero-recoil is strongly helicity suppressed for light leptons with $\frac{p}{E+m} \approx 1$

But for τ leptons this is not the case:



Spectral Moment Fit from HFLAV (Kinetic scheme)



χ^2 -fit of the spectral moments, which **includes theory uncertainties and correlations** based on a fixed correlation model

Constrains $m_c^{1S} = 0.986 \pm 0.013$ GeV

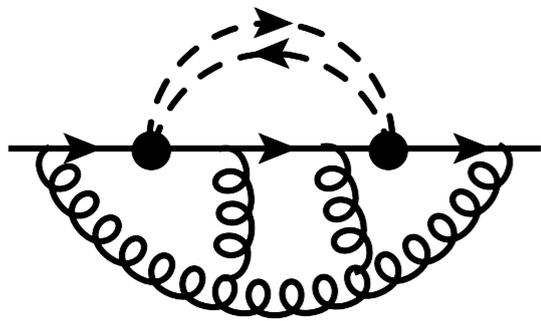
(Phys. Rev. D80 074010, 2009)

$\text{Br}(B \rightarrow X_c l \nu)$ (%)	$ V_{cb} $ (10^{-3})
10.65 \pm 0.16	42.19 \pm 0.78

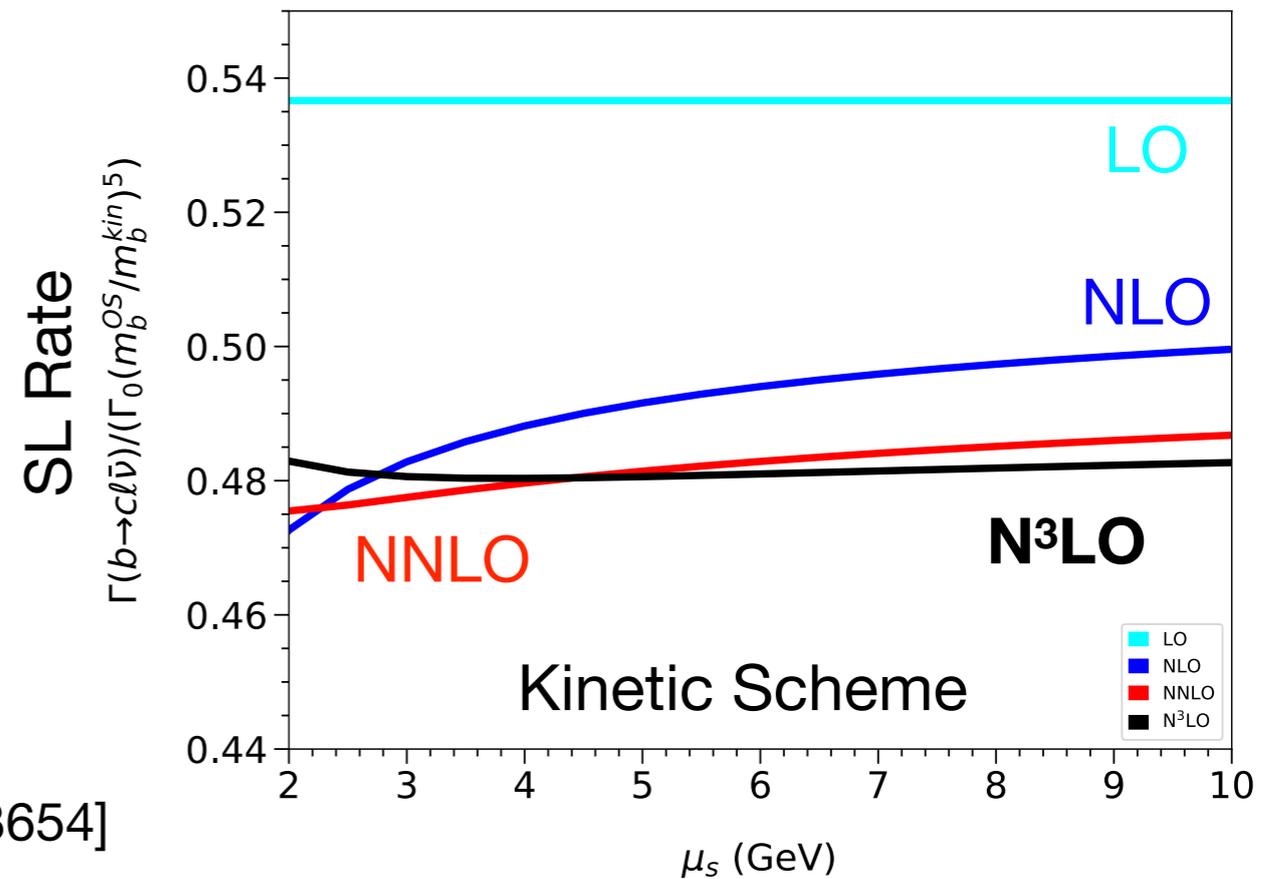
m_b^{kin} (GeV)	μ_{pi}^2 (GeV ²)
4.554 \pm 0.018	0.464 \pm 0.076

State-of-the-art

Fantastic progress on the theory side:
semileptonic rate @ N³LO!



M. Fael, K. Schönwald, M. Steinhauser
[Phys.Rev.D 104 (2021) 1, 016003, arXiv:2011.13654]



Renormalization scale

Updated inclusive fit to $\langle E_\ell \rangle$, $\langle M_X \rangle$ moments:

$$|V_{cb}| = 42.16(30)_{th}(32)_{exp}(25)_\Gamma \cdot 10^{-3}$$

$$\Delta |V_{cb}| / |V_{cb}| = 1.2\%!$$

M. Bordone, B. Capdevila, P. Gambino
[Phys.Lett.B 822 (2021) 136679, arXiv:2107.00604]

m_b^{kin}	$\bar{m}_c(2\text{GeV})$	μ_π^2	ρ_D^3	$\mu_G^2(m_b)$	ρ_{LS}^3	$\text{BR}_{cl\nu}$	$10^3 V_{cb} $
4.573	1.092	0.477	0.185	0.306	-0.130	10.66	42.16
0.012	0.008	0.056	0.031	0.050	0.092	0.15	0.51
1	0.307	-0.141	0.047	0.612	-0.196	-0.064	-0.420
	1	0.018	-0.010	-0.162	0.048	0.028	0.061
		1	0.735	-0.054	0.067	0.172	0.429
			1	-0.157	-0.149	0.091	0.299
				1	0.001	0.013	-0.225
					1	-0.033	-0.005
						1	0.684

See also [Phys.Lett.B 829 (2022) 137068, 2202.01434] for very recent 1S fit finding $|V_{cb}| = (42.5 \pm 1.1) \times 10^{-3}$

$$d\Gamma = d\Gamma_0 + d\Gamma_{\mu\pi} \frac{\mu_\pi^2}{m_b^2} + d\Gamma_{\mu G} \frac{\mu_G^2}{m_b^2} + d\Gamma_{\rho D} \frac{\rho_D^3}{m_b^3} + d\Gamma_{\rho LS} \frac{\rho_{LS}^3}{m_b^3} + \dots$$

Bad news: number of these matrix elements increases if one increases expansion in $1/m_{b,c}$



Innovative idea from [JHEP 02 (2019) 177, arXiv:1812.07472]
(M. Fael, T. Mannel, K. Vos)

→ Number of ME reduce by exploiting **reparametrization invariance**, but **not true for every observable**

Spectral moments :

$$\langle M^n[w] \rangle = \int d\Phi w^n(v, p_\ell, p_\nu) W^{\mu\nu} L_{\mu\nu}$$

$v = p_B/m_B$

$w = (m_B v - q)^2 \Rightarrow \langle M_X^n \rangle$ Moments not RPI (depends on v)

$w = v \cdot p_\ell \Rightarrow \langle E_\ell^n \rangle$ Moments not RPI (depends on v)

$w = q^2 \Rightarrow \langle (q^2)^n \rangle$ Moments RPI! (does not depend on v)

$$d\Gamma = d\Gamma_0 + d\Gamma_{\mu_\pi} \frac{\mu_\pi^2}{m_b^2} + d\Gamma_{\mu_G} \frac{\mu_G^2}{m_b^2} + d\Gamma_{\rho_D} \frac{\rho_D^3}{m_b^3} + d\Gamma_{\rho_{LS}} \frac{\rho_{LS}^3}{m_b^3} + \dots$$

Bad news: number of these matrix elements increases if one increases expansion in $1/m_{b,c}$



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Measurements of q^2 **moments** of **inclusive** $B \rightarrow X_c \ell \bar{\nu}_\ell$ decays with hadronic tagging [PRD 104, 112011 (2021), arXiv:2109.01685]

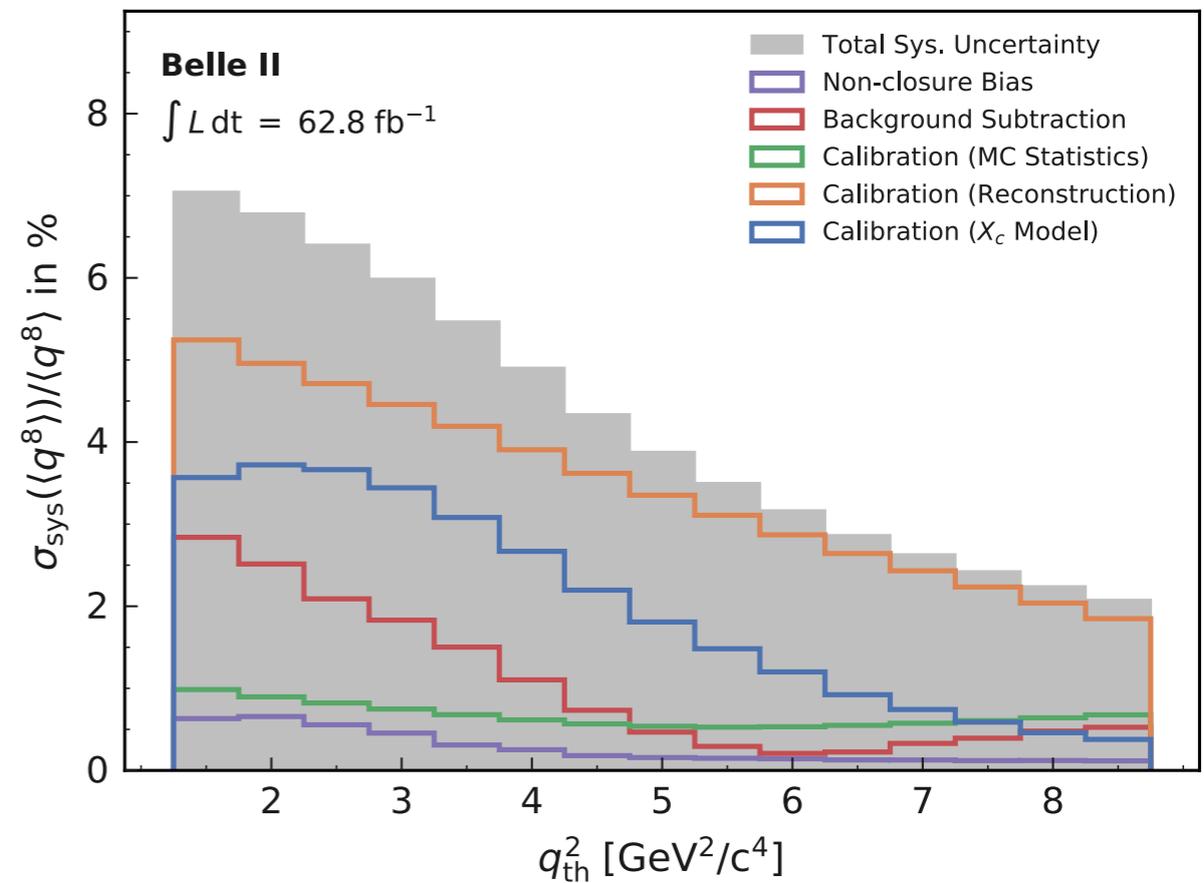
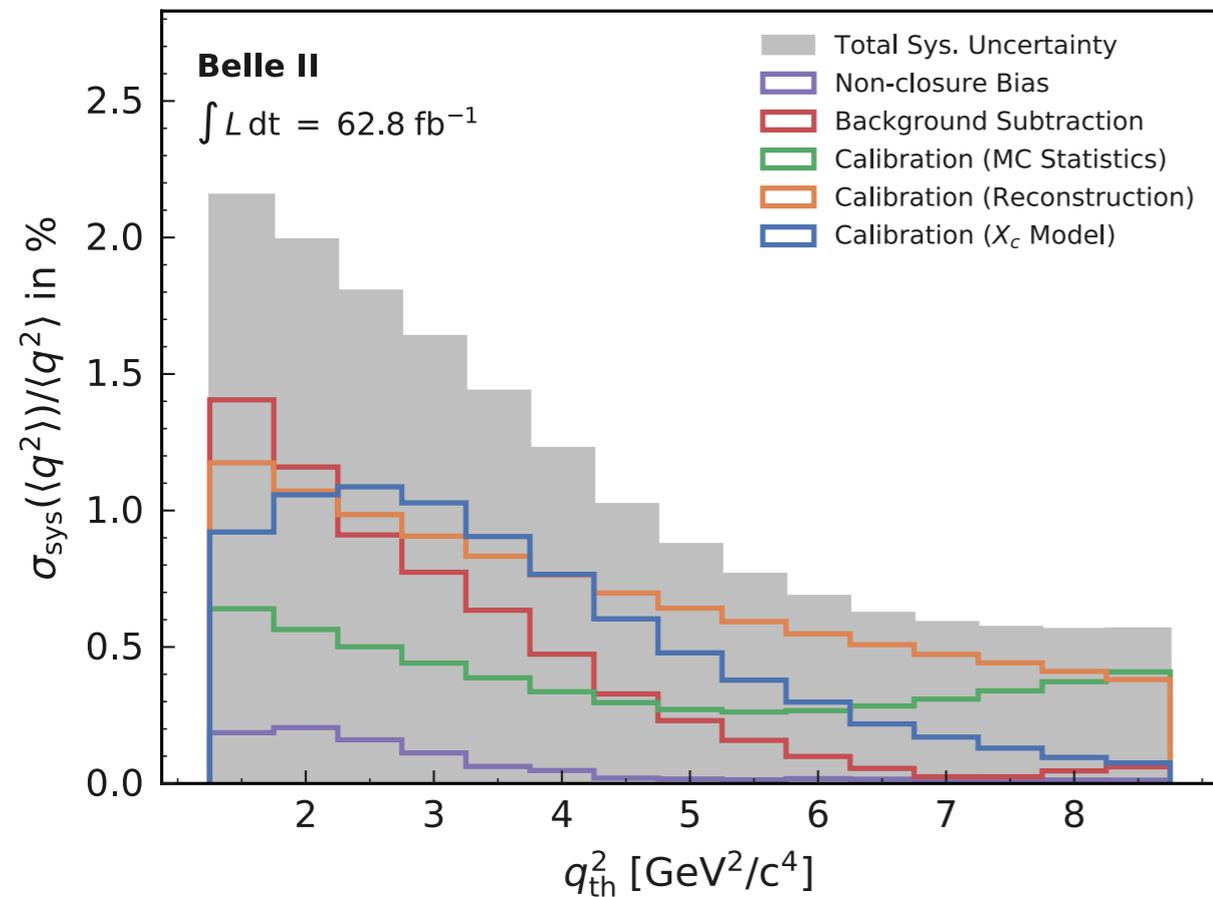


Measurements of Lepton **Mass squared moments** in **inclusive** $B \rightarrow X_c \ell \bar{\nu}_\ell$ Decays with the Belle II Experiment
[Under review by PRD, arXiv:2205.06372]



Belle II q^2 spectral moments

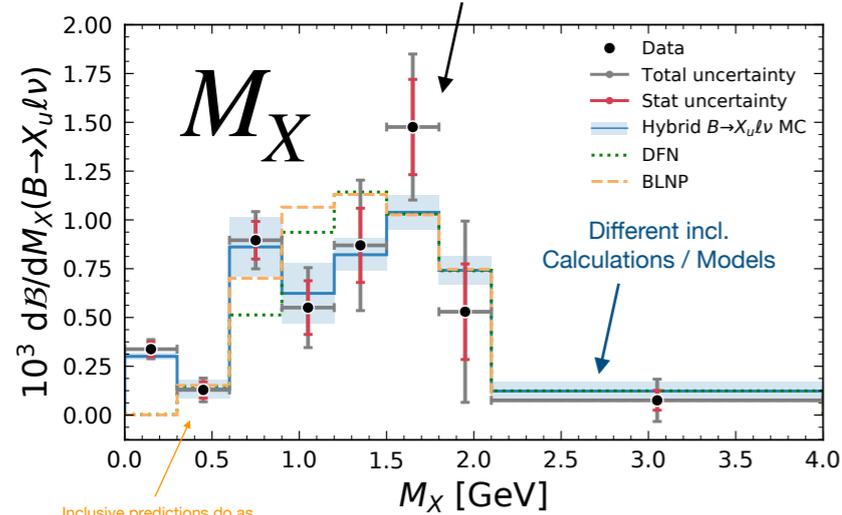
Largest uncertainty from reconstruction, background subtraction, X_c model



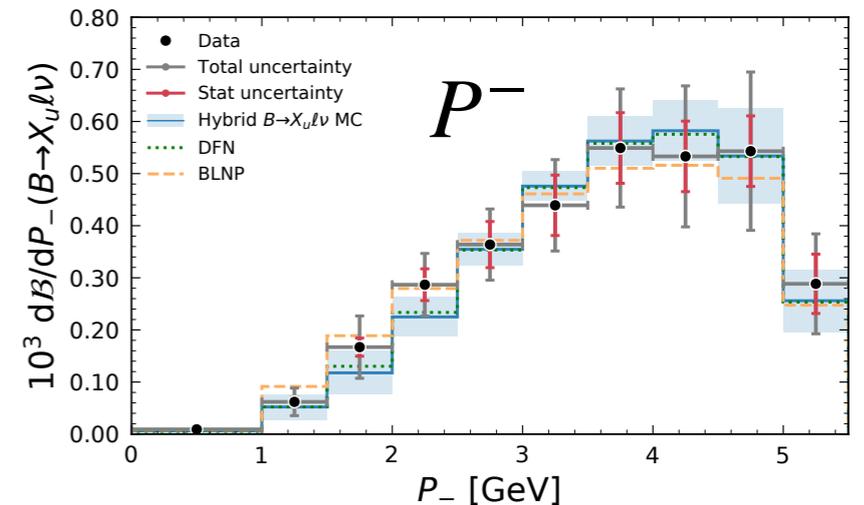
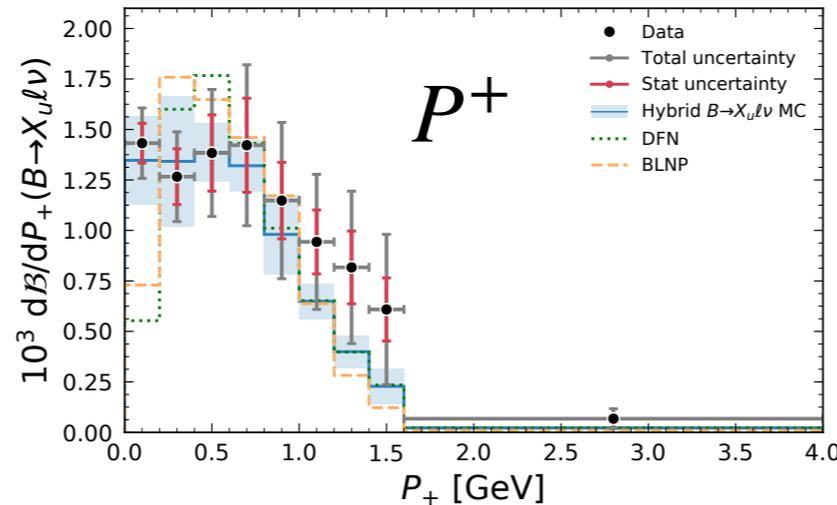
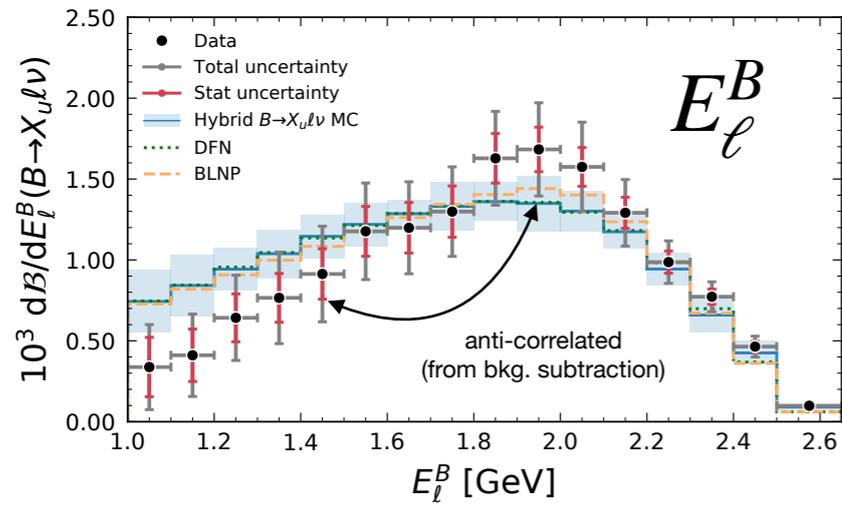
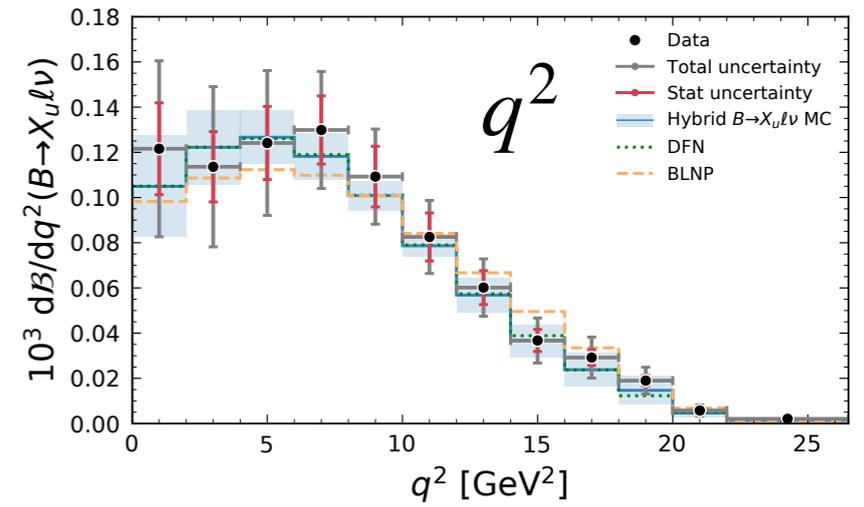
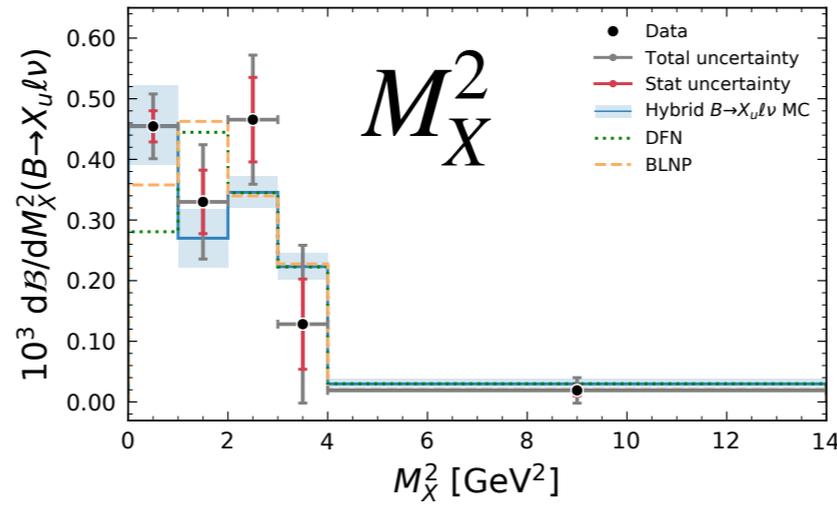
Belle II sensitivity similar to Belle already.

Going differential :

Unfolded + acceptance corrected distributions with total Error / Stat. Error



Inclusive predictions do as expected not describe low M_X resonance region well



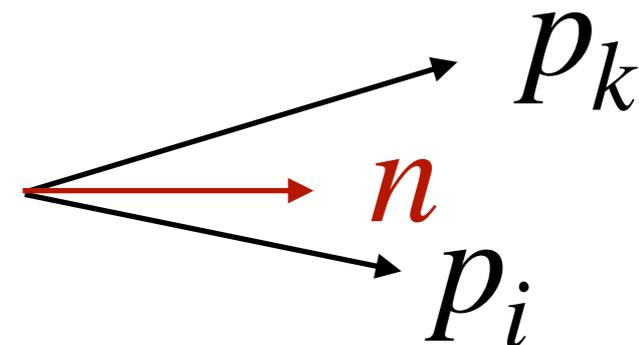
Agreement
(w/o theory uncertainties)

χ^2	E_ℓ^B	M_X	M_X^2	q^2	P_+	P_-
n.d.f.	16	8	5	12	9	10
Hybrid	13.5	2.5	2.6	4.5	1.7	5.2
DFN	16.2	63.2	13.1	18.5	29.3	6.1
BLNP	16.5	61.0	6.3	20.6	23.6	13.7

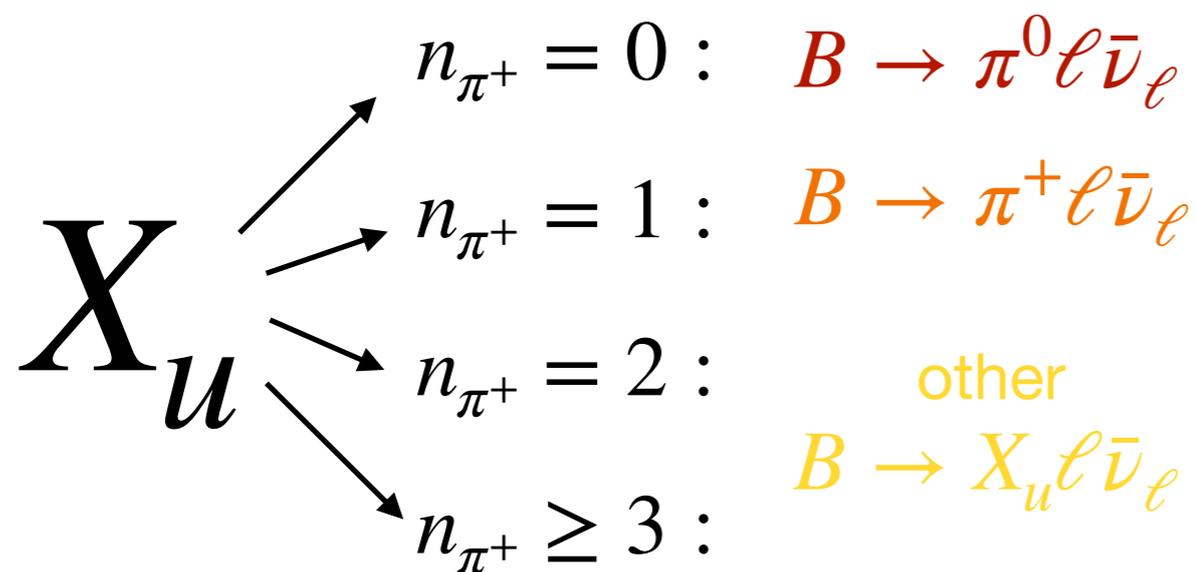
Simultaneous Determination of Inclusive and Exclusive $|V_{ub}|$

Accepted by PRL \square

New Idea: Exploit that **exclusive** X_u final states can be separated using the # of charged pions



Use 'thrust',
expect more collimated system
for $B \rightarrow \pi^0 \ell \bar{\nu}_\ell$ and $B \rightarrow \pi^+ \ell \bar{\nu}_\ell$
than for other processes



$$\max_{|\mathbf{n}|=1} \left(\frac{\sum_i |\mathbf{p}_i \cdot \mathbf{n}|}{\sum_i |\mathbf{p}_i|} \right)$$

q^2

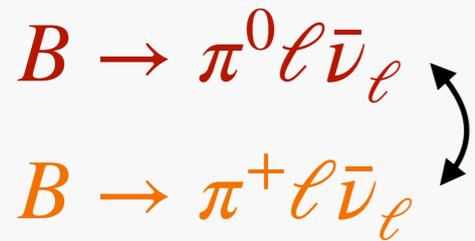
Extraction of **BFs** and $B \rightarrow \pi$ **form factors**, in 2D fit of $q^2 : n_{\pi^+}$

M_X

Use high M_X to constrain $B \rightarrow X_c \ell \bar{\nu}_\ell$

2D Categories :

For fit link



assuming isospin

Float BCL $B \rightarrow \pi$ FF
constrained to **FLAG 2022**

WA [Eur.Phys.J.C 82 (2022) 10, 869]

$$f_+(q^2) = \frac{1}{1 - q^2/m_{B^*}^2} \sum_{n=0}^{N^+-1} a_n^+ \left[z^n - (-1)^{n-N^+} \frac{n}{N^+} z^{N^+} \right]$$

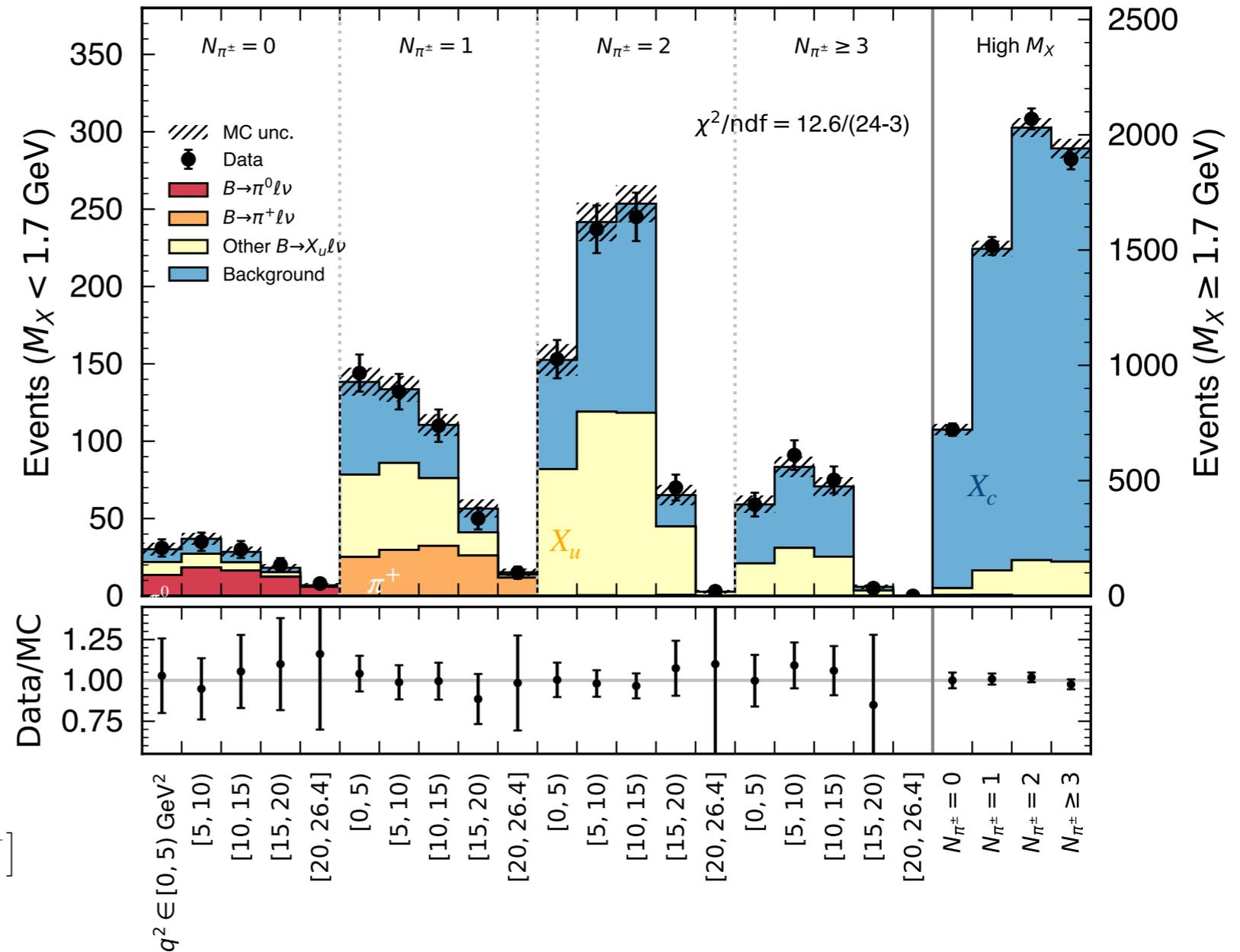
$$f_0(q^2) = \sum_{n=0}^{N^0-1} a_n^0 z^n, \quad (3)$$

→

$$\mathcal{B}(\bar{B}^0 \rightarrow \pi^+ \ell^- \bar{\nu}_\ell) = (1.43 \pm 0.19 \pm 0.13) \times 10^{-4},$$

$$\Delta \mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell) = (1.40 \pm 0.14 \pm 0.23) \times 10^{-3},$$

$$\rho = 0.10$$

(Note that $B \rightarrow X_u \ell \bar{\nu}_\ell$ of course contains $B \rightarrow \pi \ell \bar{\nu}_\ell$)

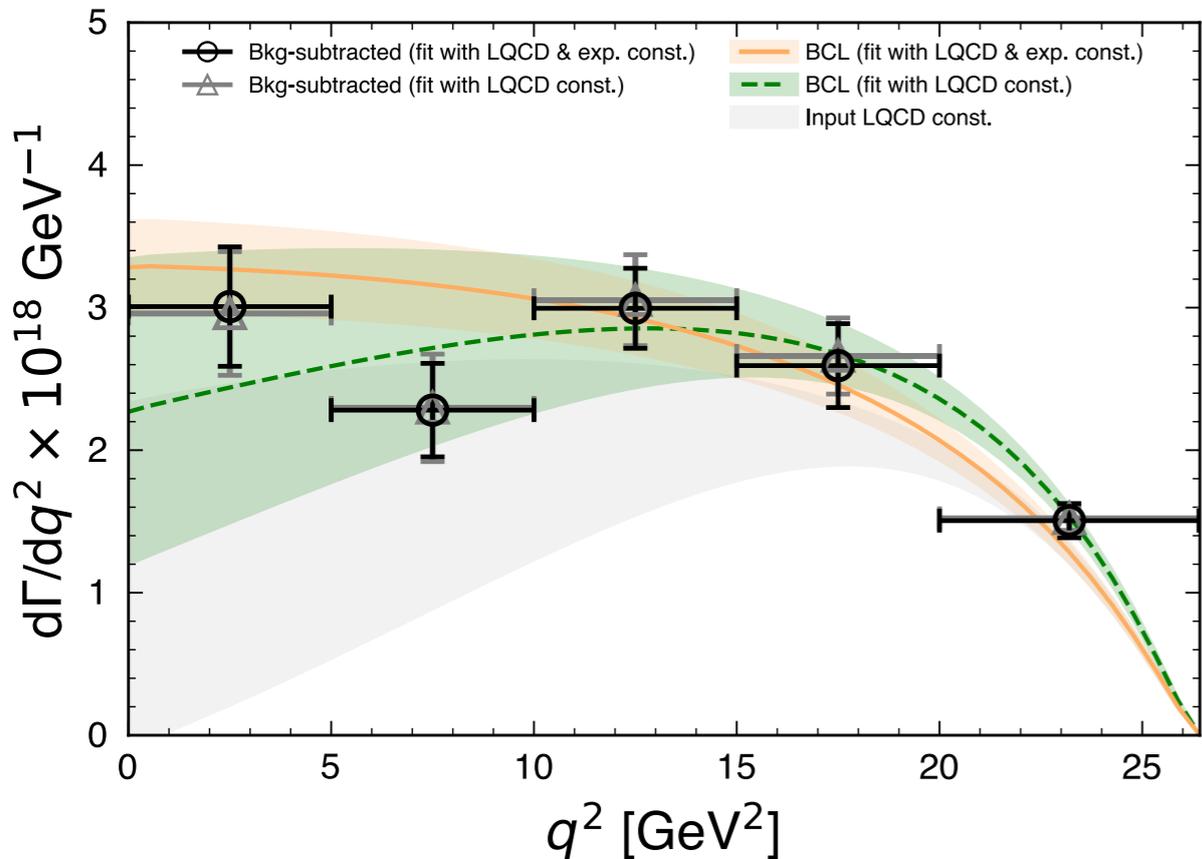
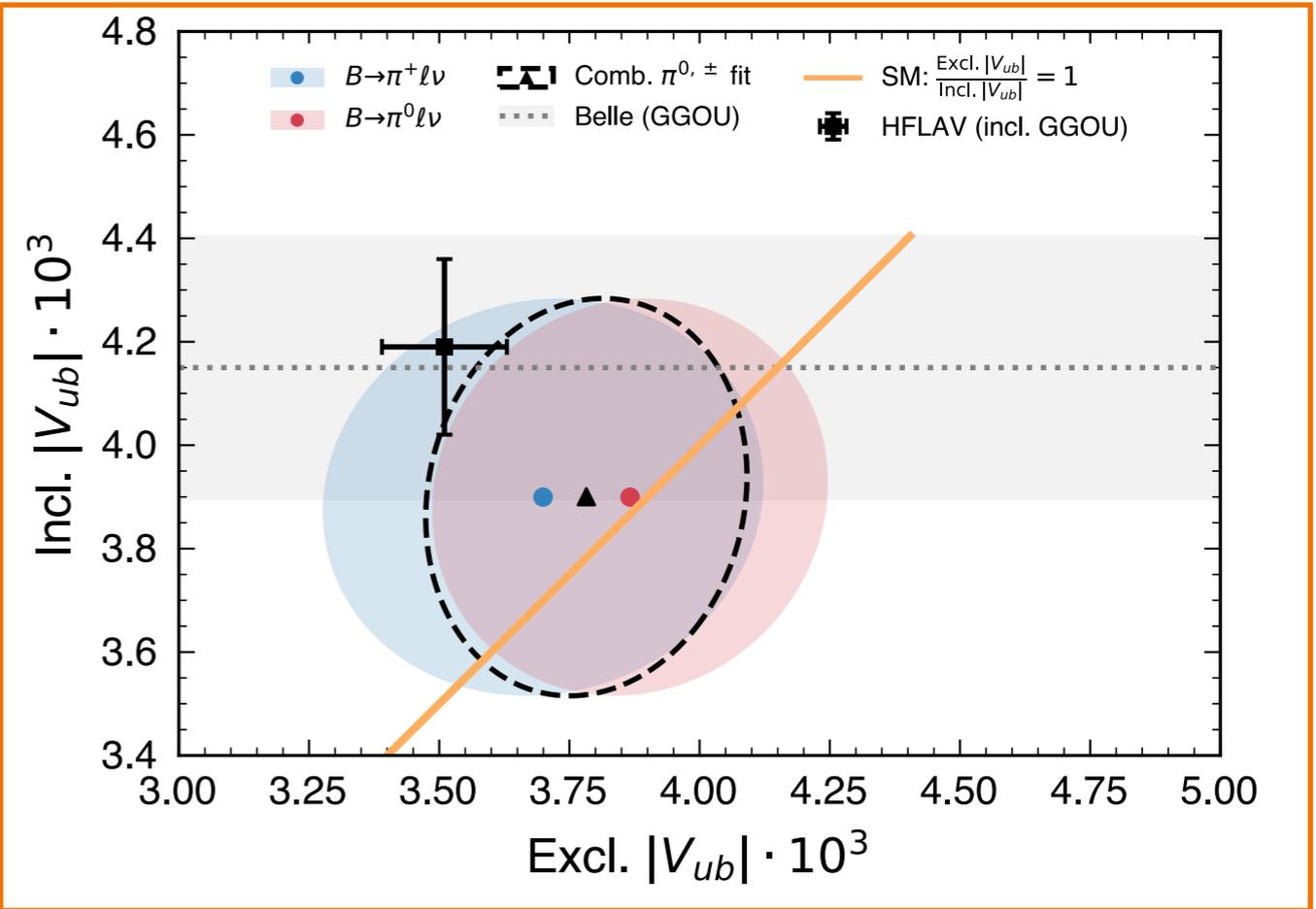
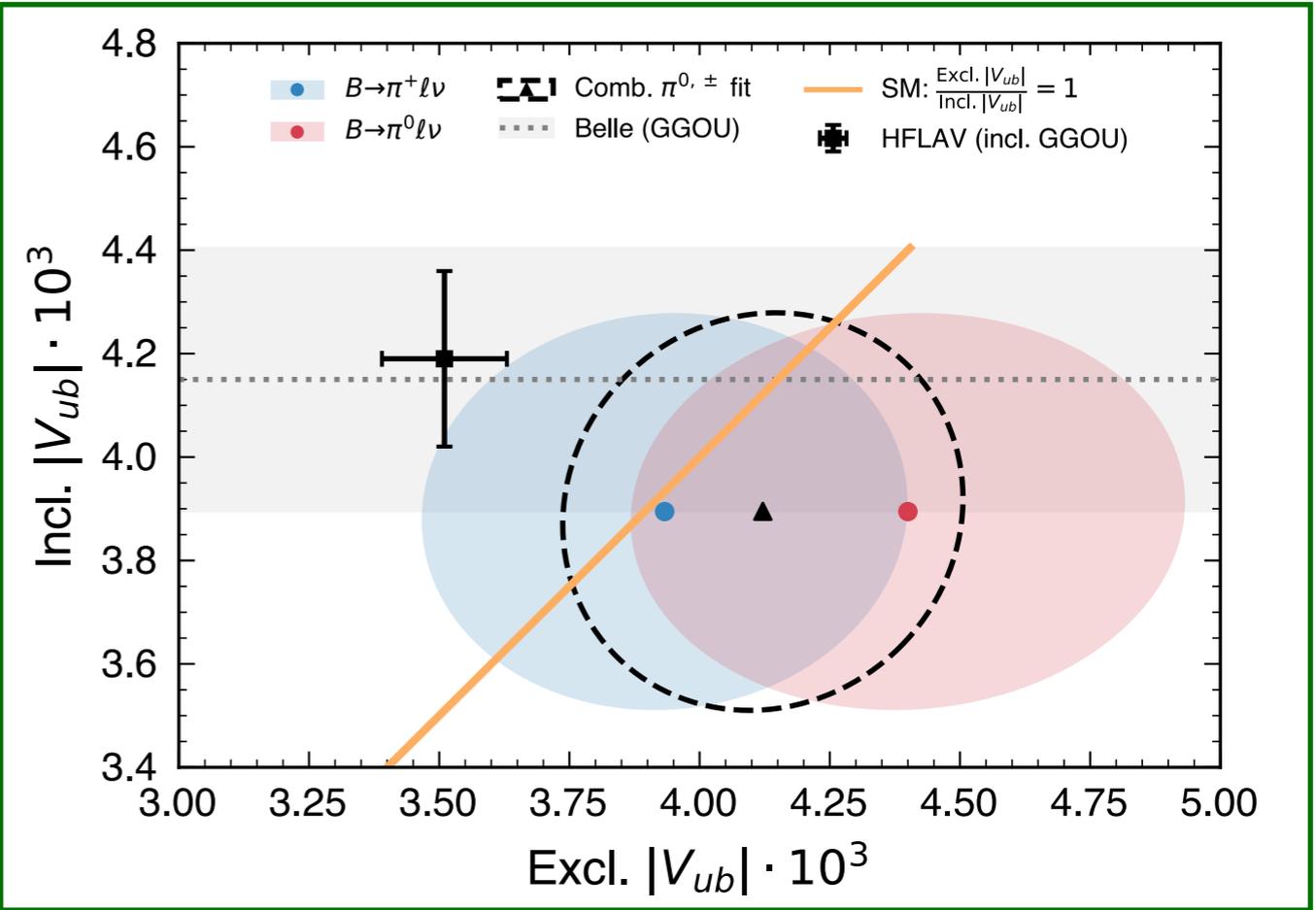
Two sets of results:

1) FLAG 2022

$$|V_{ub}^{\text{excl.}}| / |V_{ub}^{\text{incl.}}| = 1.06 \pm 0.14,$$

2) FLAG 2022 + all experimental information on $B \rightarrow \pi$ FF

$$|V_{ub}^{\text{excl.}}| / |V_{ub}^{\text{incl.}}| = 0.97 \pm 0.12,$$



Truncation Order in FF Fits

Martin will tell us more about form factors (FF) and how to determine from these distributions $|V_{cb}|$

One model independent way to parametrize FFs is the **BGL** parametrization (Boyd-Grinstein-Lebed, [arXiv:hep-ph/9705252])

$$g(z) = \frac{1}{P_g(z)\phi_g(z)} \sum_{n=0}^N a_n z^n, \quad f(z) = \frac{1}{P_f(z)\phi_f(z)} \sum_{n=0}^N b_n z^n, \quad \mathcal{F}_1(z) = \frac{1}{P_{\mathcal{F}_1}(z)\phi_{\mathcal{F}_1}(z)} \sum_{n=0}^N c_n z^n,$$

One Problem you face as an experimentalist: where do you truncate?

Truncate too soon:

- Model dependence in extracted result for $|V_{cb}|$?

Truncate too late:

- Unnecessarily increase variance on $|V_{cb}|$?

Is there an **ideal** truncation order?

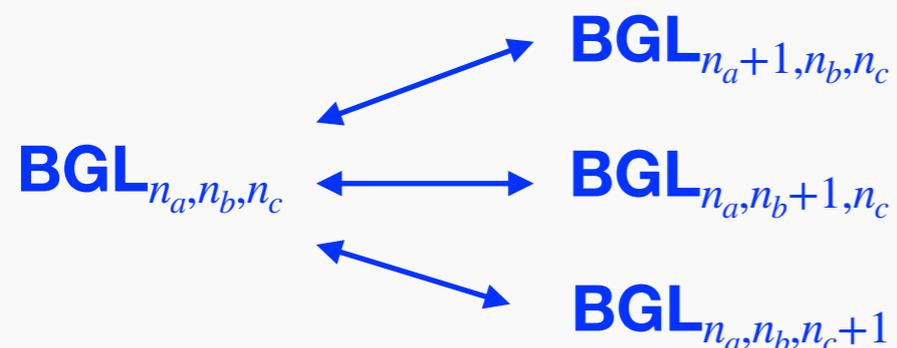
Nested Hypothesis Tests or Saturation Constraints

This work

[arXiv:1902.09553, PRD100,013005 (2019)]

Use a **nested hypothesis test** to determine optimal truncation order

Challenge nested fits



Test statistics & Decision boundary

$$\Delta\chi^2 = \chi_N^2 - \chi_{N+1}^2 \quad \Delta\chi^2 > 1$$

Distributed like a χ^2 -distribution with 1 dof
(Wilk's theorem)

Gambino, Jung, Schacht

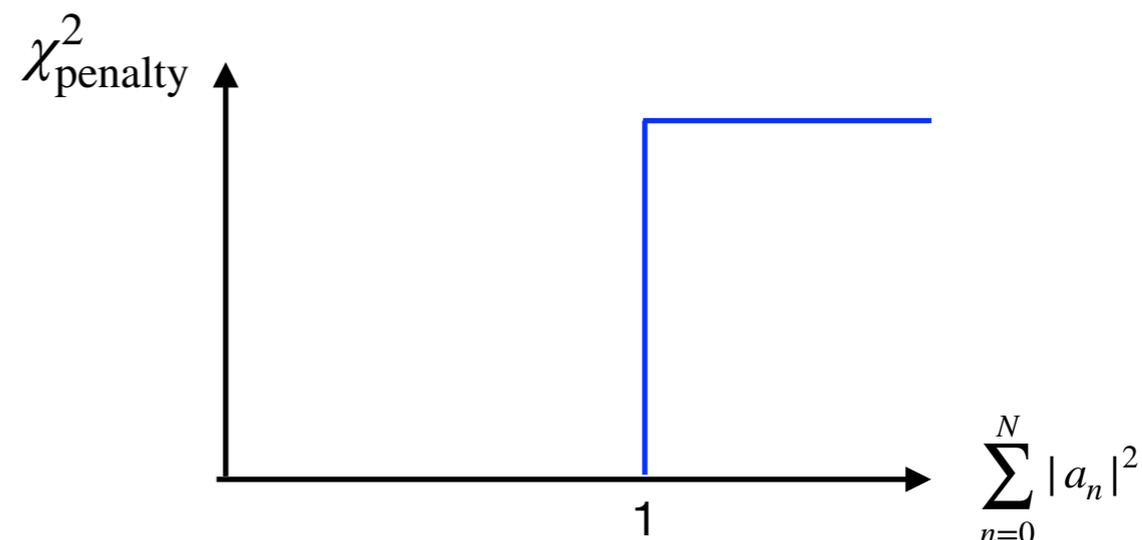
[arXiv:1905.08209, PLB]

Constrain contributions from higher order coefficients using **unitarity bounds**

$$\sum_{n=0}^N |a_n|^2 \leq 1 \quad \sum_{n=0}^N (|b_n|^2 + |c_n|^2) \leq 1$$

e.g.

$$\chi^2 \rightarrow \chi^2 + \chi_{\text{penalty}}^2$$



Nesting Procedure

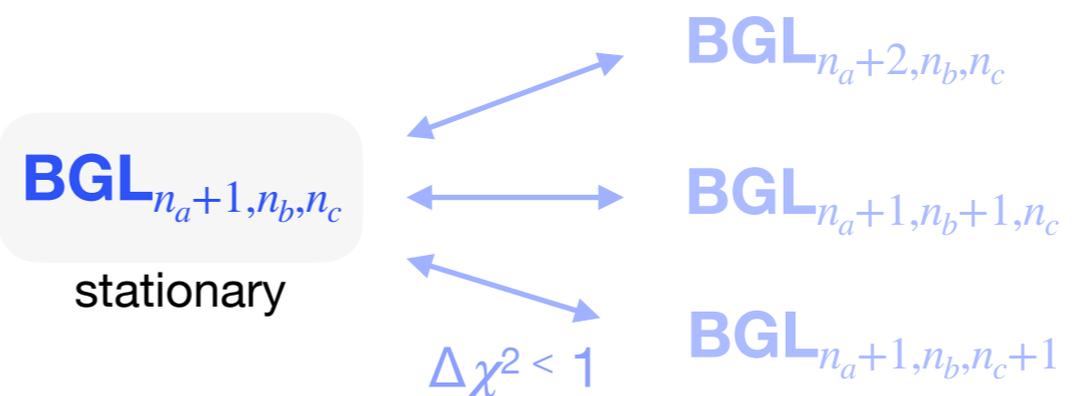
Steps:

1 Carry out nested fits with one parameter added

2 Accept descendant over parent fit, if $\Delta\chi^2 > 1$

3 Repeat 1 and 2 until you find **stationary** points

4 If multiple **stationary** points remain, choose the one with smallest N , then smallest χ^2



Toy study to illustrate possible bias

Use the central values of the **BGL₂₂₂** fit as a starting point to add **fine structure**

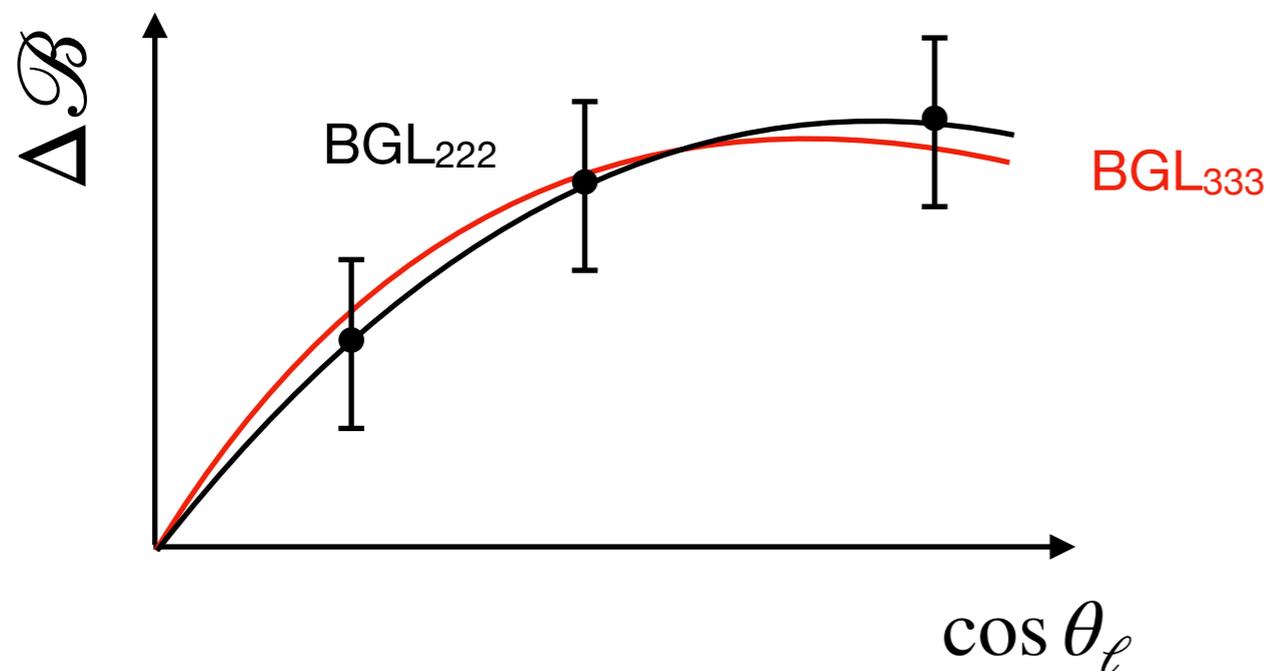


	'1-times'	'10-times'
Parameter	Value $\times 10^2$	Value $\times 10^2$
\tilde{a}_2	2.6954	26.954
\tilde{b}_2	-0.2040	-2.040
\tilde{c}_3	0.5350	5.350



Create a "true" higher order Hypothesis of order **BGL₃₃₃**

Has fine structure element the **current data cannot resolve**



Toy study to illustrate possible bias

Use the central values of the **BGL₂₂₂** fit as a starting point to add **fine structure**

	'1-times'	'10-times'
Parameter	Value $\times 10^2$	Value $\times 10^2$
\tilde{a}_2	2.6954	26.954
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\tilde{c}_3	0.5350	5.350

Toy Test

Produce **ensemble** of toy measurements using **untagged covariance** & **BGL₃₃₃** central values

Each toy is fitted to build the descendant tree and carry out a **nested hypo. test** to select its preferred **BGL_{n_an_bn_c}**

Create a "true" higher order Hypothesis of order **BGL₃₃₃**

Has fine structure element the **current data cannot resolve**

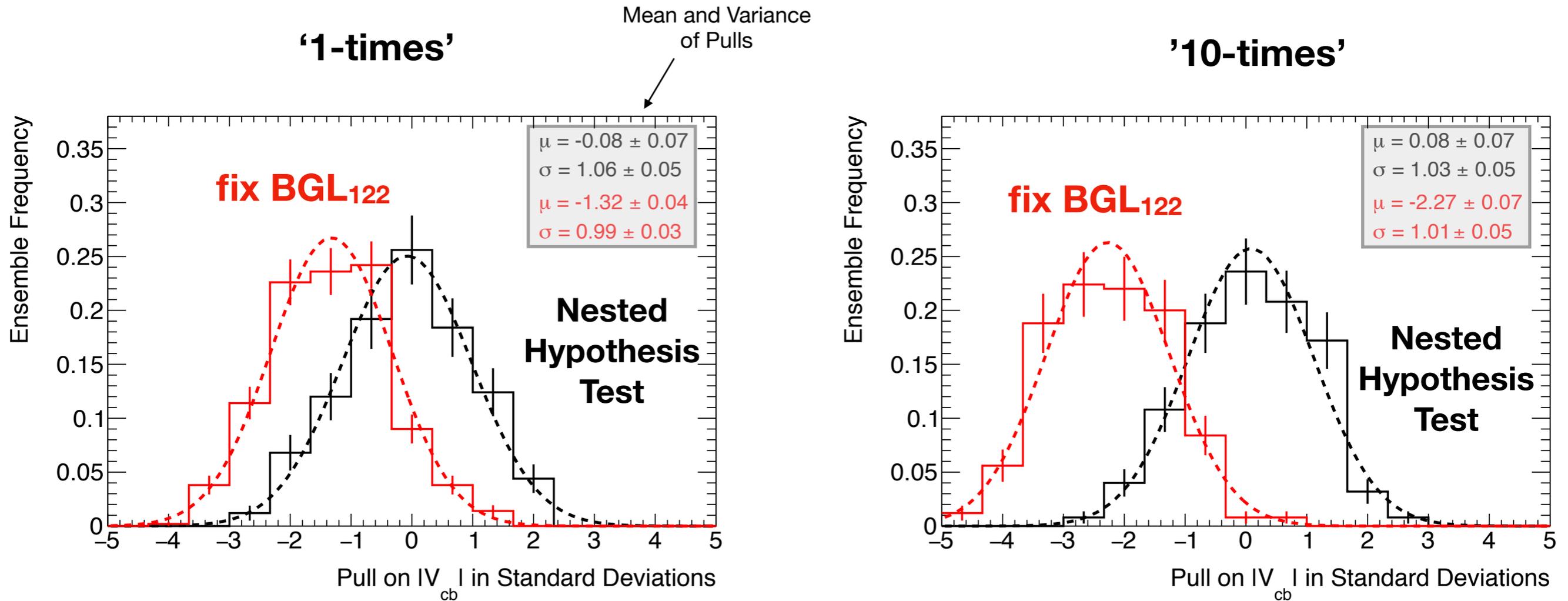
As calculated from selected **BGL_{n_an_bn_c}** fit of each toy

Construct Pulls

$$\text{Pull} = \frac{|V_{cb}|_{\text{true}} - |V_{cb}|_{\text{toy}}}{\Delta |V_{cb}|_{\text{toy}}}$$

If methodology unbiased, should follow a standard normal distribution (mean 0, width 1)

Bias



→ Procedure produces **unbiased** $|V_{cb}|$ values, **just picking a hypothesis (BGL₁₂₂) does not**

Relative Frequency of selected Hypothesis:

	BGL ₁₂₂	BGL ₂₁₂	BGL ₂₂₁	BGL ₂₂₂	BGL ₂₂₃	BGL ₂₃₂	BGL ₃₂₂	BGL ₂₃₃	BGL ₃₂₃	BGL ₃₃₂	BGL ₃₃₃
1-times	6%	0%	37%	27%	6%	6%	11%	0%	2%	4%	0.4%
10-times	0%	0%	8%	38%	14%	8%	16%	3%	4%	8%	1%

More on the Gap

Model 1:

Equidistribution of all final state particles in phase space

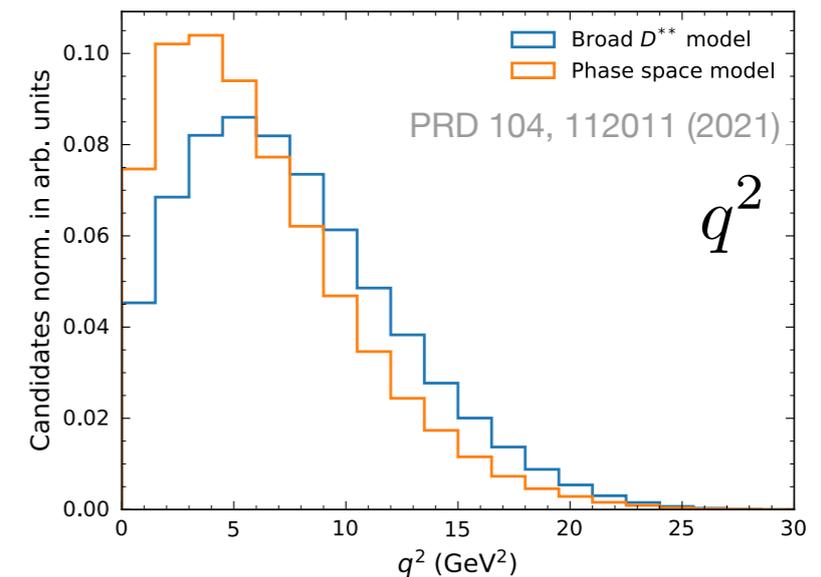
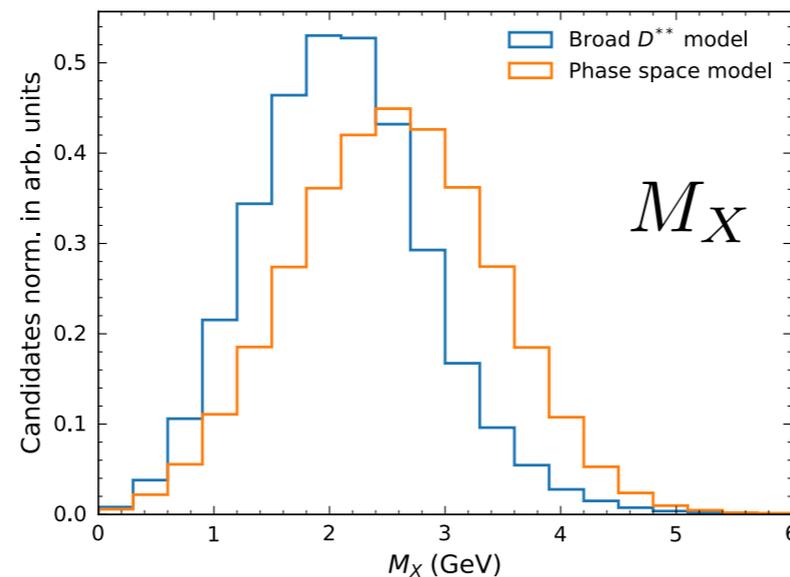
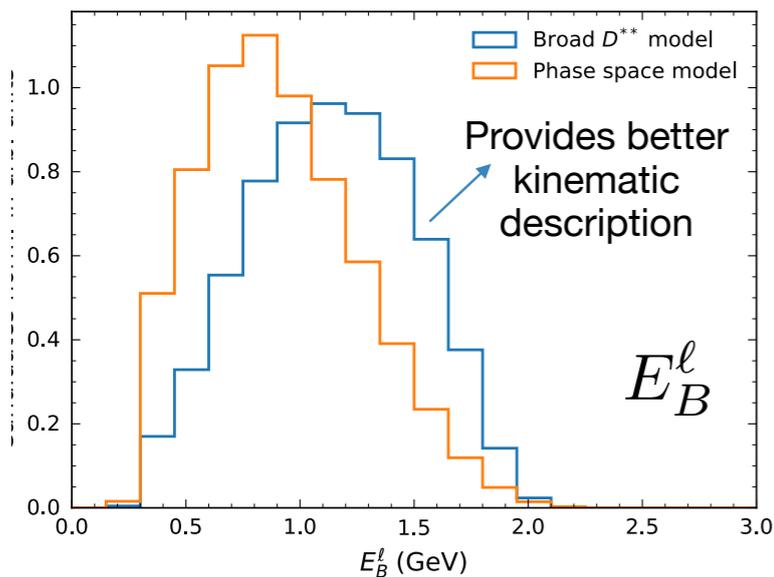
Decay	$\mathcal{B}(B^+)$	$\mathcal{B}(B^0)$
$B \rightarrow D \ell^+ \nu_\ell$	$(2.4 \pm 0.1) \times 10^{-2}$	$(2.2 \pm 0.1) \times 10^{-2}$
$B \rightarrow D^* \ell^+ \nu_\ell$	$(5.5 \pm 0.1) \times 10^{-2}$	$(5.1 \pm 0.1) \times 10^{-2}$
$B \rightarrow D_1 \ell^+ \nu_\ell$	$(6.6 \pm 0.1) \times 10^{-3}$	$(6.2 \pm 0.1) \times 10^{-3}$
$B \rightarrow D_2^* \ell^+ \nu_\ell$	$(2.9 \pm 0.3) \times 10^{-3}$	$(2.7 \pm 0.3) \times 10^{-3}$
$B \rightarrow D_0^* \ell^+ \nu_\ell$	$(4.2 \pm 0.8) \times 10^{-3}$	$(3.9 \pm 0.7) \times 10^{-3}$
$B \rightarrow D_1' \ell^+ \nu_\ell$	$(4.2 \pm 0.9) \times 10^{-3}$	$(3.9 \pm 0.8) \times 10^{-3}$
$B \rightarrow D \pi \pi \ell^+ \nu_\ell$	$(0.6 \pm 0.9) \times 10^{-3}$	$(0.6 \pm 0.9) \times 10^{-3}$
$B \rightarrow D^* \pi \pi \ell^+ \nu_\ell$	$(2.2 \pm 1.0) \times 10^{-3}$	$(2.0 \pm 1.0) \times 10^{-3}$
$B \rightarrow D \eta \ell^+ \nu_\ell$	$(4.0 \pm 4.0) \times 10^{-3}$	$(4.0 \pm 4.0) \times 10^{-3}$
$B \rightarrow D^* \eta \ell^+ \nu_\ell$	$(4.0 \pm 4.0) \times 10^{-3}$	$(4.0 \pm 4.0) \times 10^{-3}$
$B \rightarrow X_c \ell \nu_\ell$	$(10.8 \pm 0.4) \times 10^{-2}$	$(10.1 \pm 0.4) \times 10^{-2}$

Model 2:

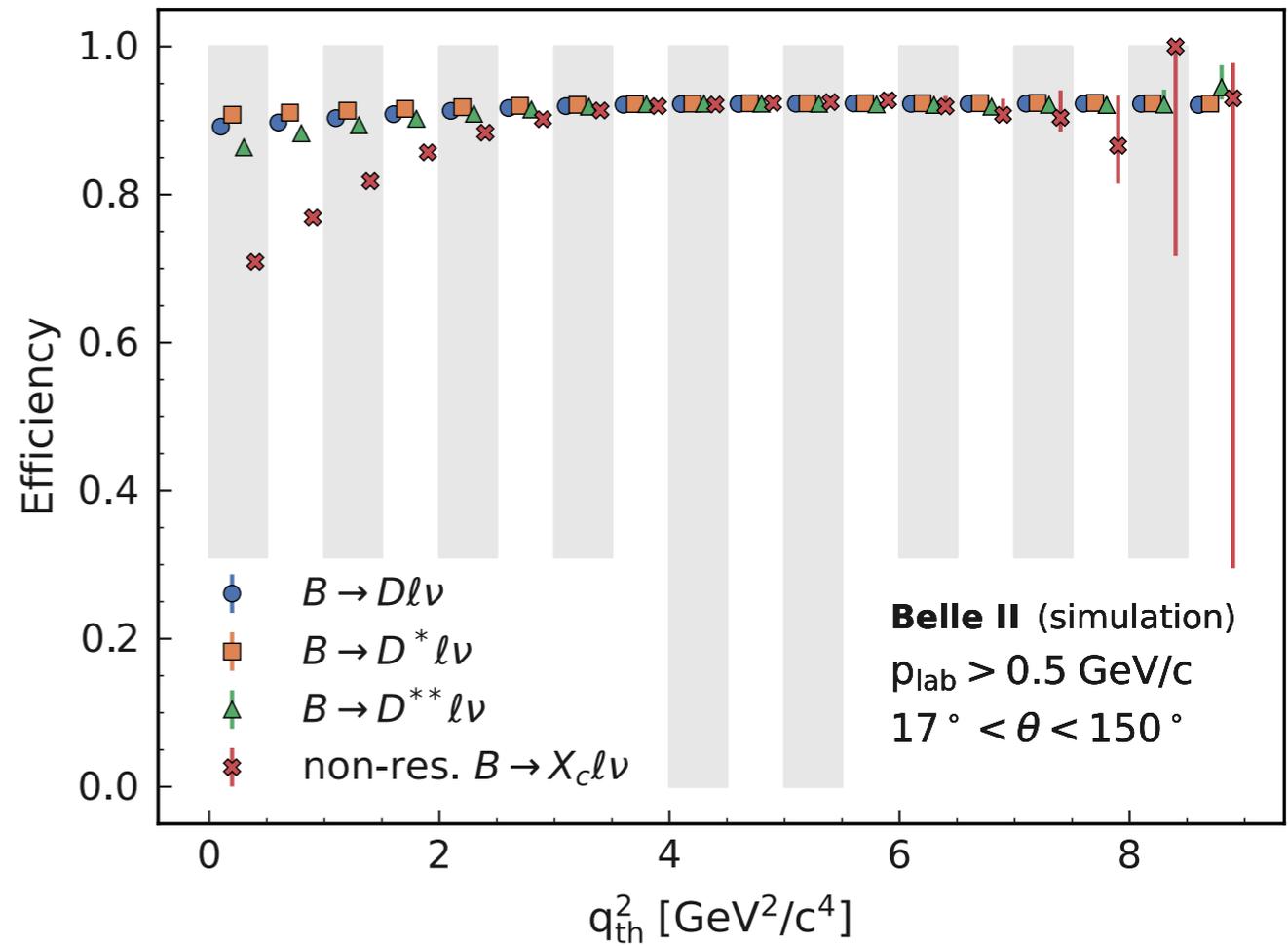
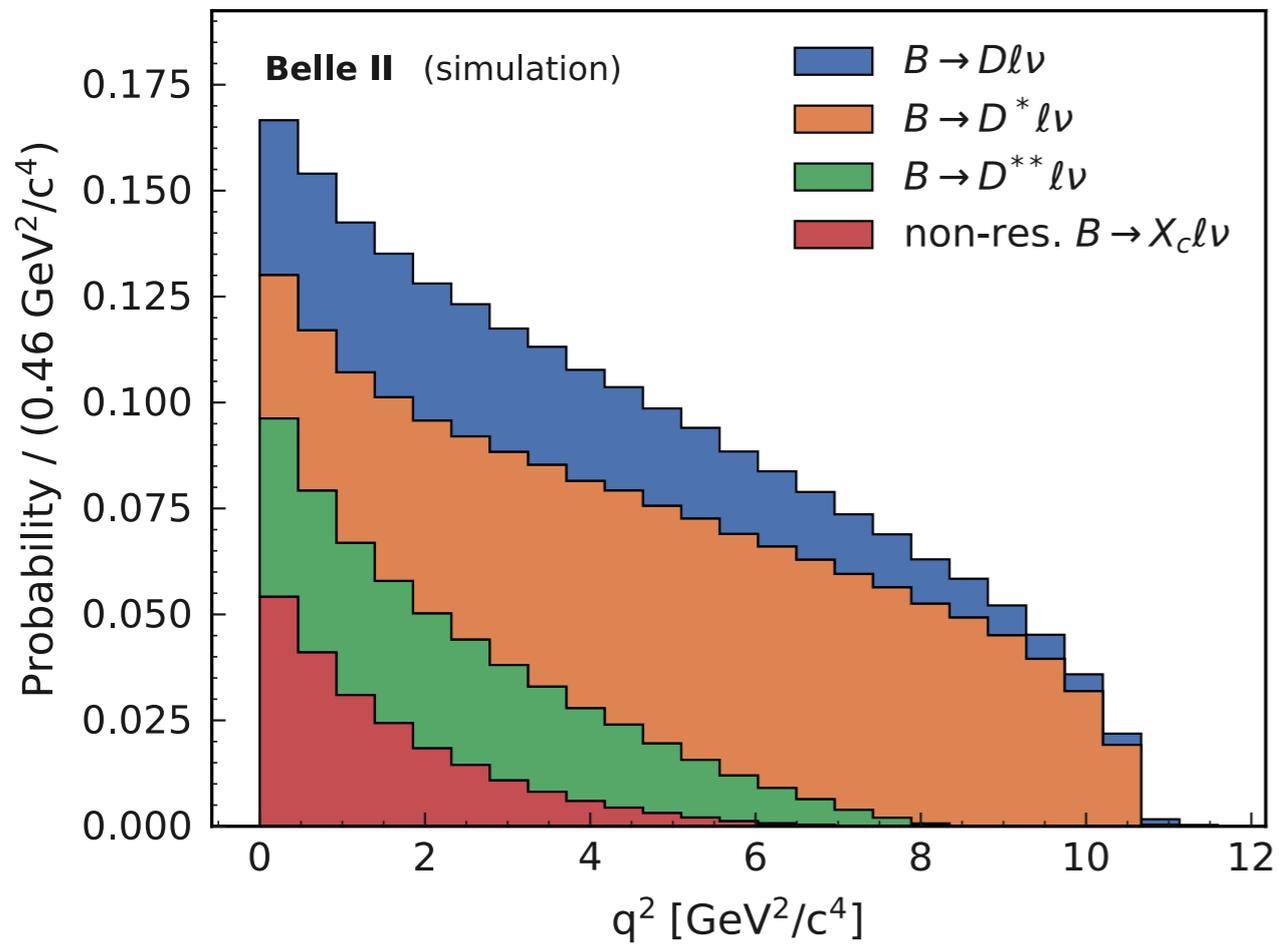
Decay via intermediate broad D^{**} state

Decay	$\mathcal{B}(B^+)$	$\mathcal{B}(B^0)$
$B \rightarrow D_0^* \ell^+ \nu_\ell$ ($\hookrightarrow D \pi \pi$)	$(0.03 \pm 0.03) \times 10^{-2}$	$(0.03 \pm 0.03) \times 10^{-2}$
$B \rightarrow D_1^* \ell^+ \nu_\ell$ ($\hookrightarrow D \pi \pi$)	$(0.03 \pm 0.03) \times 10^{-2}$	$(0.03 \pm 0.03) \times 10^{-2}$
$B \rightarrow D_0^* \pi \pi \ell^+ \nu_\ell$ ($\hookrightarrow D^* \pi \pi$)	$(0.108 \pm 0.051) \times 10^{-2}$	$(0.101 \pm 0.048) \times 10^{-2}$
$B \rightarrow D_1^* \pi \pi \ell^+ \nu_\ell$ ($\hookrightarrow D^* \pi \pi$)	$(0.108 \pm 0.051) \times 10^{-2}$	$(0.101 \pm 0.048) \times 10^{-2}$
$B \rightarrow D_0^* \ell^+ \nu_\ell$ ($\hookrightarrow D \eta$)	$(0.396 \pm 0.396) \times 10^{-2}$	$(0.399 \pm 0.399) \times 10^{-2}$
$B \rightarrow D_1^* \ell^+ \nu_\ell$ ($\hookrightarrow D^* \eta$)	$(0.396 \pm 0.396) \times 10^{-2}$	$(0.399 \pm 0.399) \times 10^{-2}$

(Assign 100% BR uncertainty in systematics covariance matrix)



X_c Simulation



Full Angular Information **without** going to 4D

Full angular information can be encoded into **12 coefficients** :

$$\frac{d\Gamma}{dq^2 d\cos\theta_V d\cos\theta_\ell d\chi} = \frac{G_F^2 |V_{cb}|^2 m_B^3}{2\pi^4} \times \left\{ \begin{aligned} & J_{1s} \sin^2 \theta_V + J_{1c} \cos^2 \theta_V \\ & + (J_{2s} \sin^2 \theta_V + J_{2c} \cos^2 \theta_V) \cos 2\theta_\ell \\ & + J_3 \sin^2 \theta_V \sin^2 \theta_\ell \cos 2\chi \\ & + J_4 \sin 2\theta_V \sin 2\theta_\ell \cos \chi + J_5 \sin 2\theta_V \sin \theta_\ell \cos \chi \\ & + (J_{6s} \sin^2 \theta_V + J_{6c} \cos^2 \theta_V) \cos \theta_\ell \\ & + J_7 \sin 2\theta_V \sin \theta_\ell \sin \chi + J_8 \sin 2\theta_V \sin 2\theta_\ell \sin \chi \\ & + J_9 \sin^2 \theta_V \sin^2 \theta_\ell \sin 2\chi \end{aligned} \right\}.$$

Each of these coefficients is a function of $q^2 \sim w$



With some smart folding, one can “easily” determine them

Based on the ideas of:

JHEP 05 (2013) 043

JHEP 05 (2013) 137

Phys. Rev. D 90, 094003 (2014)

<http://cds.cern.ch/record/1605179>

8 Coefficients relevant in massless limit & SM

How can we measure these coefficients?

Step 1: bin up phase-space in $q^2 \sim w$ in however many bins you can afford

Step 2: Determine the # of signal events in specific phase-space regions

The coefficients are related to a weighted sum of events in a given q^2 bin

$$J_i = \frac{1}{N_i} \sum_{j=1}^8 \sum_{k,l=1}^4 \eta_{ij}^\chi \eta_{ik}^{\theta_\ell} \eta_{il}^{\theta_V} \left[\chi^i \otimes \theta_\ell^j \otimes \theta_V^k \right]$$

Normalization
Factor

Weights

Phase space region

J_i	η_i^χ	$\eta_i^{\theta_\ell}$	$\eta_i^{\theta_V}$	normalization N_i
J_{1s}	{+}	{+, a, a, +}	{-, c, c, -}	$2\pi(1)2$
J_{1c}	{+}	{+, a, a, +}	{+, d, d, +}	$2\pi(1)(2/5)$
J_{2s}	{+}	{-, b, b, -}	{-, c, c, -}	$2\pi(-2/3)2$
J_{2c}	{+}	{-, b, b, -}	{+, d, d, +}	$2\pi(-2/3)(2/5)$
J_3	{+, -, -, +, +, -, -, +}	{+}	{+}	$4(4/3)^2$
J_4	{+, +, -, -, -, -, +, +}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_5	{+, +, -, -, -, -, +, +}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_{6s}	{+}	{+, +, -, -}	{-, c, c, -}	$2\pi(1)2$
J_{6c}	{+}	{+, +, -, -}	{+, d, d, +}	$2\pi(1)(2/5)$
J_7	{+, +, +, +, -, -, -, -}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_8	{+, +, +, +, -, -, -, -}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_9	{+, +, -, -, +, +, -, -}	{+}	{+}	$4(4/3)^2$

$$a = 1 - 1/\sqrt{2}, b = a\sqrt{2}, c = 2\sqrt{2} - 1, d = 1 - 4\sqrt{2}/5$$

E.g. for J_3 : **Split** χ into **2 Regions**

$$'+' : \chi \in [0, \pi/4], [3/4\pi, 5/4\pi], [7/4\pi, 2\pi]$$

\tilde{N}_+

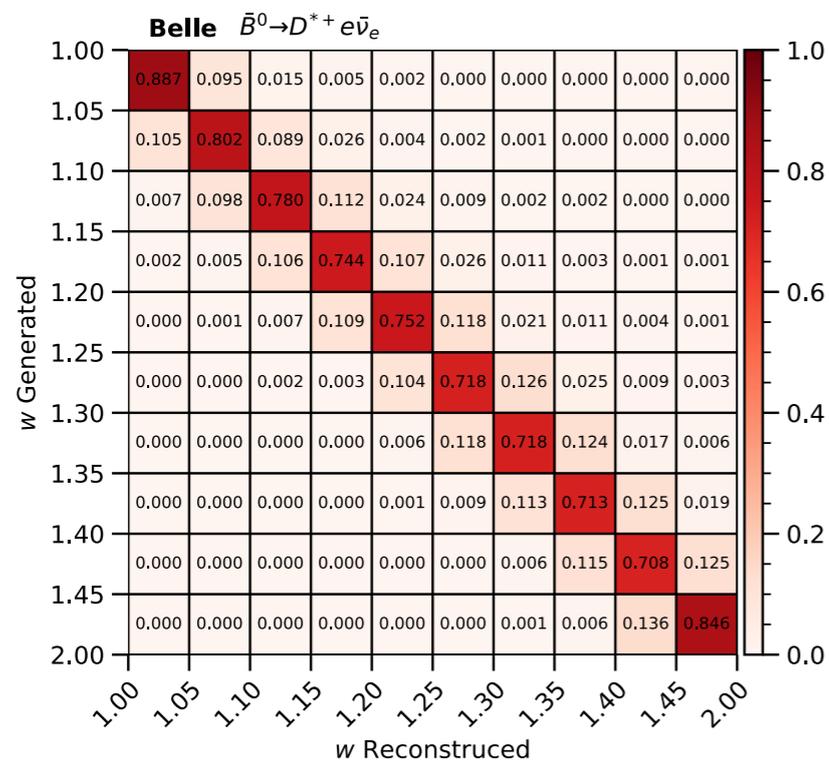
$$'-' : \chi \in [\pi/4, 3/4\pi], [5/4\pi, 7/4\pi]$$

\tilde{N}_-

Step 3: Reverse Migration and Acceptance Effects

Resolution effects: events with a given “true” value of $\{q^2, \cos \theta_\ell, \cos \theta_V, \chi\}$ can fall into different reconstructed bins

E.g. w migration matrix



[arXiv:2301.07529](https://arxiv.org/abs/2301.07529) [hep-ex]

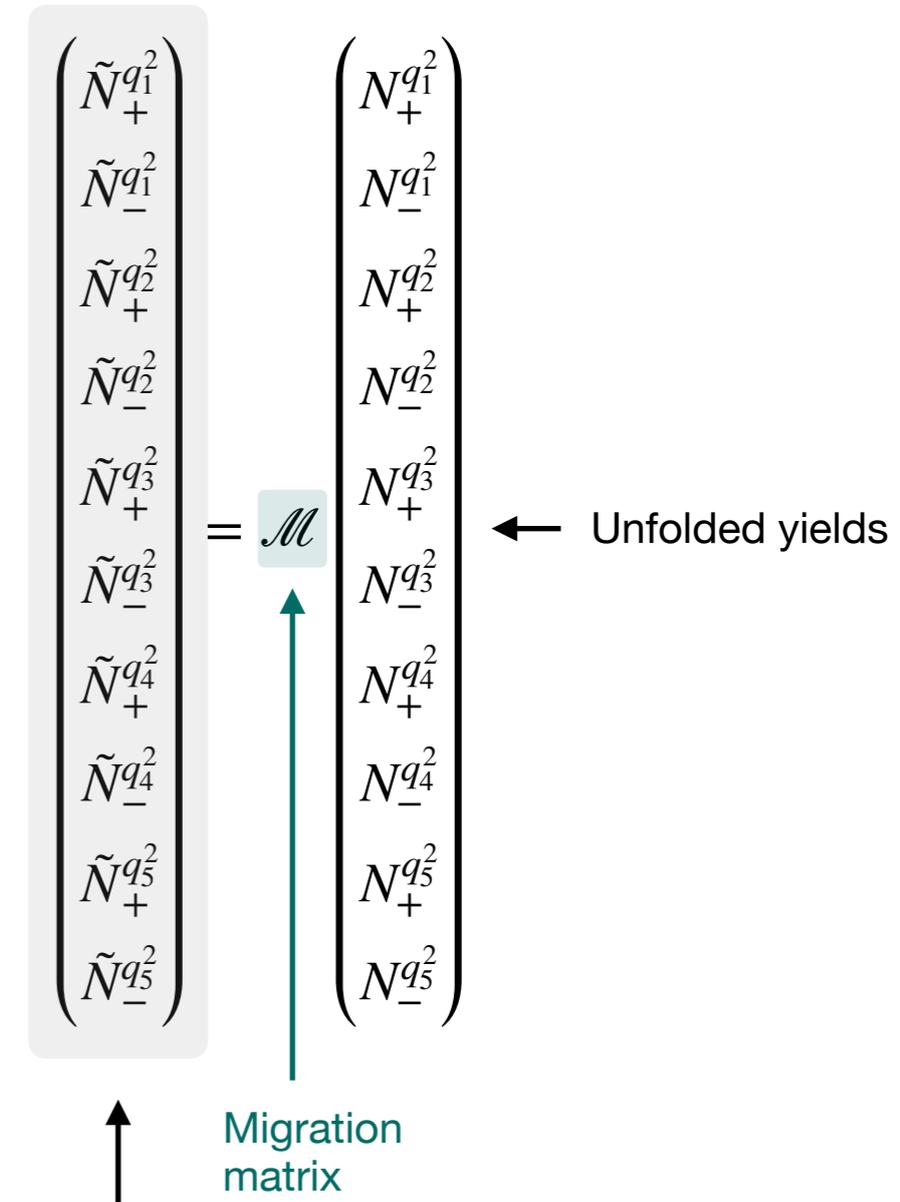
Acceptance x Efficiency Corrections:

$$N_+^{q_i^2} \cdot e_{\text{eff},+,q_i^2}^{-1} = n_+^{q_i^2}$$

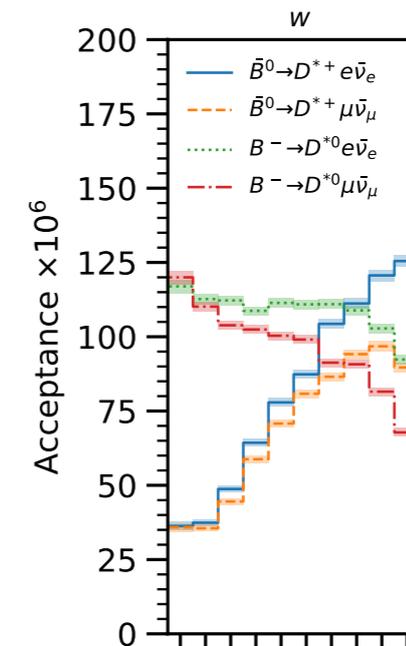
$$N_-^{q_i^2} \cdot e_{\text{eff},-,q_i^2}^{-1} = n_-^{q_i^2}$$

Unfolded yields

← Acceptance / Eff. corrected yields



Bkg subtracted yields



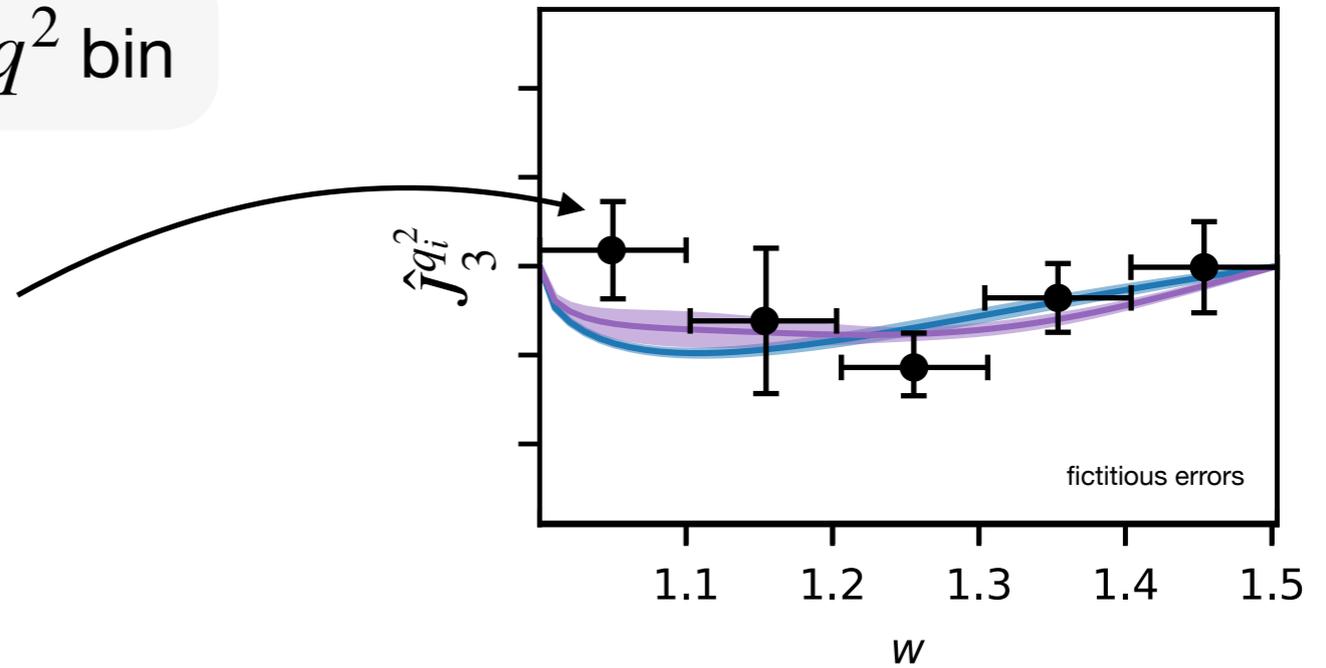
[arXiv:2301.07529](https://arxiv.org/abs/2301.07529) [hep-ex]

Step 4: Calculate J_i for a given w/q^2 bin

$$\frac{n_+^{q_i^2}}{n_-^{q_i^2}} \rightarrow \hat{J}_3^{q_i^2} = \frac{1}{\Gamma} \times \frac{n_+^{q_i^2} - n_-^{q_i^2}}{4(4/3)^2}$$

Normalization

$$\Gamma = \frac{8}{9}\pi \left(3 \sum_i J_{1c}^{q_i^2} + 6 \sum_i J_{1s}^{q_i^2} - \sum_i J_{2c}^{q_i^2} - 2 \sum_i J_{2s}^{q_i^2} \right)$$



More **involved** for the **other** coefficients: need full experimental covariance between all measured w/q^2 bins and coefficients (statistical overlap, systematics)

SM:

$$\{ J_{1s}^{q_i^2}, J_{1c}^{q_i^2}, J_{2s}^{q_i^2}, J_{2c}^{q_i^2}, J_3^{q_i^2}, J_4^{q_i^2}, J_5^{q_i^2}, J_{6s}^{q_i^2} \}$$

e.g. **5 x 8 = 40 coefficients**

or full thing (SM + NP)

with **5 x 12 = 60 coefficients**

J_i	η_i^x	$\eta_i^{\theta_\ell}$	$\eta_i^{\theta_V}$	normalization N_i
J_{1s}	{+}	{+, a, a, +}	{-, c, c, -}	$2\pi(1)2$
J_{1c}	{+}	{+, a, a, +}	{+, d, d, +}	$2\pi(1)(2/5)$
J_{2s}	{+}	{-, b, b, -}	{-, c, c, -}	$2\pi(-2/3)2$
J_{2c}	{+}	{-, b, b, -}	{+, d, d, +}	$2\pi(-2/3)(2/5)$
J_3	{+, -, -, +, +, -, -, +}	{+}	{+}	$4(4/3)^2$
J_4	{+, +, -, -, -, -, +, +}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_5	{+, +, -, -, -, -, +, +}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_{6s}	{+}	{+, +, -, -}	{-, c, c, -}	$2\pi(1)2$
J_{6c}	{+}	{+, +, -, -}	{+, d, d, +}	$2\pi(1)(2/5)$
J_7	{+, +, +, +, -, -, -, -}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_8	{+, +, +, +, -, -, -, -}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_9	{+, +, -, -, +, +, -, -}	{+}	{+}	$4(4/3)^2$

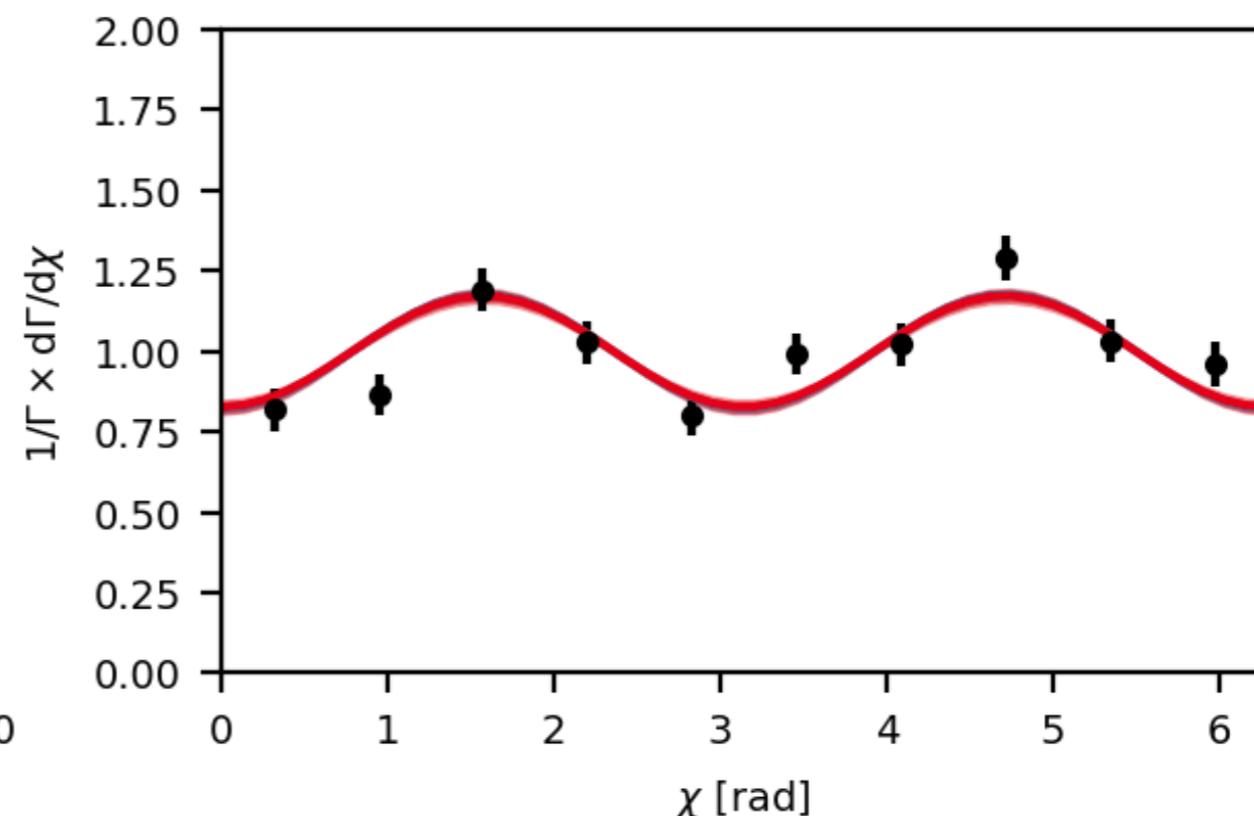
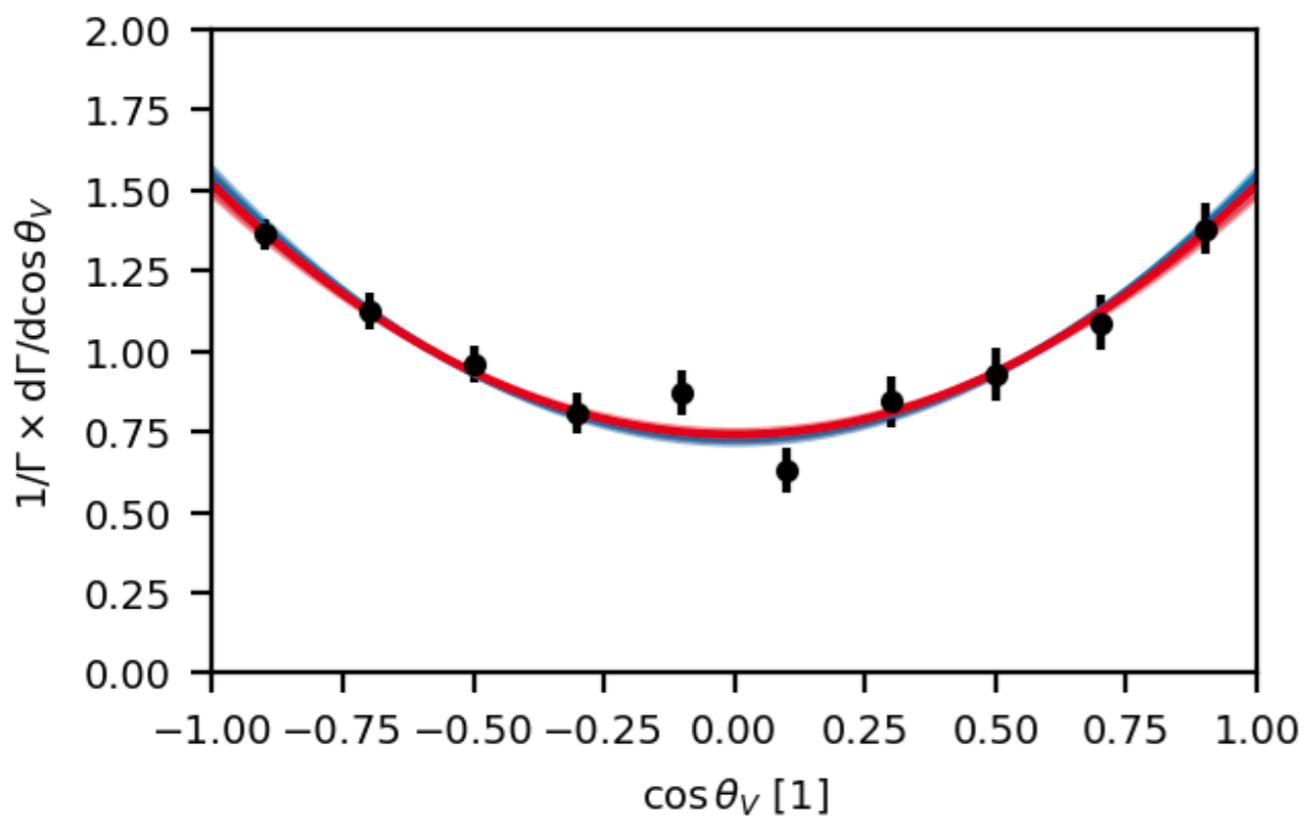
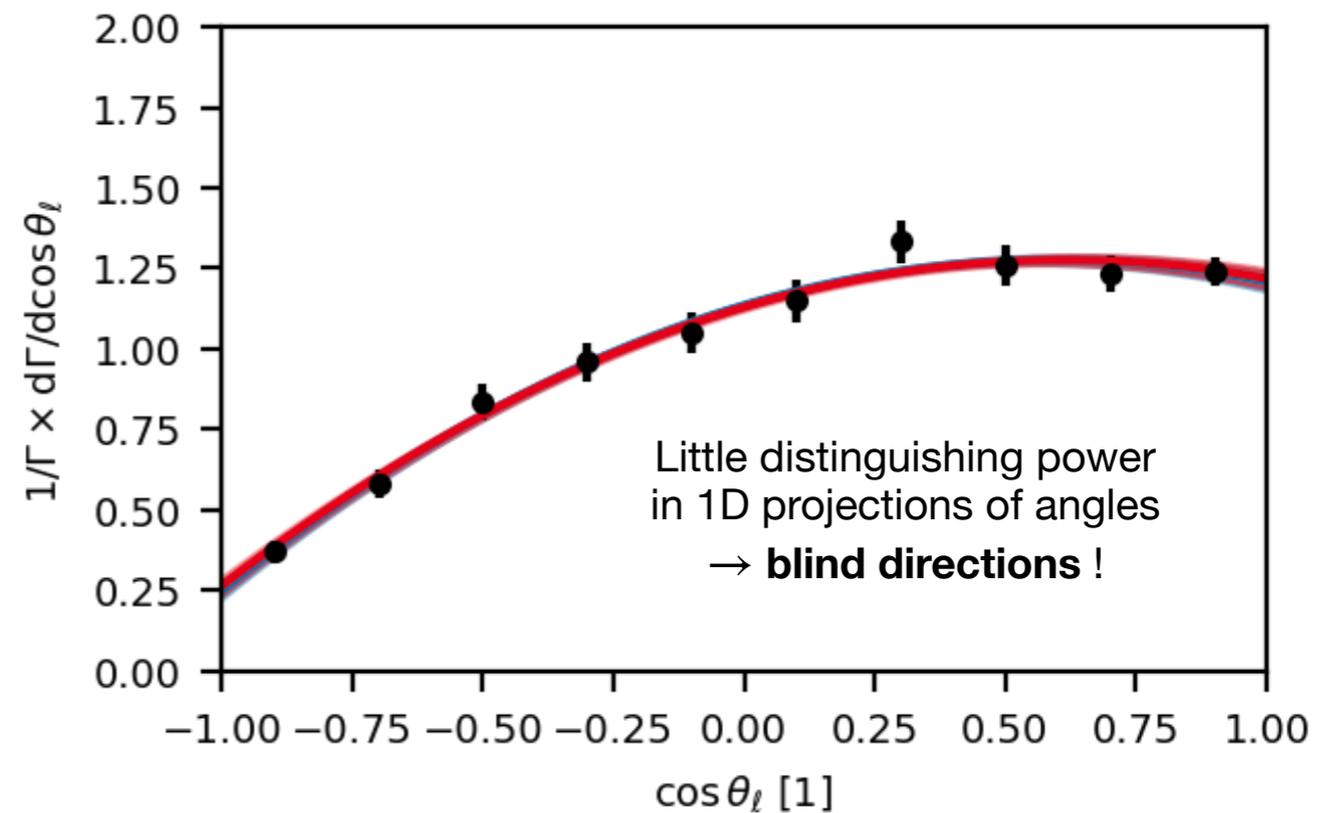
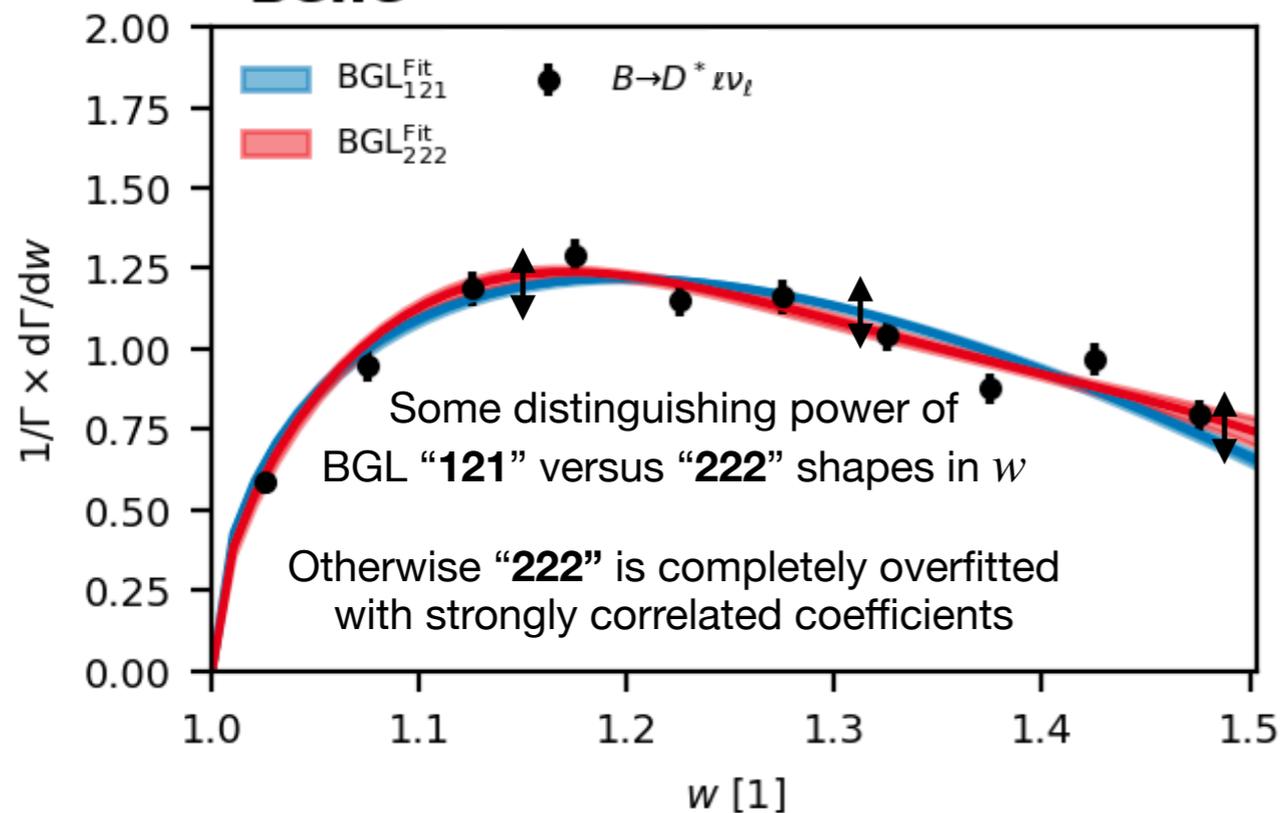
$$a = 1 - 1/\sqrt{2}, b = a\sqrt{2}, c = 2\sqrt{2} - 1, d = 1 - 4\sqrt{2}/5$$

1D versus Full Angular Sensitivities

Errors and central values from
1D projection fits of
[arXiv:2301.07529](https://arxiv.org/abs/2301.07529) (Table XVI)

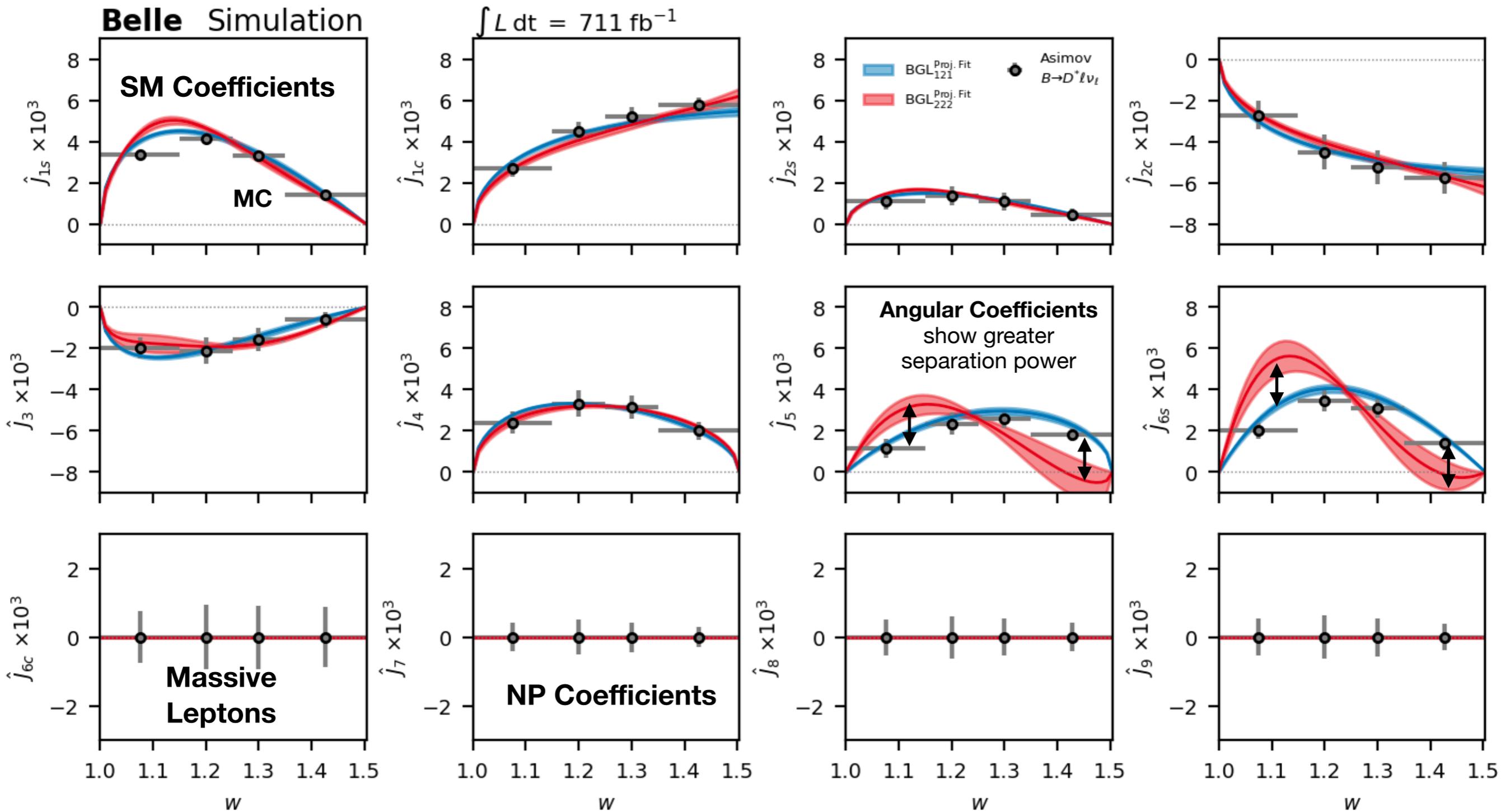
#157

Belle



1D versus Full Angular Sensitivities

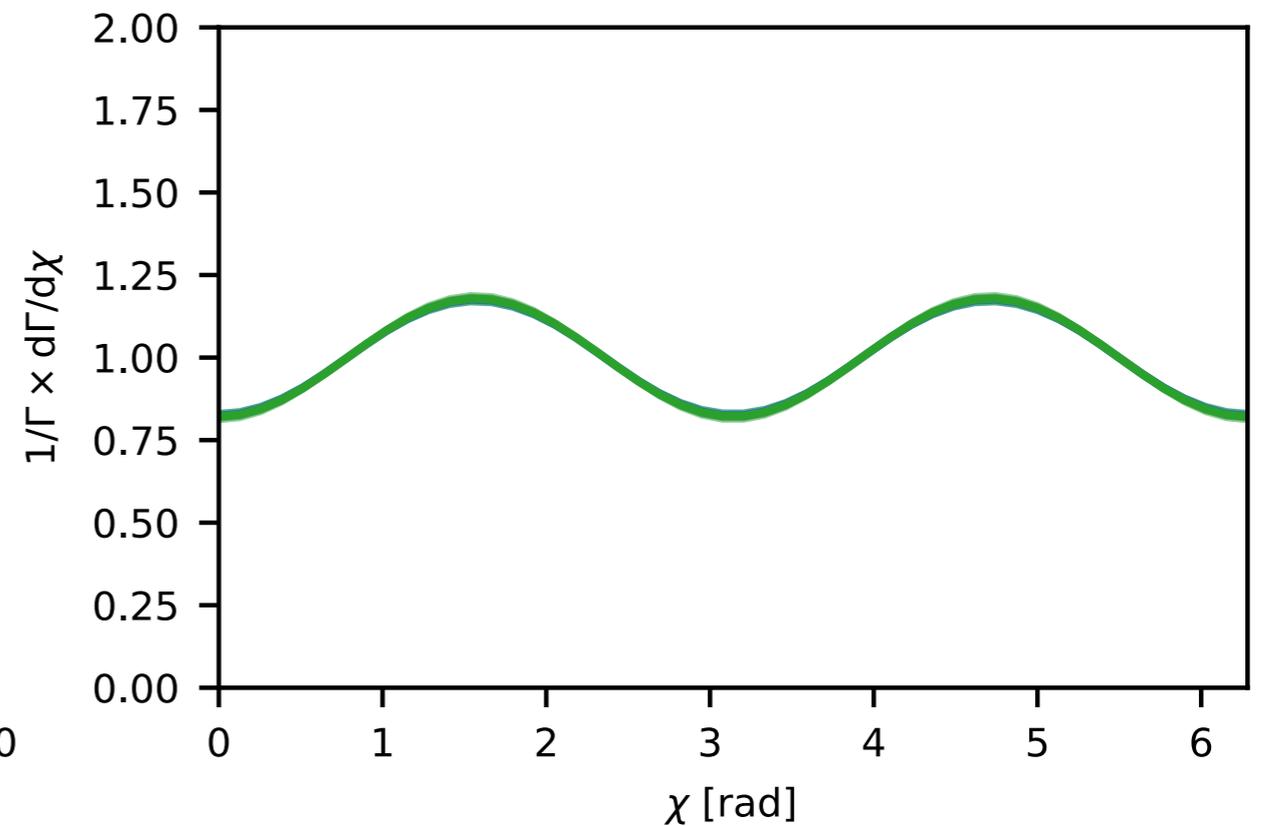
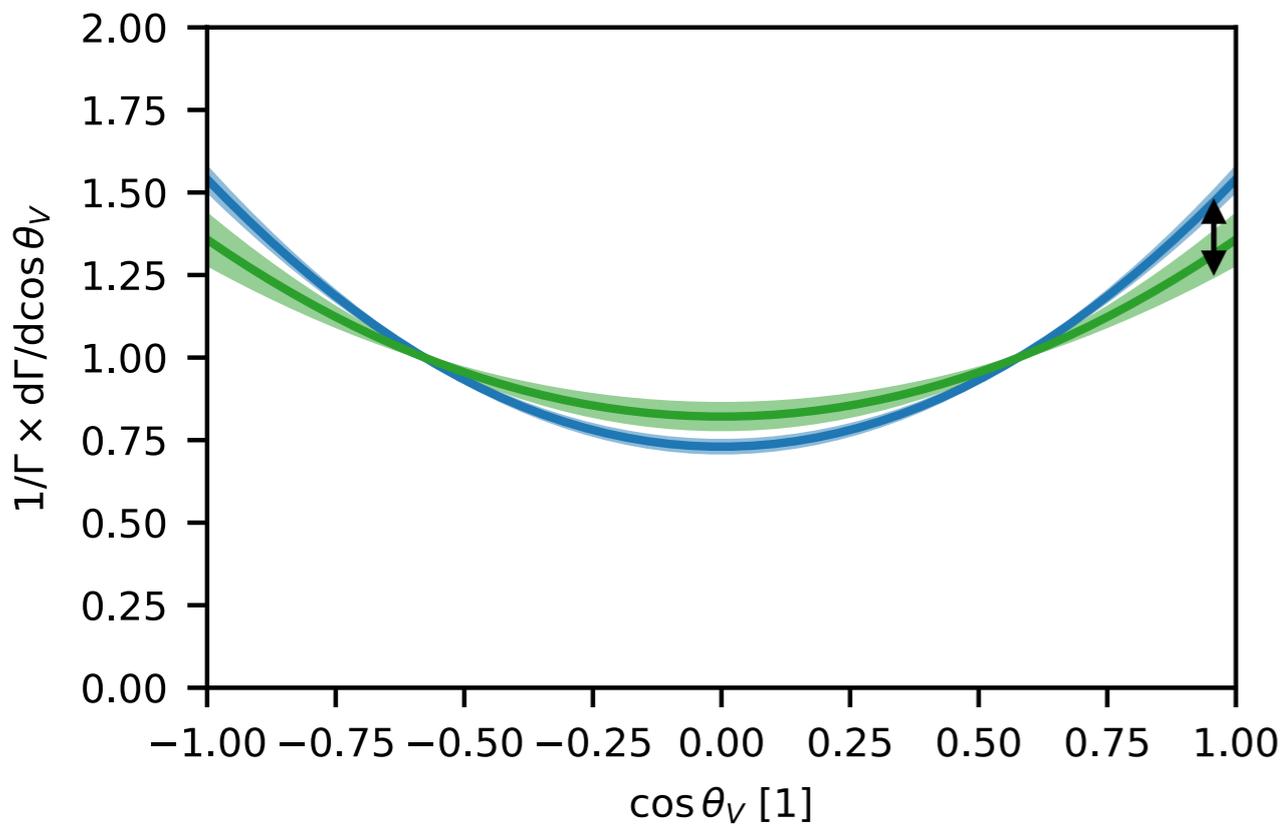
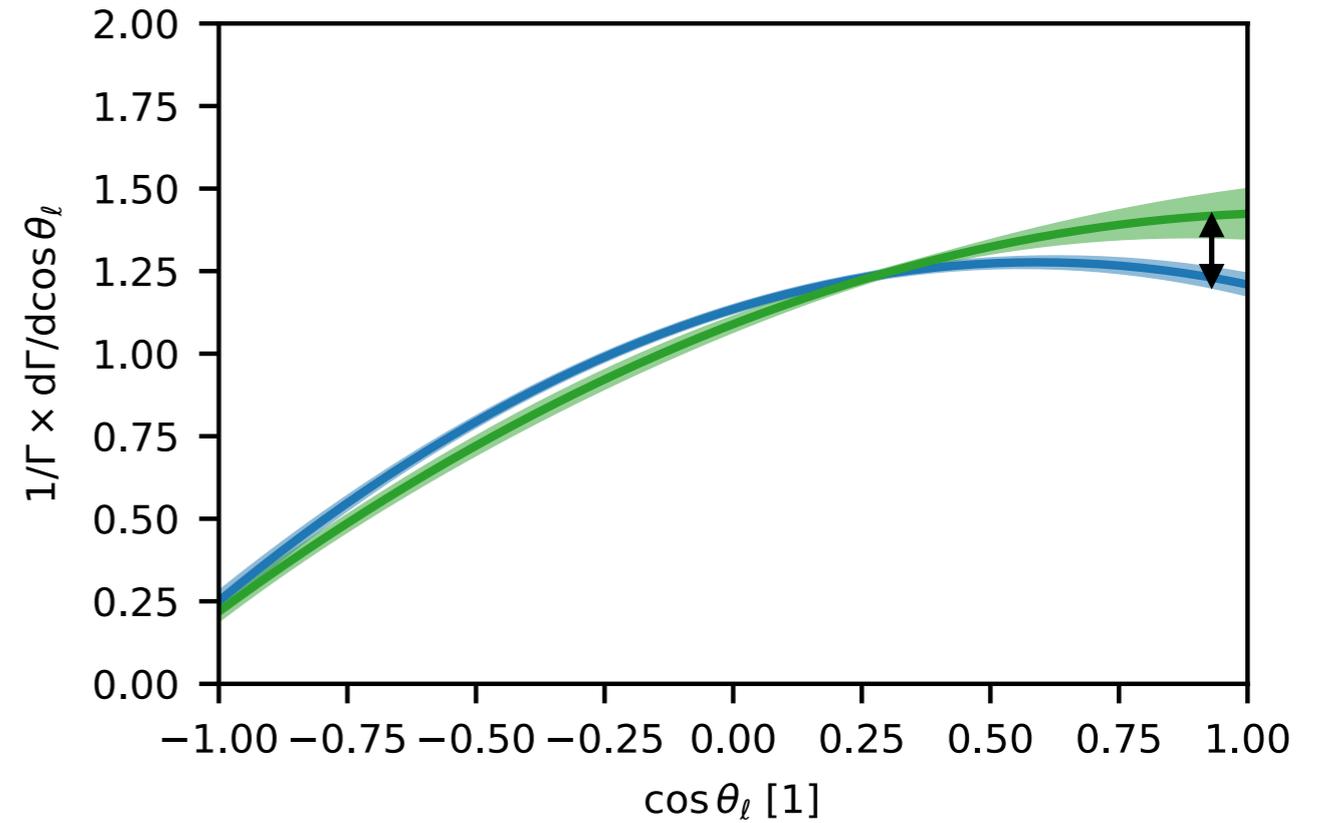
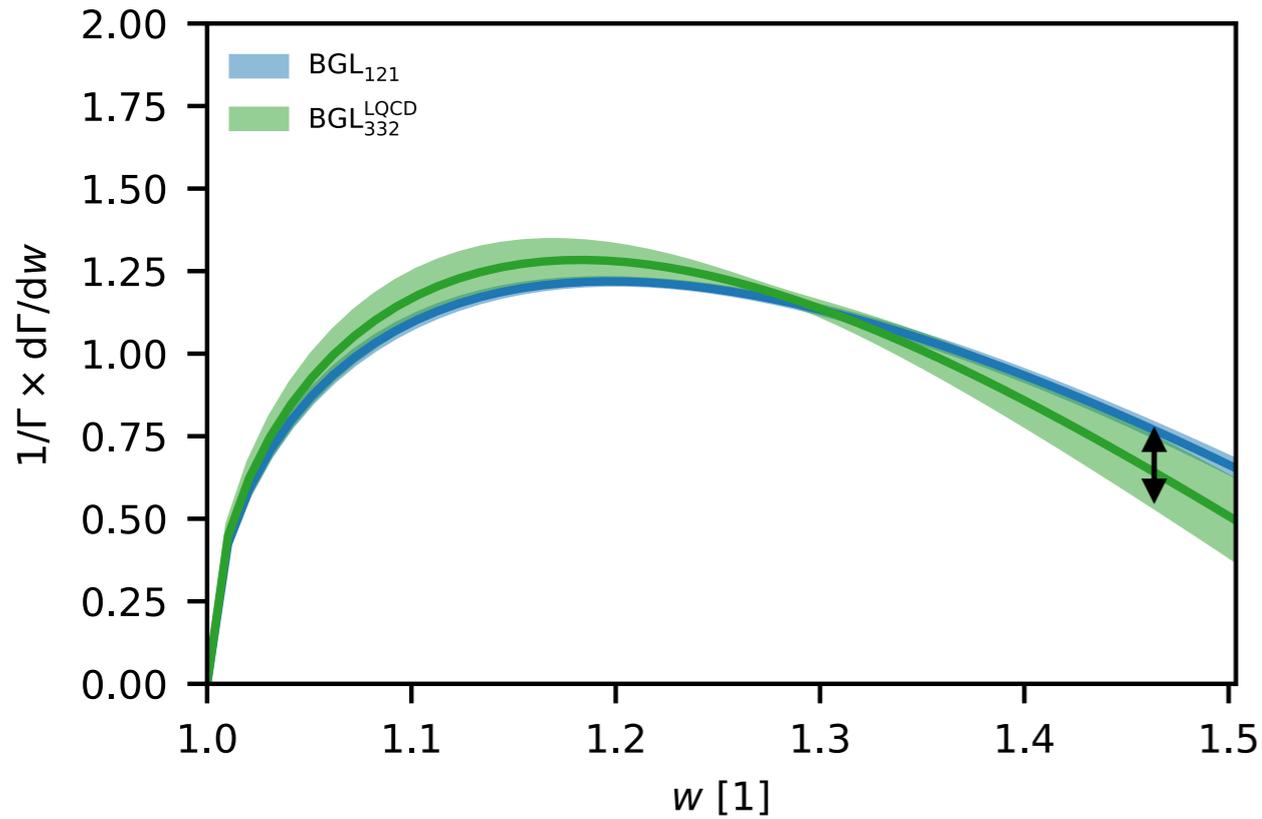
Errors and central values from #158
 1D projection fit of
[arXiv:2301.07529](https://arxiv.org/abs/2301.07529) (Table XVI)
 Data points: **Asimov Fit using MC (!)**



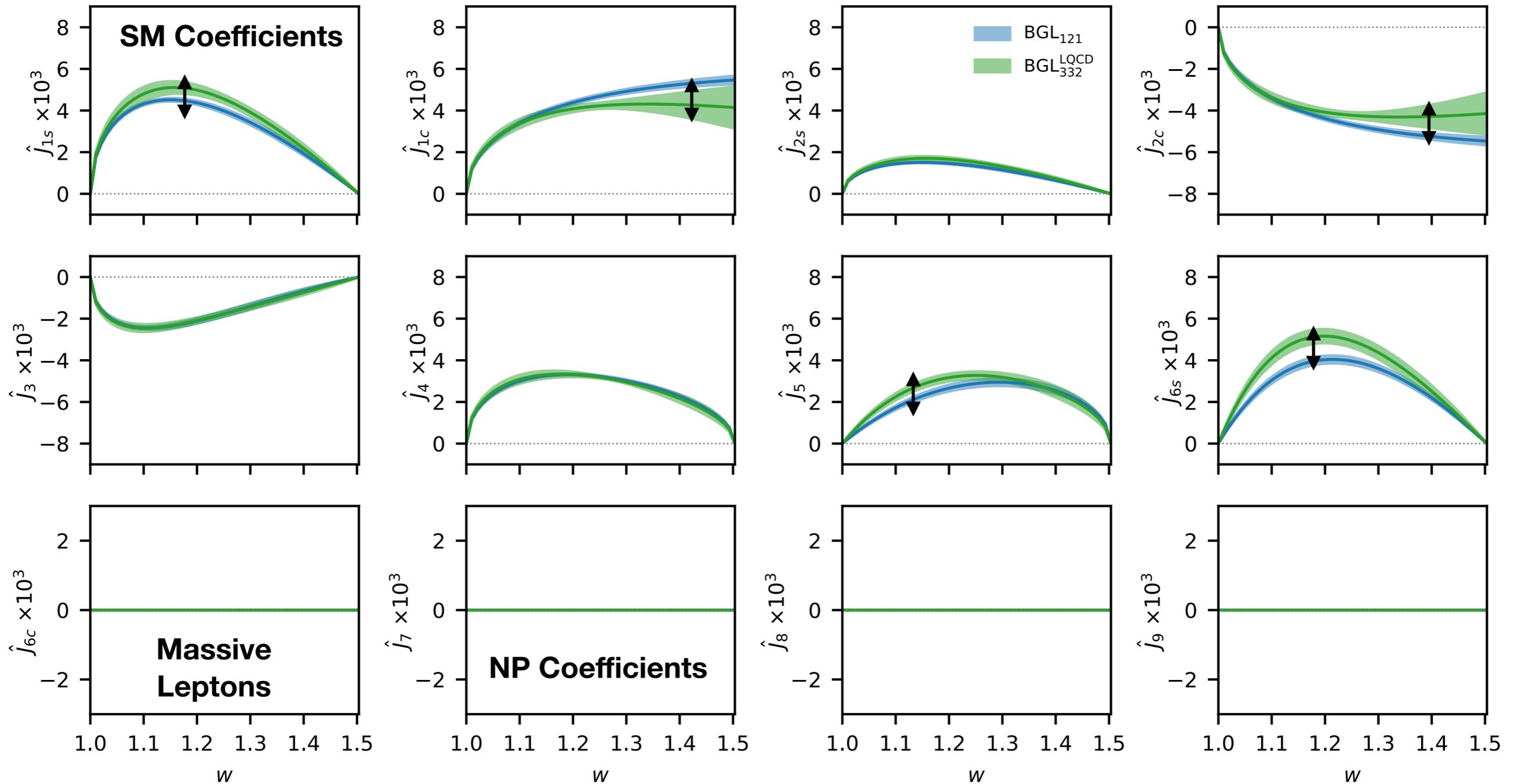
1D versus Full Angular Sensitivities

BGL121 1D projection fit of
arXiv:2301.07529 (Table XVI) or
FNAL/MILC prediction
[arXiv:2105.14019]

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1D versus Full Angular Sensitivities



Angular Coefficients also will allow us to better investigate what is going on with **lattice** versus **data tensions**..