Lepton identification overview

<u>Kenta Uno</u> (KEK) Belle II physics week November 2nd 2023

Self-introduction

Kenta Uno

- 2015-2020: LHC-ATLAS experiment
 - Firmware development
 - SUSY search



- 2020-2023: Belle II experiment
 - Tau physics analysis
 - ARICH operation
 - PID calibration

Learned lepton physics after joining Belle II ③



Introduction



- Charged Leptons: electron, muon, tau
- Neutral Leptons: electron neutrino, muon neutrino, tau neutrino

Introduction: Neutral Lepton Neutrinos: v_e, v_μ, v_τ

- It is not possible to detect them
- \rightarrow The three-momentum and energy can be estimated

(if there is one neutrino in an event)

Eg. $ee \rightarrow \Upsilon(4S) \rightarrow B_{sig}B_{tag}$



Not detect neutrinos \rightarrow No way to identify neutrinos

Introduction: Charged Lepton Electron (e) Muon (μ) Tau (τ)

mass: 105 MeV/ c^2

- mass: 511 keV/c²
 - Lifetime: stable
- Lifetime: 2.2 μs
- mass: 1.78 GeV/c²
- Lifetime: 300 fs
- Tau: Heaviest/Shortest lepton in the SM • Many decays (Leptonic and Hadronic) • $\tau \rightarrow evv, \mu vv, \pi v, \rho v, ...$ we cannot directly detect τ due to a short lifetime • v_{τ} • v_{τ}

•



Lepton ID at Belle II: Identify "electron" and "muon"

 $\bar{\nu}_{e}, \bar{\nu}_{\mu}, \bar{u}, \bar{u}$

Introduction: Belle II detector

General-purpose spectrometer

KL and muon detector

Resistive Plate Counter (barrel outer layers) Scintillator + WLSF + MPPC (end-caps, inner 2 barrel layers)

EM Calorimeter CsI(TI), waveform sampling electronics

electrons (7 GeV)

Vertex Detector 2 layers Si Pixels (DEPFET) + 4 layers Si double sided strip DSSD

> Central Drift Chamber Smaller cell size, long lever arm

Better performance at the higher trigger rate and beam background

Particle Identification

Time-of-Propagation counter (barrel) Prox. focusing Aerogel RICH (forward)

positrons (4 GeV)

Trigger and DAQ

- Max L1 rate: 0.5 →30 kHz
- Pipeline readout



Combine the response from each sub-detector

- $e vs \pi, K$: CDC (dE/dx) and ECL mainly contribute
- μ vs π , K: CDC (dE/dx) and KLM mainly contribute

PID in CDC, ECL, KLM

PID in CDC: Energy loss

Bethe-Bloch equation



Energy loss generally depends on the velocity of the particle (β)

Muon momentum



- Calculate a truncated mean from the signals from all sense wires
 - Discard lowest 5% and highest 25% of measurements
- Calculate a normalized deviation for each particle







The discrepancy between data and MC on the high-side tail is due to imperfectly correcting for gas-gain saturation



A good separation power between ℓ and π , K for low momentum

2023/11/2

PID in ECL

Use the ratio E/p for PID in the ECL

- Energy measured in the ECL
- Momentum reconstructed in tracking detector[®]
- \rightarrow Templates of the *E*/*p* distributions are constructed from single-particle simulations for each hypothesis
 - Extract the likelihoods, $\mathcal{L}_i^{\text{ECL}}$ based on the probability density function





PID in KLM

- Muon identification is simple: high penetration
 - No strong interaction \rightarrow No interaction with hadrons.
 - No bremsstrahlung like electrons
- → Difference in longitudinal penetration depth and transverse scattering

The KLM likelihoods per hypothesis *i*: $\mathcal{L}_{i}^{KLM} = \mathcal{L}_{i}^{KLM,L} \cdot \mathcal{L}_{i}^{KLM,T}$

The longitudinal components, $\mathcal{L}_i^{KLM,L}$

 $\mathcal{L}_{i,k}^{\text{KLM},L} = \begin{cases} p_{i,k} & \text{if matched hit on } k\text{-th layer} \\ 1 - p_{i,k} \cdot \epsilon_k & \text{if no matched hit on } k\text{-th layer}, \end{cases}$

 $p_{i,k}$: Expected probability of finding a hit on k-th layer from simulation ϵ_k : Measured detection efficiency of the layer.





 The track reconstructed in inner detectors is extrapolated to KLM

The transverse components, $\mathcal{L}_{i}^{KLM,T}$



Combine info in all detectors

Each sub-detector provides a likelihood \mathcal{L}_i^d

- *d*: {SVD, CDC, TOP, ARICH, ECL, KLM}
- $i: \{e, \mu, \pi, K, p, d\}$

Eg. $\mathcal{L}_e^{\text{ECL}}$: is related to probability(?) that the particle is an electron based on ECL info.

basf2 pidLogLikelihoodValueExpert(pdgCode, detectorList)

returns the log likelihood value of for a specific mass hypothesis and set of detectors.

Based on the likelihoods, we define "the global likelihood ratio"

$$p_i = \frac{\mathcal{L}_i}{\mathcal{L}_e + \mathcal{L}_\mu + \mathcal{L}_\pi + \mathcal{L}_K + \mathcal{L}_p + \mathcal{L}_d} \qquad \qquad \mathcal{L}_i = \prod_{d=1}^{d \in D} \mathcal{L}_i^d$$

we also define "the binary likelihood ratio"

$$p_{i,j} = \frac{\mathcal{L}_i}{\mathcal{L}_i + \mathcal{L}_j}$$

Global electron ID electronID_noSVD_noTOP: $p_e = \frac{\mathcal{L}_e}{\mathcal{L}_e + \mathcal{L}_\mu + \mathcal{L}_\pi + \mathcal{L}_K + \mathcal{L}_p + \mathcal{L}_d}$

d: {CDC, ARICH, ECL, KLM}





I2_PID_expert_e_wo_svdtop {I2_mcPDG==11 && I2_LAB_theta > 0.56 && I2_LAB_theta < 2.22}



I2_PID_expert_e_wo_svdtop {I2_mcPDG==13 && I2_LAB_theta > 0.56 && I2_LAB_theta < 2.22}



I2_PID_expert_e_wo_svdtop {I2_mcPDG==-321 && I2_LAB_theta > 0.56 && I2_LAB_theta < 2.22}



Global electron ID electronID_noSVD_noTOP: $p_e = \frac{\mathcal{L}_e}{\mathcal{L}_e + \mathcal{L}_\mu + \mathcal{L}_\pi + \mathcal{L}_K + \mathcal{L}_p + \mathcal{L}_d}$

d: {CDC, ARICH, ECL, KLM}





Global muon ID muonID_noSVD:

d: {CDC, TOP,ARICH, ECL, KLM}







Binary lepton ID Binary electronID_noSVD_noTOP: $=\frac{\mathcal{L}_e}{\mathcal{L}_e + \mathcal{L}_{\pi}}$

d: {CDC, ARICH, ECL, KLM}





 p_e

Binary muonID_noSVD:

$$p_{\mu} = \frac{\mathcal{L}_{\mu}}{\mathcal{L}_{\mu} + \mathcal{L}_{\pi}}$$

Multivariate approach

PID group provides BDT-based Lepton ID

- Use some ECL observables instead of ECL likelihood
- → Improve performance at p < 1.0 GeV/c by exploiting measurements that characterize ECL clusters

Variable	Description	Range
Associated to the ECL clusters		
E/p [c]	Ratio of cluster energy over matching	-
	track momentum.	_
E_{1}/E_{9}	Ratio of the energy of the most energetic crystal	_
	over the energy sum of the 9 surrounding crystals.	_
E_{9}/E_{21}	Ratio of the energy sum of 9 crystals surrounding	_
	the one with maximum energy over the energy sum	_
	of the 25 surrounding crystals, minus 4 corners.	_
$\Delta L \ [\mathrm{cm}]$	Projection on the extrapolated track direction	_
	of the distance between the track entry point	_
	in the ECL and the cluster centroid [19].	_
LAT	Lateral moment of the electromagnetic shower [20].	_
$ Z_{nm} $	2 Zernike moments $((n, m) \in \{(4, 0), (5, 1)\}$	_
	calculated in a plane orthogonal	_
	to the shower direction [21].	-
Associated to the non-ECL likelihoods (binary BDT)		
$\Delta \log \mathcal{L}(\ell/\pi)_{\rm CDC}$	Log-likelihood $\ell - \pi$ difference in the CDC	_
$\Delta \log \mathcal{L}(\ell/\pi)_{\mathrm{TOP}}$	Log-likelihood $\ell - \pi$ difference in the TOP	Barrel
$\Delta \log \mathcal{L}(\ell/\pi)_{ARICH}$	Log-likelihood $\ell - \pi$ difference in the ARICH	FWD endcap
$\Delta \log \mathcal{L}(\mu/\pi)_{\mathrm{KLM}}$	Log-likelihood $\ell - \pi$ difference in the KLM	p > 0.6 GeV/c
Associated to the non-ECL likelihoods (multiclass BDT)		
P_{ℓ}^{CDC}	Global normalised likelihood in the CDC.	-
P_{ℓ}^{TOP}	Global normalised likelihood in the TOP.	Barrel
$P_{\ell}^{\mathrm{ARICH}}$	Global normalised likelihood in the ARICH.	FWD endcap
P_{ℓ}^{KLM}	Global normalised likelihood in the KLM.	$p>0.6~{ m GeV}/c$



BDT LID: Performance

BDT Lepton ID gives better performance

- Electron ID: excellent improvement by utilizing ECL observables
- \rightarrow Now, PID official recommendation is to use BDT-based electronID



LID efficiency calibration

LID efficiency correction

Lepton ID is an important item for high precision measurement

- Require good performance \rightarrow less fake backgrounds ($\pi \rightarrow \ell, K \rightarrow \ell$)
- Require corrections (Data/MC) with small uncertainties
 - Reconstruction efficiency could be different from the data • (MC simulation is not perfect..)
 - → Essential to correct the difference form precious measurement



fr

 π^{\pm} , K^{\pm}

Calibration channel

Evaluate LID efficiency/fake rate using several channels
Electron ID

- $ee \rightarrow (ee)ee$: momentum coverage is p < 2.5 GeV/c
- $J/\psi \rightarrow ee$: momentum coverage is 1.0 GeV/c
- $ee \rightarrow ee(\gamma)$: momentum coverage is 1.0 < p GeV/c

Muon ID

- $ee \rightarrow (ee)\mu\mu$: momentum coverage is p < 2.5 GeV/c
- $J/\psi \rightarrow \mu\mu$: momentum coverage is 1.0 GeV/c
- $ee \rightarrow \mu\mu$: momentum coverage is 1.0 < p GeV/c

$\underline{\pi \rightarrow \ell}, \underline{K \rightarrow \ell} \text{ mis-ID}$

- $K_S^0 \rightarrow \pi \pi$: momentum coverage is p < 1.0 GeV/c
- $ee \rightarrow \tau(1p)\tau(3p)$: momentum coverage is p < 1.0 GeV/c
- $D^{*+} \to (D^0 \to K^- \pi^+)\pi^+$ for $K \to \ell$ fake rates

Possible to cover full *p* range

Possible to cover full p range

J/ψ channel

Reconstruct J/ψ candidate from hadronic *B* decays

- Two tracks as originating from the IP
 - At least one track have a value of $\ell ID > 0.9$
- $2.8 < M_{\ell^+\ell^-} < 3.3 \text{ GeV}/c^2$
- Some requirements for bkg suppression (eg. $R_2 < 0.4$, matching ECL cluster) ^{1.0}
- → Perform a binned likelihood fit to $M_{\ell^+\ell^-}$ distribution Prepare suitable PDFs for sig/bkg

Extract the number of of J/ ψ candidates based on the fit result 2023/11/2

J/ψ channel

Tag-and-probe method is used to determine the LID efficiency

• Simultaneous fit is performed over the two "pass" and "fail" sets

• Calculate efficiencies for each (p, θ, q) bin

Escape into the beam pipe

- Suppress backgrounds ($ee \rightarrow qq, \tau\tau, ee\pi\pi, eeKK, \ell\ell$)-
 - Eg. Total visible energy, $p_{\rm T}$ balance

Tag-and-probe method is used to determine the LID efficiency

$ee \rightarrow (ee)\ell\ell$ (di-photon) channel

- Electron ID: a good agreement between data and MC15rd
- Muon ID: Some discrepancy between data and MC15rd
 - Low momentum \rightarrow CDC dE/dx calibration? Still under investigation

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ee $\rightarrow ee(\gamma)$: Bhabha channel

- Large events across full momentum range
 - High momentum: $ee \rightarrow ee$
 - Low momentum: $ee \rightarrow ee\gamma$

Event selection

- Use trigger requiring an ECL cluster (>2 GeV)
 - Probe the track, which is not used in the trigger
- Require the recoil mass M_{recoil}^2 to suppress backgrounds

Tag-and-probe method

In this B2GM, Phillip reported a recent progress in this channel. Please check his slide [Link]

ee $\rightarrow \mu\mu\gamma$: di-muon channel

• Large events across full momentum range

probe

- Clean environment
 - (Almost) no backgrounds
- Tag-and-probe method

 $N_{\rm probe}$

 $N_{\rm tag}$

 ε_{data} =

Bkg subtraction (eg. $ee \rightarrow \pi\pi\gamma, KK\gamma$)

$ee \rightarrow \tau(1p)\tau(3p)$ channel

A clean sample of pions to measure pion misidentification probability

3prong

1 prong

31

- $\tau \rightarrow \pi \pi \pi \nu$ (3-prong) and $\tau \rightarrow \ell \nu \nu$ (1-prong)
 - 98% of 3-prong tracks: pions (High purity)
- **Event selection**
- Take 1+3 charged track events
- Suppress backgrounds: Thrust, visible energy..

$D^{*+} \rightarrow D^0 [\rightarrow K^- \pi^+] \pi^+$ channel

- Measure kaon-to-lepton misidentification probabilities
- D^{*+} mesons are produced in $e^+e^- \rightarrow c\bar{c}$ continuum events
 - Use all the data (on- and off-resonance data)

Figure 19: Fit to the M_{D^0} distribution in the $D^{*+} \to D^0 [\to K^- \pi^+] \pi^+$ channel without any probe selection criteria (top), with $P_e > 0.9$ for the kaon track (bottom left) and with $P_{\mu} > 0.9$ for the kaon track (bottom right).

Results: electron ID

Results: muon ID

Combination: electron ID

• Similar corrections in three calibration channels \rightarrow High reliability

Combination: muonID

• Similar corrections in three calibration channels \rightarrow High reliability

Combination: electron ID

 $J/\psi \rightarrow e^+e^-$, $e^+e^- \rightarrow e^+e^-e^+e^-$, $e^+e^- \rightarrow e^+e^-(\gamma)$ e^- efficiency - Data/MC - pidChargedBDTScore_e (FixedThresh09), incl. iso_score $J/\psi \rightarrow e^+e^-, e^+e^- \rightarrow e^+e^-e^+e^-, e^+e^- \rightarrow e^+e^-(\gamma)$ e^+ efficiency - Data/MC - pidChargedBDTScore_e (FixedThresh09), incl. iso_score

^{2023/}Comparable to or better than those at Belle

Combination: muonID

 $J/\psi \rightarrow \mu^+\mu^-$, $e^+e^- \rightarrow e^+e^-\mu^+\mu^-$, $e^+e^- \rightarrow \mu^+\mu^-\gamma$ μ^- efficiency - Data/MC - muonID_noSVD (FixedThresh09), incl. iso_score $J/\psi \rightarrow \mu^+\mu^-$, $e^+e^- \rightarrow e^+e^-\mu^+\mu^-$, $e^+e^- \rightarrow \mu^+\mu^-\gamma$ μ^+ efficiency - Data/MC - muonID_noSVD (FixedThresh09), incl. iso_score

Calculate corrections and uncertainties in bins of (p, θ, q) $\sqrt[2023]{Comparable to or better than those at Belle}$

PID recommendation

Kenta Uno <kenta.uno@kek.jp>

To coll-members, physics, physics-performance, Kenta, Alessandro 💌

Dear all,

We are very happy to announce new PID corrections for run-dependent MC (MC15rd).

Hadron ID correction, Lepton ID fake rate correction

Systematics Framework (SF) ntuples for D*, Lambda0 and KS samples are now available to the users.

You can estimate efficiency corrections depending on your PID criteria. This time, it is possible to estimate not only hadron ID but also fake rates (pion/kaon to lepton fake rates). Please check the documentation page for the location of the ntuples.

https://syscorrfw.readthedocs.io/en/latest/

kekcc: /group/belle2/dataprod/Systematics/production/

naf: /nfs/dust/belle2/group/dataprod/Systematics/production/

You can also check Ale's slide at B2GM nagoya: https://indico.belle2.org/event/8946/contributions/61650/attachments/22724/33548/B2GM_PID_ale_0605.pdf

Lepton ID efficiency correction

The SF ntuples are not fully validated yet. Instead, we prepared usual csv tables on kekcc: /group/belle2/users2022/unok/leptonid/combination/perf/PID/methods/LP2023/proc13prompt/MC15rd/v0 All details are collected here: <u>https://confluence.desy.de/pages/viewpage.action?pageId=311564730</u> You can extract corrections from the csv files.

Let me remind you of the PID recommendation:

Electron ID: We recommend using the BDT-based electron ID because it provides much lower fake rates than likelihood-based electron ID for equivalent efficiency and smaller uncertainty on the data/MC corrections.

Muon ID: We recommend using likelihood-based muonID (muonID_noSVD).

Hadron ID: The default likelihood based variables (global or binary, depending on your needs) are recommended. The reweighted PID and DNN PID variables can be used, but they require some care: check that the performance is better that the default variables. Your feedback is very welcome.

Many thanks to Ale, Paolo, Paul, Philipp, Sviat for your effort.

Some LID topics to keep in mind

(1) LID vs beam background

- Observe a degradation of LID efficiency due to beam background
- 5-10% degradation of *e*ID efficiency
- 10-15% degradation of eID efficiency §
- Beam bkg gives an impact on detectors §
- CDC: gain drop (dE/dx)
- ECL: pedestal shift
- KLM: multi-strip-hit?

time since injection [ms]

Expect larger beam background as the peak luminosity increases

 \rightarrow We cannot avoid such a degradation for the LID variables.

(1) Beam injection eID efficiency in $ee \rightarrow eeee$ channel

• $0.4 GeV/c, ECL barrel (<math>\theta \in [0.56, 2.23]$)

- Large efficiency drop due to the degradation of dE/dx info.
 - CDC likelihood is affected by the injection backgrounds
- BDT-base eID is more robust against the injection backgrounds
- → Utilize ECL information in BDT-base *e*ID 2023/2/20 (The effect

(The effect of ECL variables is not large) 42

(2) μ ID effect due to KLM BB2 Plane Efficiency in BKLM KLM BB2 issue EB3 0.9 15 superlayers Efficiency drop from e18 0.8 BF1 BB4 0.7 Observed in only BB2 BF4 0.6 BB5 0.5 BF6 BB2: $-22.5^{\circ} < \phi_{\text{KLM}} < 22.5^{\circ}$ • 0.4 xp. 24. Run 0.3 ϕ_{KLM} : Use <u>helixExtPhi</u> to probe a track associated with KLM 100 μ ID efficiency with $ee \rightarrow ee\mu\mu$ (0.7 < p < 1.0 GeV/c) Belle II 0.7 GeV/c 0.90.95 fwd, z bwd, useHighestProbMass=0) BB2 issue 0.9 BB2 issue ed helix parameters. If useHighestProbMass=1 is set, the extrapolation will 0.85 the mass hypothesis with the highest pValue. 0.8 0.75 0.7 Data 364 fb⁻¹ Data 364 fb⁻¹ 0.65 $\phi_{\text{KLM}} \notin (\text{BF2} + \text{BB2})$ 0.6 MC15ri MC15ri $\psi_{\text{KLM}} \subset (\text{Dr} \perp \top \text{DD} \perp)$ 0.55E 0.55 Data/MC Data/MC 0.95 0.95 0.9 0.85 24, b3 Б E 5 ώ 24 4

5 ~10% degradation of data efficiency in the only BB2 region $\frac{2023/3}{14}$

(2) Effect in high p region

(Almost) no impact on μ ID efficiency at p > 1.5 GeV/c

(3) Event multiplicity

Track isolation study

- PID effect due to particles in the vicinity of a candidate track
- → Compute the distance from the nearest particle (at cylindrical surfaces)
 - Define "isolation score" based on the distances
 - \rightarrow Already implemented in basf2

I = 0.99

The score is normalised in [0, 1], where values closer to 1 indicates a well-isolated particle.

Slightly improve the discrepancy b.t.w high- and low-multiplicity samples

 $J/\psi \rightarrow \mu^+ \mu^- \mu$

 $J/\psi \rightarrow \mu^+ \mu^-$

Lepton ID performance

- Identify "electron" and "muon" by exploiting all the detectors!
- Utilize Machine Learning technique to improve the performance

Lepton ID calibration

- Provide efficiency/fake rate corrections in bins of (p, θ, q)
 - A better data/MC agreement applying corrections in several analysis

Lepton ID development

• Many topics (eg. ML-based PID) are ongoing!

ありがとうございました!

BTW, this is my last presentation as PID convener. Thank you very much for your cooperation!

Backup

2023/11/2

SVD likelihood issue

C.Lemettais, S.Xavior, A.Gaz

PID effect due to SVD likelihood This problem affects only the MC

Electron ID

- Biggest effects are expected
- \rightarrow Exclude SVD (and TOP) info

Hadron ID

- Problem appears at the denominator
- \rightarrow Small effects are expected, so keep SVD info

% small effect for muon ID, but we exclude SVD info (to be consistent with eID)

