

E_{ECL}: what it is and how to use it

Gaetano de Marino

2023.11.03 - Belle II Physics Week



2023.11.03 - G*d*M - PHYSICS WEEK

So far you have learned about...

ECL Chris



FEI <u>Niharika</u>



Belle + Belle II Xiaodong



Let's combine everything for $E_{ECL} \leftrightarrow Missing energy analyses$



Disclaimers

I am no expert, just a missing-energy analyst / E_{ECL} user Inspired from <u>Joint (S)L/EWP mini-workshop</u> Connection to one of the <u>Physics-challenge</u>

Material from MH Liu, VV Vobbilisetti, D Ghosh, P Cheema, R Cheaib, S Moneta, G Gaudino, M Aversano ...

2023.11.03 - G*d*M - PHYSICS WEEK WHAT IS EECL?

Quantity commonly referred to as E_{ECL} in Belle and E_{extra} in BaBar

Definition

Sum of the energy deposits in the calorimeter that cannot be directly associated with the reconstructed daughters of the B_{tag} or the B_{sig}





Why is it so important?

Signal events are expected to peak at or near $E_{ECL} = 0$

Background events usually contain one or more additional neutral clusters from unreconstructed particles → it extends to larger values with high separation between signal and background

It can be used as Variable of signal extraction \rightarrow a broad understanding of the underlying components is needed Variable for background suppression \rightarrow data/MC consistency must be checked

2023.11.03 - G*d*M - PHYSICS WEEK WHAT IS EECL?

Quantity commonly referred to as E_{ECL} in Belle and E_{extra} in BaBar

Definition

Sum of the energy deposits in the calorimeter that cannot be directly associated with the reconstructed daughters of the B_{tag} or the B_{sig}





Why is it so important?

Signal events are expected to peak at or near $E_{ECL} = 0$

Background events usually contain one or more additional neutral clusters from unreconstructed particles → it extends to larger values with high separation between signal and background

A reliable reconstruction of E_{ECL} is one of the most crucial tasks in many analyses with missing energy (Semi)leptonic $B \rightarrow D^{(*)}\tau\nu, \tau\nu, ...$ EWP Penguin $B \rightarrow K\nu\nu, K\tau X, ...$ Naturally biased toward these analyses 🙇

2023.11.03 - G*d*M - PHYSICS WEEK **ECL, REMINDER**



- Backward $\theta \in [130.7^{\circ}, 155.1^{\circ}]$ pt > 0.28 GeV/c
- Barrel θ∈[32.2°,128.7°]
- Forward $\theta \in [12.4^{\circ}, 31.4^{\circ}]$

Crystal configuration is unchanged from the Belle times, covering about 90% of the solid angle in the CM frame New reconstruction software for higher efficiency at low energies New readout electronics to mitigate the pile-up background due to higher background levels at Belle II

Forward

2023.11.03 - G*d*M - PHYSICS WEEK **ECL, REMINDER**



thetaInECLAcceptance ptInBECLAcceptance

- Backward θ∈[130.7°,155.1°] pt > 0.28 GeV/c
- Barrel θ∈[32.2°,128.7°]
- Forward $\theta \in [12.4^{\circ}, 31.4^{\circ}]$

Photon ID

- is based on parameters describing the shower shape of ECL clusters not matched to a reconstructed track
- relies on the fact that EM showers from an incident photon is cylindrically symmetric in the lateral direction and the energy deposition decreases exponentially with the distance from the incident axis



2023.11.03 - G*d*M - PHYSICS WEEK EECL FOR MISSING ENERGY

A plethora of analyses have used E_{ECL} as extraction variable!



2023.11.03 - G*d*M - PHYSICS WEEK **ROE IN BELLE / BELLE II**

```
if inputParticlelists is None:
    inputParticlelists = []
fillParticleList('pi+:all', '', path=path)
if fillWithMostLikely:
    from stdCharged import stdMostLikely
    stdMostLikely(chargedPIDPriors, '_roe', path=path)
    inputParticlelists = [f'{ptype}:mostlikely_roe' for ptype in ['K+', 'p+', 'e+', 'mu+']]
import b2bii
if not b2bii.isB2BII():
    fillParticleList('gamma:all', '', path=path)
    fillParticleList('K L0:roe default', 'isFromKLM > 0', path=path)
                                                                              Belle II
    inputParticlelists += ['pi+:all', 'gamma:all', 'K_L0:roe_default']
else:
    inputParticlelists += ['pi+:all', 'gamma:mdst']
```

2023.11.03 - G*d*M - PHYSICS WEEK HOW TO COMPUTE E_{ECL} IN BELLE II?

roeEextra(yourMask)

```
Total extra energy from ECLClusters belonging to ROE
```



roeNeextra(yourMask)

The two definitions coincide if the number of charged tracks (yourMask) is set to zero





Total extra energy from neutral ECLClusters belonging to ROE

Will be focusing on the neutral EECL

2023.11.03 - G*d*M - PHYSICS WEEK HOW DOES E_{ECL} LOOK LIKE?

Once signal and tag B's are reconstructed, the process producing the cluster in the calorimeter is

• Physics

Unassigned photons from the mis-reconstructed B_{sig} and B_{tag} caused by a particle of the direct decay chain of the Y(4S)+secondaries





2023.11.03 - G*d*M - PHYSICS WEEK HOW DOES E_{ECL} LOOK LIKE?

Once signal and tag B's are reconstructed, the process producing the cluster in the calorimeter is

• Physics

• Fake photons

Track's deposits in the crystals can be wrongly divided into multiple clusters

Some of them are unmatched with respect to the source track when being extrapolated to the ECL \rightarrow they are reconstructed as photons

Hadronic showers can also produce fake photons as their energy deposits are scattered and asymmetrically arranged





2023.11.03 - G*d*M - PHYSICS WEEK HOW DOES E_{ECL} LOOK LIKE?

Once signal and tag B's are reconstructed, the process producing the cluster in the calorimeter is

• Physics

• Fake photons

• Beam background

Energy from photons or neutrons that do not come from e^+e^- collision nor indicate a misreconstruction

In order to reproduce the effects of beam backgrounds, data recorded with random triggers are overlaid on simulated events





Dominant beam background sources are: Touschek effect, beam-gas scattering, radiative bhabha process...

2023.11.03 - G*d*M - PHYSICS WEEK **EECL CLEANUP**

Summing over ALL ROE ECL clusters in the event is NOT OPTIMAL Adding extra clusters due to

- wrongly reconstructed/matched clustering algorithm
- beam background

 secondary interactions of primary particles produced in the e+e- collision leads to DEGRADED RESOLUTION

$$n_{ECL} = \sum_{i} (clus_{ECL})_{i}$$

$$E_{ECL} = \sum_{i} E(clus_{ECL})_{i}$$

$$m_{ECL}^{mask} = \sum_{i \in mask} (clus_{ECL})_{i}$$

$$m_{ECL}^{mask} = \sum_{i \in mask} E(clus_{ECL})_{i}$$
Mask: A set of selection criteria applied to the ROE objects
$$E_{ECL}^{mask} = \sum_{i \in mask} E(clus_{ECL})_{i}$$

Mask examples:

- clusterE>0.05 GeV
- clusterE>0.10 GeV (θ∈FWD) or
 clusterE>0.05 GeV (θ∈BRR) or
 clusterE>0.15 GeV (θ∈BWD)



- MVA-based cuts



	Photons	Beam	Fake
Belle	mcPDG==22	mcPDG==911	mcPDG!=22 and mcPDG!=911
Belle II	mcPDG==22	mcPDG==NaN and clusterTotalMCMatchWeight<0.053	[mcPDG==NaN or mcPDG!=22] and [clusterTotalMCMatchWeight>=0.053]

Various definitions have been proposed @ Belle II. Working on a common, agreed definition (Debjit Ghosh, Yo Sato)



Variable	Bel	le II						
Variable	BB	\mathbf{FG}						
clusterE	~	\checkmark	• MVA tools available from light-2302-genetta onwards					
minC2TDist \checkmark			Contact expert Frigarika Cheema					
clusterTiming	√	\checkmark	Changes:					
clusterPSDMVA	1	\checkmark	New training	Fake photons: clusterE1E9, clusterLAT and clusterSecondMoment, clusterTiming, clusterTheta				
clusterZernikeMVA	clusterZernikeMVA \checkmark \checkmark			Ream bg: clusterF1F9_clusterLAT and clusterSecondMoment				
clusterTheta	\checkmark	\checkmark						
More info at the <u>CONFLUENCE PAGE</u> Useful modular Analysis functions								
<pre>stdPhotons(listtype='cdc', beamBackgroundMVAWeight='MC15ri', fakePhotonMVAWeight='MC15ri', path=main) buildRestOfEvent('Upsilon(4S):BB', patn=main) addAlias("bbScore", "extraInfo(beamBackgroundSuppression)") addAlias("fgScore", "extraInfo(fakePhotonSuppression)")</pre>								
<pre>mask_0 = ('mask0', track_cut, f'[E>0.05]') mask_1 = ('mask1', track_cut, f'[E>0.05] and [bbScore>0.2] and [fgScore>0.5]') appendR0EMasks('Upsilon(4S):BB', [mask_0, mask_1], path=main) cutAndCopyList('gamma:inroe', gamma, 'isInRestOfEvent == 1', writeOut=True, path=roe_path) main_for_each('PestOfEvent', 'PestOfEvents', path=roe_path)</pre>								





16



Variable	Belle II						
	BB	\mathbf{FG}					
clusterE	\checkmark	\checkmark	Clusters from beam bg tend to have lower energies				
minC2TDist		\checkmark	Fake photons have smaller minimum distance with tracks				
clusterTiming	\checkmark	\checkmark	Clusters from beam bg are off-time	Help distinguishing between			
cluster PSDMVA	\checkmark	\checkmark	Crystals waveforms are recorded for E>50 MeV	photon and hadronic showers			
clusterZernikeMVA	\checkmark	\checkmark	Energy distribution as a function of an angular rotation around the central crystal \mathcal{I}				
clusterTheta	✓	\checkmark	The effect of beam background is more severe in the endcaps				













Looks great in MC but consistency with data must be checked... See later!



Variable	\mathbf{Bell}	e II	Belle	
	BB	\mathbf{FG}	BB	\mathbf{FG}
clusterE	\checkmark	\checkmark	\checkmark	\checkmark
minC2TDist		\checkmark	\checkmark^{\dagger}	\checkmark^{\dagger}
clusterTiming	\checkmark	\checkmark	*	*
clusterPSDMVA	\checkmark	\checkmark		
clusterZernikeMVA	\checkmark	\checkmark		
clusterTheta	\checkmark	\checkmark	Ý	\checkmark
cluster E9E25			\checkmark	\checkmark
clusterLat			\checkmark	\checkmark
clusterHighestE			\checkmark	\checkmark
clusterNHits			\checkmark	\checkmark

What about Belle? How can we suppress backgrounds?

† An alternative definition of minC2TDist is available in b2bii (from light-2305-korat onwards) **NEXT SLIDE**

* cluster timing information is not available at Belle (neither in MC nor SVD1 data)

	Belle II		Belle		E. Hill, <u>Neutrals</u>			
Variable	BB	FG	BB	FG	$ \begin{array}{c} \begin{array}{c} \begin{array}{c} 15\\ \hline \\ \hline $			
clusterE	\checkmark	\checkmark	\checkmark	\checkmark				
\min C2TDist		\checkmark	\checkmark^{\dagger}	\checkmark^{\dagger}				
clusterTiming	\checkmark	\checkmark	*	*				
clusterPSDMVA	\checkmark	\checkmark						
clusterZernikeMVA	\checkmark	\checkmark			0 5 10 15 0 5 10 15 Horizontal Crystal ID Horizontal Crystal ID			
clusterTheta	\checkmark	\checkmark	Ý	\checkmark				
clusterE9E25			\checkmark	\checkmark	Ratio of energies in inner 3×3 crystals (E9) and 5×5 (E25)			
clusterLat			\checkmark	\checkmark	Energy distribution in the plane perpendicular to the shower axis			
clusterHighestE			\checkmark	\checkmark	The energy of the highest energetic crystal in the ECL cluster (\Leftrightarrow E1 for true photons)			
cluster NHits			✓	\checkmark	Number of crystals in the cluster			



Gives info on how wide the shower is



True y have more radially symmetric EM showers, hadronic events have larger clusterLat



Photons have most of their energy in the central crystal



Background photons tend to have lower nhits than the good ones

BEL

.

20

BB

 \checkmark

 \checkmark

 \checkmark

 \checkmark

 \checkmark

Variable

clusterE

minC2TDist

clusterTiming

clusterTheta

clusterE9E25

clusterHighestE

clusterNHits

clusterLat

clusterPSDMVA

clusterZernikeMVA

Belle II

 \mathbf{FG}

 \checkmark

 \checkmark

 \checkmark

 \checkmark

 \checkmark

 \checkmark

Belle

BB

 \checkmark

 \checkmark^{\dagger}

*

 \checkmark

 \checkmark

 \checkmark

 \checkmark

 \checkmark

 \mathbf{FG}

 \checkmark^{\dagger}

*

 \checkmark



Ratio of energies in inner 3×3 crystals (E9) and 5×5 (E25)

C Energy distribution in the plane perpendicular to the shower axis

The energy of the highest energetic crystal in the ECL cluster (\Leftrightarrow E1 for true photons)

✓ Number of crystals in the cluster

Vertical Crystal ID

BeamBackgroundMVA and FakePhotonMVA sol available for b2bii (MH Liu, C-L Hsu)

2023.11.03 - G*d*M - PHYSICS WEEK MINIMUM DISTANCE VARIABLE(S)

- minC2TDist is defined as the distance between the ECL cluster and its nearest track
 It is computed using the hits (extHits) obtained extrapolating the tracks through the ECL
- The extHits are not available in Belle mdsts → obtained by computing the entrance point of the tracks into ECL surface based on helix extrapolation





2023.11.03 - G*d*M - PHYSICS WEEK MINIMUM DISTANCE VARIABLE(S)

- minC2TDist is defined as the distance between the ECL cluster and its nearest track
 It is computed using the hits (extHits) obtained extrapolating the tracks through the ECL
- The extHits are not available in Belle mdsts → obtained by computing the entrance point of the tracks into ECL surface based on helix extrapolation

<u>MH Liu</u>



2023.11.03 - G*d*M - PHYSICS WEEK MINIMUM DISTANCE VARIABLE(S)

• Studies with track particle gun have shown that for small track-cluster distances the current definition leads to unexpected feature

A better resolution would be achieved using the projection





Possible improvements

- Use either the extHit-cluster distance (minC2TDist) or the orthogonal distance based on the relative position
- Use helix extrapolation whenever the extHits are not available
- Do not consider tracks for which E(track, π mass)< E(cluster)

No large improvement is expected for analyses using E_{ECL}!!







From K. Špenko

#9856

2023.11.03 - G*d*M - PHYSICS WEEK **MC MISMODELLINGS**

Possible sources of discrepancies between data and MC

• `Split-offs'

Detailed modelling of hadronic , secondary showers (partial energy deposit) is difficult

• Additional background

in data, which can contain more soft photon candidates originating from beam-related background

Should improve with MCrd samples

Imperfect modelling

- of discriminative variables, e.g. photon shower shape variables (lateral moment, number of crystals in a shower) cluster timing \Leftrightarrow simulated detector response

- of generic BB/qq events

2023.11.03 - G*d*M - PHYSICS WEEK DATA/MC DISCREPANCY CHECK

The two variables to check are

ROE ECL cluster multiplicity ROE ECL cluster total energy

How-to get an enriched sample of fake photons and beam background components in ROE

Double tag method

The B_{tag} is reconstructed, say via hadronic FEI, and the second B decay is also exclusively reconstructed

- Hadronically \Leftrightarrow Doubly-tagged events (Gaudino et al. $B^+ \rightarrow \tau^+ \nu_{\tau}$)

- Semileptonically \Leftrightarrow e.g. $B^+ \rightarrow \bar{D}^0 \ell^+ \nu_{\ell}$ (Ghosh et al. $B^+ \rightarrow K^+ \tau^+ \tau^-$)



 Beam background clusterTiming, worse agreement with data X clusterTheta ✓







2023.11.03 - G*d*M - PHYSICS WEEK DATA/MC DISCREPANCY CHECK

The two variables to check are

ROE ECL cluster multiplicity ROE ECL cluster total energy

 B^{\cdot}

How-to validate the agreement?

- Embedded sample
$$B^+ \rightarrow J/\psi(\mu\mu)K^+ (B^+ \rightarrow K^+ \nu\nu)$$





- Analysis-specific sidebands (same flavor: S Moneta, tau sideband: MH Liu)

 J/ψ



2023.11.03 - GdM - PHYSICS WEEK DATA/MC DISCREPANCY CORRECTIONS

Two possible approaches to correct for data/MC disagreements

1. Correct the overall MC cluster multiplicity This should improve the agreement in E_{ECL}

 $w_i = n_i^{DATA}/n_i^{MC}$ applied to the events belonging to the i-th bin



2. Correct EECL

Looks like it is enough to rescale the fake photon component for an overall better agreement





2023.11.03 - G*d*M - PHYSICS WEEK **EECL IN VALIDATION**

Additional mode for the validation framework to probe ECL reconstruction for missing energy analyses



2023.11.03 - G*d*M - PHYSICS WEEK EECL FIT, PEAKING BACKGROUNDS

O When using E_{ECL} to extract the signal one must consider the presence of peaking backgrounds and derive the relative syst. uncertainties
 O Modes with K⁰₁, n can be interpreted as missing energy, thus mimicking the signal

Lot of investigation from EWP/WG1 people on

- $X_c \rightarrow K_L^0 X$ MC modelling (BF, decay models) Upscaling of $X_c \rightarrow K_L^0 X$ components • $\mathscr{B}(E)$ • \mathcal{K}^0 reconstruction efficiency in ECI
 - K⁰_L reconstruction efficiency in ECL
 Calibration
 Inefficient MCMatching for K⁰_L induced energy deposits in ECL
 Introduced a geometrical matching K⁰_L-ECL cluster

More details from the Joint (S)L/EWP mini-workshop



PDG MC $\mathscr{B}(D^+ \to K^0/\bar{K}^0X) = (61 \pm 5)\%$ 57.5 $\mathscr{B}(D^0 \to K^0/\bar{K}^0X) = (47 \pm 4)\%$ 40

2023.11.03 - G*d*M - PHYSICS WEEK **CONCLUSION**

- Excellent control on E_{ECL} is a crucial element for missing energy analyses
- Belle/Belle II specificities for combined analyses
- MVA's pros&cons
- Correction approaches: fake ROE photons energy, ROE photon multiplicity

Backward

Barre

• Need for more: feedback on MCrd samples, studies on K_L^0 's

Forward

ADDITIONAL MATERIAL

2023.11.03 - G*d*M - PHYSICS WEEK **ECL, REMINDER**



2023.11.03 - G*d*M - PHYSICS WEEK **PHOTONS SPECTRA**



2023.11.03 - G*d*M - PHYSICS WEEK

0

0

5

10

Horizontal Crystal ID

15



• Radially symmetric shape • Similar shape to gamma ○ Usually contained in 5x5 cells y, E = 1500.0 MeV, B, = 1.5 T 15 e⁻, E = 2000.0 MeV, B₋ = 1.5 T Vertical Crystal ID 10[°]C⁰Deposition [MeV] 15 Seeds (E > 25.0 MeV) Seeds (E > 25.0 MeV) C0 (1258.7 MeV) C0 (1404.2 MeV) 10 10 5 * 5 0 0 5 10 15 Horizontal Crystal ID 0 10 15 0 5 Horizontal Crystal ID ○ Ionisation loss contained in 1-2 cells ○ Pure MIP behaviour • Asymmetric lateral spread due to hadronic interactions ○ <Ecluster> ~ 200 MeV μ⁻, E = 2000.0 MeV, B_u = 1.5 T π⁻, E = 1500.0 MeV, B₁ = 1.5 T 15 15 Deposition [MeV] Vertical Crystal ID Vertical Crystal ID Seeds (E > 25.0 MeV) > 25.0 MeV) C0 (131.2 MeV) C0 (370.7 MeV) C1 (53.6 MeV) 10 10 5 5

0

0

5

10

Horizontal Crystal ID

• Less symmetric (B field bend and Brems gamma emitted before ECL)

0 Energy Deposition [MeV]

0 Energy Deposition [MeV]

1

15

2023.11.03 - G*d*M - PHYSICS WEEK **VARIABLES FOR MVA**

clusterZernikeMVA

Returns output of a MVA using eleven Zernike moments of the cluster. Zernike moments are calculated per shower in a plane perpendicular to the shower direction via

$$|Z_{nm}| = rac{n+1}{\pi} rac{1}{\sum_i w_i E_i} \left| \sum_i R_{nm}(
ho_i) e^{-imlpha_i} w_i E_i
ight|$$

where n, m are the integers, *i* runs over the crystals in the shower, E_i is the energy of the i-th crystal in the shower, R_{nm} is a polynomial of degree n, ρ_i is the radial distance of the *i*-th crystal in the perpendicular plane, and α_i is the polar angle of the *i*-th crystal in the perpendicular plane. As a crystal can be related to more than one shower, w_i is the fraction of the energy of the *i*-th crystal associated with the shower.

More details about the implementation can be found in BELLE2-NOTE-TE-2017-001 .

More details about Zernike polynomials can be found in Wikipedia .

For cluster with hypothesisId==N1: raw MVA output.

For cluster with hypothesisId==N2: 1 - prod{clusterZernikeMVA}, where the product is on all N1 showers belonging to the same connected region (shower shape variable).

clusterPulseShapeDiscriminationMVA

Returns MVA classifier that uses pulse shape discrimination to identify electromagnetic vs hadronic showers.

- 1 for electromagnetic showers
- 0 for hadronic showers

2023.11.03 - G*d*M - PHYSICS WEEK **SEMITAUONIC**

Table 47: Summary of experimental measurements of semitauonic B decays.

Exp.	Tag method	τ^- decays	Observables	Fit variables
Belle [249]	Untagged	$e^- u_ au ar{ u}_e, \pi u_ au$	$\mathcal{B}(\bar{B}^0 \to D^{*+} \tau^- \bar{\nu}_{\tau})$	$M_{ m bc}^{ m tag}$
Belle [266]	Untagged	$\ell^- u_ au ar u_\ell, \pi u_ au$	$\mathcal{B}(B^- \to D^{(*)0} \tau^- \bar{\nu}_{\tau})$	$M_{ m bc}^{ m tag} { m and} p_{D^0}$
Belle $[251]$	Hadronic	$\ell^- u_ au ar u_\ell$	$R_D,R_{D^*},q^2, p_\ell^* $	$M^2_{ m miss}$ and \mathcal{O}_{NB}
Belle [267]	Semileptonic	$\ell^- u_ au ar u_\ell$	$R_{D^*}, ~ p_\ell^* ~ p_{D^*}^* $	$E_{ m ECL}$ and ${\cal O}_{NB}$
Belle [268]	Hadronic	$h^- u_ au$	$R_{D^*},P_{ au}(D^*)$	$E_{ m ECL}$ and $\cos \theta_{ m hel}$
Belle [269]	Semileptonic	$\ell^- u_ au ar u_\ell$	R_D,R_{D^*}	$\displaystyle {E_{ m ECL}} ~{ m and}~ {\cal O}_{BDT}$
BaBar [250, 270]	Hadronic	$\ell^- u_ au ar u_\ell$	R_D,R_{D^*},q^2	$M_{ m miss}^2$ and p_ℓ
LHCb $[252]$	—	$\ell^- u_ au ar u_\ell$	$E_{\mu}^{*},m_{ m miss}^{2},q^{2}$	
LHCb [271]	_	$h^- h^+ h^- u_ au$	$q^2,t_{ au},\mathcal{O}_B DT$	

