

# $|V_{cb}|$ Discussion Items

Florian & Paolo with **your** Input

# Inclusive Decays – Discussion Items

- Global  $|V_{cb}|$  fits
  - **Correlations** of **theory** errors
  - **Correlations** of **experimental** values
- **QED corrections** → also see Marzia's talk tomorrow
- **Useful measurements @ Belle II**
  - Redo spectral moment measurements of  $q^2$ ,  $M_x$ ,  $n_x$ , and  $E_1$  moments in a single analysis
    - Very valuable to capture the full experimental correlations
  - $A_{FB}$  & other differential measurements ( $q^2$ ,  $M_x$  and  $E_1$  moments for forward and backward events)
  - Do measurements w/ and w/o QED FSR corrections
- Differential measurements could be useful to validate or use lattice information

# Inclusive Decays – Discussion Items

- Useful Calculations:
  - Complete  $\mathcal{O}(\alpha_s)$  for  $q^2$  and analytical formulae for  $M_X$ ,  $E_l$  moments
  - $q^2$  moments at  $\mathcal{O}(1/m_b^4, 1/m^5)$
  - **QED**
- Lattice Input to constrain or test relevance of higher order corrections?
- What is the **ultimate** precision that can be reached? [[arXiv:2310.20324](https://arxiv.org/abs/2310.20324)]

The  $q^2$  moments in inclusive semileptonic  $B$  decays

G. Finauri<sup>a</sup> P. Gambino<sup>a,b,c</sup>

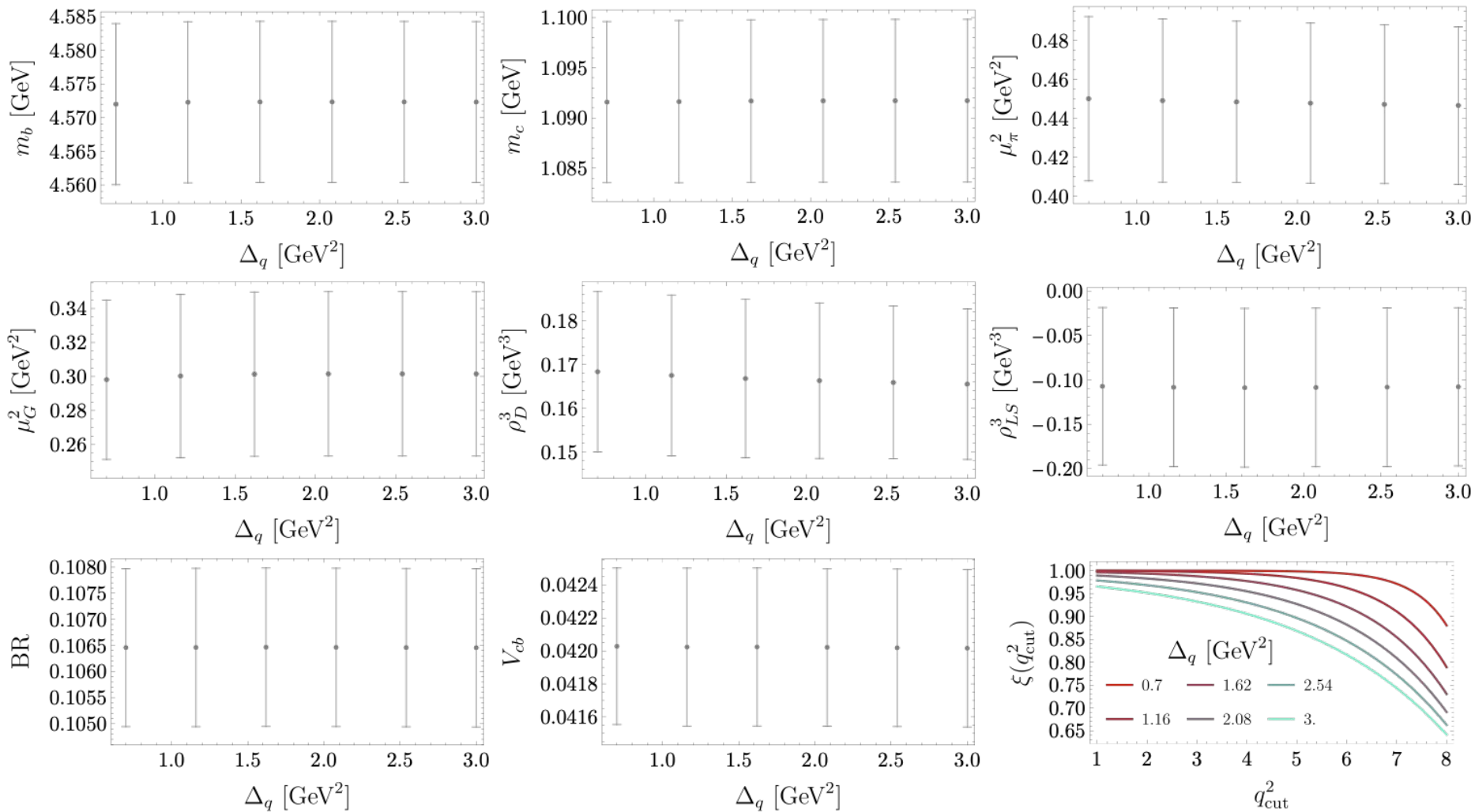
$$|V_{cb}| = (41.97 \pm 0.27_{exp} \pm 0.31_{th} \pm 0.25_{\Gamma}) \times 10^{-3} = (41.97 \pm 0.48) \times 10^{-3}.$$

# Inclusive Decays – Discussion Items

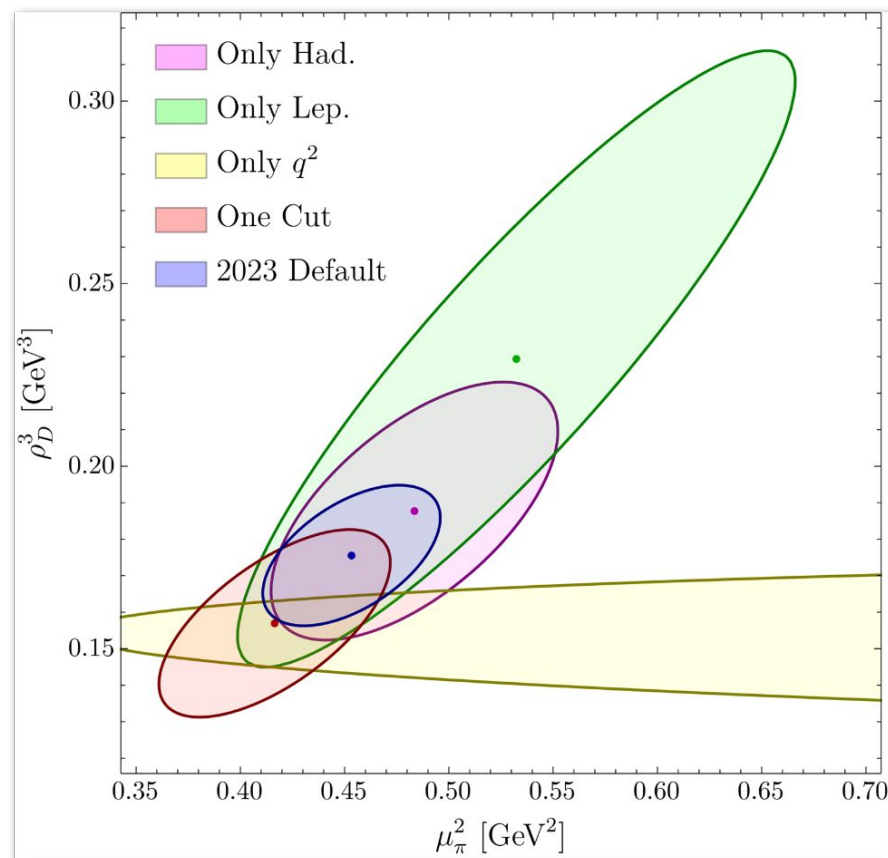
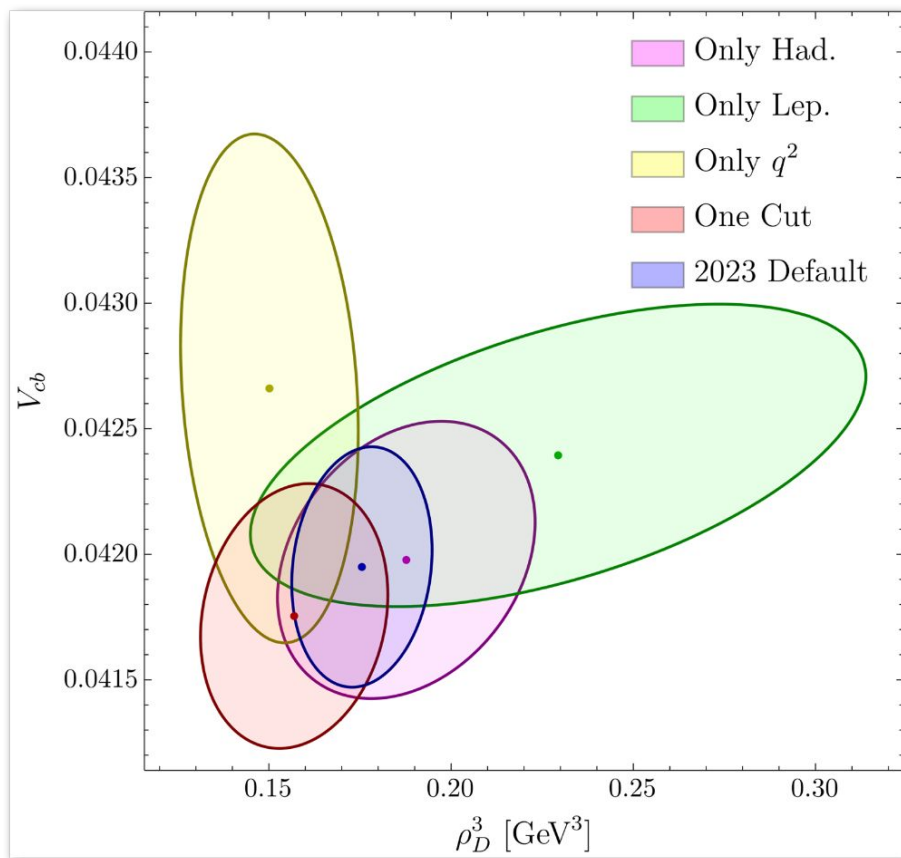
- Should provide measurements w/o FSR QED effects
  - Very interesting to test our understanding of QED !
- BF BF BFs – we need **new** measurements

	$\mathcal{B}(B \rightarrow X\ell\bar{\nu}_\ell)$ (%)	$\mathcal{B}(B \rightarrow X_c\ell\bar{\nu}_\ell)$ (%)	In Average
Belle [63] $E_\ell > 0.6$ GeV	-	$10.54 \pm 0.31$	✓
Belle [63] $E_\ell > 0.4$ GeV	-	$10.58 \pm 0.32$	
CLEO [65] incl.	$10.91 \pm 0.26$	$10.72 \pm 0.26$	
CLEO [65] $E_\ell > 0.6$	$10.69 \pm 0.25$	$10.50 \pm 0.25$	✓
BaBar [62] incl.	$10.34 \pm 0.26$	$10.15 \pm 0.26$	✓
BaBar SL [64] $E_\ell > 0.6$ GeV	-	$10.68 \pm 0.24$	✓
Our Average	-	$10.48 \pm 0.13$	
Average Belle [63] & BaBar [64] ( $E_\ell > 0.6$ GeV)	-	$10.63 \pm 0.19$	

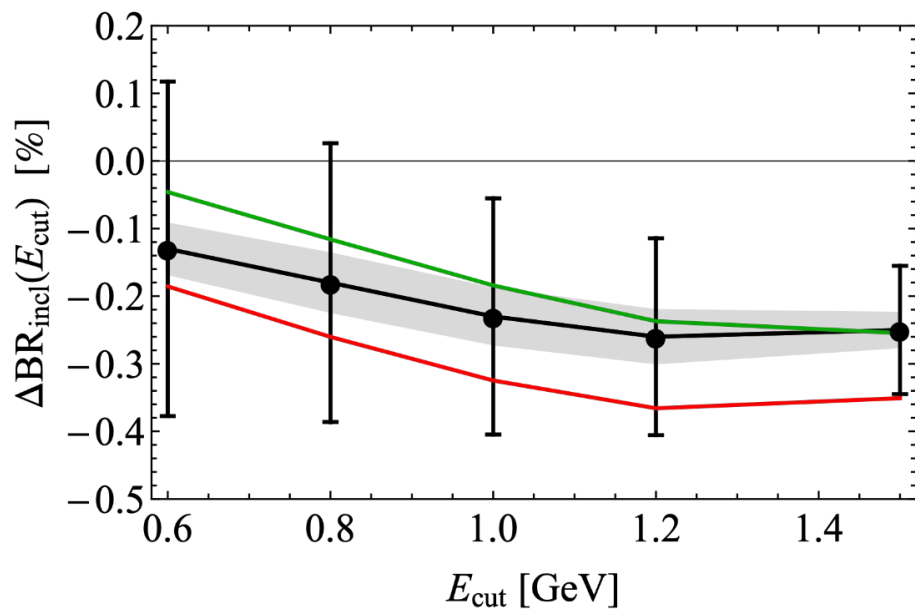
# Theory Correlations in $q^2$ Moments



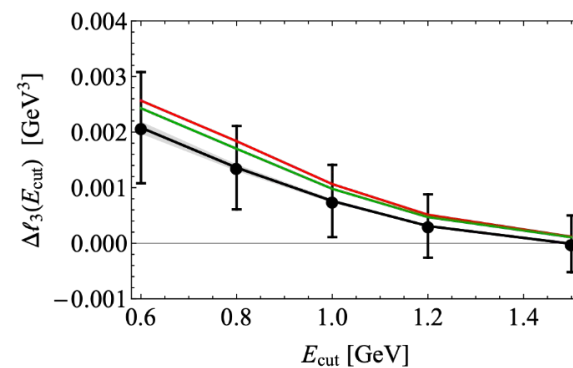
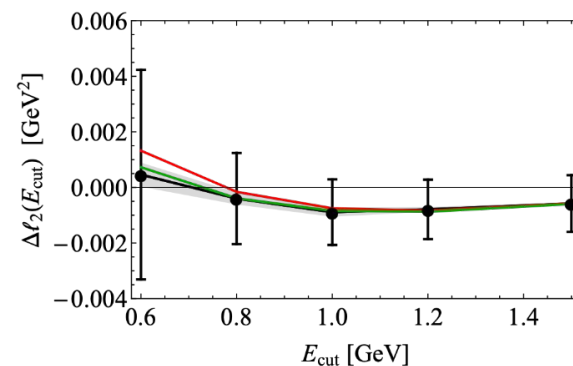
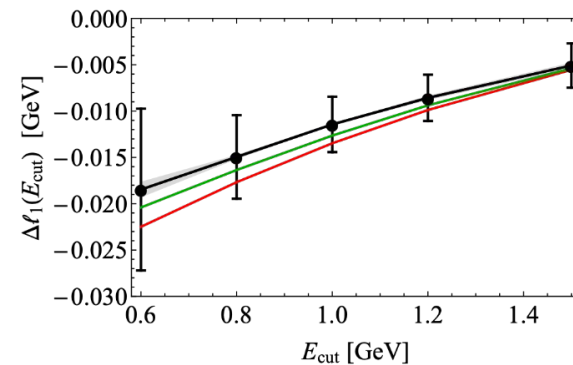
# Details from Global Fit of [\[arXiv:2310.20324\]](https://arxiv.org/abs/2310.20324)



# QED versus PHOTOS



↓  
-0.8 % shift on  $BR(E_1 > 0.6 \text{ GeV})$



# Exclusive Decays

- How to truncate the **BGL expansion**? How to implement unitarity?
- QED correction and Coulomb factor
  - In inclusive decays, it dominates the correction to the total width (but not the  $w$  spectrum)
  - Structure Dependent contributions?
  - $1 + \alpha \pi$  from scalar QED ( $B^0 \rightarrow D, D^*$ ) versus  $(1 + \mathbf{4/9} \alpha \pi)$  inclusive (both  $B^0$  and  $B^+$ )
- Lattice Calculations prospects in 3 years?
- Assumptions of isospin (+/- 1%)



# Exclusive Decays

- What should be measured?
  - Angular coefficients are an excellent way to parametrize and share results.

New on arxiv today!

<https://arxiv.org/pdf/2310.20286.pdf>

Measurement of Angular Coefficients of  $\bar{B} \rightarrow D^* \ell \bar{\nu}_\ell$ : Implications for  $|V_{cb}|$  and Tests of Lepton Flavor Universality

$$\frac{d\Gamma(\bar{B} \rightarrow D^* \ell \bar{\nu}_\ell)}{dw \, d\cos\theta_\ell \, d\cos\theta_V \, d\chi} = \frac{2G_F^2 \eta_{EW}^2 |V_{cb}|^2 m_B^4 m_{D^*}}{2\pi^4} \times \left( J_{1s} \sin^2 \theta_V + J_{1c} \cos^2 \theta_V \right. \\ \left. + (J_{2s} \sin^2 \theta_V + J_{2c} \cos^2 \theta_V) \cos 2\theta_\ell + J_3 \sin^2 \theta_V \sin^2 \theta_\ell \cos 2\chi \right. \\ \left. + J_4 \sin 2\theta_V \sin 2\theta_\ell \cos \chi + J_5 \sin 2\theta_V \sin \theta_\ell \cos \chi + (J_{6s} \sin^2 \theta_V + J_{6c} \cos^2 \theta_V) \cos \theta_\ell \right. \\ \left. + J_7 \sin 2\theta_V \sin \theta_\ell \sin \chi + J_8 \sin 2\theta_V \sin 2\theta_\ell \sin \chi + J_9 \sin^2 \theta_V \sin^2 \theta_\ell \sin 2\chi \right).$$

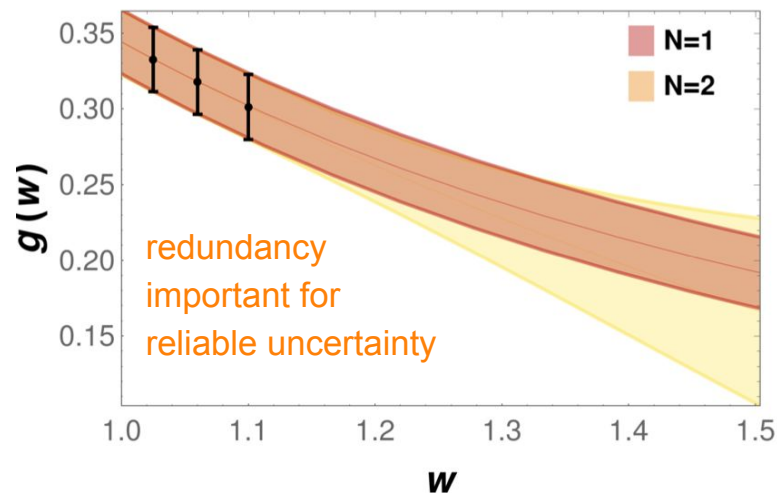
Need to make sure we have matching bin boundaries in w

# Model Independence versus Overfitting

Model independence vs overfitting

$$\phi(z) = \sum_i a_i z^i, \quad \sum_i a_i^2 < 1$$

1. Where do we truncate the series?
2. How can we include unitarity constraints?
3. These questions are related.



# Model Independence versus Overfitting

## Models on the market :

Different options with various pro/cons:

1. Frequentist fits with strong  $\chi^2$  **penalty** outside unitarity; increase BGL order till  $\chi_{min}^2$  is stable.  
Can compute CL intervals **New: Feldman-Cousins**. [Bigi, PG, 1606.08030](#), [Jung,Schacht,PG 1905.08209](#)
2. Frequentist fit with **Nested Hypothesis Test or AIC** to determine optimal truncation order: go to order  $N + 1$  if  $\Delta\chi^2 = \chi_{min,N}^2 - \chi_{min,N+1}^2 \geq 1,2$  Check unitarity a posteriori [Bernlochner et al, 1902.09553](#)
3. **Bayesian inference** using unitarity constraints as prior with BGL [Flynn, Jüttner,Tsang 2303.11285](#) or in the **Dispersive Matrix approach (which avoids truncation!)**, [Martinelli, Simula, Vittorio et al. 2105.02497](#)

# 1D Example

$$-1 \leq \mu \leq 1$$

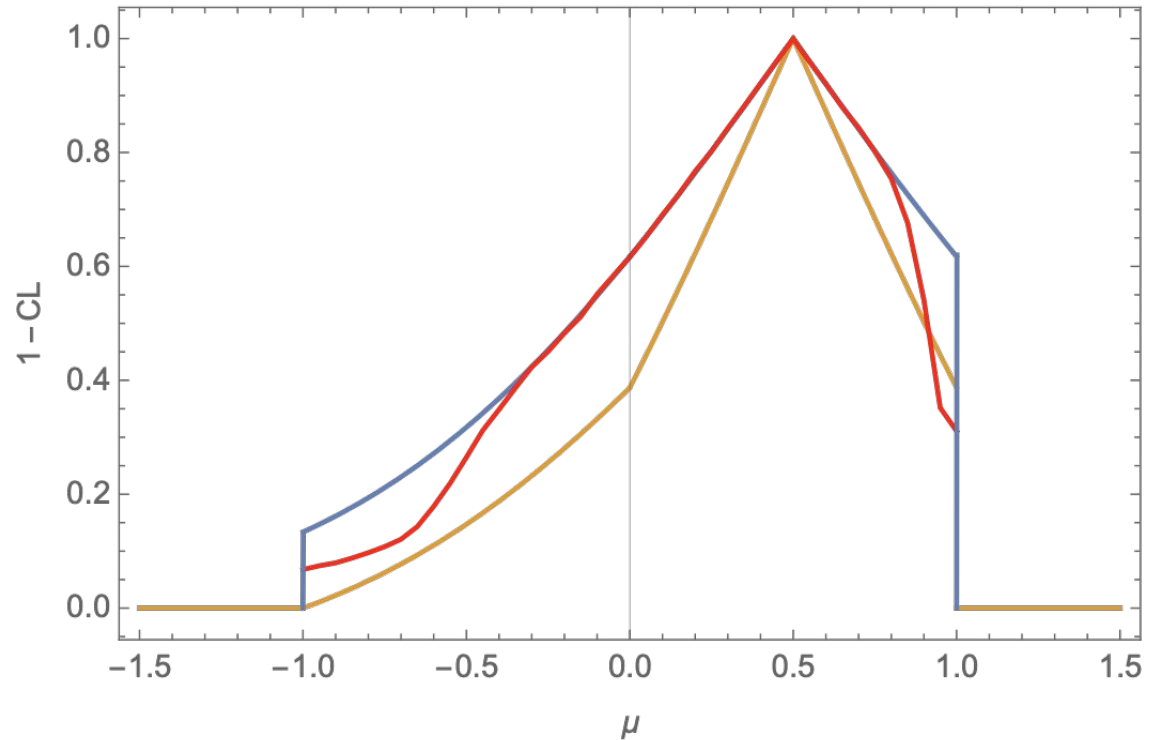
$$\text{exp } \mu = 0.5 \pm 1$$

gaussian

A) Bayesian approach:  
cut gaussian PDF,  
compute CL from  
new PDF

B) Large  $\chi^2$  penalty  
outside physical  
region

C) Feldman Cousins toy  
MC coverage



$$1 - CL = \frac{N(\Delta\chi_{toy}^2 > \Delta\chi_{data}^2)}{N_{toys}}$$

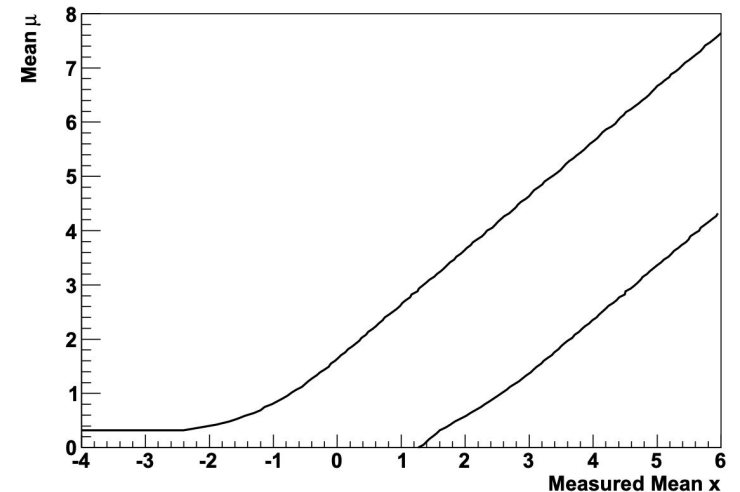
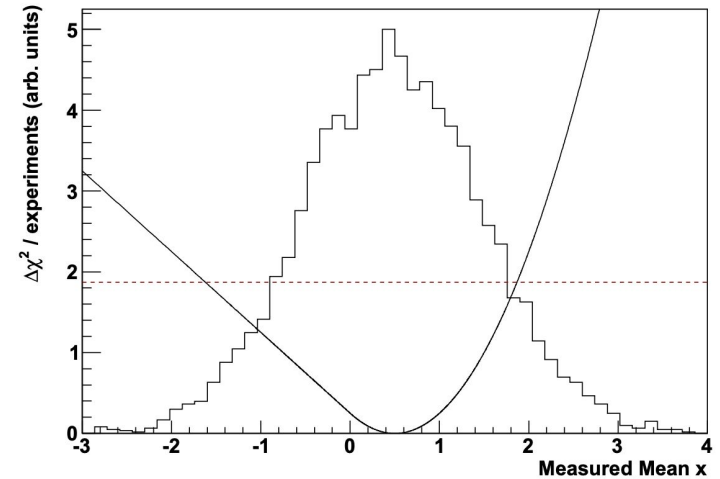
$$\Delta\chi_{data}^2 \equiv \min(\chi_{data,c}^2) - \min(\chi_{data}^2)$$

$$\Delta\chi_{toys}^2 \equiv \min(\chi_{toy,c}^2) - \min(\chi_{toy}^2)$$

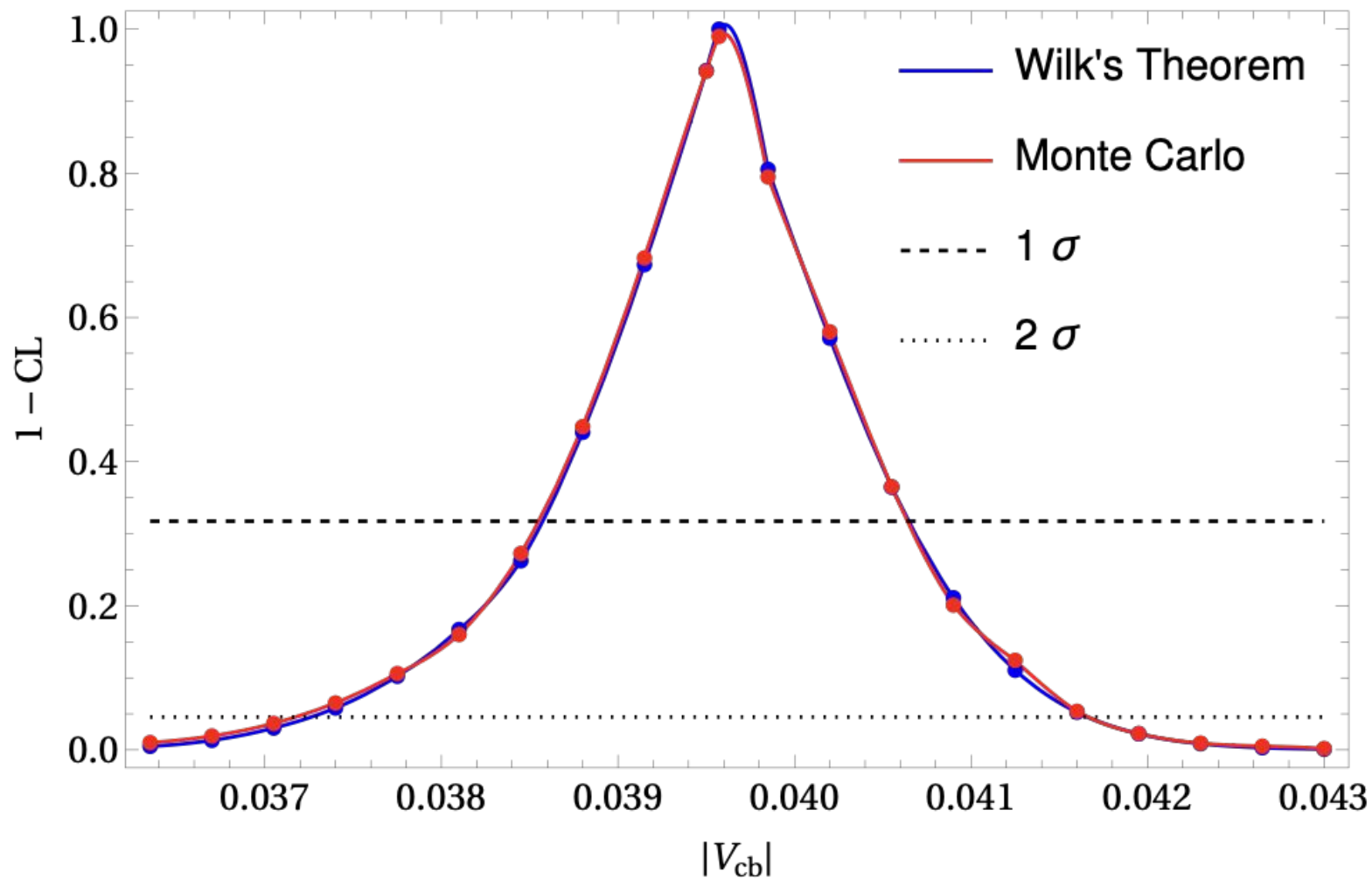
# Feldman / Cousins

$$\begin{aligned}
 -2 \ln R &\equiv \Delta\chi^2 = \chi^2 - \chi_{\text{best}}^2 \\
 &= \chi^2(x, \mu) - \chi^2(x, \mu_{\text{best}}) ,
 \end{aligned}$$

1. At the considered value of the true parameter  $\mu_0$ , generate a toy experiment by drawing a value  $x_{\text{toy}}$  from the p.d.f. That is, draw from the unit Gaussian  $G(\sigma = 1, \mu = \mu_0)$ .
2. Compute  $\Delta\chi_{\text{toy}}^2$  for the toy experiment, following Eq. 6. For  $\chi^2(x, \mu)$ ,  $x$  is set to  $x_{\text{toy}}$  and  $\mu$  is set to  $\mu_0$ . For  $\chi^2(x, \mu_{\text{best}})$ ,  $x = x_{\text{toy}}$  and  $\mu_{\text{best}} = \max(0, x_{\text{toy}})$  implementing the boundary at zero.
3. Find the value  $\Delta\chi_c^2$ , such that  $\alpha$  of the toy experiments have  $\Delta\chi_{\text{toy}}^2 < \Delta\chi_c^2$ .
4. The interval  $[x_1, x_2]$  is given by all values of  $x$  such that  $\Delta\chi^2(x, \mu_0) < \Delta\chi_c^2$ .



# 1 - CL from Feldman Cousins



# Convergence order by order

	$ V_{cb} $	$\chi^2$	d.o.f.	N	$ \rho_{\max} $
BGL <sub>111</sub>	$40.4 \pm 0.8$	45.6	34	3	0.70
BGL <sub>112</sub>	$40.9 \pm 0.9$	43.4	33	4	0.98
<b>BGL<sub>121</sub></b>	$40.7 \pm 0.9$	45.2	33	4	0.60
BGL <sub>122</sub>	$41.5 \pm 1.1$	42.3	32	5	0.98
BGL <sub>131</sub>	$38.1 \pm 1.7$	41.7	32	5	0.98
BGL <sub>132</sub>	$39.0 \pm 1.6$	37.5	31	6	0.98
BGL <sub>211</sub>	$39.7 \pm 1.0$	42.7	33	4	0.99
BGL <sub>212</sub>	$40.4 \pm 1.0$	39.3	32	5	0.99
BGL <sub>221</sub>	$37.1 \pm 1.2$	37.7	32	5	0.99
BGL <sub>222</sub>	$37.9 \pm 2.0$	37.5	31	6	1.00
BGL <sub>231</sub>	$37.2 \pm 1.8$	37.7	31	6	0.99
BGL <sub>232</sub>	$38.8 \pm 1.7$	37.2	30	7	0.98
BGL <sub>311</sub>	$38.5 \pm 0.9$	40.1	32	5	0.95
BGL <sub>312</sub>	$39.9 \pm 1.1$	36.9	31	6	0.98
BGL <sub>321</sub>	$37.3 \pm 1.2$	37.3	31	6	0.97
BGL <sub>322</sub>	$38.9 \pm 2.1$	36.5	30	7	0.99
BGL <sub>331</sub>	$39.6 \pm 2.3$	36.3	30	7	0.99
BGL <sub>332</sub>	$40.1 \pm 2.3$	35.9	29	8	0.99

[2301.07529, Table XVI from PRD]

	$ V_{cb} $	$\chi^2$	dof	N	$\rho_{\max}$
BGL <sub>111</sub>	$40.3 \pm 0.8$	45.7	32	3	0.71
BGL <sub>112</sub>	$40.8 \pm 0.8$	42.6	31	4	0.97
<b>BGL<sub>121</sub></b>	<b><math>40.6 \pm 0.9</math></b>	<b>45.3</b>	<b>31</b>	<b>4</b>	<b>0.62</b>
BGL <sub>122</sub>	$41.4 \pm 1.0$	41.5	30	5	0.97
BGL <sub>131</sub>	$39.9 \pm 0.9$	42.4	30	5	0.61
BGL <sub>132</sub>	$40.7 \pm 1.0$	39.3	29	6	0.98
BGL <sub>211</sub>	$39.8 \pm 0.9$	42.1	31	4	0.99
BGL <sub>212</sub>	$40.4 \pm 0.9$	37.5	30	5	0.99
BGL <sub>221</sub>	$40.9 \pm 1.0$	45.1	30	5	0.93
BGL <sub>222</sub>	$39.2 \pm 1.0$	36.5	29	6	0.96
BGL <sub>231</sub>	$40.3 \pm 1.0$	41.8	29	6	0.94
BGL <sub>232</sub>	$41.0 \pm 1.0$	39.0	28	7	0.97
BGL <sub>311</sub>	$39.8 \pm 0.9$	42.1	30	5	0.99
BGL <sub>312</sub>	$40.4 \pm 0.9$	37.4	29	6	0.99
BGL <sub>321</sub>	$38.5 \pm 0.9$	39.4	29	6	0.65
BGL <sub>322</sub>	$39.2 \pm 1.0$	36.4	28	7	0.96
BGL <sub>331</sub>	$38.3 \pm 0.9$	38.1	28	7	0.86
BGL <sub>332</sub>	$38.7 \pm 1.5$	36.0	27	8	0.99

## Belle I 8+HPQCD

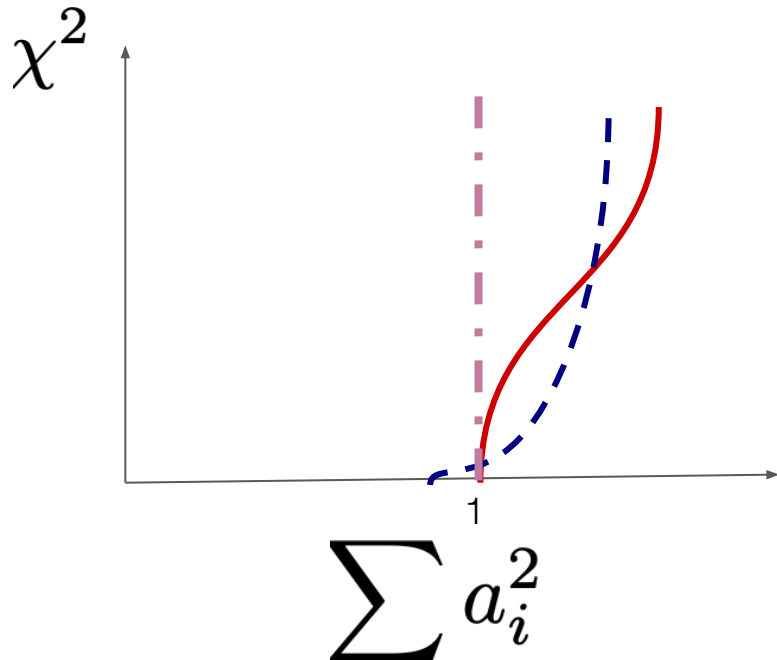
BGL exp	$\chi^2$	$ V_{cb} $
0001	78	41.0(8)
0101	68	41.2(8)
0111	57	40.8(8)
1111	57	40.8(8)
1121	54	40.6(8)
1222	52	40.6(8)
2222	50	40.4(8)
2232	50	40.4(8)
3333	50	40.4(8)

Lack of convergence?

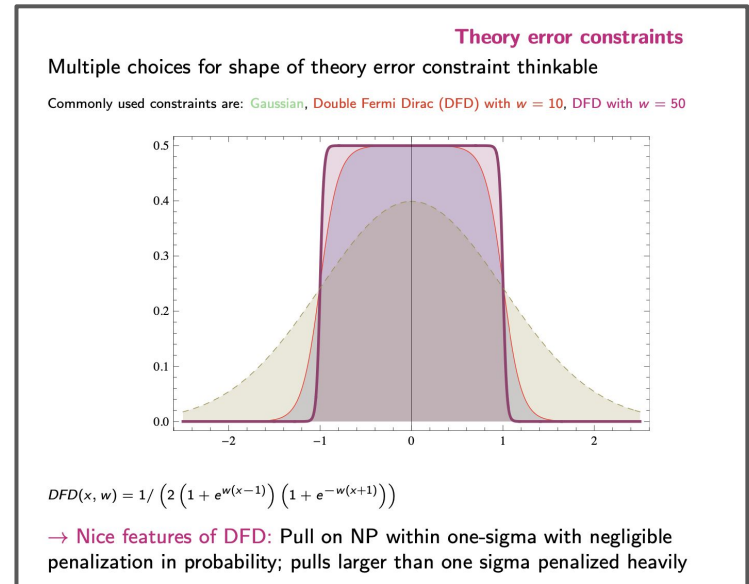
# The problem with constraints

If we impose UT constraints directly into the fit, there are many shapes possible

Problem is that the ‘graduality’ on how the constraint is imposed, has an impact on the uncertainties of the higher coefficients. Posed differently, it may be that their uncertainties do not have a strict meaning in terms of a CL



These different penalties will give you different Uncertainties for higher order coefficients





# Watch out for biases

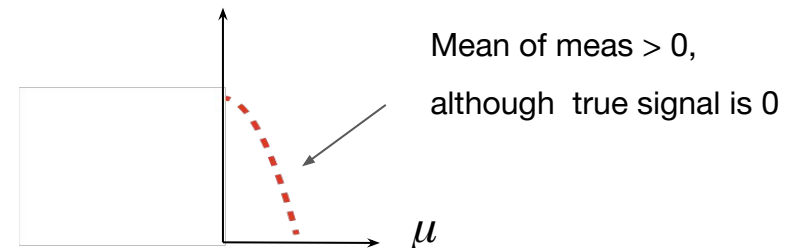
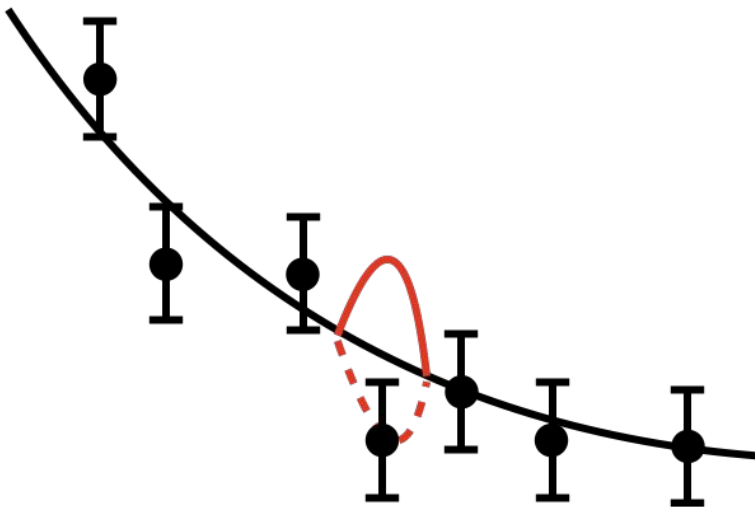
From talking with Patrick and Zoltan

Patrick and Zoltan voiced **concerns** that imposing UT could lead to **biases**.

Zoltan gave a historical example from BaBar and Patrick the generic example of enforcing positive signal yields.

## Textbook example:

- Search for small Signal over huge Bkg (true signal e.g. zero or negligible)
- If you impose  $\mu \geq 0$  and repeat your measurements you will always fit a value in  $[0, \text{positive number}]$



# Benchmarking different approaches

How can we make progress on this?



# Let's benchmark it!

Talked with Patrick, Paolo, Dean, Zoltan, Markus and others about this

I think we can actually solve this (and add a useful piece to the report)

**Zoltan** framed the challenge extremely well:

**“I would like to have an approach that works for 5/ab now, that does not need adjustments.”**

**Patrick** gave us the other critical ingredient: We can produce a **large number of possible BGL shapes** as true underlying distributions **that respect unitarity**

# Benchmark procedure steps:

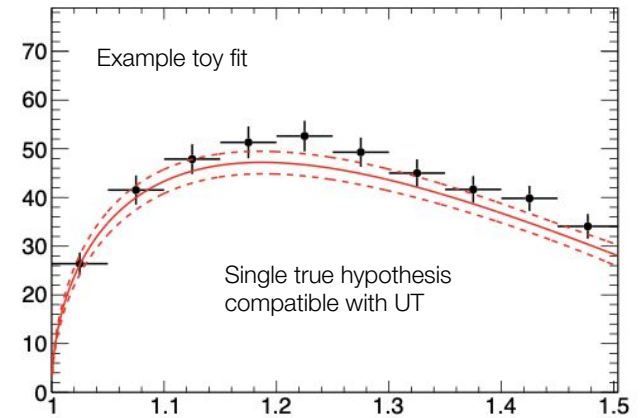
**Step 1** : Produce a **large number** of **possible BGL shapes** as true underlying distributions **that respect unitarity** and a **given true  $|V_{cb}|$**  value

**Step 2** : Use these shapes and **produce toys** / replica measurements **with** our **current** (or a future) experimental precision / covariance

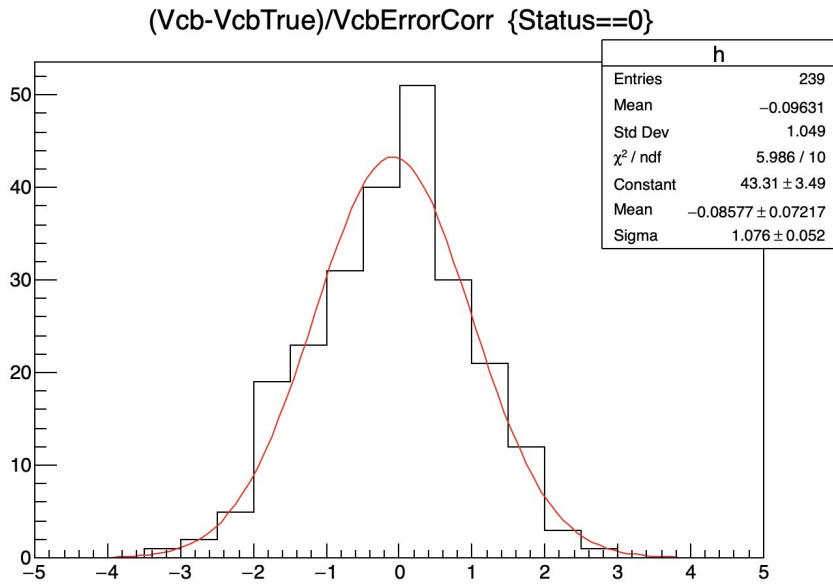
**Step 3** : Apply the different procedures (NHT, AIC, Feldman/Cousins, stability by eye, ...) to determine FFs,  $|V_{cb}|_{\text{toy}}$  and  $\sigma_{\text{toy}}$

**Step 4** : Study pulls of toys :  $(|V_{cb}|_{\text{toy}} - |V_{cb}|_{\text{true}}) / \sigma_{\text{toy}}$

# Toy example (very preliminary)

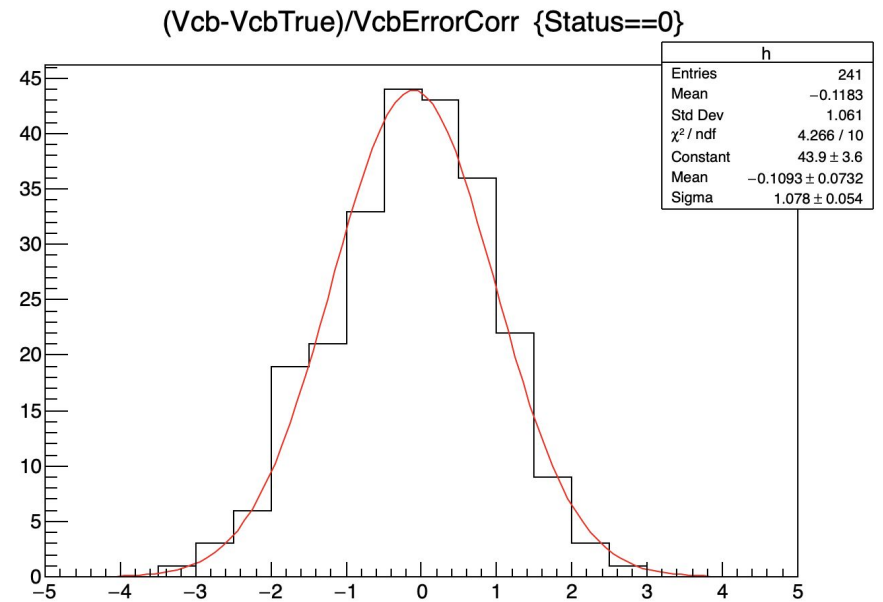


**NHT**



$$(|V_{cb}|_{\text{toy}} - c) / \sigma_{\text{toy}}$$

**AIC**



$$(|V_{cb}|_{\text{toy}} - c) / \sigma_{\text{toy}}$$

# Remaining Truncation Uncertainty

Input from Bob

Bob had another intriguing thought : can we not just use the UT bound to assess how dependent we are on truncating the BGL series?

**We certainly could !**

(although this assumes that we do not exceed UT, i.e. somehow choose to impose it in the fit)

**Idea:** e.g. fit  $N$  coefficients

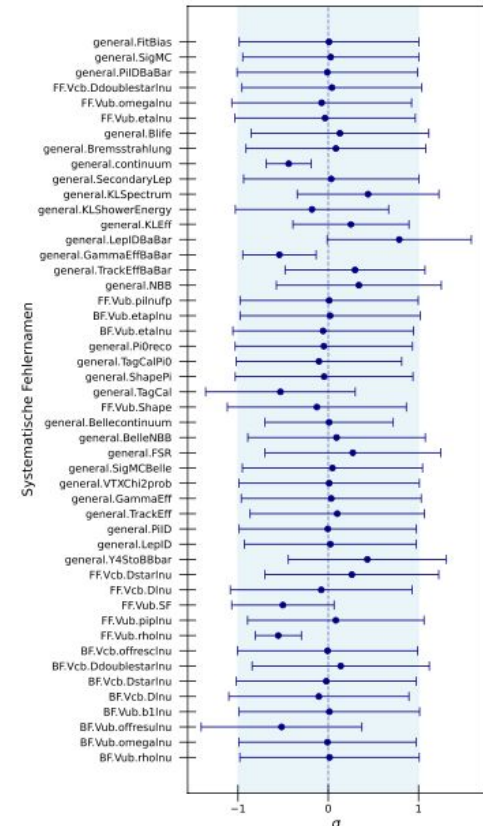
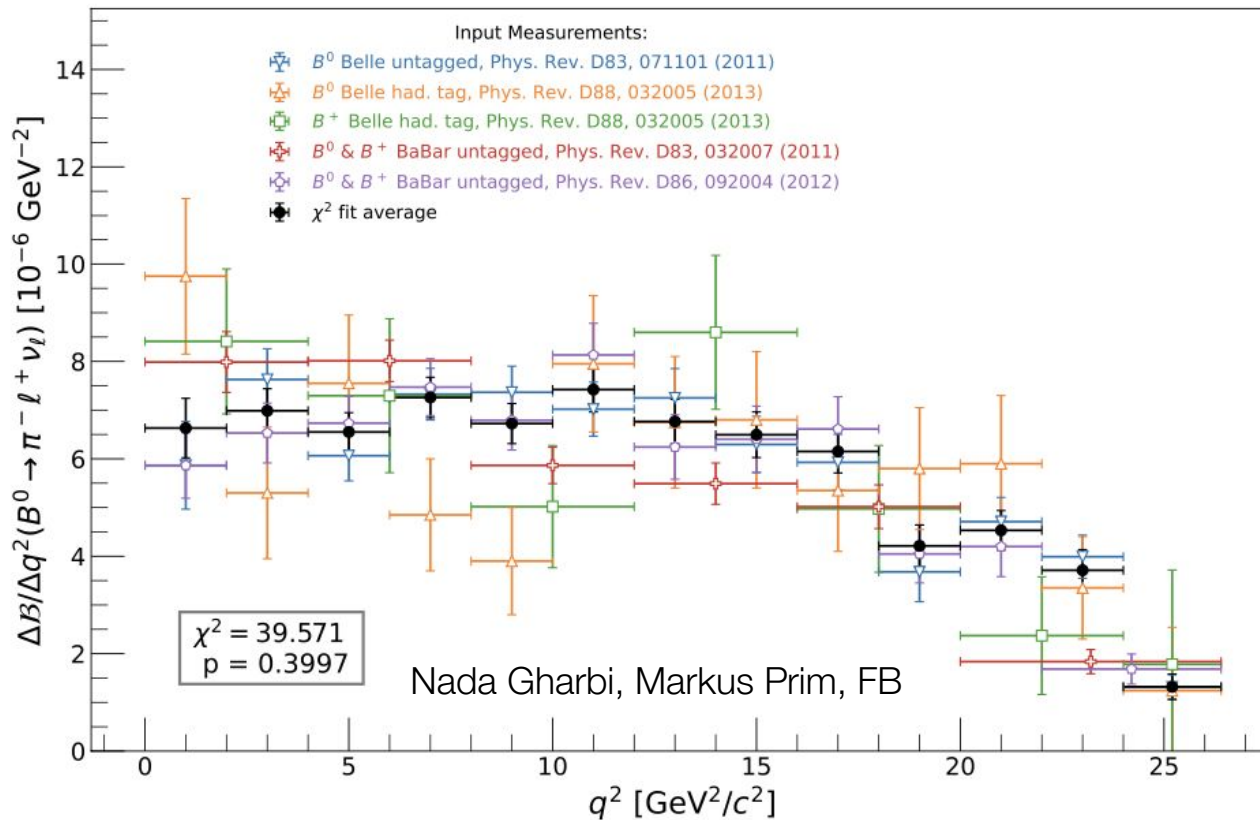
Chose **next coefficient** as shown below; check if  $|V_{cb}|$  is affected

$$\sum_n^N a_n^2 < 1 \quad \longrightarrow \quad a_{n+1} = \sqrt{1 - \sum_n^N a_n^2}$$

# Averages of experimental information

## Good example: $B \rightarrow \pi \ell \nu$ average from HFLAV

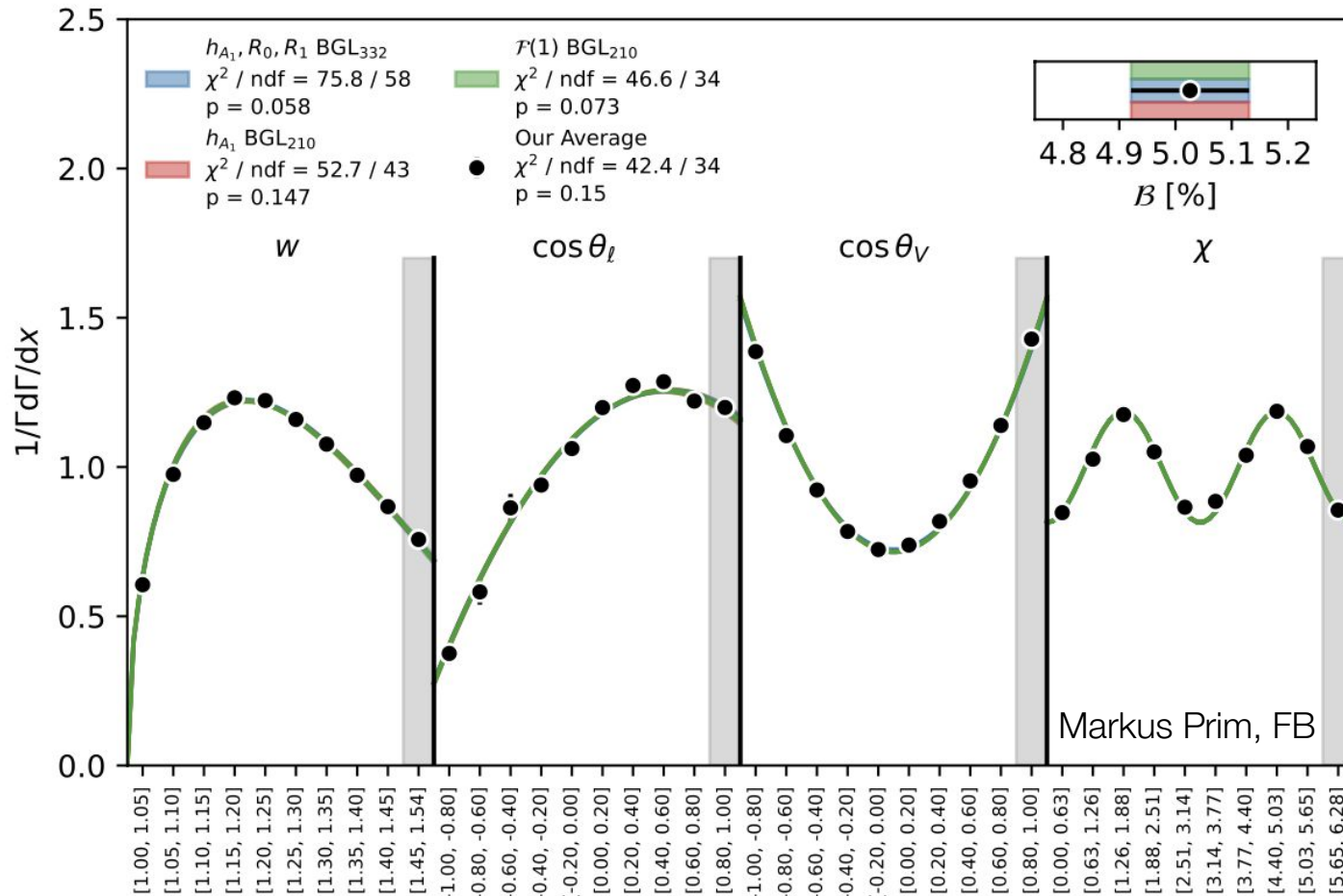
1. Average all the experimental information
2. Then do a  $\mathbf{V}_{\text{ub}}$  fit





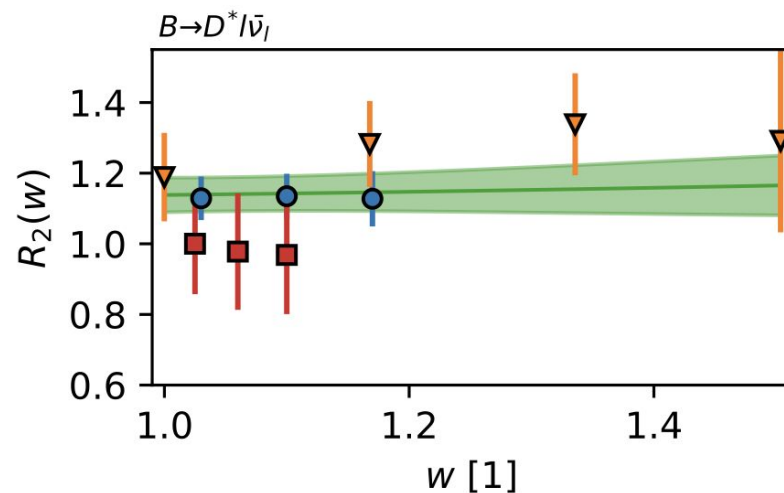
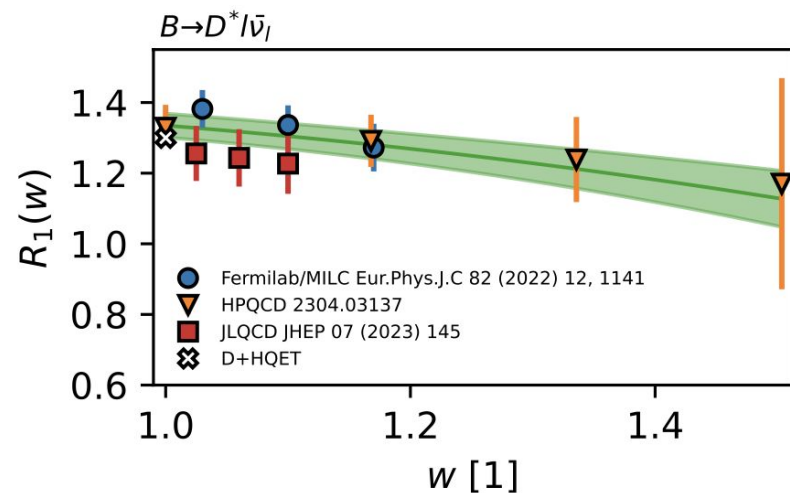
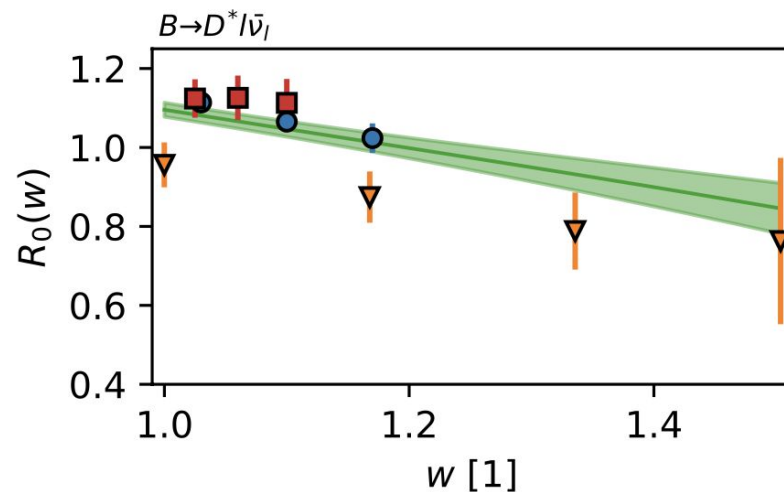
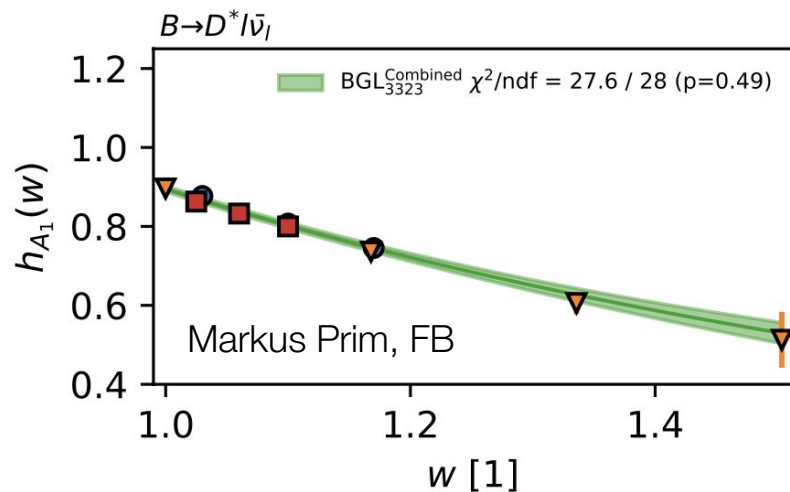
# Averages of experimental information

Average of **tagged Belle** and **untagged Belle II**  $B \rightarrow D^* \ell \nu$  in prep. for HFLAV report





# Lattice (dis)agreements



- QED effects → should provide measurements w/ QED effects included
- Exclusive case (QED)
- More angular analysis
  - Moments in forward versus backward
  - Maybe more granular useful?
- BF measurements?
-

- Test from Bob

- $\sum^n a^2 < 1 \rightarrow \text{add } a_{n+1} = 1 - \text{sum}$